Challenge Problem 5

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Download all python codes from

https://github.com/ka-raja-babu/Matrix-Theory/tree/main/ChallengeProblem5/Codes

and latex-tikz codes from

https://github.com/ka-raja-babu/Matrix-Theory/tree/main/ChallengeProblem5

1 Challenge Question 5

Express the axis of a parabola in terms of $\mathbf{V}, \mathbf{u}, f$ in general .

2 Solution

Lemma 2.1. Axis of any conic is given by

$$\mathbf{p}^{T} \begin{pmatrix} -\mathbf{e_2} & \mathbf{e_1} \end{pmatrix} (\mathbf{x} - \mathbf{c}) = 0$$
 (2.0.1)

where, \mathbf{c} is the vertex of conic and \mathbf{p} is the eigen vector of \mathbf{V} having smaller eigen value.

Proof. The general equation of a conic is

$$ax^{2} + 2bxy + cy^{2} + 2dx + 2ey + f = 0$$
 (2.0.2)

which can be written in matrix form as

$$\mathbf{x}^T \mathbf{V} \mathbf{x} + 2 \mathbf{u}^T \mathbf{x} + f = 0 \tag{2.0.3}$$

where,

$$\mathbf{V} = \begin{pmatrix} a & b \\ b & c \end{pmatrix} \tag{2.0.4}$$

$$\mathbf{u} = \begin{pmatrix} d & e \end{pmatrix} \tag{2.0.5}$$

Let the eigen vector of V having smaller eigen value be

$$\mathbf{p} = \begin{pmatrix} p_1 \\ p_2 \end{pmatrix} \tag{2.0.6}$$

Now, normal vector of \mathbf{p} having same magnitude, is given by

$$\mathbf{p_n}^T \mathbf{p} = 0 \tag{2.0.7}$$

$$\implies \mathbf{p_n}^T \begin{pmatrix} p_1 \\ p_2 \end{pmatrix} = 0 \tag{2.0.8}$$

$$\implies \mathbf{p_n} = \begin{pmatrix} -p_2 \\ p_1 \end{pmatrix} \tag{2.0.9}$$

$$\implies \mathbf{p_n}^T = \mathbf{p}^T \begin{pmatrix} -\mathbf{e_2} & \mathbf{e_1} \end{pmatrix} \tag{2.0.10}$$

where $\boldsymbol{e_1}$ and $\boldsymbol{e_2}$ are standard basis vector such that

$$\mathbf{e_1} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \mathbf{e_2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \tag{2.0.11}$$

According to the principal axis theorem,

- 1) Each eigen vector of **V** is parallel to either major axis or minor axis.
- 2) Normal vector of each eigen vector of V is normal to either major axis or minor axis.
- 3) Axes pass through the vertex \mathbf{c} of the conic.
- ∴ Axis is given by

$$\mathbf{p_n}^T (\mathbf{x} - \mathbf{c}) = 0 \qquad (2.0.12)$$

$$\Longrightarrow \boxed{\mathbf{p}^T \left(-\mathbf{e_2} \quad \mathbf{e_1} \right) (\mathbf{x} - \mathbf{c}) = 0}$$
 (2.0.13)

3 Examples

1) Parabola

$$9x^2 - 24xy + 16y^2 - 18x - 101y + 19 = 0$$
(3.0.1)

Here,

$$\mathbf{V} = \begin{pmatrix} 9 & -12 \\ -12 & 16 \end{pmatrix} \tag{3.0.2}$$

$$\mathbf{u} = \begin{pmatrix} -9\\ \frac{-101}{2} \end{pmatrix} \tag{3.0.3}$$

$$f = 19$$
 (3.0.4)

Now,

$$\begin{pmatrix} -39 & -73 \\ 9 & -12 \\ -12 & 16 \end{pmatrix} \mathbf{c} = \begin{pmatrix} -19 \\ -21 \\ 28 \end{pmatrix}$$
 (3.0.5)

$$\implies \mathbf{c} = \begin{pmatrix} \frac{-29}{25} \\ \frac{22}{25} \end{pmatrix} \tag{3.0.6}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x + \frac{29}{25} \\ y - \frac{22}{25} \end{pmatrix} = \frac{1}{25} \begin{pmatrix} 25x + 29 \\ 25y - 22 \end{pmatrix}$$
 (3.0.7)

Now,

$$|\mathbf{V} - \lambda \mathbf{I}| = 0 \tag{3.0.8}$$

$$\implies \begin{vmatrix} 9 - \lambda & -12 \\ -12 & 16 - \lambda \end{vmatrix} = 0 \tag{3.0.9}$$

$$\implies \lambda^2 - 25\lambda = 0 \tag{3.0.10}$$

$$\implies \lambda_1 = 0, \lambda_2 = 25 \tag{3.0.11}$$

For $\lambda_1 = 0$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 9 & -12 \\ -12 & 16 \end{pmatrix} \tag{3.0.12}$$

$$\implies \mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 1 & \frac{-4}{3} \\ 0 & 0 \end{pmatrix} \tag{3.0.13}$$

$$\implies \mathbf{p_1} = \frac{3}{5} \begin{pmatrix} \frac{4}{3} \\ 1 \end{pmatrix} \tag{3.0.14}$$

Similarly for $\lambda_2 = 25$,

$$\mathbf{p_2} = \frac{4}{5} \begin{pmatrix} \frac{-3}{4} \\ 1 \end{pmatrix} \tag{3.0.15}$$

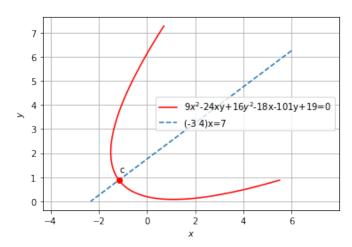


Fig. 3.1: $9x^2-24xy+16y^2-18x-101y+19=0$

Hence, the axis using p_1 is given by

$$\mathbf{p_1}^T \left(-\mathbf{e_2} \quad \mathbf{e_1} \right) (\mathbf{x} - \mathbf{c}) = 0$$
(3.0.16)

$$\implies \frac{3}{5} \begin{pmatrix} \frac{4}{3} & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \frac{1}{25} \begin{pmatrix} 25x + 29 \\ 25y - 22 \end{pmatrix} = 0$$
(3.0.17)

$$\implies -3x + 4y = 7$$

$$(3.0.18)$$

$$\implies \boxed{\begin{pmatrix} -3 & 4 \end{pmatrix} \mathbf{x} = 7}$$
(3.0.19)

2) Parabola

$$y^2 - 4x + 2y + 4 = 0 (3.0.20)$$

Here,

$$\mathbf{V} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.21}$$

$$\mathbf{u} = \begin{pmatrix} -2\\1 \end{pmatrix} \tag{3.0.22}$$

$$f = 4$$
 (3.0.23)

Now,

$$\begin{pmatrix} -4 & 1 \\ 0 & 0 \\ 0 & 1 \end{pmatrix} \mathbf{c} = \begin{pmatrix} -4 \\ 0 \\ -1 \end{pmatrix}$$
 (3.0.24)

$$\implies \mathbf{c} = \begin{pmatrix} \frac{3}{4} \\ -1 \end{pmatrix} \tag{3.0.25}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x - \frac{3}{4} \\ y + 1 \end{pmatrix} \tag{3.0.26}$$

Now,

$$|\mathbf{V} - \lambda \mathbf{I}| = 0 \tag{3.0.27}$$

$$\implies \begin{vmatrix} -\lambda & 0 \\ 0 & 1 - \lambda \end{vmatrix} = 0 \tag{3.0.28}$$

$$\implies \lambda_1 = 0, \lambda_2 = 1 \tag{3.0.29}$$

For $\lambda_1 = 0$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.30}$$

$$\implies \mathbf{p_1} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \tag{3.0.31}$$

Similarly for $\lambda_2 = 1$,

$$\mathbf{p_2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \tag{3.0.32}$$

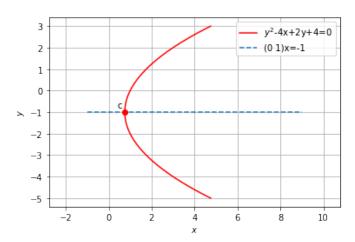


Fig. 3.2: $y^2-4x+2y+4=0$

$\therefore \lambda_1 < \lambda_2$

Hence, the axis using $\mathbf{p_1}$ is given by

$$\mathbf{p_1}^T \left(-\mathbf{e_2} \quad \mathbf{e_1} \right) (\mathbf{x} - \mathbf{c}) = 0 \quad (3.0.33)$$

$$\implies \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x - \frac{3}{4} \\ y + 1 \end{pmatrix} = 0 \quad (3.0.34)$$

$$\implies y + 1 = 0 \quad (3.0.35)$$

$$\Rightarrow y + 1 = 0 \qquad (3.0.35)$$

$$\Rightarrow \boxed{\begin{pmatrix} 0 & 1 \end{pmatrix} \mathbf{x} = -1} \qquad (3.0.36)$$

3) Parabola

$$y^2 = 8x (3.0.37)$$

$$\implies y^2 - 8x = 0 \tag{3.0.38}$$

Here,

$$\mathbf{V} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.39}$$

$$\mathbf{u} = \begin{pmatrix} -4\\0 \end{pmatrix} \tag{3.0.40}$$

$$f = 0 (3.0.41)$$

Now,

$$\begin{pmatrix} -8 & 1\\ 0 & 0\\ 0 & 1 \end{pmatrix} \mathbf{c} = \begin{pmatrix} 0\\ 0\\ 0 \end{pmatrix}$$
 (3.0.42)

$$\implies \mathbf{c} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \tag{3.0.43}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x \\ y \end{pmatrix} \tag{3.0.44}$$

Now,

$$\left|\mathbf{V} - \lambda \mathbf{I}\right| = 0 \tag{3.0.45}$$

$$\implies \begin{vmatrix} -\lambda & 0 \\ 0 & 1 - \lambda \end{vmatrix} = 0 \tag{3.0.46}$$

$$\implies \lambda_1 = 0, \lambda_2 = 1 \tag{3.0.47}$$

For $\lambda_1 = 0$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.48}$$

$$\implies \mathbf{p_1} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \tag{3.0.49}$$

Similarly for $\lambda_2 = 1$,

$$\mathbf{p_2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \tag{3.0.50}$$

 $:: \lambda_1 < \lambda_2$

Hence, the axis using $\mathbf{p_1}$ is given by

$$\mathbf{p_1}^T \left(-\mathbf{e_2} \quad \mathbf{e_1} \right) (\mathbf{x} - \mathbf{c}) = 0 \tag{3.0.51}$$

$$\implies \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = 0 \qquad (3.0.52)$$

$$\implies y = 0 \qquad (3.0.53)$$

$$\implies \boxed{\begin{pmatrix} 0 & 1 \end{pmatrix} \mathbf{x} = 0} \tag{3.0.54}$$

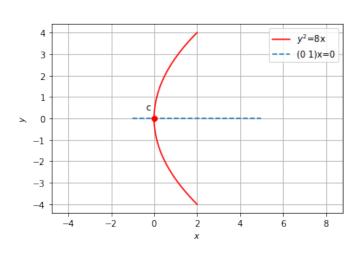


Fig. 3.3: $y^2 = 8x$

4) Ellipse

$$x^2 + xy + y^2 = 100 (3.0.55)$$

Here,

$$\mathbf{V} = \begin{pmatrix} 1 & \frac{1}{2} \\ \frac{1}{2} & 1 \end{pmatrix} \tag{3.0.56}$$

$$\mathbf{u} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \tag{3.0.57}$$

$$f = -100 \tag{3.0.58}$$

Now,

$$\mathbf{c} = \mathbf{V}^{-1}\mathbf{u} \tag{3.0.59}$$

$$\implies \mathbf{c} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \tag{3.0.60}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x \\ y \end{pmatrix} \tag{3.0.61}$$

Now,

$$|\mathbf{V} - \lambda \mathbf{I}| = 0 \tag{3.0.62}$$

$$\implies \begin{vmatrix} 1 - \lambda & \frac{1}{2} \\ \frac{1}{2} & 1 - \lambda \end{vmatrix} = 0 \tag{3.0.63}$$

$$\implies \lambda^2 - 2\lambda + \frac{3}{4} = 0 \tag{3.0.64}$$

$$\implies \lambda_1 = \frac{1}{2}, \lambda_2 = \frac{3}{2} \tag{3.0.65}$$

For $\lambda_1 = \frac{1}{2}$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} \tag{3.0.66}$$

$$\implies \mathbf{p_1} = \frac{1}{\sqrt{2}} \begin{pmatrix} -1\\1 \end{pmatrix} \tag{3.0.67}$$

Similarly for $\lambda_2 = \frac{3}{2}$,

$$\mathbf{p_2} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix} \tag{3.0.68}$$

 $\therefore \lambda_1 < \lambda_2$

Hence, the major axis using $\mathbf{p_1}$ is given by

$$\mathbf{p_1}^T \begin{pmatrix} -\mathbf{e_2} & \mathbf{e_1} \end{pmatrix} (\mathbf{x} - \mathbf{c}) = 0 \quad (3.0.69)$$

$$\implies \frac{1}{\sqrt{2}} \begin{pmatrix} -1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = 0 \quad (3.0.70)$$

$$\implies x + y = 0 \quad (3.0.71)$$

$$\implies \boxed{\begin{pmatrix} 1 & 1 \end{pmatrix} \mathbf{x} = 0} \tag{3.0.72}$$

and the minor axis using **p**₂ is given by

$$\mathbf{p_2}^T \begin{pmatrix} -\mathbf{e_2} & \mathbf{e_1} \end{pmatrix} (\mathbf{x} - \mathbf{c}) = 0 \quad (3.0.73)$$

$$\implies \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = 0 \quad (3.0.74)$$

$$\implies -x + y = 0 \qquad (3.0.75)$$

$$\Rightarrow -x + y = 0 \qquad (3.0.75)$$
$$\Rightarrow \boxed{\begin{pmatrix} -1 & 1 \end{pmatrix} \mathbf{x} = 0} \qquad (3.0.76)$$

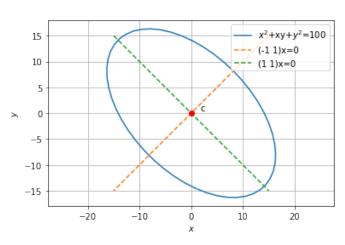


Fig. 3.4: $x^2 + xy + y^2 = 100$

5) Hyperbola

$$xy - 3y + 2 = 0 (3.0.77)$$

Here,

$$\mathbf{V} = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \tag{3.0.78}$$

$$\mathbf{u} = \frac{-3}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \tag{3.0.79}$$

$$f = 2$$
 (3.0.80)

Now,

$$\mathbf{c} = \mathbf{V}^{-1}\mathbf{u} \tag{3.0.81}$$

$$\implies \mathbf{c} = \begin{pmatrix} 3 \\ 0 \end{pmatrix} \tag{3.0.82}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x - 3 \\ y \end{pmatrix} \tag{3.0.83}$$

Now,

$$\left|\mathbf{V} - \lambda \mathbf{I}\right| = 0 \tag{3.0.84}$$

$$\implies \begin{vmatrix} -\lambda & \frac{1}{2} \\ \frac{1}{2} & -\lambda \end{vmatrix} = 0 \tag{3.0.85}$$

$$\implies \lambda^2 - \frac{1}{4} = 0 \tag{3.0.86}$$

$$\implies \lambda_1 = \frac{-1}{2}, \lambda_2 = \frac{1}{2}$$
 (3.0.87)

For $\lambda_1 = \frac{-1}{2}$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} \tag{3.0.88}$$

$$\implies \mathbf{p_1} = \frac{1}{\sqrt{2}} \begin{pmatrix} -1\\1 \end{pmatrix} \tag{3.0.89}$$

Similarly for $\lambda_2 = \frac{1}{2}$,

$$\mathbf{p_2} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \tag{3.0.90}$$

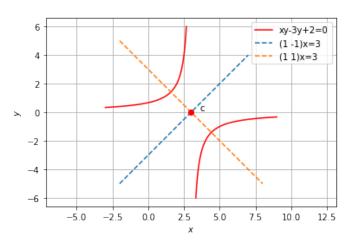


Fig. 3.5: xy-3y+2=0

 $\therefore \lambda_1 < \lambda_2$

Hence, the major axis using $\mathbf{p_1}$ is given by

$$\mathbf{p_1}^T \left(-\mathbf{e_2} \quad \mathbf{e_1} \right) (\mathbf{x} - \mathbf{c}) = 0$$

$$(3.0.91)$$

$$\Rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} -1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x - 3 \\ y \end{pmatrix} = 0$$

$$(3.0.92)$$

$$\Rightarrow x + y = 3$$

$$(3.0.93)$$

$$\Rightarrow \left[\begin{pmatrix} 1 & 1 \end{pmatrix} \mathbf{x} = 3 \right] \quad (3.0.94)$$

and the minor axis using p_2 is given by

$$\mathbf{p_2}^T \left(-\mathbf{e_2} \quad \mathbf{e_1} \right) (\mathbf{x} - \mathbf{c}) = 0 \quad (3.0.95)$$

$$\implies \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x - 3 \\ y \end{pmatrix} = 0 \quad (3.0.96)$$

$$\implies x - y = 3 \quad (3.0.97)$$

$$\implies (1 - 1) \mathbf{x} = 3 \quad (3.0.98)$$

$$\implies \boxed{\begin{pmatrix} 1 & -1 \end{pmatrix} \mathbf{x} = 3} \tag{3.0.98}$$