Kai-Chieh Hsu

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I work on combining game-theoretic reasoning and machine learning techniques for safe human-centered robotic systems.

Research Interests

Machine Learning Human-Robot Interaction Multi-Agent Planning

Safe reinforcement learning (RL), adversarial RL and safe Sim2Real transfer Generative models and inverse RL for strategy and intent inference Game-theoretic counterfactual reasoning and iterative linear quadratic game

Education_

Princeton University (PU)

Ph.D. Candidate in Electrical and Computer Engineering (ECE) M.A. in Electrical and Computer Engineering

- Concentration: Machine learning and Robotics
- Achieved 4.0/4.0 GPA
- · Thesis Advisor: Prof. Jaime Fernández Fisac

National Taiwan University (NTU)

B.S. in Electrical Engineering (EE)

Taipei, Taiwan

Princeton, NJ, USA

Sept. 2019 - May 2021

Sept. 2021 - June 2024 (EXPECTED)

Sept. 2014 - Jan. 2019

- Concentration: Signal processing and Digital IC design
- Achieved 4.19/4.30 overall GPA and ranked in top 5%
- Research Advisors: Prof. An-Yeu (Andy) Wu and Prof. Jean-Fu Kiang

Work Experiences

Research Scientist Intern [P3]

Remote

NVIDIA Corporation (Manager: Prof. Marco Pavone, Mentor: Prof. Karen Leung, Yuxiao Chen)

May 2022 - Dec. 2022

- Formalize responsibility by safety margin decrease and policy shift with counterfactual reasoning
- Estimate the responsibility level online with hidden Markov model
- Incorporate the estimated responsibility into the **trajectory prediction** models

Research Projects

Adversarial Safety Game [C1]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac, Duy Phuong Nguyen

Feb. 2022 - PRESENT

- Robustify the **reachability-based RL** by jointly training an adversarial agent under the self-play spirit
- · Construct an online shielding scheme combining the model-based contingent rollout and model-free best-effort policies.

Inverse Specification [C2]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac

July 2020 - PRESENT

- Use Bayesian optimization to infer constraints interactively with humans by asking for ranking feedback
- Select queries actively to speed up the convergence to the true preference based on information-theoretic
- Survey in inverse optimal control and behavior cloning

Safe Sim2Real Transfer (Sim-to-Lab-to-Real) [J1]

PU, NJ, USA

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac, Duy Phuong Nguyen Intelligent Robot Motion Lab, Prof. Anirudha Majumdar, Allen Z. Ren

May 2021 - Jan. 2022

- Use **Reachability-Based RL** and a **supervisory control** scheme to allow the least-restrictive safe exploration
- · Combine with PAC-Bayes control to provide a tight performance lower bound to unseen environments

April 2, 2023

Reach-Avoid Reinforcement Learning [C4] [J1]

Safe Robotics Laboratory, Prof. Jaime Fernández Fisac

Hybrid Systems Laboratory, Prof. Claire J. Tomlin, Vicenç Rubies-Royo

July 2020 - Mar. 2021

PU, NJ, USA

- Derive a time-discounted formulation of the reach-avoid optimal control problem that lends itself to (deep) RL
- Deploy our reach-avoid Q-Learning in a range of nonlinear systems, including an attack-defense game
- Reach-avoid reinforcement learning allows learning from near defeat and fits in safe reinforcement learning

ECG Real-Time Telemonitoring [J2] [C5]

NTU, Taiwan

Access IC Lab, Prof. An-Yeu (Andy) Wu

Aug. 2017 - Mar. 2019

- **Edge Classification**: Incorporate **compressed sensing**, task-driven dictionary learning (predictive sparse coding) and PCA to render light-weighted classifier and overcome limited labeled data challenge
- **On-Demand Recovery**: Design a two-stage algorithm that classifies and reconstructs only problematic signals. This algorithm utilizes the information from classification stage to speed up the reconstruction algorithm
- Hardware Design and Chip Implementation: Propose a hardware architecture for on-demand recovery to allow hardware sharing between classification and reconstruction algorithms

Publications

Preprint

- [P1] Haimin Hu, Kensuke Nakamura, K.-C. Hsu, Naomi Ehrich Leonard, Jaime F. Fisac, Emergent Coordination through Game-Induced Nonlinear Opinion Dynamics, submitted to *IEEE Conference on Decision and Control (CDC)*, Mar 2023.
- [P2] Athindran Ramesh Kumar, K.-C. Hsu, Peter J. Ramadge, Jaime F. Fisac, Fast, Smooth, and Safe: Implicit Control Barrier Functions through Reach-Avoid Differential Dynamic Programming, submitted to *IEEE Control Systems Letters*, Mar 2023.
- [P3] K.-C. Hsu, Karen Leung, Yuxiao Chen, Jaime F. Fisac, Marco Pavone, Interpretable Trajectory Prediction for Autonomous Vehicles via Counterfactual Responsibility, submitted to *IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*, Feb 2023.

Journal Papers

- [J1] K.-C. Hsu*, Allen Z. Ren*, Duy P. Nguyen, Anirudha Majumdar+, and Jaime F. Fisac+, Sim-to-Lab-to-Real: Safe Reinforcement Learning with Shielding and Generalization Guarantees, in *Artificial Intelligence*, Jan 2023. | Spotlight in ICLR Workshop and NeurIPS Workshop
- [J2] C.-Y. Chou, K.-C. Hsu, B.-H. Cho, K.-C. Chen and A.-Y. (Andy) Wu, Low-Complexity On-demand Reconstruction for Compressively Sensed Problematic Signals, in *IEEE Trans. Signal Process.*, vol. 68, pp. 4094-4107, July 2020.
- [J3] K.-C. Hsu and J.-F. Kiang, Joint Estimation of DOA and Frequency From A Mixture of Frequency Known and Unknown Sources with Orthogonal Coprime Arrays, in Sensors, 19(2), 335, Jan. 2019.

Conference Papers

- [C1] K.-C. Hsu*, Duy P. Nguyen*, and Jaime F. Fisac, ISAACS: Iterative Soft Adversarial Actor-Critic for Safety, in *Learning for Dynamics and Control (L4DC)*, Philadelphia, PA, USA, Jun 2023 (to appear).
- [C2] S. Narain, D. Chee, P. Iyer, E. Mak, R. Valdez, M. Zhu, N. Jha, J. F. Fisac, K.-C. Hsu, P. Terway, K. Pochiraju, B. Englot, E. Pitz, S. Rooney, Y. Huang, AIMED: AI-Mediated Exploration of Design: An Experience Report, in *Proceedings of the IEEE Workshop on Design Automation for CPS and IoT (DESTION)*, San Antonio, TX, USA, May 2023 (to appear).
- [C3] H. Chen, K.-C. Hsu, W. Turner, P.-H. Wei, K. Zhu, D. Pan and H. Ren, Reinforcement Learning Guided Detailed Routing for FinFET Custom Circuits, in *International Symposium on Physical Design (ISPD)*, Held Virtually, Mar 2023 (to appear).
- [C4] K.-C. Hsu*, V. Rubies-Royo*, C. J. Tomlin and J. F. Fisac, Safety and Liveness Guarantees through Reach-Avoid Reinforcement Learning, in *Proceedings of Robotics: Science and Systems (RSS)*, Held Virtually, July 2021.
- [C5] K.-C. Hsu*, B.-H. Cho*, C.-Y. Chou and A.-Y. (Andy) Wu, Low-Complexity Compressed Analysis in Eigenspace with Limited Labeled Data for Real-Time Electrocardiography Telemonitoring, in *IEEE GlobalSIP*, Anaheim, USA, Nov 2018.

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Honors & Awards

SEAS Travel Grant SEAS, PU, NJ, USA

Nov. 2022

Jan. 2018

Teaching Assistant Award Dept. of ECE, PU, NJ, USA

• For the new Intelligent Robotic Systems course Sept. 2022

3rd Prize in Integrated Circuit Design Contest Ministry of Education, Taiwan

 Out of about 300 teams July 2018

2nd Prize in Taiwan Creative Electromagnetic Implementation Competition High-speed RF and mm-Wave Tech. Center, Taiwan

• Under the supervision of Prof. Tzong-Lin Wu | Aug. 2017

8th place in Data Structure and Programming Contest Cadence, Taiwan

· Out of about 250 students Mar. 2017

Graduate Representative in NTUEE graduate ceremony Dept. of EE, NTU, Taiwan

• Given to top ten students of four years June 2018

Professor Chun-Hsiung Chen Scholarship Electromagnetic Industry-Academia Consortium, Taiwan • Rewarded outstanding performances in electromagnetic fields

Presidential Awards Dept. of EE, NTU, Taiwan

• Given to top ten students of that semester second semester of 2014 and 2016

Research & Teaching Experiences

Teaching Assistant PU, NJ, USA ECE346/566: Intelligent Robotic Systems, Prof. Jaime Fernández Fisac Jan. 2022 - May 2022

Sept. 2020 - Dec. 2020 ELE364: Machine Learning for Predictive Data Analytics, Prof. Niraj Jha

Research Assistant NTU, Taiwan Access IC Lab, Prof. An-Yeu (Andy) Wu Feb. 2018 - Mar. 2019 Group of Electromagnetic Applications, Prof. Jean-Fu Kiang Feb. 2017 - Mar. 2019

Teaching Assistant NTU, Taiwan

Digital System Design Feb. 2018 - June 2018

Professional Activities

Artificial Intelligence, IEEE Open Journal of Control Systems, IEEE Trans. on Vehicular Reviewer

Technology, IETE Technical Review, IEEE Trans. on Signal Processing, ICRA, L4DC

Program Committee NeurIPS Workshop on Human in the Loop Learning and Trustworthy Embodied AI

Skills

 Program Languages Python, MATLAB, Verilog, C++

Others PyTorch, Jax, Git, SLURM, NumPyro, CVX, LTFX

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