

Functional Programming With Trees

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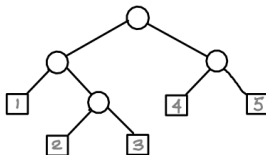
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Binary Trees



Binary trees are defined as:

```
data Btree a = Leaf a | Fork (Btree a) (Btree a)
```

The tree above is represented as:

```
bt1 :: Btree Int
bt1 = Fork (Fork (Leaf 1) (Fork (Leaf 2) (Leaf 3)))
          (Fork (Leaf 4) (Leaf 5))
```

Binary Trees

Functions on binary trees:

```
size (Leaf x) = 1
size (Fork xt yt) = 1 + size xt + size yt

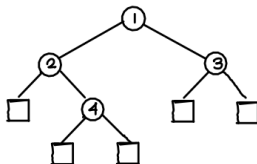
mirror (Leaf x) = Leaf x
mirror (Fork xt yt) = Fork (mirror yt) (mirror xt)

flatten (Leaf x) = [x]
flatten (Fork xt yt) = flatten xt ++ flatten yt

flatten t = flatten' t []
  where flatten' (Leaf x) l = x : l
        flatten' (Fork xt yt) l = flatten' xt (flatten' yt l)
```

Binary Search Trees

Used for representing sets:



```
data (Ord a) => Stree a = Null | Fork a (Stree a) (Stree a)
  deriving Show
```

Property of a binary search tree:

If `Fork v xt yt` is a binary search tree, then for all `x`,
`member x xt` $\Rightarrow x < v$, and `member x yt` $\Rightarrow x > v$

Functions on Binary Search Trees

Functions on binary search trees:

```
flatten Null = []
flatten (Fork x xt yt) = flatten xt ++ [x] ++ flatten yt

insert x Null = Fork x Null Null
insert x t@(Fork y xt yt) | x < y = Fork y (insert x xt) yt
                          | x == y = t
                          | x > y = Fork y xt (insert x yt)

member x Null = False
member x (Fork y xt yt) | x < y = member x xt
                       | x == y = True
                       | x > y = member x yt

delete x Null = Null
delete x (Fork y xt yt) | x < y = Fork y (delete x xt) yt
                       | x == y = join xt yt
                       | x > y = Fork y xt (delete x yt)
```

Functions on Binary Search Trees

How should join be defined?

- The value at any node in `xt` is less than the value of all nodes in `yt`.
- One possible way to join:



- Results in skewed trees, not good for search.

Functions on Binary Search Trees

What property should `join` satisfy?

```
flatten(join xt yt) = flatten xt ++ flatten yt
```

Now we have two cases:

① `yt is Null`. Then

```
flatten(join xt Null) = flatten xt ++ flatten Null
                      = flatten xt ++ []
                      = flatten xt
```

Cancelling `flatten` on both sides we have:

```
join xt Null = xt
```

Functions on Binary Search Trees

2. `yt` is not `Null`.

We then have:

```
flatten(join xt yt) = flatten xt ++ flatten yt
                    = flatten xt ++ [head (flatten yt)]
                               ++ tail (flatten yt)
```

In this case, we guess the following:

```
join xt yt = Fork (ht yt) xt (tt yt).
```

- The value at the root is some function `ht` of `yt` (only).
- The right subtree is also some function `tt` of `yt`.

```
flatten(join xt yt) = flatten (Fork (ht yt) xt (tt yt))
                    = flatten xt ++ [(ht yt)] ++ flatten (tt yt)
```

This gives:

```
ht yt = head (flatten yt)
flatten(tt yt) = tail (flatten yt)
```


Functions on Binary Search Trees

From this we can synthesize the following definitions:

```
ht (Fork v Null ytr) = head (flatten (Fork v Null ytr))
                        = head (flatten Null ++ [v] ++ flatten ytr)
                        = head ([v] ++ flatten ytr)
                        = v
```

```
ht (Fork v ytl ytr)  = head (flatten (Fork v ytl ytr))
                      = head (flatten ytl ++ [v] ++ flatten ytr)
                      = head (flatten ytl)
                      = ht ytl
```

Functions on Binary Search Trees

```
flatten (tt (Fork v Null ytr)) = tail (flatten (Fork v Null ytr))
                                = tail (flatten Null ++ [v] ++ flatten ytr)
                                = tail ([v] ++ flatten ytr)
                                = flatten ytr
```

Thus $tt (Fork v Null ytr) = ytr$. Further

```
flatten (tt (Fork v ytl ytr)) = tail (flatten (Fork v ytl ytr))
                                = tail (flatten ytl ++ [v] ++ flatten ytr)
                                = tail (flatten ytl) ++ [v] ++ flatten ytr
                                = flatten (tt ytl) ++ [v] ++ flatten ytr
                                = flatten (Fork v (tt ytl) ytr)
```

Thus $tt (Fork v ytl ytr) = Fork v (tt ytl) ytr$.

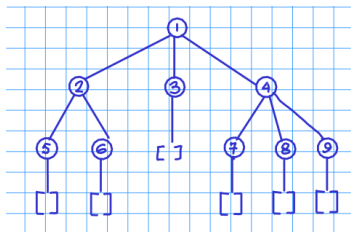
General (n-ary) trees

General Trees

```
data Gtree a = Node a [Gtree a]
```

```
gt1 :: Gtree Int
```

```
gt1 = Node 1 [Node 2 [Node 5 [], Node 6 []],  
              Node 3 [],  
              Node 4 [Node 7 [], Node 8 [], Node 9 []]]
```



Min-max with alpha beta pruning

Consider representing the moves of a game with a function `moves`

```
moves :: Position -> [Position]
```

We do not define `moves` or `position` any further.

Example: Tic-Tac-Toe

Represent a `Position` as a list:

A 3x3 Tic-Tac-Toe board is shown on the left, with 'x' in (1,1), (2,1), and (3,1); 'o' in (1,2) and (2,3); and empty cells at (1,3), (2,1), and (3,2). An arrow points to a list representation: `['x',' ',' ','o',' ','o','x',' ',' ']`.

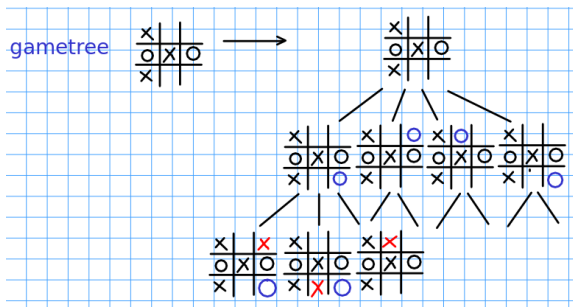
And `moves` as a function:

A 3x3 Tic-Tac-Toe board is shown on the left, identical to the one above. An arrow points to a list of five possible moves, each represented by a 3x3 board with one additional 'x' or 'o' in a blue-highlighted cell. The moves are: 1) 'x' at (3,2); 2) 'o' at (2,2); 3) 'x' at (1,3); 4) 'x' at (3,3); 5) 'o' at (3,3).

Min-max with alpha beta pruning

Given `moves` we can define the evolution of a game starting from a position `pos`:

```
reptree f initial = Node initial (map (reptree f) (f initial))  
gametree pos = reptree moves pos
```



`gametree` can return a very large, or for some games, even an infinite tree.

Min-max with alpha beta pruning

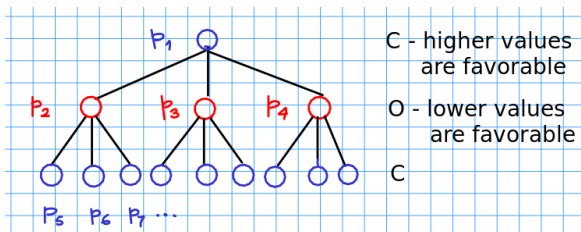
```
static :: Position -> Value
```

Gives a static value to a board position without evaluating the game.

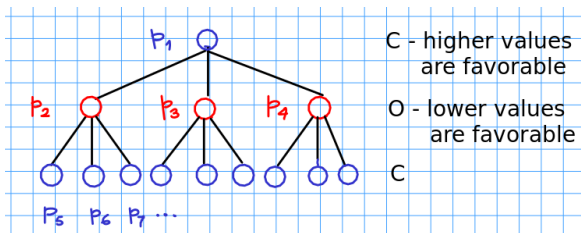
```
data Who = C | O
```

```
dynamic :: Who -> Gtree Position -> Value
```

Gives a value to the root of a **gametree** by traversing the tree for a few levels.

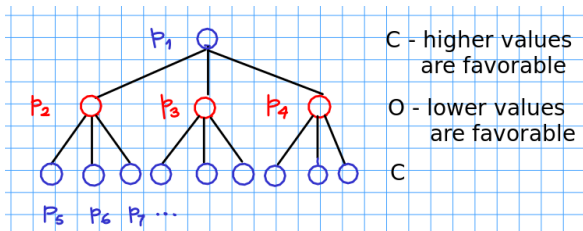


Min-max with alpha beta pruning



- Question: What is the dynamic value of p_1 ?
Answer: The maximum of the dynamic values of p_2 , p_3 , p_4 .
- Question: What is the dynamic value of p_2 ?
Answer: The minimum of the dynamic values of p_5 , p_6 , p_7 .
- Question: What is the dynamic value of p_6 ?
Answer: The static value p_6 , assuming no lookahead.

Min-max with alpha beta pruning



```
dynamic C (Node pos []) = static pos
dynamic C (Node pos 1) = maximum (map (dynamic O) 1)
dynamic O (Node pos []) = static pos
dynamic O (Node pos 1) = minimum (map (dynamic C) 1)
```

```
evaluate = dynamic C . gametree
```

- If `gametree` returns an infinite tree, then `evaluate` will not terminate.
- How do we add a termination condition without disturbing the existing code?

Min-max with alpha beta pruning

```
evaluate = dynamic C . prune 5 . gametree
```

Min-max with alpha beta pruning

```
evaluate = dynamic C . prune 5 . gametree

prune 1 (Node pos l) = Node pos []
prune n t@(Node pos []) = t
prune n (Node pos l) = Node pos (map (prune (n - 1)) l)
```

Min-max with alpha beta pruning

```
evaluate = dynamic C . prune 5 . gametree
```

```
prune 1 (Node pos l) = Node pos []
```

```
prune n t@(Node pos []) = t
```

```
prune n (Node pos l) = Node pos (map (prune (n - 1)) l)
```

- Traversal over the gametree for its evaluation and termination of the evaluation process are separate concerns.
- These could be kept apart due to laziness.

Min-max with alpha beta pruning

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evaluate = dynamic C . prune 5 . gametree

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```

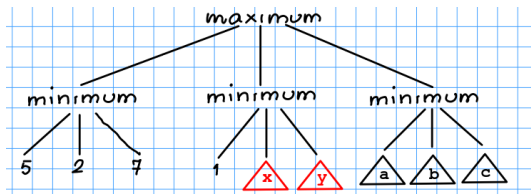
- Traversal over the gametree for its evaluation and termination of the evaluation process are separate concerns.
- These could be kept apart due to laziness.

`test.generate` has different interpretations in lazy and eager languages:

- **Eager languages:** Generate and then test.
- **Lazy languages:** Generate only as much as to pass test.

Min-max with alpha beta pruning

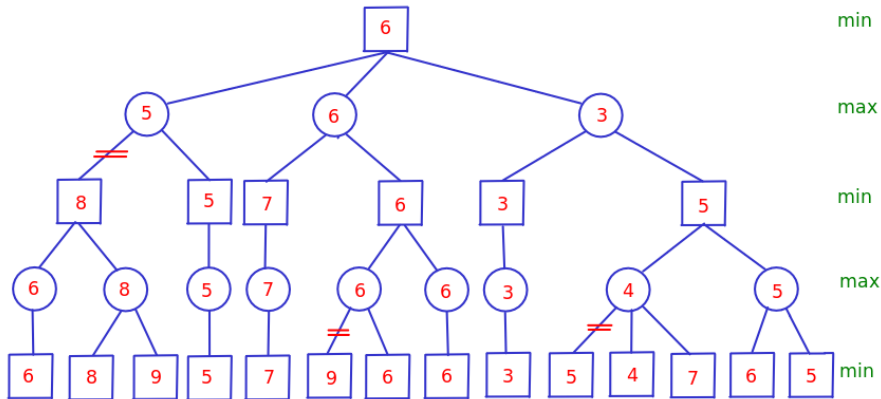
Alpha-Beta pruning:



$\text{maximum}(\text{minimum } 5 \ 2 \ 7) \ (\text{minimum } 1 \ x \ y) \ (\text{minimum } a \ b \ c)$
= $\text{maximum } 2 \ (\text{minimum } 1 \ x \ y) \ (\text{minimum } a \ b \ c)$
= $\text{maximum } 2 \ n \ (\text{minimum } a \ b \ c)$, where $n \leq 1$
= $\text{maximum } 2 \ (\text{minimum } a \ b \ c)$

Therefore x and y need not be evaluated.

Min-max with alpha beta pruning



Min-max with alpha beta pruning

Can we modify the computation of `dynamic C` to incorporate this modification?

```
dynamic C (Node pos []) = static pos
dynamic C (Node pos l) = min maxInt (dynamic C (Node pos l))
                        = alpha (Node pos l) maxInt,
  where alpha (Node pos l) potmin = min potmin (dynamic C (Node pos l))
```

Min-max with alpha beta pruning

Can we modify the computation of `dynamic C` to incorporate this modification?

```
dynamic C (Node pos []) = static pos
dynamic C (Node pos l) = min maxInt (dynamic C (Node pos l))
                        = alpha (Node pos l) maxInt,
  where alpha (Node pos l) potmin = min potmin (dynamic C (Node pos l))
```

In fact, we can combine the two clauses of `dynamic C` to get:

```
dynamic C (Node pos l) = alpha (Node pos l) maxInt
  where alpha (Node pos []) potmin = static pos
        alpha (Node pos l) potmin = min potmin (dynamic C (Node pos l))
```

Can we calculate `min potmin (dynamic C (Node pos l))` efficiently?

```
min potmin (dynamic C (Node pos l))
= min potmin (maximum (map (dynamic C) l))
= min potmin (maximum xs), where xs = map (dynamic C) l
= ((min potmin).maximum) xs
= ((min potmin).(foldr max minInt)) xs
```


Min-max with alpha beta pruning

Can we express `(min potmin).(foldr max minInt)` as a `foldr`?

Exercise: Show that

```
(min potmin).(foldr max minInt) = foldr g id
  where id = min potmin minInt = minInt, and
        g a potmax = max (min potmin a) potmax -- Note the invariant
                                                -- potmax <= potmin
        = min (max potmin potmax) (max a potmax)
```

Min-max with alpha beta pruning

Can we express `(min potmin).(foldr max minInt)` as a `foldr`?

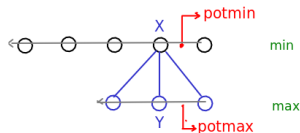
Exercise: Show that

```
(min potmin).(foldr max minInt) = foldr g id
  where id = min potmin minInt = minInt, and
         g a potmax = max (min potmin a) potmax -- Note the invariant
                                                -- potmax <= potmin
         = min (max potmin potmax) (max a potmax)
```

We can further rewrite this to

```
g a potmax | potmax >= potmin = potmax -- The great alpha pruning
           | potmax < potmin  = min potmin (max a potmax)
                           = min potmin (max potmax a)
```

Min-max with alpha beta pruning



- Computation of Y is important for the subsequent **potmin**, if it can possibly lower it from the current value. This will happen if X (which is computed from Y) is lower than **potmin**.
- The value of X is $(\text{potmax} + \Delta)$, $\Delta \geq 0$.
- If $\text{potmax} \geq \text{potmin}$, then X is no less than **potmin**. In this case, not important to evaluate Y.

Min-max with alpha beta pruning

The development so far is that

```
alpha (Node pos l) potmin = foldr g minInt (map (dynamic 0) l)
where
  g a potmax | potmax >= potmin = potmax -- The great alpha pruning
             | potmax < potmin  = min potmin (max potmax a)
```

Min-max with alpha beta pruning

The development so far is that

```
alpha (Node pos l) potmin = foldr g minInt (map (dynamic 0) l)
where
  g a potmax | potmax >= potmin = potmax -- The great alpha pruning
             | potmax < potmin  = min potmin (max potmax a)
```

Now, can we express `foldr g minInt.(map (dynamic 0))` as a `foldr`

Exercise: Show that

```
foldr g minInt .(map (dynamic 0)) = foldr h minInt
  where h a potmax = g (dynamic 0 a) potmax
```

Min-max with alpha beta pruning

Substituting for the value of `g` in the definition of `h` we get:

```
foldr g minInt . (map (dynamic 0)) = foldr h minInt
  where h a potmax | potmax >= potmin = potmax
                  | potmax < potmin  = min potmin (max potmax (dynamic 0 a))
```

We can do a dual development for `(max potmax (dynamic 0 a))` The resulting function is called `beta`:

Min-max with alpha beta pruning

We obtain:

```
dynamic C (Node pos []) = alpha (Node pos 1) maxInt
  where alpha (Node pos []) potmin = static pos
        alpha (Node pos 1) potmin = foldr h minInt 1
          where h a potmax | potmax >= potmin = potmax -- alpha pruning
                h (Node pos' 1) potmax = min potmin
                                     (beta (Node pos' 1) potmax)
        beta (Node pos []) potmax = static pos
        beta (Node pos 1) potmax = foldr g maxInt 1
          where g a potmin | potmin <= potmax = potmin -- beta pruning
                g (Node pos' 1) potmin = max potmax
                                     (alpha (Node pos' 1) potmin)
```