

## Solution Outline for Lab 6

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# Content

## 1 Yet Another Quick Sort Problem

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Given the pseudocode for QUICKSORT and the **permutation**  $A$ , then determine the number of times the swap operation is executed when performing QUICKSORT on  $A$ .

$$1 \leq n \leq 5 \times 10^5.$$

## Yet Another Quick Sort Problem (cont'd)

### Hint 1

This problem cannot be directly simulated using pseudocode, as it would degrade to a time complexity of  $\mathcal{O}(n^2)$ , which would not work with the current large dataset.

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### Lemma 1

*The number of swap operations executed by QUICKSORT is  $\mathcal{O}(n \log n)$ , which holds for **any sequence**.*

*Proof.* Assume the pivot is less than or equal to the median, so it will be finally placed at position  $m \leq (l + r)/2$ . Let  $L$  be the elements in  $(m, r]$  with indices in  $[l, m]$ , and  $R$  be those in  $[l, m)$  with indices in  $[m, r]$ . QUICKSORT swaps elements between  $L$  and  $R$ , with  $|L|, |R| \leq m$ .

# Yet Another Quick Sort Problem (cont'd)

## Lemma 1 (cont'd)

Let  $T(n)$  represent the number of swap operations required for a sequence of length  $n$ . The recurrence relation can be expressed as:

$$\begin{aligned}
 T(n) &= T(m) + T(n - m) + \min(m, n - m) \\
 &= \sum_{i=1}^k T(m_i) + \mathcal{O}\left(\sum_{i=1}^k m_i\right) \\
 &\quad \text{(take the } \leq n/2 \text{ part at each split as } m_i) \\
 &= \sum_{i=1}^k T(m_i) + \mathcal{O}(n) = \mathcal{O}(n \log n)
 \end{aligned}$$

The last step holds because  $m_i \leq n/2$ , so the recursion depth is at most  $\mathcal{O}(\log n)$ . □

## Yet Another Quick Sort Problem (cont'd)

### Hint 2

According to Lemma 1, we can directly simulate each swap. The bottleneck in the pseudocode is finding elements greater or less than the pivot, which needs optimization.

Lemma 1 provides the solution: find lists  $L$  and  $R$ . The former can be scanned directly, while the latter can be tracked using a table with element positions. To swap in pseudocode order, we sort one of list and swap elements one by one. The time complexity is  $\mathcal{O}(n \log^2 n)$ .

## Yet Another Quick Sort Problem (cont'd)

### Bonus Problem (no extra points)

- 1 When  $m$  is close to the median, directly simulating the pseudocode results in better complexity, the above algorithm can be optimized to  $\mathcal{O}\left(\frac{n \log^2 n}{\log \log n}\right)$ .
- 2 The problem can also be solved when  $A$  is not a permutation, but it requires advanced data structures.



THANK YOU!