

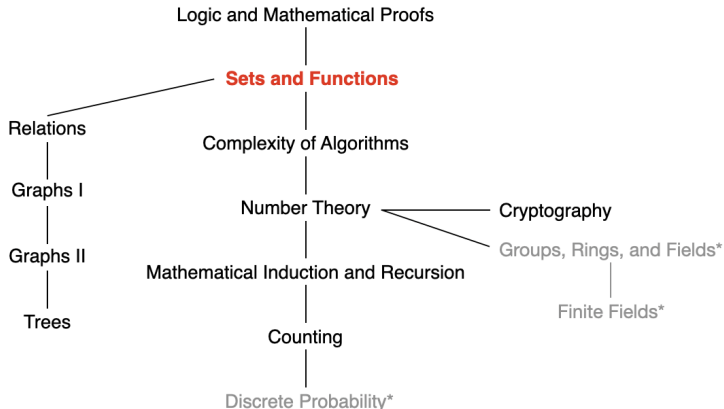
# Discrete Mathematics for Computer Science

## Lecture 4: Set and Function

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# This Lecture



Set and Functions: set, set operations, functions, sequences and summation, cardinality of sets



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# Sets

A set is an **unordered collection of objects**. These objects are called elements or members.

- $A = \{1, 2, 3, 4\}$
- $B = \{a, b, c, d\}$
- $C = \{a, 2, 1, \text{Mary}\}$

Many discrete structures are built with sets:

- combinations
- relations
- graphs

# Set Representation

## Examples:

- $A = \{2, 3, 5, 7\}$
- $B = \{1, 2, 3, \dots, 100\}$
- $C = \{a \mid a \geq 2, a \text{ is a prime}\}$
- $D = \{2n \mid n = 0, 1, 2, \dots, \}$

## Representing a set by:

- listing (enumerating) the elements
- if enumeration is hard, use ellipses (...)
- definition by property, using the set builder

$$\{x \mid x \text{ has property } P \text{ or property } P(x)\}$$

## Notation:

- $a \in A$ :  $a$  is an element of set  $A$
- $a \notin A$ :  $a$  is not an element of set  $A$

# Important sets

- Natural numbers:

- ◇  $\mathbf{N} = \{0, 1, 2, 3, \dots\}$

- Integers:

- ◇  $\mathbf{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$

- Positive integers:

- ◇  $\mathbf{Z}^+ = \{1, 2, 3, \dots\}$

- Rational numbers:

- ◇  $\mathbf{Q} = \{\frac{p}{q} \mid p \in \mathbf{Z}, q \in \mathbf{Z}, q \neq 0\}$

- Real numbers:

- ◇  $\mathbf{R}$

- Complex numbers:

- ◇  $\mathbf{C}$

# Important sets

- $[a, b] = \{x \mid a \leq x \leq b\}$   
 $[a, b) = \{x \mid a \leq x < b\}$   
 $(a, b] = \{x \mid a < x \leq b\}$   
 $(a, b) = \{x \mid a < x < b\}$
- Two sets  $A, B$  are *equal* if and only if  $\forall x (x \in A \leftrightarrow x \in B)$ .

Are sets  $\{1, 2, 5\}$  and  $\{2, 5, 1\}$  equal? **Yes**

Are sets  $\{1, 2, 2, 2, 5\}$  and  $\{2, 5, 1\}$  equal? **Yes**

# Universal and Empty Set

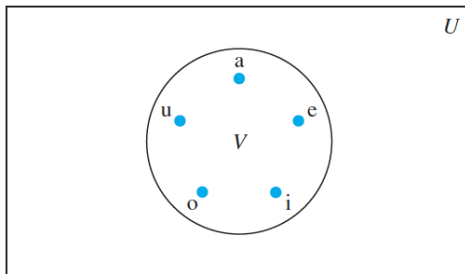
The **universal set** is the set of all objects under consideration, denoted by  $U$ .

The **empty set** is the set of no object, denoted by  $\emptyset$  or  $\{\}$ .

- Are  $\emptyset$  and  $\{\emptyset\}$  equal? **No**

# Venn Diagrams

A set can be visualized using Venn diagrams

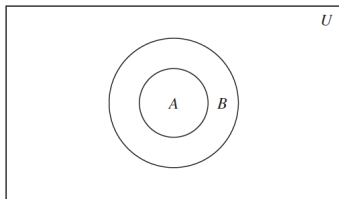




# Subset

The set  $A$  is a **subset** of  $B$  **if and only if** every element of  $A$  is also an element of  $B$ , i.e.,  $\forall x(x \in A \rightarrow x \in B)$ , denoted by  $A \subseteq B$ .

If  $A \subseteq B$ , but  $A \neq B$ , then we say  $A$  is a **proper subset** of  $B$ , i.e.,  $\forall x(x \in A \rightarrow x \in B) \wedge \exists x(x \in B \wedge x \notin A)$ , denoted by  $A \subset B$ .



# Proof of Subset

## Proof:

- Showing  $A \subseteq B$ : if  $x$  belongs to  $A$ , then  $x$  also belongs to  $B$ .
- Showing  $A \not\subseteq B$ : find a single  $x \in A$  such that  $x \notin B$ .

# Theorems

Prove that  $\emptyset \subseteq S$ .

## Proof:

By definition, we need to prove  $\forall x(x \in \emptyset \rightarrow x \in S)$ . Since the empty set does not contain any element,  $x \in \emptyset$  is **always false**. Then the implication is **always true**.

Prove that  $S \subseteq S$ .

## Proof:

By definition, we need to prove  $\forall x(x \in S \rightarrow x \in S)$ . This is **obviously true**.

Note: two sets are equal if and only if each is a subset of the other:

$$\forall x(x \in A \leftrightarrow x \in B)$$

# The Size of a Set – Cardinality

Let  $S$  be a set. If there are exactly  $n$  distinct elements in  $S$ , where  $n$  is a nonnegative integer, we say that  $S$  is a finite set and  $n$  is the cardinality of  $S$ , denoted by  $|S|$ .

A set is said to be infinite if it is not finite.

## Examples:

- $A = \{1, 2, 3, \dots, 20\}$ , where  $|A| = 20$
- $B = \{1, 2, 3, \dots\}$ , which is infinite
- $|\emptyset| = 0$
- $|\{\emptyset\}| = 1$

# Power Set

Many problems involve testing all combinations of elements of a set to see if they satisfy some property. To consider all such combinations,

Given a set  $S$ , the **power set** of  $S$  is the **set of all subsets** of the set  $S$ , denoted by  $\mathcal{P}(S)$ .

**Example:** What is the power set of the set  $\{0, 1, 2\}$ ?

$$\mathcal{P}(\{0, 1, 2\}) = \{\emptyset, \{0\}, \{1\}, \{2\}, \{0, 1\}, \{0, 2\}, \{1, 2\}, \{0, 1, 2\}\}$$

If  $S$  is a set with  $|S| = n$ , then  $|\mathcal{P}(S)| = 2^n$ . Why?

# Power Set

What is the power set of  $\emptyset$ ?

$$\mathcal{P}(\emptyset) = \{\emptyset\}.$$

What is the power set of the set  $\{\emptyset\}$ ?

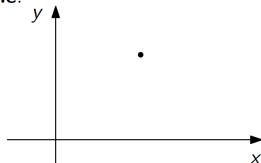
$$\mathcal{P}(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}.$$

# Tuples

The **ordered n-tuple**  $(a_1, a_2, \dots, a_n)$  is the **ordered** collection that has  $a_1$  as its first element and  $a_2$  as its second element and so on.

Ordered 2-tuples are called **ordered pairs**

Example:



coordinates of a point in the 2-D plane

Two ordered n-tuples are **equal** if and only if each corresponding pair of their elements is equal. That is,  $(a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n)$  if and only if  $a_i = b_i$  for  $i = 1, 2, \dots, n$ .

# Cartesian Product

Let  $A$  and  $B$  be sets. The **Cartesian product** of  $A$  and  $B$ , denoted by  $A \times B$ , is the set of **all** ordered pairs  $(a, b)$ , where  $a \in A$  and  $b \in B$ :

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$$

## Example:

- $A = \{1, 2\}$ ,  $B = \{a, b, c\}$
- $A \times B = \{(1, a), (1, b), (1, c), (2, a), (2, b), (2, c)\}$

Are  $A \times B$  and  $B \times A$  equal? **No**,  $A \times B \neq B \times A$

What is the cardinality  $|A \times B|$ ?  $|A \times B| = |A| \times |B|$



# Cartesian Product

The Cartesian product of the sets  $A_1, A_2, \dots, A_n$ , denoted by  $A_1 \times A_2 \times \dots \times A_n$ , is the set of ordered  $n$ -tuples  $(a_1, a_2, \dots, a_n)$  where  $a_i \in A_i$  for  $i = 1, \dots, n$ :

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i \text{ for } i = 1, 2, \dots, n\}$$

## Example:

$$A = \{0, 1\}, B = \{1, 2\}, C = \{0, 1, 2\}$$

$$A \times B \times C =$$

$$\{(0, 1, 0), (0, 1, 1), (0, 1, 2), (0, 2, 0), (0, 2, 1), (0, 2, 2), \\ (1, 1, 0), (1, 1, 1), (1, 1, 2), (1, 2, 0), (1, 2, 1), (1, 2, 2)\}$$

Let  $A$  be a set.  $A^n$  denotes  $A \times A \times \dots \times A$  with  $n$  sets:

$$A^n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A \text{ for } i = 1, 2, \dots, n\}$$



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# Relation

A **subset**  $R$  of the Cartesian product  $A \times B$  is called a **relation** from the set  $A$  to the set  $B$ .

**Example:** What are the ordered pairs in the less than or equal to relation, which contains  $(a, b)$  if  $a \leq b$ , on the set  $\{0, 1, 2, 3\}$ ?

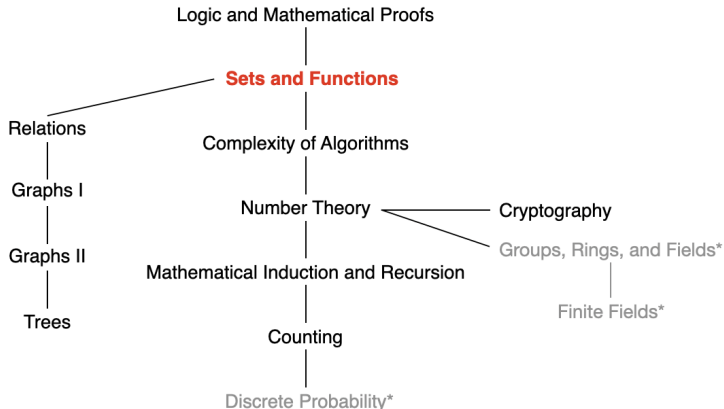
The ordered pair  $(a, b)$  belongs to  $R$  if and only if both  $a$  and  $b$  belong to  $\{0, 1, 2, 3\}$  and  $a \leq b$ . Consequently,

$$R = \{(0, 0), (0, 1), (0, 2), (0, 3), (1, 1), (1, 2), (1, 3), (2, 2), (2, 3), (3, 3)\}$$

# Summary of Set

- Set: unordered collection of objects
- Subset  $A \subseteq B$
- Cardinality: size of set
- Power of set  $\mathcal{P}(A)$
- Tuple:  $(a, b)$
- Cartesian Product  $A \times B$
- Relation: a subset of  $A \times B$

# This Lecture



Set and Functions: set, set operations, functions, sequences and summation, cardinality of sets

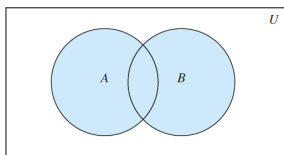


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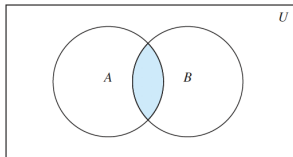
# Set Operations

**Union:** Let  $A$  and  $B$  be sets. The union of the sets  $A$  and  $B$ , denoted by  $A \cup B$ , is the set  $\{x \mid x \in A \vee x \in B\}$ .



$A \cup B$  is shaded.

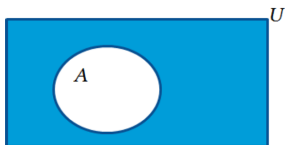
**Intersection:** The intersection of the sets  $A$  and  $B$ , denoted by  $A \cap B$ , is the set  $\{x \mid x \in A \wedge x \in B\}$ .



$A \cap B$  is shaded.

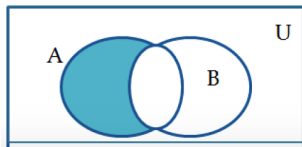
# Set Operations

**Complement:** If  $A$  is a set, then the complement of the set  $A$  (with respect to  $U$ ), denoted by  $\bar{A}$  is the set  $U - A$ ,  $\bar{A} = \{x \in U \mid x \notin A\}$



**Difference:** Let  $A$  and  $B$  be sets. The difference of  $A$  and  $B$ , denoted by  $A - B$ , is the set containing the elements of  $A$  that are not in  $B$ .

$$A - B = \{x \mid x \in A \wedge x \notin B\} = A \cap \bar{B}.$$



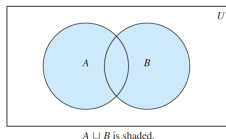
# Disjoint Sets

Two sets  $A$  and  $B$  are called **disjoint** if their intersection is empty, i.e.,  $A \cap B = \emptyset$ .

**Example:**  $A = \{1, 3, 5, 7\}$  and  $B = \{2, 4, 6\}$  are disjoint, because  $A \cap B = \emptyset$ .

# Cardinality of the Union

What is the cardinality of  $A \cup B$ ?



$$|A \cup B| = |A| + |B| - |A \cap B|$$

The generalization of this result to unions of an arbitrary number of sets is called the **principle of inclusion–exclusion**

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|.$$

**THE PRINCIPLE OF INCLUSION–EXCLUSION** Let  $A_1, A_2, \dots, A_n$  be finite sets. Then

$$\begin{aligned} |A_1 \cup A_2 \cup \dots \cup A_n| = & \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| \\ & + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| - \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n|. \end{aligned}$$

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# Exercises

■  $U = \{0, 1, 2, \dots, 10\}, A = \{1, 2, 3, 4, 5\}, B = \{4, 5, 6, 7, 8\}$

1.  $A \cup B$

2.  $A \cap B$

3.  $\bar{A}$

4.  $\bar{B}$

5.  $A - B$

6.  $B - A$

■  $U = \{0, 1, 2, \dots, 10\}, A = \{1, 2, 3, 4, 5\}, B = \{4, 5, 6, 7, 8\}$

1.  $A \cup B$       $\{1, 2, 3, 4, 5, 6, 7, 8\}$

# Set Identities

The properties and laws of sets that help us demonstrate and prove set operations, subsets and equivalence.

## ■ Identity laws

- ◇  $A \cup \emptyset = A$
- ◇  $A \cap U = A$

## ■ Domination laws

- ◇  $A \cup U = U$
- ◇  $A \cap \emptyset = \emptyset$

## ■ Idempotent laws

- ◇  $A \cup A = A$
- ◇  $A \cap A = A$

## ■ Complementation laws

- ◇  $\overline{\overline{A}} = A$

# Set Identities

## ■ Commutative laws

$$\diamond A \cup B = B \cup A$$

$$\diamond A \cap B = B \cap A$$

## ■ Associative laws

$$\diamond A \cup (B \cup C) = (A \cup B) \cup C$$

$$\diamond A \cap (B \cap C) = (A \cap B) \cap C$$

## ■ Distributive laws

$$\diamond A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

$$\diamond A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$

## ■ De Morgan's laws

$$\diamond \overline{A \cap B} = \bar{A} \cup \bar{B}$$

$$\diamond \overline{A \cup B} = \bar{A} \cap \bar{B}$$

# Set Identities

## ■ Absorbion laws

$$\diamond A \cup (A \cap B) = A$$

$$\diamond A \cap (A \cup B) = A$$

## ■ Complement laws

$$\diamond A \cup \bar{A} = U$$

$$\diamond A \cap \bar{A} = \emptyset$$

# Proof of Set Identities

Prove that  $\overline{A \cap B} = \bar{A} \cup \bar{B}$

**Proof 1:** Using membership tables. Consider an arbitrary element  $x$ : 1,  $x$  is in  $A$ ; 0,  $x$  is not in  $A$ .

| $A$ | $B$ | $\bar{A}$ | $\bar{B}$ | $\overline{A \cap B}$ | $\bar{A} \cup \bar{B}$ |
|-----|-----|-----------|-----------|-----------------------|------------------------|
| 1   | 1   | 0         | 0         | 0                     | 0                      |
| 1   | 0   | 0         | 1         | 1                     | 1                      |
| 0   | 1   | 1         | 0         | 1                     | 1                      |
| 0   | 0   | 1         | 1         | 1                     | 1                      |

**Proof 2:** by showing that  $\overline{A \cap B} \subseteq \bar{A} \cup \bar{B}$  and  $\bar{A} \cup \bar{B} \subseteq \overline{A \cap B}$

•  $\overline{A \cap B} \subseteq \bar{A} \cup \bar{B}$ :

- ▶ Suppose that  $x \in \overline{A \cap B}$ . By the definition of complement,  $x \notin A \cap B$ . Using the definition of intersection,  $\neg((x \in A) \wedge (x \in B))$  is true.
- ▶ By applying De Morgan's law,  $\neg(x \in A) \vee \neg(x \in B)$ . Thus,  $x \notin A$  or  $x \notin B$ . Using the definition of the complement of a set,  $x \in \bar{A}$  or  $x \in \bar{B}$ .
- ▶ By the definition of union, we see that  $x \in \bar{A} \cup \bar{B}$ . Thus,  $\overline{A \cap B} \subseteq \bar{A} \cup \bar{B}$ .

•  $\bar{A} \cup \bar{B} \subseteq \overline{A \cap B}$

# Proof of Set Identities

Prove that  $\overline{A \cap B} = \bar{A} \cup \bar{B}$

**Proof 1:** using membership tables.

**Proof 2:** by showing that  $\overline{A \cap B} \subseteq \bar{A} \cup \bar{B}$  and  $\bar{A} \cup \bar{B} \subseteq \overline{A \cap B}$

**Proof 3:** Using set builder and logical equivalences

|  |   |
|--|---|
| $\overline{A \cap B} = \{x \mid x \notin A \cap B\}$ | by definition of complement                         |
| $= \{x \mid \neg(x \in (A \cap B))\}$                | by definition of does not belong symbol             |
| $= \{x \mid \neg(x \in A \wedge x \in B)\}$          | by definition of intersection                       |
| $= \{x \mid \neg(x \in A) \vee \neg(x \in B)\}$      | by the first De Morgan law for logical equivalences |
| $= \{x \mid x \notin A \vee x \notin B\}$            | by definition of does not belong symbol             |
| $= \{x \mid x \in \bar{A} \vee x \in \bar{B}\}$      | by definition of complement                         |
| $= \{x \mid x \in \bar{A} \cup \bar{B}\}$            | by definition of union                              |
| $= \bar{A} \cup \bar{B}$                             | by meaning of set builder notation                  |

# Generalized Unions and Intersections

- The *union of a collection of sets* is the set that contains those elements that are members of at least one set in the collection  $\bigcup_{i=1}^n A_i = A_1 \cup A_2 \cup \dots \cup A_n$ .
- The *intersection of a collection of sets* is the set that contains those elements that are members of all sets in the collection  $\bigcap_{i=1}^n A_i = A_1 \cap A_2 \cap \dots \cap A_n$ .

# Computer Representation of Sets

**Question:** How to represent sets in a computer?

- One solution: explicitly store the elements in a list
  - ▶ Computing the union, intersection, or difference operations would be **time-consuming**, because of the needs for searching elements.
- A better solution: assign a bit in a bit string to each element in the universal set and set the bit to 1 if the element is in the set.
  - ▶ Universal set  $U$  is finite and with  $n$  elements
  - ▶ Represent a subset  $A$  of  $U$  with  $n$  bits, where the  $i$ -th bit is 1 if  $a_i$  belongs to  $A$  and is 0 if  $a_i$  does not belong to  $A$ .



# Computer Representation of Sets

**Example:**  $U = \{1, 2, 3, 4, 5\}$

$A = \{2, 5\}$ . Thus,  $A$  is represented by 01001

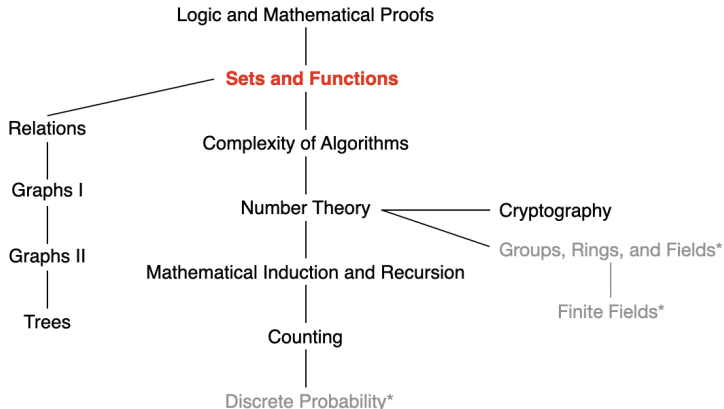
$B = \{1, 5\}$ . Thus,  $B$  is represented by 10001

- Union:  $A \vee B = 11001$ , i.e.,  $\{1, 2, 5\}$
- Intersection:  $A \wedge B = 00001$ , i.e.,  $\{5\}$
- Complement:  $\bar{A} = 10110$ , i.e.,  $\{1, 3, 4\}$

# Summary of Set Operations

- Union  $A \cup B$ , cardinality (principle of inclusion-exclusion)
- Intersection  $A \cap B$
- Complement  $\bar{A}$
- Difference  $A - B$
- Disjoint set
- Set identities
- Proof of set identities
  - ▶ membership table, subset, set build and logical equivalences
- Computer representations

# This Lecture



Set and Functions: set, set operations, functions, sequences and summation, cardinality of sets



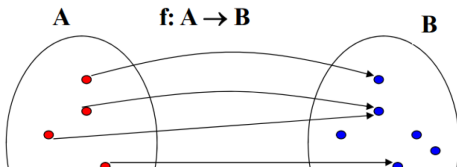
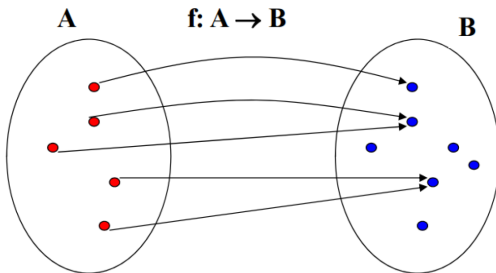
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# Function

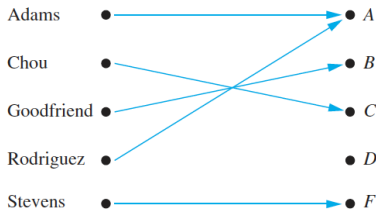
Let  $A$  and  $B$  be two sets. A **function** from  $A$  to  $B$ , denoted by  $f : A \rightarrow B$ , is an assignment of **exactly one** element of  $B$  to **each** element of  $A$ .

- We write  $f(a) = b$  if  $b$  is the unique element of  $B$  assigned by the function  $f$  to the element  $a$  of  $A$ .



# Representing Functions

- 1 Explicitly state the assignments between elements of the two sets



Note:  $\text{Admas} \mapsto A$ ,  $\text{Chou} \mapsto C$ , ...

- 2 By a formula:  $f(x) = x + 1$
- 3 By a relation from  $A$  to  $B$ :  $(\text{Abdul}, 22)$ ,  $(\text{Brenda}, 24)$ ,  $(\text{Carla}, 21)$ ,  $(\text{Desire}, 22)$ ,  $(\text{Eddie}, 24)$ , and  $(\text{Felicia}, 22)$ .

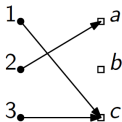
# Important Sets of Functions

Let  $f$  be a function from  $A$  to  $B$ .

- $A$  is the **domain** of  $f$ ;  $B$  is the **codomain** of  $f$
- If  $f(a) = b$ ,  $b$  is called the **image** of  $a$  and  $a$  is a **preimage** of  $b$ .
- The **range** of  $f$  is the set of **all images** of elements of  $A$ , denoted by  $f(A)$ .
- We also say  $f$  **maps**  $A$  to  $B$ .

**Example:**

$$A = \{1, 2, 3\}, B = \{a, b, c\}$$



**Example:**

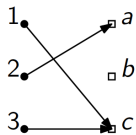
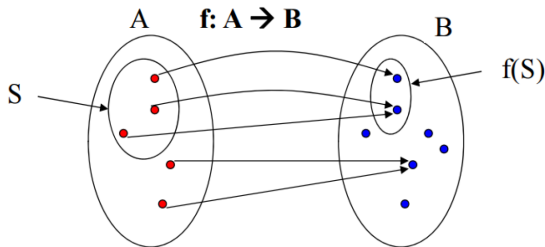
$$A = \{1, 2, 3\}, B = \{a, b, c\}$$

–  $c$  is the **image** of 1



# Image of a Subset

For a function  $f : A \rightarrow B$  and  $S \subseteq A$ , the image of  $S$  is a subset of  $B$  that consists of the images of the elements of  $S$ , denoted by  $f(S)$ , where  $f(S) = \{f(s) | s \in S\}$



Let  $S = \{1, 3\}$ , what is  $f(S)$ ?

# One-to-One and Onto Functions

- **One-to-one function**

- ▶ never assign the same value to two different domain elements.

- **Onto function**

- ▶ every member of the codomain is the image of some element of the domain.

- **One-to-one correspondence**

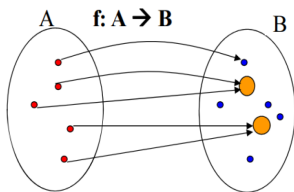
- ▶ One-to-one and onto



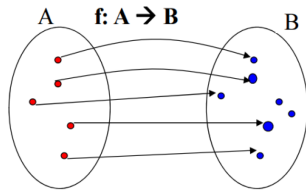
# One-to-One (Injective) Function

A function  $f$  is called **one-to-one** or **injective** if and only if  $f(x) = f(y)$  implies  $x = y$  for all  $x, y$  in the domain of  $f$ . Also called an **injection**.

Alternatively: A function is one-to-one if and only if  $x \neq y$  implies  $f(x) \neq f(y)$ . (contrapositive!)



**Not injective**



**Injective function**

How about:

- $f(x) \neq f(y)$  implies  $x \neq y$ ?
- $x = y$  implies  $f(x) = f(y)$ ?

# One-to-One (Injective) Function

## Example 1:

Whether the function  $f$  from  $\{a, b, c, d\}$  to  $\{1, 2, 3, 4, 5\}$  with  $f(a) = 4$ ,  $f(b) = 5$ ,  $f(c) = 1$ , and  $f(d) = 3$  is one-to-one? **Yes.**

## Example 2:

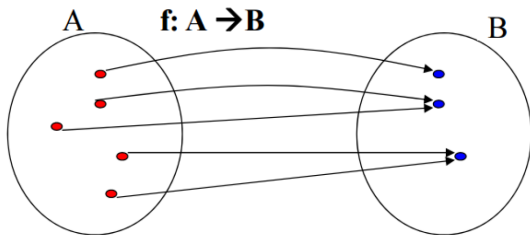
Whether the function  $f(x) = x^2$  from the set of integers to the set of integers is one-to-one? **No**,  $f(-1) = f(1)$

What if it is from the set of **positive** integers to the set of integers? **Yes.**

# Onto (Surjective) Function

A function  $f$  is called **onto** or **surjective** if and only if for **every**  $b \in B$  there is an element  $a \in A$  such that  $f(a) = b$ . Also called a **surjection**.

Alternatively: A function is onto if and only if all codomain elements are covered, i.e.,  $f(A) = B$ .



# Onto (Surjective) Function: Example

## Example 1:

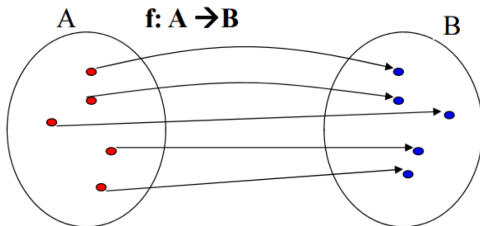
Let  $f$  be the function from  $\{a, b, c, d\}$  to  $\{1, 2, 3\}$  defined by  $f(a) = 3$ ,  $f(b) = 2$ ,  $f(c) = 1$ , and  $f(d) = 3$ . Is  $f$  an onto function? **Yes.**

What if the codomain were  $\{1, 2, 3, 4\}$ ? **No.**

**Example 2:** Is the function  $f(x) = x^2$  from the set of integers to the set of integers onto? **No**, as there is no integer  $x$  with  $x^2 = -1$ .

# One-to-One Correspondence (Bijective Function)

A function  $f$  is called **one-to-one correspondence** or **bijective**, if and only if it is **both** one-to-one and onto. Also called **bijection**.



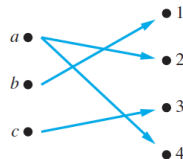
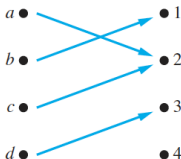
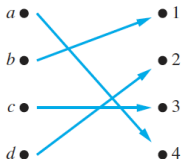
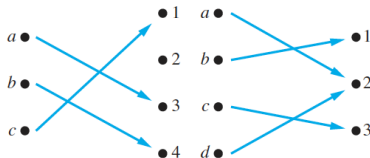
# One-to-One Correspondence: Example

## Example 1:

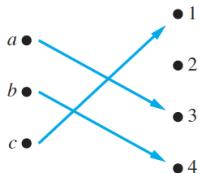
Let  $f$  be the function from  $\{a, b, c, d\}$  to  $\{1, 2, 3, 4\}$  with  $f(a) = 4$ ,  $f(b) = 2$ ,  $f(c) = 1$ , and  $f(d) = 3$ . Is  $f$  a one-to-one correspondence? **Yes.**

**Example 2:** Consider an identity function on  $A$ , i.e.,  $\iota : A \rightarrow A$ , where  $\iota_A(x) = x$ . Is this function a one-to-one correspondence? **Yes.**

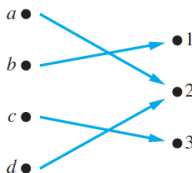
# Are These Functions Injective, Surjective, Bijective?



(a) One-to-one,  
not onto



(b) Onto,  
not one-to-one



# Proof for One-to-One and Onto

|   |   |
|---|---|
| To show that $f$ is <i>injective</i>      | Show that if $f(x) = f(y)$ for all $x, y \in A$ , then $x = y$                                    |
| To show that $f$ is not <i>injective</i>  | Find <b>specific</b> elements $x, y \in A$ such that $x \neq y$ and $f(x) = f(y)$                 |
| To show that $f$ is <i>surjective</i>     | Consider an <b>arbitrary</b> element $y \in B$ and find an element $x \in A$ such that $f(x) = y$ |
| To show that $f$ is not <i>surjective</i> | Find a <b>specific</b> element $y \in B$ such that $f(x) \neq y$ for all $x \in A$                |



## Example

$f : \mathbf{Z} \rightarrow \mathbf{Z}$ , where  $f(x) = x + 1$ . Is  $f$  injective? Surjective? Bijective?

### Proof:

- Injective (one-to-one function): If  $f(x) = f(x')$  for any arbitrary  $x$  and  $x'$ , then  $x = x'$ .
- Surjective (onto function): For every integer  $y$ , there exists an integer  $x$  such that  $f(x) = y$ .
- Bijective (one-to-one correspondence): injective and surjective

# One-to-One and Onto

Prove that “for a function  $f : A \rightarrow B$  with  $|A| = |B| = n$ ,  $f$  is one-to-one if and only if  $f$  is onto.”

**Proof:** Since  $|A| = n$ , let  $\{x_1, x_2, \dots, x_n\}$  be elements of  $A$ .

- If  $f$  is one-to-one, then  $f$  is onto (direct proof): Suppose that  $f$  is one-to-one. According to the definition of one-to-one function,  $f(x_i) \neq f(x_j)$  for any  $i \neq j$ . Thus,  $|f(A)| = |\{f(x_1), \dots, f(x_n)\}| = n$ . Since  $|B| = n$  and  $f(A) \subseteq B$ , we have  $f(A) = B$ .
- If  $f$  is onto, then  $f$  is one-to-one (contradiction): Suppose that  $f$  is onto. Suppose that  $f$  is not one-to-one. Thus,  $f(x_i) = f(x_j)$  for some  $i \neq j$ . Then,  $|\{f(x_1), \dots, f(x_n)\}| \leq n - 1$ . Note that  $|f(A)| = |B| = n$ , which leads to a contradiction.

# One-to-One and Onto

Consider an **infinite** set  $A$  and a function from  $A$  to  $A$ . Consider the statement “For any arbitrary  $f : A \rightarrow A$ ,  $f$  is one-to-one **if and only if**  $f$  is onto”. Is this statement true?

**Proof** (Counterexample): Consider the following  $f : \mathbf{Z} \rightarrow \mathbf{Z}$ , where  $f(x) = 2x$ .  $f$  is one-to-one but not onto:

- $f(1) = 2$
- $f(2) = 4$
- $f(3) = 6$
- ...

We can prove that 3 has no preimage.

# Two Functions on Real Numbers

Let  $f_1$  and  $f_2$  be functions from  $A$  to  $\mathbf{R}$ . Then  $f_1 + f_2$  and  $f_1 f_2$  are also functions from  $A$  to  $\mathbf{R}$  defined for all  $x \in A$  by

$$(f_1 + f_2)(x) = f_1(x) + f_2(x)$$

$$(f_1 f_2)(x) = f_1(x) f_2(x)$$

## Example:

$$f_1 = x - 1 \text{ and } f_2 = x^3 + 1$$

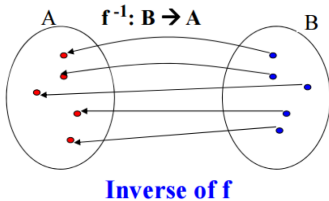
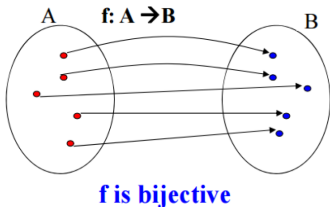
Then

$$\begin{aligned}(f_1 + f_2)(x) &= x^3 + x \\ (f_1 f_2)(x) &= x^4 - x^3 + x - 1\end{aligned}$$

# Inverse Functions

Let  $f$  be a **one-to-one correspondence (bijection)** from the set  $A$  to the set  $B$ . The **inverse function** of  $f$  is the function that assigns to an element  $b$  belonging to  $B$  the unique element  $a$  in  $A$  such that  $f(a) = b$ .

The inverse function of  $f$  is denoted by  $f^{-1}$ .  
Hence,  $f^{-1}(b) = a$  when  $f(a) = b$ .



A bijection is called **invertible**.



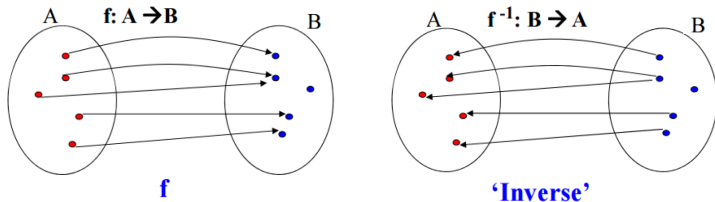
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# Inverse Functions

If is **not a one-to-one correspondence (bijection)**, it is impossible to define the inverse function of  $f$ . Why?

Assume  $f$  is not one-to-one (injective):

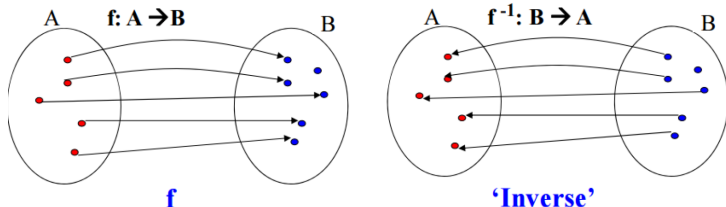


The inverse is **not a function**: one element of  $B$  is mapped to **two different** elements of  $A$ .

# Inverse Functions

If  $f$  is **not a one-to-one correspondence (bijection)**, it is impossible to define the inverse function of  $f$ . Why?

Assume  $f$  is not onto (surjective):



The inverse is not a function: one element of  $B$  is **not assigned** an element of  $A$ .

# Proof for Inverse Function

## 1 Prove function $f$ is a bijection: injective, surjective

|   |   |
|---|---|
| To show that $f$ is <i>injective</i>      | Show that if $f(x) = f(y)$ for all $x, y \in A$ , then $x = y$                                    |
| To show that $f$ is not <i>injective</i>  | Find <b>specific</b> elements $x, y \in A$ such that $x \neq y$ and $f(x) = f(y)$                 |
| To show that $f$ is <i>surjective</i>     | Consider an <b>arbitrary</b> element $y \in B$ and find an element $x \in A$ such that $f(x) = y$ |
| To show that $f$ is not <i>surjective</i> | Find a <b>specific</b> element $y \in B$ such that $f(x) \neq y$ for all $x \in A$                |

## 2 If $f$ is a bijection, then it is invertible

## 3 Determine the inverse function



# Inverse Functions: Example 1

$f : \mathbf{Z} \rightarrow \mathbf{Z}$ , where  $f(x) = x + 1$ . Is  $f$  invertible? If yes, then what is the inverse function  $f^{-1}$ ?

**Proof:**  $f$  is invertible, as it is a bijection (one-to-one correspondence):

- **Injective** (one-to-one function): If  $f(x) = f(x')$  for any arbitrary  $x$  and  $x'$ , then  $x = x'$ .
- **Surjective** (onto): For every integer  $y$ , there exists an integer  $x = y - 1$  such that  $f(x) = y$ .

To reverse the function, suppose that  $y$  is the image of  $x$ , so that  $y = x + 1$ . Then,  $x = y - 1$ . This means that  $y - 1$  is the unique element of  $\mathbf{Z}$  that is sent to  $y$  by  $f$ . Consequently,  $f^{-1}(y) = y - 1$ .

## Inverse Functions: Example 2

Let  $f$  be the function from  $\mathbf{R}$  to  $\mathbf{R}$  with  $f(x) = x^2$ . Is  $f$  invertible?

**Proof:** No,  $f$  is not invertible. This is because  $f$  is not injective, as  $f(-2) = f(2)$ .

What if we restrict function  $f(x) = x^2$  to a function from the set of all nonnegative real numbers to the set of all nonnegative real numbers?

**Proof:** It is invertible, as it is a bijection:

- **Injective:** Consider  $x$  and  $x'$ . If  $f(x) = f(x')$  (i.e.,  $x^2 = (x')^2$ ), then we have  $x^2 - (x')^2 = (x + x')(x - x') = 0$ . Since we consider the set of all nonnegative real numbers, we must have  $x = x'$ .
- **Surjective:** Consider an arbitrary nonnegative real number  $y$ . There exists a nonnegative real number  $x = \sqrt{y}$  such that  $f(x) = y$ .

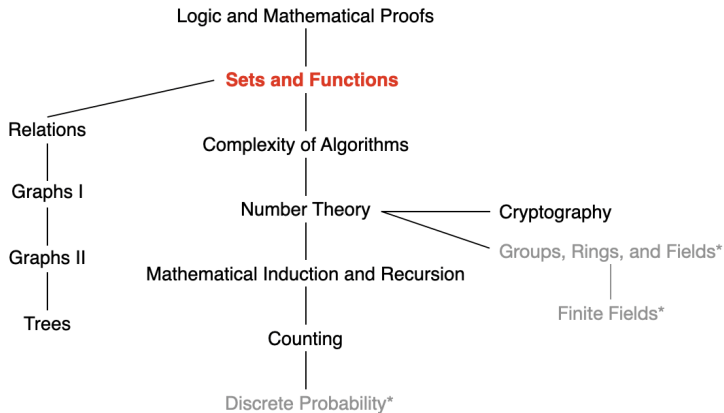
To reverse the function, suppose that  $y$  is the image of  $x$ , so that  $y = x^2$ . Then,  $x = \sqrt{y}$ . Consequently,  $f^{-1}(y) = \sqrt{y}$ .



# Summary of Function

- Function  $f : A \rightarrow B$ : an assignment of **exactly one** element of  $B$  to **each** element of  $A$
- Domain, codomain, image, preimage, range
- One-to-one function
  - ▶ also called an injection or injective function
- Onto function
  - ▶ also called a surjection or surjective function
- One-to-one correspondence
  - ▶ one-to-one and onto
  - ▶ also called a bijection or bijective function
- Inverse function
  - ▶ One-to-one correspondence

# Next Lecture



Set and Functions: set, set operations, functions, sequences and summation, cardinality of sets