
Algorithm Design & Analysis

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Examples of Problems

- **Problem 1.1:** Sort a list S of n numbers in **nondecreasing order**. (sorting problem)
 - The solution is a sorted list of all elements in S .
 - The parameters are S and n .
 - $S=[10,7,11,5,13,8]$, $n=6$ is an instance. The solution is $[5, 7, 8, 10, 11, 13]$.
- **Problem 1.2:** Determine **whether** the number x is in the list S of n numbers. (search problem)
 - The solution is YES(TRUE) or NO(FALSE).
 - The parameters are x , S and n .
 - $x=5$, $S=[10,7,11,5,13,8]$, $n=6$ is an instance. The solution is TRUE.

Algorithm and Representation

- An **algorithm** for Problem 1.2 can be as follows:
 1. Starting with the first item in S.
 2. Compare x with each item in S in sequence.
 3. If x is found then the answer is YES.
 4. If S is exhausted w/o finding x, the answer is NO.
- English representation of an algorithm is verbose and not precise.
- We use C++-like **pseudocode** to describe an algorithm. (next slide)
- Do remember that algorithms are **language independent !!**

Sequential Search

```
void seqsearch (int n, const keytype S[],
               keytype x, index& location)
{
    location = 1;
    while (location <=n && S[location] != x)
        location ++;
    if (location > n)
        location =0;
}
```

x=5, S=[10,7,11,5,13,8], n=6

Binary Search

```
void binsearch(int n, const keytype S[], keytype x, index& location)
{
    index low, high, mid;

    low = 1; high = n; location = 0;
    while (low <= high && location == 0) {
        mid =  $\lfloor (low + high)/2 \rfloor$ ;
        if (x == S[mid])
            location = mid;
        else if (x < S[mid])
            high = mid - 1;
        else
            low = mid + 1;
    }
}
```

x=5, S=[10,7,11,5,13,8], n=6



x=5, S=[5, 7, 8, 10,11,13] n=6

Sequential vs Binary Search

- For a search problem with parameters x , S , n , the worst case occurs when $x \notin S$.
- Sequential Search: n operations
- Binary Search: $\lg n + 1$ ($\log_2 n + 1$) operations

Array Size	Number of Comparisons by Sequential Search	Number of Comparisons by Binary Search
128	128	8
1,024	1,024	11
1,048,576	1,048,576	21
4,294,967,296	4,294,967,296	33

Sequential vs Binary Search

- Even with a computer that can complete one pass through the while loop in a **nanosecond**, Sequential Search would take **4** seconds while Binary Search would be **instantaneous**.
- Different algorithms in solving the same problem may differ **significantly** on performance.
- 4 seconds execution time seems tolerable.
- Let's take the computation of Fibonacci sequence as another example.

Fibonacci Sequence

- Consider another problem of computing the **n**th term of the Fibonacci sequence:

$$f_0 = 0$$

$$f_1 = 1$$

$$f_n = f_{n-1} + f_{n-2} \quad \text{for } n \geq 2$$

- It is only nature to use a recursive solution.
- We also use an iterative version as comparison.

nth Fibonacci Term (Recursive)

- The definition of Fibonacci sequence naturally leads to a recursive algorithm.

```
int fib(int n)
{
    if (n <= 1)
        return n;
    else
        return fib(n - 1) + fib(n-2);
}
```

n	$f(n)$	Number of Terms computed
0	0	1
1	1	1
2	1	3
3	2	5
4	3	9
5	5	15
6	8	25

nth Fibonacci Term (Iterative)

- It is also common to have an **iterative** solution.

```
int fib2 (int n)
{
    index i;
    int f[0 .. n];
    f[0] = 0;
    if (n > 0) {
        f[1] = 1;
        for (i=2; i<=n; i++)
            f[i] = f[i-1] + f[i-2];
    }
    return f[n];
}
```

Fibonacci: Recursive vs Iterative

- In Fibonacci, the most important factor of performance is the **number of Fibonacci terms** we need to calculate.
- It is easy to see that to calculate the n th Fibonacci term:
 - **Recursive** algorithm calculates $2^{n/2}$ terms
 - **Iterative** algorithm calculates $n+1$ terms
- It is a good exercise to figure out why it is the case.
- But how significant is the difference between them?

Recursive vs Iterative Fibonacci

- This time it is indeed significantly different !!

n	$n + 1$	$2^{n/2}$	Execution Time		Lower Bound on Execution Time	
			Using	Iterative	Using	Recursive
40	41	1,048,576		41 ns*		1048 μ s†
60	61	1.1×10^9		61 ns		1 s
80	81	1.1×10^{12}		81 ns		18 min
100	101	1.1×10^{15}		101 ns		13 days
120	121	1.2×10^{18}		121 ns		36 years
160	161	1.2×10^{24}		161 ns		3.8×10^7 years
200	201	1.3×10^{30}		201 ns		4×10^{13} years

*1 ns = 10^{-9} second.

†1 μ s = 10^{-6} second.

Algorithms are NOT created equal

- From examples above, we can see that the **performance** of different algorithms for solving the same problem can **vary significantly**.
- It is essential to **choose** the **best** algorithm for the problem at hand.
- After designing an algorithm, we need to be able to **analyze** its performance, especially in terms of problem size.
- We also need a standard way to **compare** the **efficiency** of algorithms.

Proper Algorithm Analysis

- The computer run time of an algorithm(program) depends on CPUs, programming languages, systems, etc. which is hard to compare.
- We want a **measure** of **algorithm efficiency** **independent** of computers, languages, programmers, and tiny mingy details such as index increments, pointer setting, etc.
- The measure must also be **general** enough to be used to **compare** the relative efficiency between algorithms.

Complexity Analysis Approach






- In general, the run time increases with the **input size**.
- The total running time is proportional to how many time some **basic operation** is done.
- We **analyze** algorithm efficiency by determining the **number of time** some **basic operation** is done as a **function of the input size**.
- This is independent of the CPU, language, ... etc. and can be easily compared.

How to Analyze?

- **Determine** the most important operation(or group of operations) as the **Basic Operation**.
- **Count** the **number of times** the basic operation executes for each value of the **input size**.
- For an algorithm, if the basic operation is always done the **same number of times** for a given input size **n**, it is a function of n.
- Define **$T(n)$** to be such a function.
- $T(n)$ is called the **every-case time complexity** of the algorithm.

Every-Case Example: Array Sum

- **Problem:** Add all the numbers in the array S of n numbers.

```
number sum (int n, const number S[])
{
    index i;            0
    number result;  0
    result = 0;  1
    for (i = 1; i <= n; i++)
        result = result + S[i];  n
    return result;  1
}
```

Every-Case Analysis of sum

- **Basic operation:** addition of an item in S
- **Input size:** n , the number of items in S
- **Analysis:**
 - For an instance of size n , the for loop is executed n times. Therefore the basic operation is done n times.
 - We have $T(n) = n$.
- Note that this is true for any instance of the problem.
- This is the **every-case time complexity** of sum.

Every-Case Example: Exchange Sort

- **Problem:** Sort S of n keys in nondecreasing order

```
void exchangesort (int n, keytype S[])
{
    index i, j;
    for (i=1; i<= n; i++)
        for (j=i+1; j<= n; j++)
            if (S[j] < S[i])
                exchange S[i] and S[j];
}
```

Every-Case Analysis of exchangesort

- **Basic operation:** comparison of $S[i]$ and $S[j]$.
- **Input size:** n , the number of items in S .
- **Analysis:**
 - For $i=1$, the inner loop executes $n-1$ times. For $i=2$, the inner loop execute $n-2$ times.
 - Therefore the total number of times is:
$$T(n) = (n-1) + (n-2) + \dots + 1 = n(n-1)/2.$$
- This is also the **every-case time complexity** of exchangesort.

Complexity Analysis – Large n

- Every Case
 - $T(n)$: every-case time complexity
- *Worst Case
 - $W(n)$: worst-case time complexity
- Average Case
 - $A(n)$: average-case time complexity
- Best Case
 - $B(n)$: best-case time complexity

Worst-Case Analysis

- In **seqsearch** discussed earlier, the basic operation (what is it?) is not done the same number of times for all instances of size n .
- The algorithm does not have a every-case time complexity.
- We can still measure it by considering the **maximum number of times** the basic op is done.
- Define **$W(n)$** to be the maximum number of times the algorithm will ever do its basic operation for instances of size n .
- $W(n)$ is called the **worst-case time complexity**.
- If $T(n)$ exists, then $W(n)=T(n)$. (Why?)

Worst-Case Analysis of seqsearch

- **Basic operation:** comparison of x with an $S[i]$.
- **Input size:** n , the number of items in S .
- **Analysis:**
 - The basic operation is done at most n times when x is not in S .
 - Therefore the total number of times is:
 $W(n) = n$.
- This is the **worst-case time complexity** of seqsearch.

$x=8, S=[10,7,11,5,13,8], n=6$

Best-Case Complexity

- For a given algorithm, $B(n)$ is defined as the **minimum number of times** the basic operation is done.
- $B(n)$ is called the **best-case time complexity**.
- If $T(n)$ exists, $B(n)=T(n)$. (Why?)
- **Example:** the best-case time complexity of **seqsearch** is $B(n) = 1$. (Why?)
- $B(n)$ is not as useful as $W(n)$ and $A(n)$. (Why?)

Best-Case Complexity

- **Basic operation:** comparison of x with an $S[i]$.
- **Input size:** n , the number of items in S .
- **Analysis:**
 - The basic operation is done at most n times when x is not in S .
 - Therefore the total number of times is:
 $B(n) = 1$.
- This is the **Best-case time complexity** of seqsearch.

$x=10, S=[10,7,11,5,13,8], n=6$

Average-Case Complexity

- Worst-case analysis seems too conservative and cannot reflect the performance on the average.
- $A(n)$ is defined as the **average (expected value)** number of times the basic operation is done.
- $A(n)$ is called the **average-case time complexity** of the algorithm.
- If $T(n)$ exists, then $A(n)=T(n)$. (Why?)
- To compute $A(n)$, we need to assign **probabilities** to **all possible inputs** of size n .
- Average-case is usually harder to analyze.

Average-Case Analysis of seqsearch

- First assume that x is in S and items in S are all distinct.
- The probability of x in any slot of S is $1/n$.
- If $x == S[k]$, the basic operation is done k times.
- The average time complexity is:

$$A(n) = \sum_{k=1}^n \left(k \times \frac{1}{n} \right) = \frac{1}{n} \times \sum_{k=1}^n k = \frac{1}{n} \times \frac{n(n+1)}{2} = \frac{n+1}{2}$$

- Then we consider the case in which x may not be in S .

Average-Case Analysis of seqsearch

- We assume the probability p for $x \in S$. Then, the probability of x in any slot k is p/n .
- The probability of $x \notin S$ is $1-p$.
- If $x == S[k]$, the basic operation is done k times.
- If $x \notin S$, the basic operation is done n times.
- The average time complexity is:

$$\begin{aligned} A(n) &= \sum_{k=1}^n \left(k \times \frac{p}{n} \right) + n(1-p) \\ &= \frac{p}{n} \times \frac{n(n+1)}{2} + n(1-p) = n \left(1 - \frac{p}{2} \right) + \frac{p}{2} \end{aligned}$$

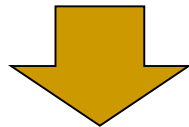
Memory Complexity

- Similar to time complexity, we can also analyze how efficient an algorithm is in terms of **memory**.
- This is known as **memory complexity**.
- Memory complexity can be analyzed in similar ways as time complexity analysis.
- We will focus more on time complexity analysis.
- For embedded systems, memory complexity is of equal importance as time complexity.

Order(量級): Motivation

For $i = 1$ to n do
 $a = (b + c) / d + e$;

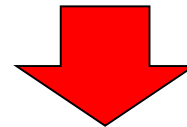
$T(n)?$



$T(n) = n$

For $i = 1$ to n do
 $x = (b + c)$
 $y = x / d$;
 $a = y + e$;

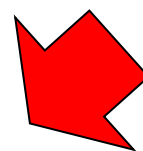
$T(n)?$



$T(n) = 3n$



n



$1 \text{ (constant)} < \log n < n < n \log n < n^2 < n^3 < 2^n < 3^n \leq n!$

Order: Motivation

- Once we have the complexity analysis of algorithms, we can compare.
- For example, algorithm A1 with $T1(n)=n$ (**linear-time**) is more efficient than algorithm A2 with $T2(n)=n^2$ (**quadratic-time**).
- How about $T1(n)=0.01n^2$ and $T2(n)=100n$?
- A1 will be more efficient if $0.01n^2 > 100n$ which can be simplified as $n > 10,000$.
- Any linear-time algorithm is **eventually** more efficient than any quadratic-time algorithm.
- **Order** help us characterize the **eventual behavior**.

Intuitive Introduction of Order

- Back to $0.01n^2$ and $100n$, the constants are much less important than the n^2 and n terms.
- When n gets larger, the quadratic term **eventually dominates**.

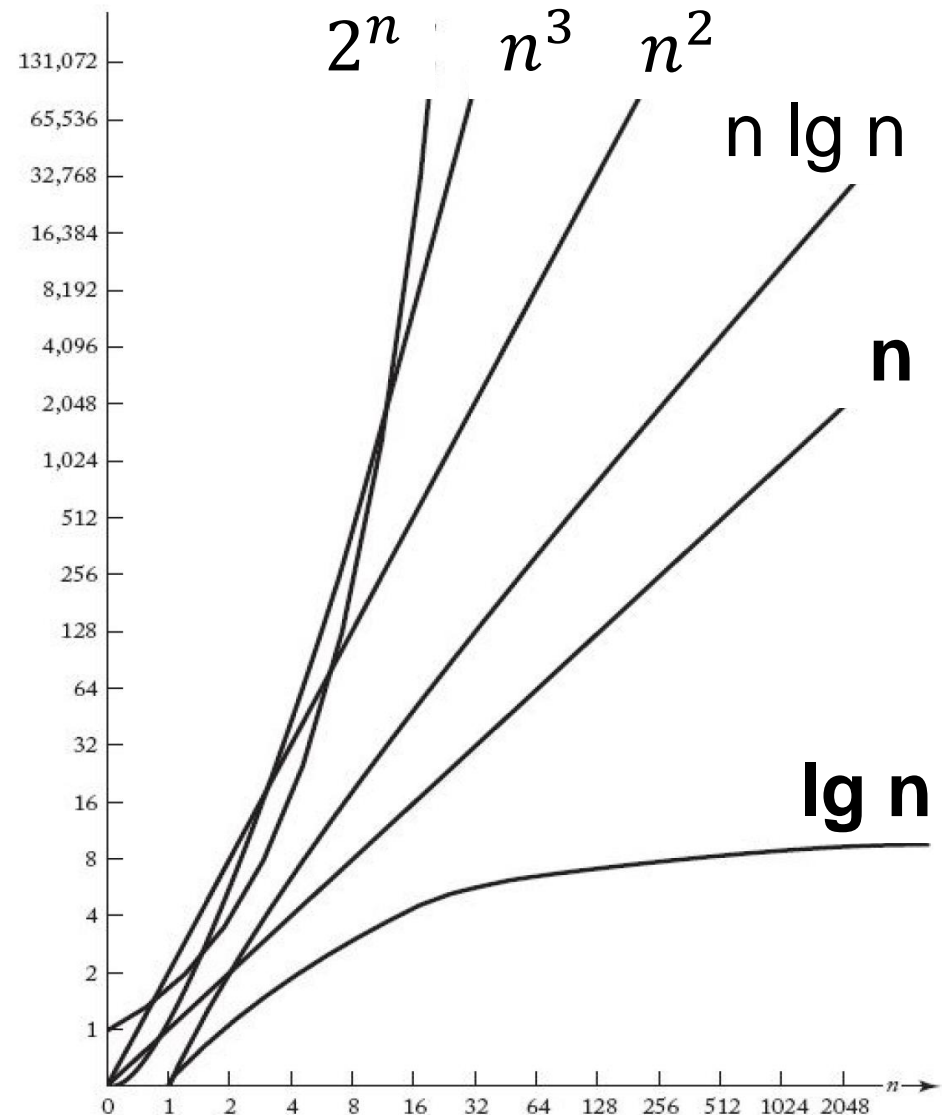
n	$0.1n^2$	$0.1n^2 + n + 100$
10	10	120
20	40	160
50	250	400
100	1,000	1,200
1,000	100,000	101,100

Intuitive Introduction of Order

- In general, the **highest order term** eventually dominates.
- The set of complexity functions with n^2 as the highest term is called $\theta(n^2)$ or $\Theta(n^2)$
- If a function is a member of $\theta(n^2)$, the **order** of the function is n^2 .
- Example: $g(n) = 5n^2 + 100n + 20 \in \theta(n^2)$.
- When an algorithm's complexity is in $\theta(n^2)$, it is called a **quadratic-time algorithm** or $\theta(n^2)$ algorithm.

Complexity Categories (Classes)

- Similarly, we can have $\theta(n^3)$ or cubic-time algorithms, and so on.
- These are known as complexity categories.
- Common categories:
 $\theta(\lg n)$, $\theta(n)$, $\theta(n \lg n)$,
 $\theta(n^2)$, $\theta(n^3)$, $\theta(2^n)$
- The growth rates determine the superiority.



Execution Time of Different Classes

- Growth rates characterize the eventual behavior.

n	$f(n) = \lg n$	$f(n) = n$	$f(n) = n \lg n$	$f(n) = n^2$	$f(n) = n^3$	$f(n) = 2^n$
10	0.003 μs^*	0.01 μs	0.033 μs	0.10 μs	1.0 μs	1 μs
20	0.004 μs	0.02 μs	0.086 μs	0.40 μs	8.0 μs	1 ms [†]
30	0.005 μs	0.03 μs	0.147 μs	0.90 μs	27.0 μs	1 s
40	0.005 μs	0.04 μs	0.213 μs	1.60 μs	64.0 μs	18.3 min
50	0.006 μs	0.05 μs	0.282 μs	2.50 μs	125.0 μs	13 days
10^2	0.007 μs	0.10 μs	0.664 μs	10.00 μs	1.0 ms	4×10^{13} years
10^3	0.010 μs	1.00 μs	9.966 μs	1.00 ms	1.0 s	
10^4	0.013 μs	10.00 μs	130.000 μs	100.00 ms	16.7 min	
10^5	0.017 μs	0.10 ms	1.670 ms	10.00 s	11.6 days	
10^6	0.020 μs	1.00 ms	19.930 ms	16.70 min	31.7 years	
10^7	0.023 μs	0.01 s	2.660 s	1.16 days	31,709 years	
10^8	0.027 μs	0.10 s	2.660 s	115.70 days	3.17×10^7 years	
10^9	0.030 μs	1.00 s	29.900 s	31.70 years		

*1 $\mu\text{s} = 10^{-6}$ second.

†1 ms = 10^{-3} second.

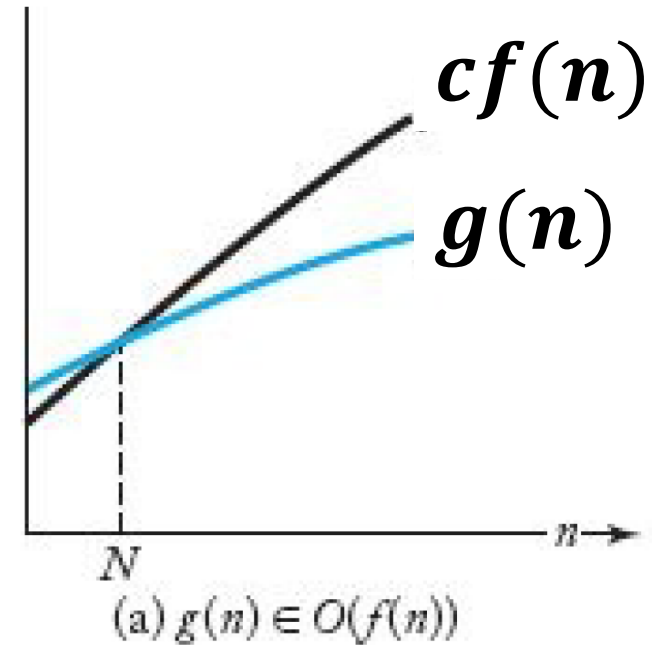
*: With the assumption of 1 ns (10^{-9}) per basic function.

Big O

- We need a formal concept to characterize complexity classes.
- Given a complexity function $f(n)$, $O(f(n))$ is the set of complexity function $g(n)$ s.t. there **exists** some **positive** real constant c and some nonnegative integer N such that for all $n \geq N$, $g(n) \leq c \times f(n)$.
- Note that c and N may not be unique.
- If $g(n) \in O(f(n))$, we say that $g(n)$ is **big O** of $f(n)$.
- The condition “for all $n \geq N$ ” is exactly to focus on **eventual behavior**.
- The complexity class of $g(n)$ is $f(n)$.

Big O Illustrated

- Illustrating Big O.



$$g(n) = O(f(n)) \leftrightarrow \exists c, N > 0, \exists g(n) \leq cf(n), \forall n \geq N$$

$g(n) = O(f(n))$ if and only if there are two positive numbers c and N , and $g(n) < cf(n)$, for all $n \geq N$

Big O Illustrated

■ **Example:** Given

$$g(n) = n^2 + 10n,$$

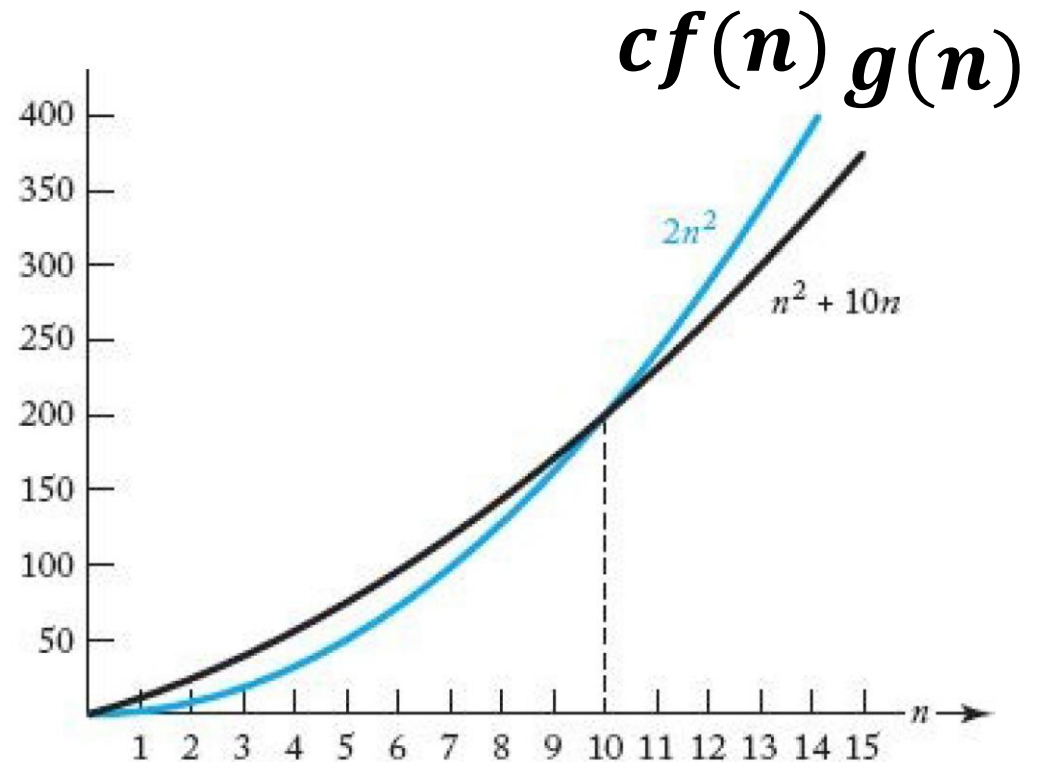
for $n \geq 10$,

$$n^2 + 10n \leq 2n^2.$$

Therefore

$$g(n) \in O(n^2)$$

with $c=2$ and $N=10$.



$$g(n) = O(f(n)) \leftrightarrow \exists c, N > 0, \exists g(n) \leq cf(n), \forall n \geq N$$

Big O Illustrated

■ Example:

$$g(n) = 5n^2 + 3n + 2, \quad g(n) = O(f(n)) = O(n^2)$$

$$c=6$$

$$5n^2 + 3n + 2 \leq 6n^2 \Rightarrow 3n + 2 < n^2 \Rightarrow N=4$$

$$g(n) = O(f(n)) \leftrightarrow \exists c, N > 0, \exists g(n) \leq cf(n), \forall n \geq N$$

Asymptotic Upper Bound

- If $g(n) \in O(f(n))$, then **eventually** $g(n)$ **lies beneath** $f(n)$ and stay there.
- If $g(n)$ is the time complexity of an algorithm A , this means that **eventually** the runtime of A will be **at least as fast as** $f(n)$.
- For comparison, the time complexity of A is eventually **at least as good as** $f(n)$.
- “Big O” describes the **asymptotic behavior** of a function. It puts an **asymptotic upper bound** on a function.

More Examples of Big O

- $5n^2 \in O(n^2)$ since for $n \geq 0$,
 $5n^2 \leq 5n^2$, we can take $c=5$ and $N=0$.
- $T(n) = \frac{n(n-1)}{2}$. Since for $n \geq 0$,
 $\frac{n(n-1)}{2} \leq \frac{n^2}{2}$, we can take $c=1/2$ and $N=0$.
- $T(n) = n^2 + 10n$. Since for $n \geq 1$,
 $n^2 + 10n \leq 11n^2$, we can take $c=11$ and $N=1$.
 $n^2 + 10n \leq 2n^2 \Rightarrow 10 \leq n$,
we can take $c=2$ and $N=10$.

More Examples of Big O

- We can show that $n \in O(n^2)$. (how?)
- Since Big O is to denote upper bound, we prefer **lowest upper bound** which is the **smallest possible function**.

“=” or “ \in ”

$$g(n) = O(f(n)) \text{ V.S. } g(n) \in O(f(n))$$

Note

- “=” is not “equality”, it is like “ \in (belong to)”
The equality is $\{g(n)\} \subseteq O(f(n))$
- $O(f(n)) = g(n)$ **X**
- Ex: $g(n) = O(n^2)$ and $g(n) = O(n^3)$,
so $O(n^2) = O(n^3)$?
- In order to compare using asymptotic notation O , both have to be non-negative for sufficiently large n

Big O

The following statements hold for any real-valued functions $f(n)$ and $g(n)$, where there is a constant n_0 such that $f(n)$ and $g(n)$ are nonnegative for any integer $n \geq n_0$.

- Rule 1: $f(n) = O(f(n))$.
- Rule 2: If c is a positive constant, then $c \cdot O(f(n)) = O(f(n))$.
- Rule 3: If $f(n) = O(g(n))$, then $O(f(n)) = O(g(n))$.
- Rule 4: $O(f(n)) \cdot O(g(n)) = O(f(n) \cdot g(n))$.
- Rule 5: $O(f(n) \cdot g(n)) = f(n) \cdot O(g(n))$.

Review

1. int i, j	$O(1)$
2. j = 1	$O(1)$
3. for (i = 2; i ≤ n ; i++)	$O(n)$
4. if (A[i] > A[j])	$O(1)$
5. j = i;	$O(1)$
6. return j	$O(1)$

The worst-case time complexity is

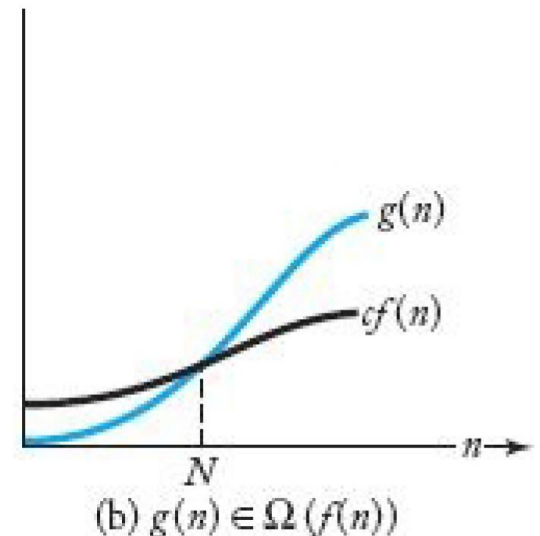
$$O(1) + O(1) + O(n) \cdot (O(1) + O(1)) + O(1)$$

$$= 3 \cdot O(1) + O(n) \cdot (2O(1))$$

$$= O(1) + O(n) = O(1) + O(n) = O(n)$$

Asymptotic Lower Bound

- Given a complexity function $f(n)$, $\Omega(f(n))$ is the set of complexity function $g(n)$ s.t. there **exists** some positive real constant c and some nonnegative integer N s.t., for all $n \geq N$, $g(n) \geq c \times f(n)$.
- If $g(n) \in \Omega(f(n))$, we say that $g(n)$ is **omega** of $f(n)$.
- **Eventually** $g(n)$ will be **above** $cf(n)$ and stay there.
- **Omega** puts an **asymptotic lower bound** on $g(n)$.
- Prefer **highest lower bound**.



Omega (Ω) Illustrated

- **Example:**

- $g(n) = 5n^2 + 3n + 2,$

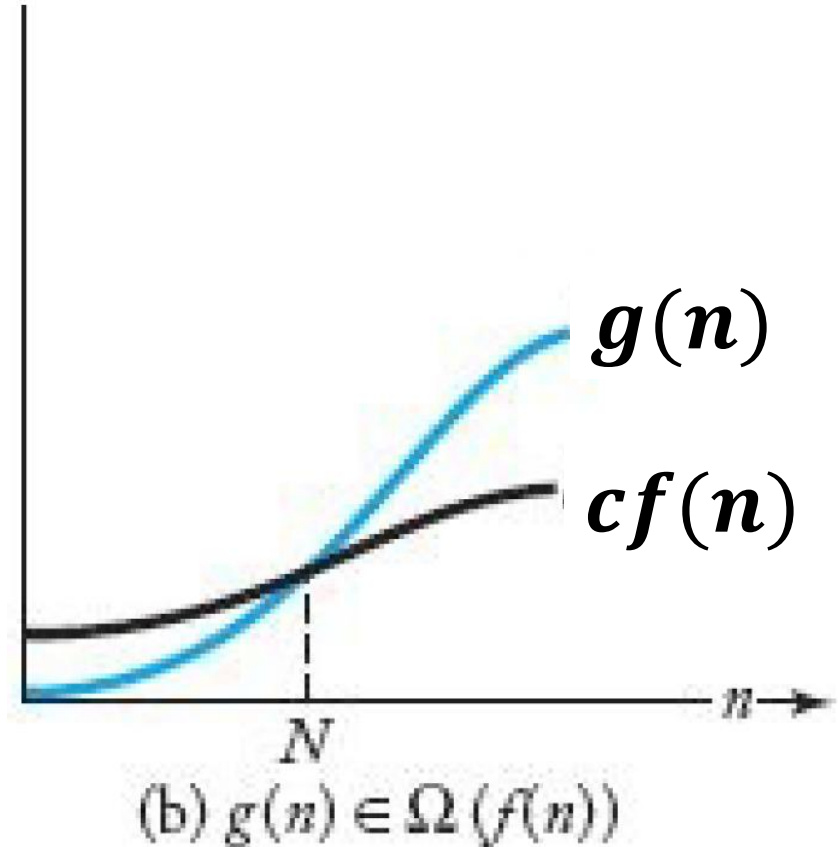
- $g(n) = \Omega(f(n)) = \Omega(n^2)$

$$c=5$$

$$5n^2 + 3n + 2 \geq 5n^2$$

$$\Rightarrow 3n - 2 \geq 0$$

$$\Rightarrow N = 1$$



$$g(n) = \Omega(f(n)) \leftrightarrow \exists c, N > 0, \exists g(n) \geq cf(n), \forall n \geq N$$

Big O Illustrated

■ Example:

$$g(n) = 5n^2 + 3n + 2, \quad g(n) = O(f(n)) = O(n^2)$$

$$c=6$$

$$5n^2 + 3n + 2 \leq 6n^2 \Rightarrow 3n + 2 < n^2 \Rightarrow N=4$$

$$g(n) = O(f(n)) \leftrightarrow \exists c, N > 0, \exists g(n) \leq cf(n), \forall n \geq N$$

■ $g(n) = 5n^2 + 3n + 2, \quad g(n) = \Omega(f(n)) = \Omega(n^2)$

$$c=5$$

$$5n^2 + 3n + 2 \geq 5n^2 \Rightarrow 3n - 2 \geq 0 \Rightarrow N=1$$

$$g(n) = \Omega(f(n)) \leftrightarrow \exists c, N > 0, \exists g(n) \geq cf(n), \forall n \geq N$$

Review

1. int i, j	$\Omega(1)$
2. j = 1	$\Omega(1)$
3. for (i = 2; i ≤ n ; i++)	$\Omega(n)$
4. if (A[i] > A[j])	$\Omega(1)$
5. j = i;	$\Omega(1)$
6. return j	$\Omega(1)$

The worst-case time complexity is

$$\Omega(1) + \Omega(1) + \Omega(n) \cdot (\Omega(1) + \Omega(1)) + \Omega(1)$$

$$= 3 \cdot \Omega(1) + \Omega(n) \cdot (2\Omega(1))$$

$$= \Omega(1) + \Omega(n) = \Omega(1) + \Omega(n) = \Omega(n) \quad ?$$

Review

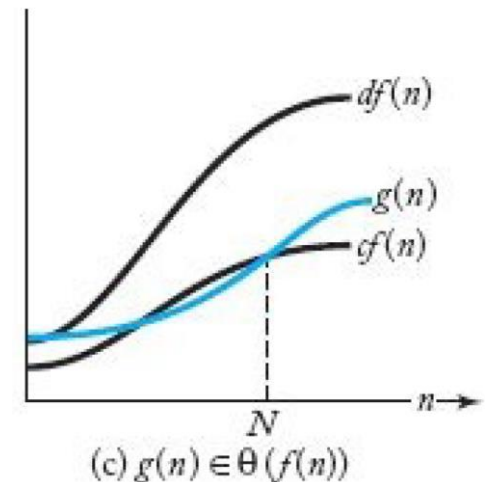
1. int i, j	$\Omega(1)$
2. int m = A[1]	$\Omega(1)$
3. for (i =2; i<=n ; i++){	$\Omega(n)$
4. if (A[i]>m)	$\Omega(1)$
5. m=A[i];	$\Omega(1)$
6. if (i ==n)	$\Omega(1)$
7. do i++ n times	$\Omega(n)$
8. }	
9. return m;	$\Omega(1)$

The worst-case time complexity is

$$\begin{aligned} & 3 \cdot \Omega(1) + \Omega(n) \cdot (3\Omega(1) + \Omega(n)) \\ &= \Omega(1) + \Omega(n) \cdot \Omega(n) = \Omega(1) + \Omega(n^2) = \Omega(n^2) \end{aligned}$$

Order

- For a complexity function $f(n)$,
 $\Theta(f(n)) = O(f(n)) \cap \Omega(f(n))$.
- $\Phi(f(n))$ is the set of complexity function $g(n)$ for which there exists some positive real constants c and d and some nonnegative integer N s.t., for all $n \geq N$, $c \times f(n) \leq g(n) \leq d \times f(n)$.
- If $g(n) \in \Phi(f(n))$, we say that $g(n)$ is **order** of $f(n)$.
- Eventually the **growth** of $g(n)$ is similar to that of $f(n)$ which can be used to **characterize** all such $g(n)$



Order

■ Example:

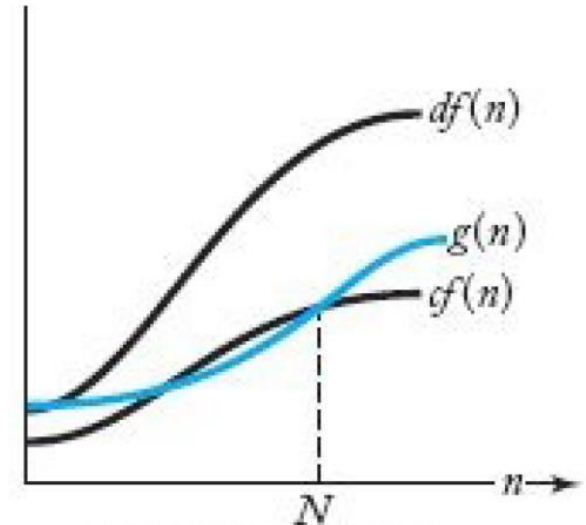
■ $g(n) = 5n^2 + 3n + 2$, $g(n) = \Theta(f(n)) = \Theta(n^2)$

$$d=6, c=5$$

$$5n^2 + 3n + 2 \leq 6n^2$$

$$5n^2 + 3n + 2 \leq 6n^2 \Rightarrow$$

$$3n + 2 < n^2 \Rightarrow N=4$$



(c) $g(n) \in \Theta(f(n))$

$$g(n) = \Theta(f(n)) \leftrightarrow \exists c, d, N > 0, \exists cf(n) \leq g(n) \leq df(n), \forall n \geq N$$

Small O

- Given a complexity function $f(n)$, $o(f(n))$ is the set of complexity function $g(n)$ s.t. for **every** positive real constant c there **exists** a nonnegative integer N such that for all $n \geq N$, $g(n) \leq c \times f(n)$.
- If $g(n) \in o(f(n))$, we say that $g(n)$ is **small o** of $f(n)$.
- This means that $g(n)$ becomes **much smaller** than $f(n)$ as n becomes large, independent of the constant c .
- For complexity comparison, $g(n)$ is **much better** than $f(n)$.

$g(n) = O(f(n)) \leftrightarrow \exists c, N > 0, \exists g(n) < cf(n), \forall n \geq N$
- **Example:** $n \in o(n^2)$. (why?)

Review

$$g(n) = O(f(n))$$

$g(n) \leq f(n)$ in rate of growth

$$\leftrightarrow \exists c, N > 0, \exists g(n) \leq cf(n), \forall n \geq N$$

$$g(n) = \Omega(f(n))$$

$g(n) \geq f(n)$ in rate of growth

$$\leftrightarrow \exists c, N > 0, \exists g(n) \geq cf(n), \forall n \geq N$$

$$g(n) = o(f(n))$$

$g(n) < f(n)$ in rate of growth

$$\leftrightarrow \exists c, N > 0, \exists g(n) < cf(n), \forall n \geq N$$

$$g(n) = \omega(f(n))$$

$g(n) > f(n)$ in rate of growth

$$\leftrightarrow \exists c, N > 0, \exists g(n) > cf(n), \forall n \geq N$$

$$g(n) = \Theta(f(n)) \text{ or } \theta(f(n))$$

$g(n) = f(n)$ in rate of growth

$$\leftrightarrow \exists c, d, N > 0, \exists cf(n) \leq g(n) \leq df(n), \forall n \geq N$$

Review

$o(f(n))$

Small-O

$O(f(n))$

Big-O

$\Theta(f(n))$

Theta

$\Omega(f(n))$

Omega

$\omega(f(n))$

Small-Omega

Review (Order)

how to analyze / measure the effort an algorithm needs

- Time complexity
 - Space complexity
- Every Case
 - $T(n)$: every-case time complexity
 - *Worst Case
 - $W(n)$: worst-case time complexity
 - Average Case
 - $A(n)$: average-case time complexity
 - Best Case
 - $B(n)$: best-case time complexity

Properties of Order 1/2

1. $g(n) \in O(f(n))$ if and only if $f(n) \in \Omega(g(n))$.
2. $g(n) \in \Theta(f(n))$ if and only if $f(n) \in \Theta(g(n))$.
3. If $b > 1$ and $a > 1$, then $\log_a n \in \Theta(\log_b n)$. (All **logarithmic** complexity functions are in the **same class** which is represented by $\Theta(\lg n)$.)
4. If $b > a > 0$, then $a^n \in o(b^n)$. (All **exponential** complexity functions are **NOT** in the same class.)
5. For all $a > 0$, $a^n \in o(n!)$. (**$n!$** is **worse** than any exponential complexity.)

Properties of Order 2/2

6. With $k > j > 2$, $b > a > 1$, and the class ordering:
 $\Theta(\lg n)$ $\Theta(n)$ $\Theta(n \lg n)$ $\Theta(n^2)$ $\Theta(n^j)$ $\Theta(n^k)$ $\Theta(a^n)$
 $\Theta(b^n)$ $\Theta(n!)$, if $g(n)$ is in class to the left of class $f(n)$, then $g(n) \in o(f(n))$. (Classes differ in **order-of-magnitude scale**.)
7. If $c \geq 0$, $d > 0$, $g(n) \in O(f(n))$, and $h(n) \in \Theta(f(n))$, then $c \times g(n) + d \times h(n) \in \Theta(f(n))$. (What does this property means?)

Properties of Order 3/3

Big-Oh 函數	名稱
$O(1)$	常數時間(constant)
$O(\log_2 n)$	次線性時間(sub-linear) 或對數時間(logarithm)
$O(n)$	線性時間(linear)
$O(n \log_2 n)$	次平方時間(sub- quadratic)
$O(n^2)$	平方時間(quadratic)
$O(n^3)$	立方時間(cubic)
$O(2^n)$	指數時間(exponential)
$O(n!)$	階乘時間(factorial)

Assignment 1 Algorithms & Complexity

1. Design an algorithm to find all palindromes(迴文) of length ≥ 2 . It does not need to be an optimal algorithm, as long as it can solve the problem.
2. Analyze the every-case (if exists), worst-case, average-case, and best-case time complexities of your algorithm.
3. Textbook exercises 1-15~18, 1-22.

Due date: two weeks.

Assignment 1 Algorithms & Complexity

1-15 Show directly that $f(n) = n^2 + 3n^3 \in (n^3)$. That is, use the definitions of O and Ω to show that $f(n)$ is in both $O(n^3)$ and $\Omega(n^3)$.

1-16 Using the definitions of O and Ω , show that

$$6n^2 + 20n \in O(n^3), \text{ but } 6n^2 + 20n \notin \Omega(n^3).$$

1-17 Using the Properties of Order in Section 1.4.2, show that

$$5n^5 + 4n^4 + 6n^3 + 2n^2 + n + 7 \in \Theta(n^5).$$

1-18 Let $p(n) = a_k n^k + a_{k-1} n^{k-1} + \dots + a_1 n + a_0$, where $a_k > 0$. Using the Properties of Order in Section 1.4.2, show that $p(n) \in \Theta(n^k)$.

1-22 Group the following functions by complexity category.

$$n \ln n \quad (\lg n)^2 \quad 5n^2 + 7n \quad n^{5/2}$$

$$n! \quad 2^{n!} \quad 4^n \quad n^n \quad n^n + \ln n$$

$$5^{\lg n} \quad \lg(n!) \quad (\lg n)! \quad \sqrt{n} \quad e^n \quad 8n + 12 \quad 10^n + n^{20}$$

$$1 \text{ (constant)} < \log n < n < n \log n < n^2 < n^3 < 2^n < 3^n \leq n!$$

Assignment 1 Algorithms & Complexity

名稱	公式	證明
和差	$\log_{\alpha} MN = \log_{\alpha} M + \log_{\alpha} N$	<p>設 $M = \beta^m, N = \beta^n$</p> $\begin{aligned}\log_{\alpha} MN &= \log_{\alpha} \beta^m \beta^n \\ &= \log_{\alpha} \beta^{m+n} \\ &= (m+n) \log_{\alpha} \beta \\ &= m \log_{\alpha} \beta + n \log_{\alpha} \beta \\ &= \log_{\alpha} \beta^m + \log_{\alpha} \beta^n \\ &= \log_{\alpha} M + \log_{\alpha} N\end{aligned}$ $\begin{aligned}\log_{\alpha} \frac{M}{N} &= \log_{\alpha} M + \log_{\alpha} \frac{1}{N} \\ &= \log_{\alpha} M - \log_{\alpha} N\end{aligned}$
基變換 (換底公式)	$\log_{\alpha} x = \frac{\log_{\beta} x}{\log_{\beta} \alpha}$	<p>設 $\log_{\alpha} x = t$ $\therefore x = \alpha^t$</p> <p>兩邊取對數, 則有 $\log_{\beta} x = \log_{\beta} \alpha^t$ 即 $\log_{\beta} x = t \log_{\beta} \alpha$ 又 $\because \log_{\alpha} x = t$ $\therefore \log_{\alpha} x = \frac{\log_{\beta} x}{\log_{\beta} \alpha}$</p>
指係(次方公式)	$\log_{\alpha^n} x^m = \frac{m}{n} \log_{\alpha} x$	$\begin{aligned}\log_{\alpha^n} x^m &= \frac{\ln x^m}{\ln \alpha^n} \\ &= \frac{m \ln x}{n \ln \alpha} \\ &= \frac{m}{n} \log_{\alpha} x\end{aligned}$

Source:wiki

Assignment 1 Algorithms & Complexity

還原	$\alpha^{\log_{\alpha} x} = x$ $= \log_{\alpha} \alpha^x$	
互換	$M^{\log_{\alpha} N} = N^{\log_{\alpha} M}$	設 $b = \log_{\alpha} N, c = \log_{\alpha} M$ 則有 $\alpha^c = M$ and $\alpha^b = N$. 公式左側是 $(\alpha^c)^b$ 公式右側是 $(\alpha^b)^c$
倒數	$\log_{\alpha} \theta = \frac{\ln \theta}{\ln \alpha} = \frac{1}{\frac{\ln \alpha}{\ln \theta}} = \frac{1}{\log_{\theta} \alpha}$	
鏈式	$\log_{\gamma} \beta \log_{\beta} \alpha = \frac{\ln \alpha}{\ln \beta} \frac{\ln \beta}{\ln \gamma}$ $= \frac{\ln \alpha}{\ln \gamma}$ $= \log_{\gamma} \alpha$	

$$\log \log n = \log (\log n)$$

$$\log^k n = (\log n)^k$$

Source:wiki