

## Hands-on Wed.3

# Transport module of EPW

Samuel Poncé

Theory and Simulation of Materials (THEOS) École Polytechnique Fédérale de Lausanne

## Exercise 1

Compute the electric resistivity of fcc Pb using the Ziman formula and Boltzmann transport equation

Ziman formula rests on the lowest-order variational approximation (LOVA):

- the energy-resolved decay function is approximated  $\gamma(\omega) \approx \gamma(\varepsilon = \varepsilon_F, \varepsilon = \varepsilon_F', \omega)$
- $-\frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \approx \delta(\varepsilon_{\mathrm{F}} \varepsilon_{n\mathbf{k}})$
- use of an isotropic scattering rate  $\langle \tau^{-1} \rangle$
- Derivation connecting SERTA with Ziman can be found in S. Poncé, et al. Rep. Prog. Phys. 83, 036501 (2020).

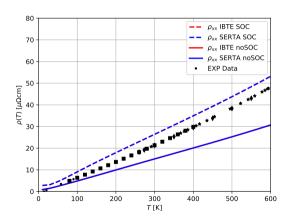


Figure courtesy of Félix Goudreault

$$\rho(T) = \frac{4\pi m_e}{ne^2 k_B T} \int_0^\infty d\omega \, \hbar \omega \, \alpha_{\rm tr}^2 F(\omega) \, n(\omega, T) \big[ 1 + n(\omega, T) \big],$$

where n is the number of electrons per unit volume and  $n(\omega,T)$  is the Bose-Einstein distribution.

The isotropic Eliashberg transport spectral function (see Thu.1, Thu.5 and Thu.6):

$$\alpha_{\mathsf{tr}}^2 F(\omega) = \frac{1}{2} \sum_{\nu} \int_{\mathrm{BZ}} \frac{d\mathbf{q}}{\Omega_{\mathrm{BZ}}} \omega_{\mathbf{q}\nu} \lambda_{\mathsf{tr},\mathbf{q}\nu} \delta(\omega - \omega_{\mathbf{q}\nu}),$$

where the mode-resolved transport coupling strength is defined by:

$$\frac{\mathbf{\lambda_{tr,q\nu}}}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} = \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{\mathbf{n}m} \int_{\mathrm{BZ}} \frac{d\mathbf{k}}{\Omega_{\mathrm{BZ}}} |g_{mn,\nu}(\mathbf{k},\mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{\mathrm{F}}) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathrm{F}}) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right).$$

$$\rho(T) = \frac{4\pi m_e}{\mathrm{ne}^2 k_B T} \int_0^\infty d\omega \; \hbar \omega \; \frac{\alpha_{\mathrm{tr}}^2 F(\omega)}{\alpha_{\mathrm{tr}}^2 F(\omega)} \, n(\omega, T) \big[ 1 + n(\omega, T) \big], \label{eq:rho}$$

n is the number of electrons that contribute to the mobility  $\rightarrow$  nc = 4.0d0

$$\rho(T) = \frac{4\pi m_e}{\mathrm{n}e^2 k_B T} \int_0^\infty d\omega \; \hbar \omega \; \alpha_{\mathrm{tr}}^2 F(\omega) \; n(\omega,T) \big[ 1 + n(\omega,T) \big],$$

n is the number of electrons that contribute to the mobility  $\rightarrow$  nc = 4.0d0

$$lpha_{\mathsf{tr}}^2 F(\omega) o$$
 phonselfen = .true. and a2f = .true.

$$\alpha_{\rm tr}^2 F(\omega) = \frac{1}{2} \sum_{\nu} \int_{\rm BZ} \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \omega_{\mathbf{q}\nu} \, \lambda_{\rm tr, \mathbf{q}\nu} \frac{\delta(\omega - \omega_{\mathbf{q}\nu})}{\delta(\omega - \omega_{\mathbf{q}\nu})},$$

where the mode-resolved transport coupling strength is defined by:

$$\lambda_{\text{tr},\mathbf{q}\nu} = \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{\mathrm{BZ}} \frac{d\mathbf{k}}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 [f_{n\mathbf{k}}(T) - f_{m\mathbf{k}+\mathbf{q}}(T)] \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{n\mathbf{k}} - \omega) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

$$\approx \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{\mathrm{BZ}} \frac{d\mathbf{k}}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_F) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_F) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

pprox ightarrow delta\_approx = .true.

Note:  $|g_{mn\nu}({\bf k},{\bf q})|^2$  should be  $g_{mn\nu}^{{\rm b},*}({\bf k},{\bf q})g_{mn\nu}({\bf k},{\bf q})$  for the phonon self-energy

$$\alpha_{\rm tr}^2 F(\omega) = \frac{1}{2} \sum_{\nu} \int_{\rm BZ} \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \omega_{\mathbf{q}\nu} \, \lambda_{\rm tr, \mathbf{q}\nu} \, \delta(\omega - \omega_{\mathbf{q}\nu}),$$

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$$\approx \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{BZ} \frac{d\mathbf{k}}{\Omega_{BZ}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_F) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_F) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

$$pprox$$
  $ightarrow$  delta\_approx = .true.  $\delta(arepsilon_{n\mathbf{k}}-arepsilon_{\mathrm{F}}) 
ightarrow$  Gaussian of width: degaussw = 0.1

Note:  $|g_{mn\nu}(\mathbf{k},\mathbf{q})|^2$  should be  $g_{mn\nu}^{\mathrm{b},*}(\mathbf{k},\mathbf{q})g_{mn\nu}(\mathbf{k},\mathbf{q})$  for the phonon self-energy

$$\alpha_{\rm tr}^2 F(\omega) = \frac{1}{2} \sum_{\nu} \int_{\rm BZ} \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \omega_{\mathbf{q}\nu} \, \lambda_{\rm tr, \mathbf{q}\nu} \frac{\delta(\omega - \omega_{\mathbf{q}\nu})}{\delta(\omega - \omega_{\mathbf{q}\nu})},$$

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$$\approx \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{\mathrm{BZ}} \frac{d\mathbf{k}}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_F) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_F) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

$$\delta(arepsilon_{n\mathbf{k}}-arepsilon_{\mathbf{F}})
ightarrow \mathsf{Gaussian}$$
 of width: degaussw = 0.1

 $\approx \rightarrow$  delta\_approx = .true.

 $\delta(\omega - \omega_{\mathbf{q}\nu}) \rightarrow \text{Gaussian of width: degaussq} = 0.05$ 

Note:  $|q_{mn\nu}(\mathbf{k},\mathbf{q})|^2$  should be  $g_{mn\nu}^{\mathrm{b},*}(\mathbf{k},\mathbf{q})g_{mn\nu}(\mathbf{k},\mathbf{q})$  for the phonon self-energy

$$\alpha_{\rm tr}^2 F(\omega) = \frac{1}{2} \sum_{\nu} \int_{\rm BZ} \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \omega_{\mathbf{q}\nu} \, \lambda_{\rm tr, \mathbf{q}\nu} \, \delta(\omega - \omega_{\mathbf{q}\nu}),$$

where the mode-resolved transport coupling strength is defined by:

$$\lambda_{\text{tr},\mathbf{q}\nu} = \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{\mathrm{BZ}} \frac{d\mathbf{k}}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 [f_{n\mathbf{k}}(T) - f_{m\mathbf{k}+\mathbf{q}}(T)] \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{n\mathbf{k}} - \omega) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

$$\approx \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{\mathrm{BZ}} \frac{d\mathbf{k}}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_F) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_F) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

$$\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{\mathbf{F}}) \rightarrow$$
 Gaussian of width: degaussw = 0.1  $\delta(\omega - \omega_{\mathbf{q}\nu}) \rightarrow$  Gaussian of width: degaussq = 0.05

 $\approx \rightarrow$  delta\_approx = .true.

 $N(\varepsilon_F) \rightarrow \text{FD}$  dist. for DOS and  $\varepsilon_F$ : assume metal = .true. with ngaussw = -99 with temps=1

Note:  $|g_{mn\nu}({\bf k},{\bf q})|^2$  should be  $g_{mn\nu}^{{\rm b},*}({\bf k},{\bf q})g_{mn\nu}({\bf k},{\bf q})$  for the phonon self-energy

$$\alpha_{\rm tr}^2 F(\omega) = \frac{1}{2} \sum_{\nu} \int_{\rm BZ} \frac{d\mathbf{q}}{\Omega_{\rm BZ}} \omega_{\mathbf{q}\nu} \, \lambda_{\rm tr, \mathbf{q}\nu} \frac{\delta(\omega - \omega_{\mathbf{q}\nu})}{\delta(\omega - \omega_{\mathbf{q}\nu})},$$

where the mode-resolved transport coupling strength is defined by:

$$\lambda_{\text{tr},\mathbf{q}\nu} = \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{BZ} \frac{d\mathbf{k}}{\Omega_{BZ}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 [f_{n\mathbf{k}}(T) - f_{m\mathbf{k}+\mathbf{q}}(T)] \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{n\mathbf{k}} - \omega) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

$$\approx \frac{1}{N(\varepsilon_F)\omega_{\mathbf{q}\nu}} \sum_{nm} \int_{BZ} \frac{d\mathbf{k}}{\Omega_{BZ}} |g_{mn\nu}(\mathbf{k},\mathbf{q})|^2 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_F) \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_F) \left(1 - \frac{v_{n\mathbf{k}} \cdot v_{m\mathbf{k}+\mathbf{q}}}{|v_{n\mathbf{k}}|^2}\right)$$

$$\delta(arepsilon_{n\mathbf{k}}-arepsilon_{\mathrm{F}}) 
ightarrow \mathsf{G}$$
aussian of width: degaussw = 0.1

 $\delta(\omega - \omega_{{\bf q}\nu}) \rightarrow$  Gaussian of width: degaussq = 0.05

$$N(\varepsilon_F) \to \text{FD}$$
 dist. for DOS and  $\varepsilon_F$ : assume\_metal = .true. with ngaussw = -99 with temps=1

$$v_{n\mathbf{k}} o ext{vme}$$
 = 'wannier'

 $\approx \rightarrow$  delta\_approx = .true.

Note:  $|g_{mn\nu}(\mathbf{k},\mathbf{q})|^2$  should be  $g_{mn\nu}^{\mathrm{b},*}(\mathbf{k},\mathbf{q})g_{mn\nu}(\mathbf{k},\mathbf{q})$  for the phonon self-energy

# Linearized Boltzmann transport equation

Macroscopic average of the current density is

$$\mathbf{J}_{\mathrm{M}}(\mathbf{E}) = \frac{-e\hbar^{2}}{2m} \frac{1}{V} \int \mathrm{d}^{3}r \lim_{\mathbf{r}_{2} \to \mathbf{r}_{1}} (\nabla_{2} - \nabla_{1}) G^{<}(\mathbf{r}_{1}, \mathbf{r}_{2}; t, t; \mathbf{E})$$
$$= \frac{-e}{V_{\mathrm{uc}}} \sum_{n} \int \frac{\mathrm{d}^{3}k}{\Omega_{\mathrm{BZ}}} \mathbf{v}_{n\mathbf{k}} f_{n\mathbf{k}}(\mathbf{E})$$

For weak E, we can use the *linear response* of the current density to define the *conductivity*:

$$\sigma_{\alpha\beta} \equiv \left. \frac{\partial J_{\mathrm{M},\alpha}}{\partial E_{\beta}} \right|_{\mathbf{E}=\mathbf{0}} = \frac{-e}{V_{\mathrm{uc}}} \sum_{n} \int \frac{\mathrm{d}^{3}k}{\Omega_{\mathrm{BZ}}} \, v_{n\mathbf{k}}^{\alpha} \partial_{E_{\beta}} f_{n\mathbf{k}}$$

where  $\partial_{E_{\beta}} f_{n\mathbf{k}} = (\partial f_{n\mathbf{k}}/\partial E_{\beta})|_{\mathbf{E}=\mathbf{0}}$ .

The carrier drift mobility is  $\mu_{\alpha\beta}^{\rm d} \equiv \frac{\sigma_{\alpha\beta}}{en_c}$ 

$$\mu_{\alpha\beta}^{\rm d} \equiv \frac{\sigma_{\alpha\beta}}{en_{\rm c}}$$



DC transport Electron-one-phonon interaction Static electron-phonon interaction Adiabatic phonons  $\delta$  approximation in  $G^{>,<}(\omega)$ 

Linearized BTE

S. Poncé et al.. Rep. Prog. Phys. 83, 036501 (2020)

Samuel Poncé, EPFL

## Drift mobility

$$\mu_{\alpha\beta}^{\rm d} = \frac{-e}{V_{\rm uc} n_{\rm c}} \sum_{n} \int \frac{\mathrm{d}^{3} k}{\Omega_{\rm BZ}} v_{n \mathbf{l}}^{\alpha} \partial_{E_{\beta}} f_{n \mathbf{k}}$$

where

$$\begin{split} & \frac{\partial E_{\beta} f_{n\mathbf{k}}}{\partial \mathbf{k}} = e v_{n\mathbf{k}}^{\beta} \frac{\partial f_{n\mathbf{k}}^{0}}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2i \tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{\mathrm{d}^{3} q}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^{2} \\ & \times \left[ (n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^{0}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k} + \mathbf{q}} + \hbar \omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^{0}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k} + \mathbf{q}} - \hbar \omega_{\mathbf{q}\nu}) \right] \frac{\partial E_{\beta} f_{m\mathbf{k} + \mathbf{q}}}{\partial E_{\beta} f_{m\mathbf{k} + \mathbf{q}}} \end{split}$$

where the scattering rate is:

$$\frac{\boldsymbol{\tau_{n\mathbf{k}}^{-1}}}{\hbar} = \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{\text{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2 \left[ (n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k}+\mathbf{q}}^0) \times \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \right]$$



 $V_{\text{Hxc}}[G] \approx V_{\text{Hxc}}[G_0]$  **E** is spatially homogeneous

Diagonal Bloch state projection

### BTE (AC

Electron-one-phonon interaction  $\delta$  Static electron-phonon interaction  $\delta$  Adiabatic phonons  $\delta$  approximation in  $G^{>,<}(\omega)$ 

#### BTE

Linear response

## Linearized BTE

No scattering back into  $|n\mathbf{k}\rangle$ 

### SERTA

S. Poncé *et al.*, Rep. Prog. Phys. **83**, 036501 (2020)

Samuel Poncé, EPFL

$$\sigma_{\alpha\beta} = \frac{-e}{V_{\rm uc}} \sum_{n} \int \frac{\mathrm{d}^{3}k}{\Omega_{\rm BZ}} \, v_{n\mathbf{k}}^{\alpha} \partial_{E_{\beta}} f_{n\mathbf{k}}$$
$$\rho_{\alpha\beta} = \frac{1}{\sigma_{\alpha\beta}}$$

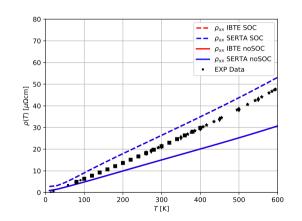


Figure courtesy of Félix Goudreault

$$\sigma_{lphaeta}^{
m d} = rac{-e}{V_{
m uc}} \sum_n \int rac{{
m d}^3 k}{\Omega_{
m BZ}} v_{n{f k}}^{lpha} \partial_{E_eta} f_{n{f k}}$$

where

$$\begin{split} \partial_{E_{\beta}f_{n\mathbf{k}}} &= ev_{n\mathbf{k}}^{\beta} \frac{\partial f_{n\mathbf{k}}^{0}}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\pi\tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{\mathbf{d}^{3}q}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^{2} \\ &\times \left[ (n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^{0})\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^{0})\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_{\beta}} f_{m\mathbf{k}+\mathbf{q}} \end{split}$$

 $int\_mob = .true. \rightarrow computes drift mobility (also conductivity)$ 

$$\sigma_{\alpha\beta}^{\mathrm{d}} = \frac{-e}{V_{\mathrm{uc}}} \sum_{n} \int \frac{\mathrm{d}^{3}k}{\Omega_{\mathrm{BZ}}} v_{n\mathbf{k}}^{\alpha} \partial_{E_{\beta}} f_{n\mathbf{k}}$$

where

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int\_mob = .true.  $\rightarrow$  computes drift mobility (also conductivity) iterative\_bte = .true.  $\rightarrow$  computes the mobility iteratively (BTE+SERTA) with a broyden\_beta = 0.7 Broyden linear mixing and stops after mob\_maxiter = 200 if convergence is not reached.

$$\sigma_{lphaeta}^{
m d} = rac{-e}{V_{
m uc}} \sum_n \int rac{{
m d}^3 k}{\Omega_{
m BZ}} v_{n{f k}}^lpha \partial_{E_eta} f_{n{f k}}$$

where

$$\begin{split} \partial_{E_{\beta}f_{n\mathbf{k}}} &= ev_{n\mathbf{k}}^{\beta} \frac{\partial f_{n\mathbf{k}}^{0}}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\pi\tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{\mathrm{d}^{3}q}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^{2} \\ &\times \left[ (n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^{0}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^{0}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_{\beta}} f_{m\mathbf{k}+\mathbf{q}} \end{split}$$

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 $\int \frac{\mathrm{d}^3 k}{\Omega_{\mathrm{PZ}}}$   $\to$  use crystal symmetries on fine k grid: mp\_mesh\_k = .true.

$$\sigma_{lphaeta}^{
m d} = rac{-e}{V_{
m uc}} \sum_n \int rac{{
m d}^3 k}{\Omega_{
m BZ}} v_{n{f k}}^{lpha} \partial_{E_eta} f_{n{f k}}$$

where

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int\_mob = .true. -> computes drift mobility (also conductivity)

iterative\_bte = .true. → computes the mobility iteratively (BTE+SERTA) with a broyden\_beta = 0.7 Broyden linear mixing and stops after mob\_maxiter = 200 if convergence is not reached.

$$\int \frac{d^3k}{\Omega_{\rm PZ}} \rightarrow \text{use crystal symmetries on fine } \mathbf{k} \text{ grid: mp_mesh} \mathbf{k} = .\text{true.}$$

$$\int \frac{\mathrm{d}^3k}{\mathrm{Opg}}$$
 and  $\int \frac{\mathrm{d}^3q}{\mathrm{Opg}} \to \mathrm{consider}$  states within an fsthick = 0.4 eV energy around  $\varepsilon_\mathrm{F}$ .

$$\sigma_{lphaeta}^{
m d} = rac{-e}{V_{
m uc}} \sum_n \int rac{{
m d}^3 k}{\Omega_{
m BZ}} v_{n{f k}}^{lpha} \partial_{E_eta} f_{n{f k}}$$

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int\_mob = .true. -> computes drift mobility (also conductivity)

iterative\_bte = .true. → computes the mobility iteratively (BTE+SERTA) with a broyden\_beta = 0.7 Broyden linear mixing and stops after mob\_maxiter = 200 if convergence is not reached.

$$\int \frac{d^3k}{Q_{\rm PZ}}$$
  $\rightarrow$  use crystal symmetries on fine k grid: mp\_mesh\_k = .true.

$$\int \frac{\mathrm{d}^3k}{\mathrm{Opg}}$$
 and  $\int \frac{\mathrm{d}^3q}{\mathrm{Opg}} \to \mathrm{consider}$  states within an fsthick = 0.4 eV energy around  $\varepsilon_\mathrm{F}$ .

carrier = .false.  $\rightarrow$  metal  $\rightarrow$  no carrier concentration can be imposed.

$$\sigma_{\alpha\beta}^{\mathrm{d}} = \frac{-e}{V_{\mathrm{uc}}} \sum_{n} \int \frac{\mathrm{d}^{3}k}{\Omega_{\mathrm{BZ}}} v_{n\mathbf{k}}^{\alpha} \partial_{E_{\beta}} f_{n\mathbf{k}}$$

where

$$\begin{split} \partial_{E_{\beta}} f_{n\mathbf{k}} &= e v_{n\mathbf{k}}^{\beta} \frac{\partial f_{n\mathbf{k}}^{0}}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\pi \tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{\mathrm{d}^{3} q}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^{2} \\ &\times \left[ \left( n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^{0} \right) \frac{\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) + \left( n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^{0} \right) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_{\beta}} f_{m\mathbf{k}+\mathbf{q}} \end{split}$$

restart = .true.  $\rightarrow$  activate restart where restart point are written to file every restart\_step = 50 q-points. selecqread = .false.  $\rightarrow$  produce a selecq.fmt file which contains the list of q-points within the fsthick. If selecqread = .true. then read the selecq.fmt file (the code will exit if the file is not found).

$$\sigma_{\alpha\beta}^{\mathrm{d}} = \frac{-e}{V_{\mathrm{uc}}} \sum_{n} \int \frac{\mathrm{d}^{3}k}{\Omega_{\mathrm{BZ}}} v_{n\mathbf{k}}^{\alpha} \partial_{E_{\beta}} f_{n\mathbf{k}}$$

where

$$\begin{split} \partial_{E_{\beta}} f_{n\mathbf{k}} &= e v_{n\mathbf{k}}^{\beta} \frac{\partial f_{n\mathbf{k}}^{0}}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\pi \tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{\mathrm{d}^{3} q}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^{2} \\ &\times \left[ \left( n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^{0} \right) \frac{\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar \omega_{\mathbf{q}\nu}) + \left( n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^{0} \right) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar \omega_{\mathbf{q}\nu}) \right] \partial_{E_{\beta}} f_{m\mathbf{k}+\mathbf{q}} \end{split}$$

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 $n, f, \tau \rightarrow$  dependent on the temperature given by temps = 100 500 and nstemp = 9.

$$\sigma_{\alpha\beta}^{\mathrm{d}} = \frac{-e}{V_{\mathrm{uc}}} \sum_{n} \int \frac{\mathrm{d}^{3}k}{\Omega_{\mathrm{BZ}}} v_{n\mathbf{k}}^{\alpha} \partial_{E_{\beta}} f_{n\mathbf{k}}$$

where

$$\begin{split} \partial_{E_{\beta}} f_{n\mathbf{k}} &= e v_{n\mathbf{k}}^{\beta} \frac{\partial f_{n\mathbf{k}}^{0}}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\pi \tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{\mathrm{d}^{3} q}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^{2} \\ &\times \left[ \left( n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^{0} \right) \frac{\delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) + \left( n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^{0} \right) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_{\beta}} f_{m\mathbf{k}+\mathbf{q}} \end{split}$$

restart = .true.  $\rightarrow$  activate restart where restart point are written to file every restart\_step = 50 q-points. selecqread = .false.  $\rightarrow$  produce a selecq.fmt file which contains the list of q-points within the fsthick. If selecqread = .true. then read the selecq.fmt file (the code will exit if the file is not found).

n, f, au 
ightharpoonup dependent on the temperature given by temps = 100 500 and nstemp = 9.

 $\delta \rightarrow$  adaptative broadening degaussw = 0.0

## Gaussian or adaptative smearings - [c-BN]

$$\begin{split} \tau_{n\mathbf{k}}^{-1} = & \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{\mathsf{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2 \\ & \times \left[ (n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k} + \mathbf{q}}^0 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k} + \mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right. \\ & + (n_{\mathbf{q}\nu} + f_{m\mathbf{k} + \mathbf{q}}^0 \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k} + \mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \right]. \end{split}$$

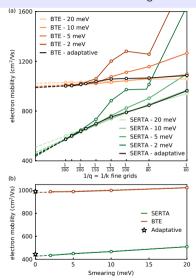
Adaptative broadening:

$$\eta_{n\mathbf{k}}(\mathbf{q}\nu) = \frac{\hbar}{\sqrt{12}} \sqrt{\sum_{\alpha} \left[ \left( \mathbf{v}_{\mathbf{q}\nu\nu} - \mathbf{v}_{nn\mathbf{k}+\mathbf{q}} \right) \cdot \frac{\mathbf{G}_{\alpha}}{N_{\alpha}} \right]^2},$$

where the phonon velocity is:

$$v_{\mathbf{q}\mu\nu\beta} = \frac{1}{2\omega_{\mathbf{q}\nu}} \frac{\partial D_{\mu\nu}(\mathbf{q})}{\partial q_{\beta}} = \frac{1}{2\omega_{\mathbf{q}\nu}} \sum_{\mathbf{R}} i R_{\beta} e^{i\mathbf{q}\cdot\mathbf{R}} D_{\mu\nu}(\mathbf{R}).$$

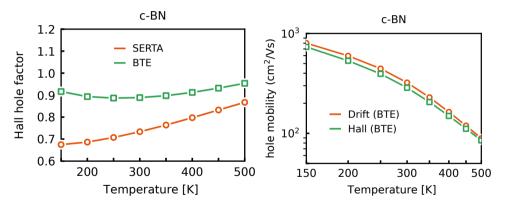
W. Li et al., Comput. Phys. Commun. 185, 1747 (2014)



S. Poncé et al., arXiv:2105.04192 (2021)

## Exercise 2

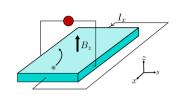
Compute the drift and Hall hole mobility of c-BN as well as Hall factor.



S. Poncé et al., arXiv:2105.04192 (2021)

Hall mobility

bfieldz = 1.0d-10



$$\mu^{\rm H}_{\alpha\beta\gamma} = \frac{-e}{V_{\rm uc}n_{\rm c}} \sum_n \! \int \! \frac{{\rm d}^3k}{\Omega_{\rm BZ}} \, v^\alpha_{n{\bf l}} \! \frac{\partial_{E_\beta} f_{n{\bf k}}(B_\gamma)}{\partial_{E_\beta} f_{n{\bf k}}(B_\gamma)}$$

BTE:

$$\left[1 - \frac{e}{\hbar} \tau_{n\mathbf{k}}(\mathbf{v}_{n\mathbf{k}} \times \mathbf{B}) \cdot \nabla_{\mathbf{k}}\right] \frac{\partial E_{\beta} f_{n\mathbf{k}}(B_{\gamma})}{\partial E_{\beta} f_{n\mathbf{k}}(B_{\gamma})} = ev_{n\mathbf{k}}^{\beta} \frac{\partial f_{n\mathbf{k}}^{0}}{\partial \varepsilon_{n\mathbf{k}}} \frac{\partial f_{n\mathbf{k}}^{0}}{\tau_{n\mathbf{k}}} + \frac{2i \tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{\mathrm{d}^{3} q}{\Omega_{\mathrm{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^{2}$$

 $\times \left[ (n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^{0}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k} + \mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^{0}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k} + \mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_{\beta}} f_{m\mathbf{k} + \mathbf{q}} (B_{\gamma})$ 

Hall factor:

$$\begin{split} &\mu^{\rm H}_{\alpha\beta\gamma} = &r^{\rm H}_{\alpha\beta\gamma}\mu^{\rm d}_{\alpha\beta} \\ &r^{\rm H}_{\alpha\beta\gamma} \equiv &\sum_{\delta\epsilon} \frac{(\mu^{\rm d}_{\alpha\delta})^{-1}\,\mu^{\rm H}_{\delta\epsilon\gamma}\,(\mu^{\rm d}_{\epsilon\beta})^{-1}}{B_{\gamma}}, \end{split}$$

KBE

 $egin{aligned} V_{\mathrm{Hxc}}[G] &pprox V_{\mathrm{Hxc}}[G_0] \ \mathbf{E} \ \mathrm{is} \ \mathrm{spatially} \ \mathrm{homogeneous} \ \mathrm{Diagonal} \ \mathrm{Bloch} \ \mathrm{state} \ \mathrm{projection} \end{aligned}$ 

### BTE (AC)

Adiabatic phonons

DC transport
Electron-one-phonon interaction
Static electron-phonon interaction

 $\delta$  approximation in  $G^{>,<}(\omega)$ 

BTE
Linear response

Linearized BTE

No scatterii

F. Macheda *et al.*, Phys. Rev. B **98**, 201201 (2018)

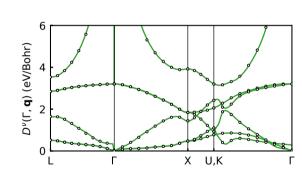
$$g_{mn\nu}(\mathbf{k}, \mathbf{q}) = g_{mn\nu}^{\mathcal{S}}(\mathbf{k}, \mathbf{q}) + g_{mn\nu}^{\mathcal{L}}(\mathbf{k}, \mathbf{q})$$

$$g_{mn\nu}^{\mathcal{L}}(\mathbf{k}, \mathbf{q}) \approx g_{mn\nu}^{\mathcal{L}, D}(\mathbf{k}, \mathbf{q}) + \cdots$$

$$g_{mn\nu}^{\mathcal{L}, D}(\mathbf{k}, \mathbf{q}) = i \frac{4\pi}{2} e^{2} \sum_{i=1}^{n} \left[ \frac{\hbar}{2} \right]^{\frac{1}{2}}$$

$$\begin{split} g_{mn\nu}^{\mathcal{L},\mathrm{D}}(\mathbf{k},\mathbf{q}) &= i\frac{4\pi}{V_{\mathrm{uc}}}\frac{e^2}{4\pi\varepsilon^0}\sum_{\kappa}\left[\frac{\hbar}{2N_{p'}M_{\kappa}\omega_{\mathbf{q}\nu}}\right]^{\frac{1}{2}}\sum_{\mathbf{G}\neq -\mathbf{q}} \\ &\times \frac{(\mathbf{G}+\mathbf{q})\cdot\mathbf{Z}_{\kappa}^{*}\cdot\mathbf{e}_{\kappa\mathbf{q}\nu}}{(\mathbf{G}+\mathbf{q})\cdot\varepsilon^{\infty}\cdot(\mathbf{G}+\mathbf{q})}e^{-i(\mathbf{G}+\mathbf{q})\cdot\boldsymbol{\tau}_{\kappa}} \\ &\times \langle\Psi_{m\mathbf{k}+\mathbf{q}}|e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}}|\Psi_{n\mathbf{k}}\rangle, \end{split}$$

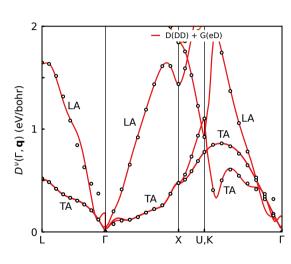
C. Verdi et al., Phys. Rev. Lett. 115, 176401 (2015)
 J. Sjakste et al., Phys. Rev. B 92, 054307 (2015)



S. Poncé et al., arXiv:2105.04192 (2021)

$$\begin{split} g_{mn\nu}(\mathbf{k},\mathbf{q}) = & g_{mn\nu}^{\mathcal{S}}(\mathbf{k},\mathbf{q}) + g_{mn\nu}^{\mathcal{L}}(\mathbf{k},\mathbf{q}) \\ g_{mn\nu}^{\mathcal{L}}(\mathbf{k},\mathbf{q}) &\approx & g_{mn\nu}^{\mathcal{L},\mathbf{D}}(\mathbf{k},\mathbf{q}) + \cdots \\ g_{mn\nu}^{\mathcal{L},\mathbf{D}}(\mathbf{k},\mathbf{q}) = & i \frac{4\pi}{V_{uc}} \frac{e^2}{4\pi\varepsilon^0} \sum_{\kappa} \left[ \frac{\hbar}{2N_{p'}M_{\kappa}\omega_{\mathbf{q}\nu}} \right]^{\frac{1}{2}} \sum_{\mathbf{G}\neq -\mathbf{q}} \\ &\times \frac{(\mathbf{G}+\mathbf{q}) \cdot \mathbf{Z}_{\kappa}^* \cdot \mathbf{e}_{\kappa\mathbf{q}\nu}}{(\mathbf{G}+\mathbf{q}) \cdot \varepsilon^{\infty} \cdot (\mathbf{G}+\mathbf{q})} e^{-i(\mathbf{G}+\mathbf{q}) \cdot \tau_{\kappa}} \\ &\times \langle \Psi_{m\mathbf{k}+\mathbf{q}} | e^{i(\mathbf{q}+\mathbf{G}) \cdot \mathbf{r}} | \Psi_{n\mathbf{k}} \rangle, \end{split}$$

C. Verdi *et al.*, Phys. Rev. Lett. **115**, 176401 (2015) J. Sjakste *et al.*, Phys. Rev. B **92**, 054307 (2015)

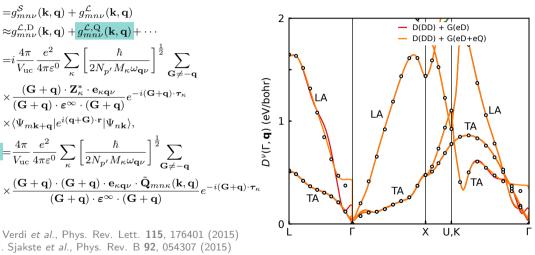


S. Poncé et al., arXiv:2105.04192 (2021)

$$\begin{split} g_{mn\nu}(\mathbf{k},\mathbf{q}) &= g_{mn\nu}^{\mathcal{S}}(\mathbf{k},\mathbf{q}) + g_{mn\nu}^{\mathcal{L}}(\mathbf{k},\mathbf{q}) \\ g_{mn\nu}^{\mathcal{L}}(\mathbf{k},\mathbf{q}) &\approx g_{mn\nu}^{\mathcal{L},\mathcal{D}}(\mathbf{k},\mathbf{q}) + \frac{g_{mn\nu}^{\mathcal{L},\mathcal{Q}}(\mathbf{k},\mathbf{q})}{g_{mn\nu}^{\mathcal{L},\mathcal{Q}}(\mathbf{k},\mathbf{q})} + \cdots \\ g_{mn\nu}^{\mathcal{L},\mathcal{D}}(\mathbf{k},\mathbf{q}) &= i\frac{4\pi}{V_{uc}} \frac{e^2}{4\pi\varepsilon^0} \sum_{\kappa} \left[ \frac{\hbar}{2N_{p'}M_{\kappa}\omega_{\mathbf{q}\nu}} \right]^{\frac{1}{2}} \sum_{\mathbf{G}\neq -\mathbf{q}} \\ &\times \frac{(\mathbf{G}+\mathbf{q}) \cdot \mathbf{Z}_{\kappa}^* \cdot \mathbf{e}_{\kappa\mathbf{q}\nu}}{(\mathbf{G}+\mathbf{q}) \cdot \varepsilon^{\infty} \cdot (\mathbf{G}+\mathbf{q})} e^{-i(\mathbf{G}+\mathbf{q}) \cdot \tau_{\kappa}} \\ &\times \langle \Psi_{m\mathbf{k}+\mathbf{q}}|e^{i(\mathbf{q}+\mathbf{G}) \cdot \mathbf{r}}|\Psi_{n\mathbf{k}}\rangle, \\ g_{mn\nu}^{\mathcal{L},\mathcal{Q}}(\mathbf{k},\mathbf{q}) &= \frac{4\pi}{V_{uc}} \frac{e^2}{4\pi\varepsilon^0} \sum_{\kappa} \left[ \frac{\hbar}{2N_{p'}M_{\kappa}\omega_{\mathbf{q}\nu}} \right]^{\frac{1}{2}} \sum_{\mathbf{G}\neq -\mathbf{q}} \end{split}$$

C. Verdi et al., Phys. Rev. Lett. 115, 176401 (2015) J. Sjakste et al., Phys. Rev. B 92, 054307 (2015)

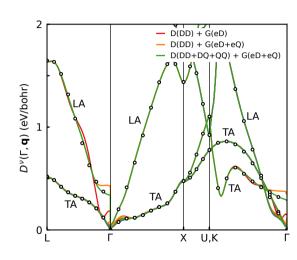
G. Brunin et al., Phys. Rev. Lett. 125, 136601 (2020) V.A. Jhalani et al., Phys. Rev. Lett. 125, 136602 (2020)



S. Poncé et al., arXiv:2105.04192 (2021)

Samuel Poncé, EPFL

$$\begin{split} D_{\kappa\alpha,\kappa'\beta}^{\mathcal{L},\mathrm{D+Q}}(\mathbf{q}) &= \frac{e^{i\mathbf{q}\cdot(\boldsymbol{\tau}_{\kappa}-\boldsymbol{\tau}_{\kappa'})}e^{\frac{-\mathbf{q}\cdot\boldsymbol{\varepsilon}^{\infty}\cdot\mathbf{q}}{4\Lambda^{2}}}}{\mathbf{q}\cdot\boldsymbol{\varepsilon}^{\infty}\cdot\mathbf{q}} \left[\mathbf{q}\cdot\mathbf{Z}_{\kappa\alpha}^{*}\cdot\mathbf{q}\cdot\mathbf{Z}_{\kappa'\beta}^{*}\right. \\ &\left. + \frac{1}{4}\mathbf{q}\cdot\mathbf{q}\cdot\mathbf{Q}_{\kappa\alpha}\cdot\mathbf{q}\cdot\mathbf{q}\cdot\mathbf{Q}_{\kappa'\beta} + \frac{i}{2}\mathbf{q}\cdot\mathbf{Z}_{\kappa\alpha}^{*}\cdot\mathbf{q}\cdot\mathbf{q}\cdot\mathbf{Q}_{\kappa'\beta} \right. \\ &\left. - \frac{i}{2}\mathbf{q}\cdot\mathbf{q}\cdot\mathbf{Q}_{\kappa\alpha}\cdot\mathbf{q}\cdot\mathbf{Z}_{\kappa'\beta}^{*} \right] \end{split}$$



M. Royo et al., Phys. Rev. Lett. 125, 217602 (2020)

S. Poncé et al., arXiv:2105.04192 (2021)

## Additional notes

efermi\_read = .true and fermi\_energy = 11.246840 eV need to be provided and the fsthick energy window is computed with respect to that level.

Suggestion: select the fermi\_energy to be  $+0.1~\rm eV$  above the VBM for hole mobility calculation and -0.1 eV below the CBM for electron mobility.

ncarrier = -1E13 is the target carrier concentration in cm<sup>-3</sup>. If negative it means hole mobility and positive electron mobility. An absolute value of ncarrier below 1E5 will result in an intrinsic mobility calculation and the Fermi level will be determined such that electron and hole have the same carrier density. For large bandgap and low temperature this will result in very low carrier concentration and thus be very unstable.

For reasonable carrier concentration (i.e. values between 1E10 and 1E16), the resulting mobility will be independent of carrier concentration. For large carrier concentration, ionized impurity scattering needs to be taken account (not cover in this hands-on).