

Management induced changes of soil organic carbon on global croplands

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Abstract. Soil organic carbon (SOC) is one of the largest terrestrial carbon stocks on Earth. It is three times larger than the vegetation carbon pool and more than twice as big as the atmospheric carbon pool, when looking into the first meter of the Earth's soil profile. SOC has been depleted by anthropogenic land cover change and agricultural management. However, the latter has so far not been well represented in global carbon stock assessments. While SOC models often simulate detailed biochemical processes that lead to the accumulation and decay of SOC, the management decisions driving these biophysical processes are still little investigated at the global scale. Here we develop a spatial explicit data set for agricultural management on cropland, considering crop production levels, residue returning rates, manure application, and the adoption of irrigation and tillage practices. We combine it with the IPCC Tier 2 steady-state soil model to create a half-degree resolution data set of SOC stocks and SOC stock changes for the first 30 cm of mineral soils. We estimate that due to arable farming, soils have around 26 GtC relative to a counterfactual natural state in 1975. Yet, within the period 1975–2010 this SOC debt has been decreasing again by a net quantity of 4 Gt SOC, which can be mainly traced back to an increased input of C in crop residues due to higher crop productivity. We also find that SOC is very sensitive to management decisions such as residue returning indicating the necessity to incorporate better management data in soil model simulations.

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15 1 Introduction

Soil Organic Carbon (SOC), the amount of organic carbon stored in the Earth's soil, is the largest terrestrial organic carbon pool. It exceeds the carbon in the atmospheric and vegetation pools multiple times ((Batjes, 1996)). Even small changes in processes affecting SOC lead therefore to substantial shifts in the terrestrial carbon cycle and influence the amount of CO₂ in the atmosphere ((Friedlingstein et al., 2019), (Minasny et al., 2017)). The specific amount of carbon stored in soils globally is quantified with estimates ranging from 1500 to 2400 GtC for the first meter of the soil profile ((Batjes, 1996), (Sanderman et al., 2017)).

Natural properties like climatic, biophysical, and landscape characteristics clearly play the most important roles to determine SOC variations over space and time. Recent studies have focused on the evaluation of total SOC stocks of the world as well as on the spatial disaggregation of soil properties such as SOC content ((Batjes, 2016), (Hengl et al., 2017), (FAO, 2018)). However, these studies often do not include human interventions, like land cover change and agricultural management, in their analysis. Compared to climatic and geological drivers, they alter terrestrial carbon pools over much shorter time scales and are currently one of most dominant drivers of SOC changes on managed land.

The anthropogenic impact can be measured by the SOC debt (also referred to as SOC component of land-use change emissions), which is the amount of organic carbon soils have lost under cultivation compared to a potential natural vegetated state. (Sanderman et al., 2017) identified the anthropogenic SOC debt for the first meter of the soil profile due to land cover change at around 116 GtC (37 GtC for the first 30 cm), compared to previous estimates of 60–130 GtC for the first meter ((Lal, 2001)).

Global assessments of the carbon cycle via dynamic global vegetation models (DGVMs) and Earth System Models (ESMs) or bookkeeping models (BKMs) have analyzed SOC losses as part of a comprehensive evaluation of the global carbon budget and land-use change (LUC) emissions ((Friedlingstein et al., 2019)). While providing estimates of the magnitude of SOC losses due to land cover change, most models lack a detailed consideration of agricultural management. Earlier DGVM and ESM based assessments only considered changes in land cover, but ignored the removal of biomass at harvest ((Strassmann et al., 2008), (Betts et al., 2015)). BKMs are designed to estimate LUC related emissions but ignore changes in SOC due to climate change, CO₂ fertilization, and agricultural management ((Friedlingstein et al., 2019), (Houghton et al., 2012), (Hansis et al., 2015)).

Managed agricultural systems were introduced in greater detail to DGVMs and ESMs to improve the assessment of the terrestrial carbon balance (e.g. (Bondeau et al., 2007), (Lindeskog et al., 2013)). (Pugh et al., 2015) explicitly consider agricultural management in the form of tillage, irrigation and biomass extraction at harvest, but worked with stylized scenarios rather than with historic management data. They also showed the importance of accounting for the land-use history, as many carbon emissions from agricultural soils are caused by historic LUC and the slow decline of SOC under cropland before it reaches a new equilibrium.

In global-scale carbon cycle assessments, management systems are typically represented as spatially explicit patterns that are static in time (e.g. growing seasons ([portmann_mirca2000global_2010]) multiple cropping systems ((Waha et al., 2020)), irrigation systems ((Jägermeyr et al., 2015))) or as stylized scenarios (e.g. (Pugh et al., 2015), (Lutz et al., 2019)).

50 More data sets on spatially explicit agricultural management time series with global coverage become available (e.g. on tillage systems, see (Porwollik et al., 2018), (Prestele et al., 2018)) and model approaches are increasingly developed to project the dynamics of management systems into the future (e.g. (Iizumi et al., 2019), (Minoli et al., 2019)), but have – to our knowledge – not found their way into comprehensive assessments of the terrestrial carbon cycle in DGVMs and BKM.

Field-scale models (Del Grosso et al. (2001)], (Coleman et al., 1997),(Smith et al., 2010), (Taghizadeh-Toosi et al., 2014))
55 are able to better account for historic agricultural management if detailed information on crop yield levels, fertilizer inputs and various other on-farm measures is available for the studied sites. However, due to the lack of comprehensive global management data as input to these models, scaling up to the global domain remains a complex challenge.

The objective of our study is to provide the first global, spatially explicit SOC and SOC debt map that considers spatially explicit and time-variant historical agricultural management. To achieve this objective we create and provide a comprehensive
60 dataset of the global gridded management time series data, including crop production levels, residue returning rates, manure application, and the adoption of irrigation and tillage practices. We simulate SOC stocks, dynamics and the SOC debt for 1975–2010. Using a scenario analysis, we decompose the contribution of different management activities. Our analysis highlights the importance of considering agricultural management for improving estimates of SOC stocks. We conclude, that historic agricultural management led to increasing SOC stocks in the most recent decades.

In Sect. 2.1 we introduce the basic concept of SOC dynamics as applied in this study and explained in more detail within the refinement of the IPCC guidelines vol. 4 ((Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)). We additionally describe how we configured and extended the steady-state method (for model code see ?). In Sect. 2.2 we shortly refer to the concept of stock change factors as outlined in the Tier 1 approach of the IPCC guidelines ((Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006), (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)). Section 2.3 provides a detailed description of the global, gridded management data used to drive the model, including crop production levels, residue input rates, manure amendments, and the adoption of irrigation and tillage practices (for model code see ?). In Sect. 2.4 we define the scenarios used to complement our historic model results.

2.1 SOC stocks and stock changes following the steady-state method

Following the Tier 2 steady-state approach of the refinement of the IPCC guidelines vol. 4 ((Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019); referred to as *steady-state method* in the following), we estimate soil organic carbon (*SOC*) stocks and their change over time for cropland at half-degree resolution from 1975 to 2010. We restrict our analysis to the first 0-30 cm of the soil profile. Moreover, we assume the current *SOC* state converges towards a steady state, which itself depends on biophysical, climatic and agronomic conditions. Therefore, we take the following three steps for each year of our simulation period: (1) We calculate annual land-use type-specific steady states and decay rates for *SOC* stocks (Sect. 2.1.1); (2) we account for land conversion by transferring *SOC* from and natural vegetation (Sect. 2.1.2), (3) we estimate *SOC* stocks and changes based on the stocks of the previous time step, the steady state stocks and the decay rate (Sect. 2.1.3). To initialize the first year of our simulation period we use a spin-up period of 74 years (Sect. 2.1.4).

2.1.1 Steady-state SOC stocks and decay rates

In a simple first order kinetic approach the steady-state soil organic carbon stocks SOC^{eq} are given by

$$SOC_{i,t,sub,lu}^{eq} = \frac{C_{i,t,sub,lu}^{in}}{k_{i,t,sub,lu}} \quad (1)$$

with C^{in} being the carbon inputs to the soil, k denotes the soil organic carbon decay rate. This equation is valid for all grid cells i and all years t . We use the steady-state method for our calculations, which assumes three soil carbon sub-pools *sub* (active, slow and passive) and interactions between them, following the approach in the Century model ((Parton et al., 1987)). Annual carbon inflow to each sub-pool and annual decay rates of each sub-pool are land-use type *lu* specific. We distinguish two land-use types: cropland and uncropped land under potential natural vegetation as representative for all other land-use types including forestry and pastures (referred to as natural vegetation in the following).

Carbon inputs for cropland are below and above ground residues left or returned to the field (see Sect. 2.3.2) and manure inputs (see Sect. 2.3.3); for natural vegetation litterfall (including fine root turnover) ((Schaphoff et al., 2018b)) is the only source of carbon inflow to the soil. Following the IPCC guidelines (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019), carbon inputs are disaggregated into metabolic and structural components depending on their lignin and nitrogen content. For each component the sum of all carbon input sources is allocated to the respective *SOC* sub-pools via transfer coefficients. This implies that both the amount of carbon and its structural composition determine the effective inflow into the different pools. Data sources for all considered carbon inputs as well as for lignin and nitrogen content are listed in Table 1.

Table 1. Type and data sources for carbon inputs and parameterization to different land-use types

land-use types	source of carbon inputs	data source	nitrogen and lignin content
Cropland	above ground residues	FAOSTAT (2016),	lg/c generic values according to Table 5.5B
	below ground residues	Schaphoff et al. (2018b) (LPJmL),	
	manure	Weindl et al. (2017)	in Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds) (2019); crop-type specific lg/c-ratios from Federici, S. (eds) (2019)
Natural vegetation	annual litterfall	Schaphoff et al. (2018b) (LPJmL)	Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds) (2019) (CENTURY parameterization)

The sub-pool specific decay rates k_{sub} are influenced by climatic conditions, biophysical and biochemical soil properties as well as management factors that all vary over space (i) and time (t). Following the steady-state method (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019), we consider temperature ($temp$), water (wat), sand fraction (sf) and tillage ($till$) effects to account for spatial variation of decay rates. Thus, k_{sub} are given by

$$\begin{aligned}
 k_{i,t,active,lu} &= k_{active} \cdot temp_{i,t} \cdot wat_{i,t,lu} \cdot till_{i,t,lu} \cdot sf_i \\
 k_{i,t,slow,lu} &= k_{slow} \cdot temp_{i,t} \cdot wat_{i,t,lu} \cdot till_{i,t,lu} \cdot \\
 k_{i,t,passive,lu} &= k_{passive} \cdot temp_{i,t} \cdot wat_{i,t,lu}
 \end{aligned}
 \tag{2}$$

110 For natural vegetation, we assume rainfed and non-tilled conditions, whereas for cropland, we distinguish the effect of different tillage (see Sect. 2.3.5) and irrigation (see Sect. 2.3.4) practices on decay rates. We calculated area weighted means for *till* and *wat* on cropland for each grid cell, using area shares for the different tillage and irrigation practices. Data sources as well as used parameters for the different decay drivers for all land-use types are listed in Table 2; equations are displayed by equation 5.0B–5.0F in (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A.,
115 Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019).

Table 2. Type and data sources for carbon inputs to different land-use types

land-use types	type of decay driver	parameter use to represent driver	data source
all	Soil quality	Sand fraction of the first 0-30 cm	?
	Mircobial activity	air temperature	Harris et al. (2020)
	Water restriction	precipitation & potential evapotranspiration	Harris et al. (2020)
Cropland (additionally)	Water restriction*	irrigation	Sect. 2.3.4
	Soil disturbance	tillage	Sect. 2.3.4

2.1.2 SOC transfer between land-use types

We calculate *SOC* stocks based on the area shares of land-use types (*lu*) within our grid cells (*i*). If land is converted from one land-use type $lu = \{crop, natveg\}$ into the other $!lu = \{natveg, crop\}$, a respective share of the *SOC* is reallocated. We account for land conversion at the beginning of each time step *t* by calculating a preliminary stock SOC_{t^*} via

$$120 \quad SOC_{i,t^*,sub,lu} = SOC_{i,t-1,sub,lu} - \frac{SOC_{i,t-1,sub,lu}}{A_{i,t-1,lu}} \cdot AR_{i,t,lu} + \frac{SOC_{i,t-1,sub,!lu}}{A_{i,t-1,!lu}} \cdot AE_{i,t,lu} \quad (3)$$

with A_{lu} being the land-use type specific areas, AR_{lu} and AE_{lu} the area reduction resp. area expansion of the two land-use types. Data sources and methodology on land-use states and changes are described in Sect. 2.3.1.

2.1.3 Total SOC stocks and stock changes

SOC converge towards the calculated steady-state stock SOC^{eq} for each grid cell *i*, each annual time step *t*, each land-use
125 type *lu* and each sub-pool *sub* like

$$SOC_{i,t,sub,lu} = SOC_{i,t-1,sub,lu} + (SOC_{i,t,sub,lu}^{eq} - SOC_{i,t-1,sub,lu}) \cdot k_{i,t,sub,lu} \cdot 1a. \quad (4)$$

Note that the decay rates have to be multiplied by one year (1a) to form a dimensionless factor. Reformulating this equation, we obtain a mass balance equation as follows

$$SOC_{i,t,sub,lu} = SOC_{i,t-1,sub,lu} - \underbrace{SOC_{i,t-1,sub,lu} \cdot k_{i,t,sub,lu} \cdot 1a}_{\text{outflow}} + \overbrace{SOC_{i,t,sub,lu}^{eq} \cdot k_{i,t,sub,lu} \cdot 1a}^{\text{input (using equation (1))}}. \quad (5)$$

130 The global SOC stock for each time step t can then be calculated via

$$SOC_t = \underbrace{\sum_i \sum_{lu} \overbrace{\sum_{sub} SOC_{i,t,sub,lu}}^{SOC_{i,t,lu} - \text{land-use type specific SOC stock within cell}}}_{SOC_{i,t} - \text{total SOC stock within cell}}. \quad (6)$$

According to the IPCC guidelines SOC changes can be expressed as the difference of two consecutive years (see Eq. 5.0A in (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)). This, however, will also include naturally occurring changes due to climatic variation over
 135 time. For our study, we defined the absolute and relative SOC changes in relation to a potential natural state SOC^{pnv} under the same climatic conditions in grid cell i at time t , that is based on the natural vegetation SOC calculations as defined above without accounting for land conversion from cropland at any time. The absolute changes ΔSOC and relative changes F^{SCF} are thus given by

$$\Delta SOC_{i,t} = SOC_{i,t} - SOC_{i,t}^{pnv} \quad \text{and} \quad F_{i,t}^{SCF} = \frac{SOC_{i,t}}{SOC_{i,t}^{pnv}}. \quad (7)$$

140 Note that the absolute changes ΔSOC can be also interpreted as the SOC debt ((Sanderman et al., 2017)) due to human cropping activities; whereas relative changes F^{SCF} can be considered stock change factors as defined within the IPCC guidelines of 2006 (Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006). Note that the SOC debt is equivalent to the negated cumulative SOC emission ((Pugh et al., 2015)) of human land use.

2.1.4 Initialization of SOC pools

145 To initialize all SOC sub-pools we assume that cropland and natural vegetation are in a land-use type specific steady state for the initialization year 1901. While land-use, climate and litter input information are available till 1901, agricultural management data on residue and manure inputs are hold constant at the level of 1965. After a spin-up period of 64 years with constant agricultural input data, we run the model for additional 10 years with historic input data and start analyzing results from 1975. Note that irrigation areas are part of the land-use data set and therefore dynamic from 1901 on, whereas data on no-tillage area
 150 is only available after 1974.

2.2 SOC stocks and stock changes following Tier 1

Additionally to the steady-state method (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019) and the detailed analysis of management data coming with it, SOC changes can be estimated using the IPCC Tier 1 approach of IPCC guidelines ((Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006), (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)). Here, stocks are calculated via stock change factors (F^{SCF}) given by the IPCC for the topsoil (0-30 cm) and based on observational data. Estimates of F^{SCF} are differentiated by different crops, management and input systems (here summarized under m) reflecting different dynamics under changed in- and outflows without explicitly tracking these. Moreover, estimates of F^{SCF} vary for different climatic zones (c) specified by the IPCC (see Fig. 6.1). The actual SOC stocks are thus calculated based on a given reference stock SOC^{ref} by

$$SOC_{i,t} = \sum_{c,m} T_{c,i} \cdot SOC_{i,t}^{\text{ref}} \cdot F_{c,m}^{\text{SCF}} \quad (8)$$

with $T_{c,i}$ being the translation matrix for grid cells i into corresponding climate zones c . For this analysis, we use the default F^{SCF} from the Tier 1 method of (Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006) and (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019) as a comparison and consistency check for our more detailed Tier 2 steady-state approach.

2.3 Agricultural management data at 0.5 degree resolution

We compile country-specific FAO production and cropland statistics (FAOSTAT, 2016) to a harmonized and consistent data set. The data is prepared in 5-year time steps from 1965 to 2010, which, together with the spin-up phase, restricts our analysis to the time span from 1975 to 2010. For all the following data, if not declared differently, we interpolate values linearly between the time steps and keep them constant before 1965.

2.3.1 Land use and land-use change

Land-use patterns are based on the Land-Use Harmonization 2 (Hurt et al., 2020) data set, which we sum up from quarter-degree to half-degree resolution. We disaggregate the physical area of the five different cropland subcategories (c3ann, c3per, c4ann, c4per, c3nfx) of LUH2 into our 17 crop groups, applying the relative shares for each grid cell based on the country- and year-specific area harvested shares of FAOSTAT data (FAOSTAT, 2016) (see FAO2LUH2MAG_croptypes.csv in (?)). By calculating country-specific multicropping factors using FAOSTAT data, we are able to compute crop-group specific area harvested on grid cell level. Land-use transitions are calculated as net area differences of the land-use data at half-degree resolution, considering no split up into crop-group specific areas but only total cropland and natural vegetation areas.

2.3.2 Crop and crop residues production

180 Crop production patterns are compiled crop group specific using half-degree yield data from LPJmL (Schaphoff et al., 2018b) as well as half-degree cropland patterns (see Sect. 2.3.1). We calibrate cellular yields with a country-level calibration factor for each crop group to meet historical FAOSTAT production (FAOSTAT, 2016). Note that by using physical cropland areas we account for multiple crop harvest events as well as for fallow land.

Crop residue production and management is based on a revised methodology of (?) and key aspects are explained here given
185 its central role for soil carbon modeling. Starting from harvested crop production (CP) estimates and their respective harvested crop area (CA), we estimate above-ground (AGR) and below-ground (BGR) residual biomass using crop group (cg) specific harvest index values (HI) and root:shoot ratios (RS) as follows

$$\begin{aligned} AGR_{i,t,cg} &= CP_{i,t,cg} \cdot HI_{cg}^{\text{prod}} + CA_{i,t,cg} \cdot HI_{cg}^{\text{area}} & \text{and} \\ BGR_{i,t,cg} &= (CP_{i,t,cg} + AGR_{i,t,cg}) \cdot RS_{cg} & \forall \quad cg, i, t. \end{aligned} \quad (9)$$

Following the IPCC guidelines, we split the harvest index into a production (HI^{prod}) and an area dependent (HI^{area})
190 fraction (Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006). Note that deviating from (?) we use harvested instead of physical crop area to account for increased residue biomass due to multiple cropping and decreased residue amounts due to fallow land. We assume that all BGR are left in the soil, whereas AGR can be burned or harvested for other purposes such as feeding animals (Weindl et al., 2017), fuel or for material use.

A fixed share of the AGR is assumed to be burned on field depending on the per-capita income of the country. Following
195 (Smil, 1999)) we assume a burn share of 25% for low-income countries according to World Bank definitions ($< 1000 \frac{USD}{yr \text{ cap}}$), 15% for high-income ($> 10000 \frac{USD}{yr \text{ cap}}$) and linearly interpolate shares for all middle-income countries depending on their per-capita income. Depending on the crop group, 80–90% of the carbon in the crop residues burned in the fields is lost within the combustion process (Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006).

From our 17 crop groups, we compile four residue groups (straw, high- and low-lignin residues, residues without dual use),
200 of which the first three are taken away from the field for other purposes (see mappingCrop2Residue.csv in (?)). Residue feed demand for five different livestock groups is based on country-specific feed baskets (see (Weindl et al., 2017)), that differentiate between the residue groups and take available AGR biomass as well as livestock productivity into account. We estimate a material-use share for the straw-residue group of 5% and a fuel-share of 10% for all used residue groups in low-income countries. For high-income countries, no withdrawal for material or fuel use is assumed, and use shares of middle-income
205 countries are linearly interpolated based on per-capita income, following the same rationale as for the share of burnt residues described above. The remaining AGR as well as all BGR are expected to be left on the field. We limit high returning rates at 10tC ha^{-1} to correct for outliers.

To transform dry matter estimates into carbon and nitrogen, we compiled crop-group and plant-part specific carbon resp. nitrogen to dry matter (c/dm, n/dm) ratios (see 6.2).

Manure especially from ruminants is often excreted at pastures and rangelands, but due to the intensification of livestock systems at the present day a lot of the manure has to be stored and can be applied on croplands. We assume that manure application happens at its excretion place, so that the livestock distribution is the driving factor of the spatial pattern of manuring.

To disaggregate country level FAOSTAT livestock production data to half-degree resolution, we use the following rule-based assumptions, drawing from the approach of (Robinson et al., 2014) and applying feed basket assumptions based on a revised methodology from (Weindl et al., 2017). We differentiate between ruminant and monogastric systems, as well as extensive and intensive systems. Due to great feed demand of ruminants, we assume that ruminant livestock is located where the production of feed occurs to minimize transport of feed. We distinguish between grazed pasture, which is converted into livestock products in extensive systems, and primary-crop feed stuff, which we consider to be consumed in intensive systems. For poultry, egg and monogastric meat production we use the per-capita income of the country to distinguish between intensive and extensive production systems. For low-income countries, we assume only extensive production systems. We locate them according to the share of built-up areas based on the assumption that these animals are held in subsistence or small-holder farming systems with a high labor-per-animal ratio. Intensive production associated with high-income countries, is distributed within a country using the share of primary-crop production, assuming that feed availability is the most determining factor for livestock location. For middle-income countries we split the livestock production into extensive and intensive systems based on the per-capita income.

Manure production and management is based on a revised methodology of (?) and is presented here due to its central role in soil carbon modeling. Based on the gridded livestock distribution we calculate spatially explicit excretion by estimating the nitrogen balance of the livestock systems on the basis of comprehensive livestock feed baskets (Weindl et al., 2017), assuming that all nitrogen in protein feed intake, minus the nitrogen in the slaughter mass, is excreted. Carbon in excreted manure is estimated by applying fixed c/n ratios (given by (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)). Depending on the feed system we assume manure to be handled in four different ways: All manure originated from pasture feed intake is excreted directly on pastures and rangelands (pasture grazing), deducting manure collected as fuel. Whereas for low-income countries, we adopt a share of 25% of crop residues in feed intake directly consumed and excreted on crop fields (stubble grazing), we do not consider any stubble grazing in high-income countries; middle-income countries see linearly interpolated shares depending on their per-capita income. For all other feed items, we assume the manure to be stored in animal waste management systems associated with livestock housing. To estimate the carbon actually returned to the soil, we account for carbon losses during storage, where return shares depend on different animal waste management and grazing systems. Whereas we assume no losses for pasture and stubble grazing, we consider that the manure collected as fuel is not returned to the fields. For manure stored in different animal waste management systems we compiled carbon loss rates depending on the different systems and the associated nitrogen loss rates as specified in (?) (see Sect. 6.3 for more details). We limit high application shares at 10tC ha^{-1} to correct for outliers.

2.3.4 Irrigation

The LUH2v2 (Hurt et al., 2020) data set provides irrigated fractions for their cropland subcategories. We sum up irrigation area shares for all crop groups within a grid cell, and calculate the water effect coefficient *wat* on decay rates using these shares to compute the weighted mean between rainfed and irrigated *wat* factors. As a result *wat* is the same for all crop groups within a grid cell. Furthermore, we suppose the irrigation effect to be present for all 12 months of a year in a (partly) irrigated grid cells, since we do not have crop group specific growing periods at hand. In addition, we expect, either water limitations to be no problem during the off-season (causing our assumption to be a good approximation), or irrigated growing periods to span over the whole year in water-restricted areas.

2.3.5 Tillage

In order to derive a spatial distribution of the three different tillage types specified by the IPCC – full tillage, reduced tillage and no tillage –, we assume that all natural land and pastures are not tilled, whereas annual crops are under full and perennials under reduced tillage per default. Furthermore, we assume no tillage in cropland cells specified as no tillage cell based on the historic global gridded tillage data set from (Porwollik et al., 2018). This data set is extended to the period of 1975–2010 by combining country-level data on areas under conservation agriculture from (FAO, 2016) and half-degree resolution physical crop areas from (Hurt et al., 2020), applying the methodology of (Porwollik et al., 2018) to identify potential no-tillage grid cells.

2.4 Scenario definitions

To highlight the impact of changing management effects and to assess the sensitivity of the model towards different initialization and parameter choices, we perform a set of scenario runs. In the following sections we outline name and idea of these scenarios (for technical implementation see (?)).

2.4.1 Management scenarios

To single out the impact of tillage practices, residue and manure inputs, we defined scenario with constant values for the three drivers: (1) In the *constTillage* scenario the adoption of no-tillage practices are neglected (adoption starts in 1974 according to the available data set); (2) the *constResidues* and the *constManure* scenario assume constant input rates from residues resp. manure (in $\frac{t}{ha}$) at the level of 1975 onwards. Within the *constResidue* scenario at least three effects overlay each other: (1) yields and with them residue biomass increase due to productivity gains, (2) rates of residue left or returned to fields are raising, and (3) shifts of crop groups change the amount of residue biomass due to crop-group specific harvest index values. The *constManagement* combines all three scenarios.

As outlined in Sect. 2 (sec:initsoc) we assume landuse-type specific steady-state SOC stocks for the start year 1901 followed by a long spin-up period of 74 years (*Initial-lu*). As some SOC compartments decay over very long timescales, the initialisation setting might strongly effect the overall outcome of SOC stocks and changes. Thus we conduct counterfactual scenario *Initial-natveg*, initializing the start year with the steady-state SOC under potential natural vegetation for all land-use types. We additionally combined the *Initial-natveg* scenario with the (*constManagement* scenario.

2.4.3 Parameterization of natural litter fall

Due to missing information about the litterfall composition, we assume woody potential natural vegetation for the lignin-to-carbon (short lg/c) and nitrogen-to-carbon (short n/c) ratios for all land under natural vegetation. This is however a strong simplification. Therefore, we test the sensitivity of the model for other natural vegetation parameterizations. Perennial grasses (scenario name *LitterPNV-PerennialGrasses*) are given by the IPCC guidelines ((Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)), but for woody biomass we used the CENTURY configuration file ((?)) for trees as parameterization were not given. Whereas lg/c ratios ranges between 0.09 – 0.35 with an average of 0.24 (default value) for different tree compartments and different tree types, n/c ratios range between 0.001 – 0.05 with an average of 0.015 (default value). We decided to average over all tree compartments and tree types equally for the default values, since we have no data available on tree type distribution and tree compartment composition. We test however a range of different plant types as specified in Table 3 (tab:scenlitterpnv).

Table 3. Scenarios for sensitivity analysis on litter parameterization

Scenario name	plant type	n/c	lg/c
<i>LitterPNV-Mean</i>	mix over all tree types	0.015	0.25
<i>LitterPNV-Grass</i>	perennial grasses	0.029	0.11
<i>LitterPNV-AASS</i>	Arctic alpine subshrub	0.022	0.22
<i>LitterPNV-BLEAF</i>	Temperate broadleaf evergreen	0.013	0.27
<i>LitterPNV-BRCF</i>	Boreal coniferous forest	0.013	0.17
<i>LitterPNV-MTCF</i>	Maritime coniferous forest	0.0094	0.22
<i>LitterPNV-TREF</i>	Tropical evergreen forest	0.016	0.30

3 Results

3.1 SOC distribution and depletion

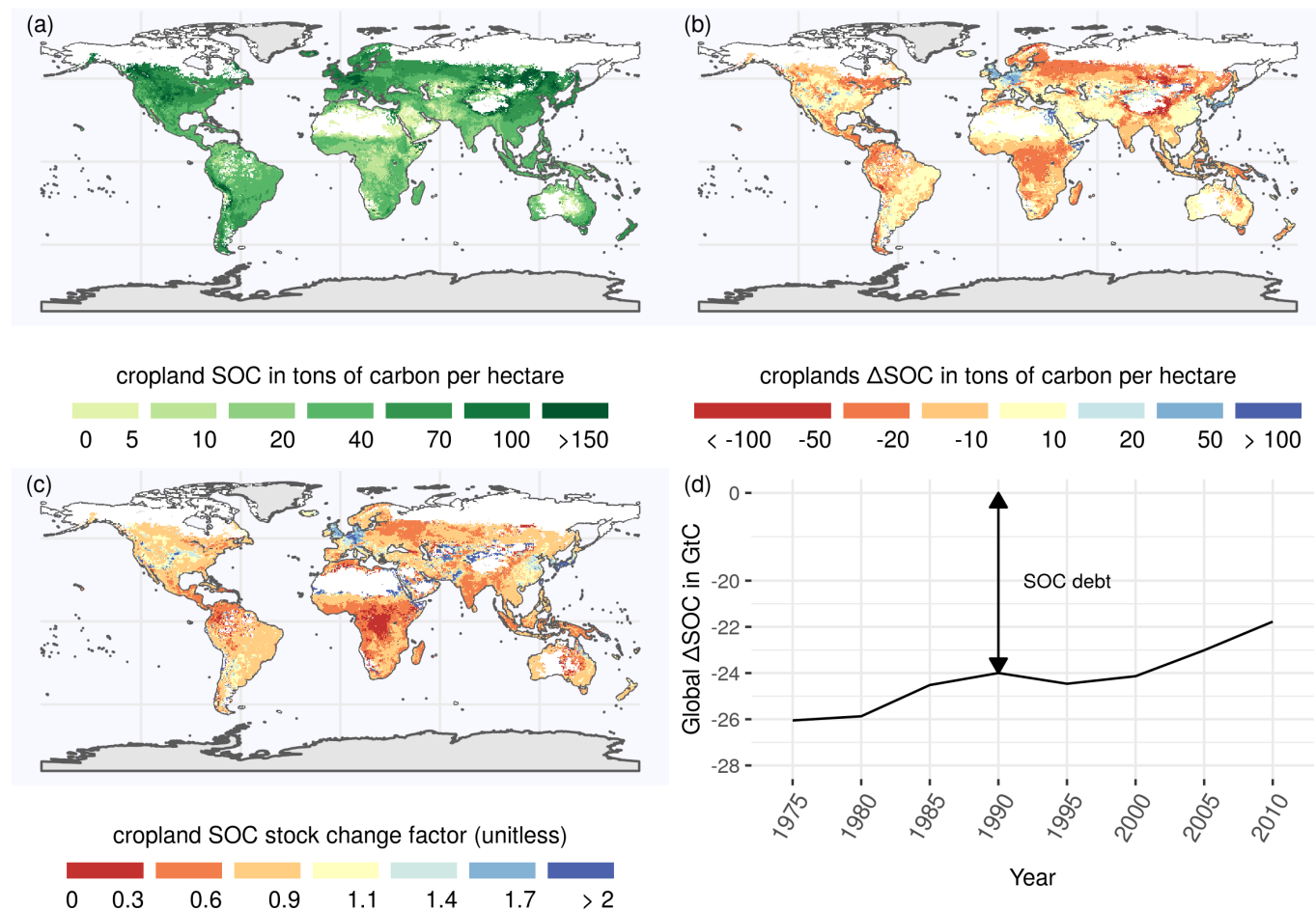


Figure 1. (a): Distribution of total global SOC stocks for the first 30 cm on cropland shows high carbon stocks in high yielding areas. (b)+(c): Absolute (b) and relative (c) SOC stocks changes compared to a potential natural state showing different hot spots of SOC dynamics. Whereas absolute losses ΔSOC are often highest in temperate dry regions, relative losses F^{SCF} are often larger in tropical moist areas. (d): The SOC debt between SOC under historic land use and potential natural vegetation is decreasing over time, meaning net SOC gains on global croplands over the last decades.

In Fig. 1(a) we provide the first world map of SOC stocks for the first 30 cm on croplands considering historic management data at the global scale for the year 2010. Values ranging between well over 100tha^{-1} in northern temperate croplands, to less than 5tha^{-1} for arid and semiarid croplands. Our spatially explicit results show hot spots of SOC losses and gains compared to SOC under potential natural vegetation in two different ways: 1. Absolute SOC changes ΔSOC (see Fig. 1(b)) indicate areas

with high importance for the global SOC losses. The might be driven by large relative changes (e.g. in Central Africa) or a high natural stock, from which even small relative deviations could lead to substantial absolute losses (e.g. North-east Asia).
 295 In contrast, large net gains of SOC happen primarily in developed countries of the North according to our results. 2. Relative SOC changes measured as stock changes factors F^{SCF} (see Fig. 1(c)) are a helpful tool, to measure the impact of human cropping activities. They indicate areas with large difference in carbon inflows or SOC decay compared to natural vegetation, that may hold potential to be overcome due to improved agricultural practices. Large parts of tropical croplands seem to suffer from low stock changes factors, meaning high relative SOC losses and maybe indicating SOC degradation. Conversely, not
 300 only temperate croplands of Central Europe, Japan and western areas of the USA have improved, but presumably irrigated croplands at the border to dry, unsuitable areas worldwide as well.

Focusing of the temporal dimension of SOC stock changes (see Fig. 1(c)), global SOC debt has decreased around 15% over the last couple of decades to 22GtC. This leads to a sequestration rate of 0.11GtCyr^{-1} and considering our estimate of the global SOC stock of 675GtC in 2010 to 0.2 per mille per year.

305 3.2 Agricultural management effects on SOC debt

Figure 2(a) reveals the relative impact of management effects by keeping carbon inflows from crop residue returning or manure application constant at the level of 1975 and neglecting the adoption of no-tillage practices. Without changes in management regimes, especially in C inflows from crop residues to the soil, global SOC debt on cropland would be increase at a rate of 0.1GtCyr^{-1} . The constResidue scenario dominates the effect and is only single-off scenario that still losses SOC compared
 310 to natural vegetation at a rate of 0.06GtCyr^{-1} . Whereas both the constManure and constTillage scenarios, show only small deviations from the historical values (sequestration rate of 0.09GtCyr^{-1} for both), the effect of no-tillage gets stronger till 2010. The strong impact of almost doubling C inputs from crop residue biomass over a period of 35 years is visible in Fig. 2(b) as well.

The results of the sensitivity analysis concerning the initialization of SOC stocks at the beginning of the spin-up phase
 315 are shown in Fig. ???. The Initial-natveg scenario initializes the start year with the steady-state SOC under potential natural vegetation for all land-use types, compared to the default assumption (Initial-lu), which assumes landuse-type specific steady-state SOC stocks in 1901. The ΔSOC estimation almost halves (from $\sim 26\text{ GtC}$ to $\sim 14\text{ GtC}$) as well as the decrease in of ΔSOC for over the last 35 years (from $\sim 4\text{ GtC}$ to $\sim 2.5\text{ GtC}$) for the Initial-natveg scenario.

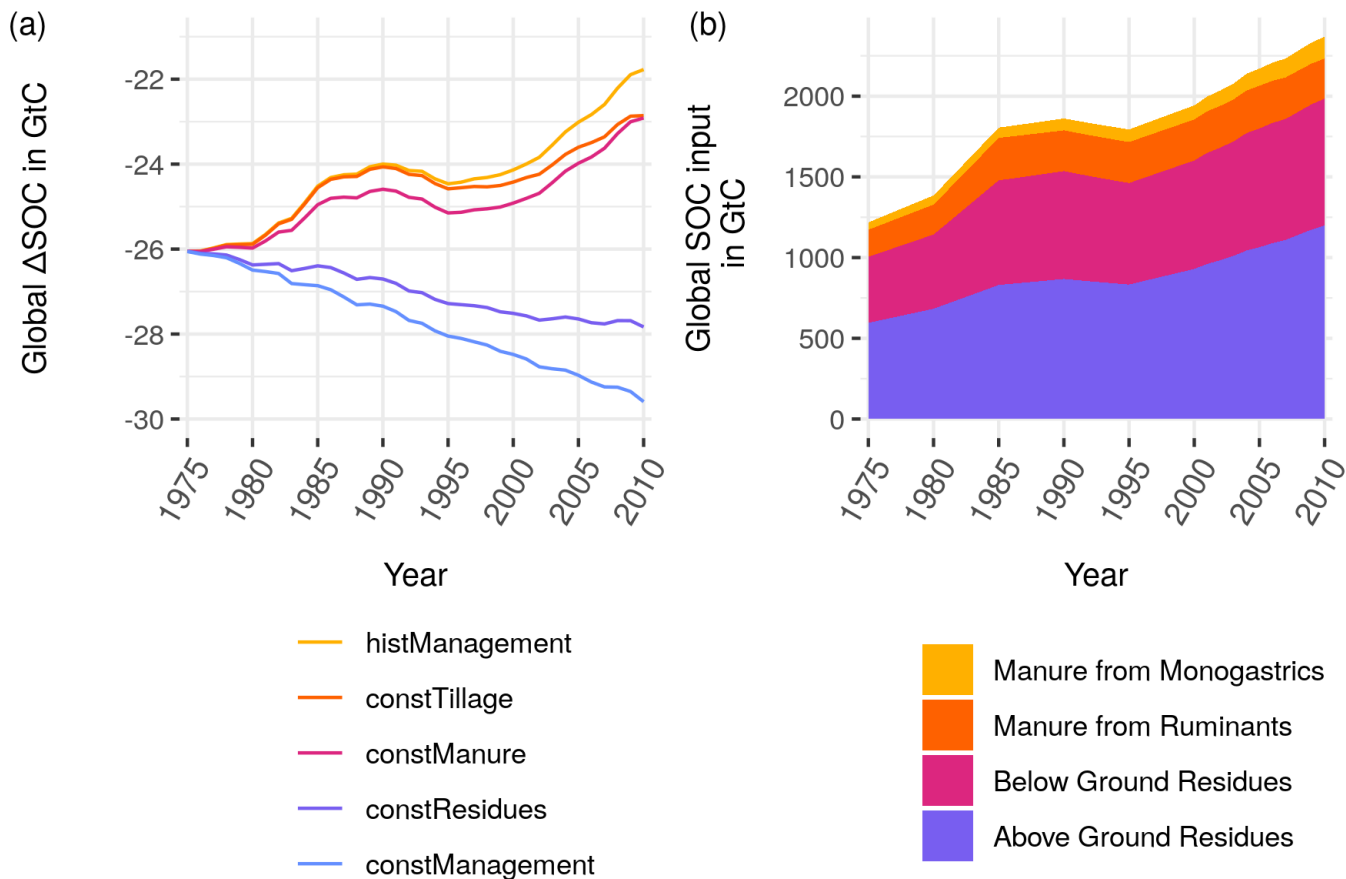


Figure 2. (a) Global ΔSOC in GtC for different scenarios deviating from historical agricultural management by holding effects of carbon inflows from residues (constResidues), manure (constManure) constant or neglecting adoption of no-tillage practices (constTillage). Const-Management combines all three modifications. Note that ΔSOC is defined as the difference of SOC under land-use compared to a natural vegetation state. Figure (b) shows the carbon inflows from crop residue and manure, underlining the strong impact of residues for SOC stock and SOC stock changes.

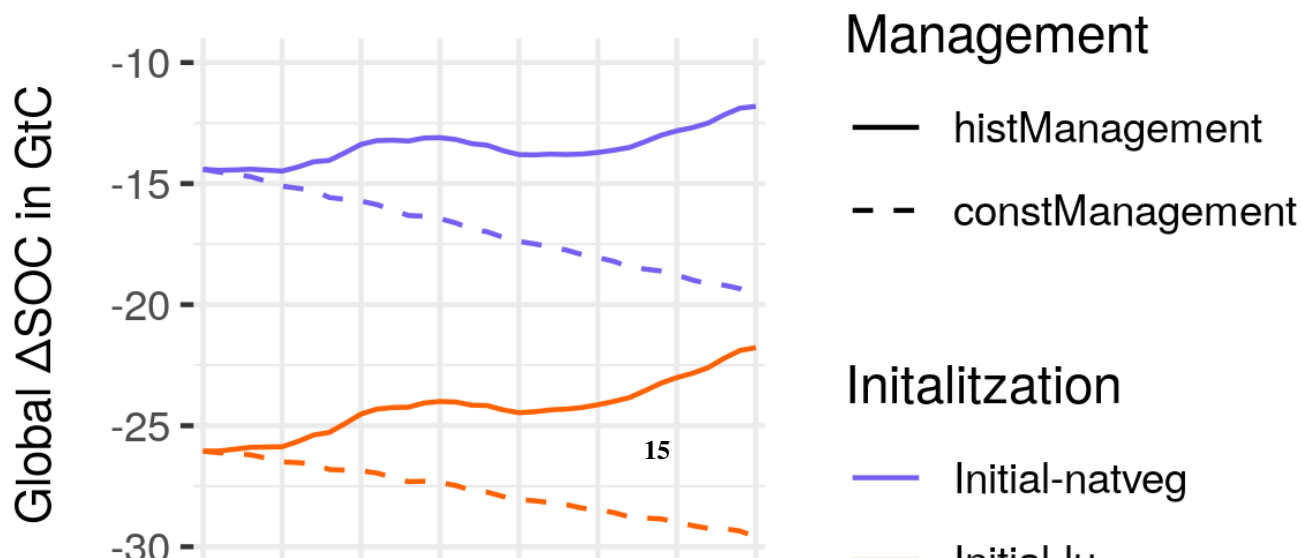


Figure ??

320 3.3 Carbon flows in the agricultural system

Figure 4 illustrates the carbon flows within the agricultural production system on cropland for the year 2010.

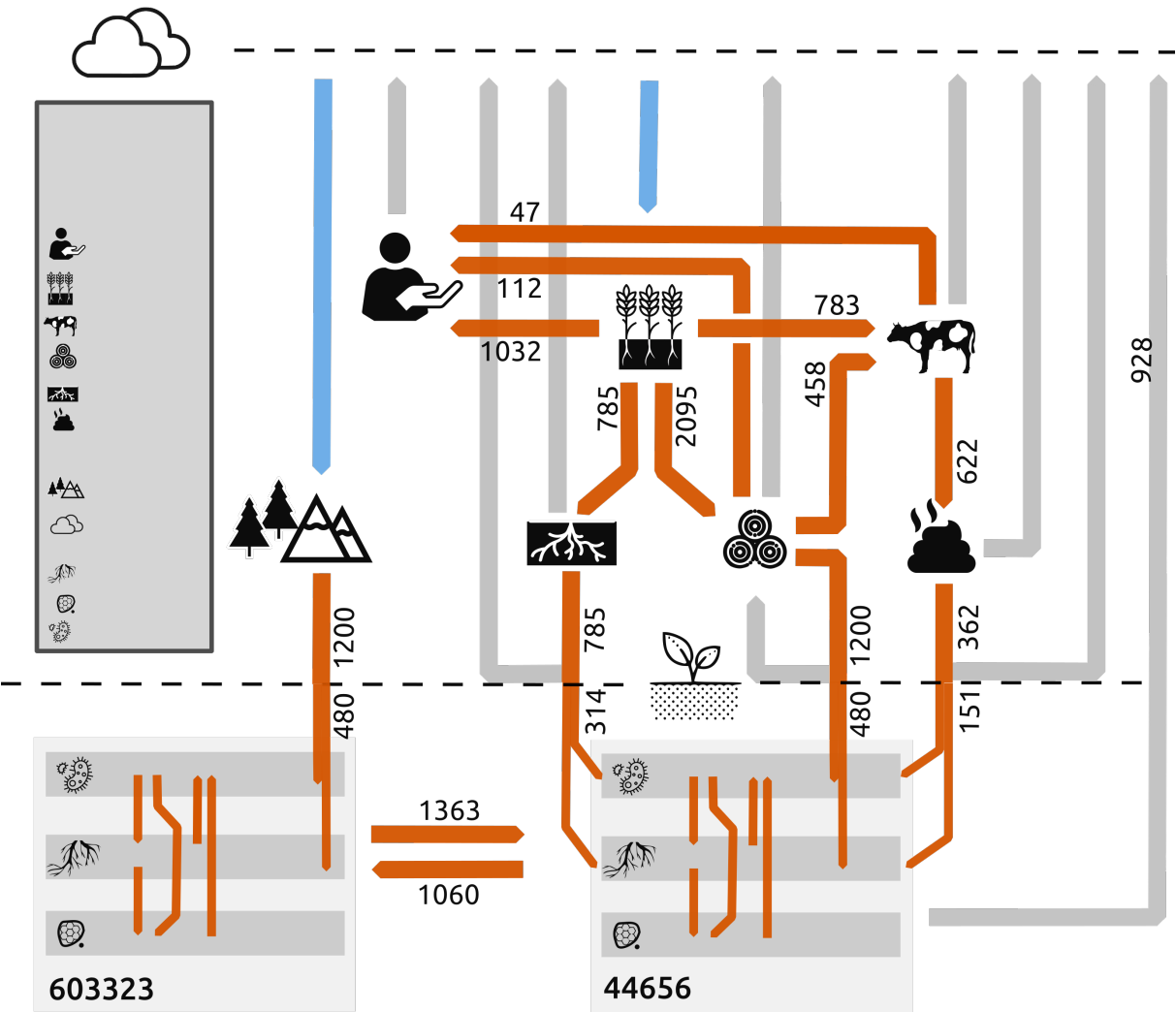


Figure 4. Global carbon flows (at arrows) and SOC stocks (in boxes) within the agricultural system for the year 2010 (in MtC): Most important carbon sources on cropland are crop residues.

4 Discussion

4.1 Agricultural management matters

This study shows that spatially explicit and time-variant historical agricultural management data changes estimations of states and trends of global SOC and SOC debt considerably compared to constant management assumptions. This result remains robust to variations of central model parameters and initialization stocks. While land cover change has depleted SOC stocks and increased the SOC debt, our analysis points out that the high increases in agricultural productivity may have even led to net reduction of the SOC debt.

The evaluation of SOC stock gains and losses, however, is complex and has several dimensions as climatic and anthropogenic effects overlap. If defining the SOC debt of 1975 the baseline and measuring land-use emissions on croplands as the difference between a potential natural state and the state under human interventions (see (Pugh et al., 2015)), global croplands have acted as a carbon sink according to our study. Annual C sequestration rates of 0.2 per mille are well below the promoted 4 per mille ((Minasny et al., 2017)), indicating that productivity gains on historic levels alone are not enough to meet ambitious climate targets.

4.2 SOC debt and SOC drivers in literature

According to (Sanderman et al., 2017) SOC debt since the beginning of human cropping activities have been at around 37 GtC for the first 30 cm of the soil with half of it attributed to grazing. Our estimate of 22 GtC in 2010 for cropland debt is higher as (Sanderman et al., 2017) estimations. However, there are large uncertainties in modeling SOC at the global scale, and the (Sanderman et al., 2017) pointed out that their results might be conservatively low compared to experimental results, suggesting that our results are in an acceptable range.

Furthermore, (Sanderman et al., 2017) modeled historical trends based on agricultural land expansion without considering SOC variations due to time-variant agricultural management. (Pugh et al., 2015) considered management effects like tillage and residue returning in a static way, but neither changes over time nor alignment to observed historical data like yields-levels or no-tillage areas were taken into account. The study moreover concludes that crop productivity gains (increasing yield levels by 18%) do not lead to a substantial decline in SOC debt (less than 1% change).

Our study for the first time uses a dynamic management dataset as driver for SOC dynamics. We show that the moderate global cropland expansion of around 11% between 1974 and 2010 and the resulting depletion of SOC stocks in converted cropland has been outweighed by improvements in agricultural productivity and practices. This is in contrast to (Pugh et al., 2015) findings of only small effects due to improved practices.

Moreover, our sensitivity analysis indicates that (1) higher returned residue biomass driver by yield increases play the most important role, followed by (2) greater manure applications due to an increasing livestock sector as well as improved animal waste management systems, and (3) the adoption of no tillage practices. Unfortunately, disentangling drivers of higher residue and manure inputs remains unsolved within this study.

4.3 Limitations and uncertainties

355 Modeling management effects at the global scale, however, comes with parametric and structural uncertainties. High SOC gains in the first 30 cm of the soil compared to natural vegetation in e.g. the temperate croplands of Central Europe and UK, indicate suspicious high estimations of SOC inputs. As pointed out by (Keel et al., 2017) and (Smith et al., 2020), carbon input calculations are highly sensitive to the choice of allometric functions determining below and above ground residue estimates from harvested quantities. (Keel et al., 2017) question whether below ground residues might increase with a fixed
360 root:shoot ratio rather than being independent of productivity gains. Moreover, the study pointed out that plant breeding shifts allometries, which might not be reflected in outdated data sources. Following this line of argument, SOC results calculated in this study may overestimate actual SOC stocks due to an overestimation in residue biomass, for which the ratio compared to the harvested organ is normally reduced due to breeding. However, with an evaluation of management effects (see Sect. 4.4), there is no indication for a systematic overrating of residue biomass. Rather, it is also likely that we are still missing carbon
365 inputs e.g. from cover- and inter-cropping to the soil.

Another aspect concerns the initialization of SOC stocks in 1901, which is assumed to be in steady state considering the land-use pattern of 1901 and agricultural management data of 1965. As shown in Fig. 3 the SOC debt estimation halves (from ~26 GtC to ~14 GtC) as well as the decrease in SOC debt (from ~4 GtC to ~2.5 GtC), if considering initialization SOC stocks under undisturbed natural vegetation. (Pugh et al., 2015) pointed out the importance of accounting for the land-use history,
370 as many CO₂ emissions from agricultural soils are caused by historic land-use change (LUC) and the slow decline of SOC under cropland before it reaches a new equilibrium. Our results show that these legacy fluxes are compensated by agricultural management changes even under the strong assumption of the Initial-natveg scenario. However, it remains open, if our simple, depth-restricted SOC model itself can represent these fluxes correctly.

Generally, the limit to the first 30 cm of the soil profile follows the IPCC guidelines ((Eggleston H.S., Buendia L., Miwa
375 K., Ngara T. and Tanabe K. (eds), 2006), (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)) and assumes, that most of the SOC dynamic happens in the topsoil. In this regard several aspects are over simplified within our approach. Firstly, distribution of carbon inputs into different soil layers are neglected and all carbon inputs are allocated to the topsoil. This particularly overestimates SOC stocks in the first 30 cm of soil below deeper rooting vegetation, which is certainly the case for most of the woody natural vegetated
380 areas. Secondly changes to the subsoil due to tillage are neglected, which might be important to noticed, hence studies ((?)) have shown, the subsoil to be a game changer in evaluating total SOC losses or gains for no-tillage systems. Thirdly, emissions from croplands on organic soils (like peat- and wetlands) are likely underestimate substantially.

This study excludes not only peatland degradation, it also does not account for carbon displacement via leaching and erosion. However, as pointed out by (Doetterl et al., 2016), the final fate of leached or eroded carbon is uncertain and might even offset
385 LUC emissions ((Wang et al., 2017)). Whereas for soil quality analysis SOC displacement might play an important role, in this budget approach focusing especially on the SOC debt, displaced but not emitted SOC can be treated as SOC stayed on croplands. Moreover, the exclusive focus on croplands ignores LUC emission on other land-use types such as pastures,

rangelands and forestry. Human interventions have led to large changes in SOC stocks there as well ((Sanderman et al., 2017),(Friedlingstein et al., 2019)). This study does not try to be an comprehensive LUC emission analysis and acknowledges
 390 that land-use changes comes with large overall emissions.

4.4 Modeled management effect in line with default IPCC assumptions

To validate our modeled SOC stocks and stock changes under management, we compare our results to default IPCC stock changes factors ((Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006), (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019))
 395 which are based on measurement data for croplands (see Table ??). To allow for comparison, we aggregate our stock change factors to the four IPCC climate zones (Fig. 6.1).

Source	Input	Year	tropical moist	tropical dry	temperate dry	temperate moist
IPCC2006	low	invariant	0.44	0.55--0.61	0.74	0.66
IPCC2006	medium	invariant	0.48	0.58--0.64	0.80	0.69
IPCC2006	high	invariant	0.53	0.60--0.67	0.83	0.77
IPCC2019	low	invariant	0.76	0.87	0.70--0.71	0.66--0.67
IPCC2019	medium	invariant	0.83	0.92	0.76--0.77	0.69--0.70
IPCC2019	high	invariant	0.92	0.96	0.79--0.80	0.77--0.78
This Study	hist	1990	0.54	0.64	0.87	0.75
This Study	hist	2010	0.61	0.75	0.99	0.8

\begin{figure}
 \caption{This table shows different estimates of stock change factors F_{SCF} , defined as the SOC stock of managed croplands relative to the counterfactual SOC stock under natural vegetation. We compare average values for four IPCC climate zone
 400 classifications. IPCC2006 and IPCC2019 default factors (medium input) as well as altered factors for low and high input of organic matter without any other subsystem consideration (see Table 5.5 in (Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006), (Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019) are compared to 1990 and 2010 results for historic input levels of this study.} \end{figure}

405 Whereas our estimates are too low in the two tropical climate zones, temperate zone default factors are overestimated for the refinement of the IPCC guidelines ((Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y., Shermanau, P. and Federici, S. (eds), 2019)). Admittedly, the tropical factor differ substantially between the IPCC guidelines in 2006 ((Eggleston H.S., Buendia L., Miwa K., Ngara T. and Tanabe K. (eds), 2006)) and their refinement in 2019 ((Calvo Buendia, E., Tanabe, K., Kranjc, A., Baasansuren, J., Fukuda, M., Ngarize S., Osako, A., Pyrozhenko, Y.,

410 Shermanau, P. and Federici, S. (eds), 2019)), leaving our tropical results in between. Taking the oversimplified assumption, that tropical soils might suffer from insufficient C input rates (low) due to yield gaps , whereas temperate soils in developed regions might be highly managed and fertilized (high), the difference vanish partially. Our results show still especially in temperate dry regions – the smallest region area-wise – small deviations from natural SOC stocks. Considering the impact of irrigation ad fertilization on carbon-poor dryland soils, even factors above 1 (see Fig. 1(c)) might be not surprising.

415 With regard to the time trend, our study shows the substantial impact of changing management factors on the development of F_{SCF} as also indicated by the time trend of the SOC debt.

4.5 SOC stocks in line with literature

The world’s SOC stock and its changes are highly uncertain, which is seen in the wide range of global SOC stock estimates for the first 30 cm of the soil profile ((Batjes, 2016), (Hengl et al., 2017), (FAO, 2018), (Schaphoff et al., 2018a)) in Table ??).

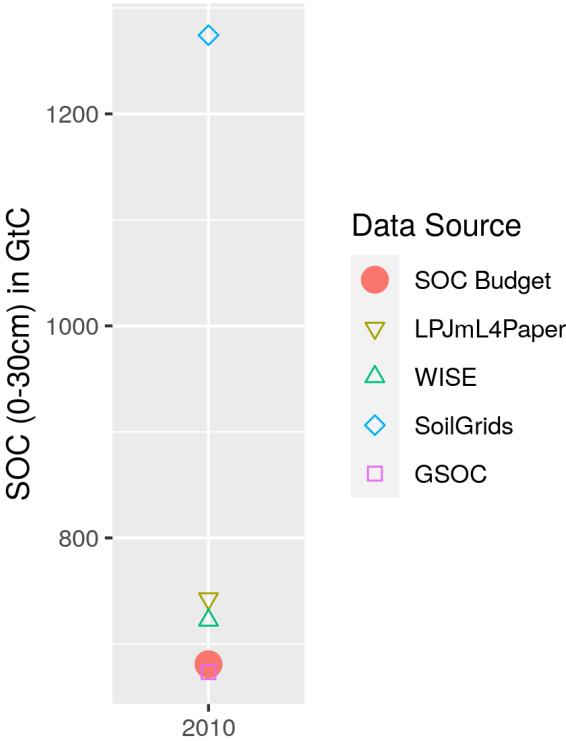


Figure 5. Modeled as well as data based estimation for global SOC stock in GtC for the first 30 cm of soil aggregated over all land area. Note that SoilGrids, GSOC and WISE do not consider land-use as well as changes over time and rely on soil profile data gather over a long period of time. This makes it hard to pinpoint a specific year for these SOC estimations. In this context they will be compared to modeled data from LPJmL4 for potential natural vegetation and this study for the year 2010.

420 The global estimates of SOC stock from this study are on the lower end compared to other modeled results or data driven estimates. Looking at regional results in Fig. 6.4, our estimates turn out to be in good agreement for most of the world, with the largest deviations for boreal regions. To avoid that this bias influences our results, our study focuses on SOC changes on cropland. Pristine natural vegetated areas without human land management cancel out in calculation of ΔSOC and F^{SCF} .

425 Additionally, our estimates for total SOC stocks of the world, as well as our SOC initialization, are dominated by the representation of natural vegetation, which are, however, only estimated in a basic manner. For example, we do not differentiated parametrization of nitrogen and lignin content of litterfall for woody and grass plant types. This leaves carbon inputs and decay behavior for natural litterfall rather uncertain. The absolute values of SOC stocks and debt from land-use change have to be used with caution. As our default litter parameterization accounts for woody plant types, larger uncertainty in natural land may arise especially in less forested areas.

430 We conducted a sensitivity analysis (Fig. 6.5 based on various plant parameterizations from the Century model (see Sect. @ref(sec:scen_litterpnv)). This shows that the general trend of decreasing SOC debt of ~4 GtC is not altered under various estimates for natural SOC stocks.

5 Conclusions

Outlook including perspective on mitigation (and soc enhancement in the future).

- 6.1 map on climate zone used for SCF
- 6.2 carbon 2 dry matter
- 6.3 closs in AWMS - Table
- 6.4 regional SOC stock in GtC from different sources

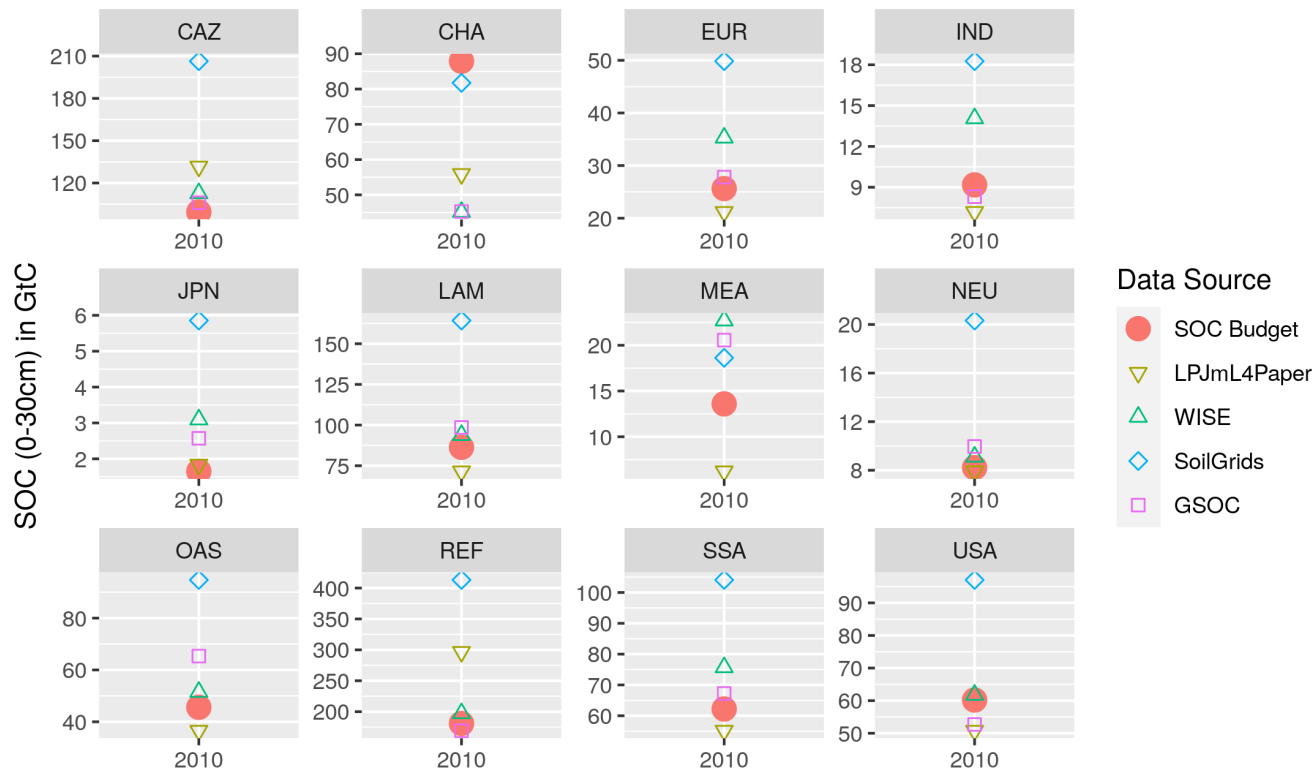


Figure 6. Modelled as well as data based estimation for global SOC stock in GtC for the first 30 cm of soil aggregated over all land area. Note that SoilGrids, GSOC and WISE do not consider changes over time and rely on soil profile data gather over a long period of time, which makes it hard to pinpoint a specific year to these SOC estimations. In this context they will be compared to modelled data (LPJmL4, this study) for the year 2010.

440 with Canada, Australia and New Zealand: CAZ; China: CHA; European Union: EUR; India: IND; Japan: JPN; Latin America: LAM; Middle East and north Africa: MEA; non-EU member states: NEU; other Asia: OAS; reforming countries: REF; Sub-Saharan Africa: SSA; United States: USA.

6.5 global SOC debt in GtC for various litter parameterizations

Code and data availability. We compile calculations as open-source R packages available at github.com/pik-piam/mrcommons (management related functions), github.com/pik-piam/mrsoil (soil dynamic related functions) and github.com/pik-piam/mrvalidation (validation data), which are both based on the MADRaT package (github.com/pik-piam/madratt), a framework which aims to improve reproducibility and transparency in data processing. Model results are accessible under ([zenode_link](#)). Software code for paper and result preparation can be found under www.github.com/k4rst3ns/historicalsocmanagement.

Author contributions. KK wrote code and paper build on work of BLB, IW. JPD revised and improved the model code. BB, CM, MK, IW, JH, SR, MD, SM, HLC, AP revised paper.

Competing interests. The authors declare no competing interests.

Disclaimer. We like Copernicus.

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