

# Kai-Chieh Hsu

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**I work on combining game-theoretic reasoning and machine learning techniques for safe human-centered robotic systems.**

## Research Interests

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### Machine Learning

Safe reinforcement learning (RL), adversarial RL and safe Sim2Real transfer

### Human-Robot Interaction

Generative models and imitation learning for strategy and intent inference

### Multi-Agent Planning

Game-theoretic counterfactual reasoning and iterative linear quadratic game

## Education

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### Princeton University (PU)

Ph.D. Candidate in Electrical and Computer Engineering (ECE)

Princeton, NJ, USA

Sept. 2021 - June 2024 (EXPECTED)

M.A. in Electrical and Computer Engineering

Sept. 2019 - May 2021

- Concentration: Machine learning and Robotics
- Achieved 4.0/4.0 GPA
- Thesis Advisor: Prof. Jaime Fernández Fisac

### National Taiwan University (NTU)

Taipei, Taiwan

B.S. in Electrical Engineering (EE)

Sept. 2014 - Jan. 2019

- Concentration: Signal processing and Digital IC design
- Achieved 4.19/4.30 overall GPA and ranked in **top 5%**
- Research Advisors: Prof. An-Yeu (Andy) Wu and Prof. Jean-Fu Kiang

## Work Experiences

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### Engineering Intern

San Diego, CA

Qualcomm Technologies Inc. (Manager: [Stephen Chaves](#), Mentor: [Pranav Desai](#))

May 2023 - Aug. 2023

- Proposed a unified neural backbone for agent predictor and behavior planner in autonomous vehicles software stack
- Used reinforcement learning and imitation learning for implementing behavior planners

### Research Scientist Intern [C2]

Remote

NVIDIA Corporation (Manager: [Prof. Marco Pavone](#), Mentor: [Prof. Karen Leung](#), [Yuxiao Chen](#))

May 2022 - Dec. 2022

- Formalized **responsibility** by safety margin decrease and policy shift with **counterfactual reasoning**
- Estimated the responsibility level online with **hidden Markov model**
- Incorporated the estimated responsibility into **trajectory prediction** models

## Selected Publications

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### Journal Papers

- [J1] **K.-C. Hsu**, Haimin Hu, and J. F. Fisac, [The Safety Filter: A Unified View of Safety-Critical Control in Autonomous Systems](#), in *Annual Review of Control, Robotics, and Autonomous Systems*, (preprint).
- [J2] A. R. Kumar, **K.-C. Hsu**, P. J. Ramadge, and J. F. Fisac, [Fast, Smooth, and Safe: Implicit Control Barrier Functions through Reach-Avoid Differential Dynamic Programming](#), in *IEEE Control Systems Letters*, vol. 7, pp. 2994-2999, June 2023.
- [J3] **K.-C. Hsu**<sup>\*</sup>, A. Z. Ren<sup>\*</sup>, D. P. Nguyen, A. Majumdar<sup>+</sup>, and J. F. Fisac<sup>+</sup>, [Sim-to-Lab-to-Real: Safe Reinforcement Learning with Shielding and Generalization Guarantees](#), in *Artificial Intelligence*, Jan 2023. | Spotlight in [ICLR Workshop](#) and [NeurIPS Workshop](#)
- [J4] C.-Y. Chou, **K.-C. Hsu**, B.-H. Cho, K.-C. Chen, and A.-Y. (Andy) Wu, [Low-Complexity On-demand Reconstruction for Compressively Sensed Problematic Signals](#), in *IEEE Transactions Signal Processing*, vol. 68, pp. 4094-4107, July 2020.

## Conference Papers

- [C1] H. Hu, K. Nakamura, **K.-C. Hsu**, N. E. Leonard, and J. F. Fisac, **Emergent Coordination through Game-Induced Nonlinear Opinion Dynamics**, in *Proc. IEEE Conf. Decision and Control*, Singapore, Dec 2023.
- [C2] **K.-C. Hsu**, K. Leung, Y. Chen, J. F. Fisac, and M. Pavone, Interpretable Trajectory Prediction for Autonomous Vehicles via Counterfactual Responsibility, in *IEEE/RSJ Int. Conf. Intelligent Robots & Systems*, Detroit, MI, USA, Oct 2023.
- [C3] **K.-C. Hsu**<sup>\*</sup>, D. P. Nguyen<sup>\*</sup>, and J. F. Fisac, **ISAACS: Iterative Soft Adversarial Actor-Critic for Safety**, in *Learning for Dynamics & Control*, Philadelphia, PA, USA, Jun 2023.
- [C4] S. Narain, D. Chee, P. Iyer, E. Mak, R. Valdez, M. Zhu, N. Jha, J. F. Fisac, **K.-C. Hsu**, P. Terway, K. Pochiraju, B. Englot, E. Pitz, S. Rooney, and Y. Huang, **AIMED: AI-Mediated Exploration of Design: An Experience Report**, in *Proc. IEEE Workshop on Design Automation for CPS and IoT*, San Antonio, TX, USA, May 2023.
- [C5] H. Chen, **K.-C. Hsu**, W. Turner, P.-H. Wei, K. Zhu, D. Pan, and H. Ren, **Reinforcement Learning Guided Detailed Routing for FinFET Custom Circuits**, in *Proc. Int. Symp. Physical Design*, Virtually, Mar 2023.
- [C6] **K.-C. Hsu**<sup>\*</sup>, V. Rubies-Royo<sup>\*</sup>, C. J. Tomlin, and J. F. Fisac, **Safety and Liveness Guarantees through Reach-Avoid Reinforcement Learning**, in *Proc. Robotics: Science and Systems*, Virtually, July 2021.

## Honors & Awards

### SEAS Travel Grant

SEAS, PU, NJ, USA  
Nov. 2022

### Teaching Assistant Award

- For the new *Intelligent Robotic Systems* course


Dept. of ECE, PU, NJ, USA  
Sept. 2022

### 3rd Prize in Integrated Circuit Design Contest

- Out of about 300 teams

Ministry of Education, Taiwan  
July 2018

### 2nd Prize in Taiwan Creative Electromagnetic Implementation Competition

- Under the supervision of Prof. Tzong-Lin Wu | 

High-speed RF and mm-Wave Tech. Center, Taiwan  
Aug. 2017

### 8th place in Data Structure and Programming Contest

- Out of about 250 students

Cadence, Taiwan  
Mar. 2017

### Graduate Representative in NTUEE graduate ceremony

- Given to top ten students of four years

Dept. of EE, NTU, Taiwan  
June 2018

### Professor Chun-Hsiung Chen Scholarship

- Rewarded outstanding performances in electromagnetic fields

Electromagnetic Industry-Academia Consortium, Taiwan  
Jan. 2018

### Presidential Awards

- Given to top ten students of that semester

Dept. of EE, NTU, Taiwan  
second semester of 2014 and 2016

## Research & Teaching Experiences

### Teaching Assistant

ECE346/566: Intelligent Robotic Systems, Prof. Jaime Fernández Fisac  
ELE364: Machine Learning for Predictive Data Analytics, Prof. Niraj Jha

PU, NJ, USA  
Jan. 2022 - May 2022  
Sept. 2020 - Dec. 2020

### Research Assistant

Access IC Lab, Prof. An-Yeu (Andy) Wu  
Group of Electromagnetic Applications, Prof. Jean-Fu Kiang

NTU, Taiwan  
Feb. 2018 - Mar. 2019  
Feb. 2017 - Mar. 2019

### Teaching Assistant

Digital System Design

NTU, Taiwan  
Feb. 2018 - June 2018

## Professional Activities

### Reviewer

Artificial Intelligence, IEEE RA-L, IEEE OJCS, IEEE TVT, IEEE TSP, IJRR, ICRA, L4DC, AAAI, CDC

### Program Committee

NeurIPS Workshop on **Human in the Loop Learning** and **Trustworthy Embodied AI**

## Skills

### Program Languages

Python, MATLAB, Verilog, C++

### Others

PyTorch, Jax, Git, SLURM, NumPyro, CVX,  $\LaTeX$

## References

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<b>Jaime Fernández Fisac</b>	Assistant Professor, Electrical and Computer Engineering, Princeton University <a href="mailto:jfisac@princeton.edu">jfisac@princeton.edu</a>
<b>Anirudha Majumdar</b>	Assistant Professor, Mechanical and Aerospace Engineering, Princeton University <a href="mailto:ani.majumdar@princeton.edu">ani.majumdar@princeton.edu</a>
<b>Karen Leung</b>	Assistant Professor, Aeronautics & Astronautics, University of Washington Research Scientist, Autonomous Vehicle Research, NVIDIA <a href="mailto:kymleung@uw.edu">kymleung@uw.edu</a>
<b>Peter Ramadge</b>	Professor, Electrical and Computer Engineering, Princeton University <a href="mailto:ramadge@princeton.edu">ramadge@princeton.edu</a>
<b>Jie Tan</b>	Staff Research Scientist, Google Deepmind <a href="mailto:jietan@google.com">jietan@google.com</a>
<b>Stephen Chaves</b>	Senior Staff Engineer, Qualcomm Research <a href="mailto:schaves@qti.qualcomm.com">schaves@qti.qualcomm.com</a>