



# Mining for Safety using Interactive Trace Analysis

---

Stephan Brandauer, Tobias Wrigstad

<http://stbr.me/spencer>

 sbrandauer

# This Project

- Dynamic, offline analysis of executions of Java programs
- Look for safety properties of objects, classes, fields
  - immutability, uniqueness, stack boundedness
- Develop a Spencer: tool to facilitate this, and similar studies
  - “rapid prototyping” of dynamic analyses
  - you can use it!

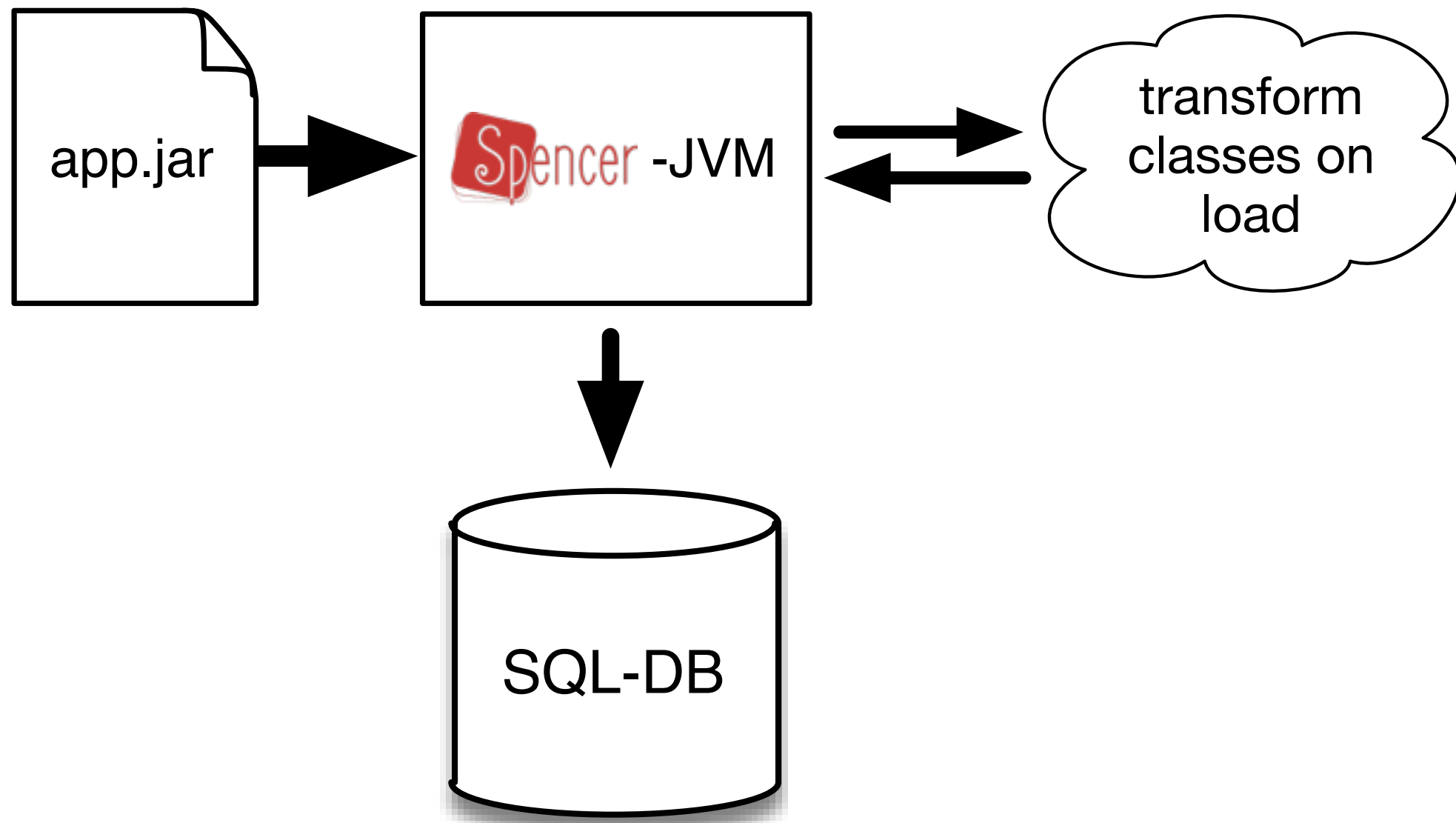


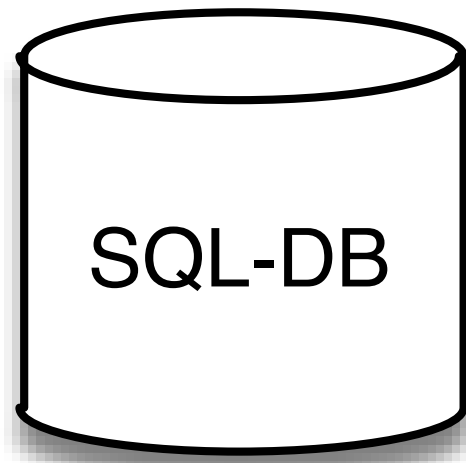
- We're building <http://spencer-t.racing>
- Openly accessible web service
- For analysing pre-recorded program traces
- 680GB of data, 4.5E9 events, 9 program runs
- Open source ([github.com/kaeluka/spencer-all](https://github.com/kaeluka/spencer-all))

# Spencer — Use Cases

- “I’m inventing a language abstraction and want to find cases that it can’t handle well.”
- “I’m about to implement a new garbage collector and want to know whether the heaps it optimises for are common (and which programs could be problematic).”
- We’re currently using the data to evaluate hypothetical computer architecture changes.

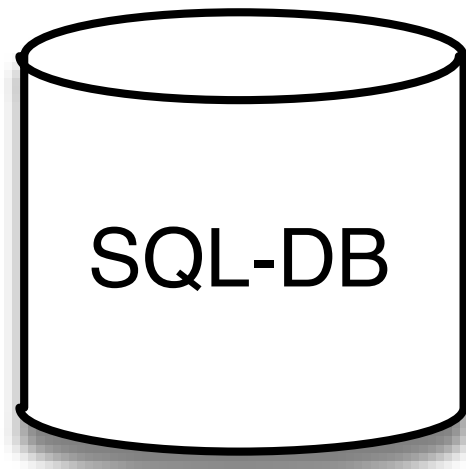
# Workflow





SQL-DB

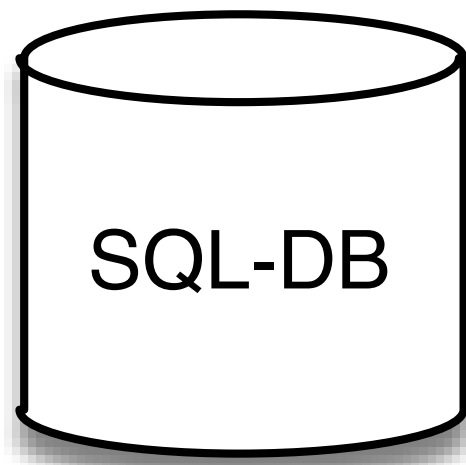




calls ✓

```
# SELECT * FROM calls WHERE callstart = 511073 ;
```

caller	callee	name	callstart	callend	callsitefile	callsiteline	thread
10530	10247	startsWith	511073	511091	MetaIndex.java	242	main



calls ✓  
uses ✓

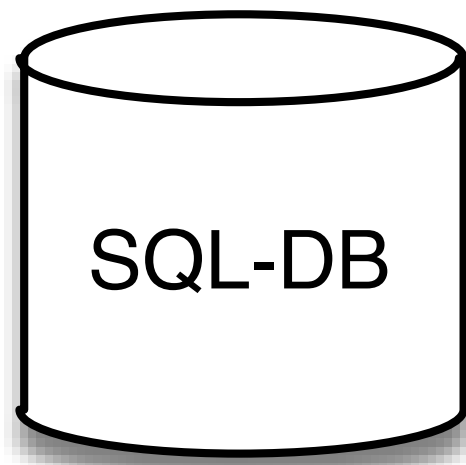
```
# SELECT * FROM calls WHERE callstart = 511073 ;
```

caller	callee	name	callstart	callend	callsitefile	callsiteline	thread
10530	10247	startsWith	511073	511091	MetaIndex.java	242	main

```
# SELECT * FROM uses WHERE idx ≥ 511073 AND idx ≤ 511091 ;
```

caller	callee	name	method	kind	idx	thread
10247	10247	var_1	startsWith	varstore	511074	main
10247	10247	var_1	startsWith	varload	511075	main
... snip ...						
10247	10247	var_5	startsWith	varload	511088	main
10247	10453	_0	startsWith	read	511089	main





calls ✓  
uses ✓  
refs ✓

```
# SELECT * FROM calls WHERE callstart = 511073 ;
caller | callee | name      | callstart | callend | callsitefile | callsiteline | thread
-----+-----+-----+-----+-----+-----+-----+-----
10530  | 10247  | startsWith | 511073    | 511091  | MetaIndex.java | 242          | main
```

```
# SELECT * FROM uses WHERE idx ≥ 511073 AND idx ≤ 511091 ;
caller | callee | name  | method  | kind   | idx  | thread
-----+-----+-----+-----+-----+-----+-----
10247  | 10247  | var_1 | startsWith | varstore | 511074 | main
10247  | 10247  | var_1 | startsWith | varload  | 511075 | main
... snip ...
10247  | 10247  | var_5 | startsWith | varload  | 511088 | main
10247  | 10453  | _0    | startsWith | read     | 511089 | main
```

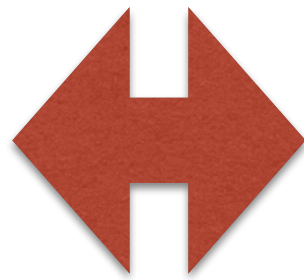
```
# SELECT * FROM refs WHERE caller = 10247 AND kind = 'field' ;
caller | callee | kind  | name  | refstart | refend | thread
-----+-----+-----+-----+-----+-----+-----
10247  | 10248  | field | value | 421877  |        | main
```

# Spencer DSL

- Object selections are single expressions
- Compiled to SQL queries
- Simplicity for Expressivity Tradeoff

# Spencer DSL

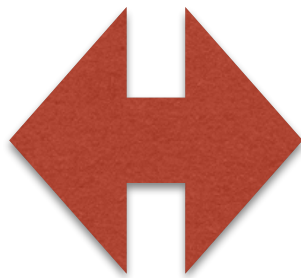
ImmutableObj()



```
SELECT id FROM objects WHERE id > 4
EXCEPT
(SELECT DISTINCT callee AS id
 FROM uses_cstore
 WHERE callee > 4
 AND NOT(caller = callee AND method = '<init>')
 AND (kind = 'fieldstore' OR kind = 'modify'))
```

# Spencer DSL

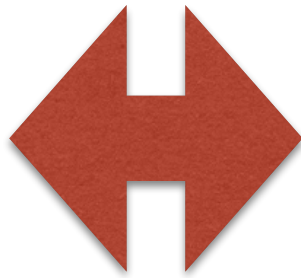
StackBoundObj()



```
SELECT id
FROM   objects
WHERE  id > 4
AND    NOT EXISTS (
    SELECT 1
    FROM    refs
    WHERE   refs.callee = objects.id
    AND     refs.kind = 'field'
)
```

# Spencer DSL

HeapUniqueObj()

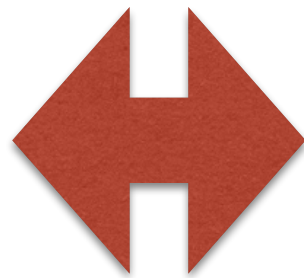


```
SELECT callee AS id FROM
(SELECT callee, time, SUM(delta) OVER(PARTITION BY
callee ORDER BY time) AS sum_at_time
FROM (
  (SELECT
    callee, refstart AS time, 1 AS delta
    FROM refs
    WHERE callee > 4 AND kind = 'field') UNION ALL
  (SELECT
    callee, refend AS time, -1 AS delta
    FROM refs
    WHERE callee > 4 AND kind = 'field')
  ) AS steps) AS integrated_steps
GROUP BY callee
HAVING MAX(sum_at_time) = 1
```



# Spencer DSL: Composing Queries

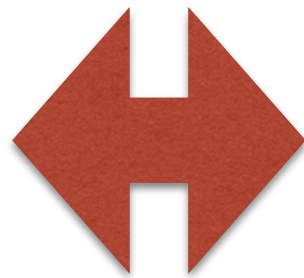
InstanceOf(java.lang.String)



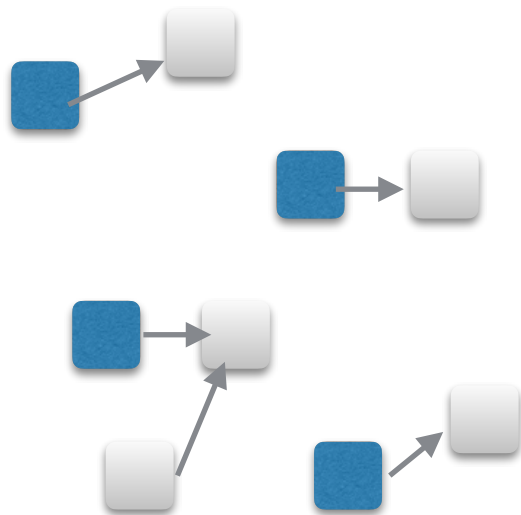
```
SELECT id FROM objects WHERE klass = 'java.lang.String'
```

# Spencer DSL: Composing Queries

InstanceOf(java.lang.String)

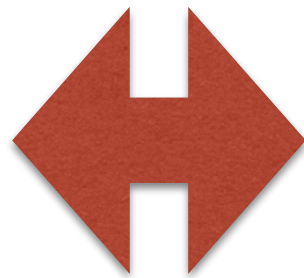


```
SELECT id FROM objects WHERE klass = 'java.lang.String'
```

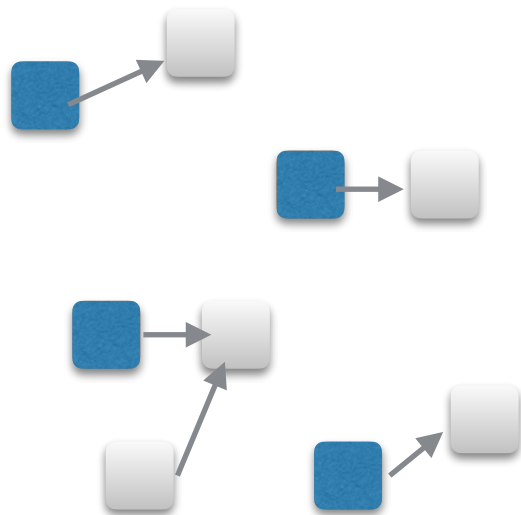


# Spencer DSL

HeapReferredFrom(  
  InstanceOf(java.lang.String))

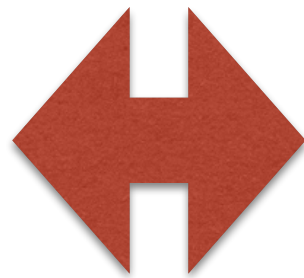


```
SELECT callee AS id
FROM   refs
WHERE  kind = 'field'
AND    caller IN (
  SELECT id FROM objects WHERE klass = 'java.lang.String'
)
```

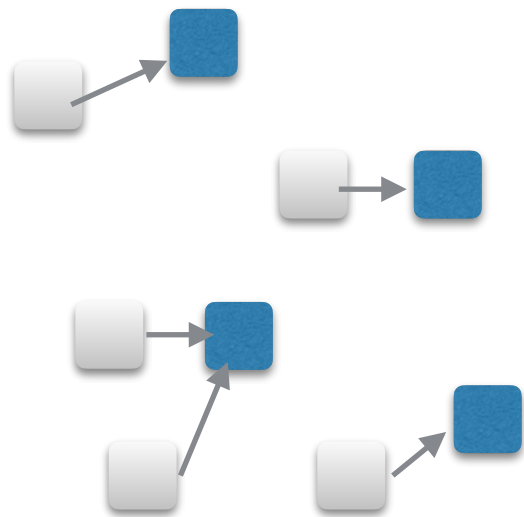


# Spencer DSL

HeapReferredFrom(  
  InstanceOf(java.lang.String))

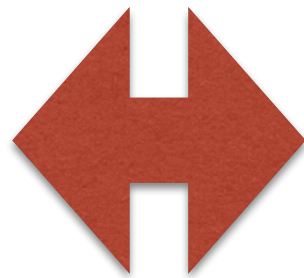


```
SELECT callee AS id
FROM   refs
WHERE  kind = 'field'
AND    caller IN (
  SELECT id FROM objects WHERE klass = 'java.lang.String'
)
```

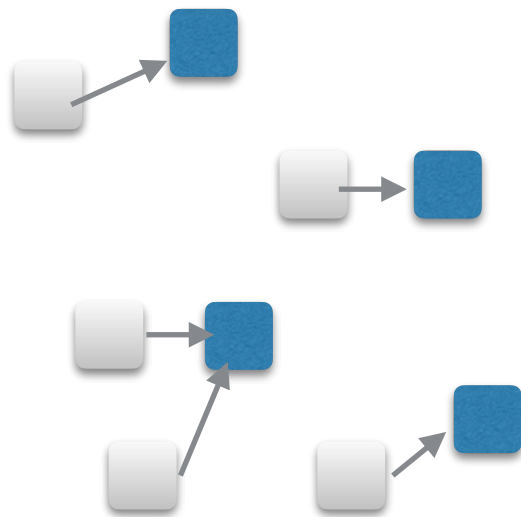


# Spencer DSL

And(  
  HeapReferredFrom(  
    InstanceOf(java.lang.String))  
  ?)



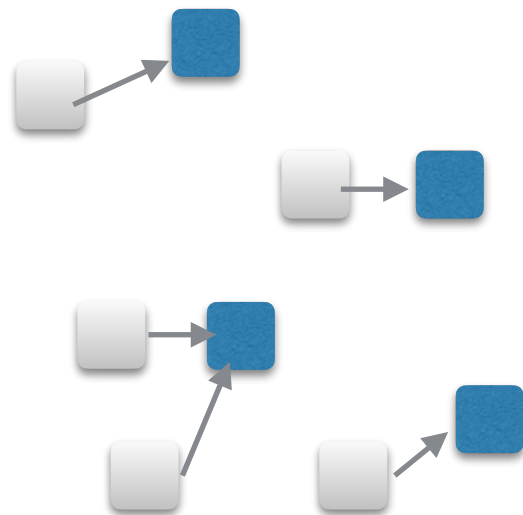
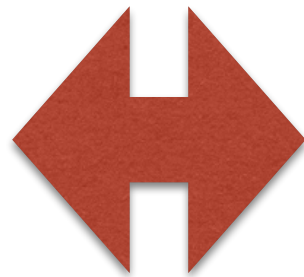
```
(  
  SELECT callee AS id  
  FROM   refs  
  WHERE  kind = 'field'  
  AND    caller IN (  
    SELECT id FROM objects WHERE klass = 'java.lang.String'  
  )  
) INTERSECT (  
  
  ?  
  
  )
```





# Spencer DSL

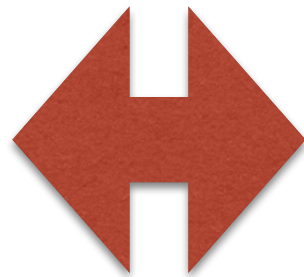
And(  
 HeapReferredFrom(  
   InstanceOf(java.lang.String))  
 Not(HeapUniqueObj()))



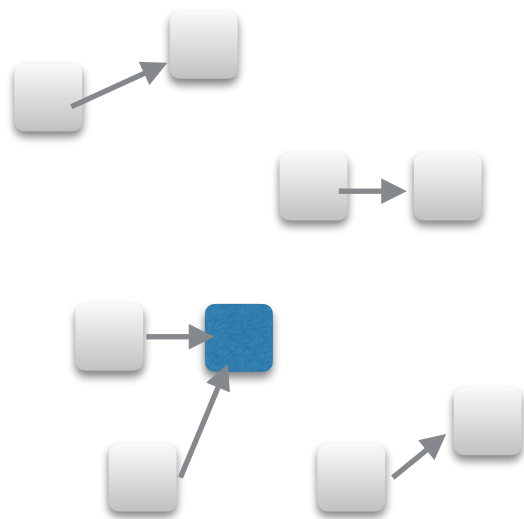
```
(
  SELECT callee AS id
  FROM   refs
  WHERE  kind = 'field'
  AND    caller IN (
    SELECT id FROM objects WHERE klass = 'java.lang.String'
  )
) INTERSECT (
  SELECT id FROM objects WHERE id > 4
  EXCEPT
    (SELECT callee AS id FROM
      (SELECT callee, time, SUM(delta) OVER(PARTITION BY callee ORDER BY time) AS sum_at_time
      FROM (
        (SELECT
          callee, refstart AS time, 1 AS delta
          FROM refs
          WHERE callee > 4 AND kind = 'field') UNION ALL (SELECT
            callee, refend AS time, -1 AS delta
            FROM refs
            WHERE callee > 4 AND kind = 'field')
        ) AS steps) AS integrated_steps
      GROUP BY callee
      HAVING MAX(sum_at_time) = 1)
  )
)
```

# Spencer DSL

And(  
  HeapReferredFrom(  
    InstanceOf(java.lang.String))  
  Not(HeapUniqueObj()))

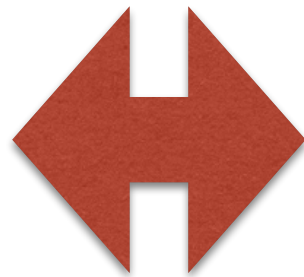


```
(  
  SELECT callee AS id  
  FROM   refs  
  WHERE  kind = 'field'  
  AND    caller IN (  
    SELECT id FROM objects WHERE klass = 'java.lang.String'  
  )  
) INTERSECT (  
  SELECT id FROM objects WHERE id > 4  
EXCEPT  
  (SELECT callee AS id FROM  
    (SELECT callee, time, SUM(delta) OVER(PARTITION BY callee ORDER BY time) AS sum_at_time  
    FROM (  
      (SELECT  
        callee, refstart AS time, 1 AS delta  
      FROM refs  
      WHERE callee > 4 AND kind = 'field') UNION ALL (SELECT  
        callee, refend AS time, -1 AS delta  
      FROM refs  
      WHERE callee > 4 AND kind = 'field')  
    ) AS steps) AS integrated_steps  
  GROUP BY callee  
  HAVING MAX(sum_at_time) = 1)  
)
```

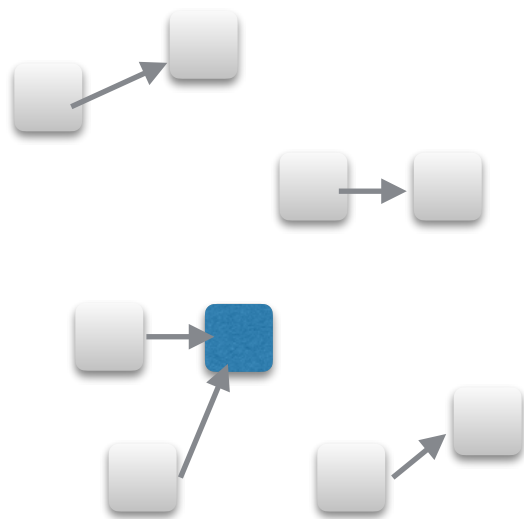


# Spencer DSL

HeapRefersTo(  
And(  
HeapReferredFrom(  
InstanceOf(java.lang.String))  
Not(HeapUniqueObj()))))

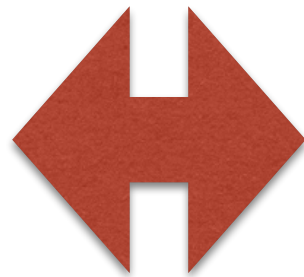


```
SELECT caller AS id
FROM   refs
WHERE  kind = 'field'
AND    callee IN (
  (
    SELECT callee AS id
    FROM   refs
    WHERE  kind = 'field'
    AND    caller IN (
      SELECT id FROM objects WHERE klass = 'java.lang.String'
    )
  ) INTERSECT (
    SELECT id FROM objects WHERE id > 4
  ) EXCEPT
  (SELECT callee AS id FROM
    (SELECT callee, time, SUM(delta) OVER(PARTITION BY callee ORDER BY time) AS sum_at_time
    FROM (
      (SELECT
        callee, refstart AS time, 1 AS delta
      FROM refs
      WHERE callee > 4 AND kind = 'field') UNION ALL (SELECT
        callee, refend AS time, -1 AS delta
      FROM refs
      WHERE callee > 4 AND kind = 'field')
    ) AS steps) AS integrated_steps
    GROUP BY callee
    HAVING MAX(sum_at_time) = 1)
  )
)
```

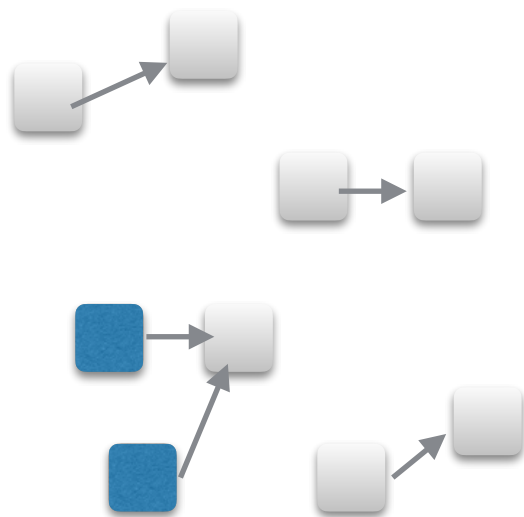


# Spencer DSL

HeapRefersTo(  
And(  
HeapReferredFrom(  
InstanceOf(java.lang.String))  
Not(HeapUniqueObj()))))

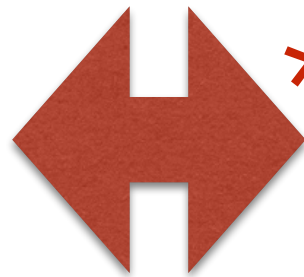


```
SELECT caller AS id
FROM   refs
WHERE  kind = 'field'
AND    callee IN (
  (
    SELECT callee AS id
    FROM   refs
    WHERE  kind = 'field'
    AND    caller IN (
      SELECT id FROM objects WHERE klass = 'java.lang.String'
    )
  ) INTERSECT (
    SELECT id FROM objects WHERE id > 4
  ) EXCEPT
  (SELECT callee AS id FROM
    (SELECT callee, time, SUM(delta) OVER(PARTITION BY callee ORDER BY time) AS sum_at_time
    FROM (
      (SELECT
        callee, refstart AS time, 1 AS delta
      FROM refs
      WHERE callee > 4 AND kind = 'field') UNION ALL (SELECT
        callee, refend AS time, -1 AS delta
      FROM refs
      WHERE callee > 4 AND kind = 'field')
    ) AS steps) AS integrated_steps
  GROUP BY callee
  HAVING MAX(sum_at_time) = 1)
)
```



# Spencer DSL

HeapRefersTo(  
And(  
HeapReferredFrom(  
  InstanceOf(java.lang.String))  
Not(HeapUniqueObj()))))



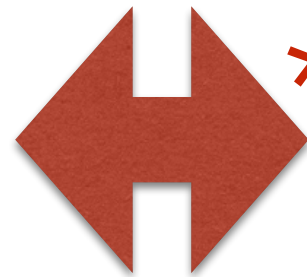
```
SELECT caller AS id
FROM   refs
WHERE  kind = 'field'
AND    callee IN (
  * (
    SELECT callee AS id
    FROM   refs
    WHERE  kind = 'field'
    AND    caller IN (
      SELECT id FROM objects WHERE klass = 'java.lang.String'
    )
  ) INTERSECT (
    SELECT id FROM objects WHERE id > 4
  ) EXCEPT
    (SELECT callee AS id FROM
      (SELECT callee, time, SUM(delta) OVER(PARTITION BY callee ORDER BY time) AS sum_at_time
      FROM (
        (SELECT
          callee, refstart AS time, 1 AS delta
        FROM refs
        WHERE callee > 4 AND kind = 'field') UNION ALL (SELECT
          callee, refend AS time, -1 AS delta
        FROM refs
        WHERE callee > 4 AND kind = 'field')
        ) AS steps) AS integrated_steps
      GROUP BY callee
      HAVING MAX(sum_at_time) = 1)
    )
  )
)
```

\* and caching of subexpressions

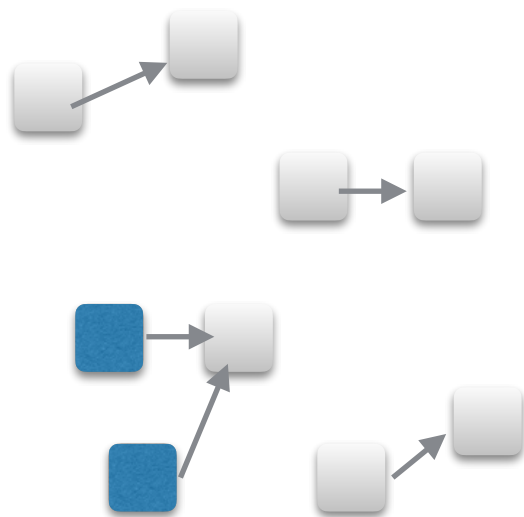


# Spencer DSL

HeapRefersTo(  
And(  
HeapReferredFrom(  
InstanceOf(java.lang.String))  
Not(HeapUniqueObj()))))



```
SELECT caller AS id
FROM   refs
WHERE  kind = 'field'
AND    callee IN (
* (
  SELECT callee AS id
  FROM   refs
  WHERE  kind = 'field'
  AND    caller IN (
    SELECT id FROM objects WHERE klass = 'java.lang.String'
  )
) INTERSECT (
  SELECT id FROM objects WHERE id > 4
EXCEPT
  (SELECT callee AS id FROM
    (SELECT callee, time, SUM(delta) OVER(PARTITION BY callee ORDER BY time) AS sum_at_time
     FROM (
       (SELECT
          callee, refstart AS time, 1 AS delta
        FROM refs
        WHERE callee > 4 AND kind = 'field') UNION ALL (SELECT
          callee, refend AS time, -1 AS delta
        FROM refs
        WHERE callee > 4 AND kind = 'field')
       ) AS steps) AS integrated_steps
    GROUP BY callee
    HAVING MAX(sum_at_time) = 1)
  )
)
```



\*

and caching of subexpressions

# Dynamic Analysis

false positives (“upper bound”)

often-used code weighed stronger

easily deals with runtime code  
generation, dynamic code loading

# Static Analysis

false negatives (“lower bound”)

all code weighed equally

easily can produce sound claims

# The Study

# “Safety”

**unique**

*at most one variable/field refers to object at a time*

**stack bound**

*no field ever refers to the object*

**heap-unique**

*at most one field refers to object at a time*

**deeply  
immutable  
shallow  
immutable**

*shallow immutable + can only reach (via fields)  
other shallow immutable objects*

**safe**

*object never changed outside of constructor*

**safe**

*at least one of the above*

**Dynamic  
Analysis**

**Static  
Analysis**



“What proportion  
of objects are safe?”



**Dynamic  
Analysis**

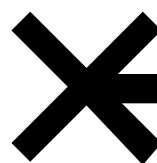
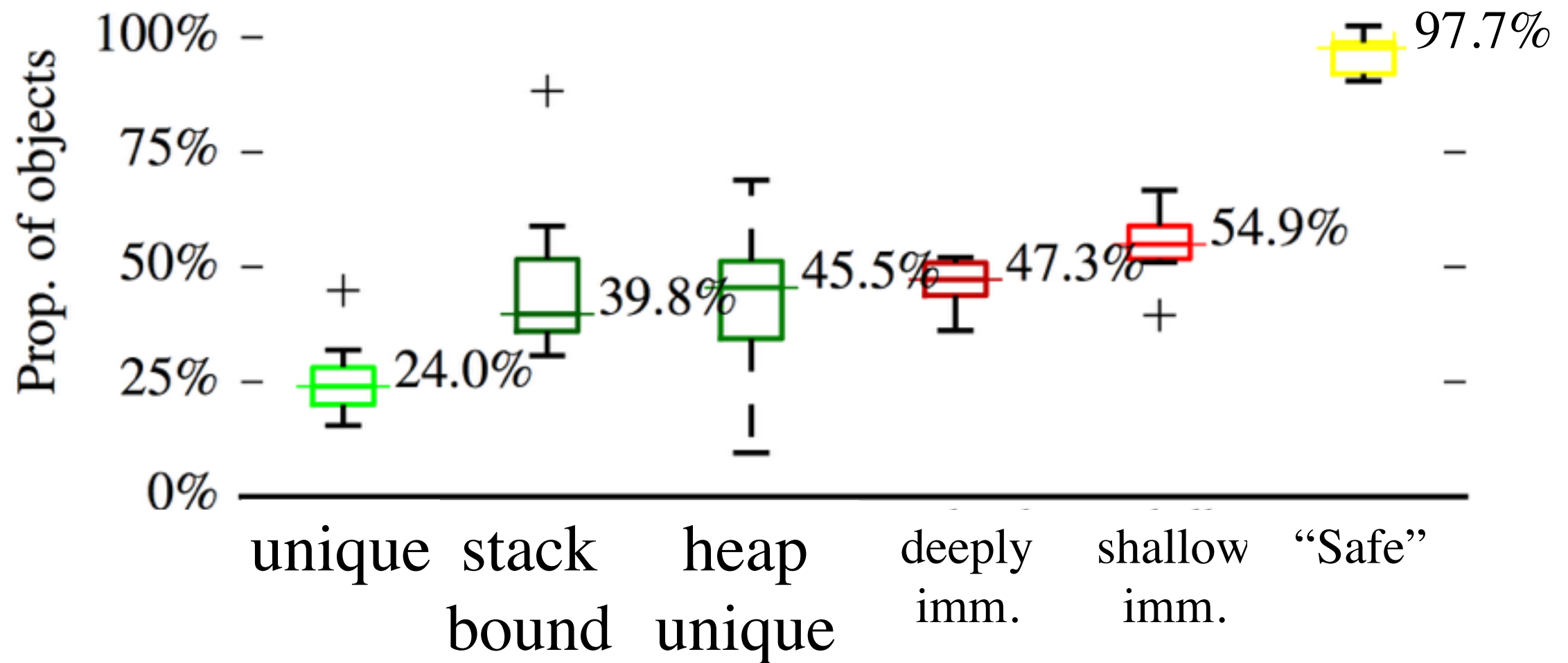
**Static  
Analysis**



“What proportion of classes  
only produce safe instances?”

“What proportion of fields  
only contain safe instances?”

# Per Object Analysis



# Per Class Analysis

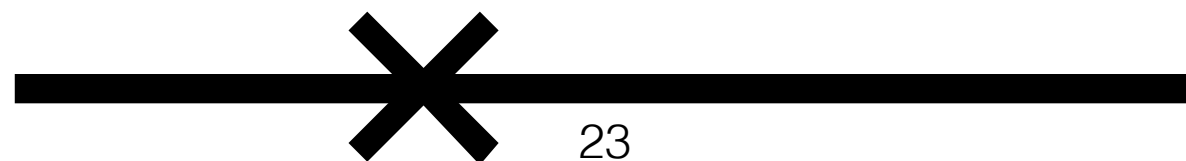
Out of all classes with more than 10 instances,  
how many classes...



# Per Class Analysis

Out of all classes with more than 10 instances,  
how many classes...

1) ... have ONLY instances that fulfil a safety property?



# Per Class Analysis

Out of all classes with more than 10 instances,  
how many classes...

- 1) ... have ONLY instances that fulfil a safety property?
- 2) ... have NO instances that fulfil a safety property?



# Per Class Analysis



# Per Class Analysis

heap unique



Classes with NO  
heap-unique instances



# Per Class Analysis

Classes with ONLY  
heap-unique instances

heap unique



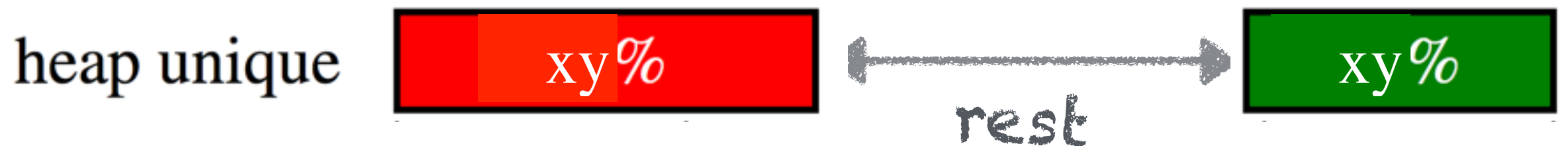
Classes with NO  
heap-unique instances





# Per Class Analysis

Classes with ONLY  
heap-unique instances



Classes with NO  
heap-unique instances



# Per Class Analysis

heap unique



# Per Class Analysis

heap unique



Hypothesis: could annotate  
class with "heap-shared" keyword



# Per Class Analysis

Hypothesis: could annotate  
class with "heap-unique"  
keyword

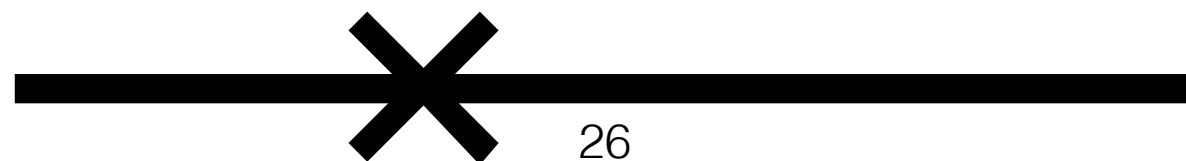
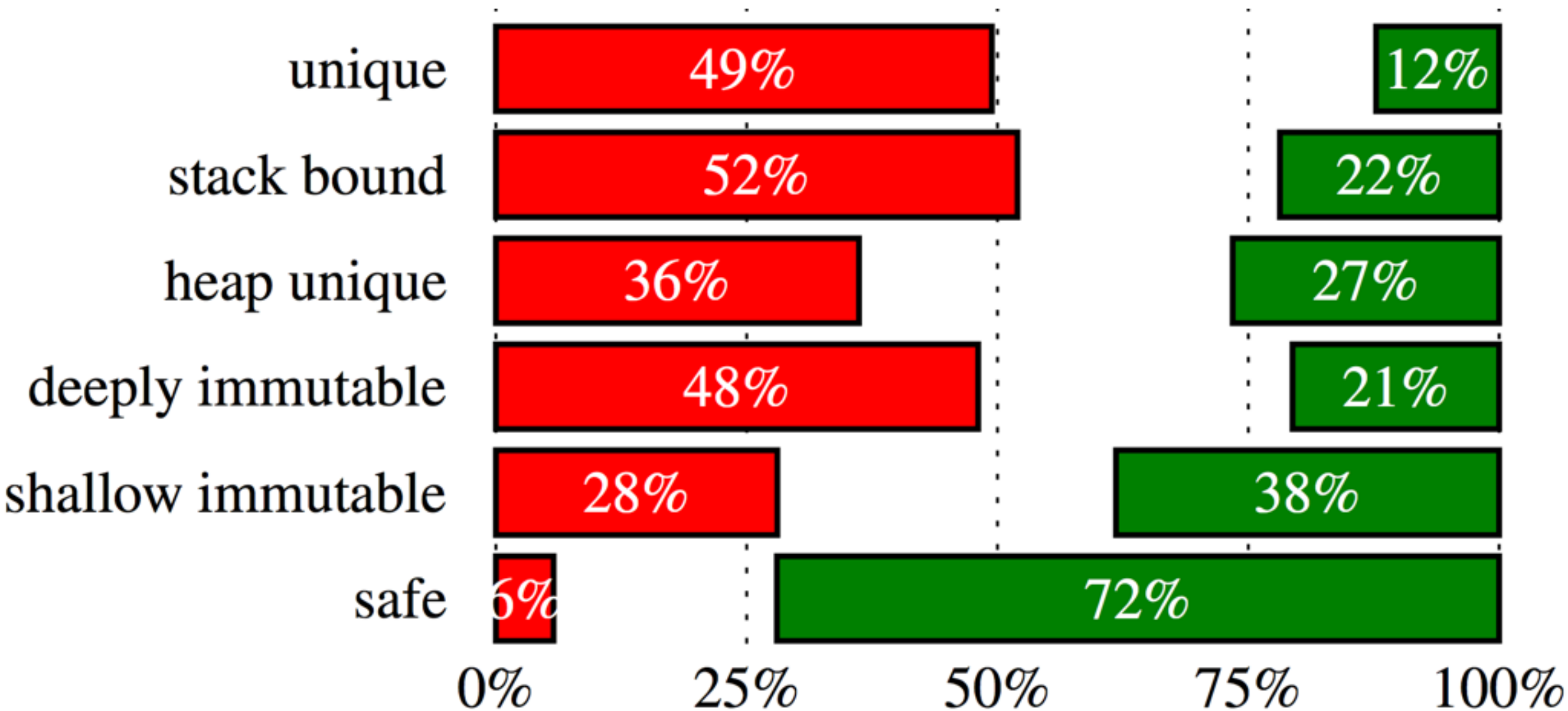
heap unique



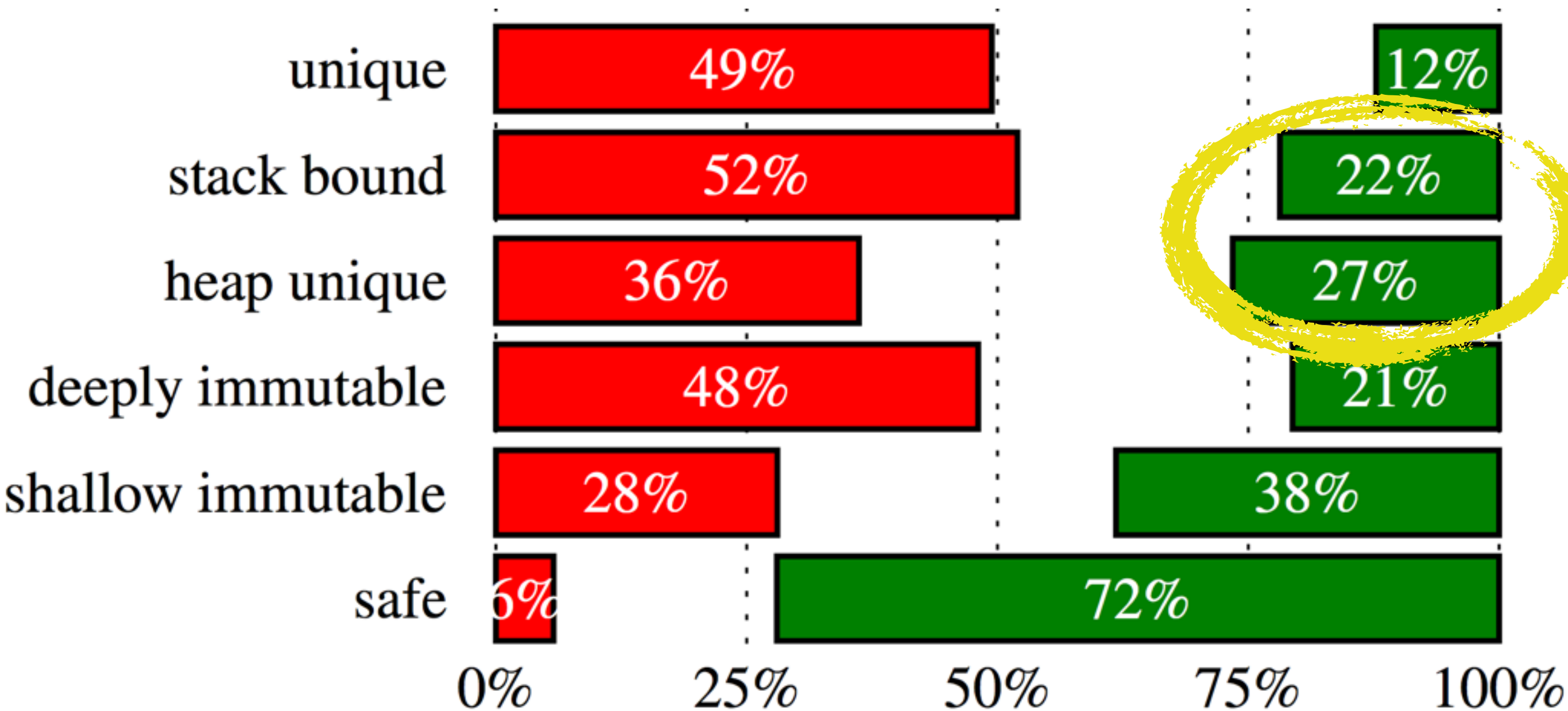
Hypothesis: could annotate  
class with "heap-shared" keyword



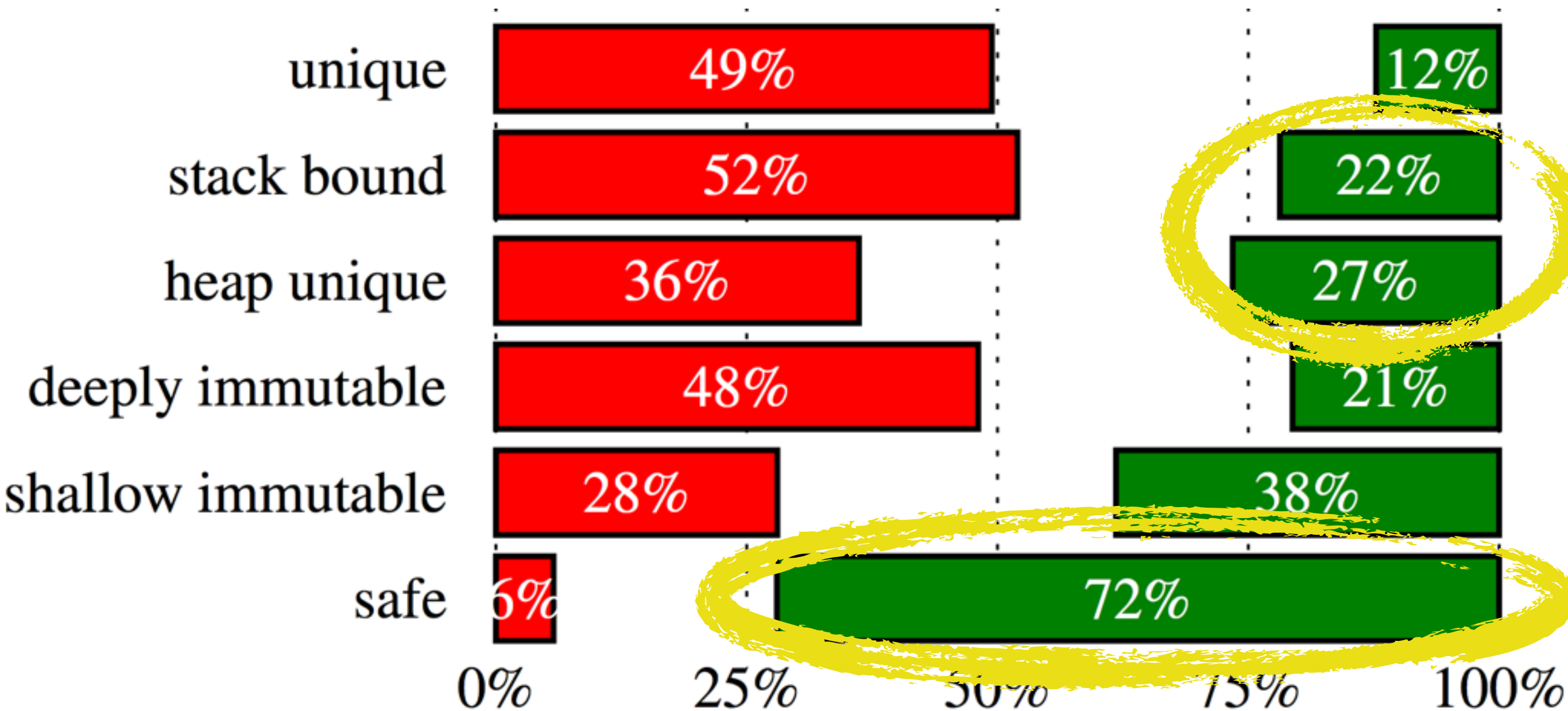
# Per Class Analysis



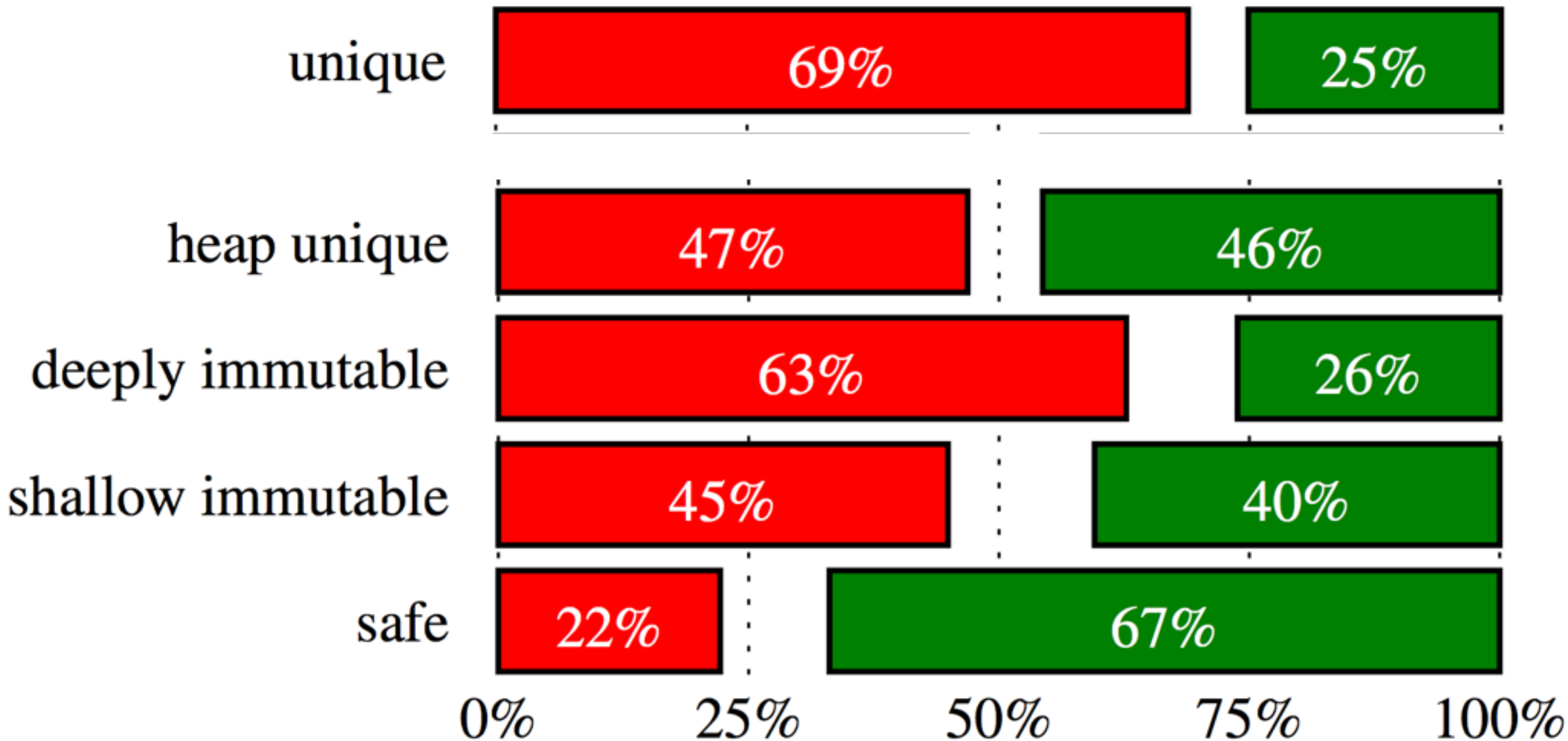
# Per Class Analysis



# Per Class Analysis

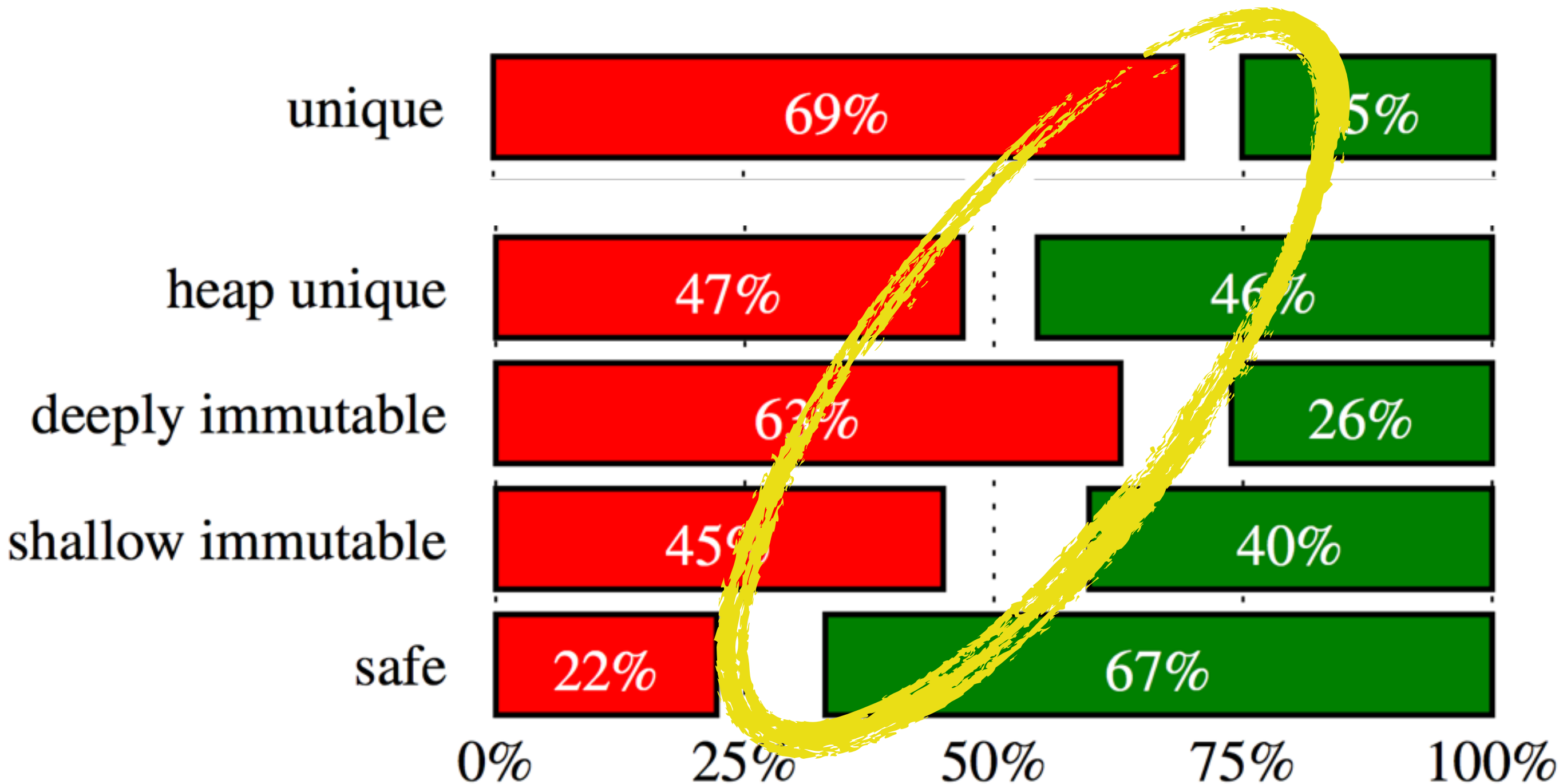


# Per Field Analysis





# Per Field Analysis



# Further Work/Research

- Put the data to good use!
  - What are the classes/fields in the white gaps? Do they provide different invariants?
- Trace more programs!
  - Also in different languages!



Stephan Brandauer, Tobias Wrigstad

<http://stbr.me/spencer>

 sbrandauer