Challenge Problem 5

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1 CHALLENGE QUESTION 5

Express the axis of a parabola in terms of $\mathbf{V}, \mathbf{u}, f$ in general .

2 SOLUTION

Lemma 2.1. *Axis of any conic is given by*

$$\boxed{\frac{\mathbf{e_2}^T(\mathbf{x}-\mathbf{c})}{\mathbf{e_2}^T\mathbf{p}} = \frac{\mathbf{e_1}^T(\mathbf{x}-\mathbf{c})}{\mathbf{e_1}^T\mathbf{p}}} \quad (2.0.1)$$

where, $\mathbf{c}$ *is the vertex of conic and* $\mathbf{p}$ *is the eigen vector of* $\mathbf{V}$ *having smaller eigen value.*

Proof. The general equation of a conic is

$$ax^2 + 2bxy + cy^2 + 2dx + 2ey + f = 0 \quad (2.0.2)$$

which can be written in matrix form as

$$\mathbf{x}^T\mathbf{V}\mathbf{x} + 2\mathbf{u}^T\mathbf{x} + f = 0 \quad (2.0.3)$$

where,

$$\mathbf{V} = \begin{pmatrix} a & b \\ b & c \end{pmatrix} \quad (2.0.4)$$

$$\mathbf{u} = \begin{pmatrix} d & e \end{pmatrix} \quad (2.0.5)$$

Let the vertex be

$$\mathbf{c} = \begin{pmatrix} x_c \\ y_c \end{pmatrix} \quad (2.0.6)$$

and,the origin be

$$\mathbf{O} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \quad (2.0.7)$$

and,the eigen vector of $\mathbf{V}$ having smaller eigen value be

$$\mathbf{p} = \begin{pmatrix} p_1 \\ p_2 \end{pmatrix} \quad (2.0.8)$$

Now,according to the principal axis theorem,

1) Each eigen vector of $\mathbf{V}$ is parallel to either major axis or minor axis.

2) Eigen vectors of $\mathbf{V}$ are orthogonal to each other.

3) Principal axes pass through the vertex $\mathbf{c}$.

Now,direction vector of eigen vector $\mathbf{p}$ is

$$\mathbf{m_1} = \mathbf{p} - \mathbf{O} \quad (2.0.9)$$

$$\implies \mathbf{m_1} = \begin{pmatrix} p_1 \\ p_2 \end{pmatrix} \quad (2.0.10)$$

$$\implies \mathbf{m_1} = p_1 \begin{pmatrix} 1 \\ \frac{p_2}{p_1} \end{pmatrix} \quad (2.0.11)$$

$$\implies \mathbf{m_1} = \begin{pmatrix} 1 \\ \frac{p_2}{p_1} \end{pmatrix} \quad (\because k\mathbf{m} \equiv \mathbf{m}) \quad (2.0.12)$$

$$\implies \mathbf{m_1} = \begin{pmatrix} 1 \\ \frac{\mathbf{e_2}^T\mathbf{p}}{\mathbf{e_1}^T\mathbf{p}} \end{pmatrix} \quad (2.0.13)$$

and,direction vector of axis is

$$\mathbf{m_2} = \mathbf{x} - \mathbf{c} \quad (2.0.14)$$

$$\implies \mathbf{m_2} = \begin{pmatrix} x - x_c \\ y - y_c \end{pmatrix} \quad (2.0.15)$$

$$\implies \mathbf{m_2} = (x - x_c)\begin{pmatrix} 1 \\ \frac{y-y_c}{x-x_c} \end{pmatrix} \quad (2.0.16)$$

$$\implies \mathbf{m_2} = \begin{pmatrix} 1 \\ \frac{y-y_c}{x-x_c} \end{pmatrix} \quad (\because k\mathbf{m} \equiv \mathbf{m}) \quad (2.0.17)$$

$$\implies \mathbf{m_2} = \begin{pmatrix} 1 \\ \frac{\mathbf{e_2}^T(\mathbf{x}-\mathbf{c})}{\mathbf{e_1}^T(\mathbf{x}-\mathbf{c})} \end{pmatrix} \quad (2.0.18)$$

$\because$ Eigen vector is parallel to axis.

∴ Axis is given by

$$\mathbf{m_1} = \mathbf{m_2} \tag{2.0.19}$$

$$\implies \begin{pmatrix} 1 \\ \frac{\mathbf{e_2}^T \mathbf{p}}{\mathbf{e_1}^T \mathbf{p}} \end{pmatrix} = \begin{pmatrix} 1 \\ \frac{\mathbf{e_2}^T (\mathbf{x-c})}{\mathbf{e_1}^T (\mathbf{x-c})} \end{pmatrix} \tag{2.0.20}$$

$$\implies \frac{\mathbf{e_2}^T \mathbf{p}}{\mathbf{e_1}^T \mathbf{p}} = \frac{\mathbf{e_2}^T (\mathbf{x-c})}{\mathbf{e_1}^T (\mathbf{x-c})} \tag{2.0.21}$$

$$\implies \frac{\mathbf{e_2}^T (\mathbf{x-c})}{\mathbf{e_2}^T \mathbf{p}} = \frac{\mathbf{e_1}^T (\mathbf{x-c})}{\mathbf{e_1}^T \mathbf{p}} \tag{2.0.22}$$

$\square$

3 EXAMPLES

1) Parabola

$$9x^2 - 24xy + 16y^2 - 18x - 101y + 19 = 0 \tag{3.0.1}$$

Here,

$$\mathbf{V} = \begin{pmatrix} 9 & -12 \\ -12 & 16 \end{pmatrix} \tag{3.0.2}$$

$$\mathbf{u} = \begin{pmatrix} -9 \\ \frac{-101}{2} \end{pmatrix} \tag{3.0.3}$$

$$f = 19 \tag{3.0.4}$$

Now,

$$\begin{pmatrix} -39 & -73 \\ 9 & -12 \\ -12 & 16 \end{pmatrix} \mathbf{c} = \begin{pmatrix} -19 \\ -21 \\ 28 \end{pmatrix} \tag{3.0.5}$$

$$\implies \mathbf{c} = \begin{pmatrix} \frac{-29}{25} \\ \frac{22}{25} \end{pmatrix} \tag{3.0.6}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x + \frac{29}{25} \\ y - \frac{22}{25} \end{pmatrix} = \frac{1}{25} \begin{pmatrix} 25x + 29 \\ 25y - 22 \end{pmatrix} \tag{3.0.7}$$

Now,

$$|\mathbf{V} - \lambda \mathbf{I}| = 0 \tag{3.0.8}$$

$$\implies \begin{vmatrix} 9 - \lambda & -12 \\ -12 & 16 - \lambda \end{vmatrix} = 0 \tag{3.0.9}$$

$$\implies \lambda^2 - 25\lambda = 0 \tag{3.0.10}$$

$$\implies \lambda_1 = 0, \lambda_2 = 25 \tag{3.0.11}$$

For $\lambda_1 = 0$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 9 & -12 \\ -12 & 16 \end{pmatrix} \tag{3.0.12}$$

$$\implies \mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 1 & \frac{-4}{3} \\ 0 & 0 \end{pmatrix} \tag{3.0.13}$$

$$\implies \mathbf{p_1} = \frac{3}{5} \begin{pmatrix} \frac{4}{3} \\ 1 \end{pmatrix} \tag{3.0.14}$$

Similarly for $\lambda_2 = 25$,

$$\mathbf{p_2} = \frac{4}{5} \begin{pmatrix} \frac{-3}{4} \\ 1 \end{pmatrix} \tag{3.0.15}$$

∵ $\lambda_1 < \lambda_2$

Hence, the axis using $\mathbf{p_1}$ is given by

$$\frac{\mathbf{e_2}^T (\mathbf{x-c})}{\mathbf{e_2}^T \mathbf{p_1}} = \frac{\mathbf{e_1}^T (\mathbf{x-c})}{\mathbf{e_1}^T \mathbf{p_1}} \tag{3.0.16}$$

$$\implies \frac{y - \frac{22}{25}}{\frac{3}{5}} = \frac{x + \frac{29}{25}}{\frac{4}{5}} \tag{3.0.17}$$

$$\implies -75x + 100y = 175 \tag{3.0.18}$$

$$\implies \boxed{\begin{pmatrix} -3 & 4 \end{pmatrix} \mathbf{x} = 7} \tag{3.0.19}$$

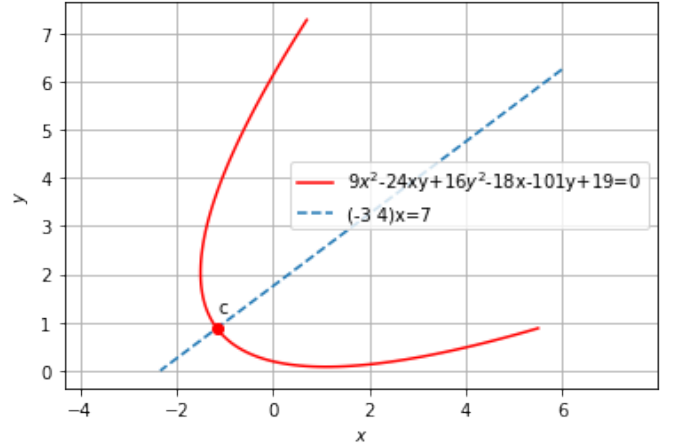Fig. 3.1: $9x^2$-24xy+$16y^2$-18x-101y+19=0

2) Parabola

$$y^2 - 4x + 2y + 4 = 0 \tag{3.0.20}$$

Here,

$$\mathbf{V} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.21}$$

$$\mathbf{u} = \begin{pmatrix} -2 \\ 1 \end{pmatrix} \tag{3.0.22}$$

$$f = 4 \tag{3.0.23}$$

Now,

$$\begin{pmatrix} -4 & 1 \\ 0 & 0 \\ 0 & 1 \end{pmatrix} \mathbf{c} = \begin{pmatrix} -4 \\ 0 \\ -1 \end{pmatrix} \tag{3.0.24}$$

$$\implies \mathbf{c} = \begin{pmatrix} \frac{3}{4} \\ -1 \end{pmatrix} \tag{3.0.25}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x - \frac{3}{4} \\ y + 1 \end{pmatrix} \tag{3.0.26}$$

Now,

$$\left| \mathbf{V} - \lambda \mathbf{I} \right| = 0 \tag{3.0.27}$$

$$\implies \begin{vmatrix} -\lambda & 0 \\ 0 & 1 - \lambda \end{vmatrix} = 0 \tag{3.0.28}$$

$$\implies \lambda_1 = 0, \lambda_2 = 1 \tag{3.0.29}$$

For $\lambda_1 = 0$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.30}$$

$$\implies \mathbf{p_1} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \tag{3.0.31}$$

Similarly for $\lambda_2 = 1$,

$$\mathbf{p_2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \tag{3.0.32}$$

$\because \lambda_1 < \lambda_2$
Hence, the axis using $\mathbf{p_1}$ is given by

$$\frac{\mathbf{e_2}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_2}^T \mathbf{p_1}} = \frac{\mathbf{e_1}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_1}^T \mathbf{p_1}} \tag{3.0.33}$$

$$\implies \frac{y + 1}{0} = \frac{x - \frac{3}{4}}{1} \tag{3.0.34}$$

$$\implies y + 1 = 0 \tag{3.0.35}$$

$$\implies \boxed{\begin{pmatrix} 0 & -1 \end{pmatrix} \mathbf{x} = -1} \tag{3.0.36}$$

3) Parabola

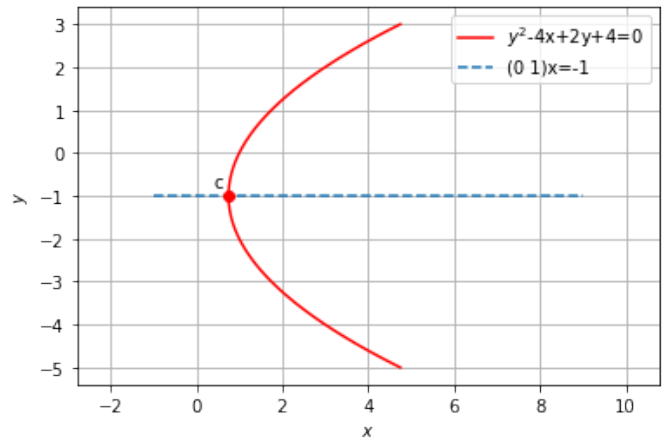$$y^2 = 8x \tag{3.0.37}$$

$$\implies y^2 - 8x = 0 \tag{3.0.38}$$

Fig. 3.2: y^2-4x+2y+4=0

Here,

$$\mathbf{V} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.39}$$

$$\mathbf{u} = \begin{pmatrix} -4 \\ 0 \end{pmatrix} \tag{3.0.40}$$

$$f = 0 \tag{3.0.41}$$

Now,

$$\begin{pmatrix} -8 & 1 \\ 0 & 0 \\ 0 & 1 \end{pmatrix} \mathbf{c} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \tag{3.0.42}$$

$$\implies \mathbf{c} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \tag{3.0.43}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x \\ y \end{pmatrix} \tag{3.0.44}$$

Now,

$$\left| \mathbf{V} - \lambda \mathbf{I} \right| = 0 \tag{3.0.45}$$

$$\implies \begin{vmatrix} -\lambda & 0 \\ 0 & 1 - \lambda \end{vmatrix} = 0 \tag{3.0.46}$$

$$\implies \lambda_1 = 0, \lambda_2 = 1 \tag{3.0.47}$$

For $\lambda_1 = 0$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \tag{3.0.48}$$

$$\implies \mathbf{p_1} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \tag{3.0.49}$$

Similarly for $\lambda_2 = 1$,

$$\mathbf{p_2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \qquad (3.0.50)$$

$\because \lambda_1 < \lambda_2$

Hence, the axis using $\mathbf{p_1}$ is given by

$$\frac{\mathbf{e_2}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_2}^T \mathbf{p_1}} = \frac{\mathbf{e_1}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_1}^T \mathbf{p_1}} \qquad (3.0.51)$$

$$\implies \frac{y}{0} = \frac{x}{1} \qquad (3.0.52)$$

$$\implies y = 0 \qquad (3.0.53)$$

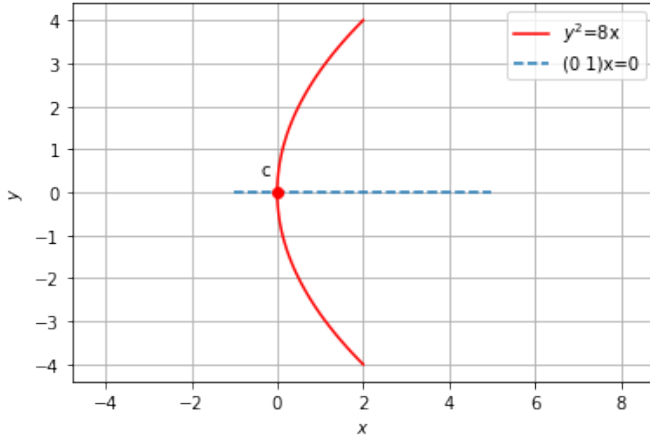$$\implies \boxed{\begin{pmatrix} 0 & 1 \end{pmatrix} \mathbf{x} = 0} \qquad (3.0.54)$$

Fig. 3.3: $y^2=8x$

4) Ellipse

$$x^2 + xy + y^2 = 100 \qquad (3.0.55)$$

Here,

$$\mathbf{V} = \begin{pmatrix} 1 & \frac{1}{2} \\ \frac{1}{2} & 1 \end{pmatrix} \qquad (3.0.56)$$

$$\mathbf{u} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \qquad (3.0.57)$$

$$f = -100 \qquad (3.0.58)$$

Now,

$$\mathbf{c} = \mathbf{V}^{-1}\mathbf{u} \qquad (3.0.59)$$

$$\implies \mathbf{c} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \qquad (3.0.60)$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x \\ y \end{pmatrix} \qquad (3.0.61)$$

Now,

$$|\mathbf{V} - \lambda\mathbf{I}| = 0 \qquad (3.0.62)$$

$$\implies \begin{vmatrix} 1 - \lambda & \frac{1}{2} \\ \frac{1}{2} & 1 - \lambda \end{vmatrix} = 0 \qquad (3.0.63)$$

$$\implies \lambda^2 - 2\lambda + \frac{3}{4} = 0 \qquad (3.0.64)$$

$$\implies \lambda_1 = \frac{1}{2}, \lambda_2 = \frac{3}{2} \qquad (3.0.65)$$

For $\lambda_1 = \frac{1}{2}$,

$$\mathbf{V} - \lambda_1\mathbf{I} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} \qquad (3.0.66)$$

$$\implies \mathbf{p_1} = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 1 \end{pmatrix} \qquad (3.0.67)$$

Similarly for $\lambda_2 = \frac{3}{2}$,

$$\mathbf{p_2} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \qquad (3.0.68)$$

$\because \lambda_1 < \lambda_2$

Hence, the major axis using $\mathbf{p_1}$ is given by

$$\frac{\mathbf{e_2}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_2}^T \mathbf{p_1}} = \frac{\mathbf{e_1}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_1}^T \mathbf{p_1}} \qquad (3.0.69)$$

$$\implies \frac{y}{\frac{1}{\sqrt{2}}} = \frac{x}{\frac{-1}{\sqrt{2}}} \qquad (3.0.70)$$

$$\implies y = -x \qquad (3.0.71)$$

$$\implies \boxed{\begin{pmatrix} 1 & 1 \end{pmatrix} \mathbf{x} = 0} \qquad (3.0.72)$$

And, the minor axis using $\mathbf{p_2}$ is given by

$$\frac{\mathbf{e_2}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_2}^T \mathbf{p_2}} = \frac{\mathbf{e_1}^T (\mathbf{x} - \mathbf{c})}{\mathbf{e_1}^T \mathbf{p_2}} \qquad (3.0.73)$$
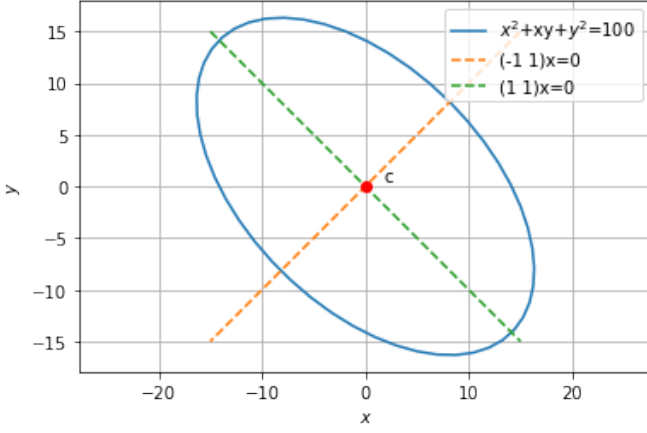
$$\implies \frac{y}{\frac{1}{\sqrt{2}}} = \frac{x}{\frac{1}{\sqrt{2}}} \qquad (3.0.74)$$

$$\implies y = x \qquad (3.0.75)$$

$$\implies \boxed{\begin{pmatrix} -1 & 1 \end{pmatrix} \mathbf{x} = 0} \qquad (3.0.76)$$

5) Hyperbola

$$xy - 3y + 2 = 0 \qquad (3.0.77)$$

Fig. 3.4: $x^2+xy+y^2=100$

Here,

$$\mathbf{V} = \frac{1}{2}\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \tag{3.0.78}$$

$$\mathbf{u} = \frac{-3}{2}\begin{pmatrix} 0 \\ 1 \end{pmatrix} \tag{3.0.79}$$

$$f = 2 \tag{3.0.80}$$

Now,

$$\mathbf{c} = \mathbf{V}^{-1}\mathbf{u} \tag{3.0.81}$$

$$\implies \mathbf{c} = \begin{pmatrix} 3 \\ 0 \end{pmatrix} \tag{3.0.82}$$

So,

$$(\mathbf{x} - \mathbf{c}) = \begin{pmatrix} x - 3 \\ y \end{pmatrix} \tag{3.0.83}$$

Now,

$$\left| \mathbf{V} - \lambda \mathbf{I} \right| = 0 \tag{3.0.84}$$

$$\implies \begin{vmatrix} -\lambda & \frac{1}{2} \\ \frac{1}{2} & -\lambda \end{vmatrix} = 0 \tag{3.0.85}$$

$$\implies \lambda^2 - \frac{1}{4} = 0 \tag{3.0.86}$$

$$\implies \lambda_1 = \frac{-1}{2}, \lambda_2 = \frac{1}{2} \tag{3.0.87}$$

For $\lambda_1 = \frac{-1}{2}$,

$$\mathbf{V} - \lambda_1 \mathbf{I} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} \tag{3.0.88}$$

$$\implies \mathbf{p_1} = \frac{1}{\sqrt{2}}\begin{pmatrix} -1 \\ 1 \end{pmatrix} \tag{3.0.89}$$

Similarly for $\lambda_2 = \frac{1}{2}$,

$$\mathbf{p_2} = \frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ 1 \end{pmatrix} \tag{3.0.90}$$

$\because \lambda_1 < \lambda_2$

Hence, the major axis using $\mathbf{p_1}$ is given by

$$\frac{\mathbf{e_2}^T(\mathbf{x} - \mathbf{c})}{\mathbf{e_2}^T\mathbf{p_1}} = \frac{\mathbf{e_1}^T(\mathbf{x} - \mathbf{c})}{\mathbf{e_1}^T\mathbf{p_1}} \tag{3.0.91}$$

$$\implies \frac{y}{\frac{1}{\sqrt{2}}} = \frac{x - 3}{\frac{-1}{\sqrt{2}}} \tag{3.0.92}$$

$$\implies x + y = 3 \tag{3.0.93}$$

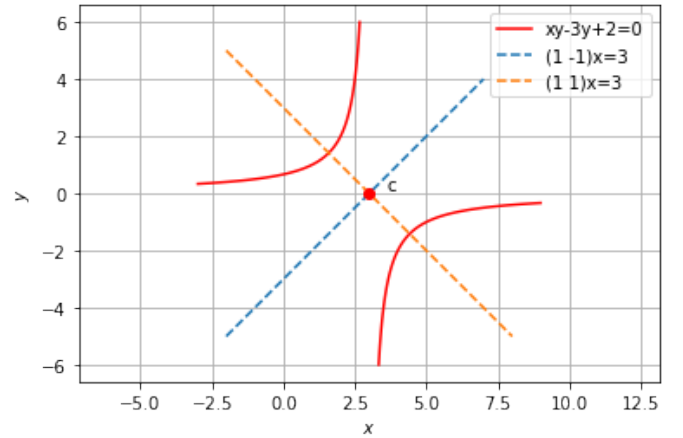$$\implies \boxed{\begin{pmatrix} 1 & 1 \end{pmatrix}\mathbf{x} = 3} \tag{3.0.94}$$

And, the minor axis using $\mathbf{p_2}$ is given by

$$\frac{\mathbf{e_2}^T(\mathbf{x} - \mathbf{c})}{\mathbf{e_2}^T\mathbf{p_2}} = \frac{\mathbf{e_1}^T(\mathbf{x} - \mathbf{c})}{\mathbf{e_1}^T\mathbf{p_2}} \tag{3.0.95}$$

$$\implies \frac{y}{\frac{1}{\sqrt{2}}} = \frac{x - 3}{\frac{1}{\sqrt{2}}} \tag{3.0.96}$$

$$\implies -x + y = 3 \tag{3.0.97}$$

$$\implies \boxed{\begin{pmatrix} -1 & 1 \end{pmatrix}\mathbf{x} = 3} \tag{3.0.98}$$

Fig. 3.5: xy-3y+2=0