

# Canonical quantization of scalar fields

$$\begin{aligned} H &= \int d^3x \; a^\dagger(\vec{x}) \left( -\frac{1}{2m} \vec{\nabla}^2 \right) a(\vec{x}) \; : \text{ free particle } \quad (\hbar=1) \\ &= \int d^3x \int \left[ \frac{d^3p}{(2\pi)^3} e^{i\vec{p}\cdot\vec{x}} \tilde{a}(\vec{p}) \right]^\dagger \left( -\frac{1}{2m} \vec{\nabla}^2 \right) \left[ \int \frac{d^3p'}{(2\pi)^3} e^{i\vec{p}'\cdot\vec{x}} \tilde{a}(\vec{p}') \right] \\ &= \int \frac{d^3x \, d^3p \, d^3p'}{(2\pi)^3} e^{-i\vec{p}\cdot\vec{x}} \tilde{a}^\dagger(\vec{p}) \tilde{a}(\vec{p}') \underbrace{\int d^3x e^{i(\vec{p}'-\vec{p})\cdot\vec{x}}}_{2m} \\ &= \int d^3p \, d^3p' \; \delta^3(\vec{p}-\vec{p}') \; \frac{\vec{p}^{\prime 2}}{2m} \tilde{a}^\dagger(\vec{p}) \tilde{a}(\vec{p}') \\ &= \int d^3p \; \frac{\vec{p}^2}{2m} \tilde{a}^\dagger(\vec{p}) \tilde{a}(\vec{p}) \\ [\tilde{a}(\vec{p}), \tilde{a}(\vec{p}')] &= 0 \\ [\tilde{a}^\dagger(\vec{p}), \tilde{a}^\dagger(\vec{p}')] &= 0 \\ [\tilde{a}(\vec{p}), \tilde{a}^\dagger(\vec{p}')] &= \delta^3(\vec{p}-\vec{p}') \\ \tilde{a}(\vec{p})|0\rangle &= 0 \\ H|0\rangle &= 0 \\ \tilde{a}^\dagger(\vec{p}_1)|0\rangle &= |\vec{p}_1\rangle \\ H|\vec{p}_1\rangle &= \int d^3p \; E(\vec{p}) \tilde{a}^\dagger(\vec{p}) \tilde{a}(\vec{p}) \tilde{a}(\vec{p}) |\vec{p}_1\rangle \\ &= \int d^3p \; E(\vec{p}) \tilde{a}^\dagger(\vec{p}) \underbrace{\tilde{a}(\vec{p}) \tilde{a}^\dagger(\vec{p}_1)}_{\delta^3(\vec{p}-\vec{p}_1) + \tilde{a}^\dagger(\vec{p}_1) \tilde{a}(\vec{p})} \tilde{a}(\vec{p}) |0\rangle \\ &= E(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_1) |0\rangle \\ &= E(\vec{p}_1) |\vec{p}_1\rangle \end{aligned}$$

Two-particle state:

$$\begin{aligned} \tilde{a}^\dagger(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_2) |0\rangle &= |\vec{p}_1, \vec{p}_2\rangle \\ H|\vec{p}_1, \vec{p}_2\rangle &= \int d^3p \; E(\vec{p}) \underbrace{\tilde{a}^\dagger(\vec{p}) \tilde{a}(\vec{p})}_{\delta^3(\vec{p}-\vec{p}_1) + \tilde{a}^\dagger(\vec{p}_1) \tilde{a}(\vec{p})} \tilde{a}^\dagger(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_2) |0\rangle \\ &= \int d^3p \; E(\vec{p}) \left[ \delta^3(\vec{p}-\vec{p}_1) \tilde{a}^\dagger(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_2) + \tilde{a}^\dagger(\vec{p}) \tilde{a}^\dagger(\vec{p}_1) \tilde{a}(\vec{p}_2) \tilde{a}^\dagger(\vec{p}_2) \right] |0\rangle \\ &\hspace{15cm} \underbrace{\delta^3(\vec{p}-\vec{p}_2) + \tilde{a}^\dagger(\vec{p}_2) \tilde{a}(\vec{p})}_{0} \\ &= E(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_2) |0\rangle + E(\vec{p}_2) \tilde{a}^\dagger(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_2) |0\rangle \\ &= [E(\vec{p}_1) + E(\vec{p}_2)] \tilde{a}^\dagger(\vec{p}_1) \tilde{a}^\dagger(\vec{p}_2) |0\rangle \\ &= [E(\vec{p}_1) + E(\vec{p}_2)] |\vec{p}_1, \vec{p}_2\rangle \end{aligned}$$

$\therefore \tilde{a}^\dagger(\vec{p}_1) \dots \tilde{a}^\dagger(\vec{p}_n) |0\rangle$  has energy eigenvalue  $\sum_{i=1}^n E(\vec{p}_i)$  where

$$E(\vec{p}) = \vec{p}^2/2m.$$

relativistic generalization:

$$E(\vec{p}) = \sqrt{\vec{p}^2 c^2 + m^2 c^4}$$

$$H = \int d^3p \; E(\vec{p}) \tilde{a}^\dagger(\vec{p}) \tilde{a}(\vec{p}) \; : \text{ theory of free relativistic spinless particles (bosons or fermions) }$$

Is this theory Lorentz invariant? Construct this theory again from a

different point of view that emphasizes Lorentz invariance from the

beginning

Classical physics of a real scalar  $\varphi(x)$ . Two frames:  $\tilde{\varphi}(x) = \varphi(x)$ ,

$\tilde{x}^\mu = \Lambda^\mu_\nu x^\nu + a^\mu \therefore \varphi(x)$  and  $\tilde{\varphi}(\tilde{x})$  satisfy the same equation.

One example: KG.

$$(-\partial^2 + m^2) \varphi(x) = 0 \quad (\hbar=c=1)$$

Adopt this as the classical EOM for  $\varphi(x)$ . EOM can be derived

from action,  $S = \int dt \, L = \int dt \, d^3x \, \mathcal{L} = \int d^4x \, \mathcal{L}$ .  $d^4x$  is Lorentz

inv,  $d^4\tilde{x} = |\det(\Lambda)| \, d^4x = d^4x \therefore$  so must be  $\mathcal{L}$ .

$$\mathcal{L} = -\frac{1}{2} (\partial_\mu \varphi)^2 - \frac{1}{2} m^2 \varphi^2 + \Omega_0$$

$\Omega_0$ : arbitrary constant

Hamilton principle:

$$\begin{aligned} 0 &= \delta S \\ &= \delta \int d^4x \, \mathcal{L} \\ &= \delta \int d^4x \left( -\frac{1}{2} (\partial_\mu \varphi)^2 - \frac{1}{2} m^2 \varphi^2 + \Omega_0 \right) \\ &= \int d^4x \underbrace{\left( -\frac{1}{2} 2 \partial^\mu \varphi \, \partial_\mu \delta \varphi - \frac{1}{2} m^2 2 \varphi \delta \varphi \right)}_{\text{ibp}} \\ &= \int d^4x \left( \partial_\mu \partial^\mu \varphi \, \delta \varphi - m^2 \varphi \delta \varphi \right) \\ &= \int d^4x \underbrace{\left[ (\Box - m^2) \varphi \right] \delta \varphi}_{=0 \Leftarrow \text{arbitrary}} \end{aligned}$$

Solutions to KG are plane waves:  $e^{i(\vec{k}\cdot\vec{x} \pm \omega t)}$ ,  $\omega = \sqrt{\vec{k}^2 + m^2}$ .

Most general solution:

$$\varphi(\vec{x}, t) = \int \frac{d^3k}{f(k)} \left[ a(\vec{k}) e^{i\vec{k}\cdot\vec{x} - i\omega t} + b(\vec{k}) e^{i\vec{k}\cdot\vec{x} + i\omega t} \right]$$

$f(k) := f(|\vec{k}|)$ : for later convenience

$$e^{\mp i\omega t} \sim e^{\mp iE t}$$

The plane-wave solution of Schrödinger equation  $\sim e^{i\vec{p}\cdot\vec{x} - iE(\vec{p})t}$

so the second term in  $\varphi \Rightarrow$  negative energy.

Impose realness:

$$\begin{aligned} \varphi^*(x) &= \int \frac{d^3k}{f(k)} \left[ a^*(\vec{k}) e^{-i\vec{k}\cdot\vec{x} + i\omega t} + b^*(\vec{k}) e^{-i\vec{k}\cdot\vec{x} - i\omega t} \right] \\ &= \int \frac{d^3k}{f(k)} \left[ a^*(\vec{k}) e^{-i\vec{k}\cdot\vec{x} + i\omega t} + b^*(\vec{k}) e^{i\vec{k}\cdot\vec{x} - i\omega t} \right] \\ &= \varphi(x) \\ &= \int \frac{d^3k}{f(k)} \left[ a(\vec{k}) e^{i\vec{k}\cdot\vec{x} - i\omega t} + b(\vec{k}) e^{i\vec{k}\cdot\vec{x} + i\omega t} \right] \\ &= \int \frac{d^3k}{f(k)} \left[ a(\vec{k}) e^{i\vec{k}\cdot\vec{x} - i\omega t} + b(-\vec{k}) e^{-i\vec{k}\cdot\vec{x} + i\omega t} \right] \\ \therefore b^*(-\vec{k}) &= a(\vec{k}) \Rightarrow b(\vec{k}) = a^*(-\vec{k}) \\ \therefore \varphi(x) &= \int \frac{d^3k}{f(k)} \left[ a(\vec{k}) e^{i\vec{k}\cdot\vec{x} - i\omega t} + a^*(\vec{k}) e^{i\vec{k}\cdot\vec{x} + i\omega t} \right] \\ &= \int \frac{d^3k}{f(k)} \left[ a(\vec{k}) e^{i\vec{k}\cdot\vec{x} - i\omega t} + a^*(\vec{k}) e^{-i\vec{k}\cdot\vec{x} + i\omega t} \right] \\ &= \int \frac{d^3k}{f(k)} \left[ a(\vec{k}) e^{ikx} + a^*(\vec{k}) e^{-ikx} \right] \end{aligned}$$

$$k^\mu = (\omega, \vec{k}), \quad x = (t, \vec{x}), \quad k^2 = \vec{k}^2 - \omega^2 = -m^2 \text{ : on-shell}$$

$e^{ikx}$  is Lorentz-inv. Pick  $f(k)$  s.t. integral measure is also Lorentz-inv.

Consider the object  $d^4k \, \delta(k^2 + m^2) \, \theta(k^0)$ , which is a really meaningful

object — it enforces on-shell and +energy; moreover every factor

is Lorentz-inv.

$$\int_{-\infty}^{\infty} dk^0 \int \frac{d^3k}{(2\pi)^3} \delta(k^2 + m^2) \theta(k^0) = \int_0^{\infty} dk^0 \int \frac{d^3k}{(2\pi)^3} \underbrace{\delta(\vec{k}^2 - (k^0)^2 + m^2)}_{\omega^2 - (k^0)^2}$$

$$\underbrace{\delta(k^0 - \omega) + \delta(k^0 + \omega)}_{\substack{2\omega \\ 2\omega}} \Bigg|_0$$

$$= \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega}$$

$$\therefore f(k) \propto \omega$$

Take  $f(k) = (2\pi)^3 2\omega$  and let

$$\tilde{dk} := \frac{d^3k}{(2\pi)^3 2\omega}$$

$$\therefore \varphi(x) = \int \tilde{dk} \left[ a(\vec{k}) e^{ikx} + a^*(\vec{k}) e^{-ikx} \right]$$

$$\begin{aligned} \int d^3x \, e^{-ik'x} \varphi(x) &= \int d^3x \, e^{-ik'x} \int \frac{d^3k}{(2\pi)^3 2\omega} \left[ a(\vec{k}) e^{ikx} + a^*(\vec{k}) e^{-ikx} \right] \\ &= \int \frac{d^3x \, d^3k}{(2\pi)^3 2\omega} a(\vec{k}) e^{i(k-k')x} + \int \frac{d^3x \, d^3k}{(2\pi)^3 2\omega} a^*(\vec{k}) e^{-i(k+k')x} \\ &= \int \frac{d^3k}{2\omega} a(\vec{k}) \delta^3(\vec{k}-\vec{k}') e^{-i(\omega-\omega')t} + \int \frac{d^3k}{2\omega} a^*(\vec{k}) \delta^3(\vec{k}+\vec{k}') e^{i(\omega+\omega')t} \\ &= \frac{1}{2\omega} a(\vec{k}') + \frac{1}{2\omega'} a^*(-\vec{k}') e^{2i\omega't} \end{aligned}$$

$$\dot{\varphi}(x) = \int \tilde{dk} \, i\omega \left[ -a(\vec{k}) e^{ikx} + a^*(\vec{k}) e^{-ikx} \right]$$

$$\begin{aligned} \int d^3x \, e^{-ik'x} \dot{\varphi}(x) &= \int d^3x \, e^{-ik'x} \int \frac{d^3k}{(2\pi)^3 2\omega} i\omega \left[ -a(\vec{k}) e^{ikx} + a^*(\vec{k}) e^{-ikx} \right] \\ &= -i \int \frac{d^3x \, d^3k}{(2\pi)^3 2} a(\vec{k}) e^{i(k-k')x} + i \int \frac{d^3x \, d^3k}{(2\pi)^3 2} a^*(\vec{k}) e^{-i(k+k')x} \\ &= -\frac{i}{2} \int d^3k a(\vec{k}) \delta^3(\vec{k}-\vec{k}') e^{-i(\omega-\omega')t} + \frac{i}{2} \int d^3k a^*(\vec{k}) \delta^3(\vec{k}+\vec{k}') e^{i(\omega+\omega')t} \\ &= -\frac{i}{2} a(\vec{k}') + \frac{i}{2} e^{2i\omega't} a^*(-\vec{k}') \end{aligned}$$

$$\frac{1}{2\omega} a(\vec{k}') + \frac{1}{2\omega'} e^{2i\omega't} a^*(-\vec{k}') = \int d^3x \, e^{-ik'x} \varphi(x) \quad \Bigg| \quad i$$

$$-\frac{i}{2} a(\vec{k}') + \frac{i}{2} e^{2i\omega't} a^*(-\vec{k}') = \int d^3x \, e^{-ik'x} \dot{\varphi}(x) \quad \Bigg| \quad -\frac{1}{\omega}$$

$$\frac{i}{2\omega} a(\vec{k}') + \frac{i}{2\omega'} a(\vec{k}') = \int d^3x \, e^{-ik'x} \left( i\varphi - \frac{1}{\omega} \dot{\varphi} \right) \quad \Bigg| \quad \frac{\omega}{i}$$

$$a(\vec{k}) = \int d^3x \, e^{-ikx} (\omega \varphi + i\dot{\varphi})$$

$$= i \int d^3x \left( \dot{\varphi} e^{-ikx} - \varphi (e^{-ikx})' \right)$$

$$= i \int d^3x \, e^{-ikx} \overleftrightarrow{\partial}_0 \varphi(x)$$

$$f \overleftrightarrow{\partial}_\mu g := f \partial_\mu g - \partial_\mu f g$$

Note that  $a(\vec{k})$  is time independent.

$$\mathcal{L} = -\frac{1}{2} \underbrace{(\partial_\mu \varphi)^2}_{\partial^0 \varphi \partial_0 \varphi + \partial^i \varphi \partial_i \varphi} - \frac{1}{2} m^2 \varphi^2 + \Omega_0$$

$$\partial^0 \varphi \partial_0 \varphi + \partial^i \varphi \partial_i \varphi = -\dot{\varphi}^2 + (\vec{\nabla} \varphi)^2$$

$$= \frac{1}{2} \dot{\varphi}^2 - \frac{1}{2} (\vec{\nabla} \varphi)^2 - \frac{1}{2} m^2 \varphi^2 + \Omega_0$$

Conjugate momentum:

$$\pi(x) = \frac{\partial \mathcal{L}}{\partial \dot{\varphi}} = \dot{\varphi}(x)$$

Hamiltonian density:

$$\begin{aligned} \mathcal{H}(x) &= \pi(x) \dot{\varphi}(x) - \mathcal{L} \\ &= \pi^2 - \frac{1}{2} \pi^2 + \frac{1}{2} (\vec{\nabla} \varphi)^2 + \frac{1}{2} m^2 \varphi^2 - \Omega_0 \\ &= \frac{1}{2} \pi^2 + \frac{1}{2} (\vec{\nabla} \varphi)^2 + \frac{1}{2} m^2 \varphi^2 - \Omega_0 \end{aligned}$$

Hamiltonian: See code-1.

$$\begin{aligned} H &= \int d^3x \, \mathcal{H}(x) \\ &= -\Omega_0 V + \frac{1}{2} \int \tilde{dk} \, \omega \left[ a^*(\vec{k}) a(\vec{k}) + a(\vec{k}) a^*(\vec{k}) \right] \\ &\hspace{15cm} (2\pi)^3 2\omega \delta^3(0) + a^\dagger(\vec{k}) a(\vec{k}) \end{aligned}$$

So far, it's been all classical.

Canonical quantization:

$$[\varphi(\vec{x}, t), \varphi(\vec{x}', t)] = 0$$

$$[\pi(\vec{x}, t), \pi(\vec{x}', t)] = 0$$

$$[\varphi(\vec{x}, t), \pi(\vec{x}', t)] = i \delta^3(\vec{x} - \vec{x}')$$

See code-2:

$$[a(\vec{k}), a(\vec{k}')] = 0$$

$$[a^\dagger(\vec{k}), a^\dagger(\vec{k}')] = 0$$

$$[a(\vec{k}), a^\dagger(\vec{k}')] = (2\pi)^3 2\omega \delta^3(\vec{k} - \vec{k}')$$

Back to Hamiltonian:

$$\begin{aligned} H &= -\Omega_0 V + \frac{1}{2} \int \tilde{dk} \, \omega \left[ a^\dagger(\vec{k}) a(\vec{k}) + a(\vec{k}) a^\dagger(\vec{k}) \right] \\ &= -\Omega_0 V + \int \tilde{dk} \, \omega a^\dagger(\vec{k}) a(\vec{k}) + \frac{1}{2} \int \frac{d^3k}{(2\pi)^3 2\omega} (2\pi)^3 2\omega \delta^3(0) \omega \\ &\hspace{15cm} \swarrow \quad \searrow \\ &\hspace{15cm} V \end{aligned}$$

$$= -\Omega_0 V + V \frac{1}{2} \underbrace{\int \frac{d^3k}{(2\pi)^3} \omega}_{=: E_0 \text{ : total zero-point energy of all oscillators}} + \int \tilde{dk} \, \omega a^\dagger(\vec{k}) a(\vec{k})$$

$$= (E_0 - \Omega_0) V + \int \tilde{dk} \, \omega a^\dagger(\vec{k}) a(\vec{k})$$

$E_0$  is  $\infty$ , so we can integrate it up to some UV cut-off,

$\Lambda \gg m$ :

$$\begin{aligned} E_0 &= \frac{1}{2} \int \frac{d^3k}{(2\pi)^3} \omega \\ &\rightarrow \frac{1}{2} \int_0^\Lambda \frac{q^2 dq}{(2\pi)^3} \int_0^{4\pi} d\Omega \sqrt{q^2 + m^2}, \quad q := |\vec{k}| \\ &\approx \frac{1}{2} 4\pi \frac{q^4}{4} \Bigg|_0^\Lambda \frac{1}{(2\pi)^3} \\ &= \frac{1}{2} \frac{\Lambda^4}{8\pi^2} \\ &= \frac{\Lambda^4}{16\pi^2} \end{aligned}$$

This is physically justified if the formalism of quantum field

theory breaks down at some large energy scale. For now,

since  $\Omega_0$  is arbitrary, set  $\Omega_0 = E_0$ . W/ this choice, the ground

state has zero energy.