

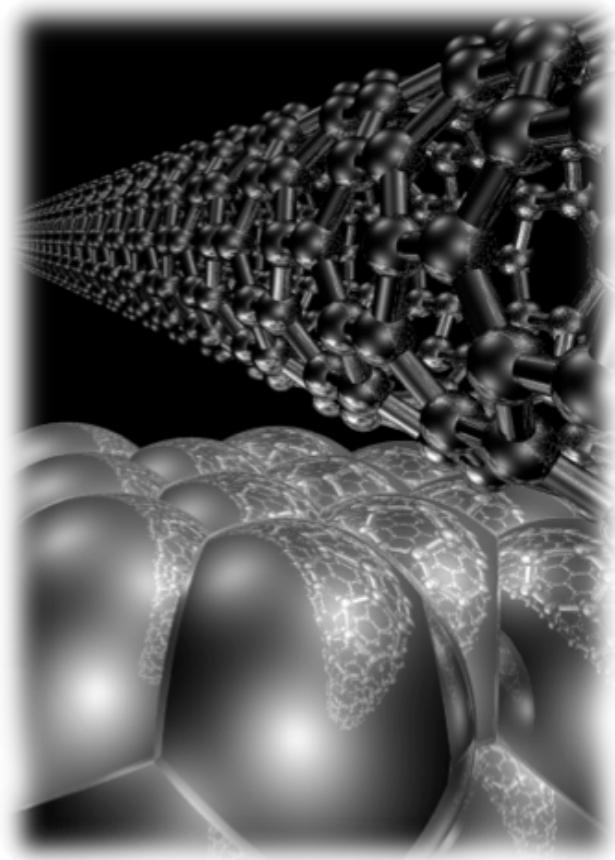
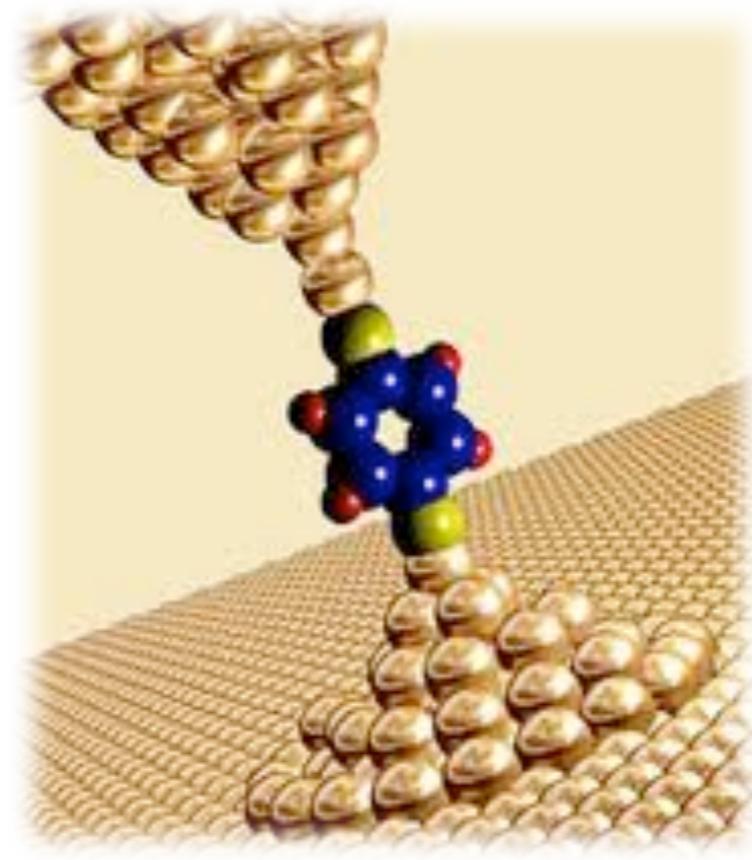
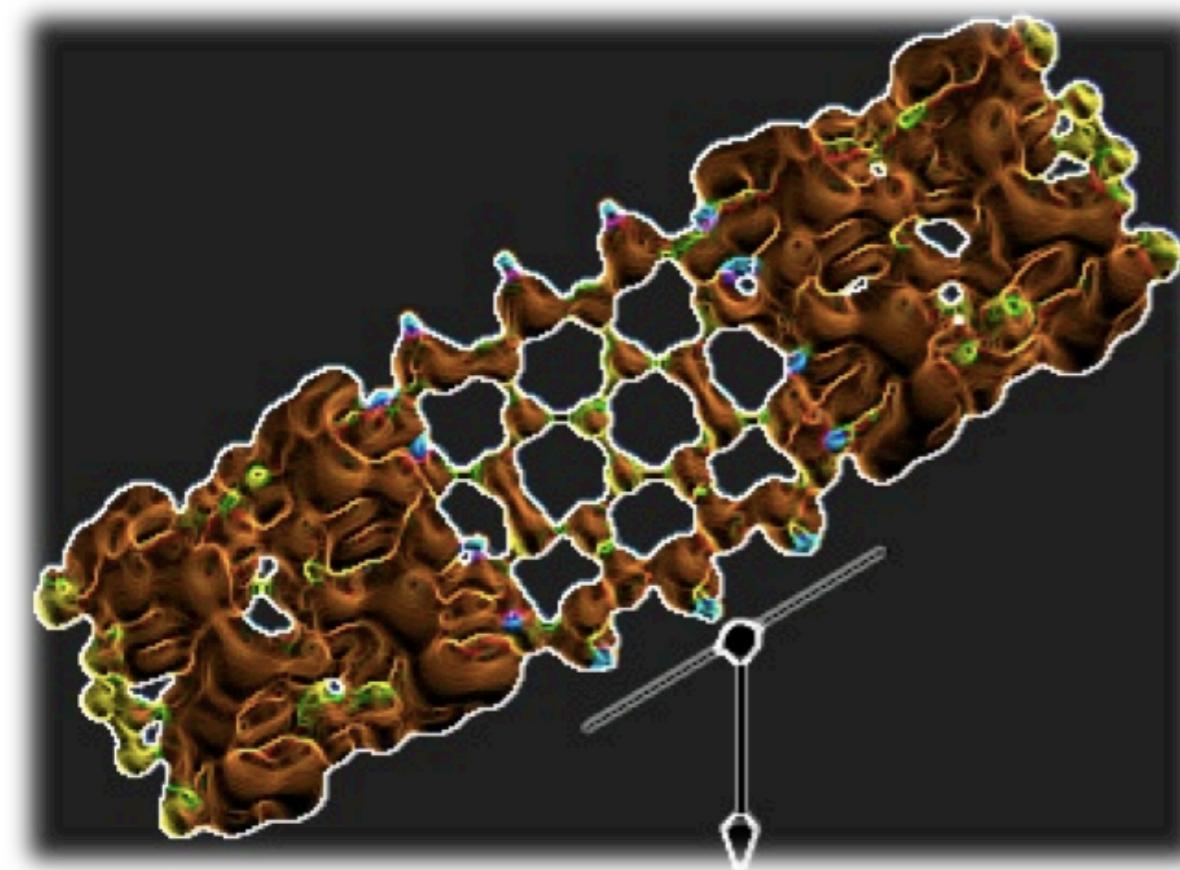
# Introduction to Wannier90 and tutorial

Giovanni Pizzi and Junfeng Qiao

Theory and Simulation of Materials (THEOS), EPFL, Switzerland

National Centre for Computational Design and Discovery of Novel Materials (MARVEL), Switzerland

June 14th, 2021



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- Installation instructions may be found in [README.install](#)
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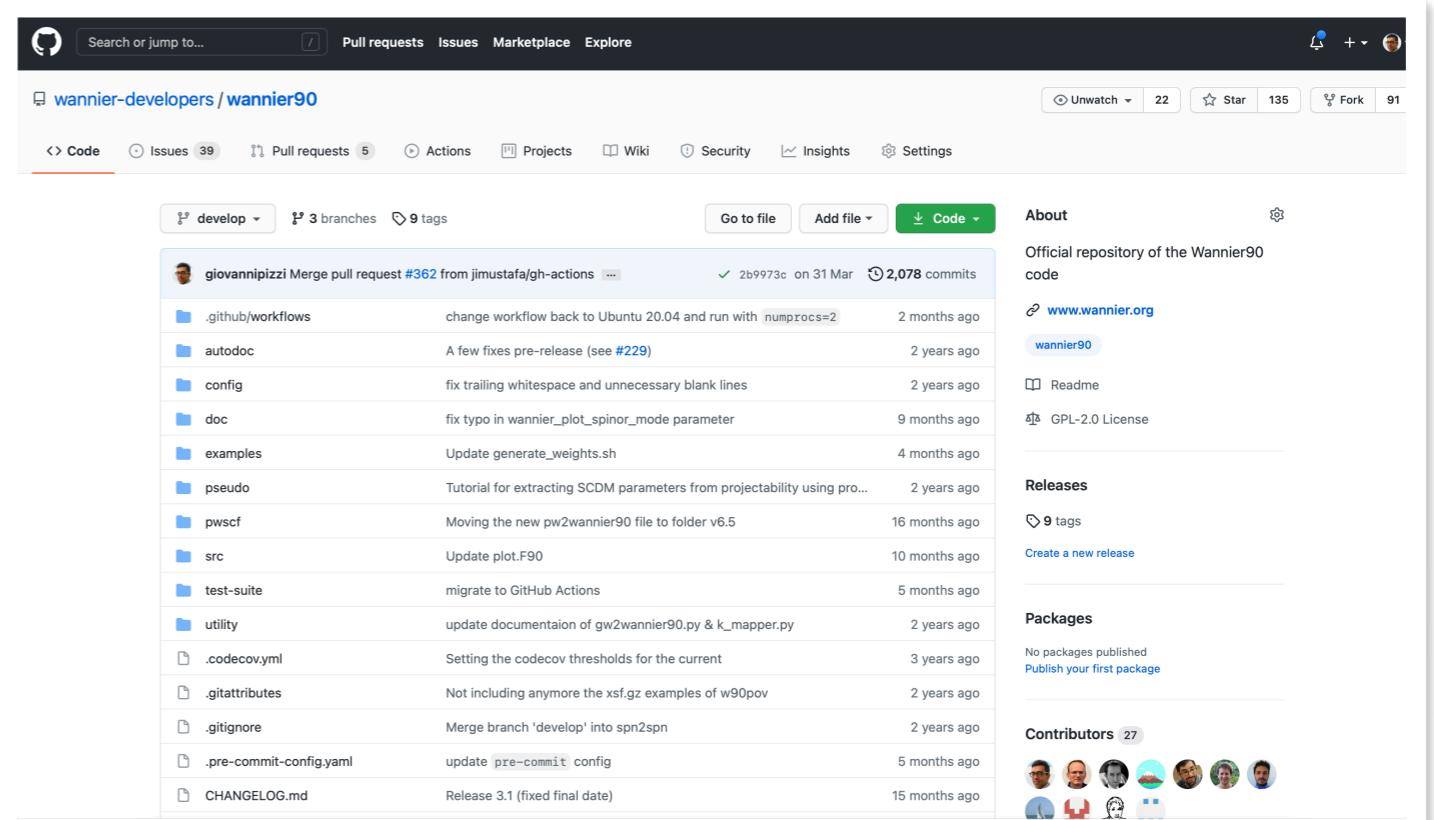
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<https://github.com/wannier-developers/wannier90>



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File	Description	Commit
.github/workflows	change workflow back to Ubuntu 20.04 and run with numprocs=2	2 months ago
autodoc	A few fixes pre-release (see #229)	2 years ago
config	fix trailing whitespace and unnecessary blank lines	2 years ago
doc	fix typo in wannier_plot_spinor_mode parameter	9 months ago
examples	Update generate_weights.sh	4 months ago
pseudo	Tutorial for extracting SCDM parameters from projectability using pro...	2 years ago
pwscf	Moving the new pw2wannier90 file to folder v6.5	16 months ago
src	Update plot.F90	10 months ago
test-suite	migrate to GitHub Actions	5 months ago
utility	update documentation of gw2wannier90.py & k_mapper.py	2 years ago
.codecov.yml	Setting the codecov thresholds for the current	3 years ago
.gitattributes	Not including anymore the xsf.gz examples of w90pov	2 years ago
.gitignore	Merge branch 'develop' into spn2spn	2 years ago
.pre-commit-config.yaml	update pre-commit config	5 months ago
CHANGELOG.md	Release 3.1 (fixed final date)	15 months ago

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If `bands_plot = true`, then the code will calculate the band structure, through Wannier interpolation, along the path in k-space defined by `bands_kpath` using `bands_num_points` along the first section of the path and write out an output file in a format specified by `bands_plot_format`.

The default value is `false`.

#### 2.9.11 `kpoint_path`

Defines the path in k-space along which to calculate the bandstructure. Each line gives the start and end point (with labels) for a section of the path. Values are in fractional coordinates with respect to the primitive reciprocal lattice vectors.

`begin kpoint_path`

`G 0.0 0.0 0.0 L 0.0 0.0 1.0`

`L 0.0 0.0 1.0 N 0.0 1.0 1.0`

`:`

`end kpoint_path`

There is no default

#### 2.9.12 `integer :: bands_num_points`

wannier90: A tool for obtaining maximally-localised Wannier functions, AA Mostofi, JR

Yates, YS Lee, I Souza, D Vanderbilt, N Marzari, *Comput. Phys. Commun.* **178**, 685

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#### 8: Iron – Spin-polarized WFs, DOS, projected WFs versus MLWFs

- Outline: *Generate both maximally-localized and projected Wannier functions for ferromagnetic bcc Fe. Calculate the total and orbital-projected density of states by Wannier interpolation.*
  - Directory: `examples/example8/`
  - Input Files
    - `iron.scf` *The PWSCF input file for the spin-polarized ground state calculation*
    - `iron.nscf` *The PWSCF input file to obtain Bloch states on a uniform grid*
    - `iron_{up,down}.pw2wan` *Input files for pw2wannier90*
    - `iron_{up,down}.win` *Input files for wannier90 and postw90*
  - Note that in a spin-polarized calculation the spin-up and spin-down MLWFs are computed separately. (The more general case of spinor WFs will be treated in Example 17.)
1. Run PWSCF to obtain the ferromagnetic ground state of bcc Fe  
`pw.x < iron.scf > scf.out`
  2. Run PWSCF to obtain the Bloch states on a uniform k-point grid  
`pw.x < iron.nscf > nscf.out`

*Comput. Phys. Commun.* **185**, 2309 (2014) [[ONLINE JOURNAL](#)] [[bibTeX](#)]

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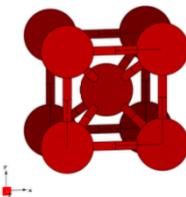


Figure 21: Unit cell of Iron crystal plotted with the XCrySDEN program.

#### 8: Iron – Spin-polarized WFs, DOS, projected WFs versus MLWFs

- Outline: *Generate both maximally-localized and projected Wannier functions for ferromagnetic bcc Fe. Calculate the total and orbital-projected density of states by Wannier interpolation.*
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- `iron.nscf` The PWSCF input file to obtain Bloch states
- `iron_{up,down}.pw2wan` Input files for pw2wannier90
- `iron_{up,down}.win` Input files for wannier90 and xcrysden

- Note that in a spin-polarized calculation the spin-up and spin-down calculations are run separately. (The more general case of spinor WFs will be treated in a future release.)

1. Run PWSCF to obtain the ferromagnetic ground state of iron:  
`pw.x < iron.scf > scf.out`
2. Run PWSCF to obtain the Bloch states on a uniform k-path:  
`pw.x < iron.nscf > nscf.out`

*Comput. Phys. Commun. 185, 1433–1442 (2014)*

1-5 Converged values for the total spread functional and its components for both spin channels are shown in Tab. 5. The final state for spin-up MLWFs is

Final State			
WF centre and spread	1	( 0.709852, 0.000108, 0.000131 )	1.08935224
WF centre and spread	2	( 0.000131, 0.000053, -0.709852 )	1.08935218
WF centre and spread	3	( -0.709852, -0.000108, -0.000131 )	1.08935221
WF centre and spread	4	( 0.000108, -0.709852, -0.000053 )	1.08935218
WF centre and spread	5	( -0.000131, -0.000053, 0.709852 )	1.08935226
WF centre and spread	6	( 0.000000, 0.000000, 0.000000 )	0.43234428
WF centre and spread	7	( -0.000000, 0.000000, 0.000000 )	0.43234429
WF centre and spread	8	( -0.000108, 0.709852, 0.000053 )	1.08935225
WF centre and spread	9	( 0.000000, 0.000000, -0.000000 )	0.43234428
Sum of centres and spreads		( 0.000000, -0.000000, -0.000000 )	7.83314616
<hr/>			
Spreads (Ang^2)	Omega I	=	5.948424630
=====	Omega D	=	0.017027691
	Omega OD	=	1.867693841
	Final Spread (Ang^2)	=	7.833146162
<hr/>			

If you are using v1.x, please refer to the paper "Wannier90: A tool for obtaining maximally-localized Wannier functions" by M. J. Neaton, S. C. Yates, Y.S Lee, I. Souza, D. Vanderbilt, and N. Marzari (2008) [[ONLINE JOURNAL](#)] [[CITATION](#)].

and for spin-down MLWFs is

## Community Email Forum

A fully archived Wannier90 user mailing list is hosted by Quantum ESPRESSO: [SUBSCRIBE HERE](#), but at the same time please send an email to [nicola.marzari@epfl.ch](mailto:nicola.marzari@epfl.ch) to confirm your interest (sometimes people are enrolled unwittingly by spambots).

Once subscribed, post to the list by sending your email to [wannier@lists.quantum-espresso.org](mailto:wannier@lists.quantum-espresso.org). We kindly request that you include your name and affiliation in all posts to the mailing list.

**Note that you must register in order to post emails to this list. Emails from non-registered users will be deleted automatically.**

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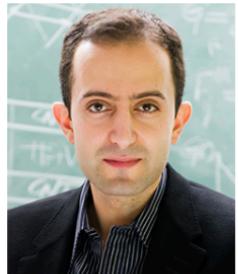
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# People involved: a community effort!

## People

### Wannier Developers Group



**Arash Mostofi**  
Professor of Theory and  
Simulation of Materials –  
Imperial College London



**Jonathan Yates**  
Associate Professor of  
Materials Modelling –  
University of Oxford



**Giovanni Pizzi**  
Senior Scientist – EPFL



**Valerio Vitale**  
Postdoctoral Research  
Associate – University of  
Cambridge and Imperial  
College London



**Nicola Marzari**  
Chair of Theory and  
Simulation of Materials –  
EPFL



**Ivo Souza**  
Research Professor –  
University of the Basque  
Country



**David Vanderbilt**  
Professor of Condensed Matter  
Theory – Rutgers University

Many more people involved  
in the past years:  
**Wannier90 transitioned  
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*By citing the new paper, you acknowledge  
the important work of all these coauthors!*

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J. Phys.: Condens. Matter **32** (2020) 165902 (25pp)

Journal of Physics: Condensed Matter

<https://doi.org/10.1088/1361-648X/ab51ff>

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Giovanni Pizzi<sup>1,29,30</sup> , Valerio Vitale<sup>2,3,29</sup> , Ryotaro Arita<sup>4,5</sup> , Stefan Blügel<sup>6</sup> , Frank Freimuth<sup>6</sup> , Guillaume Géranton<sup>6</sup> , Marco Gibertini<sup>1,7</sup> , Dominik Gresch<sup>8</sup> , Charles Johnson<sup>9</sup> , Takashi Koretsune<sup>10,11</sup> , Julen Ibañez-Azpiroz<sup>12</sup> , Hyungjun Lee<sup>13,14</sup> , Jae-Mo Lihm<sup>15</sup> , Daniel Marchand<sup>16</sup> , Antimo Marrazzo<sup>1</sup> , Yuriy Mokrousov<sup>6,17</sup> , Jamal I Mustafa<sup>18</sup> , Yoshiro Nohara<sup>19</sup> , Yusuke Nomura<sup>4</sup> , Lorenzo Paulatto<sup>20</sup> , Samuel Poncé<sup>21</sup> , Thomas Ponweiser<sup>22</sup> , Junfeng Qiao<sup>23</sup> , Florian Thöle<sup>24</sup> , Stepan S Tsirkin<sup>12,25</sup> , Małgorzata Wierzbowska<sup>26</sup> , Nicola Marzari<sup>1,29</sup> , David Vanderbilt<sup>27,29</sup> , Ivo Souza<sup>12,28,29</sup> , Arash A Mostofi<sup>3,29</sup> , and Jonathan R Yates<sup>21,29</sup>

# People involved: a community effort!

<https://github.com/wannier-developers/wannier90>

The screenshot shows the GitHub repository page for `wannier-developers/wannier90`. The main area displays a list of commits, with the first one being a merge pull request from `jimustafa/gh-actions`. The sidebar contains sections for **About**, **Releases**, **Packages**, and **Contributors**.

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**Contributors:** 27

Commit	Description	Date
giovannipizzi Merge pull request #362 from jimustafa/gh-actions ...	change workflow back to Ubuntu 20.04 and run with numprocs=2	2 months ago
.github/workflows	A few fixes pre-release (see #229)	2 years ago
autodoc	fix trailing whitespace and unnecessary blank lines	2 years ago
config	fix typo in wannier_plot_spinor_mode parameter	9 months ago
doc	Update generate_weights.sh	4 months ago
examples	Tutorial for extracting SCDM parameters from projectability using pro...	2 years ago
pseudo	Moving the new pw2wannier90 file to folder v6.5	16 months ago
pwscf	Update plot.F90	10 months ago
src	migrate to GitHub Actions	5 months ago
test-suite	update documentaion of gw2wannier90.py & k_mapper.py	2 years ago
utility	Setting the codecov thresholds for the current	3 years ago
.codecov.yml	Not including anymore the xsf.gz examples of w90pov	2 years ago
.gitattributes	Merge branch 'develop' into spn2spn	2 years ago
.gitignore	update pre-commit config	5 months ago
.pre-commit-config.yaml	Release 3.1 (fixed final date)	15 months ago
CHANGELOG.md		

You can be a contributor too!

Create pull requests with documentation improvement, bug fixes, and new features: they are very welcome!

# Code (old and new) features

---

## Calculation of Maximally-Localised Wannier Functions

- Wannier localisation scheme of Marzari and Vanderbilt [[REF](#)]
- Disentanglement scheme of Souza, Marzari and Vanderbilt [[REF](#)] for entangled bands (e.g. metals, conduction states)
- Optimised algorithm for Gamma-point calculations [[REF](#)]
- Symmetry-adapted Wannier functions [[REF](#)]
- Wannier functions without the need to define initial projections (via the SCDM method) [[REF1](#), [REF2](#)]
- Projection-only Wannier functions (without disentanglement and/or Wannierisation)
- Hamiltonian and position operators represented in the real-space Wannier function basis (eg, for use in tight-binding calculations)
- Spinor Wannier functions
- Export of Wannier functions for plotting as xsf (XCrySDen), cube format, and ray-tracing using POV-Ray
- Calculation of van der Waals energies [[REF1](#)], [[REF2](#)]
- Disentanglement within selected regions of k-space

Wannier90 exploits the real-space localisation of WFs to obtain many spectral and Fermi-surface properties at high-resolution in the Brillouin zone (so-called Wannier interpolation). Many of these properties can take advantage of multicore processors and compute clusters using MPI.

# Code (old and new) features

---

## Density of States

- Band structures
- Density of states (using fixed or adaptive smearing [[REF](#)])
- Wannier projected DOS and bandstructure
- Total spin moment
- Fermi surfaces (via bxsf file)
- GW bands interpolation (via an interface to the Yambo code)

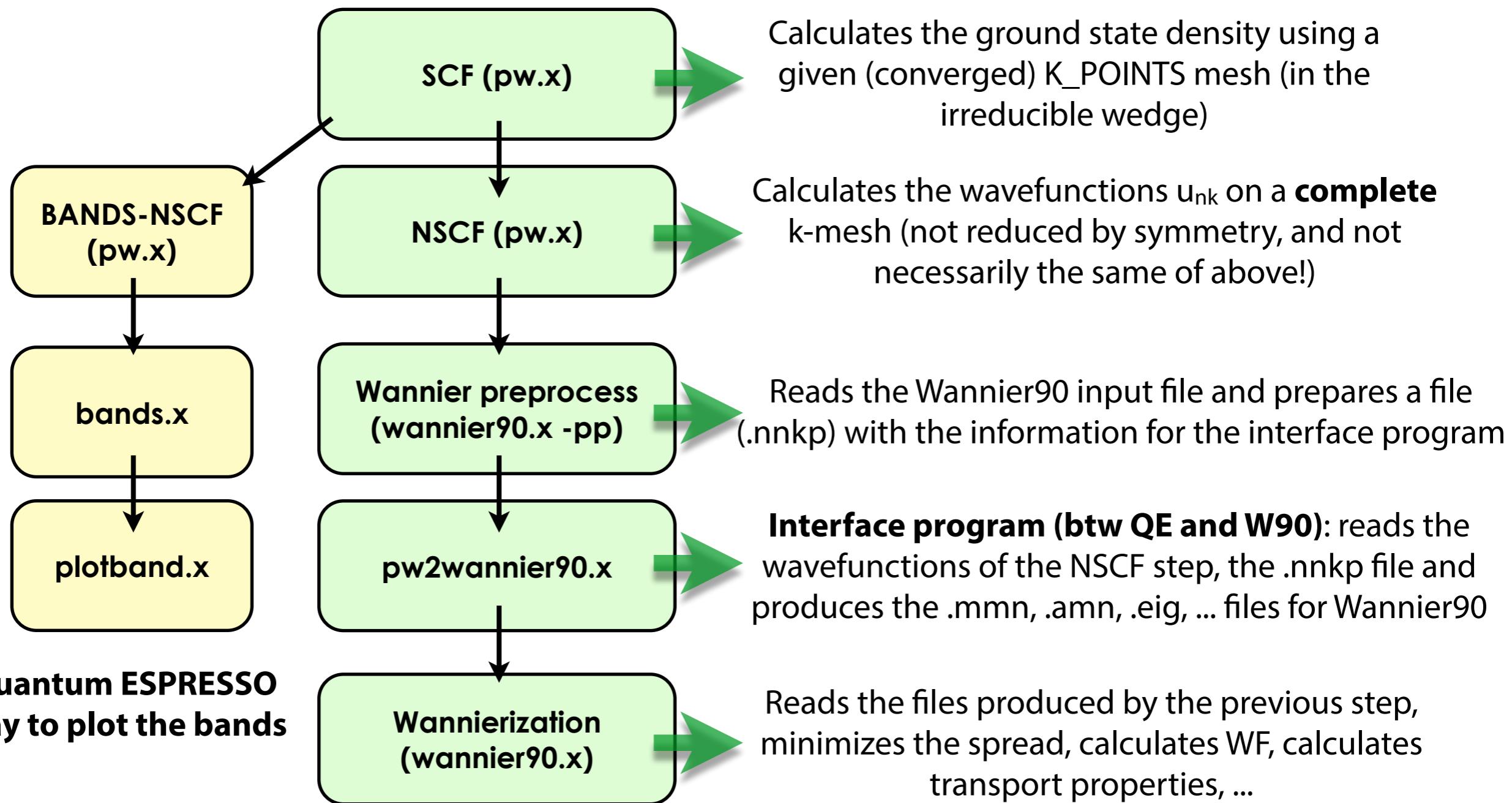
## Berry phase properties including:

- Berry curvature [[REF](#)]
- Anomalous Hall conductivity [[REF](#)]
- Orbital magnetisation [[REF](#)]
- Shift currents [[REF](#)]
- Gyrotropic effects [[REF](#)]

## Transport

- Ballistic (Landauer-Buttiker) transport [[REF1](#)], [[REF2](#)], [[REF3](#)]
- Boltzmann transport (BoltzWann) [[REF](#)]
  - Boltzmann transport equation in the relaxation time approximation
  - Electrical conductivity
  - Seebeck coefficients
  - Electronic contribution to the thermal conductivity
- Spin Hall conductivity [[REF](#)]

# How to run a Wannier90 calculation



**Note: DON'T MIX the yellow and green path!** Otherwise the content of the 'output' folder of Quantum ESPRESSO is overwritten and you will get some error.  
First follow one path (e.g. the yellow one), and when you get to the bottom box, start again from NSCF

# PART II

## Wannier90 hands-on

**Giovanni Pizzi, Junfeng Qiao**

# Tutorial exercises

- **If you never used Wannier90:**
  - *Exercise 1 and 2:* Silicon valence band and valence+conduction band; continue with 3 if you have time
- **If you have minimal experience of Wannier90:**
  - *Exercise 3:* Lead: band structure (metal), Fermi surface
- **If you are an experienced user of Wannier90 (optional, or "do at home" for new users):**
  - *Exercise 4:* Automatic choice of projections with the SCDM method, [1,2] and protocol to choose automatically all parameters [3] (for lead)
  - *Exercise 5:* Wannier functions for BaTiO<sub>3</sub>

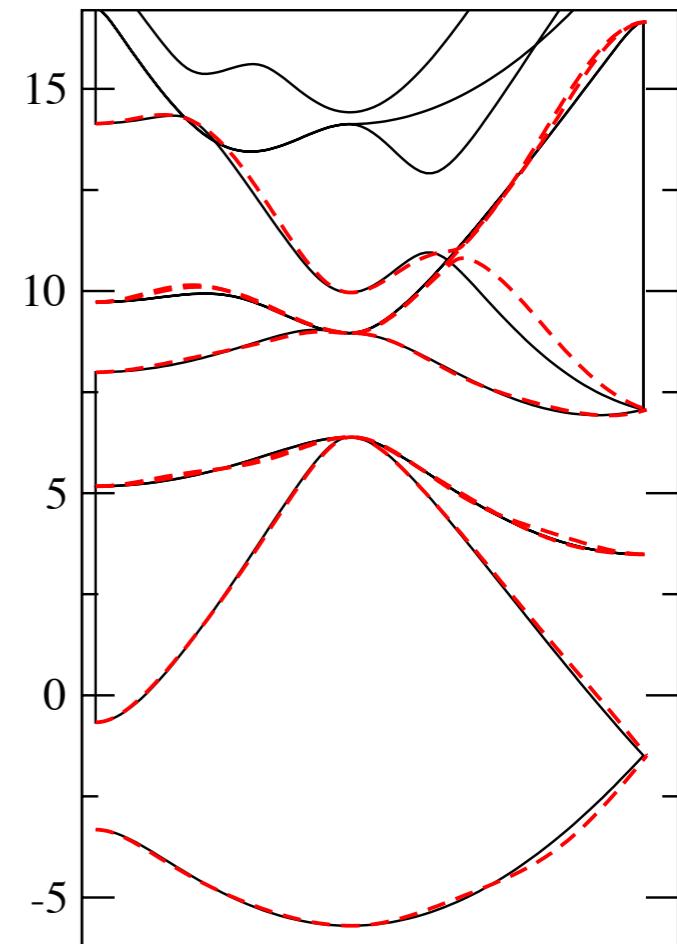
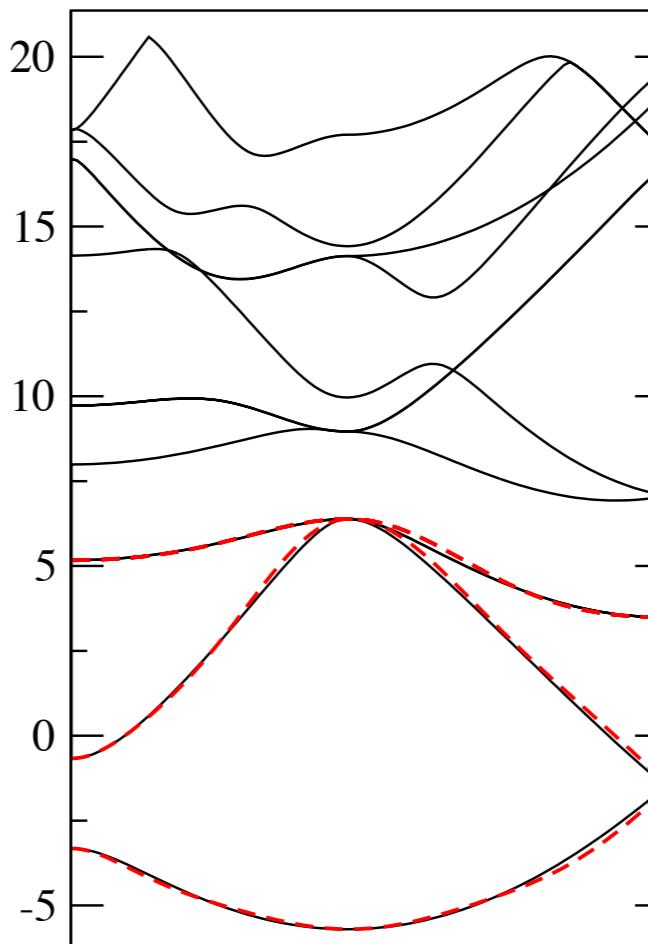
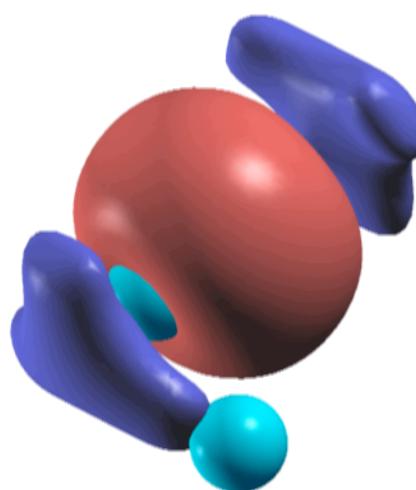
[1] Damle, A., Lin, L. & Ying, L. J. Compressed representation of Kohn–Sham orbitals via selected columns of the density matrix. *J. Chem. Theory & Comp.* 11, 1463–1469 (2015).

[2] Damle, A. & Lin, L. Disentanglement via entanglement: A unified method for Wannier localization. *Mult. Scale. Model. & Simul.* 16, 1392–1410 (2018).

[3] Vitale, V., Pizzi, G., Marrazzo, A. et al. Automated high-throughput Wannierisation. *npj Comput Mater* 6, 66 (2020). <https://doi.org/10.1038/s41524-020-0312-y>

# Exercises 1 and 2: Silicon

- Calculate Wannier functions for Silicon: valence band only (Ex. 1), and valence band+conduction band
- Check the results
- Plot the real-space WFs (using **XCrysDen** or **VESTA**)
  - You will need to run these codes on your computers
- Plot the ab-initio and the interpolated band structure (using **xmGrace** or **gnuplot**)



# The Quantum ESPRESSO input file

## NAMELISTS

```
&control
  calculation = 'scf'
  restart_mode = 'from_scratch'
  prefix       = 'si'
  pseudo_dir   = 'pseudo/'
  outdir       = 'out/'

/
&system
  ibrav      = 0
  nat        = 2
  ntyp       = 1
  ecutwfc    = 25.0
  ecutrho    = 200.0

/
&electrons
  conv_thr  = 1.0d-10

/
ATOMIC_SPECIES
Si 28. Si.pbe-n-van.UPF
ATOMIC_POSITIONS crystal
Si -0.25 0.75 -0.25
Si 0.00 0.00 0.00
K_POINTS automatic
10 10 10 0 0 0
CELL_PARAMETERS bohr
-5.1 0.0 5.1
0.0 5.1 5.1
-5.1 5.1 0.0
```

Type of calculation, location of pseudopotentials and of output files, ...

System description (number of atoms and of species, energy cutoffs, ...)

Thresholds for charge-density calculations

Definition of species ("atom types"), with mass and pseudopotential files

Definition of atomic positions

Definition of k-points grid (10x10x10 here, no shift = including Gamma)

Definition of the cell (FCC here)

# The Quantum ESPRESSO input generator

<https://www.materialscloud.org/work/tools/qeinputgenerator>

Quantum ESPRESSO input generator and structure visualizer

- ▶ About the Quantum ESPRESSO input generator and structure visualizer
- ▶ Instructions
- ▶ Acknowledgements

**Upload your structure**

Upload a crystal structure:

Select here the file format:

Select here the pseudopotential library:

Select here the magnetism/smearing:[\[?\]](#)

Select here the k-points distance (1/Å)[\[?\]](#)  
(and smearing (eV) in case of fractional occupations):

Refine cell (using spglib):

Choose File no file selected

Quantum ESPRESSO input [parser: qetools] [\[?\]](#)

SSSP Efficiency PBEsol (version 1.1) [\[?\]](#)

non-magnetic metal (fractional occupations) [\[?\]](#)

fine (0.20 1/Å, 0.2 eV) [\[?\]](#)

No [\[?\]](#)

By continuing, you agree with the [terms of use](#) of this service.

Generate the PWscf input file

# The Quantum ESPRESSO input generator

<https://www.materialscloud.org/work/tools/qeinputgenerator>

If you use the results of this tool in a publication, please cite the following works:

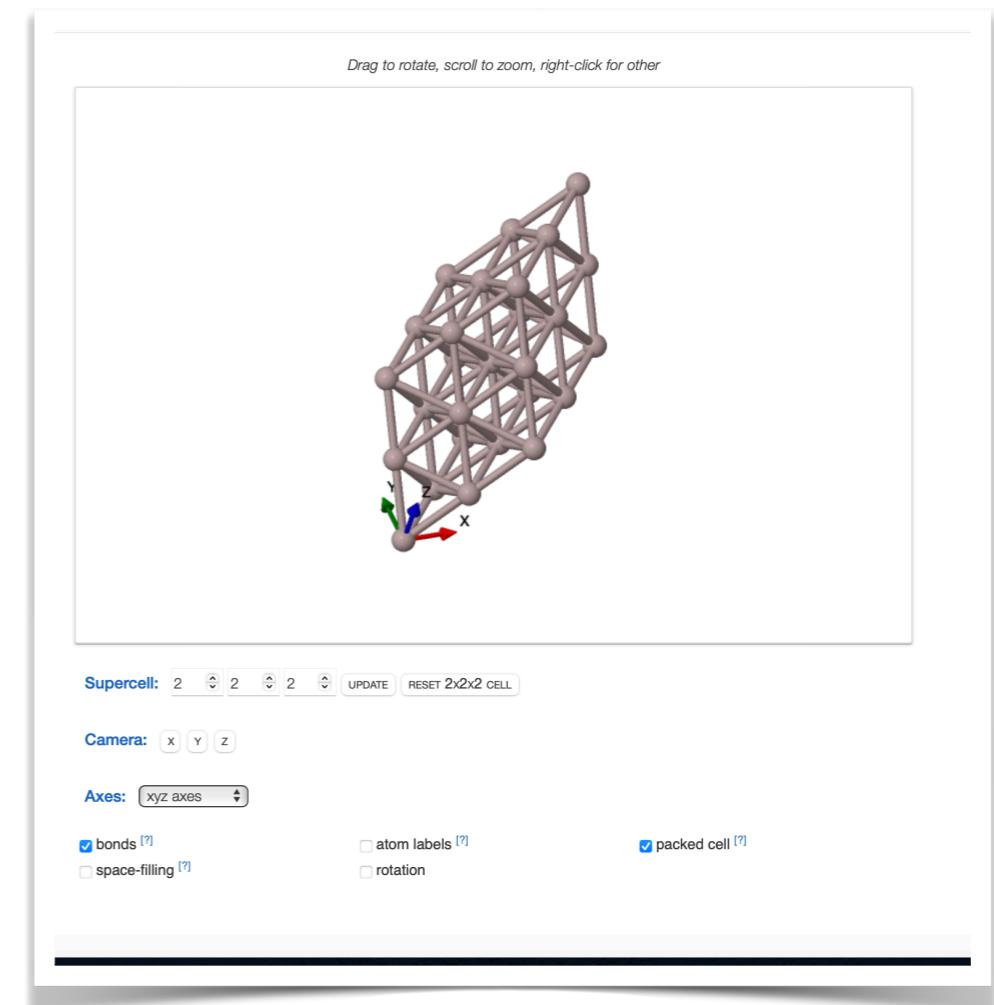
- SSSP (for the pseudopotential library)  
G. Prandini, A. Marrazzo, I. E. Castelli, N. Mounet and N. Marzari, *npj Computational Materials* **4**, 72 (2018).  
WEB: <http://materialscloud.org/sssp>.
- Pseudopotentials:
  - Al.pbesol-n-kjpaw\_psl.1.0.0.UPF,  
from Pslibrary 1.0.0: A. Dal Corso, *Comput. Mater. Sci.* **95**, 337 (2014).  
DOI: [10.1016/j.commatsci.2014.07.043](https://doi.org/10.1016/j.commatsci.2014.07.043), WEB: <http://www.quantum-espresso.org/pseudopotentials>, LICENSE: GNU General Public License (version 2 or later).

[Download zip of input file and pseudopotentials](#) [Change parameters](#) [Choose a different structure](#)

Quantum ESPRESSO PWscf input

[Copy to clipboard](#)

```
&CONTROL
calculation = 'scf'
etot_conv_thr =  1.0000000000d-05
forc_conv_thr =  1.0000000000d-04
outdir = './out/'
prefix = 'aiida'
pseudo_dir = './pseudo/'
tprnfor = .true.
tstress = .true.
verbosity = 'high'
/
&SYSTEM
degauss =  1.4699723600d-02
ecutrho =  2.4000000000d+02
ecutwfc =  3.0000000000d+01
ibrav = 0
nat = 1
nosym = .false.
ntyp = 1
occupations = 'smearing'
smearing = 'cold'
/
&ELECTRONS
conv_thr =  2.0000000000d-10
electron_maxstep = 80
mixing_beta =  4.0000000000d-01
/
ATOMIC_SPECIES
Al    26.981538 Al.pbesol-n-kjpaw_psl.1.0.0.UPF
ATOMIC_POSITIONS crystal
Al      0.0000000000      0.0000000000      0.0000000000
K_POINTS automatic
14 14 14 0 0 0
CELL_PARAMETERS angstrom
  2.0200000000      2.0200000000      0.0000000000
  2.0200000000      0.0000000000      2.0200000000
  0.0000000000      2.0200000000      2.0200000000
```



- Return optimal parameters and pseudopotentials from SSSP [1,2]
- Copy-paste and download options
- Also works as a structure visualiser!

[1] <https://www.materialscloud.org/sssp>

[2] G. Prandini *et al.*, *npj Comp. Mat.* **4**, 72 (2018)

# How to run and input file

- The Wannier90 input file must have a .win extension (e.g.: **ex1.win**)
- To run the code, **pass the *basename*** (i.e., the name without the .win extension) **as a command line parameter** to wannier90.x:  
**wannier90.x -pp ex1 (for the pre-process step)**  
**wannier90.x ex1 (for the Wannierization step)**
- Input file format: very simple, there are *no* namelists but only:
  - **Variables** (order is not important; not case sensitive)  
**num\_wann = 4**  
**mp\_grid : 6 6 6**
  - **Blocks**  
**begin atoms\_frac**  
**Si -0.25 0.75 -0.25**  
**Si 0.00 0.00 0.00**  
**end atoms\_frac**
- **Default units:** lengths are **angstrom** (bohr are also accepted), energies are **eV**

# Example of input file (ex1)

```
num_bands      = XXX
num_wann       = XXX
num_iter       = 100

! restart       = plot
wannier_plot   = true
wannier_plot_supercell = 3

bands_plot     = true
begin kpoint_path
L 0.5 0.5 0.5 G 0.0 0.0 0.0
G 0.0 0.0 0.0 X 0.5 0.0 0.5
end kpoint_path

begin projections
f=-0.125,-0.125,0.375:s
f= 0.375,-0.125,-0.125:s
f=-0.125,0.375,-0.125:s
f=-0.125,-0.125,-0.125:s
end projections
```

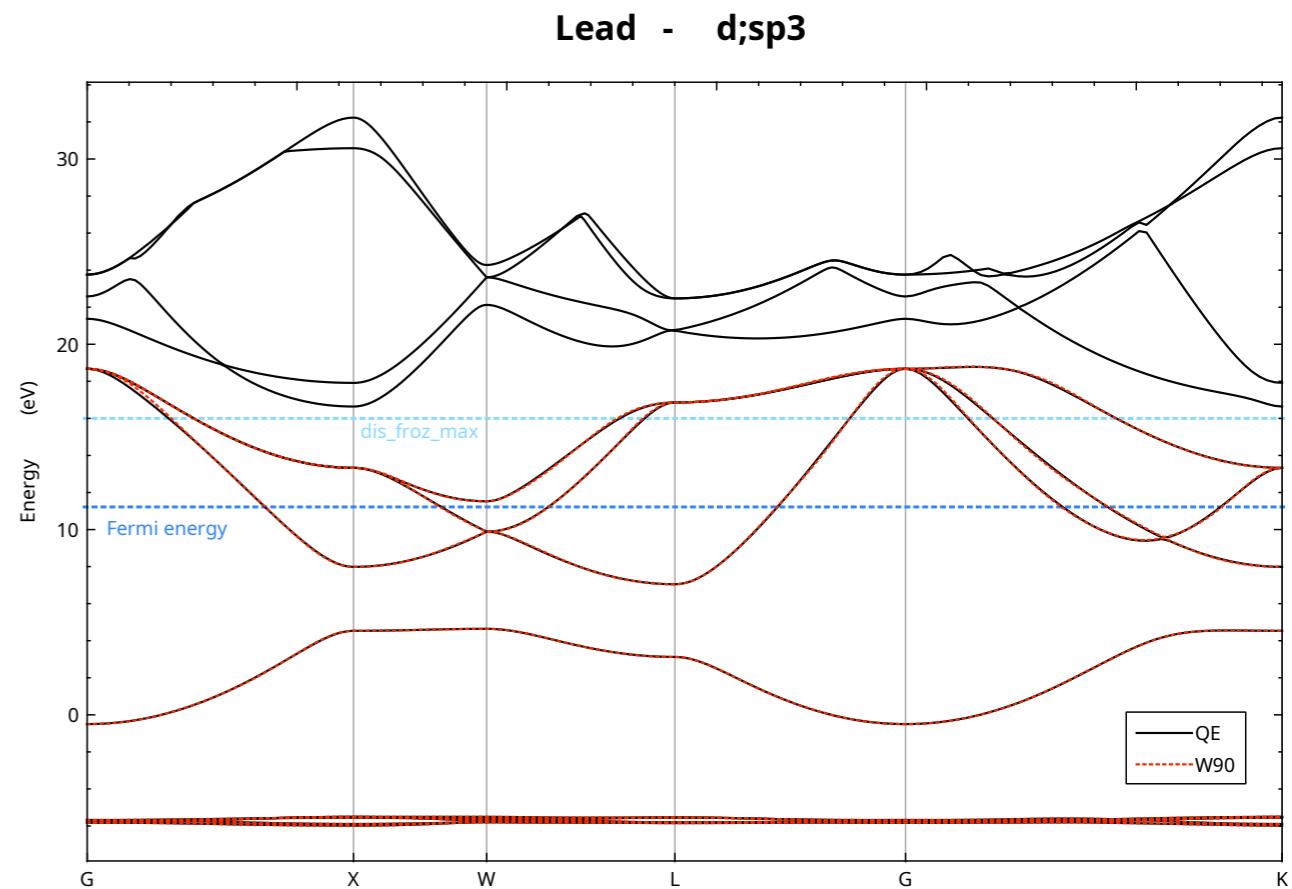
```
mp_grid = XXX XXX XXX
begin kpoints
XXX
XXX
XXX
end kpoints

begin atoms_frac
Si -0.25 0.75 -0.25
Si 0.00 0.00 0.00
end atoms_frac

begin unit_cell_cart
bohr
-5.10 0.00 5.10
0.00 5.10 5.10
-5.10 5.10 0.00
end unit_cell_cart
```

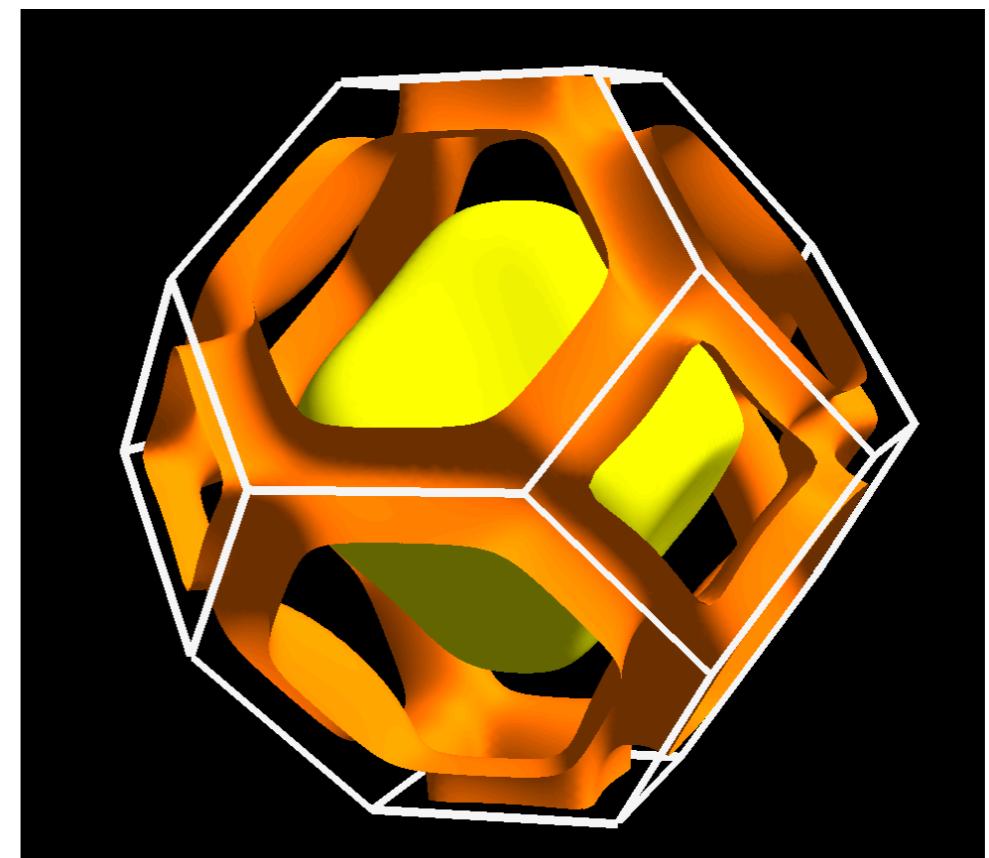
# Exercise 3: band structure and Fermi surface of lead

- Interpolate the band structure of lead



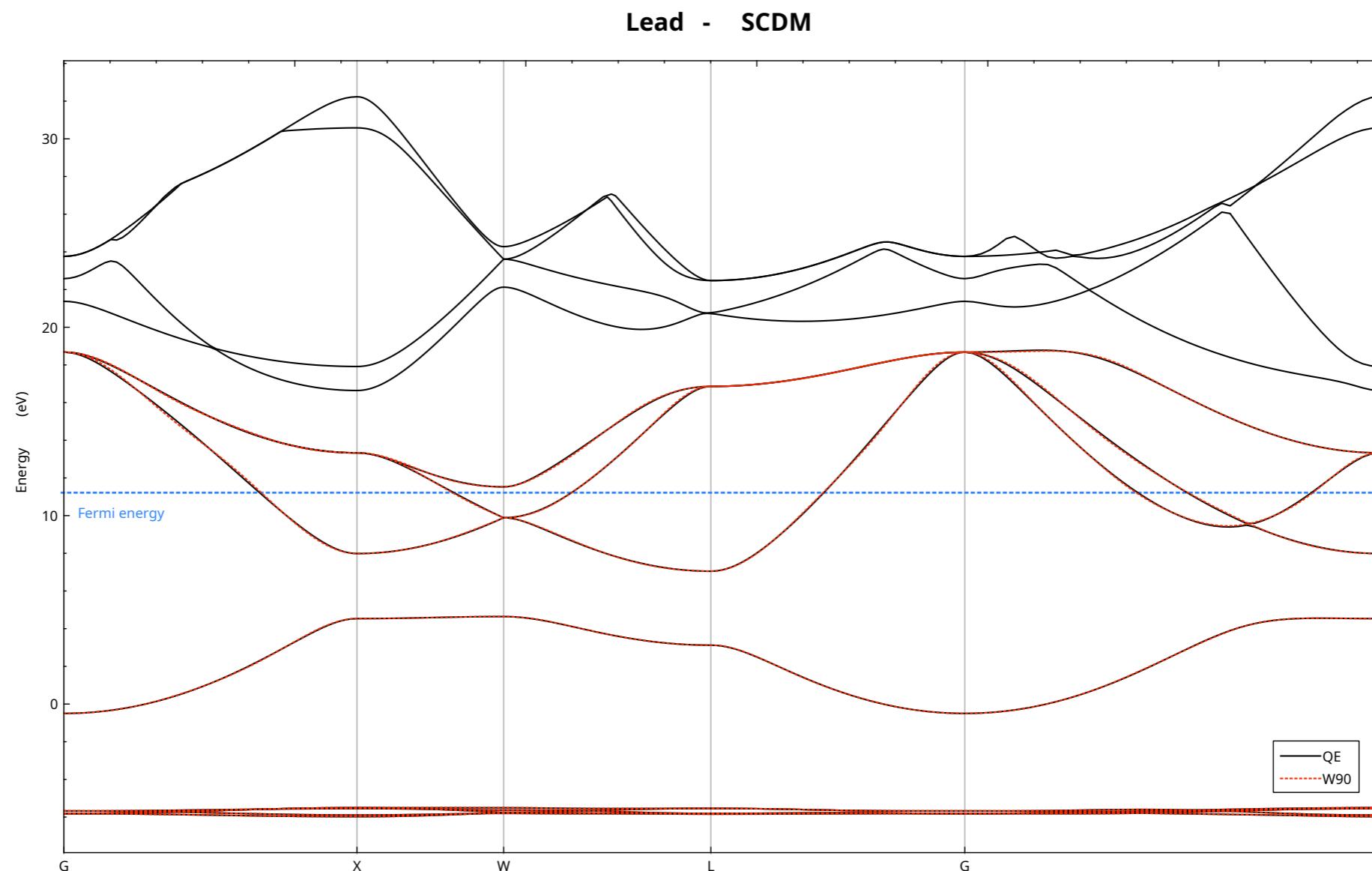
- Show the Fermi surface of lead

- Requires a very dense grid of points in the BZ!  
 $\sim 50^3 = 125000$
- Wannier interpolation essential to compute it efficiently



# Exercise 4: automatic projections with SCDM

- Obtain the Wannier functions and the band structure of lead **without having to explicitly specify the projections!**



# Hunting for projections

- Usually, code **needs user to specify initial projections** (guesses for the minimisation procedure)
- This needs a lot of chemical understanding and experience. Biggest challenges for new users, and very hard to automate
- Recently: **SCDM** method (selected columns of the density matrix) [1,2] proposed, aiming at automatically finding Wannier functions

Some recent emails from the Wannier90 mailing list:

Dear Experts,  
How can I define the correct projection of particular material? [...]

Dear Sir,  
I need to know the correct projection of Graphene for a converged wannier calculation. [...]

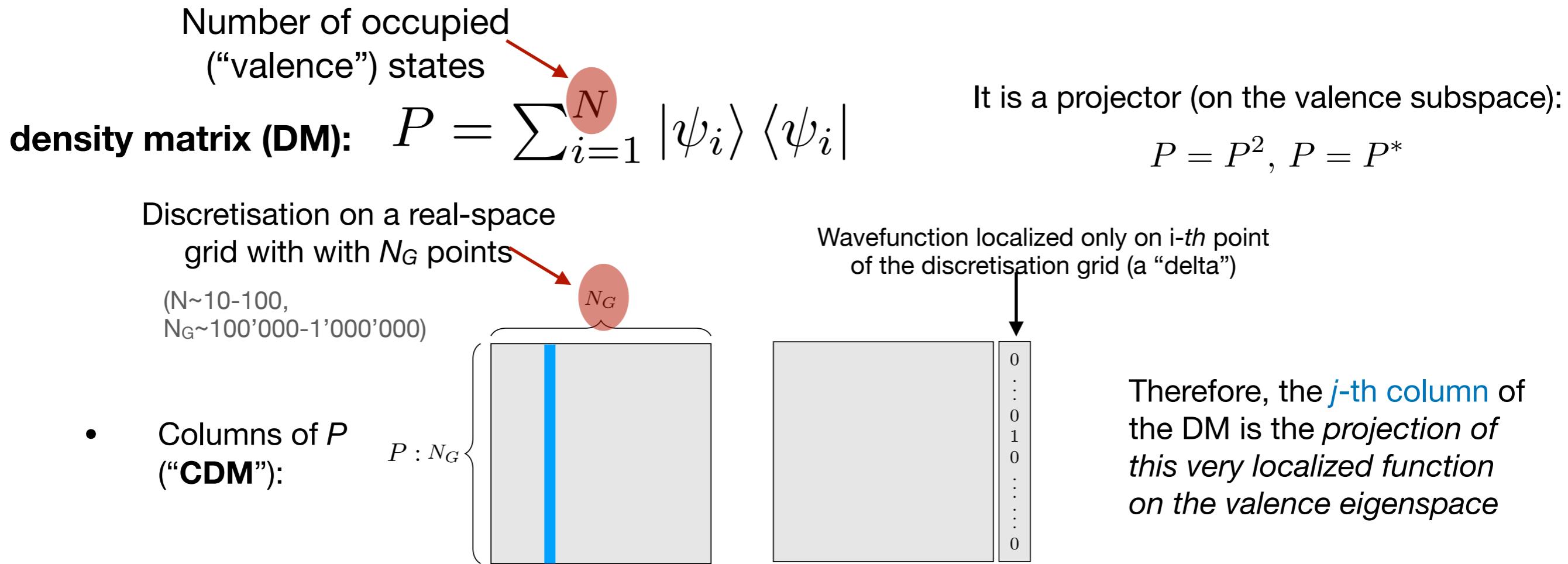
Dear Wannier Community,  
[...]

My question is how do I define three projections for the half-filled p states of the two As atoms?

[1] Damle, A., Lin, L. & Ying, L. Compressed representation of Kohn–Sham orbitals via selected columns of the density matrix. *Journal of Chemical Theory and Computation* 11, 1463–1469 (2015).

[2] Damle, A. & Lin, L. Disentanglement via entanglement: A unified method for Wannier localization. *Multiscale Modeling & Simulation* 16, 1392–1410 (2018).

# Overview of the SCDM method



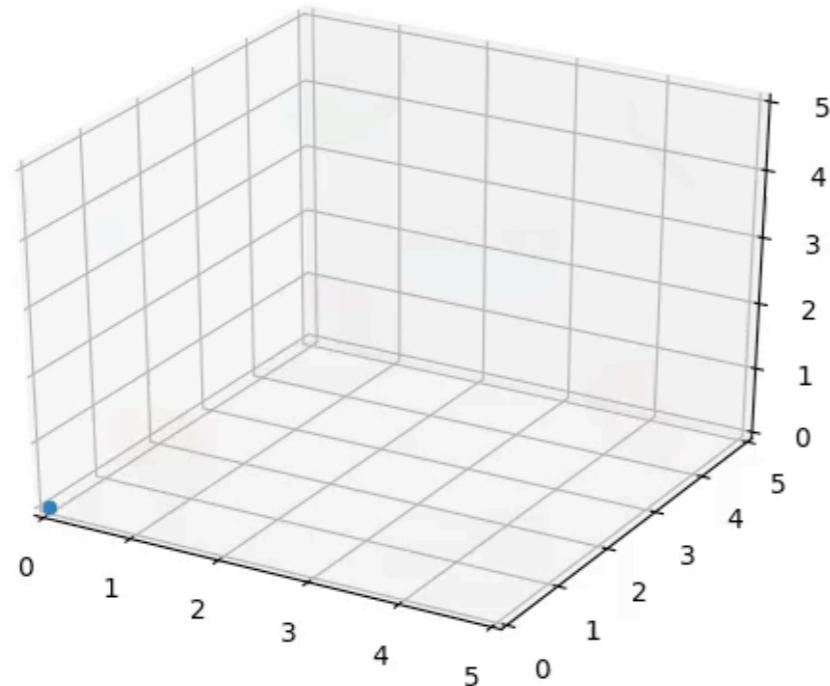
These projections (the **columns**) are **localized!** (but are not orthogonal)

## Reason: “nearsightedness” of the density matrix

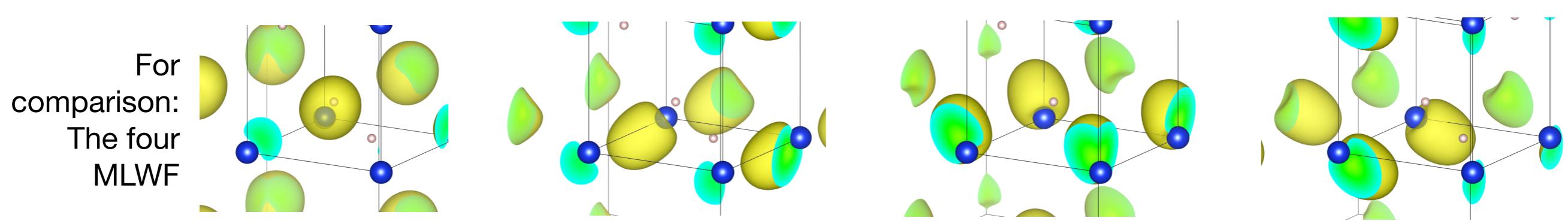
- J. Des Cloizeaux, Phys. Rev. 135, A685 (1964)
- E. Prodan and W. Kohn, Nearsightedness of electronic matter, PNAS 102, 11635 (2005).
- M. Benzi, P. Boito, and N. Razouk. Decay properties of spectral projectors with applications to electronic structure. SIAM Rev., 55, 3 (2013).

# SCDM - columns of the density matrix

*Silane,  $\Gamma$ -only*



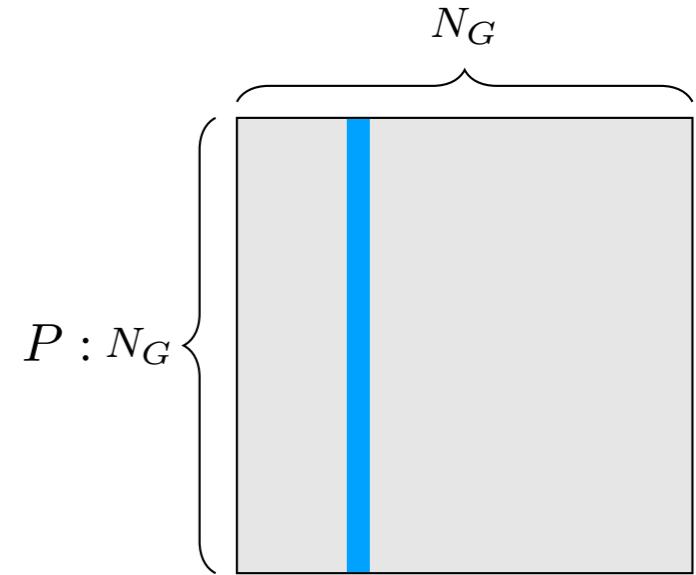
- *Isosurface* of square modulus at 1/10 of the maximum
- *Transparency* to indicate the norm (transparent: zero norm; opaque: max norm)
- *Dot*: real-space position associated with the DM column



# SCDM - using $N$ CDMs to span the valence subspace

**1. Columns are localised**

**2. Therefore: any  $N$  linearly-independent columns yield a *localized* basis** for the span of valence states (because  $P$  is a projector on the valence subspace)



**However:** if I pick  $N$  random columns (that are NOT orthogonal), I might get “very overlapping” (almost linearly-dependent) columns.

**How can we select the “most representative” columns?**  
(intuitively: *the ones with less overlap*)

# SCDM - using $N$ CDMs to span the valence subspace

**Main idea: use the QRCP algorithm (QR decomposition with column-pivoting)**

(implemented in LAPACK: ZGEQP3)

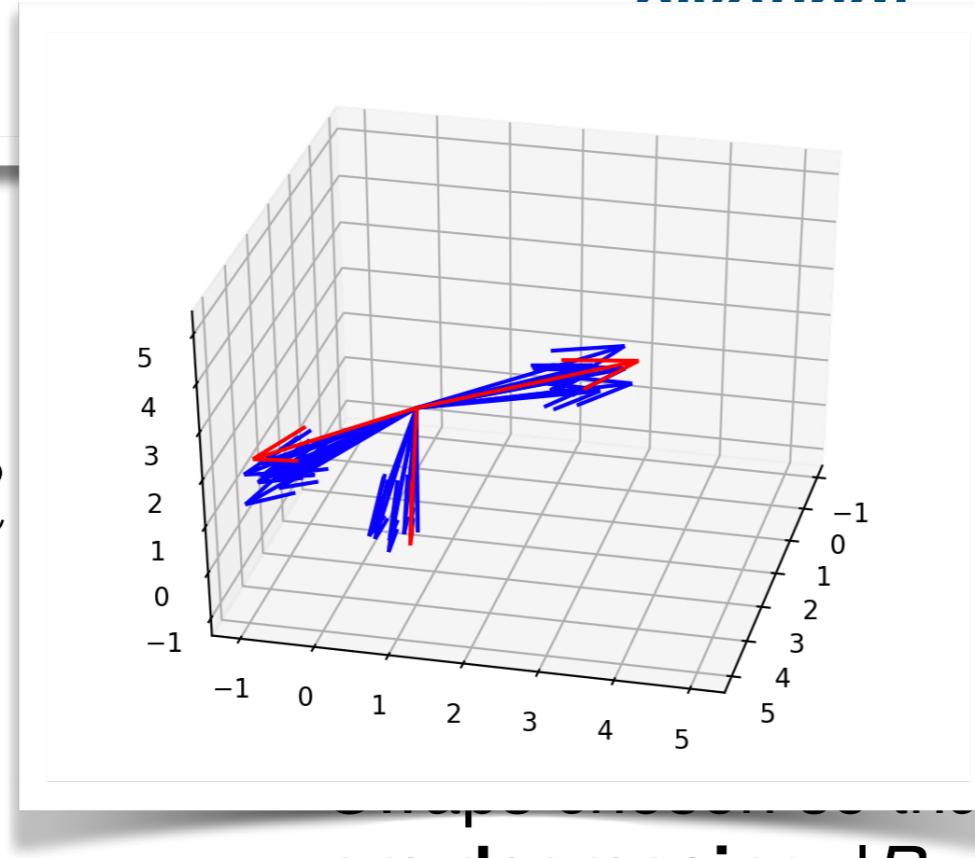
$$P\Pi = QR$$

- $P$ : density matrix (*input*)
- $\Pi$ : permutation matrix (**swaps columns**)
- $Q$ : orthogonal/unitary matrix (**columns are orthogonal**:  $Q^*Q=I$ )
- $R$ : **upper-triangular matrix**
- Swaps chosen so that **diagonal elements of  $R$  are decreasing**:  $|R_{11}| > |R_{22}| > \dots$

# SCDM - using $N$ CDMs to span the valence subspace

**Main idea: use the QRCP algorithm (QR decomposition with column-pivoting)**

$$P\Pi = QR$$

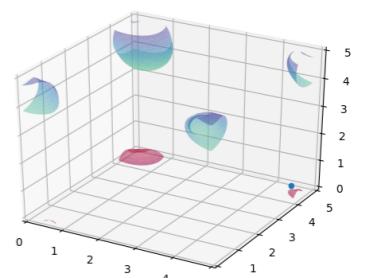
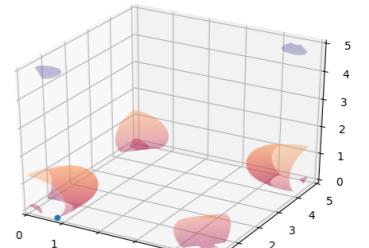
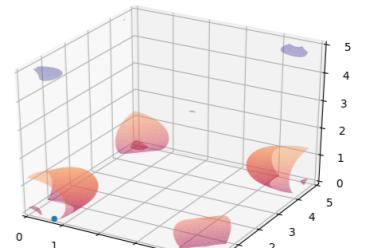


are decreasing:  $|R_{11}| > |R_{22}| > \dots$

ZGEQP3)

(swaps column matrix (column matrix

diagonal ele



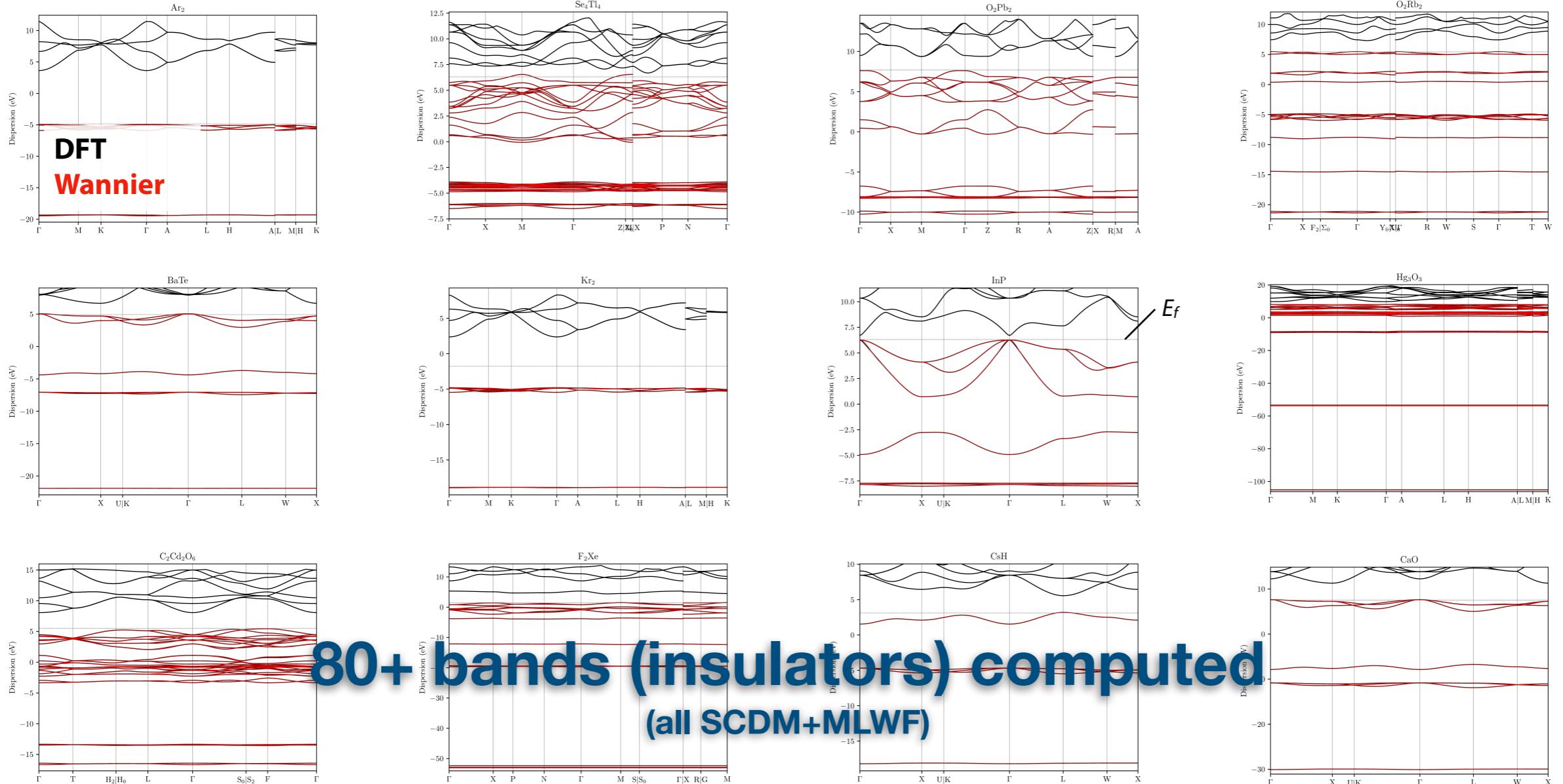
**The rule for swaps finds the most representative (“most orthogonal”) columns: SCDM**

A final orthonormalisation (Löwdin) completes the algorithm

The method can be extended to the case of k-points

# SCDM - results for insulators

## Results from our high-throughput validation using AiiDA



Vitale, V., Pizzi, G., et al. Automated high-throughput Wannierisation,  
**npj Comput Mater** 6, 66 (2020)

# SCDM - entangled bands

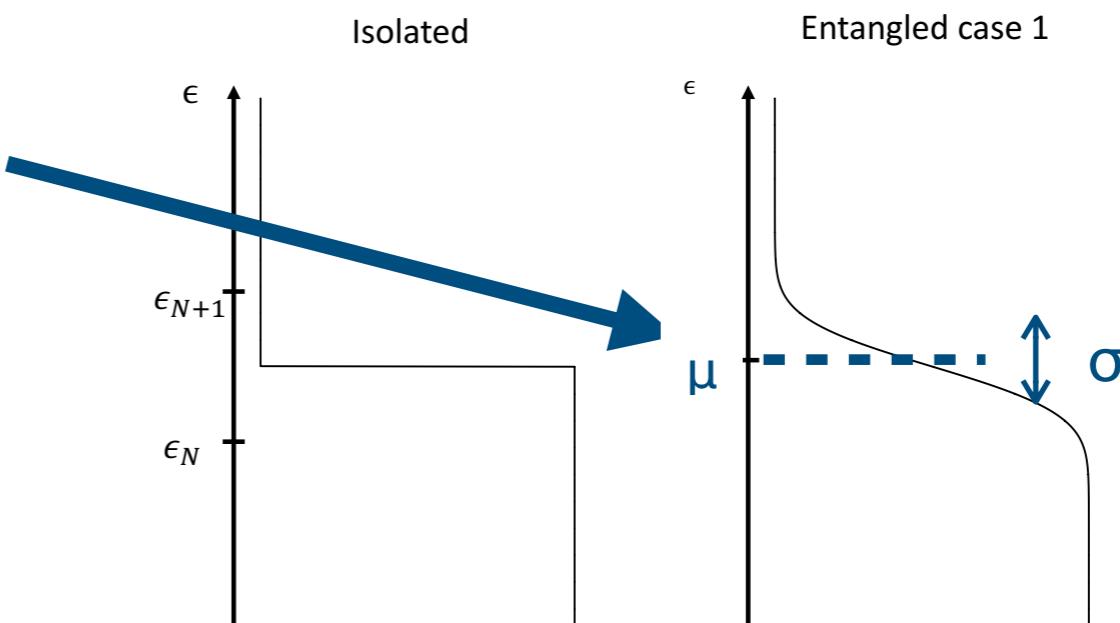
- We consider (formally) all eigenstates, and give a weight in the *quasi-density-matrix P*

$$P = \sum_i |\psi_i\rangle f(\varepsilon_i)\langle\psi_i| = f(H)$$

- $f$ : smooth function of energy, selecting relevant states. If  $f$  is smooth:  $P(\mathbf{r}, \mathbf{r}')$  decays rapidly [2]
- We select the most  $N_w$  representative columns; procedure is analogous to isolated case

$$f(\varepsilon) = \frac{1}{2} \operatorname{erfc} \left( \frac{\varepsilon - \mu}{\sigma} \right)$$

Arbitrary parameters to choose:  $\mu$  and  $\sigma$   
(and  $N$ , the number of Wannier functions)

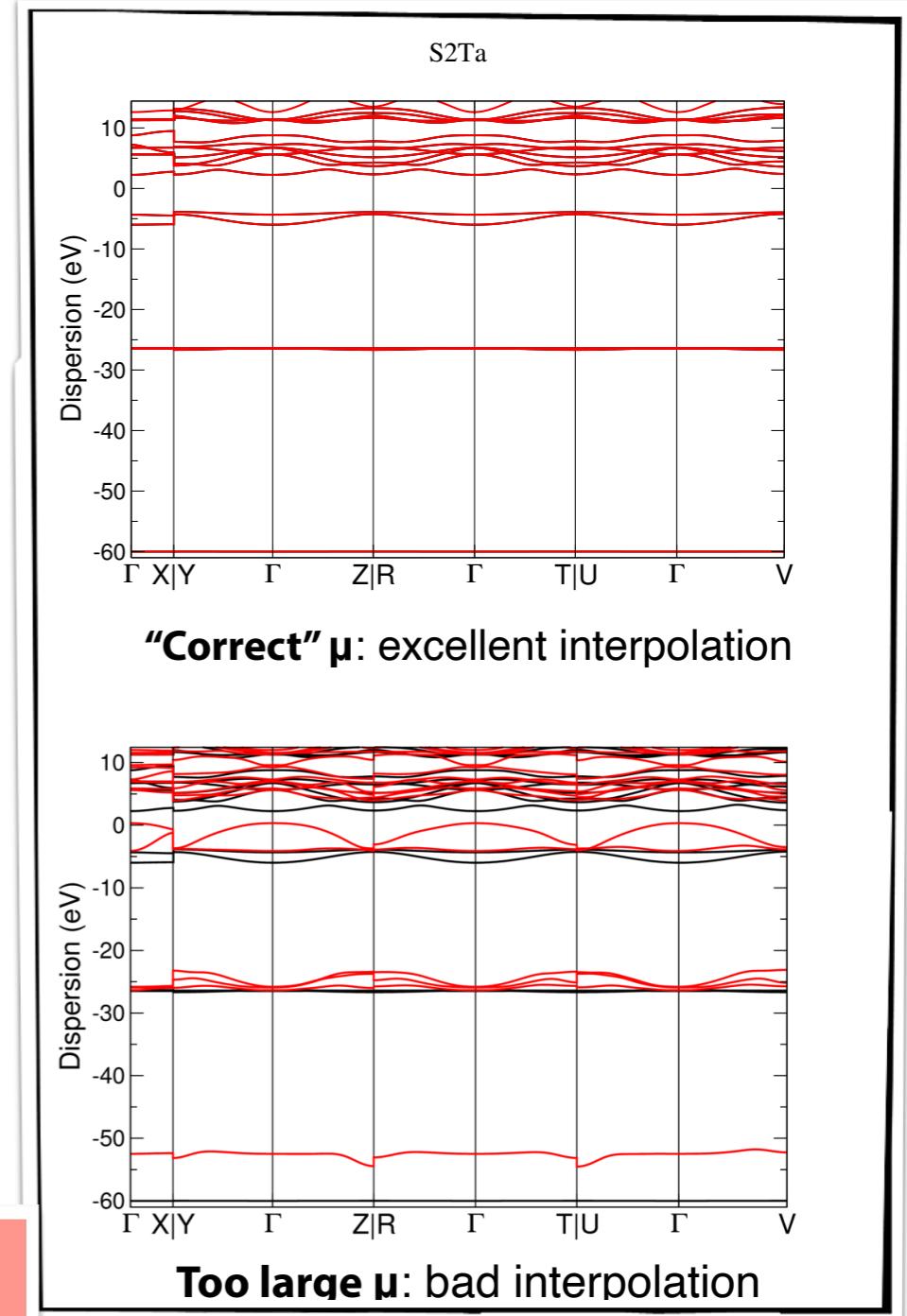


[2] A. Damle, L. Lin, Disentanglement via entanglement: A unified method for Wannier localization, arXiv:1703.06958 (2017)

# SCDM - choice of parameters for entangled bands

- The SCDM method does not suggest how to choose the  $\mu$  and  $\sigma$  parameters (and neither the number  $N$  of Wannier functions)
- The choice cannot be arbitrary: “bad” values generate bad interpolations
- **$\mu$  too small:** not enough information on high-energy bands: QRCP will pick top states randomly
- **$\mu$  too large:** high-energy states (that we are not interested into) might have a large weight and QRCP might prefer to select them: interpolation tends to have higher energy than the actual bands

**How to choose these parameters  
(automatically)?**



# Important ingredient: projectability

$$p(|\psi_{n,\mathbf{k}}\rangle) = \sum_i |\langle o_i | \psi_{n,\mathbf{k}} \rangle|^2$$

atomic orbitals  $o_i$  described in the pseudopotential

- For each band  $(n,k)$ , it is **the projection** of that state on *all the pseudo-atomic orbitals described in the pseudopotential file*
- Easy to obtain from Quantum ESPRESSO's *projwfc.x*

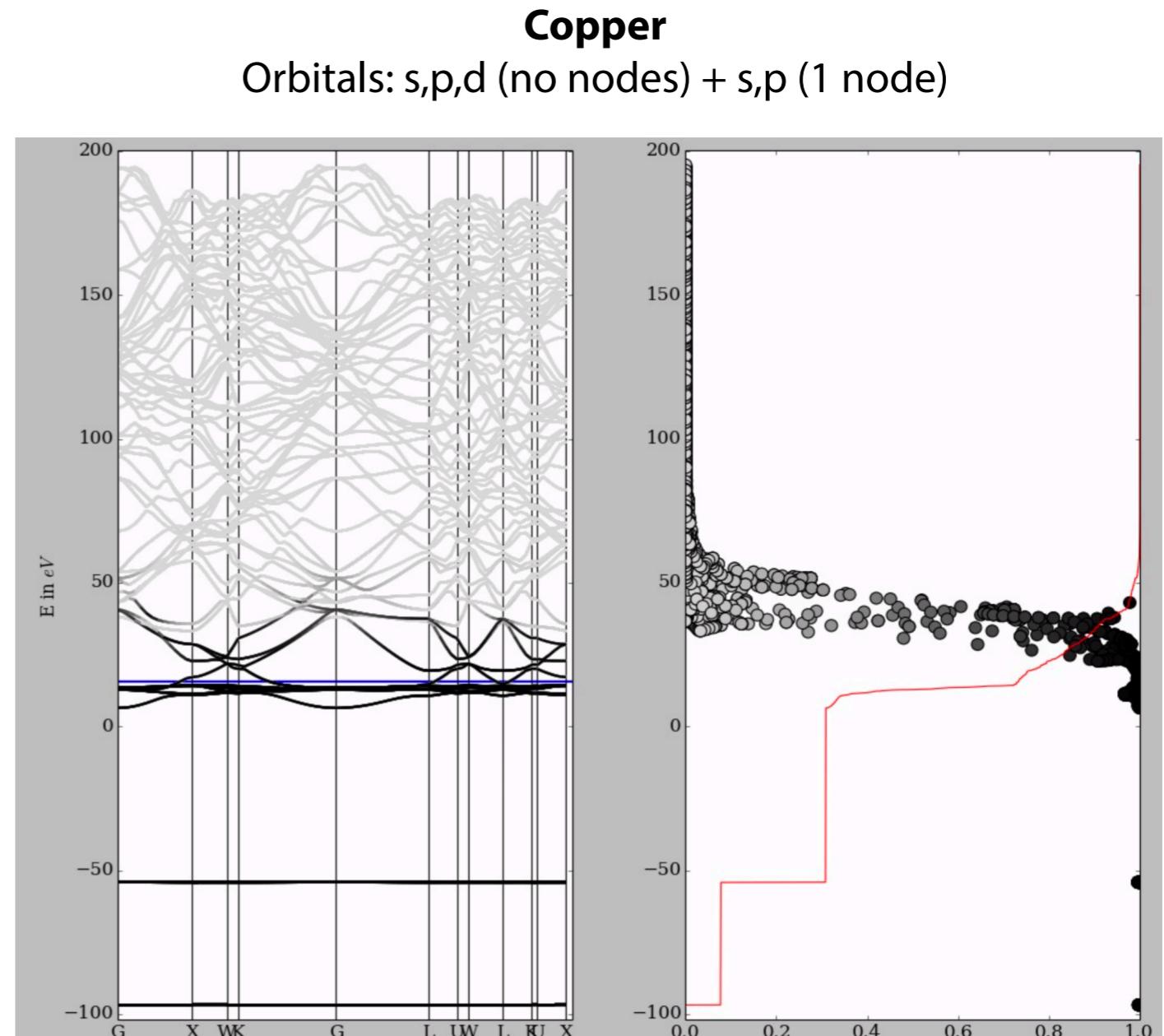


Image: courtesy of  
Daniel Marchand,  
EPFL

$$p(|\psi_{n,\mathbf{k}}\rangle) = \sum_i |\langle o_i | \psi_{n,\mathbf{k}} \rangle|^2$$

# Can we automate the choice of N, $\mu$ and $\sigma$ ?

- We aim at getting a good band interpolation for the low-lying bands
- 1: choose **N as the number of atomic orbitals for which we have information in the pseudopotential file** (see also Agapito *et al.*, PRB 88, 165127 (2013))
- 2: compute the “projectability” of each state as the projection of each state on the subspace of the atomic orbitals  $o_i$  described in the pseudopotential:

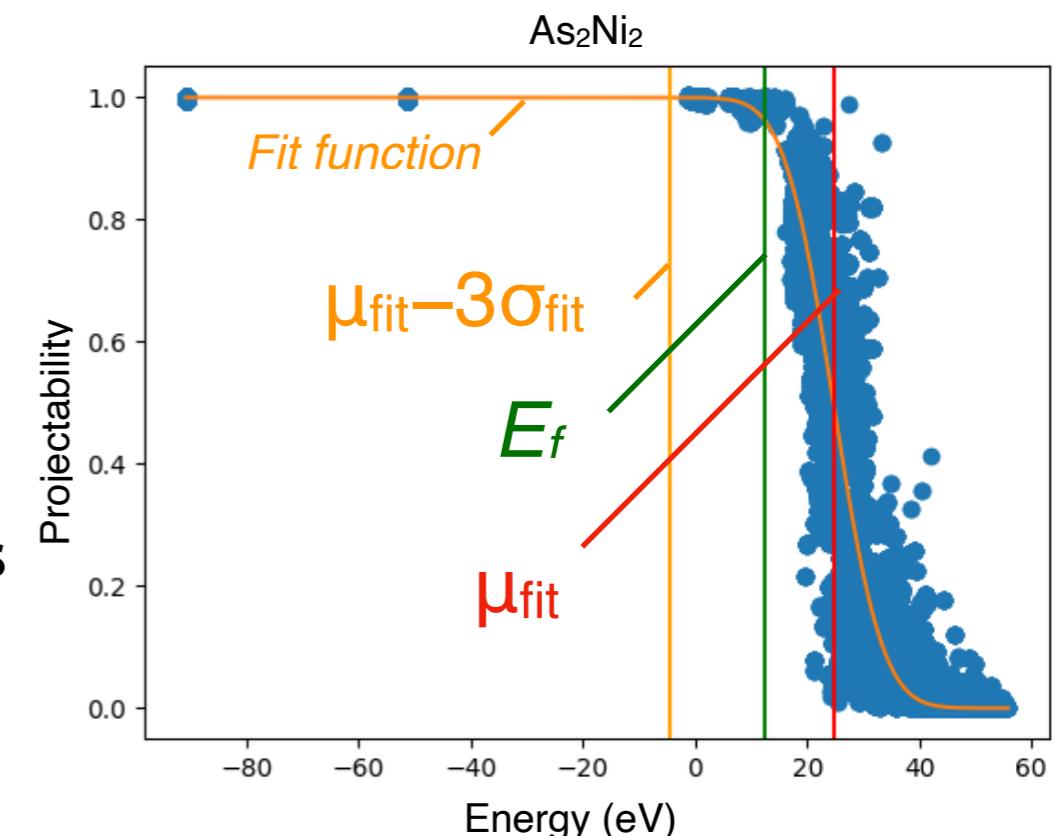
$$p(|\psi_{n,\mathbf{k}}\rangle) = \sum_i |\langle o_i | \psi_{n,\mathbf{k}} \rangle|^2$$

- 3: Fit the plot of the projectability vs. energy with

$$f(\varepsilon) = \frac{1}{2} \operatorname{erfc} \left( \frac{\varepsilon - \mu_{\text{fit}}}{\sigma_{\text{fit}}} \right)$$

- **4: choose the parameters  $\mu$  and  $\sigma$  as follows**

$$\mu = \mu_{\text{fit}} - 3\sigma_{\text{fit}}; \quad \sigma = \sigma_{\text{fit}}$$



# Can we automate the choice of N, $\mu$ and $\sigma$ ?

- We aim at getting a good band interpolation for the low-lying bands
- 1: choose **N as the number of atomic orbitals for which we have information in the pseudopotential file** (see also Agapito *et al.*, PRB 88, 165127 (2013))
- 2: compute the “projectability” of each state as the projection of each state on the subspace of the atomic orbitals  $o_i$  described in the pseudopotential:

$$p(|\psi_{n,\mathbf{k}}\rangle) = \sum_i |\langle o_i | \psi_{n,\mathbf{k}} \rangle|^2$$

$\mu$ : when projectability  $\leq 0.9$ , weight  $\leq 10^{-3}$

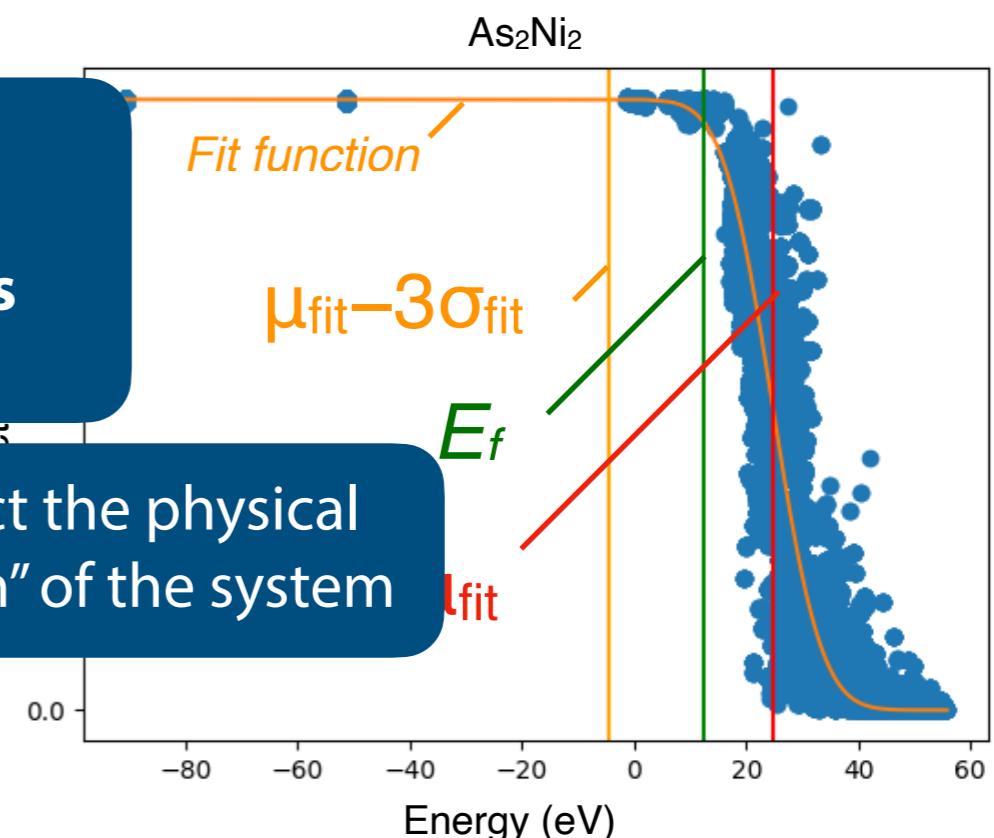
need to exponentially **suppress high-energy states**  
to affect SCDM choice

- **4: choose the parameters  $\mu$  and  $\sigma$**

$$\mu = \mu_{\text{fit}} - 3\sigma_{\text{fit}};$$

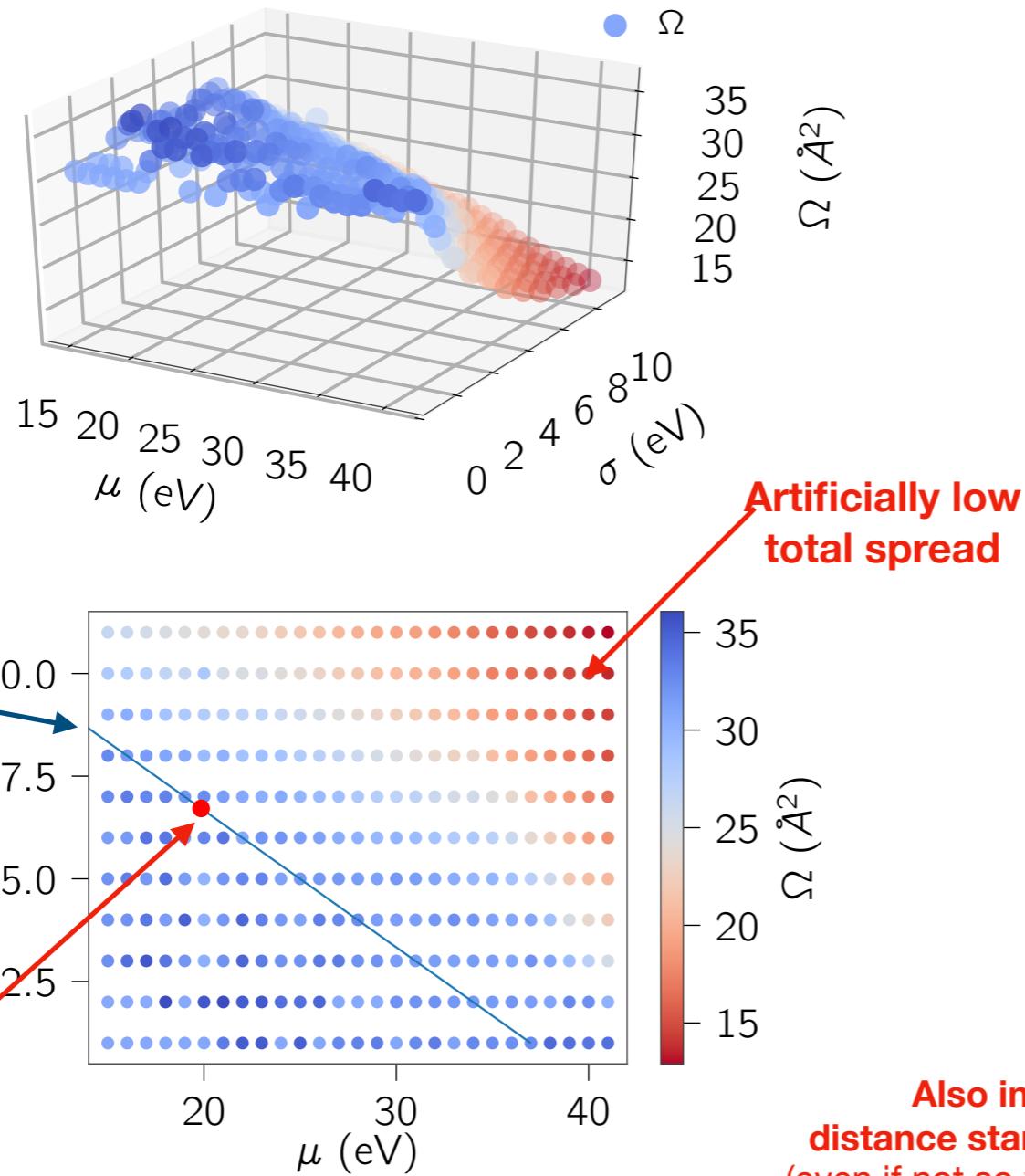
$$\sigma = \sigma_{\text{fit}}$$

$\sigma$ : we select the physical  
“band width” of the system

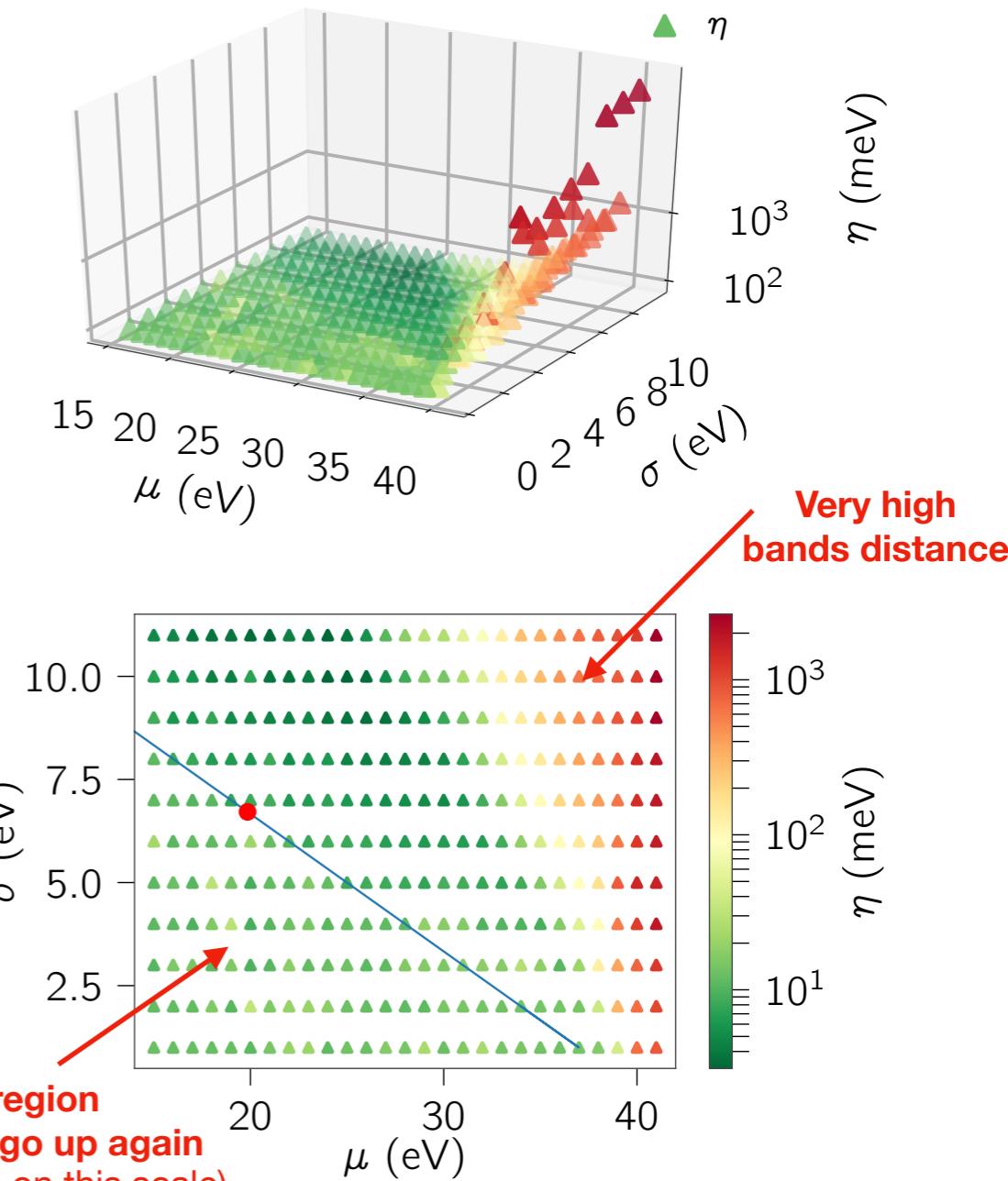


# Parameter choice validation: tungsten (W)

**Spread**  
as a function of  $\mu$  and  $\sigma$

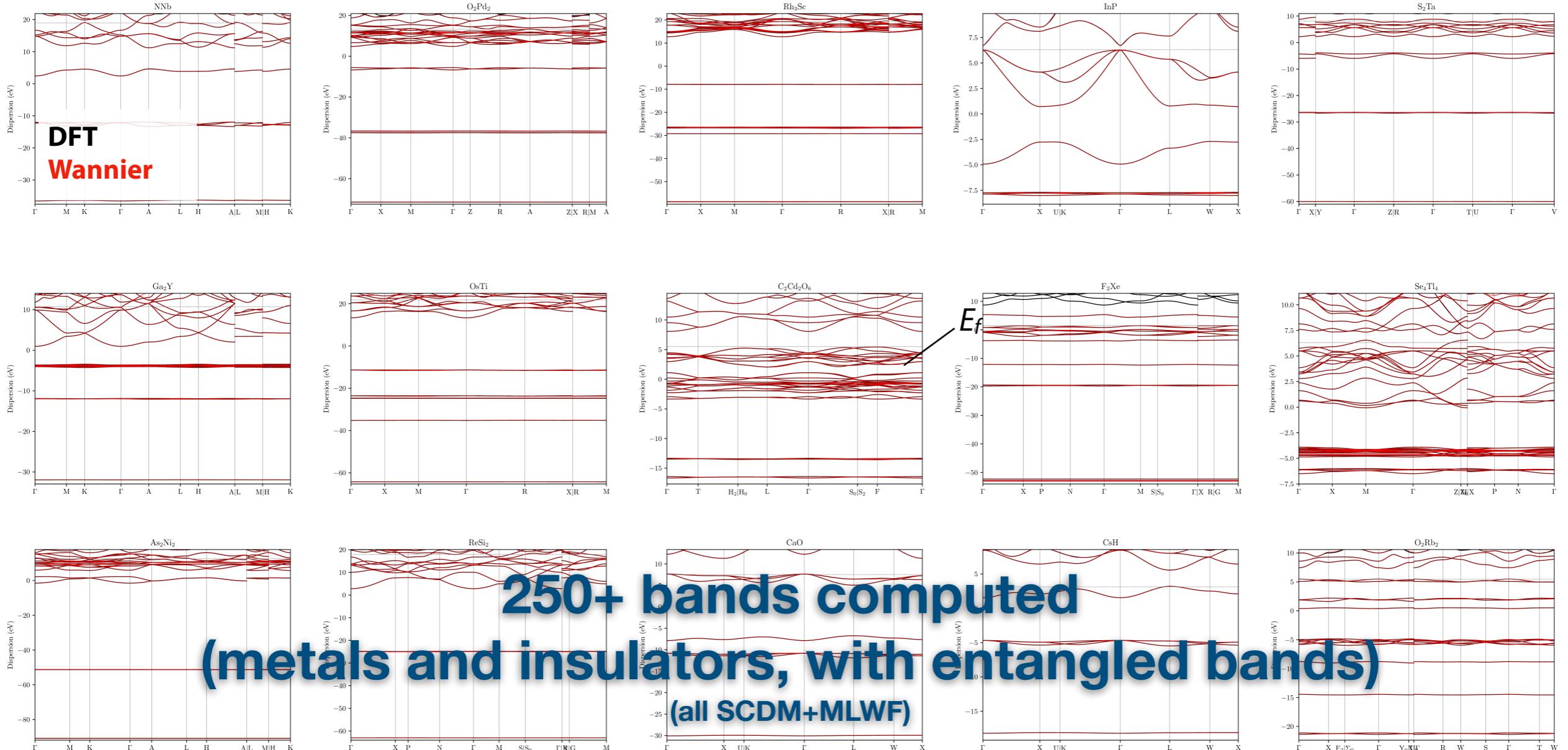


**Bands distance**  
as a function of  $\mu$  and  $\sigma$



# SCDM - results for entangled bands

## Results from our high-throughput validation using AiiDA



Vitale, V., Pizzi, G., et al. Automated high-throughput Wannierisation,  
npj Comput Mater 6, 66 (2020)

# SCDM - results for entangled bands

- To assess quality of Wannierisation and interpolation:  
we define a **bands distance**  
(between DFT bands and interpolated bands)

$$\eta = \sqrt{\sum_{n\mathbf{k}} (\varepsilon_{n\mathbf{k}}^{\text{DFT}} - \varepsilon_{n\mathbf{k}}^{\text{Wan}})^2},$$

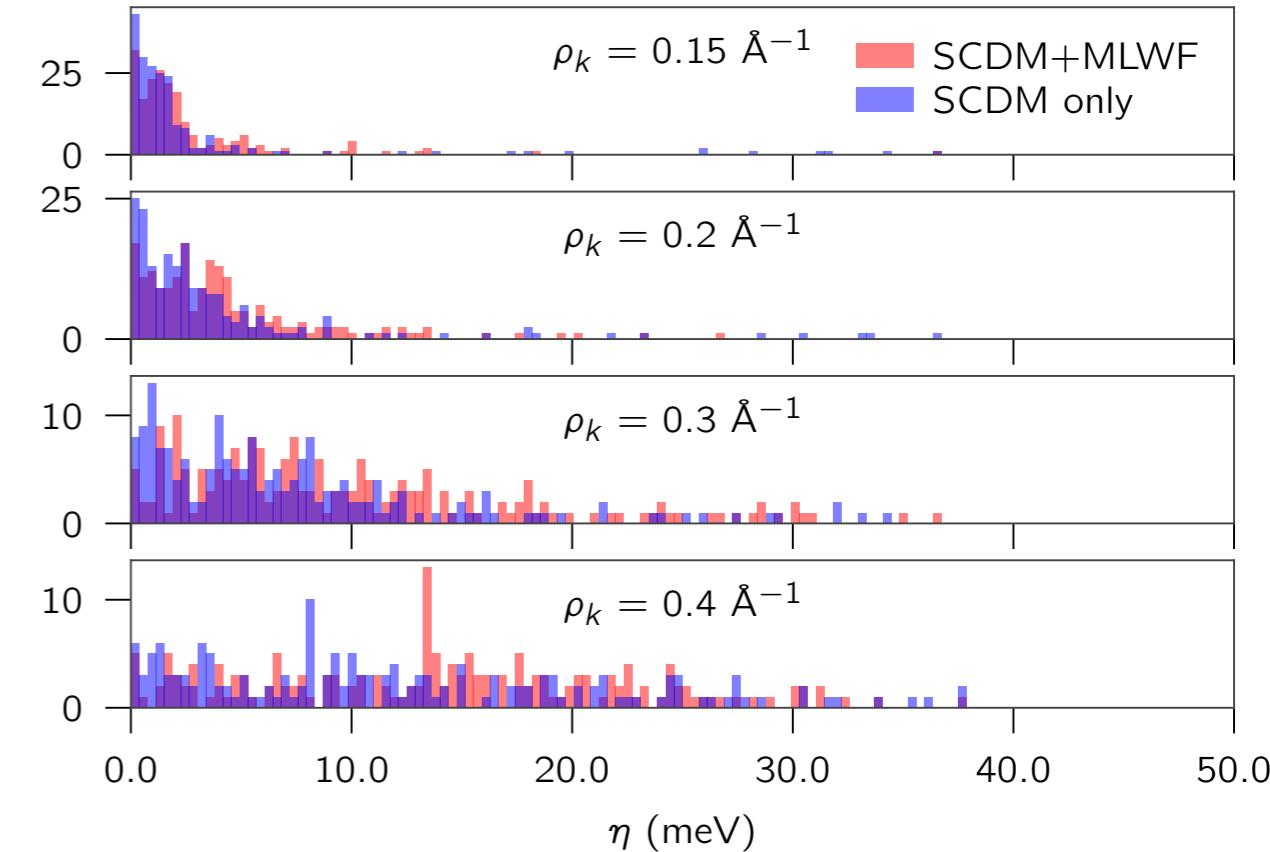
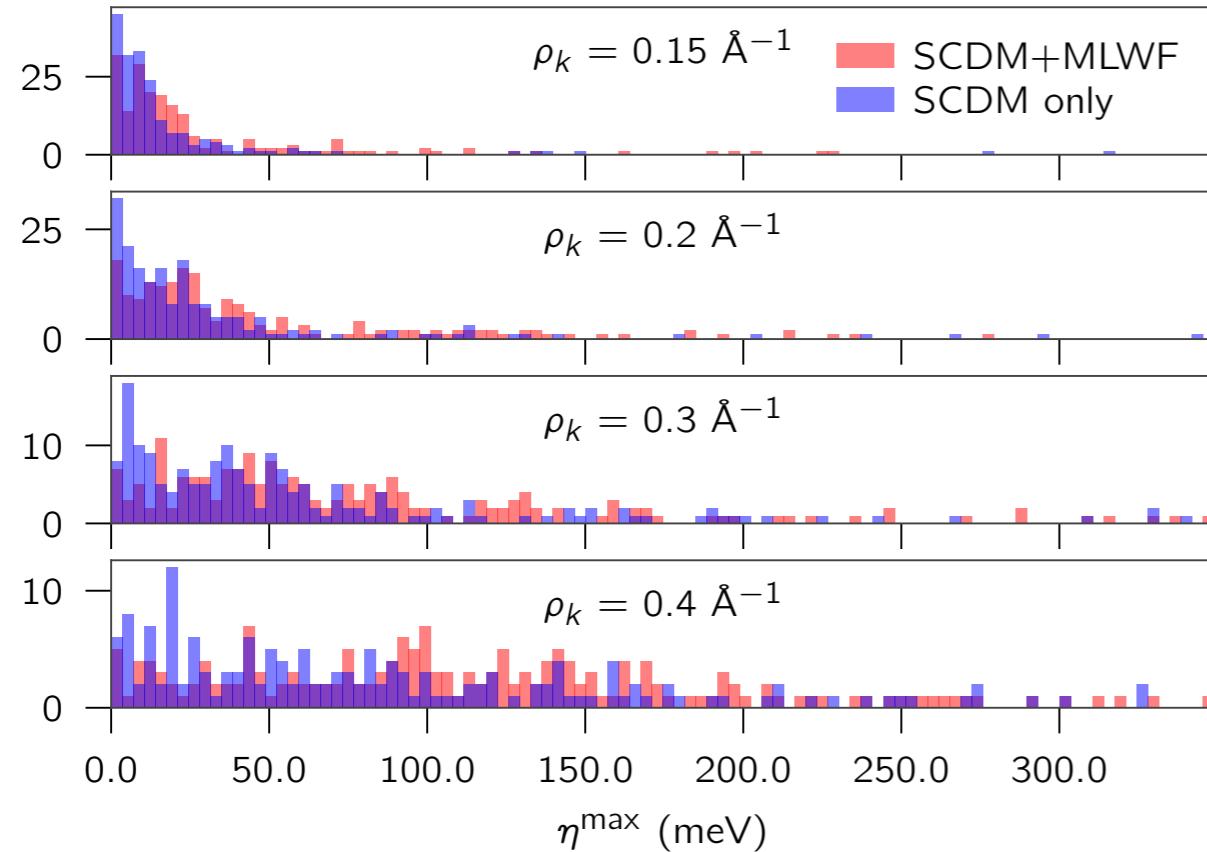
*Average bands distance*

$$\eta^{\max} = \max_{n\mathbf{k}} (|\varepsilon_{n\mathbf{k}}^{\text{DFT}} - \varepsilon_{n\mathbf{k}}^{\text{Wan}}|)$$

*Max bands distance*

- Moreover, we want to assess the importance of the **density of  $k$ -points** in the NSCF/Wannierisation step  
We will use a linear density  $\rho_k$  in Å<sup>-1</sup>

# SCDM - results for entangled bands

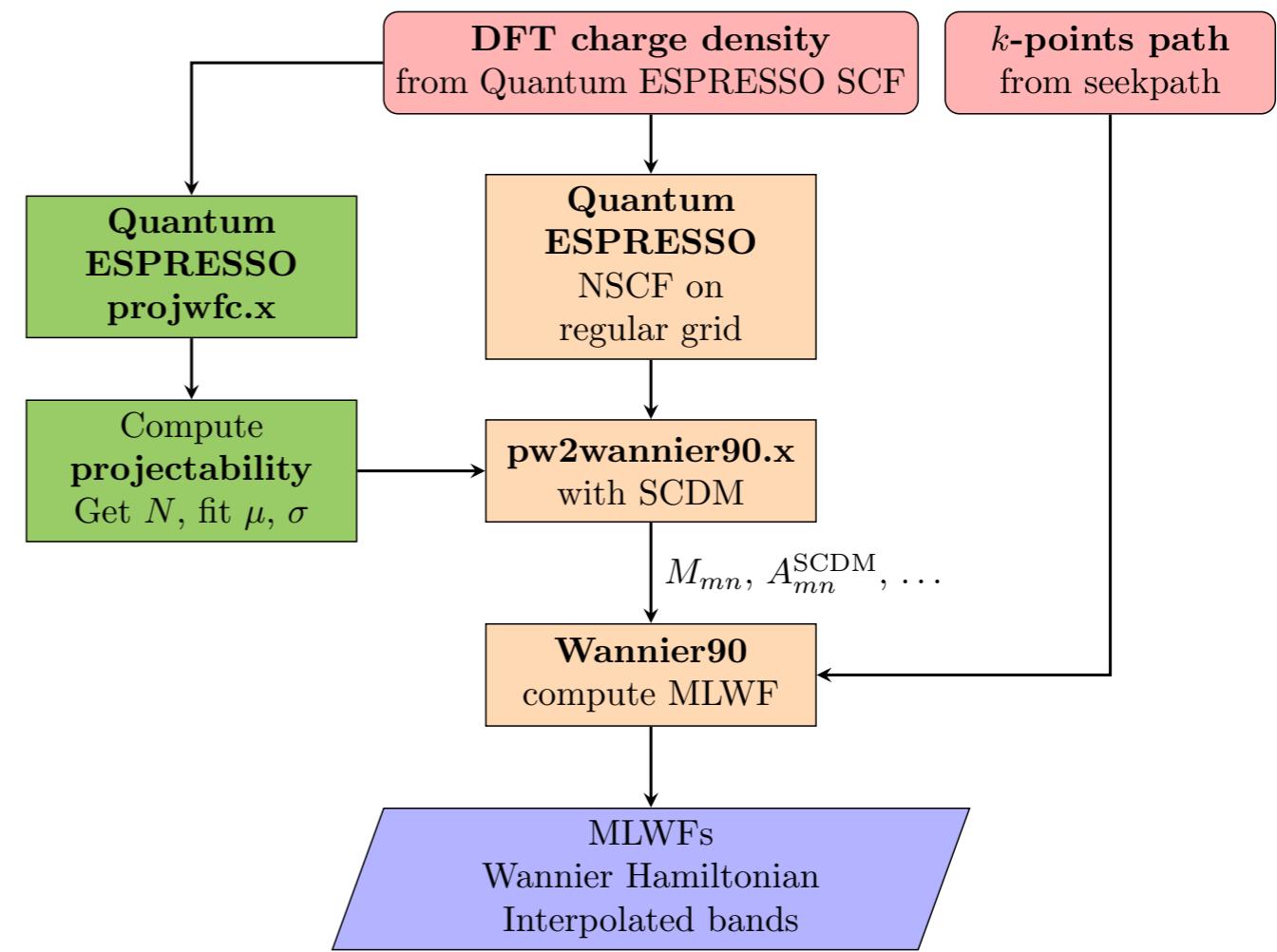
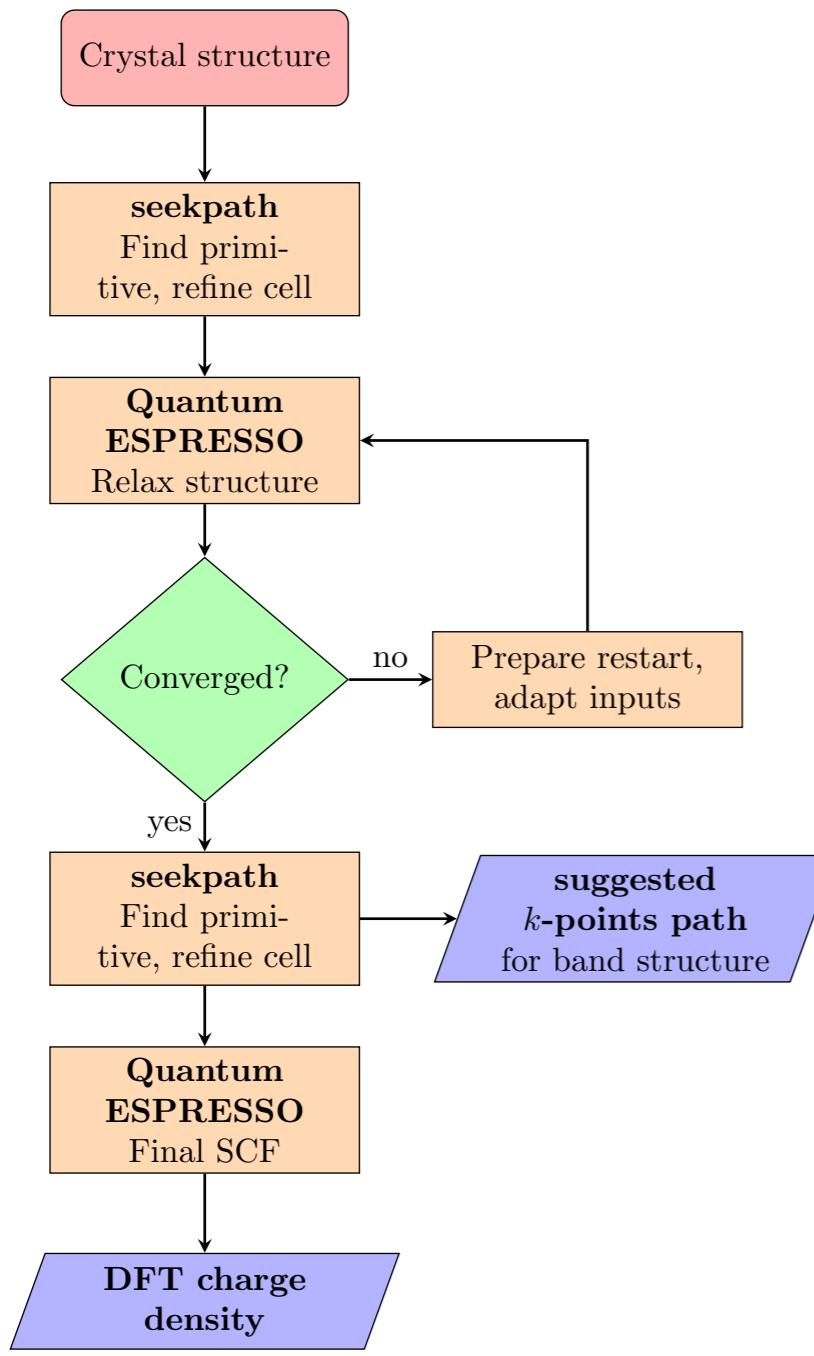


- Good results require a density of at least  $0.2 \text{ \AA}^{-1}$  or more dense
- **For insulators**, SCDM-only already provides very good results; MLWF improves them
- In general, very small band distances (i.e. very good interpolation)

# Automating with AiiDA

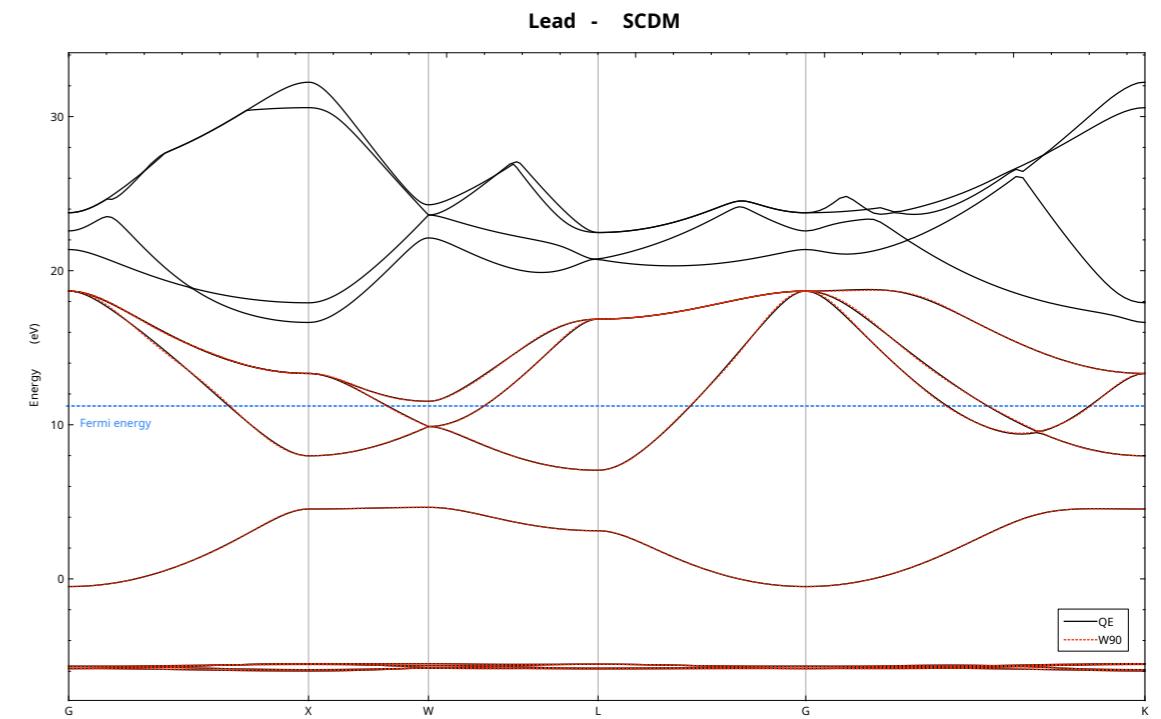
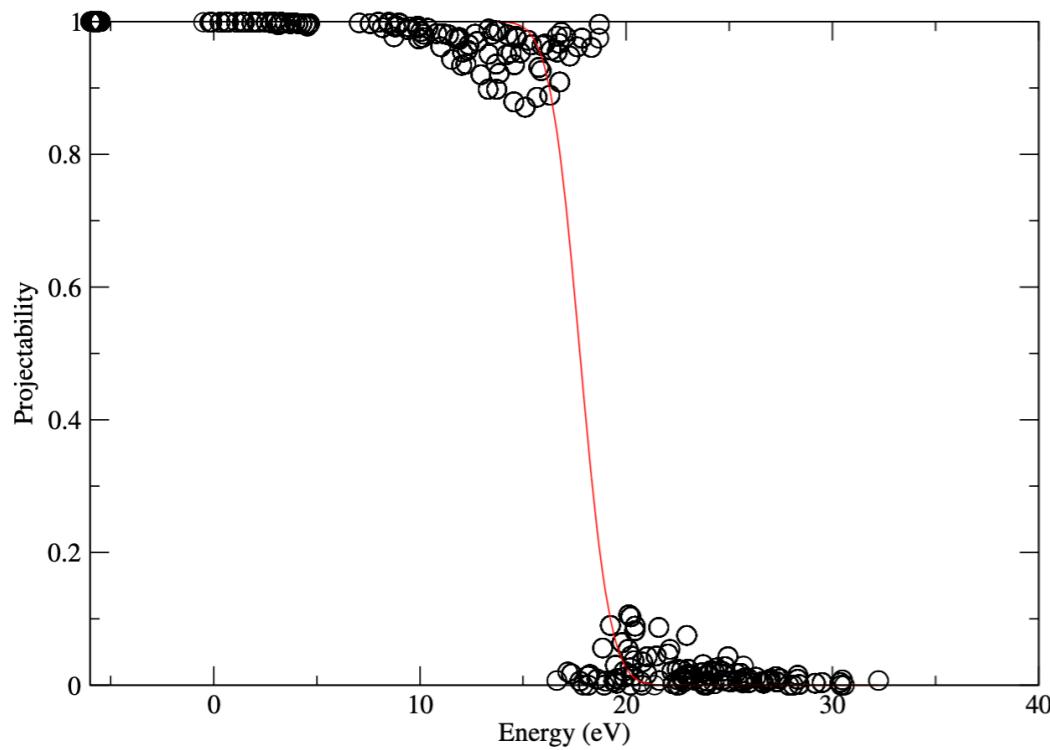


- Many steps; all automated with AiiDA ([www.aiida.net](http://www.aiida.net))  
S.P. Huber et al., Scientific Data 7, 300 (2020)  
M. Uhrin et al., Comp. Mat. Sci. 187 (2021)  
G. Pizzi et al. Comp. Mat. Sci. 111, 218-230 (2016)
- All workflows available; see tutorial:  
[https://aiida-tutorials.readthedocs.io/en/latest/pages/2020\\_Oxford/](https://aiida-tutorials.readthedocs.io/en/latest/pages/2020_Oxford/)
- **We will not see AiiDA today;** in Exercise 4, you will run all steps "by hand" - but feel free to check the tutorial if you are curious

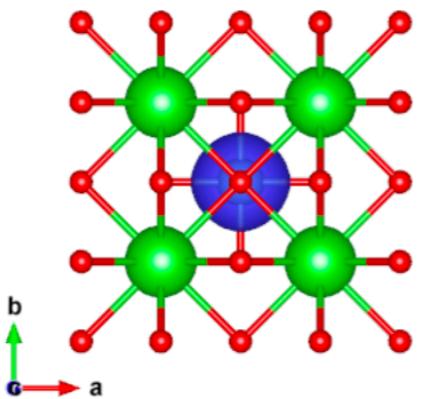
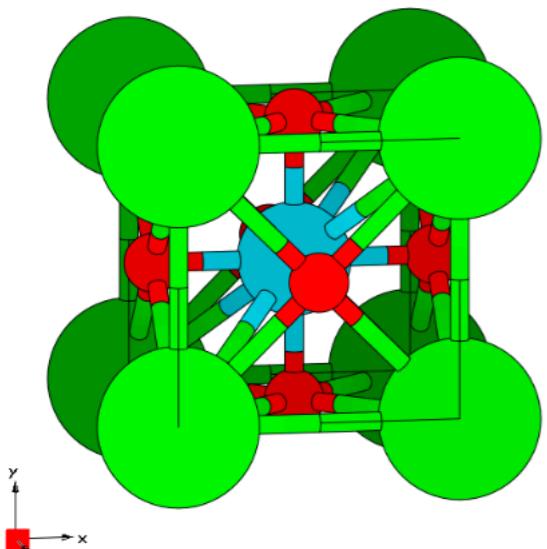


# Exercise 4: automatic projections with SCDM

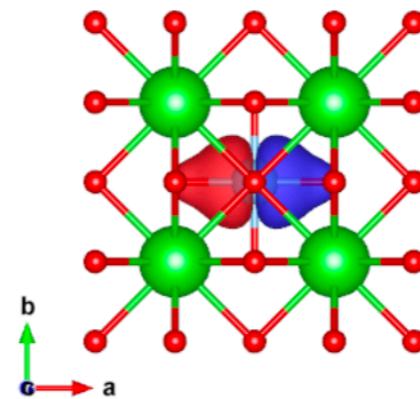
- Goals:
  - Understand how to run Wannier90 without an explicit specification of the initial projections
  - Understand the simulation steps involved in SCDM
  - Use the projectability approach to get the values for the SCDM parameters



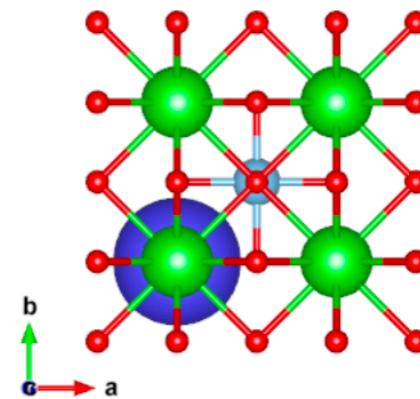
# Exercise 5: cubic BaTiO<sub>3</sub>



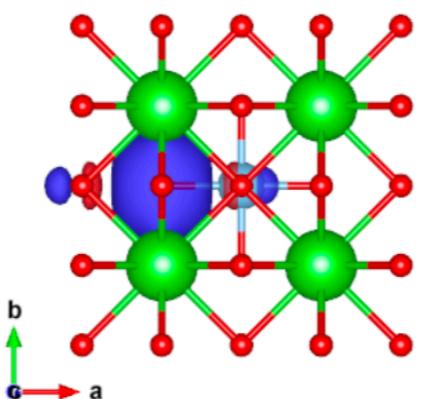
(a) Exclude bands = 2-20.  
Ti:s



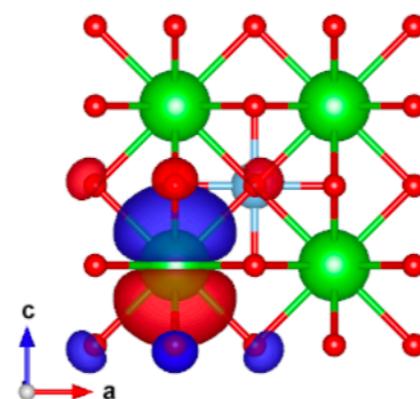
(b) Exclude bands = 1,5-20.  
Ti:p



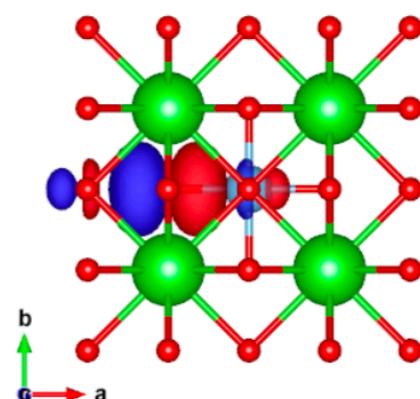
(c) Exclude bands = 1-4,6-20.  
Ba:s



(d) Exclude bands = 1-5,9-20.  
O:s



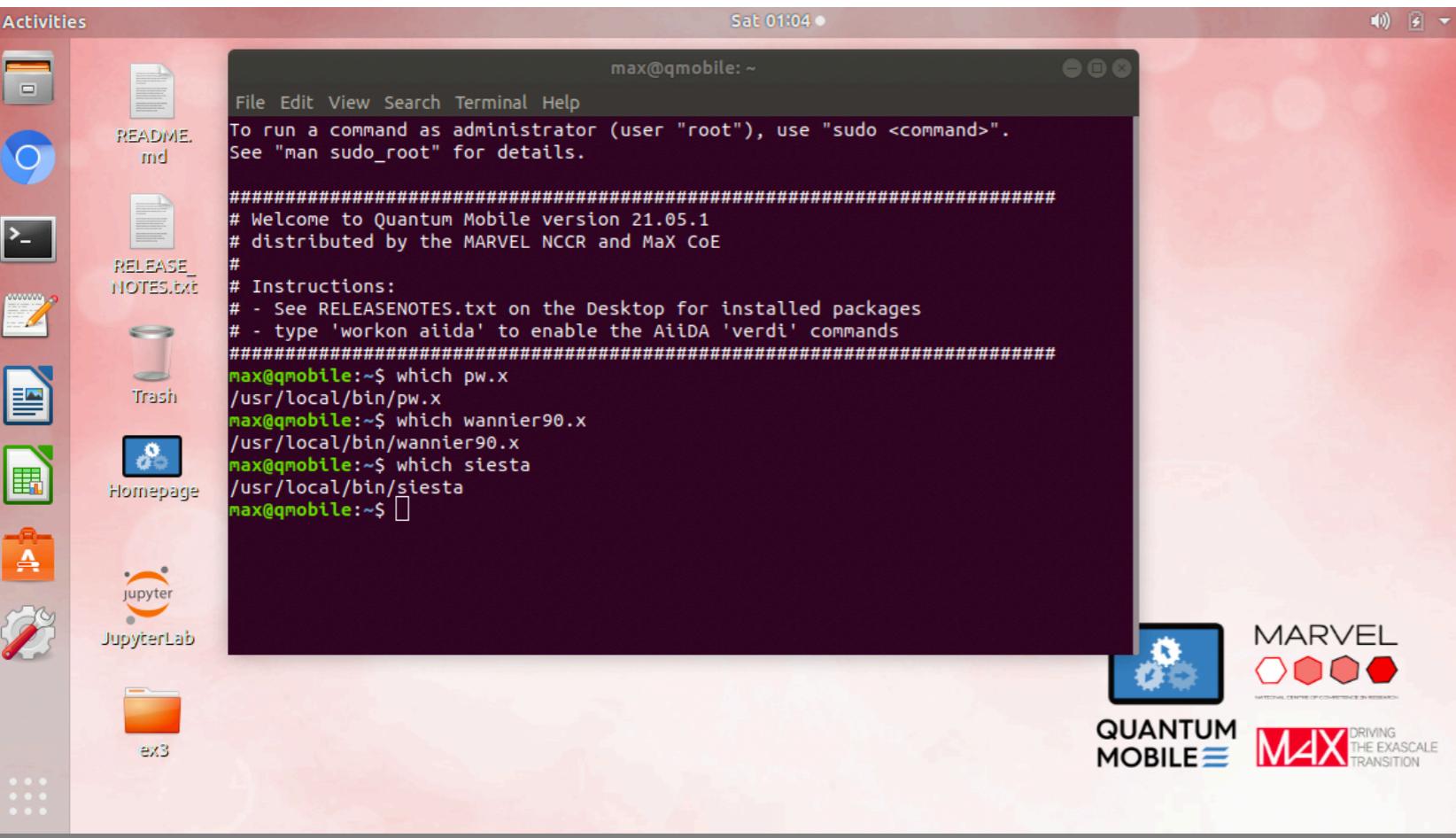
(e) Exclude bands = 1-8,12-20.  
Ba:p



(f) Exclude bands = 1-11.  
O:p

# If you want to continue at home: Quantum Mobile: A VM for quantum simulations

- VM based on Ubuntu Linux
- Comes with quantum codes:
  - **Quantum ESPRESSO**
  - **Yambo**
  - **Fleur**
  - **Siesta**
  - **cp2k**
  - **Wannier90**
  - ...
- Contains also AiiDA, preconfigured to use these codes
- **Just download and start running!** No need to spend time on installation, compilation, ...

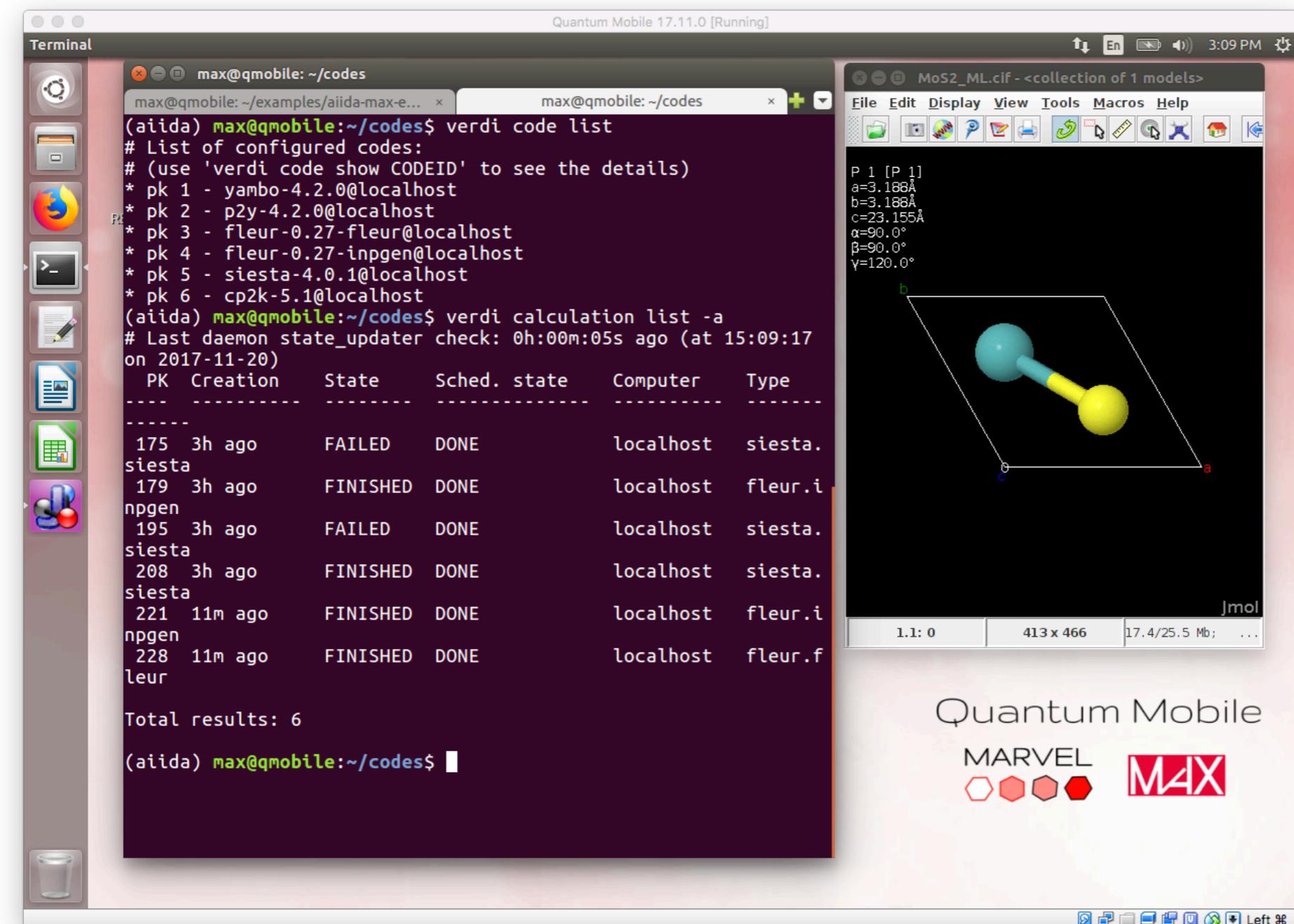


**Quantum Mobile:**

<https://www.materialscloud.org/quantum-mobile>



# If you want to continue at home: Quantum Mobile: A VM for quantum simulations



- All codes ready to be used through AiiDA
- Visualization tools (xcrysden, ...)
- Useful for:
  - exercises, courses, ...
  - running simulations without any setup
  - experimenting with new codes
  - Production simulations



Quantum Mobile:  
<https://www.materialscloud.org/work/quantum-mobile>



# Getting help

- **Today:** ask me, Junfeng, and all other tutors of the school
- **From tomorrow on...:** [www.wannier.org](http://www.wannier.org)
  - User guide, tutorials (with solutions)
  - Register to the Wannier90 mailing list  
*(do it today!)*
  - Read the source code!

# Practical information

- You can find the PDF with the instructions online:  
<https://epw2021.oden.utexas.edu/74-schedule>
- Or also inside **/work2/06868/giustino/EPW-SCHOOL/**
- Before starting the tutorials, copy the files above in your scratch; you can go to it with: **cd \$SCRATCH**
- To untar: **tar xf FILENAME.tar**
  - For instance: **tar xf /work2/06868/giustino/EPW-SCHOOL/Mon.4.Pizzi.tar**
- You will need to submit to the queue in order to be able to run
  - Don't fill the queue for too long, be considerate for others
  - Use parameters and commands suggested in the PDF