

# TRIBHUVAN UNIVERSITY INSTITUTE OF ENGINEERING PURWANCHAL CAMPUS

#### COMPARISON OF DIFFERENT IMAGE SUPER RESOLUTION TECHNIQUES

BY:

ABHINAV JAISHI (PUR076BEI001)
MEGHA THAKUR (PUR076BEI021)
SANGHARSHA DAHAL (PUR076BEI029)
KABIN POUDEL (PUR076BEI047)

A MID TERM PROGRESS REPORT TO THE DEPARTMENT OF ELECTRONICS

AND COMPUTER ENGINEERING IN PARTIAL FULFILLMENT OF THE

REQUIREMENT FOR THE BACHELOR'S DEGREE IN ELECTRONICS,

COMMUNICATION AND INFORMATION ENGINEERING

# DEPARTMENT OF ELECTRONICS AND COMPUTER ENGINEERING PURWANCHAL CAMPUS DHARAN, NEPAL

**January 9, 2024** 

**ABSTRACT** 

Image Super Resolution (ISR) techniques have been developed for a long time. It has

witnessed substantial advancements in recent years, aiming to enhance the visual quality of

low-resolution images. This study compares different ISR technique based on deep learning

and suggest which works best on different scenarios.

This study conducts a comparative analysis of SRGAN which includes both SRResNet

and SRGAN, aiming to assess its performance and characteristics in the context of image

super-resolution. The investigation includes an exploration of the SRGAN architecture,

training process, and quantitative/qualitative evaluation methodologies. Visual comparisons

are made with ResNet and GAN techniques. The study aims to provide valuable insights

for enthusiasts and researcher interested in using SRGAN for high-quality image super-

resolution.

**Keywords:** Super Resolution, GAN, SRGAN, SRResNet

ii

### TABLE OF CONTENTS

ABSTRACT											
TA	ABLE	C OF CONTENTS	iii								
Ll	LIST OF FIGURES										
LIST OF FIGURES  LIST OF TABLES  1 INTRODUCTION  1.1 Statement of problem  1.2 Objectives  1.3 Scope and Applications  2 LITERATURE REVIEW  3 HARDWARE AND SOFTWARE REQUIREMENTS  3.1 Hardware Requirements											
1	INT	RODUCTION	1								
	1.1	Statement of problem	1								
	1.2	Objectives	1								
	1.3	Scope and Applications	2								
2	LIT	ERATURE REVIEW	3								
3											
	3.1	Hardware Requirements	4								
	3.2	Software Requirements	4								
4	REI	LATED THEORY	5								
	4.1	Architectural Explanations	5								
	4.2	General Explanations	8								
5	ME	THODOLOGY	9								
4	RES	SULT AND DISCUSSION	12								
	6.1	Current Result	12								
	6.2	Work Completed:	12								
	6.3	Work Remaining	12								
	6.4	Limitations	12								
	65	Worls Cahadula	12								

# **List of Figures**

1	SRResNet Architecture or Generator of SRGAN	5
2	Discriminator Architecture	7
3	COCO Set Images	9
4	Test Set Images(Set5, Set14, BSDS100)	9

## **List of Tables**

1	Evaluation Metrics in Test Datasets	10
1	Evaluation Metrics in Test Datasets	12

#### 1. INTRODUCTION

Image super resolution is a technique used to enhance the resolution and quality of image beyond its original size. It is useful technique in the field of image processing. It can be used to enhance the quality of image captured in night, shaky image etc. There are various techniques and algorithm used for image super resolution, including both traditional methods and deep learning-based approaches. Traditional super image resolution techniques are based on mathematics techniques. These techniques have been used for many years. Some of the traditional super resolution techniques include: Interpolation, Edge-Directed interpolation, Bayesian methods etc. Traditional methods have certain limitations compared to deep learning approaches. They may struggle to capture complex patterns and textures, and their performance is often constrained by the hand-crafted features and assumptions used in the algorithms. Deep learning based super resolution typically employs Convolution Neural Network (CNN) to learn the mapping between low-resolution and high-resolution images. The network is trained on large dataset of paired low-resolution and high-resolution images. During training, the networks learn to identify patterns and features that enables it to generate high-resolution details from low-resolution inputs.

#### 1.1. Statement of problem

The need of super image resolution arises when we encounter low-resolution images that lack the desired level of detail and clarity that may be due to various factors such as limitations in capturing hardware, resizing operations, or compression from social media. There is not only need of Super Resolution, We also need to know which method works best for which usecase.

#### 1.2. Objectives

- To implement different Super Resolution Algorithms.
- To compare and contrast between them to findout their best usecases.

#### 1.3. Scope and Applications

Image super resolution has numerous practical applications in field like photography, surveillance, and digital art where enhanced image quality is crucial for accurate decision-making, analysis, and interpretation. The development of efficient and accurate super-resolution method involves exploring traditional signal processing techniques, as well as cutting-edge deep learning approaches, to achieve optimal results while considering computational efficiency and resource constraints. We can understand that not every technique will work well in every scenarios. So, There is a need for understanding the techniques to use them where they perform best.

Super image resolution can be used for following things:

- 1. Super resolution can be used to improve the resolution of old and degraded images, preserving historical photographs, artworks, and documents.
- 2. Super resolution can be used in digital cameras and smartphones to provide better zoom capabilities without significant loss of image quality.
- 3. Super resolution can enhance the resolution of individual frames in videos, leading to improved video quality and better extraction of information.
- 4. In surveillance systems, low resolution camera feeds can be upscaled to improve the ability to identify faces, license plates, or other critical details, helping in investigations.

#### 2. LITERATURE REVIEW

In the timeline of image scaling process, the first used methods were different interpolation techniques and sparse representation-based methods. But with the advent of deep-learning based image scaling techniques interpolation methods were less commonly used in image enhancement.

D. Han (2013) "Comparison of commonly used image interpolation methods" discusses multiple interpolation methods like nearest neighbor, bilinear, bicubic, etc. Although interpolation is fast but have some disadvantages. But using these methods was not optimal for our use case as they may lead to the loss of fine details and sharpness in image and can amplify noise present in image which may not be accurate in real-world images.[1]

C. Dong, et. al, "Image super-resolution using deep convolutional networks" is a seminal paper on use of Deep Learning for the use of for the task of SR. It only consists of three layers and requires the LR image to be up-sampled using bicubic interpolation prior to being processed by the network, but it was shown to outperform the state-ofthe-art methods of that time.[2]

C. Ledig et al., "Photo-realistic single image super-resolution using a generative adversarial network" discusses about a Generative Adversarial Network (GAN) for image super-resolution (SR). It is the first framework capable of inferring photo-realistic natural images for 4x upscaling factors using GAN. To achieve this, it uses a perceptual loss function which consists of an adversarial loss and a content loss. The adversarial loss pushes the solution to the natural image manifold using a discriminator network that is trained to differentiate between the super-resolved images and original photo-realistic images. In addition, it uses a content loss motivated by perceptual similarity in VGG space instead of similarity in pixel RGB space. This deep residual network is able to recover photo-realistic textures from heavily down sampled images on public benchmarks. An extensive mean-opinion-score (MOS) test shows hugely significant gains in perceptual quality using SRGAN. The MOS scores obtained with SRGAN are closer to those of the original high-resolution images than to those obtained with any state-of-the-art method at the time. Both SRResNet and SRGAN are explained in related theory portion of the study.[3]

#### 3. HARDWARE AND SOFTWARE REQUIREMENTS

#### 3.1. Hardware Requirements

We used the system with following specifications for training:

- PC with Windows 11
- 16 GB RAM
- Nvidia Geforce GTX 1050(4GB)
- 256 GB storage
- Processor: Intel(R) Core(TM) i5-8300H CPU @ 2.30GHz

#### 3.2. Software Requirements

The software requirements for developing, training, evaluating, and deploying the model effectively are listed below:

- 1. **Integrated Development Environment (IDE):** We used Visual Studio Code (VS Code) as IDE for training, testing and documentation. It is a popular feature rich code editor. It is available on Windows, macOS, and Linux.
- 2. **Version Control System:** We used Git and Github. Git is a distributed version control system. It allows multiple developers to collaborate on projects, keeping track of modifications, managing different versions of files, and merging changes.
- 3. **Web framework:** We simply used Streamlit framework. Streamlit is an open-source Python library that makes it remarkably easy to create and share beautiful, custom web apps for machine learning and data science.
- 4. **Deep-Learning Frameworks:** We used PyTorch. It is an open-source, Python-based deep learning library. It allows to build and train neural networks for various tasks like computer vision, natural language processing, and more.

#### 4. RELATED THEORY

#### 4.1. Architectural Explanations

Image Super Resolution is a branch of Artificial Intelligence that deals with upscaling a Low-Resolution Image to High Resolution Image, filling in the missing pixels with the different techniques. There are other simpler methods to upscale images like Linear or Bicubic interpolation, but they do not generate any new information based on the environment and hence are not super useful to upscale an LR image. Deep Learning based methods require huge amounts of data so that the model is not overfitted, the dataset needs to contain an HR LR version of the same image that are perfectly aligned to each other. We need to synthetically create LR images from HR images.

SR aims to then reverse whichever degradation process is considered, to retrieve the original underlying high-fidelity image. We have implemented SSResNet and SRGAN till now. Their architecture is explained below:

1. **SRResNet:** The SRResNet is a fully convolutional network designed for 4x superresolution. It incorporates residual blocks with skip connections to increase the optimizability of the network despite its significant depth. The SRResNet is trained and used as a standalone network and provides a nice baseline for the SRGAN – for both comparison and initialization. We use the following architecture for this network.

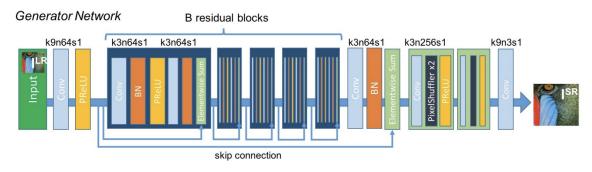


Figure 1: SRResNet Architecture or Generator of SRGAN

The SRResNet first contains convolution block of large Kernel Size 9x9, stride of 1

and 64 channels with PreLU activation. There are 16 residual blocks with convolution layer of Kernel size 3x3 followed by batch normalization, PreLU same conv layer again and batch normalization again. The output then is passed through same 3x3 conv layer and batch normalized. Two subpixel convolution blocks are used followed by PreLU activation each of which provides two times upscaling. Finally, a convolution with large kernel size of 9x9 and 1 stride with 3 out channels for RGB is done with Tanh activation to get super resolved image.

In Forward Pass, SRResNet produces a 4x upscaled image from provided low-res image using the above architecture. Mean-Squared Error (MSE) is used as the loss function to compare the upscaled image and original high-quality image. MSE is a type of content loss but here it only looks in RGB space of predicted and target images. Minimizing the MSE by changing the parameters of the network will make the model produce images closer to the original images.

2. SRGAN: Super-Resolution Generative Adversarial Network consist of two adversary networks Generator and Discriminator which are trained in tandem. The goal of the Generator is to learn to super-sample an image such that Discriminator can't tell difference between artificial and natural origins. The interplay between these two networks leads to the improvement of both over time.

The Generator learns not only by minimizing content loss, as in the case of the SRResNet but also by spying on the Discriminator's methods. By providing the Generator access to the Discriminator's inner workings in the form of the gradients produced therein when backpropagating from its outputs, the Generator can adjust its parameters in a way that alters the Discriminator's outputs in its favour. As the Generator produces more realistic high-resolution images, we use these to train the Discriminator, improving its discriminating abilities.

The Generator has same architecture as SRResNet. Training Discriminator is not different from any binary image classifier. It is trained on both real images and generated images from generator. In Forward Pass, it outputs the probability score  $P_{HR}$ . If the provided image was real, we desire the  $P_{HR}$  to be as high as possible

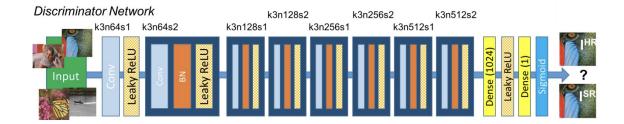


Figure 2: Discriminator Architecture

towards 1. And for generated image as low as possible towards 0. We use Binary Cross Entropy loss  $-logP_{HR}$  when input image is real and  $-logP_{SR}$  when image is generated. Here  $P_{SR} = 1 - P_{HR}$ . Minimizing these losses by changing the parameters will make discriminator predict higher probability for real images and lower probability for generated images.

We use better content loss than that of SRResNet. In ResNet we use MSE loss in RGB space which produces overly smooth images with no finer details. There are a lot of possibilities of similar pixel combination that can be formed from low resolution image patch. When we use content loss in RGB space, it averages the output rather than choosing one of the combinations which would produce better result as an overly smooth "averaged" prediction will always have lower MSE.

We can use CNN trained to classify images to find deeper meaning of patterns in images. This new representation space is more suitable for calculating content loss and can hallucinate new details showing creativity. We specifically use the VGG19 network as recommended in the paper. We use MSE-based content loss in this VGG space to compare the images. The use of content loss is only one component of the generator update, we use adversarial loss obviously. The super-resolved image is passed through the Discriminator with its weight frozen not to update the discriminator but to get the probability score  $P_{HR}$  with misleading label and use the BCE loss  $-log P_{HR}$  and resulting gradient information to update the Generator's weights such that it produces images closer to its natural origin. GAN is trained in an interleaved fashion, where the generator and discriminator are alternatively trained for short periods of time just once before making the switch in this case.

## **4.2.** General Explanations

#### 5. METHODOLOGY

In this chapter we will discuss about Data Collection, Data Preprocessing, Metrics used to evaluate the results.

1. **Datasets:** We use different datasets for training and testing purposes. We tried CelebA dataset, Outdoor Scene Test(OST) datasets and finally landed upon Common Objects in Context dataset(COCO) for training purposes.



Figure 3: COCO Set Images



Figure 4: Test Set Images(Set5, Set14, BSDS100)

We are using 2014 variant of COCO dataset. It contains 83k train images and 41k validation images. We are using both of these sets as training set images in our case.

We have a total of 124k training images. We have used three famous testing datasets used in Super Resolution application Set5, Set14 and BSD100. They are industry standard testing data sets. We are using to these test datasets to calculate PSNR and SSIM and superesolve them to compare between different superesolved images.

- 2. Data Preprocessing: We can't process the images directly as images are very resource intensive, We need to train on the cropped patches of images. And to train generator we need high resolution and low resolution version of same patch. In Data Preprocessing step we crop and downsample the images.
  - (a) Cropping: We need to crop a random patch of image every time. So We create a function to give valid left, top, right, bottom postion of images for a certain patch size. In this case we use 96x96 patch of an image. We then use crop function of the PIL library to crop a patch of image by passing those postions.
  - (b) Downsampling: We use Bicubic downsampling to downsample the cropped patch of image by a scaling factor of 4 in this case. Bicubic Downsampling is a method used to reduce the resolution of an image by averaging pixel values in a specific way. It is commonly employed in image processing and computer graphics when it's necessary to create a lower-resolution version of an image.
- 3. Evaluation Metrics: We use PSNR and SSIM as two evaluation Metrics to measure the performance of the models. Although, Images perception is very different for humans than these metrics. It provides some theoretical value to compare. The author of SRGAN paper suggested Mean opinion score as a better alternative but it is very difficult to conduct such survey.

PSNR (Peak Signal-to-Noise Ratio) is a measure of the quality of a reconstructed or processed image. It compares the peak signal power to the noise power that affects the quality of the image. It can be calculated as:

$$PSNR = 10 * log_{10}(\frac{MAX^2}{MSE})$$

where MAX is the maximum possible pixel value of the image and MSE (Mean Squared Error) is the average squared difference between the original and the recon-

structed images. Higher PSNR values generally indicate better image quality, as it implies lower distortion or noise in the reconstructed image.

SSIM (Structural Similarity Index) is a metric that measures the structural similarity between two images. It takes into account luminance, contrast, and structure information to provide a more comprehensive assessment of image quality. It can be calculated by using three terms luminance(l), contrast(c) and structure(s) as:

$$SSIM(x, y) = \frac{(2 * \mu_x * \mu_y + C_1)(2 * \sigma_{xy} + C_2)}{(\mu_x^2 + \mu_y^2 + C_1)(\sigma_x^2 + \sigma_y^2 + C_2)}$$

where  $\mu$  is the mean,  $\sigma$  is the standard deviation, and the  $\sigma_{xy}$  is the covariance between x and y.  $C_1$  and  $C_2$  are constants to avoid instability when the denominator is close to zero.

SSIM values range from -1 to 1, where 1 indicates perfect similarity. Higher SSIM values imply better structural similarity between the original and the reconstructed images.

#### 6. RESULT AND DISCUSSION

#### **6.1.** Current Result

	PSNR	SISM	PSNR	SISM	PSNR	SISM	
ResNet	29.83	0.887	28.275	0.795	27.203	0.751	
SRGAN	23.946	0.821	25.112	0.715	24.631	0.662	
	Se	t5	Set	14	BSD100		

Table 1: Evaluation Metrics in Test Datasets

#### **6.2.** Work Completed:

As we are planning on comparison of different methods. We have selecteed few methods like SRCNN, SRGAN, and ESRGAN. SRGAN involves residual network SRResNet which will be also used as baseline for SRGAN. We have trained and tested SRGAN on various sets of data involving CelebA, DIV2k, Flickr2k, OST and COCO(2014). CoCo has a large and diverse set of data and it perfromed well thats why we are finalizing this dataset for training further methods.

#### 6.3. Work Remaining

- 1. We have not trained models for SRCNN and ESRGAN yet.
- 2. We don't have a polished website to showcase our results.

#### **6.4.** Limitations

Few limitations related to SRGAN that we have trained are:

1. The implementation and training of SRGAN demand substantial computational resources, posing challenges in terms of the time and hardware needed.

- 2. The effectiveness of SRGAN relies heavily on the availability of a sizable and diverse training dataset, presenting challenges in obtaining and curating such data.
- 3. There is alot of subjectivity in evaluating the images as quantative metrics can't identify human perception.
- 4. The study lacks comparison with real-world benchmarks or scenarios.
- 5. Due to constraints, we couln't explore tinkering hyperparameters.
- 6. The study lacks a direct comparison with the latest state-of-the-art super-resolution techniques.

#### **6.5.** Work Schedule:

Action plan		2023						2024		
		Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Research										
Data Acquisition										
Model Development and Evaluation										
Model Deployment										
Prepare the report and presentation										

### References

- [1] Dianyuan Han. Comparison of commonly used image interpolation methods. In *Proceedings of the 2nd International Conference on Computer Science and Electronics Engineering (ICCSEE 2013)*. Atlantis Press, 2013/03.
- [2] Chao Dong, Chen Change Loy, Kaiming He, and Xiaoou Tang. Image super-resolution using deep convolutional networks, 2015.
- [3] Christian Ledig, Lucas Theis, Ferenc Huszar, Jose Caballero, Andrew P. Aitken, Alykhan Tejani, Johannes Totz, Zehan Wang, and Wenzhe Shi. Photo-realistic single image super-resolution using a generative adversarial network. *CoRR*, abs/1609.04802, 2016.