



# Physical Chemistry 2

*Lecture 5. Other problems in one dimension*

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# Topics in Lecture 5

Derivation of uncertainty principle

3D particle-in-a-box problem

Step potential

Electron tunneling

In Atkins' *Physical Chemistry* (11th ed.),

7D Translational motion

Main Reference for this lecture

David J. Griffiths, Darrell F. Schroeter

*Introduction to Quantum Mechanics* (3rd ed.), Cambridge University Press (2018).

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# Uncertainty principle

Consider arbitrary observable  $A$  and  $B$ . Then variation of them are given by

$$\sigma_A^2 = \left\langle (\hat{A} - \langle A \rangle)^2 \right\rangle = \left\langle (\hat{A} - \langle A \rangle)\Psi \mid (\hat{A} - \langle A \rangle)\Psi \right\rangle \equiv \langle f \mid f \rangle$$

Similarly, define  $g$ :

$$\sigma_B^2 = \left\langle (\hat{B} - \langle B \rangle)^2 \right\rangle = \left\langle (\hat{B} - \langle B \rangle)\Psi \mid (\hat{B} - \langle B \rangle)\Psi \right\rangle \equiv \langle g \mid g \rangle$$

From *Cauchy-Schwarz inequality*, we yield

$$\sigma_A^2 \sigma_B^2 = \langle f \mid f \rangle \langle g \mid g \rangle \geq |\langle f \mid g \rangle|^2$$



# Uncertainty principle

Let's get help from the next equation. For arbitrary complex number  $z$ , the following holds:

$$|z|^2 = [\Re(z)]^2 + [\Im(z)]^2 \geq [\Im(z)]^2 = \left[ \frac{1}{2i}(z - z^*) \right]^2$$

Then

$$\sigma_A^2 \sigma_B^2 = \langle f | f \rangle \langle g | g \rangle \geq |\langle f | g \rangle|^2 \geq \left( \frac{1}{2i} [\langle f | g \rangle - \langle g | f \rangle] \right)^2$$

$$\langle f | g \rangle = \langle (\hat{A} - \langle A \rangle) \Psi | (\hat{B} - \langle B \rangle) \Psi \rangle = \langle \Psi | (\hat{A} - \langle A \rangle)(\hat{B} - \langle B \rangle) | \Psi \rangle = \langle \hat{A} \hat{B} \rangle - \langle \hat{A} \rangle \langle \hat{B} \rangle$$

$$\langle g | f \rangle = \langle \hat{B} \hat{A} \rangle - \langle \hat{B} \rangle \langle \hat{A} \rangle$$

Therefore

$$\langle f | g \rangle - \langle g | f \rangle = \langle \hat{A} \hat{B} \rangle - \langle \hat{B} \hat{A} \rangle = \langle [\hat{A}, \hat{B}] \rangle, \quad \therefore \sigma_A^2 \sigma_B^2 \geq \left( \frac{1}{2i} \langle [\hat{A}, \hat{B}] \rangle \right)^2$$

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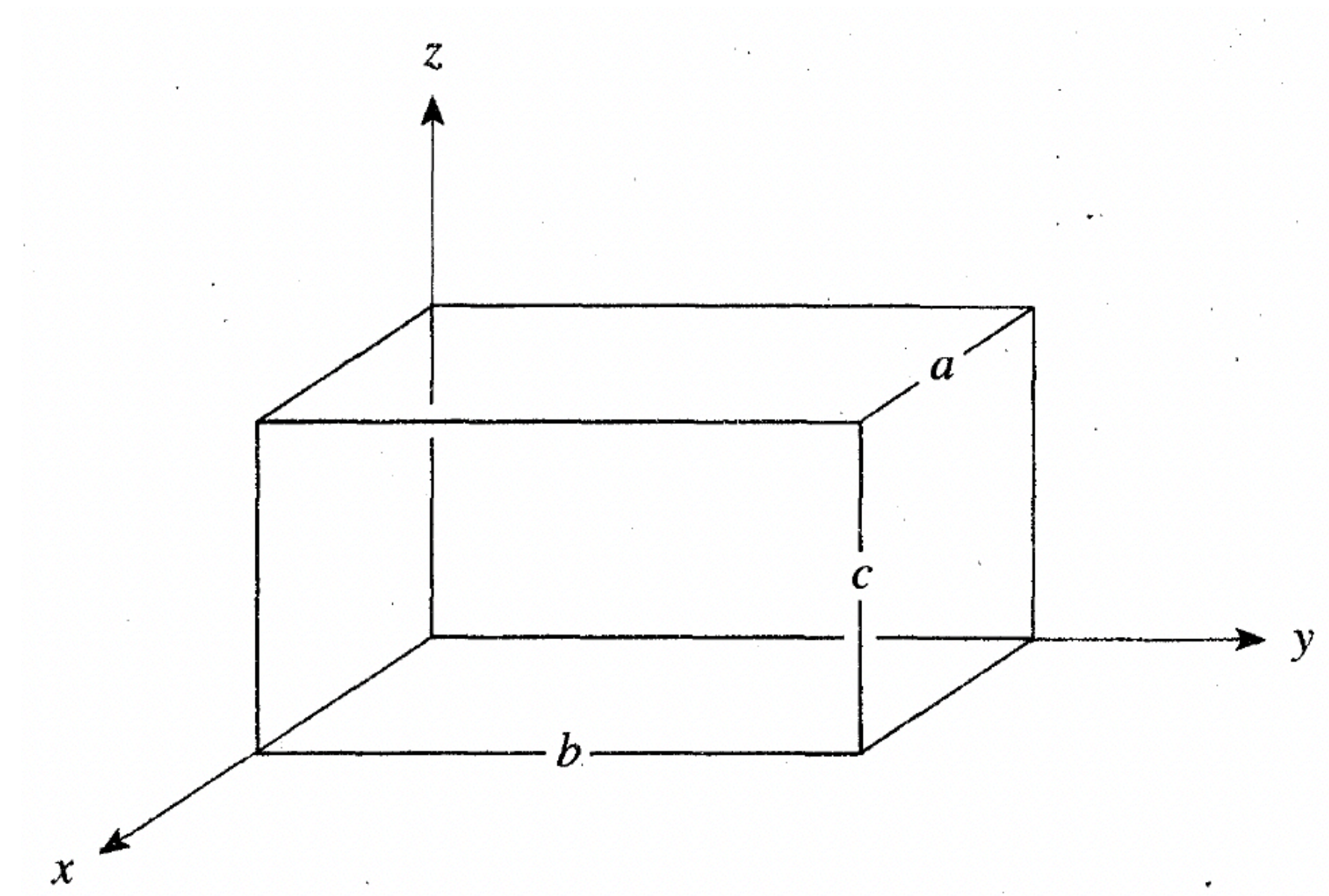
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# 3D particle in a box

Hamiltonian becomes three-dimensional.  $-\frac{\hbar^2}{2m}\nabla^2\psi(x,y,z) = E\psi(x,y,z)$

Potential energy is zero inside the box, but infinity otherwise.

$$V(x,y,z) = 0 \text{ if } 0 \leq x,y,z \leq a,b,c, \quad V(x,y,z) = \infty \text{ otherwise}$$





# 3D particle in a box

**Separation of variables.** Separate each directions!  $\psi(x, y, z) = X(x)Y(y)Z(z)$

$$-\frac{\hbar^2}{2m} \left( YZ \frac{d^2 X}{dx^2} + ZX \frac{d^2 Y}{dy^2} + XY \frac{d^2 Z}{dz^2} \right) = EXYZ$$

Divide with  $XYZ$  and separate the energy:  $E = E_x + E_y + E_z$ . Then we yield 3 identical equations.

$$-\frac{\hbar^2}{2m} \frac{d^2 X}{dx^2} = E_x X, \quad -\frac{\hbar^2}{2m} \frac{d^2 Y}{dy^2} = E_y Y, \quad -\frac{\hbar^2}{2m} \frac{d^2 Z}{dz^2} = E_z Z$$

Therefore the solution of 3D particle in a box is straightforward.

$$\psi(x, y, z) = X(x)Y(y)Z(z) = \left( \frac{8}{abc} \right)^{1/2} \sin \frac{n_x \pi x}{a} \sin \frac{n_y \pi y}{b} \sin \frac{n_z \pi z}{c} \quad (n_x, n_y, n_z = 1, 2, \dots)$$

$$E = E_x + E_y + E_z = \frac{h^2}{8m} \left( \frac{n_x^2}{a^2} + \frac{n_y^2}{b^2} + \frac{n_z^2}{c^2} \right) \quad (n_x, n_y, n_z = 1, 2, \dots)$$





# 3D particle in a box

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$$E = E_x + E_y + E_z = \frac{h^2}{8m} \left( \frac{n_x^2}{a^2} + \frac{n_y^2}{b^2} + \frac{n_z^2}{c^2} \right) \quad (n_x, n_y, n_z = 1, 2, \dots)$$

# 3D particle in a box

**Degeneracy.** For convenience, assume  $a=b=c$ . Then, energy levels are

$$E = E_x + E_y + E_z = \frac{h^2}{8ma^2} (n_x^2 + n_y^2 + n_z^2) \quad (n_x, n_y, n_z = 1, 2, \dots)$$

The ground state is

$$E_{111} = \frac{3h^2}{8ma^2}$$

But we have three degenerate excited states. We call this phenomenon as degeneracy.

$$E_{112} = E_{121} = E_{211} = \frac{6h^2}{8ma^2}$$

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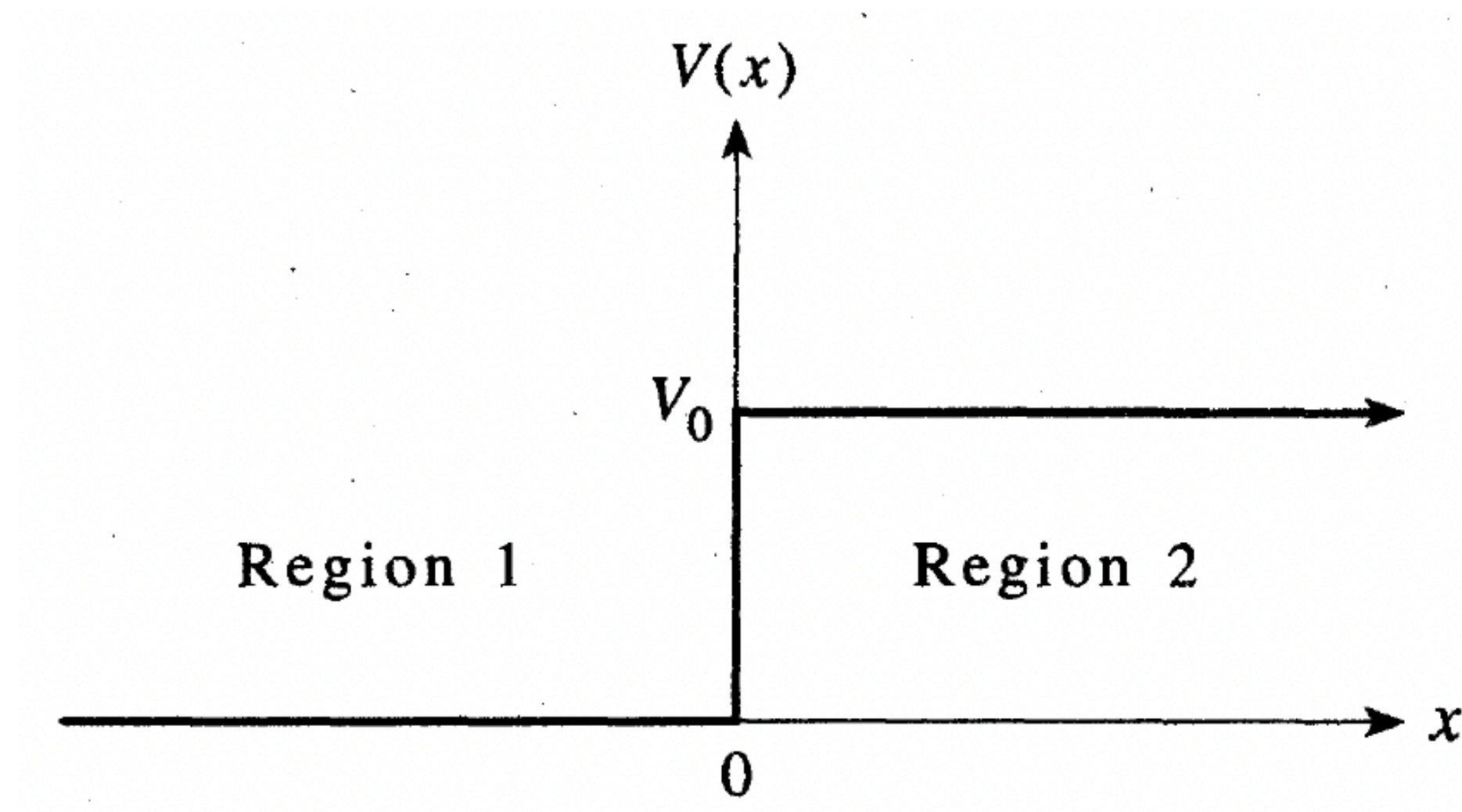
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# Problems in 1 dimension

Step potential.



What should we use? *Boundary conditions on  $x=0$ .*

- Continuity of wavefunction
- Continuity of first derivative of wavefunction.



# Step potential

## Problem 9. Scattering on step function

(a) Let  $\psi_1(x)$  denote the wavefunction for  $x < 0$  and  $\psi_2(x)$  for  $x > 0$ . Then we can set

$$\psi_1(x) = Ae^{ik_0x} + Be^{-ik_0x}, \quad \psi_2(x) = Ce^{ikx} \quad (k_0^2 = \frac{2mE}{\hbar^2}, \quad k^2 = \frac{2m(E - V_0)}{\hbar^2})$$

since  $E > V_0$ . Boundary conditions are

$$\psi_1(0) = \psi_2(0) \implies A + B = C$$

$$\left. \frac{d\psi_1}{dx} \right|_{x=0} = \left. \frac{d\psi_2}{dx} \right|_{x=0} \implies ik_0A - ik_0B = ikC$$

(b) Since  $A + B = C$  and  $A - B = \frac{k}{k_0}C$ , we can obtain

$$\frac{C}{A} = \frac{2k_0}{k_0 + k}, \quad \frac{B}{A} = \frac{C}{A} \frac{B}{C} = \frac{2k_0}{k_0 + k} \cdot \frac{k_0 - k}{2k_0} = \frac{k_0 - k}{k_0 + k}$$

Therefore reflection and transmission coefficients are

$$R = \left| \frac{B}{A} \right|^2 = \frac{(k_0 - k)^2}{(k_0 + k)^2}, \quad T = \frac{k}{k_0} \left| \frac{C}{A} \right|^2 = \frac{4kk_0}{(k_0 + k)^2}$$

- When  $E \rightarrow V_0$ ,  $k \rightarrow 0$ . Therefore  $T \rightarrow 0$  and  $R \rightarrow 1$ .
- When  $E \rightarrow \infty$ ,  $k \rightarrow k_0$ . Therefore  $T \rightarrow 1$  and  $R \rightarrow 0$ .

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# Step potential

(c) Let  $\psi_1(x)$  denote the wavefunction for  $x < 0$  and  $\psi_2(x)$  for  $x > 0$ . Then we can set

$$\psi_1(x) = Ae^{ik_0x} + Be^{-ik_0x}, \quad \psi_2(x) = Ce^{-\kappa x} \quad (k_0^2 = \frac{2mE}{\hbar^2}, \quad \kappa^2 = \frac{2m(V_0 - E)}{\hbar^2})$$

since  $0 < E < V_0$ . Boundary conditions are

$$\psi_1(0) = \psi_2(0) \implies A + B = C$$

$$\left. \frac{d\psi_1}{dx} \right|_{x=0} = \left. \frac{d\psi_2}{dx} \right|_{x=0} \implies ik_0A - ik_0B = -\kappa C$$

(d) From the boundary condition, we can get

$$\frac{C}{A} = \frac{2}{1 + ik/k_0}, \quad \frac{B}{A} = \frac{C}{A} \cdot \frac{B}{C} = \frac{2}{1 + ik/k_0} \frac{1 - ik/k_0}{2} \implies R = \left| \frac{B}{A} \right|^2 = 1$$

Therefore,  $T = 0$  indeed. We can justify this result by calculating the probability current. Since

$$\vec{j} = \frac{\hbar}{2mi}(\psi^* \nabla \psi - \psi \nabla \psi^*) = \frac{\hbar}{2mi}|C|^2(e^{-\kappa x} \partial_x e^{-\kappa x} - e^{-\kappa x} \partial_x e^{-\kappa x}) = 0$$

we can justify the result  $T = 0$ , since there is no probability current in  $x > 0$ .





# Problems in 1 dimension

**Electron tunneling.** Consider the scattering problem for the finite square well potential given by

$$V(x) = +V_0 \quad (|x| \leq a), \quad 0 \quad (\text{o.w.})$$

where  $V_0$  is a positive constant.

(a) Region 1 :  $x < -a$ , Region 2 :  $|x| < a$ , Region 3 :  $x > a$ . Then

$$\psi_1(x) = Ae^{ikx} + Be^{-ikx}, \quad \psi_2(x) = Ce^{\kappa x} + De^{-\kappa x}, \quad \psi_3(x) = Fe^{ikx}$$

where  $k^2 = \frac{2mE}{\hbar^2}$ ,  $\kappa^2 = \frac{2m(V_0 - E)}{\hbar^2}$ ,  $T = \left| \frac{F}{A} \right|^2$ . Boundary conditions are

$$\psi_1(-a) = \psi_2(-a) \implies Ae^{-ika} + Be^{ika} = Ce^{-\kappa a} + De^{\kappa a} \quad (1)$$

$$\left. \frac{d\psi_1}{dx} \right|_{-a} = \left. \frac{d\psi_2}{dx} \right|_{-a} \implies ikAe^{-ika} - ikBe^{ika} = \kappa Ce^{-\kappa a} - \kappa De^{\kappa a} \quad (2)$$

$$\psi_2(a) = \psi_3(a) \implies Ce^{\kappa a} + De^{-\kappa a} = Fe^{ika} \quad (3)$$

$$\left. \frac{d\psi_2}{dx} \right|_a = \left. \frac{d\psi_3}{dx} \right|_a \implies \kappa Ce^{\kappa a} - \kappa De^{-\kappa a} = ikFe^{ika} \quad (4)$$



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# Electron tunneling

By adding and subtracting equations (3) and (4)/ $ik$ , we get

$$C = \frac{e^{ika}e^{-\kappa a}}{2} \left(1 + i\frac{k}{\kappa}\right) F, \quad D = \frac{e^{ika}e^{\kappa a}}{2} \left(1 - i\frac{k}{\kappa}\right) F$$

By equations (1) and (2),

$$2Ae^{-ika} = \left(1 - i\frac{\kappa}{k}\right) Ce^{-\kappa a} + \left(1 + \frac{\kappa}{k}\right) De^{\kappa a}$$

By substituting  $C$  and  $D$ , we get

$$\begin{aligned} \frac{A}{F} \cdot 4e^{-2ika} &= \left(2 + i\frac{k}{\kappa} - i\frac{\kappa}{k}\right) e^{-2\kappa a} + \left(2 + i\frac{\kappa}{k} - i\frac{k}{\kappa}\right) e^{-2\kappa a} \\ &= 4 \cosh(2\kappa a) + 2i \frac{\kappa^2 - k^2}{\kappa k} \sinh(2\kappa a) \end{aligned}$$

$$\text{Since } T^{-1} = \left| \frac{A}{F} \right|^2,$$

$$16 \left| \frac{A}{F} \right|^2 = 16 \cosh^2(2\kappa a) + 4 \frac{(\kappa^2 - k^2)^2}{\kappa^2 k^2} \sinh^2(2\kappa a) = 16 + 4 \frac{(\kappa^2 + k^2)^2}{\kappa^2 k^2} \sinh^2(2\kappa a)$$

From the definition of  $\kappa$  and  $k$ , we get

$$T^{-1} = 1 + \frac{V_0^2}{4E(V_0 - E)} \sinh^2(2\kappa a)$$

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# Electron tunneling

(b) For  $E = V_0$  case, consider about the  $E \rightarrow V_0$  ( $\kappa \rightarrow 0$ ) limit. Assume  $\kappa a \ll 1$ . Then,

$$\sinh(2\kappa a) = \frac{e^{2\kappa a} - e^{-2\kappa a}}{2} \sim \frac{(1 + 2\kappa a) - (1 - 2\kappa a)}{2} = 2\kappa a$$

Therefore

$$T^{-1} \sim 1 + \frac{V_0^2}{4E(V_0 - E)} \cdot 4\kappa^2 a^2 = 1 + \frac{2ma^2 V_0^2}{E\hbar^2} \sim \boxed{1 + \frac{2ma^2 V_0}{\hbar^2}}$$

For  $E > V_0$  case, we can just replace  $\kappa$  with  $ik'$ . If we use the identity  $\sinh(iz) = i \sin(z)$ ,

$$T^{-1} = 1 + \frac{((ik')^2 + k^2)^2}{4(ik')^2 k^2} \sinh^2(2ik'a) = 1 + \frac{(k^2 - k'^2)^2}{4k'^2 k^2} \sin^2(2k'a) = \boxed{1 + \frac{V_0^2}{4E(E - V_0)} \sin^2(2k'a)}$$

(c) From the  $T$  value we calculated in (a) and (b),

$$E < V_0 : T^{-1} = 1 + \frac{\sinh^2(2\kappa a)}{4\epsilon(1 - \epsilon)}$$
$$E > V_0 : T^{-1} = 1 + \frac{\sin^2(2k'a)}{4\epsilon(\epsilon - 1)}$$

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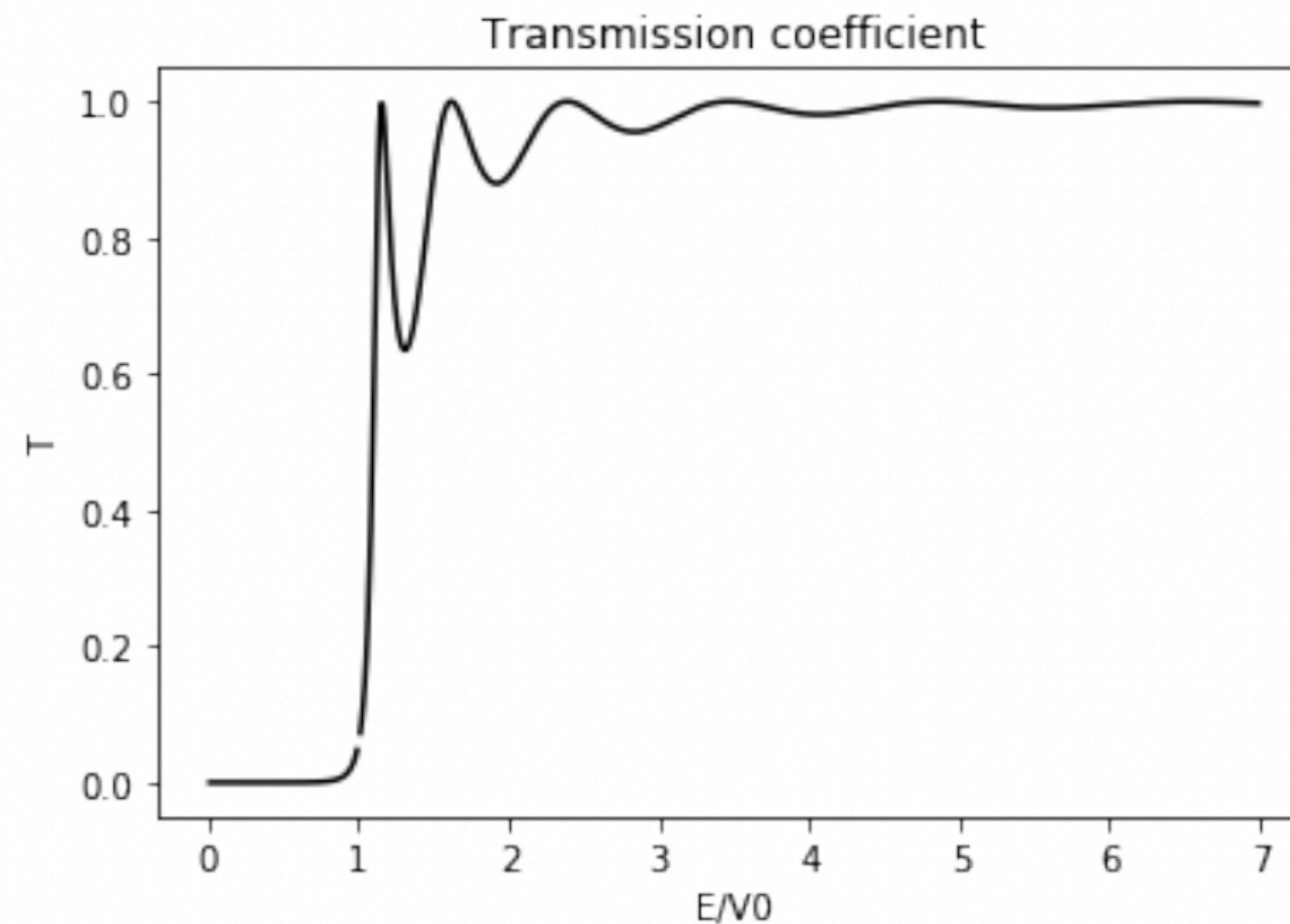
# Electron tunneling

Since  $\kappa a = \sqrt{\frac{2ma^2V_0}{\hbar^2}}(1 - \epsilon) = R\sqrt{1 - \epsilon}$  and  $k'a = R\sqrt{\epsilon - 1}$

$$E < V_0 : T^{-1} = 1 + \frac{\sinh^2(2R\sqrt{1 - \epsilon})}{4\epsilon(1 - \epsilon)}$$

$$E > V_0 : T^{-1} = 1 + \frac{\sin^2(2R\sqrt{\epsilon - 1})}{4\epsilon(\epsilon - 1)}$$

Source code in the attached file.



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