

Let  $f : X \rightarrow Y$  be a function. Recall the key definitions from last time.

**Definition 9.1.**

(i) We say  $f$  is *injective*, or an *injection*, [or *one-to-one*,] if

$$\forall a, b \in X, f(a) = f(b) \implies a = b.$$

(ii) We say  $f$  is *surjective*, or a *surjection*, [or *onto*,] if  $f(X) = Y$ , or equivalently if  $\forall y \in Y, \exists x \in X, f(x) = y$ .

(iii) We say  $f$  is *bijective*, or a *bijection*, if it is both injective and surjective.

Let's do some warm-up. Let's do an injective function, a surjective function and then a bijective function.

*Example.* Let  $S$  be any set and let  $T$  be a subset of  $S$ . Let's define a function  $f$  from  $T$  to  $S$  by  $f(a) = a$ . Is this a function from  $T$  to  $S$ ? Yes it is: if  $a \in T$  then  $a \in S$  so this is indeed a recipe which takes an element of  $T$  and spits out an element of  $S$ . Is it an injection? To prove it is, we need to show that if  $a, b \in T$  and  $f(a) = f(b)$  then  $a = b$ . But  $a = f(a) = f(b) = b$  and we're done.

### Definition 9.1.

(ii) We say  $f$  is *surjective*, or *a surjection*, [or *onto*,] if  $f(X) = Y$ , or equivalently if  $\forall y \in Y, \exists x \in X, f(x) = y$ .

*Example.* In the last chapter we talked about equivalence relations. So now say  $S$  is a set, and  $\sim$  is an equivalence relation on  $S$ . Remember that if  $a \in S$  then there is a *subset*  $\text{cl}(a)$  of  $S$  defined as  $\text{cl}(a) = \{ b \in S \mid a \sim b \}$ . Notation: let  $S / \sim$  be the set of equivalence classes for this equivalence relation. So if  $a \in S$  then  $\text{cl}(a) \subseteq S$  but  $\text{cl}(a) \in S / \sim$ .

Define  $f : S \rightarrow S / \sim$  by sending  $a \in S$  to  $\text{cl}(a)$ . So  $f$  is really the map  $\text{cl}$ . Claim:  $f$  is a surjection. What does this *mean*? It means that if  $C \in S / \sim$  then there exists  $a \in S$  with  $f(a) = C$ . But if  $C \in S / \sim$  then by definition  $C$  is an equivalence class, so by definition  $C = \text{cl}(a)$  for some  $a \in S$ . So  $f(a) = C$ , and we have proved that  $f$  is surjective.

Philosophical remark: this is the “dual” of the previous example.

### Definition 9.1.

- (i) We say  $f$  is *injective*, or an *injection*, [or *one-to-one*,] if  $\forall a, b \in X, f(a) = f(b) \implies a = b$ .
- (ii) We say  $f$  is *surjective*, or a *surjection*, [or *onto*,] if  $f(X) = Y$ , or equivalently if  $\forall y \in Y, \exists x \in X, f(x) = y$ .
- (iii) We say  $f$  is *bijective*, or a *bijection*, if it is both injective and surjective.

Now say  $S$  is any set and  $f : S \rightarrow S$  is the identity function. Is  $f$  injective? What does this *mean*? We need to prove that if  $a, b \in S$  and  $f(a) = f(b)$ , then  $a = b$ . Well,  $f$  is the identity function! So  $a = f(a) = f(b) = b$ , and that's what we wanted.

Is the identity function surjective? What does this *mean*? We need to prove  $\forall y \in S, \exists x \in S, f(x) = y$ . Well, first choose  $y \in S$ . Now set  $x = y$ . And then  $f(x) = x = y$  so we're done.

**Definition 9.1.**

(i) We say  $f$  is *injective*, or an *injection*, [or *one-to-one*,] if

$$\forall a, b \in X, f(a) = f(b) \implies a = b.$$

(ii) We say  $f$  is *surjective*, or a *surjection*, [or *onto*,] if  $f(X) = Y$ , or equivalently if  $\forall y \in Y, \exists x \in X, f(x) = y$ .

(iii) We say  $f$  is *bijective*, or a *bijection*, if it is both injective and surjective.

We just saw that the identity function from  $S$  to  $S$  was injective *and* surjective. Hence

**Theorem 9.2.** The identity function from  $S$  to  $S$  is bijective.



Let's draw pictures of bijective functions.

What about  $f : \mathbb{Q} \rightarrow \mathbb{Q}$  defined by  $f(x) = 3x + 4$ ? Is it injective? Is it surjective?

Injectivity: what does this *mean*? It means this: say  $a, b \in \mathbb{Q}$  with  $f(a) = f(b)$ . Can we prove that  $a = b$ ? Well,  $f(a) = f(b)$  implies  $3a + 4 = 3b + 4$ , so (subtracting 4)  $3a = 3b$ , so (dividing by 3)  $a = b$ . Hence  $f$  is injective. During that proof we took a number, subtracted 4, and then divided by 3.

Is  $f$  surjective? What does this *mean*? Say  $y \in \mathbb{Q}$  is any rational number. Can we find  $x \in \mathbb{Q}$  with  $f(x) = y$ ? Define  $x = \frac{y-4}{3}$ . Then  $f(x) = 3x + 4 = (y - 4) + 4 = y$ . So  $f$  is surjective. During that proof we took a number, subtracted 4, and then divided by 3. What's going on?

We say that a function is *bijective* if it is injective and surjective. Using language you may have heard at school – a function is bijective if it is one-to-one and onto. We just proved that the function  $f$  above was bijective. But why did the function  $g(y) = \frac{y-4}{3}$  keep showing up?

$f : \mathbb{Q} \rightarrow \mathbb{Q}$  defined by  $f(x) = 3x + 4$ . And  $g : \mathbb{Q} \rightarrow \mathbb{Q}$  defined by  $g(y) = \frac{y-4}{3}$ . What is the relationship between these two functions?

If we start with a number  $x$ , and then apply the function  $f$ , and then apply the function  $g$ , what do we get?

$f$  sends  $x$  to  $3x + 4$ . And then  $g$  sends  $3x + 4$  to  $\frac{(3x+4)-4}{3} = \frac{3x}{3} = x$ . Doing  $f$  then  $g$  gets us back to where we started! Using funky composition of functions notation we could write this observation as  $g \circ f = id$ . What is  $f \circ g$  though? Note that usually  $f \circ g$  and  $g \circ f$  are not the same function.

$(f \circ g)(x) = f(g(x)) = f(\frac{x-4}{3}) = 3\frac{x-4}{3} + 4 = (x - 4) + 4 = x$ . So  $f \circ g$  is also the identity function!

Here's what's going on.

**Definition 9.3.** Say  $f : X \rightarrow Y$  is a function. We say that a function  $g : Y \rightarrow X$  is a *two-sided inverse* for  $f$  if the composite function  $g \circ f : X \rightarrow X$  is the identity function, and also the composite function  $f \circ g : Y \rightarrow Y$  is the identity function.

*Example.* We just saw that if  $X = Y = \mathbb{Q}$  and  $f(x) = 3x + 4$ , then  $g(y) = \frac{y-4}{3}$  is a two-sided inverse for  $f$ .

*Example.* if  $X = \{1, 2, 3\}$  and  $Y = \{A, B, C\}$  and we define  $f(1) = C$  and  $f(2) = A$  and  $f(3) = B$ , then a two-sided inverse for  $f$  is the function  $g : \{A, B, C\} \rightarrow \{1, 2, 3\}$  defined by  $g(A) = 2$  and  $g(B) = 3$  and  $g(C) = 1$ .

*Example.* if  $g$  is a two-sided inverse for  $f$ , then  $f$  is a two-sided inverse for  $g$ ! Because both of these statements are just the assertion that  $f \circ g = \text{id}$  and  $g \circ f = \text{id}$ .

*Example.* The identity function from  $X$  to  $X$  has a two-sided inverse – namely the identity function!

**Definition 9.3.** Say  $f : X \rightarrow Y$  is a function. We say that a function  $g : Y \rightarrow X$  is a *two-sided inverse* for  $f$  if the composite function  $g \circ f : X \rightarrow X$  is the identity function, and also the composite function  $f \circ g : Y \rightarrow Y$  is the identity function.

**Theorem 9.4.** Say  $X$  and  $Y$  are sets, and  $f : X \rightarrow Y$  is a function. Then  $f$  is a bijection if and only if  $f$  has a two-sided inverse  $g : Y \rightarrow X$ .

*Proof.* What needs to be done in an “if and only if” proof? We need to prove that if  $f$  is a bijection then it has a two-sided inverse. And we also need to prove that if  $f$  has a two-sided inverse then  $f$  is a bijection.

First, say  $f : X \rightarrow Y$  has a two-sided inverse  $g : Y \rightarrow X$ , and let's prove that  $f$  is a bijection. What does it *mean* to say that  $f$  is a bijection? It means that  $f$  is both an injection and a surjection.

Let us first prove that  $f$  is injective.



[We are proving that if  $f : X \rightarrow Y$  has a two-sided inverse  $g$  then  $f$  is injective]. Say  $a, b \in X$  and  $f(a) = f(b)$ . Applying  $g$  we deduce that  $g(f(a)) = g(f(b))$ . In other words,  $(g \circ f)(a) = (g \circ f)(b)$ . But  $g$  is a two-sided inverse for  $f$ , and so  $g \circ f$  is the identity function! So  $(g \circ f)(a) = a$  and  $(g \circ f)(b) = b$ , so  $a = b$ .

Now let's prove that if  $g : Y \rightarrow X$  is a two-sided inverse for  $f : X \rightarrow Y$  then  $f$  is surjective. What do we need to do? We need to prove that for all  $y \in Y$  there exists  $x \in X$  such that  $f(x) = y$ . So let's choose  $y \in Y$ . We are now supposed to come up with an element of  $X$ . However are we going to do this? Let's try defining  $x := g(y)$ . Now can we prove  $f(x) = y$ ? Well,  $f(x) = f(g(y)) = (f \circ g)(y) = y$ , because  $f \circ g$  is the identity function! Hence  $f$  is indeed surjective.

Hence if  $f$  has a two-sided inverse, it is a bijection.

Now let us prove that if  $f : X \rightarrow Y$  is a bijection (hence both injective and surjective), then it has a two-sided inverse.

We need to define a function  $g : Y \rightarrow X$ . So let's choose  $y \in Y$  and try and figure out how to get some  $x \in X$  to send it to.

Well, we know  $f$  is a surjection, so this means that there is *at least one* element  $x \in X$  such that  $f(x) = y$ . Let's define  $f^{-1}(y)$  to be the *set* of elements in  $X$  such that  $f(x) = y$ . Formally,  $f^{-1}(y) := \{ x \in X \mid f(x) = y \}$ . Surjectivity of  $f$  guarantees that this set is non-empty; in other words, it has *at least one element*.

But how many elements does  $f^{-1}(y)$  have? Let's say  $a \in f^{-1}(y)$  and  $b \in f^{-1}(y)$  are two arbitrary elements of this set. Then by definition  $f(a) = y$  and  $f(b) = y$ . Hence  $f(a) = f(b)$ . By injectivity of  $f$ , we can deduce  $a = b$ . But  $a$  and  $b$  were arbitrary elements of  $f^{-1}(y)$  and we just proved that they were equal! Hence  $f^{-1}(y)$  has *at most one element*.

Hence  $f^{-1}(y)$  has *exactly one element*.

We have just shown that if  $f : X \rightarrow Y$  is a bijection and  $y \in Y$  is arbitrary, then the subset  $f^{-1}(y)$  of  $X$  has *exactly one element*. Let's define  $g(y)$  to be that element. Then  $g(y) \in f^{-1}(y)$  so by definition of  $f^{-1}(y)$  we know that  $f(g(y)) = y$ . This is true for all  $y \in Y$ , so we just showed that  $(f \circ g)$  is the identity function.

Finally, how about  $g(f(x))$  for some arbitrary  $x \in X$ ? Well, if we set  $y = f(x)$  then we saw above that  $f^{-1}(y)$  had exactly one element. But definitely  $x \in f^{-1}(y)$ , because  $f(x) = y$  by definition of  $y$ . Hence  $f^{-1}(y) = \{x\}$ , and by definition of  $g$  we see  $g(y) = x$ . Hence  $g(f(x)) = x$ . But  $x$  was arbitrary, so  $(g \circ f)$  is the identity function.

We just showed that  $(f \circ g)$  and  $(g \circ f)$  are both identity functions, and hence  $g$  is indeed a two-sided inverse for  $f$ , assuming  $f$  is a bijection.



**Theorem 9.4.** Say  $X$  and  $Y$  are sets, and  $f : X \rightarrow Y$  is a function. Then  $f$  is a bijection if and only if  $f$  has a two-sided inverse  $g : Y \rightarrow X$ .

[we just proved that]

**Corollary 9.5** If  $X$  and  $Y$  are sets and there is a bijection  $f : X \rightarrow Y$ , then there exists a bijection  $g : Y \rightarrow X$ .

*Proof.*

Say  $f : X \rightarrow Y$  is a bijection. By 9.4,  $f$  has a two-sided inverse  $g$ . Then  $g$  also has a two-sided inverse, namely  $f$ . Hence by 9.4 again,  $g$  is a bijection.



Now say  $X$  and  $Y$  and  $Z$  are three sets, and  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are functions. Recall that in this situation we can define  $h := g \circ f$ , so  $h : X \rightarrow Z$ .

**Theorem 9.6.**

- (a) If  $f$  and  $g$  are both injections, then  $h$  is an injection.
- (b) If  $f$  and  $g$  are both surjections, then  $h$  is a surjection.
- (c) If  $f$  and  $g$  are both bijections, then  $h$  is a bijection.

*Proof.*

(a) What do we have to prove? Say  $a, b \in X$  and say  $h(a) = h(b)$ . We have to prove  $a = b$ . Well,  $h(a) = g(f(a))$  and  $h(b) = g(f(b))$ . Let's temporarily write  $p = f(a)$  and  $q = f(b)$ , so  $p, q \in Y$ . Our assumption is then that  $g(p) = g(q)$ , so by our assumption of injectivity of  $g$  we can deduce  $p = q$ . Hence  $f(a) = f(b)$ , so by injectivity of  $f$  we can deduce  $a = b$ . We are done!

(b) The claim is that if  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are surjections, then  $h := g \circ f$  is too.

What does this *mean*? Go ahead and prove this yourself.

We need to prove  $\forall c \in Z, \exists a \in X, h(a) = c$ . So let  $c \in Z$  be arbitrary and we need to come up with some  $a \in X$  (which can depend on  $c$ ) such that  $h(a) = c$ . Well,  $g$  is surjective by assumption, so we know that there exists  $b \in Y$  such that  $g(b) = c$ . And  $f$  is surjective by assumption, so we know that there exists  $a \in X$  such that  $f(a) = b$ . I claim that  $h(a) = c$ . Indeed

$$\begin{aligned} h(a) &= (g \circ f)(a) \text{ (by definition of } h) \\ &= g(f(a)) \text{ (by definition of } \circ) \\ &= g(b) \text{ (by definition of } a) \\ &= c \text{ (by definition of } b) \end{aligned}$$



**Theorem 9.6.**

- (a) If  $f$  and  $g$  are both injections, then  $h$  is an injection.
- (b) If  $f$  and  $g$  are both surjections, then  $h$  is a surjection.
- (c) If  $f$  and  $g$  are both bijections, then  $h$  is a bijection.

We already proved (a) and (b).

Proof of (c): it follows immediately from (a) and (b) and the definition of a bijection.



It turns out that we've been heading towards a main goal in this lecture, which I've been keeping a secret; I think it's one of the coolest results in this course. Here it is.

Let  $X$  and  $Y$  be sets, and let's define some new notation. Let's define the double-headed arrow  $X \leftrightarrow Y$  to mean that there exists a bijection  $f : X \rightarrow Y$ .

*Example.*  $\mathbb{Q} \leftrightarrow \mathbb{Q}$ ; indeed we have seen several bijections. The identity function works, and we also saw that the function  $x \mapsto 3x + 4$  works.

*Example.*  $\{1, 2, 3\} \leftrightarrow \{A, B, C\}$ ; for example the function mapping 1 to  $A$ , 2 to  $B$  and 3 to  $C$  works.

But here's the best thing of all.

**Theorem 9.7.** Let  $\mathcal{C}$  be a set of sets. Then  $\leftrightarrow$  is an equivalence relation on  $\mathcal{C}$ .



$X \leftrightarrow Y$  means that there exists a bijection  $f : X \rightarrow Y$ .

**Theorem 9.7.** Let  $\mathcal{C}$  be a set of sets. Then  $\leftrightarrow$  is an equivalence relation on  $\mathcal{C}$ .

*Proof.* We need to prove reflexivity, symmetry and transitivity for  $\leftrightarrow$ . These immediately follow from

**Theorem 9.2.** Let  $S$  be a set. Then the identity function from  $S$  to  $S$  is bijective.

**Corollary 9.5** If  $X$  and  $Y$  are sets and there is a bijection  $f : X \rightarrow Y$ , then there exists a bijection  $g : Y \rightarrow X$ .

**Theorem 9.6.** (c) If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are both bijections, then  $g \circ f : X \rightarrow Z$  is a bijection.



Next time: consider the set  $\{\mathbb{Z}_{\geq 1}, \mathbb{Z}_{\geq 0}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}\}$  with this equivalence relation. What are the equivalence classes?