

QFTCh 1: Attempts at relativistic quantum mechanics

Klein-Gordon equation:

$$-\hbar^2 \frac{\partial^2}{\partial t^2} \psi(\vec{x}, t) = (-\hbar^2 c^2 \vec{\nabla}^2 + m^2 c^4) \psi(\vec{x}, t)$$

$$x^\mu = (ct, \vec{x})$$

$$g_{\mu\nu} = - + + +$$

$$g^{\mu\nu} g_{\nu\rho} = \delta^\mu{}_\rho$$

$$\bar{x}^\mu = \Lambda^\mu{}_\nu x^\nu + a^\mu$$

↳ translation

↳ Lorentz transformation

$$(\bar{x} - \bar{x}')^2 = (\bar{x} - \bar{x}')^\mu (\bar{x} - \bar{x}')_\mu$$

$$= g_{\mu\nu} (\bar{x} - \bar{x}')^\mu (\bar{x} - \bar{x}')^\nu$$

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$$= g_{\mu\nu} \Lambda^{\mu}_{\rho} (x-x')^{\rho} \Lambda^{\nu}_{\sigma} (x-x')^{\sigma}$$

$$= g_{\mu\nu} \Lambda^{\mu}_{\rho} \Lambda^{\nu}_{\sigma} (x-x')^{\rho} (x-x')^{\sigma}$$

$$= g_{\rho\sigma} (x-x')^{\rho} (x-x')^{\sigma}$$

$$\therefore g_{\mu\nu} \Lambda^{\mu}_{\rho} \Lambda^{\nu}_{\sigma} = g_{\rho\sigma}$$

Two inertial frames:  $\psi(x) = \bar{\psi}(\bar{x})$

$$\partial_{\mu} = \frac{\partial}{\partial x^{\mu}} = \left( \frac{1}{c} \frac{\partial}{\partial t}, \vec{\nabla} \right)$$

$$\partial^{\mu} = \frac{\partial}{\partial x_{\mu}} = \left( -\frac{1}{c} \frac{\partial}{\partial t}, \vec{\nabla} \right)$$

$$\partial^{\mu} x^{\nu} = g^{\mu\nu}$$

$$\bar{\partial}^{\mu} = \Lambda^{\mu}_{\nu} \partial^{\nu} : \bar{\partial}^{\mu} \bar{x}^{\nu} = (\Lambda^{\mu}_{\rho} \partial^{\rho})(\Lambda^{\nu}_{\sigma} x^{\sigma})$$

$$= \Lambda^{\mu}_{\rho} \Lambda^{\nu}_{\sigma} \underbrace{\partial^{\rho} x^{\sigma}}_{g^{\rho\sigma}}$$

$$= g^{\mu\nu}$$

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KG equation:

$$-\hbar^2 \frac{\partial^2}{\partial t^2} \psi(x) = (-\hbar^2 c^2 \vec{\nabla}^2 + m^2 c^4) \psi(x) \quad \left| \frac{1}{\hbar^2 c^2} \right.$$

$$\left( -\frac{1}{c^2} \frac{\partial^2}{\partial t^2} + \vec{\nabla}^2 - \frac{m^2 c^2}{\hbar^2} \right) \psi(x) = 0$$

$$\partial_\mu \partial^\mu = \partial^2 = \square$$

$$\left( -\square + \frac{m^2 c^2}{\hbar^2} \right) \psi(x) = 0$$

$$\left( -\bar{\square} + \frac{m^2 c^2}{\hbar^2} \right) \bar{\psi}(\bar{x}) = 0$$

$$\bar{\square} = \bar{\partial}_\mu \bar{\partial}^\mu = g_{\mu\nu} \bar{\partial}^\mu \bar{\partial}^\nu$$

$$= g_{\mu\nu} \Lambda^\mu{}_\rho \partial^\rho \Lambda^\nu{}_\sigma \partial^\sigma$$

$$= g_{\mu\nu} \Lambda^\mu{}_\rho \Lambda^\nu{}_\sigma \partial^\rho \partial^\sigma$$

$$= g_{\rho\sigma} \partial^\rho \partial^\sigma$$

$$= \partial_\mu \partial^\mu$$

$$= \square$$

$$\left. \begin{array}{l} \bar{\psi}(\bar{x}) = \psi(x) \\ \bar{\square} = \square \end{array} \right\} \text{KG equation is consistent w/ relativity.}$$

KG: not first order in time derivative

$\therefore$  not compatible w/ Schrödinger equation.

$|\psi(x)|^2$  is in general not time-indep.

$$\left\{ \begin{array}{l} \left( \square - \frac{m^2 c^2}{\hbar^2} \right) \psi = 0 \\ \psi^* \left( \overleftarrow{\square} - \frac{m^2 c^2}{\hbar^2} \right) = 0 \end{array} \right.$$

$$\psi^* \left( \square - \frac{m^2 c^2}{\hbar^2} \right) \psi = 0$$

$$- \psi^* \left( \overleftarrow{\square} - \frac{m^2 c^2}{\hbar^2} \right) \psi = 0$$

$$\psi^* \square \psi - (\square \psi^*) \psi = 0$$

$$\psi^* \partial_\mu \psi - \partial_\mu \partial^\mu \psi^* \psi = 0$$

$$\cancel{\partial_\mu (\psi^* \partial^\mu \psi)} - \cancel{\partial_\mu \psi^* \partial^\mu \psi} - \partial_\mu (\partial^\mu \psi^* \psi)$$

$$+ \cancel{\partial^\mu \psi^* \partial_\mu \psi} = 0$$

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$$\partial_\mu (\psi^* \partial^\mu \psi - \partial^\mu \psi^* \psi) = 0$$

$$j^\mu = \psi^* \partial^\mu \psi - \partial^\mu \psi^* \psi : \partial_\mu j^\mu = 0$$

$$c\rho = j^0$$

$$= -\psi^* \frac{1}{c} \frac{\partial \psi}{\partial t} + \frac{1}{c} \frac{\partial \psi^*}{\partial t} \psi : \text{not positive definite} \therefore \text{problem w/ probability interpretation}$$

Dirac: spin  $1/2$

$$i\hbar \frac{\partial}{\partial t} \psi_a(x) = (-i\hbar c \vec{\alpha}_{ab} \cdot \vec{\nabla} + mc^2 \beta_{ab}) \psi_b(x)$$

: Dirac equation

$a, b = 1, 2$  : spin indices

Compatible w/ Schrödinger equation ::  
first order in time derivative.  $\vec{\alpha}, \beta$  are  
matrices in spin space.

$$H_{ab} = c \vec{p} \cdot \vec{\alpha}_{ab} + mc^2 \beta_{ab}$$

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$$\begin{aligned}
 (H^2)_{ab} &= \left[ (c\vec{p} \cdot \vec{\alpha} + mc^2\beta)(c\vec{p} \cdot \vec{\alpha} + mc^2\beta) \right]_{ab} \\
 &= \left[ c^2 p_i p_j \alpha_i \alpha_j + mc^3 p_i (\alpha_i \beta + \beta \alpha_i) \right. \\
 &\quad \left. + m^2 c^4 \beta^2 \right]_{ab} \\
 &= c^2 p_i p_j \frac{\{\alpha_i, \alpha_j\}_{ab}}{2} + mc^3 \{\alpha_i, \beta\}_{ab} \\
 &\quad + m^2 c^4 (\beta^2)_{ab} \\
 &= (c^2 \vec{p}^2 + m^2 c^4) \delta_{ab}
 \end{aligned}$$

$$\frac{\{\alpha_i, \alpha_j\}_{ab}}{2} = \delta_{ij} \delta_{ab} \Rightarrow \{\alpha_i, \alpha_j\} = 2 \delta_{ij}$$

$$\{\alpha_i, \beta\} = 0$$

$$(\beta^2)_{ab} = \delta_{ab} \Rightarrow \beta^2 = 1$$

Problems w/ Dirac equation: We want  $2 \times 2$  matrices for the two spin states. We have

$\{\sigma_i, \sigma_j\} = 2S_{ij}$  but there is no fourth matrix that anticommutes w/  $\vec{\sigma}$ . ( $2 \times 2$  complex matrices are spanned by 1 and  $\vec{\sigma}$  but 1 commutes w/  $\vec{\sigma}$ .) Thus,  $\vec{\alpha}$  and  $\beta$  must be higher-dimensional.

$$\begin{cases} \alpha_i \alpha_j + \alpha_j \alpha_i = 2S_{ij} \Rightarrow \alpha_i^2 = 1 \quad \forall i \\ \alpha_i \beta + \beta \alpha_i = 0 \end{cases}$$

$$\beta \alpha_i \beta + \underbrace{\beta^2}_{=1} \alpha_i = 0$$

$$\underbrace{\text{tr } \beta \alpha_i \beta}_{\text{tr } \alpha_i} + \text{tr } \alpha_i = 0 \Rightarrow \text{tr } \alpha_i = 0 \quad \forall i$$

$$\alpha_i^2 = 1 \Rightarrow \alpha_i \rightarrow \text{diag} \left( \underbrace{1, \dots, 1}_{N_+}, \underbrace{-1, \dots, -1}_{N_-} \right)$$

$$\text{tr } \alpha_i = 0 \Rightarrow N_+ = N_-$$

$$\Rightarrow D(\alpha) = 2N_+ : \text{even-dimensional}$$

Thus, the minimum size for  $\vec{\alpha}$  and  $\beta$  is  $4 \times 4$ . What to do w/ these extra states?

$$H = c\vec{p} \cdot \vec{\alpha} + mc^2\beta$$

$$\text{Tr } H = 0 \Rightarrow H \rightarrow \text{diag}(E(\vec{p}), E(\vec{p}), -E(\vec{p}), -E(\vec{p}))$$

$$E(\vec{p}) = \sqrt{\vec{p}^2 c^2 + m^2 c^4}$$

Negative-energy eigenvalues imply  $\exists$  no ground state. A +energy electron cloud could emit a photon and drop down into a -energy state. This downward cascade could continue forever.

Dirac's solution: Pauli principle + all -energy states are already occupied. Then, a +energy electron can drop into a -energy state.

Question: Why don't we see this sea of -energy particles? They are uniform :

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no force on daily life. However, if a -energy particle is excited to a +energy state via radiation, then  $\exists$  a hole of +charge left behind. This is the antiparticle of electron.

We started w/ a single relativistic particle but now we have  $\infty$ -many of them. Moreover, we haven't solved the problem of particles that do not obey Pauli principle.

Think about what's going on: Why it is so hard to find an acceptable theory/relativistic wave equation for a single quantum particle. Is there something wrong w/ our basic assumptions?

Yes! Recall the axiom "observables are

represented by hermitian operators."

But time is not. t is just a label  
in a state, not an eigenvalue of any  
time operator, cf.  $\vec{u}$ : space and time  
are not treated equally.

Two solutions: Promote time to an operator  
or denote position to a label. The former  
gives string theory. The latter promotes  
operators to fields: quantum fields.

The two solutions turn out to be equivalent.  
There is another useful equivalence:  
Ordinary non-relativistic QM, for a fixed  
number of particles, can be rewritten  
as a quantum field theory.

$n$  particles, all w/ mass  $m$ , under external

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potential,  $U(\vec{r})$ , and interacting via an interparticle potential,  $V(\vec{r}_i - \vec{r}_j)$ .

$$i\hbar \frac{\partial}{\partial t} \Psi = \left\{ \sum_{j=1}^n \left[ -\frac{\hbar^2}{2m} \vec{\nabla}_j^2 + U(\vec{r}_j) \right] + \sum_{j=1}^n \sum_{k=1}^{j-1} V(\vec{r}_j - \vec{r}_k) \right\} \Psi$$

$$\Psi = \Psi(\vec{r}_1, \dots, \vec{r}_n, t)$$

Introduce  $a(\vec{r})$  and  $a^\dagger(\vec{r})$  quantum fields in the Schrödinger picture.

$$[a(\vec{r}), a(\vec{r}')] = 0$$

$$[a^\dagger(\vec{r}), a^\dagger(\vec{r}')] = 0$$

$$[a(\vec{r}), a^\dagger(\vec{r}')] = \delta^3(\vec{r} - \vec{r}')$$

$$H = \int d^3x \ a^\dagger(\vec{r}) \left[ -\frac{\hbar^2}{2m} \vec{\nabla}^2 + U(\vec{r}) \right] a(\vec{r})$$

$$+ \frac{1}{2} \int d^3x d^3y \ V(\vec{r} - \vec{y}) a^\dagger(\vec{r}) a^\dagger(\vec{y}) a(\vec{y}) a(\vec{r})$$

$$|\Psi(t)\rangle = \int d^3x_1 \dots d^3x_n \psi(\vec{x}_1, \dots, \vec{x}_n, t)$$

$$\times a^\dagger(\vec{x}_1) \dots a^\dagger(\vec{x}_n) |0\rangle$$

$$a(\vec{x})|0\rangle = 0$$

$|0\rangle$ : vacuum, no-particle state

$a^\dagger(\vec{x}_1)|0\rangle$ : one particle at  $\vec{x}_1$

$a^\dagger(\vec{x}_1)a^\dagger(\vec{x}_2)|0\rangle$ : one particle at  $\vec{x}_1$  and another at  $\vec{x}_2$

$$H|\Psi(t)\rangle = \left\{ \int d^3x a^\dagger(\vec{x}) \left[ -\frac{\hbar^2}{2m} \vec{\nabla}^2 + U(\vec{x}) \right] a(\vec{x}) \right.$$

$$\left. + \frac{1}{2} \int d^3x d^3y V(\vec{x}-\vec{y}) a^\dagger(\vec{x}) a^\dagger(\vec{y}) a(\vec{y}) a(\vec{x}) \right\}$$

$$\times \left[ \int d^3x_1 \dots d^3x_n \psi(\vec{x}_1, \dots, \vec{x}_n, t) \right. \\ \left. \times a^\dagger(\vec{x}_1) \dots a^\dagger(\vec{x}_n) |0\rangle \right]$$

See code-1.

$$H|\Psi(t)\rangle = \int d^3x_1 \dots d^3x_n \left[ \sum_{j=1}^n Q(\vec{x}_j) + \sum_{j < k} V(\vec{x}_j - \vec{x}_k) \right]$$

$$\times \Psi(\vec{x}_1, \dots, \vec{x}_n, t) a^\dagger(\vec{x}_1) \dots a^\dagger(\vec{x}_n) |0\rangle$$

$$Q(\vec{x}) := -\frac{\hbar^2}{2m} \vec{\nabla}^2 + U(\vec{x})$$

$\sum_{i=1}^n Q(\vec{x}_i) + \sum_{i < j} V(\vec{x}_i - \vec{x}_j)$ : Schrödinger operator

$\therefore$  Schrödinger equation is satisfied.

Number operator:

$$N = \int d^3x a^\dagger(\vec{x}) a(\vec{x})$$

$$[H, N] = 0 : \text{ See code-2.}$$

Another important aspect:

$$|\Psi(t)\rangle = \int d^3x_1 \dots d^3x_n \Psi(\vec{x}_1, \dots, \vec{x}_n, t) a^\dagger(\vec{x}_1) \dots a^\dagger(\vec{x}_n) |0\rangle$$

w/  $[a^\dagger(\vec{x}), a^\dagger(\vec{x}')] = 0$ . Then, the wavefunction must be symmetric:

$$\Psi(\vec{x}_i, \vec{x}_j, t) = + \Psi(\vec{x}_j, \vec{x}_i, t) : \text{bosons}$$

If we impose  $\{a^\dagger(\vec{n}), a^\dagger(\vec{n}')\} = 0$ , then the wavefunction must be antisymmetric:

$$\Psi(\vec{n}_i, \vec{n}_j, t) = -\Psi(\vec{n}_j, \vec{n}_i, t) : \text{fermions}$$

Fermions obey the abstract Schrödinger equation, as well. See code-3. The output is the same as that of code-1.