

# Kai-Chieh Hsu

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**I work on combining game-theoretic reasoning and machine learning techniques for safe human-centered robotic systems.**

## Research Interests

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<b>Machine Learning</b>	Safe reinforcement learning (RL), adversarial RL and safe Sim2Real transfer
<b>Human-Robot Interaction</b>	Generative models and inverse RL for strategy and intent inference
<b>Multi-Agent Planning</b>	Game-theoretic counterfactual reasoning and iterative linear quadratic game

## Education

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### Princeton University (PU)

Ph.D. Candidate in Electrical and Computer Engineering (ECE)  
M.A. in Electrical and Computer Engineering

Princeton, NJ, USA  
Sept. 2021 - June 2024 (EXPECTED)  
Sept. 2019 - May 2021

- Concentration: Machine learning and Robotics
- Achieved 4.0/4.0 GPA
- Thesis Advisor: Prof. Jaime Fernández Fisac

### National Taiwan University (NTU)

B.S. in Electrical Engineering (EE)

Taipei, Taiwan  
Sept. 2014 - Jan. 2019

- Concentration: Signal processing and Digital IC design
- Achieved 4.19/4.30 overall GPA and ranked in **top 5%**
- Research Advisors: Prof. An-Yeu (Andy) Wu and Prof. Jean-Fu Kiang

## Work Experiences

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### Research Scientist Intern [P2]

Remote

NVIDIA Corporation (Manager: Prof. Marco Pavone, Mentor: Prof. Karen Leung, Yuxiao Chen)

May 2022 - Dec. 2022

- Formalize **responsibility** by safety margin decrease and policy shift with **counterfactual reasoning**
- Estimate the responsibility level online with **hidden Markov model**
- Incorporate the estimated responsibility into the **trajectory prediction** models

## Research Projects

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### Adversarial Safety Game [C1]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac, Duy Phuong Nguyen

Feb. 2022 - PRESENT

- Robustify the **reachability-based RL** by jointly training an adversarial agent under the self-play spirit
- Construct an online shielding scheme combining the model-based **contingent rollout** and model-free best-effort policies.

### Inverse Specification [C2]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac

July 2020 - PRESENT

- Use Bayesian optimization to infer constraints **interactively with humans** by asking for ranking feedback
- **Select queries actively** to speed up the convergence to the true preference based on information-theoretic metrics
- Survey in **inverse optimal control** and **behavior cloning**

### Safe Sim2Real Transfer (Sim-to-Lab-to-Real) [J1]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac, Duy Phuong Nguyen

Intelligent Robot Motion Lab, Prof. Anirudha Majumdar, Allen Z. Ren

May 2021 - Jan. 2022

- Use **Reachability-Based RL** and a **supervisory control** scheme to allow the least-restrictive safe exploration
- Combine with **PAC-Bayes control** to provide a tight performance lower bound to unseen environments

## Reach-Avoid Reinforcement Learning [C4] [J1]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac

July 2020 - Mar. 2021

Hybrid Systems Laboratory, Prof. Claire J. Tomlin, Vicenç Rubies-Royo

- Derive a time-discounted formulation of the reach-avoid optimal control problem that lends itself to **(deep) RL**
- Deploy our reach-avoid Q-Learning in a range of nonlinear systems, including an **attack-defense game**
- Reach-avoid reinforcement learning allows learning from near defeat and fits in **safe reinforcement learning**

## ECG Real-Time Telemonitoring [J2] [C5]

NTU, Taiwan

Access IC Lab, Prof. An-Yeu (Andy) Wu

Aug. 2017 - Mar. 2019

- **Edge Classification:** Incorporate **compressed sensing**, task-driven dictionary learning (predictive sparse coding) and PCA to render light-weighted classifier and overcome limited labeled data challenge
- **On-Demand Recovery:** Design a two-stage algorithm that classifies and reconstructs only problematic signals. This algorithm utilizes the information from classification stage to speed up the reconstruction algorithm
- **Hardware Design and Chip Implementation:** Propose a hardware architecture for on-demand recovery to allow hardware sharing between classification and reconstruction algorithms

## Publications

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### Preprint

- [P1] Athindran Ramesh Kumar, **K.-C. Hsu**, Peter Ramadge, Jaime F. Fisac, Fast, Smooth, and Safe: Implicit Control Barrier Functions through Reach-Avoid Differential Dynamic Programming, submitted to *IEEE Control Systems Letters*, Mar 2023.
- [P2] **K.-C. Hsu**, Karen Leung, Yuxiao Chen, Jaime F. Fisac, Marco Pavone, Interpretable Trajectory Prediction for Autonomous Vehicles via Counterfactual Responsibility, submitted to *IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*, Feb 2023.

### Journal Papers

- [J1] **K.-C. Hsu\***, Allen Z. Ren\*, Duy P. Nguyen, Anirudha Majumdar<sup>+</sup>, and Jaime F. Fisac<sup>+</sup>, **Sim-to-Lab-to-Real: Safe Reinforcement Learning with Shielding and Generalization Guarantees**, in *Artificial Intelligence*, Jan 2023. | Spotlight in *ICLR Workshop* and *NeurIPS Workshop*
- [J2] C.-Y. Chou, **K.-C. Hsu**, B.-H. Cho, K.-C. Chen and A.-Y. (Andy) Wu, **Low-Complexity On-demand Reconstruction for Compressively Sensed Problematic Signals**, in *IEEE Trans. Signal Process.*, vol. 68, pp. 4094-4107, July 2020.
- [J3] **K.-C. Hsu** and J.-F. Kiang, **Joint Estimation of DOA and Frequency From A Mixture of Frequency Known and Unknown Sources with Orthogonal Coprime Arrays**, in *Sensors*, 19(2), 335, Jan. 2019.

### Conference Papers

- [C1] **K.-C. Hsu\***, Duy P. Nguyen\*, and Jaime F. Fisac, **ISAACS: Iterative Soft Adversarial Actor-Critic for Safety**, in *Learning for Dynamics and Control (L4DC)*, Philadelphia, PA, USA, Jun 2023 (to appear).
- [C2] S. Narain, D. Chee, P. Iyer, E. Mak, R. Valdez, M. Zhu, N. Jha, J. F. Fisac, **K.-C. Hsu**, P. Terway, K. Pochiraju, B. Englot, E. Pitz, S. Rooney, Y. Huang, AIMED: AI-Mediated Exploration of Design: An Experience Report, in *Proceedings of the IEEE Workshop on Design Automation for CPS and IoT (DESTION)*, San Antonio, TX, USA, May 2023 (to appear).
- [C3] H. Chen, **K.-C. Hsu**, W. Turner, P.-H. Wei, K. Zhu, D. Pan and H. Ren, **Reinforcement Learning Guided Detailed Routing for FinFET Custom Circuits**, in *International Symposium on Physical Design (ISPD)*, Held Virtually, Mar 2023 (to appear).
- [C4] **K.-C. Hsu\***, V. Rubies-Royo\*, C. J. Tomlin and J. F. Fisac, **Safety and Liveness Guarantees through Reach-Avoid Reinforcement Learning**, in *Proceedings of Robotics: Science and Systems (RSS)*, Held Virtually, July 2021.
- [C5] **K.-C. Hsu\***, B.-H. Cho\*, C.-Y. Chou and A.-Y. (Andy) Wu, **Low-Complexity Compressed Analysis in Eigenspace with Limited Labeled Data for Real-Time Electrocardiography Telemonitoring**, in *IEEE GlobalSIP*, Anaheim, USA, Nov 2018.

## Honors & Awards

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### SEAS Travel Grant

SEAS, PU, NJ, USA

Nov. 2022

### Teaching Assistant Award

Dept. of ECE, PU, NJ, USA

- For the new *Intelligent Robotic Systems* course

Sept. 2022


### 3rd Prize

- Out of about 300 teams

Ministry of Education, Taiwan

July 2018

### 2nd Prize

- Under the supervision of Prof. Tzong-Lin Wu | 

High-speed RF and mm-Wave Tech. Center, Taiwan

Aug. 2017

### 8th place

- Out of about 250 students

Cadence, Taiwan

Mar. 2017

### Graduate Representative

- Given to top ten students of four years

Dept. of EE, NTU, Taiwan

June 2018

### Professor Chun-Hsiung Chen Scholarship

Electromagnetic Industry-Academia Consortium, Taiwan

- Rewarded outstanding performances in electromagnetic fields

Jan. 2018

### Presidential Awards

- Given to top ten students of that semester

Dept. of EE, NTU, Taiwan

second semester of 2014 and 2016

## Research & Teaching Experiences

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### Teaching Assistant

ECE346/566: Intelligent Robotic Systems, Prof. Jaime Fernández Fisac  
ELE364: Machine Learning for Predictive Data Analytics, Prof. Niraj Jha

PU, NJ, USA

Jan. 2022 - May 2022

Sept. 2020 - Dec. 2020

### Research Assistant

Access IC Lab, Prof. An-Yeu (Andy) Wu  
Group of Electromagnetic Applications, Prof. Jean-Fu Kiang

NTU, Taiwan

Feb. 2018 - Mar. 2019

Feb. 2017 - Mar. 2019

### Teaching Assistant

Digital System Design

NTU, Taiwan

Feb. 2018 - June 2018

## Professional Activities

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### Reviewer

Artificial Intelligence, IEEE Open Journal of Control Systems, IEEE Trans. on Vehicular Technology, IETE Technical Review, IEEE Trans. on Signal Processing, ICRA, L4DC

### Program Committee

NeurIPS Workshop on [Human in the Loop Learning](#) and [Trustworthy Embodied AI](#)

## Skills

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### • Program Languages

Python, MATLAB, Verilog, C++

### • Others

PyTorch, Jax, Git, SLURM, NumPyro, CVX,  $\LaTeX$