

# MODELLING AND COMPUTING GENERALIZED CONTINUA VIA COMPLEXES

– AN EXAMPLE WITH LINEAR COSSERAT MODELS –

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Séminaire ACSIOM, IMAG, Université de Montpellier  
8 October, 2024



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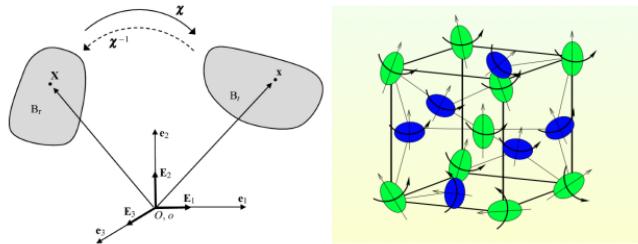
## OUTLINE

<b>1</b>	<b>The model and question . . . . .</b>	<b>2</b>
<b>2</b>	<b>A differential complex point of view . . . . .</b>	<b>6</b>
<b>3</b>	<b>Finite element methods . . . . .</b>	<b>15</b>

## THE MODEL AND QUESTION

<b>1</b>	<b>The model and question . . . . .</b>	<b>2</b>
<b>2</b>	<b>A differential complex point of view . . . . .</b>	<b>6</b>
<b>3</b>	<b>Finite element methods . . . . .</b>	<b>15</b>

Cosserat elasticity (micropolar continuum): Cosserat brothers, Théorie des corps déformables (1909). introduce a pointwise rotational degree of freedom, in addition to displacement in classical elasticity



Images: Left: José Merodio, Raymond Ogden, "Basic Equations of Continuum Mechanics"; Right: Elena F. Grekova, "Introduction to the mechanics of Cosserat media"

Related to Eringen: micropolar continua.

# MOTIVATION

## Granular material



Images: Wikipedia

Even larger scales: ice floes (grains = icebergs), asteroid belts of the Solar System (grains = asteroids)...

**Size effects** Classical elasticity & plasticity: geometrically similar structures have same properties.  
But realistic materials may not. Cosserat models incorporate grain sizes.

Cosserat models also inspired important **mathematical developments**, such as the concept of torsion.

*Cartan's attempt at bridge-building between Einstein and the Cosserats – or how translational curvature became to be known as torsion.* Scholz, E. E. EPJ H (2019).

## GOVERNING EQUATIONS

Energy in the linear model:  $u$ : displacement (vector),  $\omega$ : rotation (axial vector)

$$\begin{aligned}\mathcal{E}^{\text{Cosserat}}(u, \omega) &:= \int_{\Omega} \left( \frac{1}{2} \|\operatorname{grad} u - \operatorname{mskw} \omega\|_{C_1}^2 + \frac{1}{2} \|\operatorname{grad} \omega\|_{C_2}^2 - \langle f_u, u \rangle - \langle f_\omega, \omega \rangle \right) dx \\ &= \int_{\Omega} \left( \frac{1}{2} \|\operatorname{sym} \operatorname{grad} u\|_{\mathcal{C}}^2 + \mu_c \|1/2 \operatorname{curl} u - \omega\|^2 + \frac{\gamma + \beta}{2} \|\operatorname{sym} \operatorname{grad} \omega\|^2 \right. \\ &\quad \left. + \frac{\gamma - \beta}{4} \|\operatorname{curl} \omega\|^2 + \frac{\alpha}{2} \|\operatorname{div} \omega\|^2 \right) dx - \int_{\Omega} \langle f_u, u \rangle + \langle f_\omega, \omega \rangle dx,\end{aligned}$$

with

$$\begin{aligned}C_1(\varepsilon) &= 2\mu \operatorname{sym} \varepsilon + \lambda \operatorname{tr} \varepsilon I + \mu_c \operatorname{skw} \varepsilon = \mathcal{C}(\varepsilon) + \mu_c \operatorname{skw} \varepsilon, & \mathcal{C}(\varepsilon) &= 2\mu \operatorname{sym} \varepsilon + \lambda \operatorname{tr} \varepsilon I, \\ C_2(\varepsilon) &= (\gamma + \beta) \operatorname{sym} \varepsilon + \alpha \operatorname{tr} \varepsilon I + (\gamma - \beta) \operatorname{skw} \varepsilon \\ &= (\gamma + \beta) \operatorname{dev} \operatorname{sym} \varepsilon + \frac{3\alpha + \beta + \gamma}{3} \operatorname{tr} \varepsilon I + (\gamma - \beta) \operatorname{skw} \varepsilon,\end{aligned}$$

where  $\mathcal{C}$  is the classical elasticity tensor with *Lamé parameters*  $\mu$  and  $\lambda$ ,  $\mu_c$  is the *Cosserat coupling constant*, and  $\alpha, \beta, \gamma$  are additional *micropolar moduli*.

The additive coupling term  $\operatorname{grad} u - \operatorname{mskw} \omega$  comes from linearization of a (multiplicative) action of Lie group element  $\exp(\operatorname{mskw} \omega) \in \text{SO}(3)$  on deformation  $\varphi$ .

**Open:** numerical methods robust with all the parameters.

## WEAK AND STRONG COUPLING

A closer look at the energy:

$$\mathcal{E}^{\text{Cosserat}}(u, \omega) := \int_{\Omega} \left( \frac{1}{2} \|\operatorname{sym} \operatorname{grad} u\|_{C_0}^2 + \mu_c \|1/2 \operatorname{curl} u - \omega\|^2 + \frac{1}{2} \|\operatorname{grad} \omega\|_{C_2}^2 - \langle f_u, u \rangle - \langle f_\omega, \omega \rangle \right) dx$$

- ▶  $\mu_c = 0$ :  $u$  and  $\omega$  decoupled. Solve a standard elasticity problem for  $u$ .
- ▶  $\mu_c = \infty$ : “perfect coupling” - forcing  $\omega = \frac{1}{2} \operatorname{curl} u$ . The leading term becomes  $\|\operatorname{grad} \omega\|_{C_2}^2 = \frac{1}{2} \|\operatorname{grad} \operatorname{curl} u\|_{C_2}^2$ . Mixed 4th-2nd order problems: **couple stress model**.

So parameter-robust method for Cosserat should also solve couple stress models.

**Existing work:** *Mixed finite element methods for linear Cosserat equations*. Boon, W. M., Duran, O., & Nordbotten, J. M., arXiv preprint (2024).

$\mu_c > 0, \mu_c \rightarrow 0$ .

# A DIFFERENTIAL COMPLEX POINT OF VIEW

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## DE RHAM COMPLEX (3D VERSION)

$$0 \longrightarrow C^\infty(\Omega) \xrightarrow{\text{grad}} C^\infty(\Omega; \mathbb{R}^3) \xrightarrow{\text{curl}} C^\infty(\Omega; \mathbb{R}^3) \xrightarrow{\text{div}} C^\infty(\Omega) \longrightarrow 0.$$
$$d^0 := \text{grad}, \quad d^1 := \text{curl}, \quad d^2 := \text{div}.$$

- ▶ complex property:  $d^k \circ d^{k-1} = 0, \Rightarrow \mathcal{R}(d^{k-1}) \subset \mathcal{N}(d^k),$   
 $\text{curl} \circ \text{grad} = 0 \Rightarrow \mathcal{R}(\text{grad}) \subset \mathcal{N}(\text{curl}), \quad \text{div} \circ \text{curl} = 0 \Rightarrow \mathcal{R}(\text{curl}) \subset \mathcal{N}(\text{div})$
- ▶ cohomology:  $\mathcal{H}^k := \mathcal{N}(d^k)/\mathcal{R}(d^{k-1}),$   
 $\mathcal{H}^0 := \mathcal{N}(\text{grad}), \quad \mathcal{H}^1 := \mathcal{N}(\text{curl})/\mathcal{R}(\text{grad}), \quad \mathcal{H}^2 := \mathcal{N}(\text{div})/\mathcal{R}(\text{curl})$
- ▶ exactness (contractible domains):  $\mathcal{N}(d^k) = \mathcal{R}(d^{k-1}),$  i.e.,  $d^k u = 0 \Rightarrow u = d^{k-1} v$   
 $\text{curl } u = 0 \Rightarrow u = \text{grad } \phi, \quad \text{div } v = 0 \Rightarrow v = \text{curl } \psi.$

In higher dimensions,

$$\dots \longrightarrow \Lambda^{k-1} \xrightarrow{d^{k-1}} \Lambda^k \xrightarrow{d^k} \Lambda^{k+1} \longrightarrow \dots$$

$\Lambda^k$  : differential  $k$ -forms,     $d^k$  : exterior derivatives

## A DIFFERENTIAL COMPLEX POINT OF VIEW

From complexes to PDEs

Formal adjoint of operators:

$$\text{grad}^* = -\text{div}, \quad \text{curl}^* = \text{curl}, \quad \text{div}^* = -\text{grad}.$$

$$\int_{\Omega} \text{grad } u \cdot v = - \int_{\Omega} u \cdot \text{div } v + \text{bound. term}, \quad \int_{\Omega} \text{curl } u \cdot v = \int_{\Omega} u \cdot \text{curl } v + \text{bound. term}$$

$$(\text{grad } u, v) = (u, -\text{div } v), \quad (\text{curl } u, v) = (u, \text{curl } v)$$

Formal adjoint of de Rham complex:

$$0 \longleftarrow C^\infty(\Omega) \xleftarrow{-\text{div}} C^\infty(\Omega; \mathbb{R}^3) \xleftarrow{\text{curl}} C^\infty(\Omega; \mathbb{R}^3) \xleftarrow{-\text{grad}} C^\infty(\Omega) \longleftarrow 0.$$

$$d_2^* := -\text{div}, \quad d_1^* := \text{curl}, \quad d_0^* := -\text{grad}.$$

## A DIFFERENTIAL COMPLEX POINT OF VIEW

connections to PDEs: Hodge-Laplacian problems.

$$(d^{k-1}d_{k-1}^* + d_k^*d^k)u = f.$$

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$$0 \xrightleftharpoons[\text{-- div}]{\text{grad}} C^\infty(\Omega) \xrightleftharpoons[\text{-- div}]{\text{grad}} C^\infty(\Omega; \mathbb{R}^3) \quad C^\infty(\Omega; \mathbb{R}^3) \quad C^\infty(\Omega) \quad 0.$$

Hodge-Laplacian problem:

$$-\operatorname{div} \operatorname{grad} u = f.$$

Poisson equation.

Variational form (energy):

$$\inf_u \frac{1}{2} \|\nabla u\|^2 - \int_\Omega fu.$$

## A DIFFERENTIAL COMPLEX POINT OF VIEW

connections to PDEs: Hodge-Laplacian problems.

$$(d^{k-1} d_{k-1}^* + d_k^* d^k) u = f.$$

$$0 \quad C^\infty(\Omega) \xrightleftharpoons[-\operatorname{div}]{\operatorname{grad}} C^\infty(\Omega; \mathbb{R}^3) \xrightleftharpoons[\operatorname{curl}]{\operatorname{curl}} C^\infty(\Omega; \mathbb{R}^3) \quad C^\infty(\Omega) \quad 0.$$

Hodge-Laplacian problem:

$$-\operatorname{grad} \operatorname{div} v + \operatorname{curl} \operatorname{curl} v = f.$$

Maxwell equations.

Variational form (energy):

$$\inf_v \frac{1}{2} (\|\operatorname{curl} v\|^2 + \|\operatorname{div} v\|^2) - \int_\Omega f v.$$

## A DIFFERENTIAL COMPLEX POINT OF VIEW

connections to PDEs: Hodge-Laplacian problems.

$$(d^{k-1} d_{k-1}^* + d_k^* d^k) u = f.$$

$$0 \quad C^\infty(\Omega) \quad C^\infty(\Omega; \mathbb{R}^3) \xleftarrow[\text{curl}]{\text{curl}} \textcolor{brown}{C^\infty(\Omega; \mathbb{R}^3)} \xleftarrow[-\text{grad}]{\text{div}} C^\infty(\Omega) \quad 0.$$

Hodge-Laplacian problem:

$$\text{curl curl } v - \text{grad div } v = f.$$

Maxwell equations.

Variational form (energy):

$$\inf_v \frac{1}{2} (\|\text{curl } v\|^2 + \|\text{div } v\|^2) - \int_\Omega f v.$$

## A DIFFERENTIAL COMPLEX POINT OF VIEW

connections to PDEs: Hodge-Laplacian problems.

$$(d^{k-1}d_{k-1}^* + d_k^*d^k)u = f.$$

$$0 \quad C^\infty(\Omega) \quad C^\infty(\Omega; \mathbb{R}^3) \quad C^\infty(\Omega; \mathbb{R}^3) \xrightarrow[-\text{grad}]{\text{div}} \textcolor{brown}{C^\infty(\Omega)} \xrightleftharpoons[]{} 0.$$

Hodge-Laplacian problem:

$$-\operatorname{div} \operatorname{grad} u = f.$$

Poisson equation.

Variational form (energy):

$$\inf_u \frac{1}{2} \|\nabla u\|^2 - \int_{\Omega} fu.$$

## HOW TO DERIVE MORE COMPLEXES: THE BGG MACHINERY

Bernstein-Gelfand-Gelfand (BGG) machinery: Derive complexes from de Rham complexes; carry over de Rham results. (B-G-G 1975, Čap,Slovák,Souček 2001, Eastwood 2000, Arnold,Falk,Winther 2006)

BGG diagram: complexes connected by algebraic operators in a (anti)commuting diagram ( $dS = -Sd$ )

$$\begin{array}{ccccccc} \dots & \xrightarrow{\quad} & V^{k-2} & \xrightarrow{d^{k-2}} & V^{k-1} & \xrightarrow{d^{k-1}} & V^k & \xrightarrow{d^k} & V^{k+1} & \xrightarrow{\quad} \dots \\ & & \nearrow S^{k-2} & & \nearrow S^{k-1} & & \nearrow S^k & & & \\ \dots & \xrightarrow{\quad} & W^{k-2} & \xrightarrow{d^{k-2}} & W^{k-1} & \xrightarrow{d^{k-1}} & W^k & \xrightarrow{\text{div}} & W^{k+1} & \xrightarrow{\quad} \dots \end{array}$$

Two complexes can be derived from the above BGG diagram:

twisted complex:

$$\dots \longrightarrow \begin{pmatrix} V^{k-1} \\ W^{k-1} \end{pmatrix} \xrightarrow{\begin{pmatrix} d^{k-1} & -S^{k-1} \\ 0 & d^{k-1} \end{pmatrix}} \begin{pmatrix} V^k \\ W^k \end{pmatrix} \xrightarrow{\begin{pmatrix} d^k & -S^k \\ 0 & d^k \end{pmatrix}} \begin{pmatrix} V^{k+1} \\ W^{k+1} \end{pmatrix} \longrightarrow \dots$$

BGG diagram: eliminating components connected by  $S^\bullet$

BGG diagram in 1D:

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^2 & \xrightarrow{\partial_x} & H^1 & \longrightarrow & 0 \\ & & & & \nearrow I & & \\ 0 & \longrightarrow & H^1 & \xrightarrow{\partial_x} & L^2 & \longrightarrow & 0. \end{array}$$

Twisted complex:

$$0 \longrightarrow \begin{pmatrix} H^1 \\ H^1 \end{pmatrix} \xrightarrow{\begin{pmatrix} \frac{d}{dx} & -I \\ 0 & \frac{d}{dx} \end{pmatrix}} \begin{pmatrix} L^2 \\ L^2 \end{pmatrix} \longrightarrow 0.$$

Energy of Hodge-Laplacian:

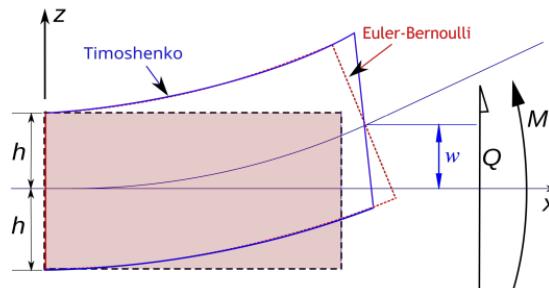
$$\| \frac{d}{dx} w - \varphi \|_{C_1}^2 + \| \frac{d}{dx} \varphi \|_{C_2}^2$$

BGG complex:

$$0 \longrightarrow H^2 \xrightarrow{\partial_x^2} L^2 \longrightarrow 0.$$

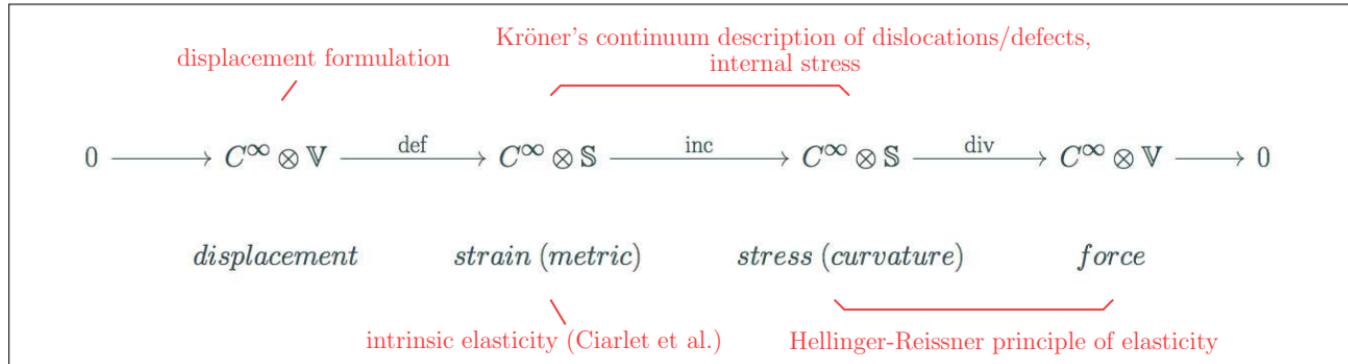
Energy of Hodge-Laplacian

$$\| \frac{d^2}{dx^2} w \|_C^2.$$



Images: Wikipedia

## 3D ELASTICITY: ELASTICITY (KRÖNER, CALABI) COMPLEX



$\mathbb{V} := \mathbb{R}^3$  vectors,     $\mathbb{S} := \mathbb{R}_{\text{sym}}^{3 \times 3}$  symmetric matrices

$$\text{def } u := 1/2(\nabla u + \nabla u^T), \quad (\text{def } u)_{ij} = 1/2(\partial_i u_j + \partial_j u_i).$$

$$\text{inc } g := \nabla \times g \times \nabla, \quad (\text{inc } g)^{ij} = \epsilon^{ikl} \epsilon^{jst} \partial_k \partial_s g_{lt}.$$

$$\text{div } v := \nabla \cdot v, \quad (\text{div } v)_i = \partial^i u_{ij}.$$

$g$  metric  $\Rightarrow$  inc  $g$  linearized Einstein tensor ( $\simeq$  Riem  $\simeq$  Ric in 3D)

inc  $\circ$  def = 0: Saint-Venant compatibility

div  $\circ$  inc = 0: Bianchi identity

## SKETCH OF DERIVATION: COMPLEXES FROM COMPLEXES

Step 1: connect two (or more) de Rham complexes

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathbb{R}^3 & \xrightarrow{\text{grad}} & \mathbb{R}^{3 \times 3} & \xrightarrow{\text{curl}} & \mathbb{R}^{3 \times 3} & \xrightarrow{\text{div}} & \mathbb{R}^3 & \longrightarrow 0 \\
 & & \downarrow -\text{mskw} & & \downarrow \mathcal{S} & & \downarrow 2\text{vskw} & & \\
 0 & \longrightarrow & \mathbb{R}^3 & \xrightarrow{\text{grad}} & \mathbb{R}^{3 \times 3} & \xrightarrow{\text{curl}} & \mathbb{R}^{3 \times 3} & \xrightarrow{\text{div}} & \mathbb{R}^3 & \longrightarrow 0
 \end{array}$$

$$\mathcal{S}u := u^T - \text{tr}(u)I, \text{ bijective}$$

Step 2: eliminate as much as possible

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathbb{R}^3 & \xrightarrow{\text{grad}} & \mathbb{S} + \mathbb{K} & \xrightarrow{\text{curl}} & \mathbb{R}^{3 \times 3} & \xrightarrow{\text{div}} & \mathbb{R}^3 & \longrightarrow 0 \\
 & & \downarrow -\text{mskw} & & \downarrow \mathcal{S} & & \downarrow 2\text{vskw} & & \\
 0 & \longrightarrow & \mathbb{R}^3 & \xrightarrow{\text{grad}} & \mathbb{R}^{3 \times 3} & \xrightarrow{\text{curl}} & \mathbb{S} + \mathbb{K} & \xrightarrow{\text{div}} & \mathbb{R}^3 & \longrightarrow 0
 \end{array}$$

$\mathbb{S}$ : symmetric matrix,  $\mathbb{K}$ : skew-symmetric matrix

Step 3: connect rows by zig-zag

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathbb{R}^3 & \xrightarrow{\text{sym grad}} & \mathbb{S} & \xrightarrow{\text{curl}} & \\
 & & & & \swarrow \text{curl}^T & & \\
 & & & & \mathbb{S} & \xrightarrow{\text{div}} & \mathbb{R}^3 & \longrightarrow 0.
 \end{array}$$

Conclusion: the cohomology of the output (elasticity) is isomorphic to the input (de Rham)

## A CLOSER LOOK AT THE DERIVATION: TWISTED COMPLEXES

$$\begin{array}{ccccccc}
 \Lambda^0 \otimes \mathbb{R}^3 & \xrightarrow{\text{grad}} & \Lambda^1 \otimes \mathbb{R}^3 & \xrightarrow{\text{curl}} & \Lambda^2 \otimes \mathbb{R}^3 & \xrightarrow{\text{div}} & \Lambda^3 \otimes \mathbb{R}^3 \\
 \text{BGG diagram} & & \nearrow \text{-mskw} & \nearrow S & \nearrow \text{vskw} & & \\
 \Lambda^0 \otimes \mathbb{R}^3 & \xrightarrow{\text{grad}} & \Lambda^1 \otimes \mathbb{R}^3 & \xrightarrow{\text{curl}} & \Lambda^2 \otimes \mathbb{R}^3 & \xrightarrow{\text{div}} & \Lambda^3 \otimes \mathbb{R}^3
 \end{array}$$

$$\begin{array}{c}
 \text{displacement} \quad \text{coframe} \quad \text{torsion} \\
 \left[ \begin{array}{c} \Lambda^0 \otimes \mathbb{R}^3 \\ \Lambda^0 \otimes \mathbb{R}^3 \end{array} \right] \quad \left[ \begin{array}{c} \Lambda^1 \otimes \mathbb{R}^3 \\ \Lambda^1 \otimes \mathbb{R}^3 \end{array} \right] \quad \left[ \begin{array}{c} \Lambda^2 \otimes \mathbb{R}^3 \\ \Lambda^2 \otimes \mathbb{R}^3 \end{array} \right] \quad \left[ \begin{array}{c} \Lambda^3 \otimes \mathbb{R}^3 \\ \Lambda^3 \otimes \mathbb{R}^3 \end{array} \right] \\
 \text{rotation} \quad \text{connection 1-form} \quad \text{(Riemann-Cartan) curvature} \\
 \underbrace{\text{grad} \quad \text{curl}}_{\text{Cosserat elasticity}} \quad \underbrace{\text{mskw} \quad \text{-S}}_{\text{Cosserat with defects}} \quad \underbrace{\text{div} \quad \text{div}}_{\text{Cosserat with defects}}
 \end{array}$$

$$\begin{array}{ccccc}
 \Lambda^0 \otimes \mathbb{R}^3 & \xrightarrow{\text{def}} & (\Lambda^1 \otimes \mathbb{R}^3) \cap \mathbb{S} & & \\
 \text{BGG complex} & \xrightarrow{\text{elasticity}} & & \searrow \text{inc} & \\
 & & & & (\text{Riemann}) \text{ curvature} \\
 & & & & (\Lambda^2 \otimes \mathbb{R}^3) \cap \mathbb{S} \xrightarrow{\text{div}} \Lambda^3 \otimes \mathbb{R}^3 \\
 & & & \xrightarrow{\text{elasticity with defects}} &
 \end{array}$$

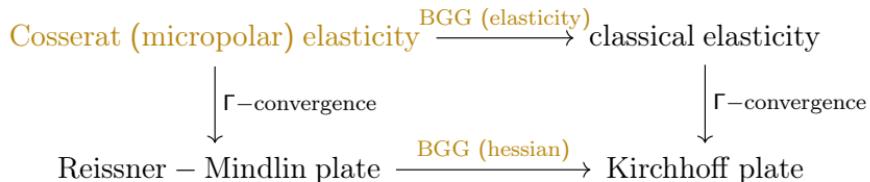
In 1D, 2D, 3D:

- ▶ twisted complexes: Timoshenko beam, Reissner-Mindlin plate, Cosserat elasticity
- ▶ BGG complexes: Euler-Bernoulli beam, Kirchhoff-Love plate, standard elasticity.

**Mechanics interpretation of BGG construction:** eliminating microstructure variables (e.g., pointwise rotation) or torsion from twisted complexes via cohomology-preserving projections.

## PART OF A LARGER PICTURE... MECHANICS V.S. COMPLEXES V.S. GEOMETRY

Trace complexes: dimension reduction



$\Gamma$  convergence: *The Reissner–Mindlin plate is the  $\Gamma$ -limit of Cosserat elasticity.* Neff, P., Hong, K. I., & Jeong, J. M3AS, (2010).

High order forms: continuum defect theory

Idea (Kröner, Nye etc.): strain in standard elasticity  $e = \text{sym grad}(u)$  satisfying  $\text{inc } e = 0$  (Saint-Venant compatibility). Defects lead to incompatibility: use  $e$  as a basic variable, and in general  $\text{inc } e \neq 0$  describes defects.

Other types of microstructures (dilation? rotation+dilation? Lie groups?), nonlinear and curved (shell) theories etc. Towards an “*Erlangen program for generalized continuum*”.

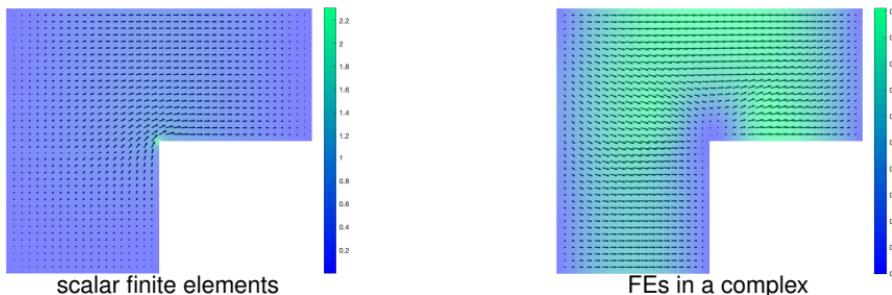
# FINITE ELEMENT METHODS

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## USE FINITE ELEMENTS FROM A COMPLEX...

A model problem for couple stress (continua with microstructures)

$$-\operatorname{curl} \Delta \operatorname{rot} \mathbf{u} - \operatorname{grad} \operatorname{div} \mathbf{u} = \mathbf{f}$$



model problem for generalised continua, classical finite element goes wrong.

KH,Q.Zhang,J.Han,L.Wang,Z.Zhang (2022) *Spurious solutions for high order curl problems*, IMA.

More examples in FEEC book/papers.

Things work when we **discrete the entire complex and preserve the cohomology**.

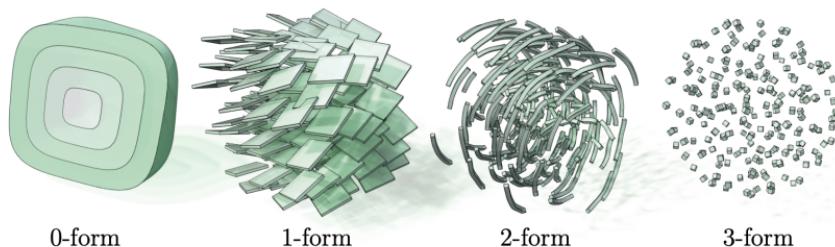
# GENERALIZING FINITE ELEMENTS: BACK TO DE RHAM'S CURRENTS

Obtaining parameter-robust schemes for Cosserat: **discretizing the entire BGG diagram**. However, conforming discretization requires redundant d.o.f.s and may not be robust with thickness.

A more canonical discretization: use **currents** (measures, Dirac delta), rather than functions.

**Geometric Measure Theory , graphics**

(Codimensional geometry: A point cloud represents a probability measure; curve cloud, surface cloud...)



0-form

1-form

2-form

3-form

**Figure 2.4** Differential  $k$ -forms can be represented by clouds of codimension- $k$  geometries.

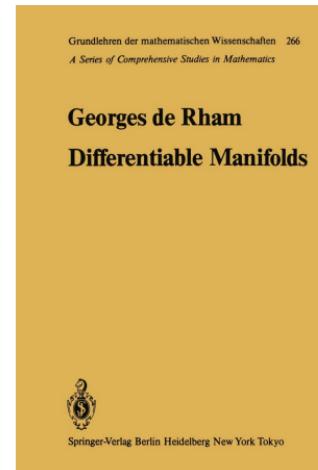


Figure: *Exterior Calculus in Graphics*, Stephanie Wang, Mohammad Sina Nabizadeh and Albert Chern; ACM SIGGRAPH 2023 courses.

## SOLVING PDEs USING DISTRIBUTIONAL ELEMENTS

General principle: evaluating Dirac delta only on continuous functions.

Poisson (trivial example):

$$\int \nabla u \cdot \nabla v = \int fv, \quad \forall v \in \text{Lagrange}.$$

What if we view it as  $\langle -\Delta u, v \rangle = (f, v)$ ?

- ▶  $u \in C^0$ ,  $\text{grad } u \in \text{Nédélec}$  (normal components may not be continuous).
- ▶  $\text{div}$  (in the sense of distributions):  $\text{grad } u \mapsto \text{Dirac delta on faces.}$
- ▶  $\Delta u = \text{div grad } u$  (as a delta) can be paired with  $v$  (single-valued on faces!).

## ELASTICITY AND TDNNS

$$-\operatorname{div} C^{-1} \operatorname{sym} \operatorname{grad} u = f.$$

Weak form: find  $\sigma \in \Sigma_h$ ,  $u \in V_h$ , such that

$$\begin{aligned}(\sigma, \tau)_C + (\operatorname{div} \tau, u) &= 0, \quad \forall \tau, \\ (\operatorname{div} \sigma, v) &= -(f, v), \quad \forall v.\end{aligned}$$

$u$ : displacement (vector);  $\sigma$ : stress (symmetric matrix)

Question: how to choose  $\Sigma_h$  and  $V_h$  (such that the pair  $(\operatorname{div} \sigma, v) = \int_{\Omega} \operatorname{div} \sigma \cdot v$  satisfies inf-sup condition?)

- ▶ displacement formulation:  $u \in C^0$ ,  $\sigma \in C^{-1}$ ,  $-\int_{\Omega} \tau : \operatorname{sym} \operatorname{grad}(u)$ . locking
- ▶ Hellinger-Reissner principle:  $u \in C^{-1}$ ,  $\sigma \in C^n$  ( $\sigma \cdot n$  continuous),  $\int_{\Omega} \operatorname{div} \tau \cdot u$ . difficult to construct
- ▶ TDNNS (Pechstein, Schöberl 2011):  $u \in C^t$  ( $u \cdot t$  continuous),  $\sigma \in C^{nn}$  ( $n \cdot \sigma \cdot n$  continuous)

$\operatorname{div} \sigma = \sum_{F \in \mathcal{F}} [\sigma]_{tn} \delta_F$ : tangential delta,  $\langle \operatorname{div} \sigma, v \rangle = \sum_{F \in \mathcal{F}} \int_F [\sigma]_{tn} \cdot v$  well defined.

Robust with thickness/anisotropy (3D TDNNS restricted to face is a 2D TDNNS).

*Tangential-displacement and normal-normal-stress continuous mixed finite elements for elasticity.* Pechstein, A., & Schöberl, J., M3AS (2011)

## STOKES AND MCS

Introduce a stress-like variable  $\sigma = \nabla u$  (**trace-free**):

$$\begin{aligned}-\operatorname{div} \sigma + \nabla p &= f, \\ \sigma &= \nabla u, \\ \nabla \cdot u &= 0.\end{aligned}$$

Weak form:  $(\sigma, \tau) - (\tau, \nabla u) = 0$ . Motivation: using  $H(\operatorname{div})$  element to discretize  $u$  ( $u \cdot n$  continuous).

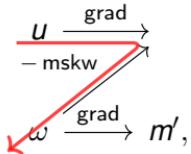
Then  $\nabla u = \sum_{F \in \mathcal{F}} [u_t] \otimes n \delta_F$ . Pair  $\langle \nabla u, \tau \rangle = \sum_{F \in \mathcal{F}} \int_F ([u_t] \otimes n) : \tau$  well defined if  $t \cdot \tau \cdot n$  is continuous.

$\tau$  : piecewise constant trace-free matrix,  $n \cdot \tau \cdot n$  as d.o.f.s.

*A mass conserving mixed stress formulation for the Stokes equations.* Gopalakrishnan, J., Lederer, P. L., & Schöberl, J., IMA (2020)

## BACK TO COSSERAT: TWO SCHEMES

Idea of Scheme 1 (MCS):

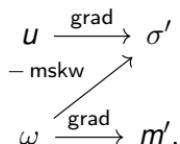


$u$ : Lagrange (displacement formulation). To avoid coupling locking (robustness with  $\mu_c$ ):

space of  $\omega$  should be large enough to contain  $-\text{vskw} \circ \text{grad } u = -\text{curl } u \implies$  discretize  $\omega$  in RT.

Then  $\text{grad } \omega$  is a distribution (the MCS situation!). We introduce  $m$  (trace-free,  $tn$ -continuous) to accommodate  $\text{grad } \omega$ .

Idea of Scheme 2 (MCS-TDNNS): Displacement formulation still suffers from volume locking (Lamé const  $\rightarrow \frac{1}{2}$ ) as in standard elasticity. Further introduce TDNNS idea to fix this.



$u \in$  Nédélec, introducing  $\sigma$  with  $nn$  continuous to accommodate  $\text{grad } u$ .

## Problem 1 (MCS and MCS-TDNNS mixed methods for linear Cosserat elasticity)

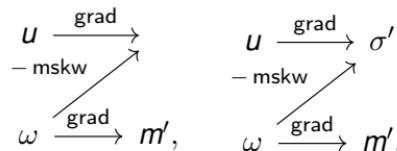
Find  $(u, \omega, m) \in [\text{Lag}^k]^3 \times \text{RT}^{k-1} \times \text{MCS}^{k-1}$  solving the Lagrangian

$$\mathcal{L}^m(u, \omega, m) = \frac{1}{2} \int_{\Omega} \left( \|\text{grad } u - \text{mskw } \omega\|_{C_1}^2 - \|m\|_{C_2^{-1}}^2 \right) dx - \langle \text{div } m, \omega \rangle_{H_0(\text{div})^*} - f(u, \omega, m) \rightarrow \min_{u, \omega} \max_m.$$

Find  $(u, \omega, m, \sigma) \in \text{Ned}_{\parallel}^k \times \text{RT}^{k-1} \times \text{MCS}^{k-1} \times \text{HHJ}^k$  solving the Lagrangian

$$\begin{aligned} \mathcal{L}^{m, \sigma}(u, \omega, \sigma, m) = & \frac{1}{2} \int_{\Omega} \left( -\|\sigma\|_{C^{-1}}^2 + 2\mu_c \|1/2 \text{curl } u - \omega\|^2 - \|m\|_{C_2^{-1}}^2 \right) dx - \langle \text{div } \sigma, u \rangle_{H_0(\text{curl})^*} \\ & - \langle \text{div } m, \omega \rangle_{H_0(\text{div})^*} - f(u, \omega, m, \sigma) \rightarrow \min_{u, \omega} \max_{m, \sigma}. \end{aligned}$$

The MCS and MCS-TDNNS formulations are based on the following diagram:



$\text{MCS}^k := \{\sigma_h \in [\mathcal{P}^k(\mathcal{T})]^{3 \times 3} : \langle n_F \times \sigma_h, n_F \rangle \text{ is continuous across all faces } F \in \mathcal{F}\}.$

$\text{HHJ}^k := \{\sigma_h \in [\mathcal{P}^k(\mathcal{T})]_{\text{sym}}^{3 \times 3} : \sigma_{h, n_F n_F} := \langle \sigma_h n_F, n_F \rangle \text{ is continuous across all faces } F \in \mathcal{F}\}.$

## WELL-POSEDNESS

### Theorem 1

The mixed form is well-posed and there holds with  $\gamma_h = 2\mu_c(1/2 \operatorname{curl} u_h - \omega_h)$  the following stability estimate

$$\|m_h\|_{L^2} + \|\sigma_h\|_{L^2} + \|\gamma_h\|_\Gamma + \|u_h\|_{V_h} + \|\omega_h\|_{W_h} + \sqrt{\mu_c} \|1/2 \operatorname{curl} u_h - \omega_h\|_{L^2} \leq C(\|f_u\|_{L^2} + \|f_\omega\|_{L^2}),$$

where  $C > 0$  is a constant independent of  $\mu_c$  and the norms  $\|\cdot\|_\Gamma$ ,  $\|\cdot\|_{V_h}$ , and  $\|\cdot\|_{W_h}$  are given by

$$\|u\|_{V_h}^2 = \sum_{T \in \mathcal{T}} \|\operatorname{sym} \operatorname{grad} u\|_{L^2(T)}^2 + \frac{1}{h} \sum_{F \in \mathcal{F}} \|[\![u]\!]\|_{L^2(F)}^2, \quad \|\gamma\|_\Gamma = \frac{1}{\sqrt{\mu_c}} \|\gamma\|_{L^2}$$

$$\|\omega\|_{W_h}^2 = \sum_{T \in \mathcal{T}} \|\operatorname{grad} \omega\|_{L^2(T)}^2 + \frac{1}{h} \sum_{F \in \mathcal{F}} \|[\![\omega]\!]\|_{L^2(F)}^2.$$

**Proof:** Use MCS and TDNNS inf-sup results. Track inf-sup constants with properly scaled norms - independent of  $\mu_c$ .

## Theorem 2 (Convergence)

Let  $(u, \omega, m, \sigma)$  be the exact solution of linear Cosserat elasticity and  $(u_h, \omega_h, m_h, \sigma_h, \gamma_h) \in \text{Ned}_{\mathcal{H}}^k \times \text{RT}^{k-1} \times \text{MCS}^{k-1} \times \text{HHJ}^k \times \text{RT}^{k-1}$  the discrete solution with homogeneous Dirichlet data on the whole boundary. Assume for  $0 \leq l \leq k-1$  the regularity  $u \in [H^1(\Omega)]^3 \cap [H^{l+1}(\mathcal{T})]^3$ ,  $\omega \in [H^1(\Omega)]^3 \cap [H^{l+1}(\mathcal{T})]^3$ ,  $m \in [H^1(\Omega)]^3 \cap [H^{l+1}(\mathcal{T})]^{3 \times 3}$ , and  $\sigma \in [H^1(\Omega)]^3 \cap [H^{l+1}(\mathcal{T})]^{3 \times 3}$ . Then there holds the convergence estimate

$$\begin{aligned} & \|u - u_h\|_{V_h} + \|\omega - \omega_h\|_{W_h} + \|m - m_h\|_{L^2} + \|\sigma - \sigma_h\|_{L^2} + \|\gamma - \gamma_h\|_{\Gamma} \\ & \leq ch^l (\|u\|_{H^{l+1}(\Omega)} + \|\omega\|_{H^{l+1}(\Omega)} + \|m\|_{H^l(\Omega)} + \|\sigma\|_{H^l(\Omega)} + \frac{1}{\sqrt{\mu_c}} \|\gamma\|_{H^l(\Omega)}), \\ & \|u - u_h\|_{V_h} + \|\omega_h - J^{\text{RT}, k-1} \omega\|_{W_h} + \|m - m_h\|_{L^2} + \|\sigma - \sigma_h\|_{L^2} + \|\gamma - \gamma_h\|_{\Gamma} \\ & \leq ch^{l+1} (\|u\|_{H^{l+2}(\Omega)} + \|m\|_{H^{l+1}(\Omega)} + \|\sigma\|_{H^{l+1}(\Omega)} + \frac{1}{\sqrt{\mu_c}} \|\gamma\|_{H^{l+1}(\Omega)}), \end{aligned}$$

where the discrete norms  $\|\cdot\|_{V_h}$  and  $\|\cdot\|_{W_h}$  are given by

$$\|u\|_{V_h}^2 = \sum_{T \in \mathcal{T}} \|\text{sym grad } u\|_{L^2(T)}^2 + \frac{1}{h} \sum_{F \in \mathcal{F}} \|[\![u]\!]\|_{L^2(F)}^2, \quad \|\omega\|_{W_h}^2 = \sum_{T \in \mathcal{T}} \|\text{grad } \omega\|_{L^2(T)}^2 + \frac{1}{h} \sum_{F \in \mathcal{F}} \|[\![\omega]\!]\|_{L^2(F)}^2.$$

Second estimate: superconvergence for  $\omega$ .

## MCS FOR COUPLE STRESS PROBLEM

Limit  $\mu_c \rightarrow \infty$ : couple stress problem.

Find  $(u_h, m_h) \in [\text{Lag}^k]^3 \times \text{MCS}^{k-1}$  solving the Lagrangian (with appropriate boundary conditions)

$$\begin{aligned}\mathcal{L}_{\text{MCS}}^{\text{CoupleStress}}(u_h, m_h) &= \frac{1}{2} \int_{\Omega} \left( \|\text{sym grad } u_h\|_{\mathbb{C}}^2 - \|m_h\|_{C_2^{-1}}^2 \right) dx - \frac{1}{2} \langle \text{div } m_h, \text{curl } u_h \rangle_{H(\text{div})^*} \\ &\quad - f(u_h, m_h) \rightarrow \min_{u_h} \max_{m_h}.\end{aligned}$$

## NUMERICAL TESTS: CYLINDRICAL BENDING OF PLATE

Length  $L = 20$ , height  $H = 2$ , and thickness  $t = 20$ .  $E = 2500$ ,  $\nu \in \{0.25, 0.4999\}$  (and  $\mu = \frac{E}{2(1+\nu)}$ ,  $\lambda = \frac{E\nu}{(1+\nu)(1-2\nu)}$ ),  $\mu_c = 0.5\mu$ ,  $\alpha = 2\mu L_c^2$ ,  $\beta = 2\mu L_c^2$ , and  $\gamma = 4\mu L_c^2$ , with  $L_c = 1$  the characteristic length. A bending moment  $M_x = 100$  is applied on the left and right boundary. The exact solution is prescribed by

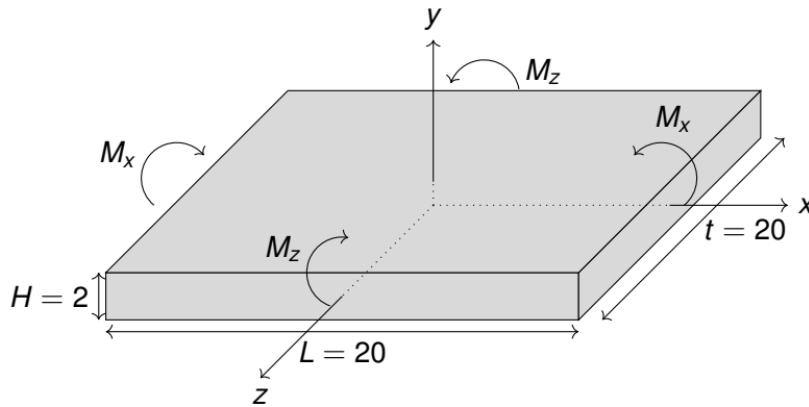
$$u_x = \frac{M_x xy}{D + \gamma H}, \quad u_y = -\frac{M_x}{2(D + \gamma H)} \left( x^2 + \frac{\nu}{1-\nu} y^2 \right) + \frac{M_x}{24(D + \gamma H)} \left( L^2 + \frac{\nu}{1-\nu} H^2 \right),$$

$$\omega_z = -\frac{M_x x}{D + \gamma H},$$

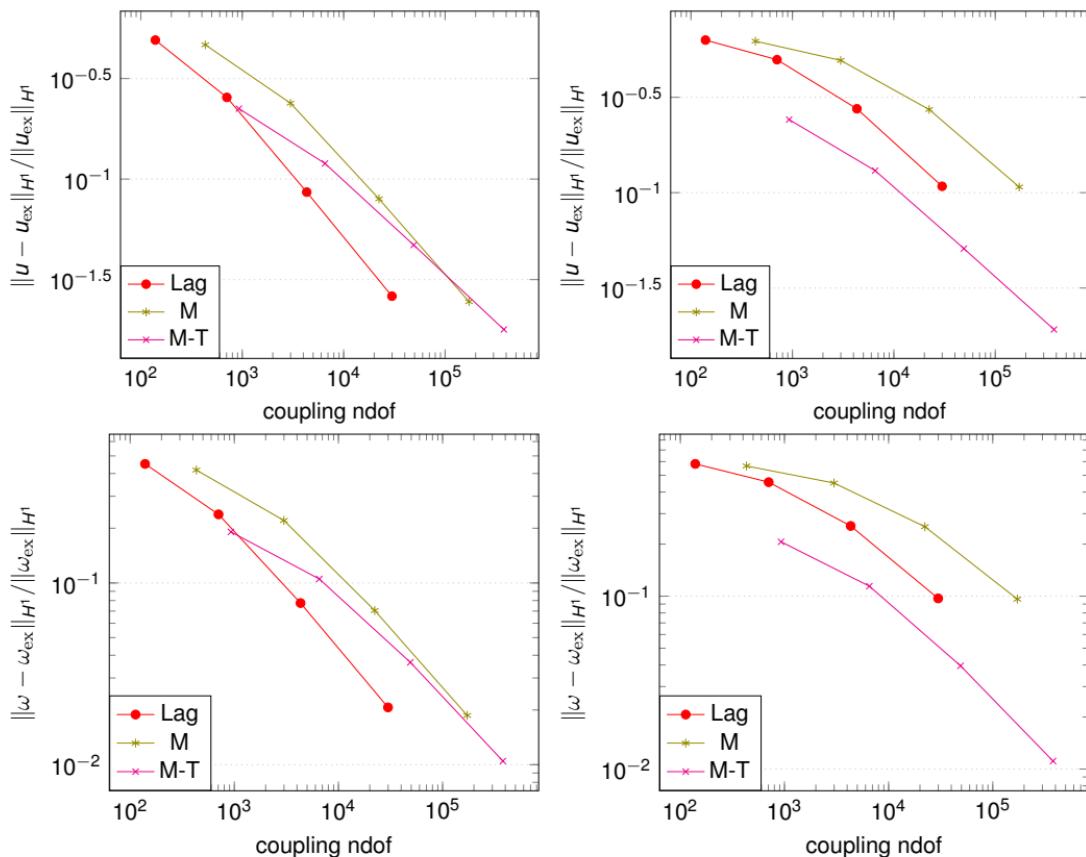
where  $D = \frac{EH^3}{12(1-\nu^2)}$ . The resulting non-zero stress components are

$$\sigma_{xx} = \frac{E}{1-\nu^2} \frac{M_x y}{D + \gamma H}, \quad \sigma_{zz} = \frac{\nu E}{1-\nu^2} \frac{M_x y}{D + \gamma H}, \quad m_{xz} = -\frac{\beta M_x}{D + \gamma H}, \quad m_{zx} = -\frac{\gamma M_x}{D + \gamma H}$$

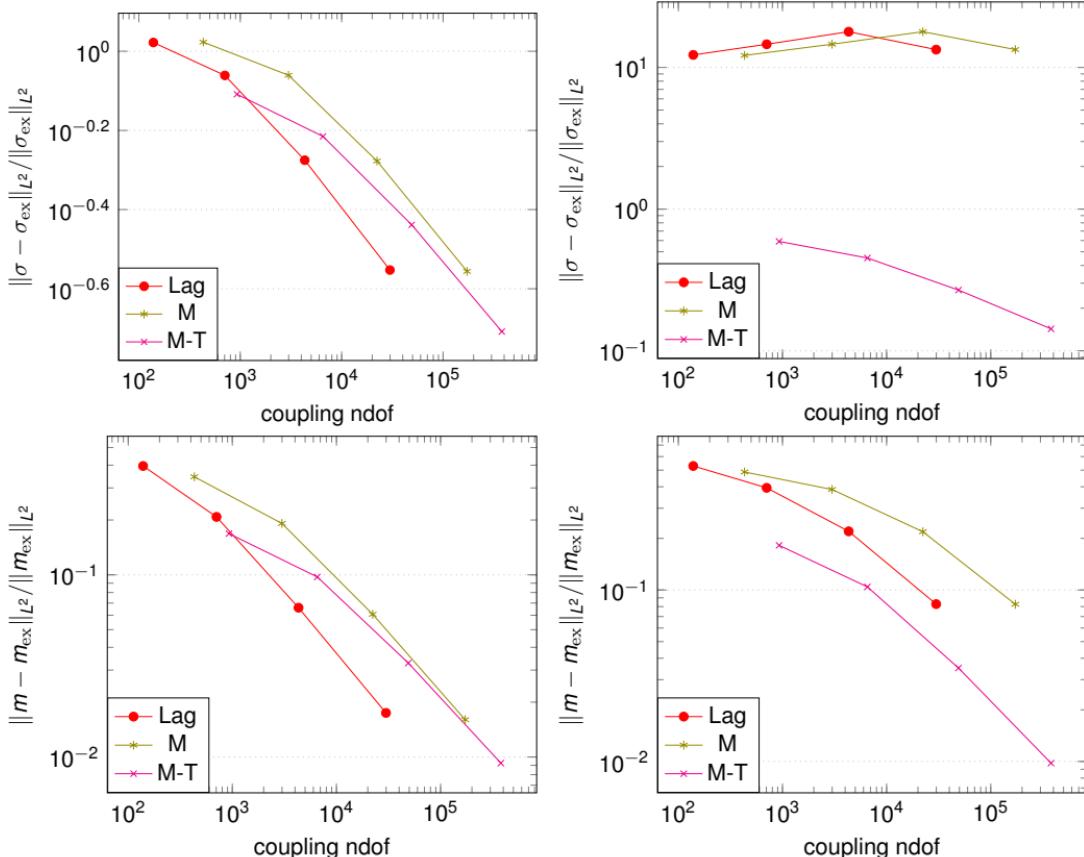
and no volume forces apply.



# CONVERGENCE RATES. LEFT: $\nu = 0.25$ . RIGHT: $\nu = 0.4999$ .

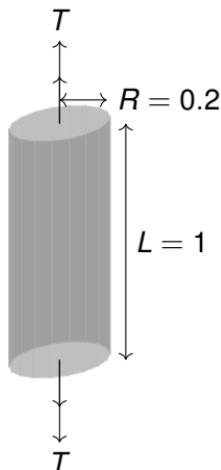


# CONVERGENCE RATES. LEFT: $\nu = 0.25$ . RIGHT: $\nu = 0.4999$ .



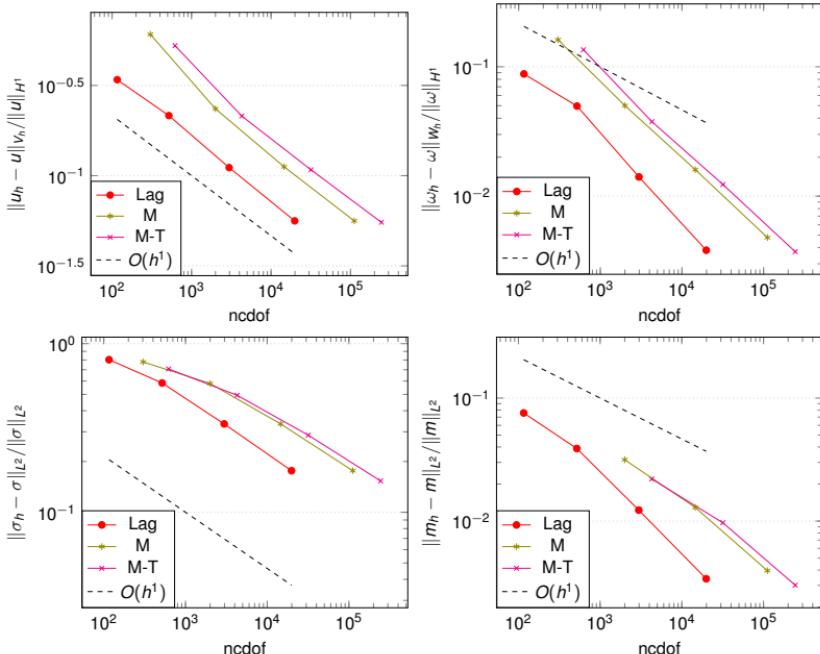
## NUMERICAL TEST: TORSION OF A CYLINDER

$\mu = 15$ ,  $\lambda = 1$ ,  $\mu_c = 5$ , and  $\alpha = \beta = \gamma = 0.5$ . Exact solution known.



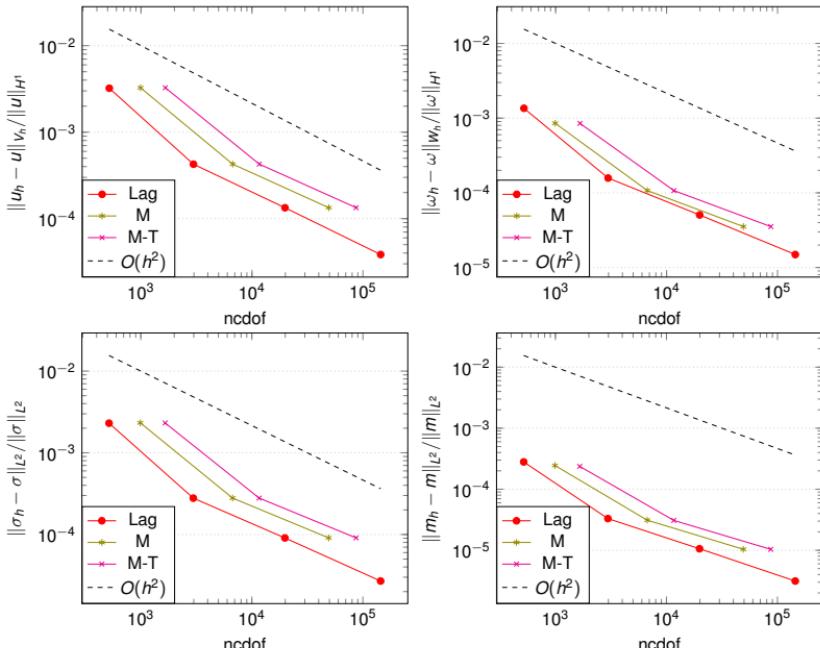
**Figure.** Geometry of torsion of cylinder example.

## CONVERGENCE: DEGREE $k = 1$



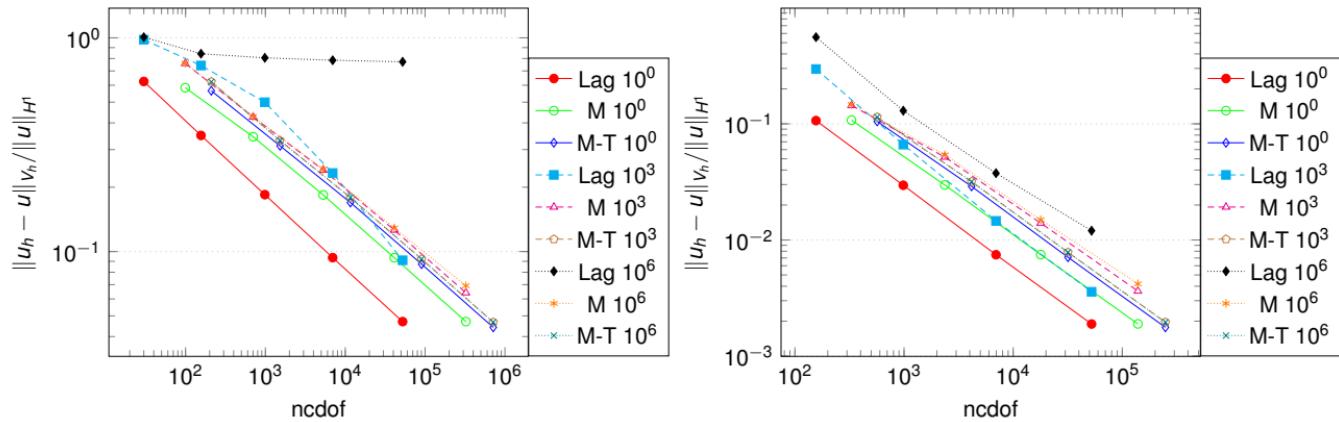
**Figure.** Convergence rates for cylinder torsion with  $k = 1$ . For  $M^1$  and  $M-T^1$   $\tilde{\omega}_h$  is used instead of  $\omega_h$ .

## CONVERGENCE: DEGREE $k = 2$



**Figure.** Convergence rates for cylinder torsion with  $k = 2$ . For  $M^2$  and  $M-T^2$   $\tilde{\omega}_h$  is used instead of  $\omega_h$ .

## ROBUSTNESS



**Figure.** Results robustness test for  $\mu_c/\mu \in \{1, 10^3, 10^6\}$  with methods of order  $k = 1$  (left) and  $k = 2$  (right).

## Summary

- ▶ connections between continuum modelling, geometry and differential complexes (and thus analysis and numerics).
- ▶ discretizing models by discretizing entire complexes.

## References

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