# Kai-Chieh Hsu

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I work on combining game-theoretic reasoning and machine learning techniques for safe human-centered robotic systems.

### **Research Interests**

**Machine Learning Human-Robot Interaction Multi-Agent Planning** 

Safe reinforcement learning (RL), adversarial RL and safe Sim2Real transfer Generative models and inverse RL for strategy and intent inference Game-theoretic counterfactual reasoning and iterative linear quadratic game

### Education\_

#### Princeton University (PU)

Ph.D. Candidate in Electrical and Computer Engineering (ECE) M.A. in Electrical and Computer Engineering

- Concentration: Machine learning and Robotics
- Achieved 4.0/4.0 GPA
- · Thesis Advisor: Prof. Jaime Fernández Fisac

#### **National Taiwan University (NTU)**

B.S. in Electrical Engineering (EE)

Taipei, Taiwan

Princeton, NJ, USA

Sept. 2019 - May 2021

Sept. 2021 - June 2024 (EXPECTED)

Sept. 2014 - Jan. 2019

- Concentration: Signal processing and Digital IC design
- Achieved 4.19/4.30 overall GPA and ranked in top 5%
- Research Advisors: Prof. An-Yeu (Andy) Wu and Prof. Jean-Fu Kiang

# **Work Experiences**

### Research Scientist Intern [P3]

Remote

NVIDIA Corporation (Manager: Prof. Marco Pavone, Mentor: Prof. Karen Leung, Yuxiao Chen)

May 2022 - Dec. 2022

- Formalize responsibility by safety margin decrease and policy shift with counterfactual reasoning
- Estimate the responsibility level online with hidden Markov model
- Incorporate the estimated responsibility into the **trajectory prediction** models

# **Research Projects**

#### Adversarial Safety Game [C1]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac, Duy Phuong Nguyen

Feb. 2022 - PRESENT

- Robustify the **reachability-based RL** by jointly training an adversarial agent under the self-play spirit
- · Construct an online shielding scheme combining the model-based contingent rollout and model-free best-effort policies.

#### **Inverse Specification [C2]**

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac

July 2020 - PRESENT

- Use Bayesian optimization to infer constraints interactively with humans by asking for ranking feedback
- Select queries actively to speed up the convergence to the true preference based on information-theoretic
- Survey in inverse optimal control and behavior cloning

#### Safe Sim2Real Transfer (Sim-to-Lab-to-Real) [J1]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac, Duy Phuong Nguyen Intelligent Robot Motion Lab, Prof. Anirudha Majumdar, Allen Z. Ren

May 2021 - Jan. 2022

- Use **Reachability-Based RL** and a **supervisory control** scheme to allow the least-restrictive safe exploration
- · Combine with PAC-Bayes control to provide a tight performance lower bound to unseen environments

May 9, 2023

#### Reach-Avoid Reinforcement Learning [C4] [J1]

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac Hybrid Systems Laboratory, Prof. Claire J. Tomlin, Vicenç Rubies-Royo

July 2020 - Mar. 2021

PU, NJ, USA

- Derive a time-discounted formulation of the reach-avoid optimal control problem that lends itself to (deep) RL
- Deploy our reach-avoid Q-Learning in a range of nonlinear systems, including an attack-defense game
- Reach-avoid reinforcement learning allows learning from near defeat and fits in safe reinforcement learning

#### ECG Real-Time Telemonitoring [J2] [C5]

NTU, Taiwan

Access IC Lab, Prof. An-Yeu (Andy) Wu

Aug. 2017 - Mar. 2019

- **Edge Classification**: Incorporate **compressed sensing**, task-driven dictionary learning (predictive sparse coding) and PCA to render light-weighted classifier and overcome limited labeled data challenge
- **On-Demand Recovery**: Design a two-stage algorithm that classifies and reconstructs only problematic signals. This algorithm utilizes the information from classification stage to speed up the reconstruction algorithm
- Hardware Design and Chip Implementation: Propose a hardware architecture for on-demand recovery to allow hardware sharing between classification and reconstruction algorithms

### **Publications**

#### **Preprint**

- [P1] Haimin Hu, Kensuke Nakamura, K.-C. Hsu, Naomi Ehrich Leonard, Jaime F. Fisac, Emergent Coordination through Game-Induced Nonlinear Opinion Dynamics, submitted to IEEE Conference on Decision and Control (CDC), Mar 2023.
- [P2] Athindran Ramesh Kumar, K.-C. Hsu, Peter J. Ramadge, Jaime F. Fisac, Fast, Smooth, and Safe: Implicit Control Barrier Functions through Reach-Avoid Differential Dynamic Programming, submitted to *IEEE Control Systems Letters*, Mar 2023.
- [P3] K.-C. Hsu, Karen Leung, Yuxiao Chen, Jaime F. Fisac, Marco Pavone, Interpretable Trajectory Prediction for Autonomous Vehicles via Counterfactual Responsibility, submitted to *IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*, Feb 2023.

#### **Journal Papers**

- [J1] K.-C. Hsu\*, Allen Z. Ren\*, Duy P. Nguyen, Anirudha Majumdar+, and Jaime F. Fisac+, Sim-to-Lab-to-Real: Safe Reinforcement Learning with Shielding and Generalization Guarantees, in *Artificial Intelligence*, Jan 2023. | Spotlight in ICLR Workshop and NeurIPS Workshop
- [J2] C.-Y. Chou, K.-C. Hsu, B.-H. Cho, K.-C. Chen and A.-Y. (Andy) Wu, Low-Complexity On-demand Reconstruction for Compressively Sensed Problematic Signals, in *IEEE Trans. Signal Process.*, vol. 68, pp. 4094-4107, July 2020.
- [J3] K.-C. Hsu and J.-F. Kiang, Joint Estimation of DOA and Frequency From A Mixture of Frequency Known and Unknown Sources with Orthogonal Coprime Arrays, in Sensors, 19(2), 335, Jan. 2019.

#### **Conference Papers**

- [C1] K.-C. Hsu\*, Duy P. Nguyen\*, and Jaime F. Fisac, ISAACS: Iterative Soft Adversarial Actor-Critic for Safety, in *Learning for Dynamics and Control (L4DC)*, Philadelphia, PA, USA, Jun 2023 (to appear).
- [C2] S. Narain, D. Chee, P. Iyer, E. Mak, R. Valdez, M. Zhu, N. Jha, J. F. Fisac, K.-C. Hsu, P. Terway, K. Pochiraju, B. Englot, E. Pitz, S. Rooney, Y. Huang, AIMED: AI-Mediated Exploration of Design: An Experience Report, in *Proceedings of the IEEE Workshop on Design Automation for CPS and IoT (DESTION)*, San Antonio, TX, USA, May 2023 (to appear).
- [C3] H. Chen, K.-C. Hsu, W. Turner, P.-H. Wei, K. Zhu, D. Pan and H. Ren, Reinforcement Learning Guided Detailed Routing for FinFET Custom Circuits, in *International Symposium on Physical Design (ISPD)*, Held Virtually, Mar 2023 (to appear).
- [C4] K.-C. Hsu\*, V. Rubies-Royo\*, C. J. Tomlin and J. F. Fisac, Safety and Liveness Guarantees through Reach-Avoid Reinforcement Learning, in *Proceedings of Robotics: Science and Systems (RSS)*, Held Virtually, July 2021.
- [C5] K.-C. Hsu\*, B.-H. Cho\*, C.-Y. Chou and A.-Y. (Andy) Wu, Low-Complexity Compressed Analysis in Eigenspace with Limited Labeled Data for Real-Time Electrocardiography Telemonitoring, in *IEEE GlobalSIP*, Anaheim, USA, Nov 2018.

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**Honors & Awards** 

SEAS Travel Grant SEAS, PU, NJ, USA

Nov. 2022

**Teaching Assistant Award**Dept. of ECE, PU, NJ, USA

Sept. 2022

**3rd Prize** in Integrated Circuit Design Contest

• Under the supervision of Prof. Tzong-Lin Wu |

• For the new Intelligent Robotic Systems course

Ministry of Education, Taiwan

Out of about 300 teams

July 2018

**2nd Prize** in Taiwan Creative Electromagnetic Implementation Competition

 $\label{thm:linear} \mbox{High-speed RF and mm-Wave Tech. Center, Taiwan}$ 

Aug. 2017

8th place in Data Structure and Programming Contest

Cadence, Taiwan

Mar. 2017

• Out of about 250 students

Dept. of EE, NTU, Taiwan

**Graduate Representative** in NTUEE graduate ceremony

**Professor Chun-Hsiung Chen Scholarship** 

June 2018

• Given to top ten students of four years

Electromagnetic Industry-Academia Consortium, Taiwan

• Rewarded outstanding performances in electromagnetic fields

Jan. 2018

**Presidential Awards** 

Dept. of EE, NTU, Taiwan

• Given to top ten students of that semester

second semester of 2014 and 2016

# Research & Teaching Experiences

**Teaching Assistant** 

PU, NJ, USA

ECE346/566: Intelligent Robotic Systems, Prof. Jaime Fernández Fisac ELE364: Machine Learning for Predictive Data Analytics, Prof. Niraj Jha

Jan. 2022 - May 2022 Sept. 2020 - Dec. 2020

**Research Assistant** 

NTU, Taiwan

Access IC Lab, Prof. An-Yeu (Andy) Wu Group of Electromagnetic Applications, Prof. Jean-Fu Kiang Feb. 2018 - Mar. 2019 Feb. 2017 - Mar. 2019

**Teaching Assistant** 

NTU, Taiwan

Digital System Design

Feb. 2018 - June 2018

# **Professional Activities**

Reviewer

Artificial Intelligence, IEEE Open Journal of Control Systems, IEEE Trans. on Vehicular Technology, IETE Technical Review, IEEE Trans. on Signal Processing, ICRA, L4DC

**Program Committee** 

NeurIPS Workshop on Human in the Loop Learning and Trustworthy Embodied Al

## Skills

Program Languages

Python, MATLAB, Verilog, C++

Others

PyTorch, Jax, Git, SLURM, NumPyro, CVX, ŁTEX

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