

# CNN for CV

AI for CV Group  
2019



# Week 6. CNN I. Outline & Layers

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## I. CNN Outlines

- A. What CNN does in CV
- B. CNN Outlines

## II. Fundamental Layers

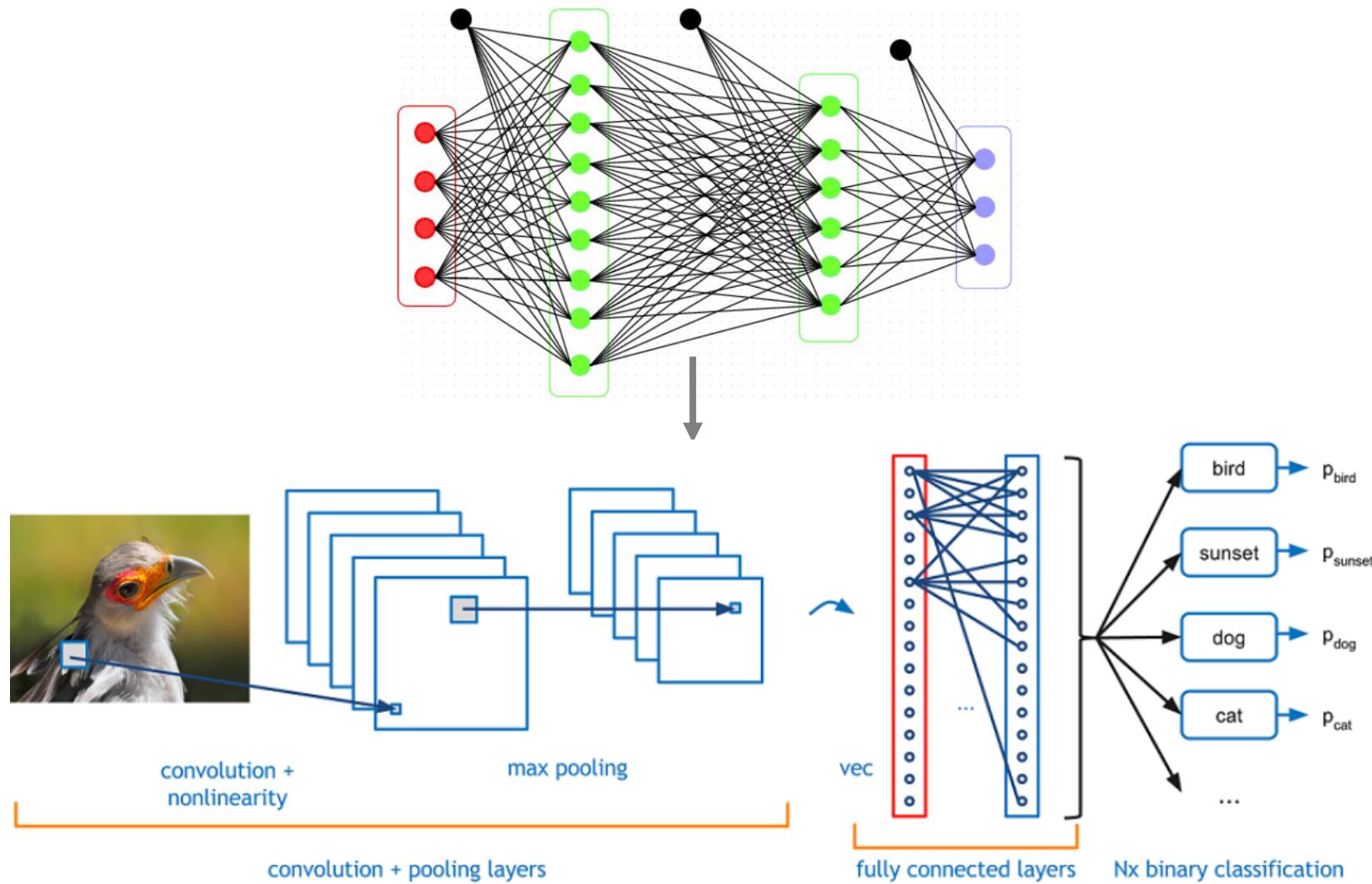
- C. Layers Concepts & Implementations

## III. Functional Layers

- D. Functional Layers Concepts & Implementations

# I. CNN Outlines

# I. CNN Outlines



# I. CNN Outlines

## A. What CNN does in CV:

# I. CNN Outlines

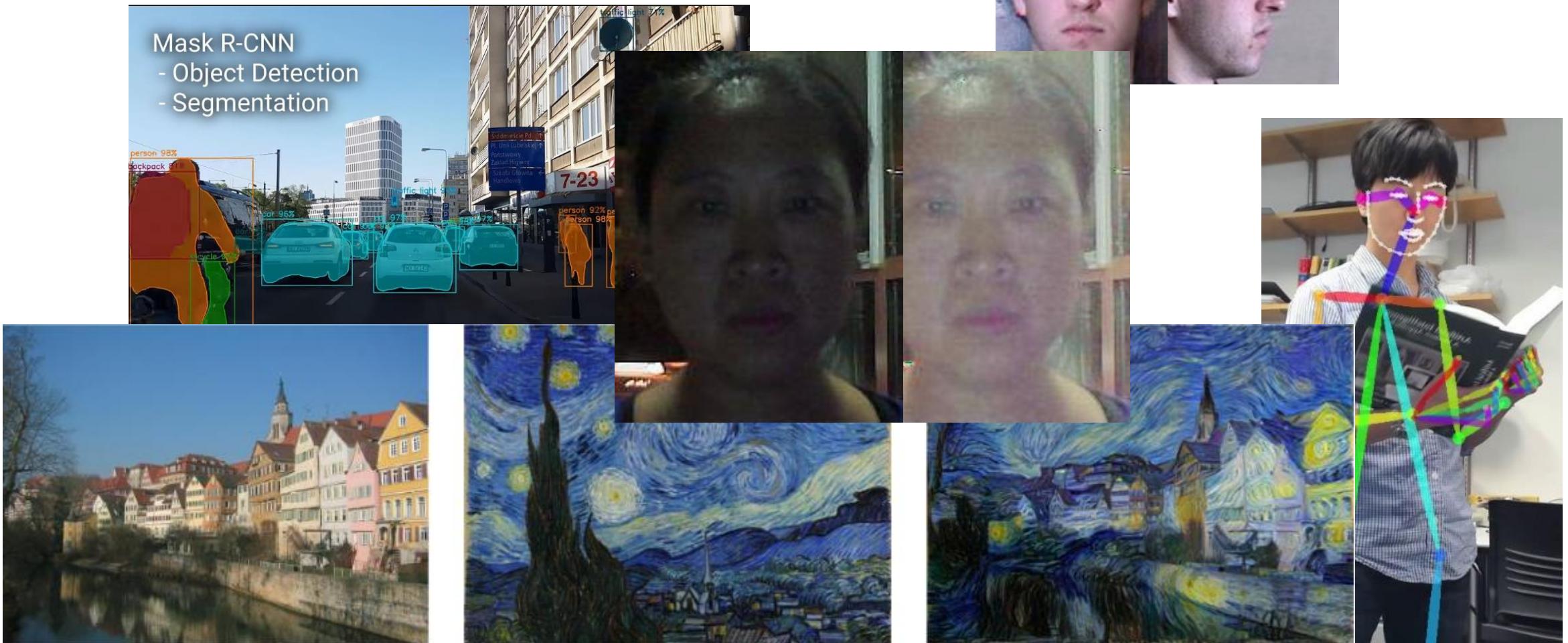
## A. What CNN does in CV:

**Everything**

(Almost)

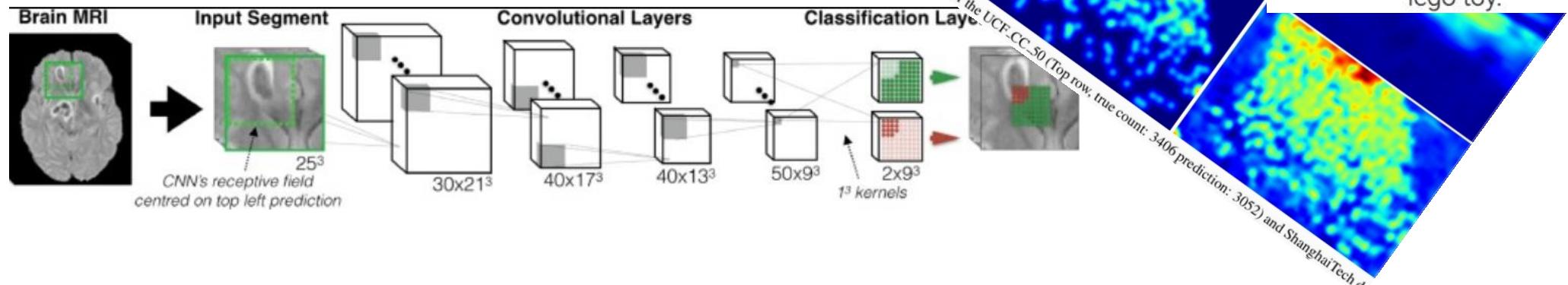
# I. CNN Outlines

## A. What CNN does in CV:



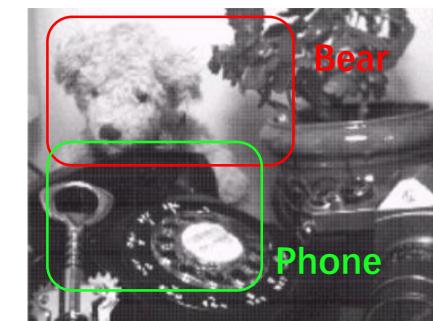
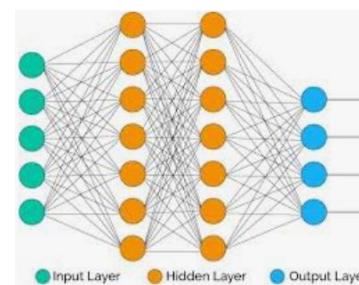
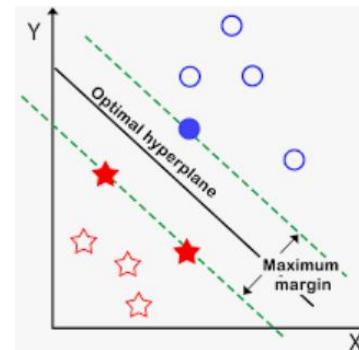
# I. CNN Outlines

## A. What CNN does in CV:



# I. CNN Outlines

## B. CNN Outlines: Old Fashion Way:



Input



Get Manually

Feature



Choose Carefully

Classic ML Tools



Use Hopelessly

Target

# I. CNN Outlines

## B. CNN Outlines:

**Old Fashion Way:**



Input

Feature

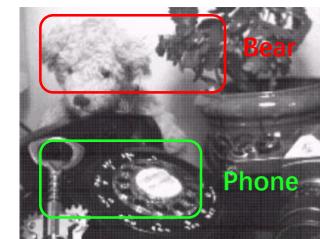
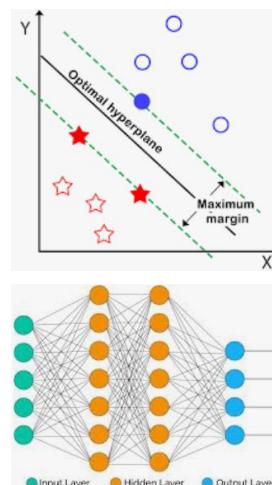
Classic ML  
Tools

Target

Get  
Manually

Choose  
Carefully

Use  
Hopelessly



## Pros & Cons

- Mathematical
- Explainable
- Difficult & Messy
- Separated Processes
- Poor results
- Hard to learn & implement

# I. CNN Outlines

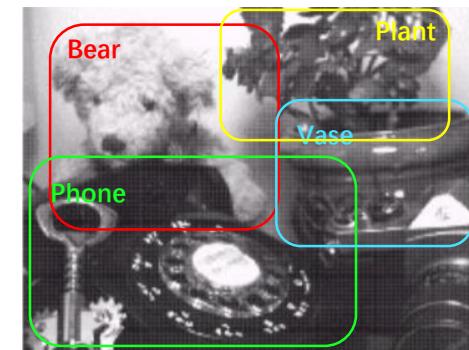
## B. CNN Outlines:

**CNN / Modern Way:**



Put In

Only Focus  
On  
This Part



Use It

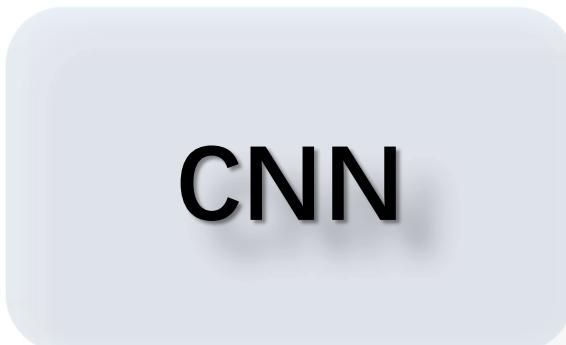
# I. CNN Outlines

## B. CNN Outlines:

**CNN / Modern Way:**

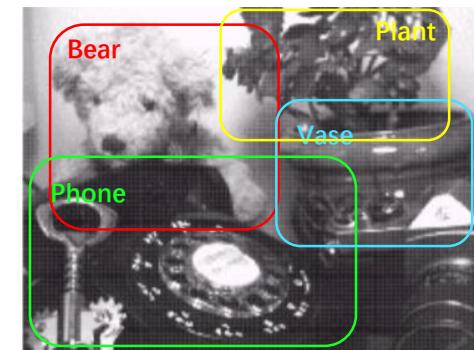
### Pros & Cons

- End-to-end
- Easy to implement
- No need to learn part by part
- Unexplainable



Put In

Only Focus  
On  
This Part

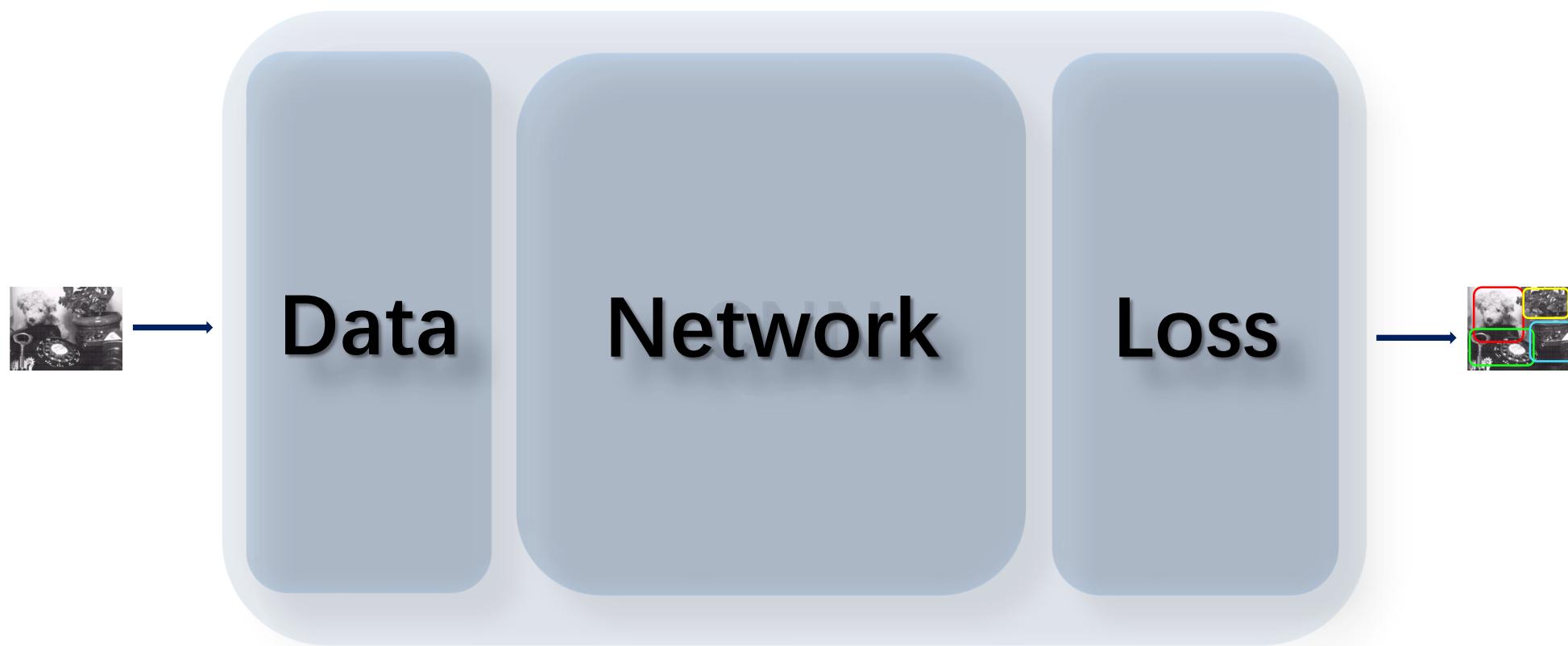


Use The Result

# I. CNN Outlines

## B. CNN Outlines:

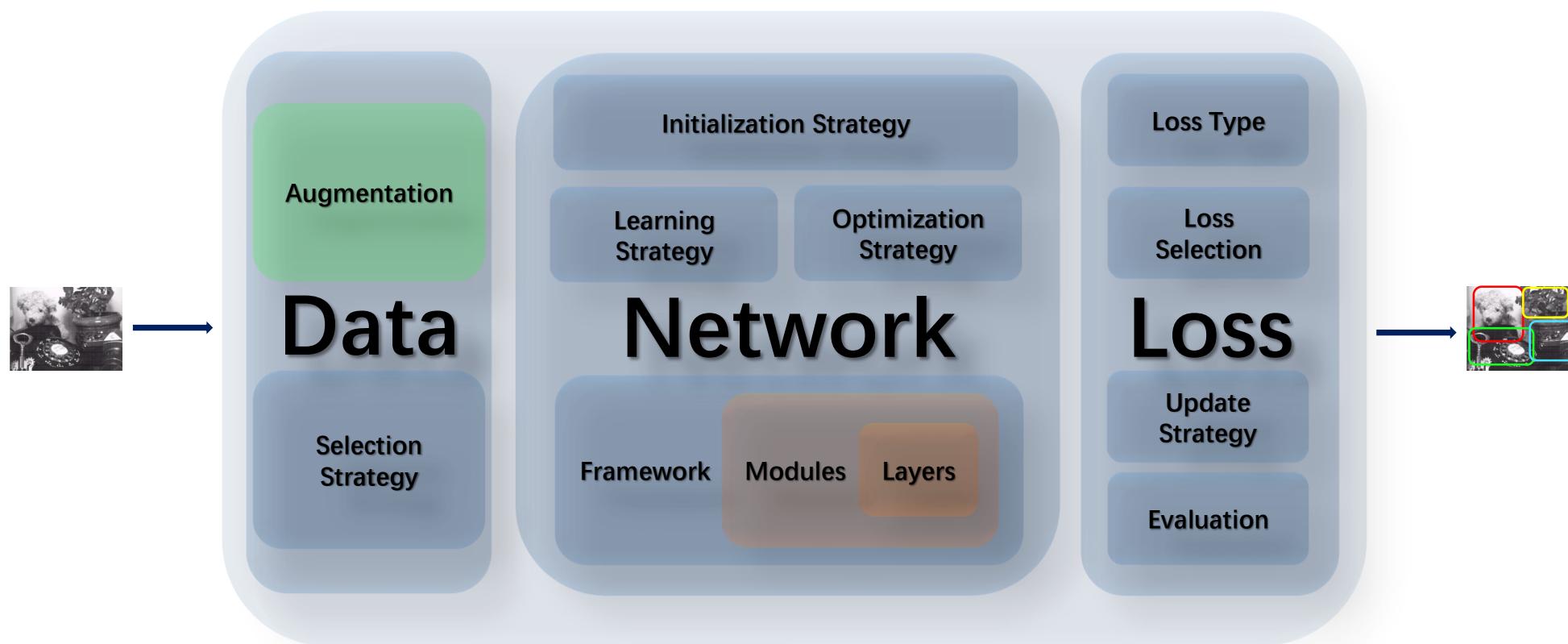
**CNN / Modern Way:**



# I. CNN Outlines

## B. CNN Outlines:

**CNN / Modern Way:**



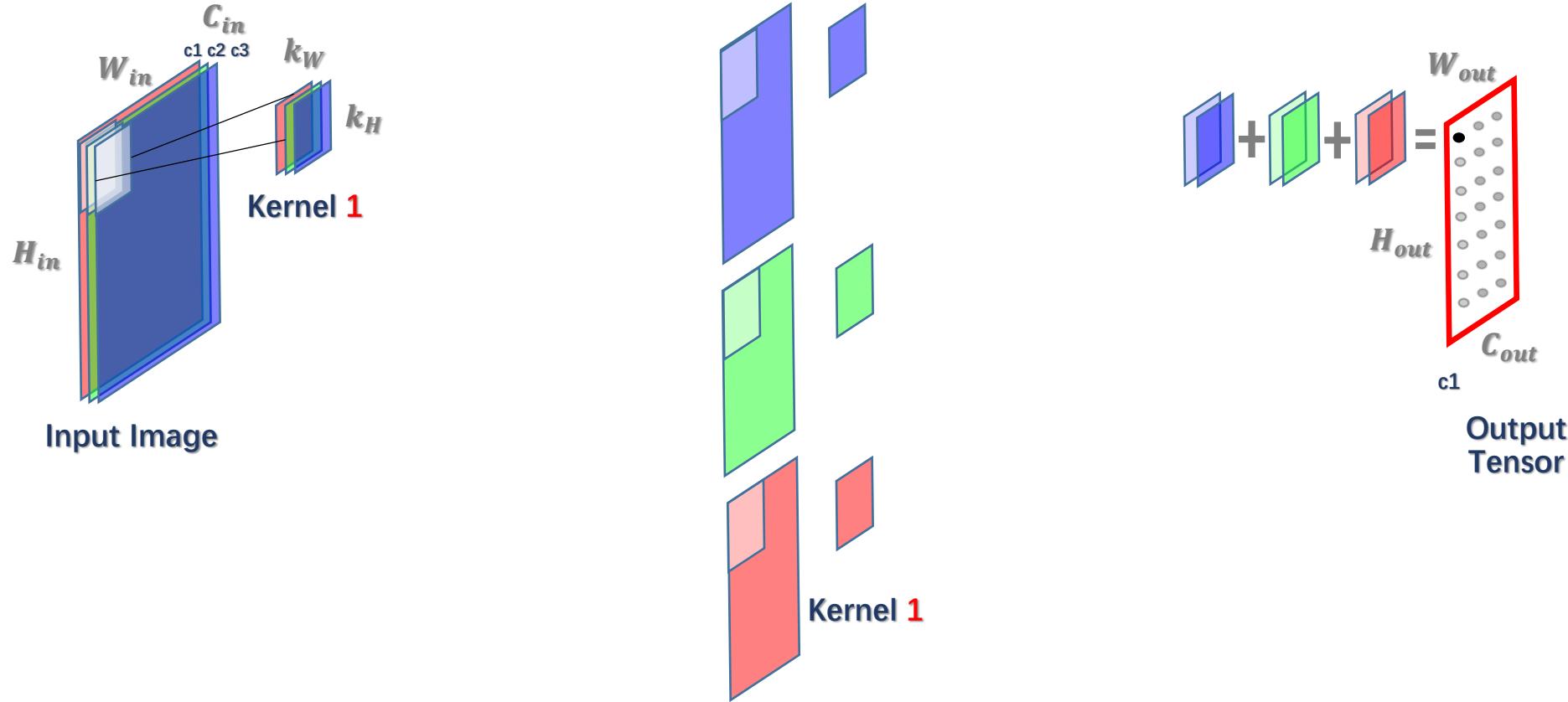
## II. Fundamental Layers



# II. Fundamental Layers

## C. Layers Concepts & Implements

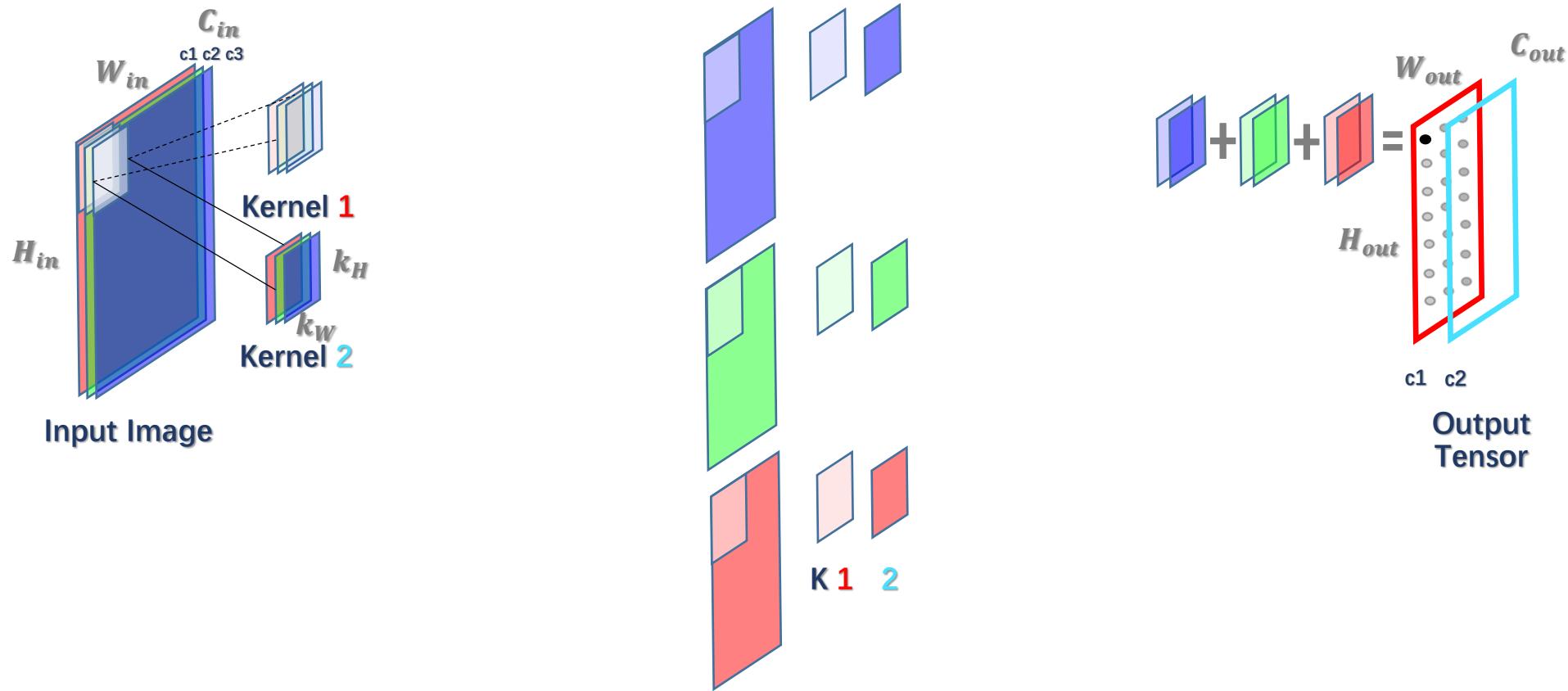
### C1. Convolution AGAIN!



# II. Fundamental Layers

## C. Layers Concepts & Implements

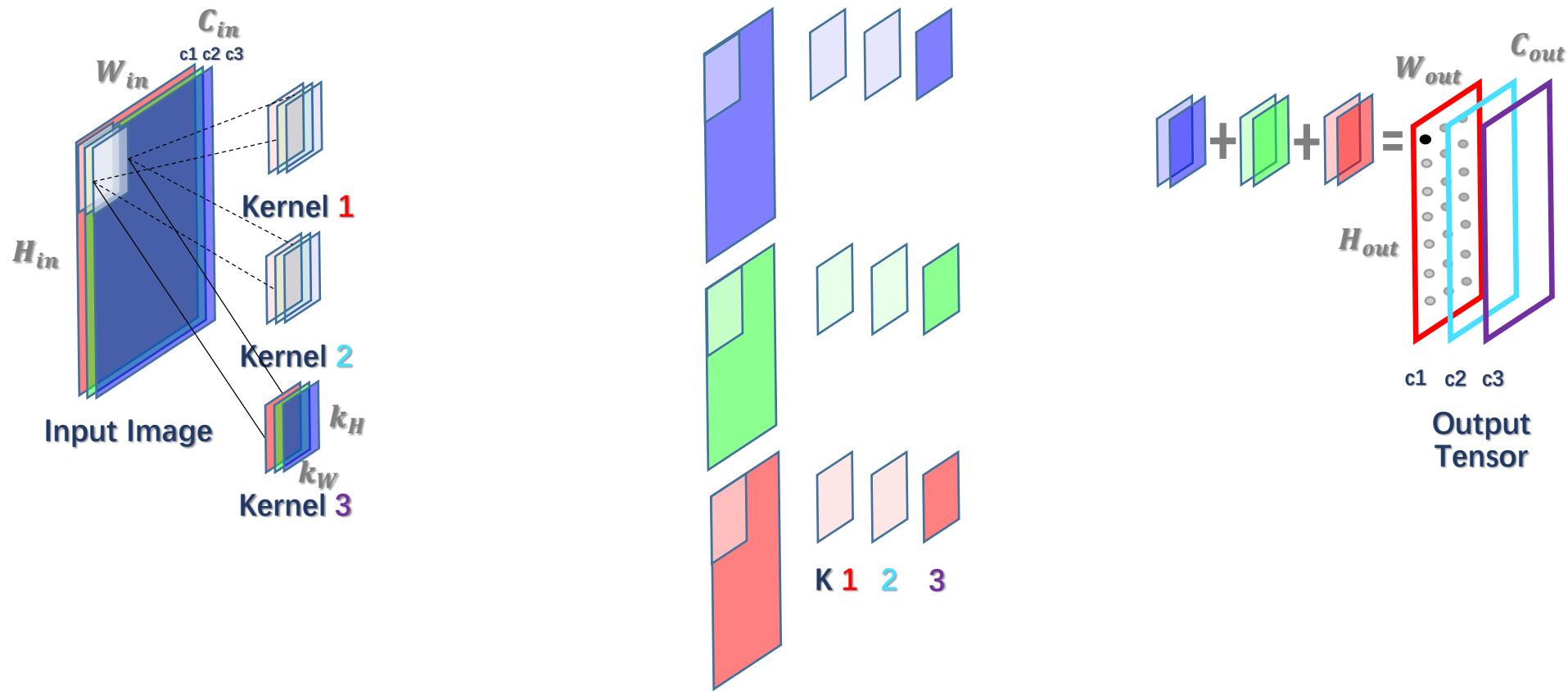
### C1. Convolution - General



# II. Fundamental Layers

## C. Layers Concepts & Implements

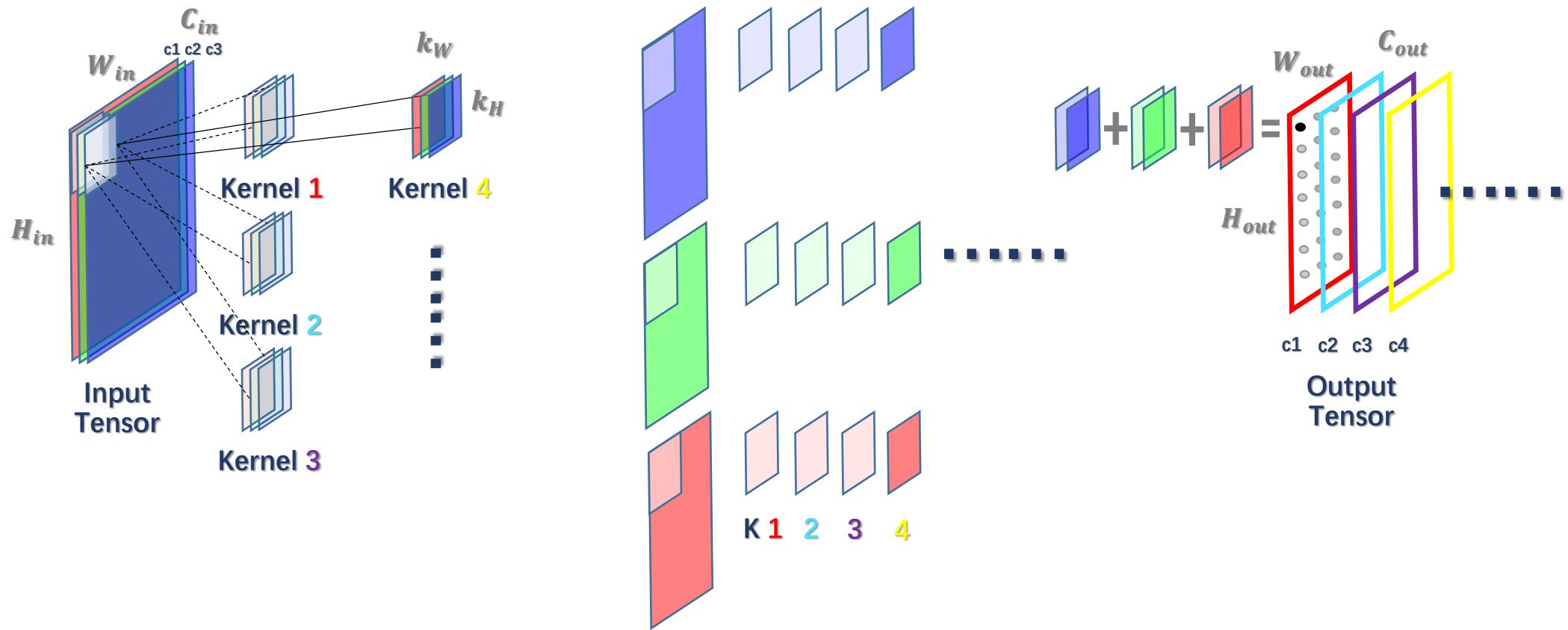
### C1. Convolution - General



# II. Fundamental Layers

## C. Layers Concepts & Implements

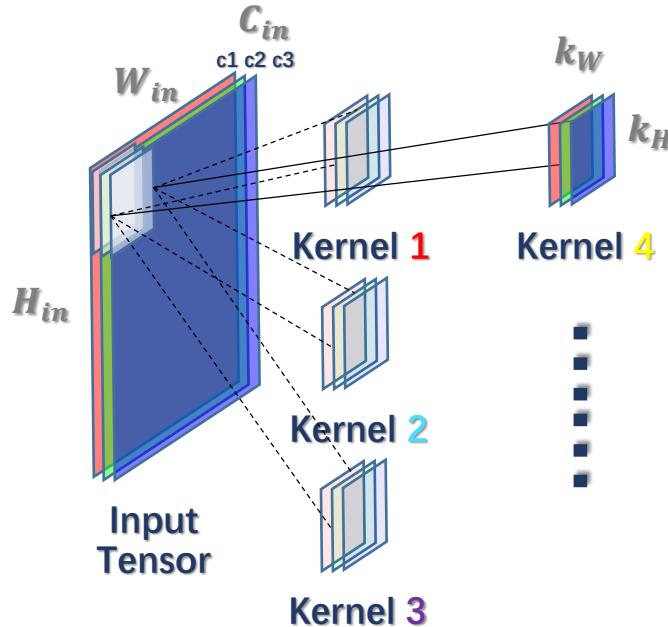
### C1. Convolution - General



# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - General

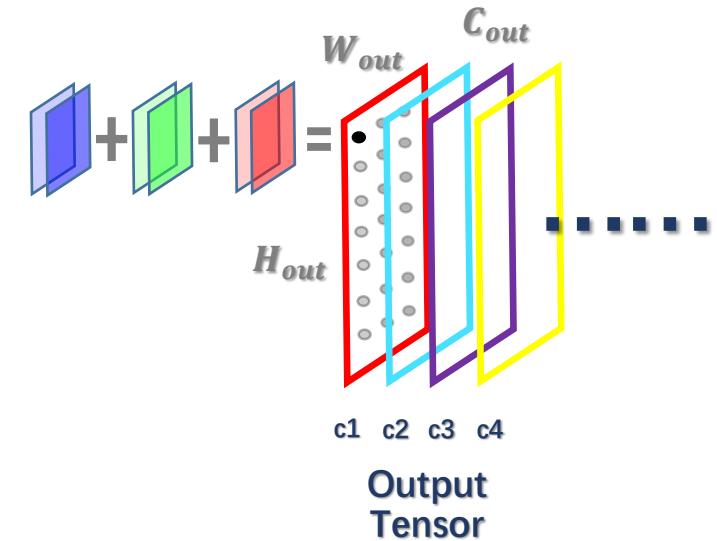


*Output Tensor Shape:*

$$C_{out} = \# \text{ of Kernel}$$

$$W_{out} = ?$$

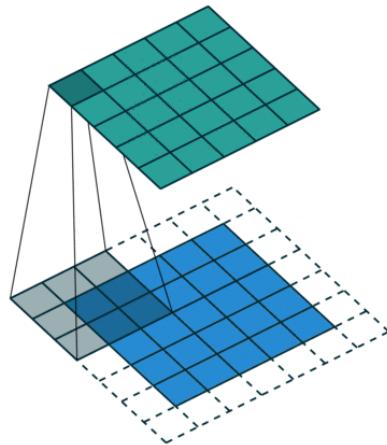
$$H_{out} = ?$$



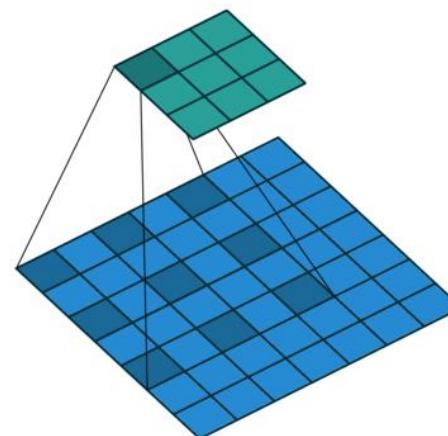
# II. Fundamental Layers

## C. Layers Concepts & Implements

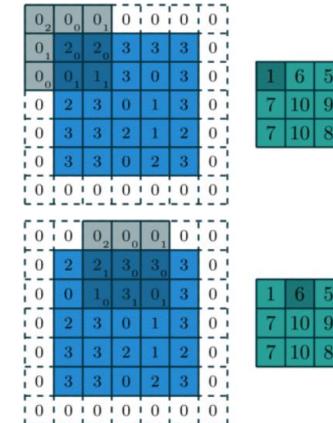
### C1. Convolution - General



**Padding:**  
Get same input size



**Dilation:**  
Bigger receptive field

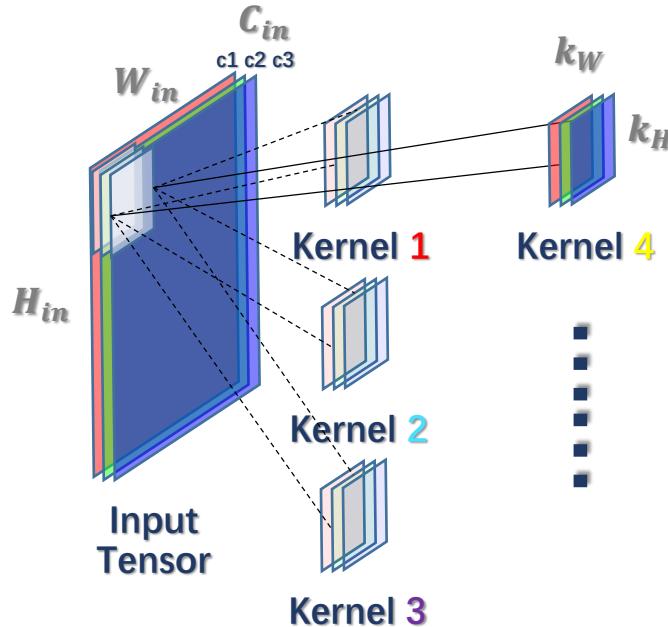


**Stride:**  
Downsample

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - General



*Output Tensor Shape:*

$C_{out}$  = # of Kernel

$$H_{out} = \left\lfloor \frac{H_{in} + 2 \times pad_H - dilation_H \times (k_H - 1) - 1}{stride_H} + 1 \right\rfloor$$

$$W_{out} = \left\lfloor \frac{W_{in} + 2 \times pad_W - dilation_W \times (k_W - 1) - 1}{stride_W} + 1 \right\rfloor$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

#### Forward

$I_0$	$I_1$	$I_2$
$I_3$	$I_4$	$I_5$
$I_6$	$I_7$	$I_8$

$$\otimes = \begin{bmatrix} h_{00} & h_{01} \\ h_{10} & h_{11} \end{bmatrix}$$

$w_{00}$	$w_{01}$
$w_{10}$	$w_{11}$

$$\begin{cases} h_{00} = w_{00}I_0 + w_{01}I_1 + w_{10}I_3 + w_{11}I_4 \\ h_{01} = w_{00}I_1 + w_{01}I_2 + w_{10}I_4 + w_{11}I_5 \\ h_{10} = w_{00}I_3 + w_{01}I_4 + w_{10}I_6 + w_{11}I_7 \\ h_{11} = w_{00}I_4 + w_{01}I_5 + w_{10}I_7 + w_{11}I_8 \end{cases}$$

$$h = CI$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

Forward

$$\mathbf{h} = \mathbf{C}\mathbf{I}$$

$$\begin{cases} h_{00} = w_{00}I_0 + w_{01}I_1 + w_{10}I_3 + w_{11}I_4 \\ h_{01} = w_{00}I_1 + w_{01}I_2 + w_{10}I_4 + w_{11}I_5 \\ h_{10} = w_{00}I_3 + w_{01}I_4 + w_{10}I_6 + w_{11}I_7 \\ h_{11} = w_{00}I_4 + w_{01}I_5 + w_{10}I_7 + w_{11}I_8 \end{cases}$$

$$\begin{array}{|c|c|c|} \hline I_0 & I_1 & I_2 \\ \hline I_3 & I_4 & I_5 \\ \hline I_6 & I_7 & I_8 \\ \hline \end{array} \quad \otimes \quad = \quad \begin{array}{|c|c|} \hline h_{00} & h_{01} \\ \hline h_{10} & h_{11} \\ \hline \end{array}$$
  
$$\begin{array}{|c|c|} \hline w_{00} & w_{01} \\ \hline w_{10} & w_{11} \\ \hline \end{array}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

#### Transposed Weight Matrix C

$$\begin{array}{|c|c|c|} \hline I_0 & I_1 & I_2 \\ \hline I_3 & I_4 & I_5 \\ \hline I_6 & I_7 & I_8 \\ \hline \end{array} \quad \otimes \quad = \quad \begin{array}{|c|c|} \hline h_{00} & h_{01} \\ \hline h_{10} & h_{11} \\ \hline \end{array}$$
$$\begin{array}{|c|c|} \hline w_{00} & w_{01} \\ \hline w_{10} & w_{11} \\ \hline \end{array}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

#### Backward / BP

$$Loss = \partial h_{00} + \partial h_{01} + \partial h_{10} + \partial h_{11}$$

$$\begin{array}{|c|c|c|}\hline I_0 & I_1 & I_2 \\ \hline I_3 & I_4 & I_5 \\ \hline I_6 & I_7 & I_8 \\ \hline\end{array} \quad \otimes \quad = \quad \begin{array}{|c|c|}\hline h_{00} & h_{01} \\ \hline h_{10} & h_{11} \\ \hline\end{array}$$
  
$$\begin{array}{|c|c|}\hline w_{00} & w_{01} \\ \hline w_{10} & w_{11} \\ \hline\end{array}$$

$$\begin{cases} h_{00} = w_{00}I_0 + w_{01}I_1 + w_{10}I_3 + w_{11}I_4 \\ h_{01} = w_{00}I_1 + w_{01}I_2 + w_{10}I_4 + w_{11}I_5 \\ h_{10} = w_{00}I_3 + w_{01}I_4 + w_{10}I_6 + w_{11}I_7 \\ h_{11} = w_{00}I_4 + w_{01}I_5 + w_{10}I_7 + w_{11}I_8 \end{cases}$$

$$\begin{aligned} \partial w &=? \\ \partial I &=? \end{aligned}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

#### Backward / BP

$$Loss = \partial h_{00} + \partial h_{01} + \partial h_{10} + \partial h_{11}$$

$$\begin{array}{|c|c|c|}\hline I_0 & I_1 & I_2 \\ \hline I_3 & I_4 & I_5 \\ \hline I_6 & I_7 & I_8 \\ \hline\end{array} \quad \otimes \quad = \quad \begin{array}{|c|c|}\hline h_{00} & h_{01} \\ \hline h_{10} & h_{11} \\ \hline\end{array}$$

$$\begin{cases} h_{00} = w_{00}I_0 + w_{01}I_1 + w_{10}I_3 + w_{11}I_4 \\ h_{01} = w_{00}I_1 + w_{01}I_2 + w_{10}I_4 + w_{11}I_5 \\ h_{10} = w_{00}I_3 + w_{01}I_4 + w_{10}I_6 + w_{11}I_7 \\ h_{11} = w_{00}I_4 + w_{01}I_5 + w_{10}I_7 + w_{11}I_8 \end{cases}$$

$$\begin{array}{|c|c|}\hline w_{00} & w_{01} \\ \hline w_{10} & w_{11} \\ \hline\end{array}$$

$$\frac{\partial L}{\partial w} = \frac{\partial L}{\partial h} \frac{\partial h}{\partial w} \quad \begin{cases} \partial w_{00} = \partial h_{00}I_0 + \partial h_{01}I_1 + \partial h_{10}I_3 + \partial h_{11}I_4 \\ \partial w_{01} = \partial h_{00}I_1 + \partial h_{01}I_2 + \partial h_{10}I_4 + \partial h_{11}I_5 \\ \partial w_{10} = \partial h_{00}I_3 + \partial h_{01}I_4 + \partial h_{10}I_6 + \partial h_{11}I_7 \\ \partial w_{11} = \partial h_{00}I_4 + \partial h_{01}I_5 + \partial h_{10}I_7 + \partial h_{11}I_8 \end{cases}$$

$$\frac{\partial L}{\partial I} = \frac{\partial L}{\partial h} \frac{\partial h}{\partial I}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

#### Backward / BP

$$\begin{array}{|c|c|c|} \hline I_0 & I_1 & I_2 \\ \hline I_3 & I_4 & I_5 \\ \hline I_6 & I_7 & I_8 \\ \hline \end{array} \quad \otimes \quad = \quad \begin{array}{|c|c|} \hline h_{00} & h_{01} \\ \hline h_{10} & h_{11} \\ \hline \end{array}$$
  
$$\begin{array}{|c|c|} \hline w_{00} & w_{01} \\ \hline w_{10} & w_{11} \\ \hline \end{array}$$

$$\frac{\partial L}{\partial w} = \frac{\partial L}{\partial h} \frac{\partial h}{\partial w}$$
$$\frac{\partial L}{\partial I} = \frac{\partial L}{\partial h} \frac{\partial h}{\partial I}$$
$$\begin{aligned}\frac{\partial w_{00}}{\partial h} &= \frac{\partial h_{00}}{\partial w_{00}} \\ \frac{\partial w_{01}}{\partial h} &= \frac{\partial h_{01}}{\partial w_{01}} \\ \frac{\partial w_{10}}{\partial h} &= I_M \cong C \frac{\partial h_{01}}{\partial h_{10}} \\ \frac{\partial w_{11}}{\partial h} &= \frac{\partial h_{11}}{\partial w_{11}}\end{aligned}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

$I_0$	$I_1$	$I_2$
$I_3$	$I_4$	$I_5$
$I_6$	$I_7$	$I_8$

$$\otimes \quad = \quad \begin{matrix} h_{00} & h_{01} \\ h_{10} & h_{11} \end{matrix}$$

$w_{00}$	$w_{01}$
$w_{10}$	$w_{11}$

$$\partial w = \frac{\partial L}{\partial h} \frac{\partial h}{\partial w}$$

$$\partial I = \frac{\partial L}{\partial h} \frac{\partial h}{\partial I}$$

### Backward / BP

$$\begin{aligned}\partial w_{00} &= & \partial h_{00} \\ \partial w_{01} &= & \partial h_{01} \\ \partial w_{10} &= & I_c \cong \mathbf{C} \quad \partial h_{10} \\ \partial w_{11} &= & \partial h_{11}\end{aligned}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

#### Backward / BP

$$Loss = \partial h_{00} + \partial h_{01} + \partial h_{10} + \partial h_{11}$$

$$\begin{cases} h_{00} = w_{00}I_0 + w_{01}I_1 + w_{10}I_3 + w_{11}I_4 \\ h_{01} = w_{00}I_1 + w_{01}I_2 + w_{10}I_4 + w_{11}I_5 \\ h_{10} = w_{00}I_3 + w_{01}I_4 + w_{10}I_6 + w_{11}I_7 \\ h_{11} = w_{00}I_4 + w_{01}I_5 + w_{10}I_7 + w_{11}I_8 \end{cases}$$

$$\begin{matrix} I_0 & I_1 & I_2 \\ I_3 & I_4 & I_5 \\ I_6 & I_7 & I_8 \end{matrix} \otimes \begin{matrix} w_{00} & w_{01} \\ w_{10} & w_{11} \end{matrix} = \begin{matrix} h_{00} & h_{01} \\ h_{10} & h_{11} \end{matrix}$$

$$\partial w = \frac{\partial L}{\partial h} \frac{\partial h}{\partial w}$$

$$\partial I = \frac{\partial L}{\partial h} \frac{\partial h}{\partial I}$$

$$\begin{cases} \partial I_0 = \partial h_{00}w_{00} \\ \partial I_1 = \partial h_{00}w_{01} + \partial h_{01}w_{00} \\ \partial I_2 = \partial h_{01}w_{01} \\ \partial I_3 = \partial h_{00}w_{10} + \partial h_{10}w_{00} \\ \vdots \end{cases}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

#### Backward / BP

$$\begin{array}{|c|c|c|} \hline I_0 & I_1 & I_2 \\ \hline I_3 & I_4 & I_5 \\ \hline I_6 & I_7 & I_8 \\ \hline \end{array} \quad \otimes \quad = \quad \begin{array}{|c|c|} \hline h_{00} & h_{01} \\ \hline h_{10} & h_{11} \\ \hline \end{array}$$
  
$$\begin{array}{|c|c|} \hline w_{00} & w_{01} \\ \hline w_{10} & w_{11} \\ \hline \end{array}$$

$$\partial_w = \frac{\partial L}{\partial h} \frac{\partial h}{\partial w}$$
  
$$\partial_l = \frac{\partial L}{\partial h} \frac{\partial h}{\partial l}$$
  
$$\begin{aligned} \partial I_0 &= \frac{\partial h_{00}}{\partial h} \\ \partial I_1 &= C^T \frac{\partial h_{01}}{\partial h} \\ \partial I_2 &= \frac{\partial h_{10}}{\partial h} \\ \partial I_3 &= \frac{\partial h_{11}}{\partial h} \\ &\vdots \end{aligned}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

$I_0$	$I_1$	$I_2$
$I_3$	$I_4$	$I_5$
$I_6$	$I_7$	$I_8$

$$\otimes = \begin{bmatrix} h_{00} & h_{01} \\ h_{10} & h_{11} \end{bmatrix}$$

$w_{00}$	$w_{01}$
$w_{10}$	$w_{11}$

$$\partial w = \frac{\partial L}{\partial h} \frac{\partial h}{\partial w}$$

$$\partial I = \frac{\partial L}{\partial h} \frac{\partial h}{\partial I}$$

### Backward / BP

$$\begin{aligned}\partial I_0 &= \frac{\partial h_{00}}{\partial h_{00}} \\ \partial I_1 &= \frac{\partial h_{01}}{\partial h_{01}} \\ \partial I_2 &= C^T \frac{\partial h_{10}}{\partial h_{10}} \\ \partial I_3 &= \frac{\partial h_{11}}{\partial h_{11}} \\ &\vdots\end{aligned}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

$$\partial I = \frac{\partial L}{\partial h} \frac{\partial h}{\partial I}$$

$I_0$	$I_1$	$I_2$
$I_3$	$I_4$	$I_5$
$I_6$	$I_7$	$I_8$

$$\otimes = \begin{bmatrix} h_{00} & h_{01} \\ h_{10} & h_{11} \end{bmatrix}$$

$w_{00}$	$w_{01}$
$w_{10}$	$w_{11}$

Thinking

*Conv Forward*

$I \rightarrow h$

$3 \times 3 \rightarrow 2 \times 2$

*Downsample*

$$\begin{aligned}\partial I_0 &= \frac{\partial h_{00}}{\partial I} \\ \partial I_1 &= \frac{\partial h_{01}}{\partial I} \\ \partial I_2 &= \mathbf{C}^T \frac{\partial h_{10}}{\partial I} \\ \partial I_3 &= \frac{\partial h_{11}}{\partial I} \\ &\vdots\end{aligned}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Calculation

$$\partial I = \frac{\partial L}{\partial h} \frac{\partial h}{\partial I}$$

$I_0$	$I_1$	$I_2$
$I_3$	$I_4$	$I_5$
$I_6$	$I_7$	$I_8$

$$\otimes \quad = \quad \begin{matrix} h_{00} & h_{01} \\ h_{10} & h_{11} \end{matrix}$$

$w_{00}$	$w_{01}$
$w_{10}$	$w_{11}$

Thinking

*Conv Forward*

$I \rightarrow h$

$3 \times 3 \rightarrow 2 \times 2$

*Downsample*

$$\begin{aligned}\partial I_0 &= \frac{\partial h_{00}}{\partial h} \\ \partial I_1 &= \frac{\partial h_{01}}{\partial h} \\ \partial I_2 &= \mathbf{C}^T \frac{\partial h_{10}}{\partial h} \\ \partial I_3 &= \frac{\partial h_{11}}{\partial h} \\ &\vdots\end{aligned}$$

*Conv Backward*

$\partial h \rightarrow \partial I$

$2 \times 2 \rightarrow 3 \times 3$

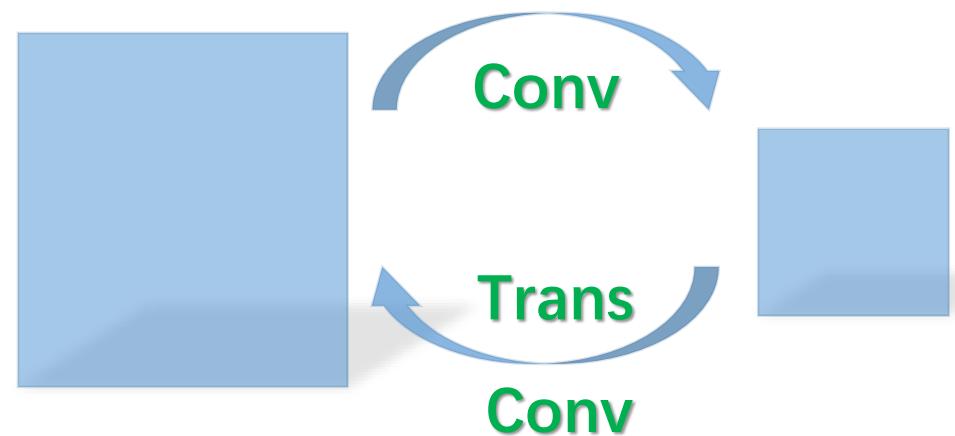
*UPSAMPLE*

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution

-Transposed Conv / Deconv / Fractionally Strided Conv

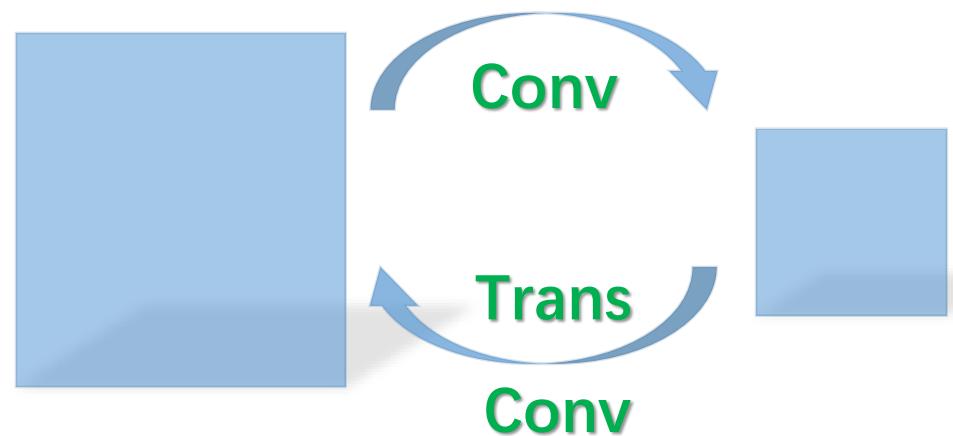


# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution

-Transposed Conv / Deconv / Fractionally Strided Conv

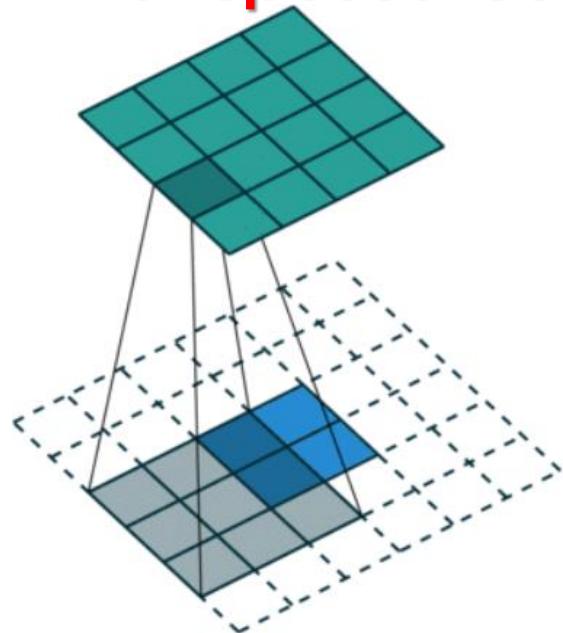


# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution

-Transposed Conv / Deconv / Fractionally Strided Conv



Transposed Conv + Padding

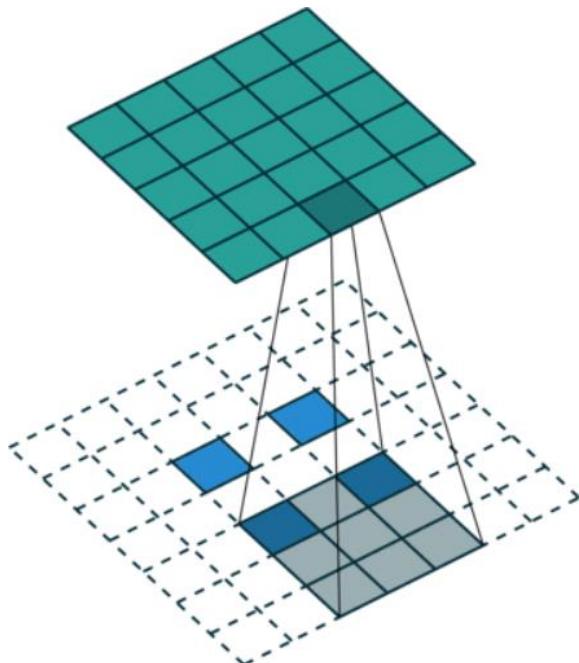
↔  
Conv

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution

-Transposed Conv / Deconv / Fractionally Strided Conv



Conv with stride > 0

Add 0 among elements  
when transposed conv

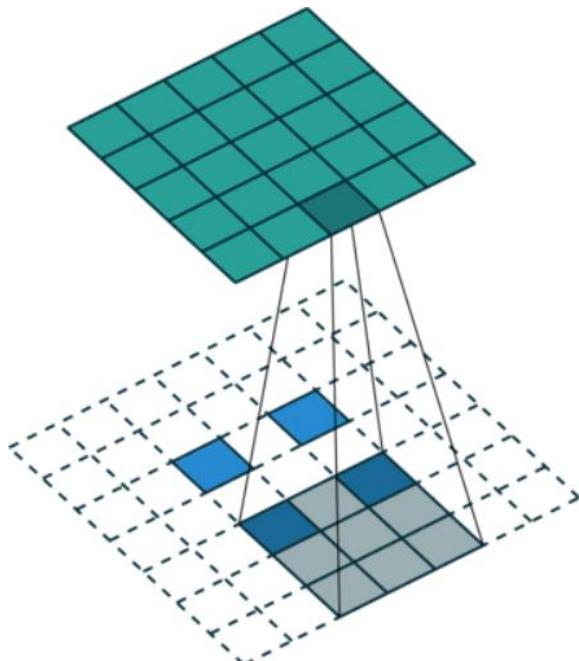
$$\text{Stride}_{\text{trans\_conv}} = \frac{1}{\text{Stride}_{\text{conv}}}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution

-Transposed Conv / Deconv / Fractionally Strided Conv



*Output Tensor Shape:*

$$C_{out} = \# \text{ of Kernel}$$

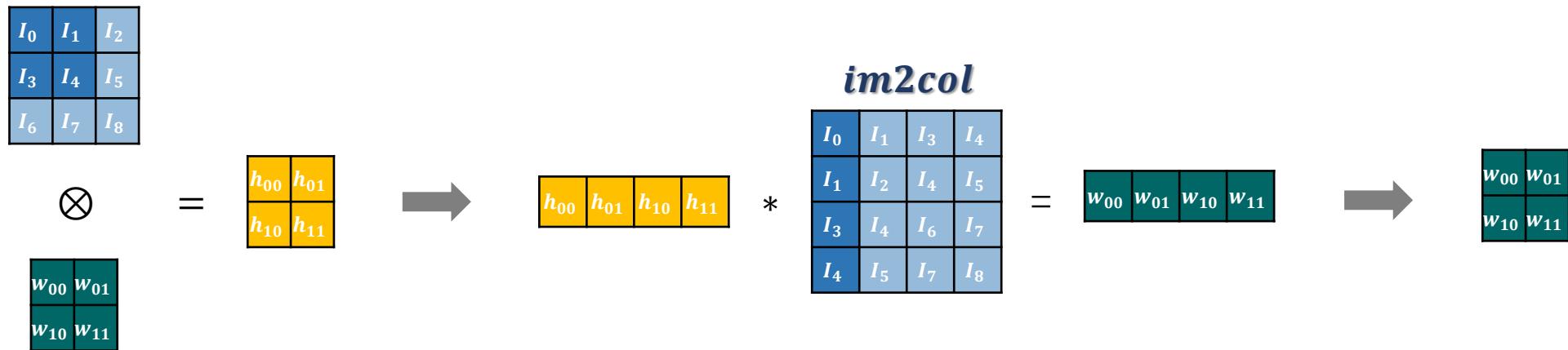
$$H_{out} = (H_{in}-1) \times stride_H - 2 \times pad_H + k_H + out\_pad_H$$

$$W_{out} = (W_{in}-1) \times stride_W - 2 \times pad_W + k_W + out\_pad_W$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

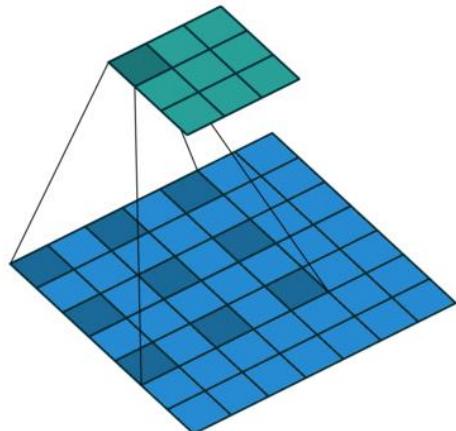
### C1. Convolution-In Reality



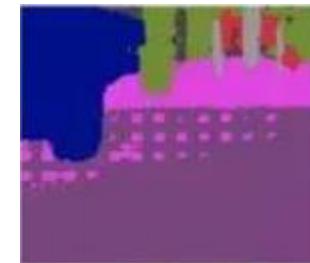
# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution - Dilated Conv



*Get better view;*



Dilated Conv

*Mostly used in segmentation*

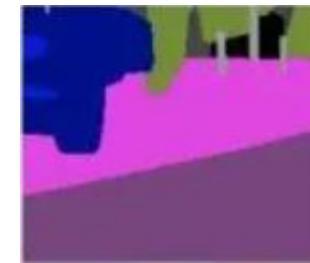


Hybrid  
Dilated Conv

*Some drawbacks;*

*Some improvements*

*Will revisit in Segmentation part*



Ground Truth

# II. Fundamental Layers

## C. Layers Concepts & Implements

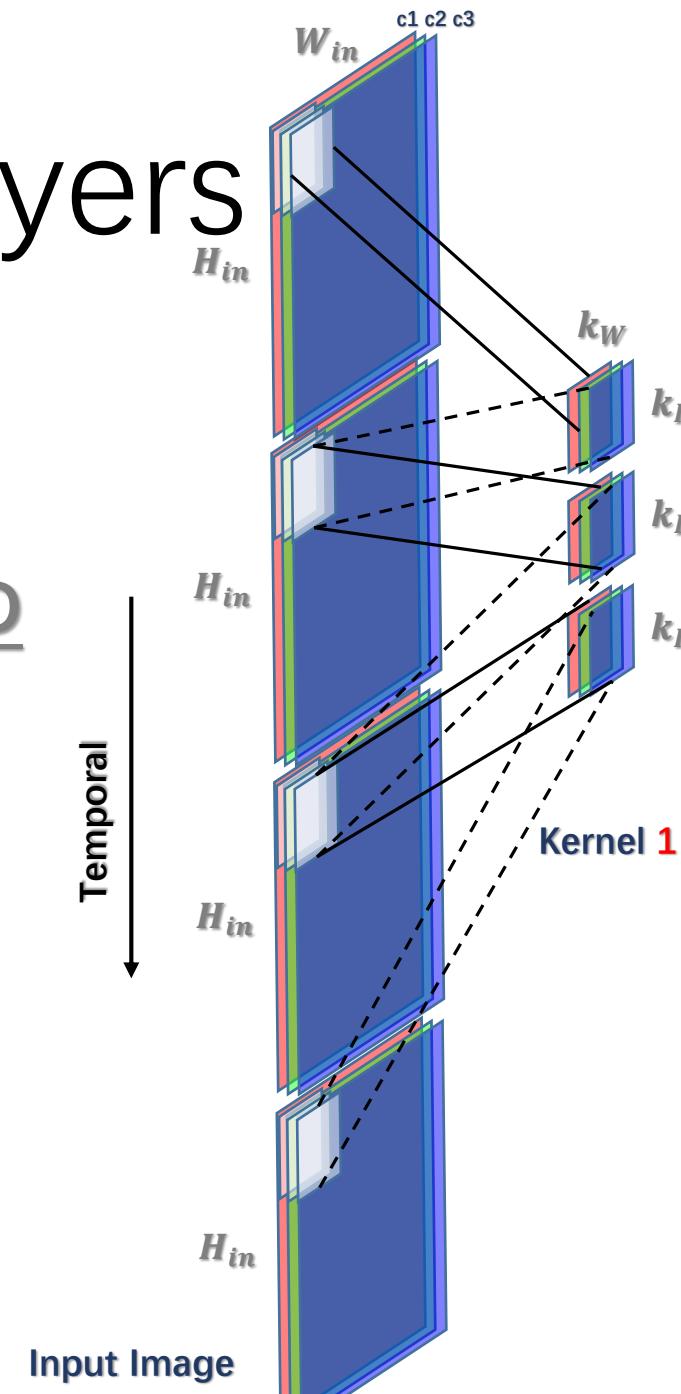
### C1. Convolution-3D Conv / C3D

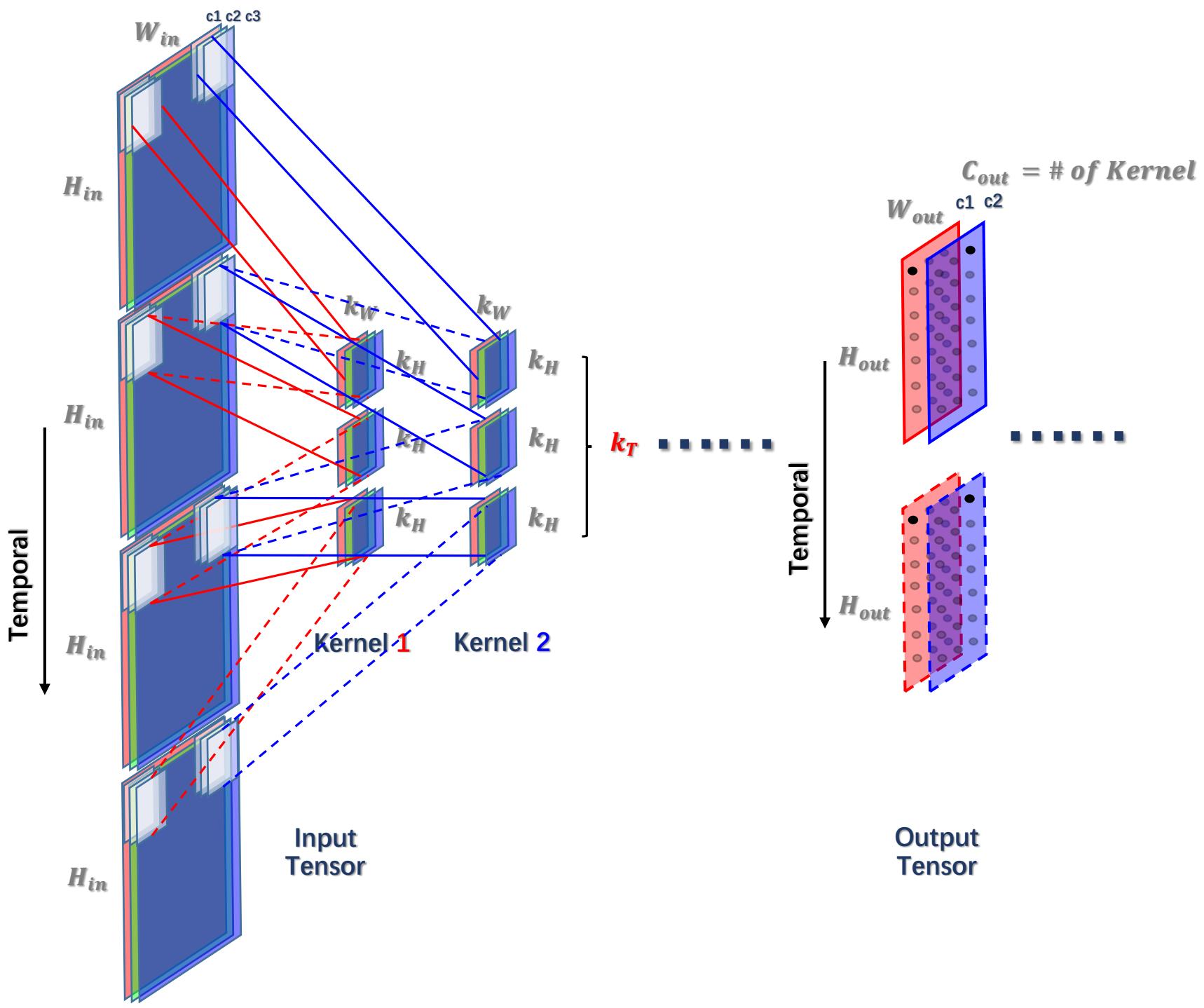
*Trend:*

*CNN can do every thing.*

*Necessity:*

*Time info is critical*





## *Question:*

*Write code of 2D image median filtering*

## *Attentions:*

1. *Padding*
2. *Stride*
3. *Kernel Size*
4. *How to get the median number*

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C1. Convolution – Other Convs

*Depthwise Convolution*

*Group Convolution*

Will Revisit Later

## II. Fundamental Layers

### C. Layers Concepts & Implements

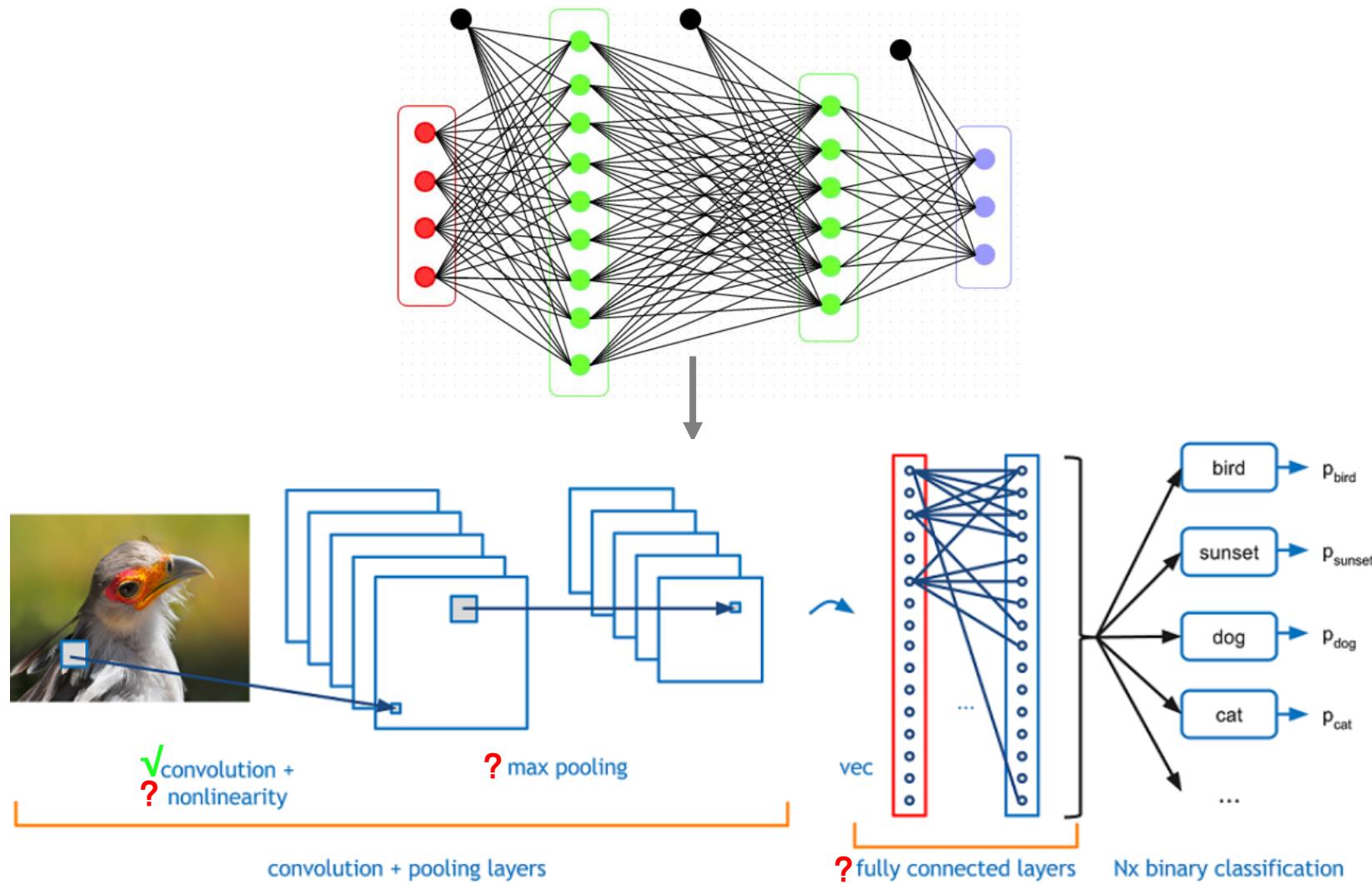
#### C1. Convolution – Advanced Convs

##### ***Deformable Convolution***

(2017, MSRA)

Read If U Have Interests

# I. CNN Outlines - Revisit



# II. Fundamental Layers

## C. Layers Concepts & Implements

### C2. ReLU - Nonlinearity

#### *Questions:*

1. *What is nonlinearity*
2. *What is nonlinearity for*
3. *What is ReLU*
4. *Why ReLU*

#### *Answers:*

- 1.
- 2.
- 3.
- 4.

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C2. ReLU - Nonlinearity

#### *Questions:*

1. *What is nonlinearity*
2. *What is nonlinearity for*
3. *What is ReLU*
4. *Why ReLU*

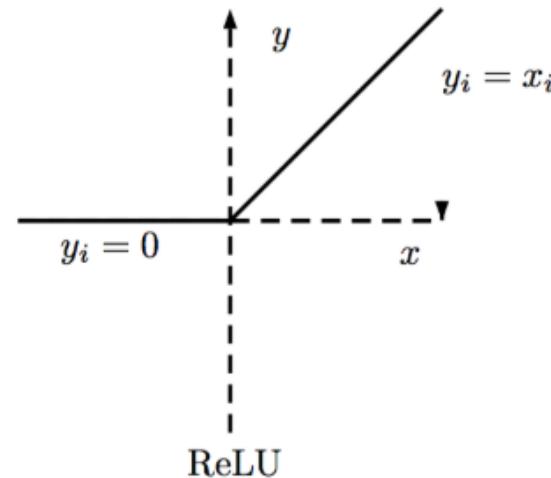
#### *Answers:*

1.  $d_{\text{out}} \neq k d_{\text{in}}$
2. *to fit the complex system*
- 3.
- 4.

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C2. ReLU - Nonlinearity

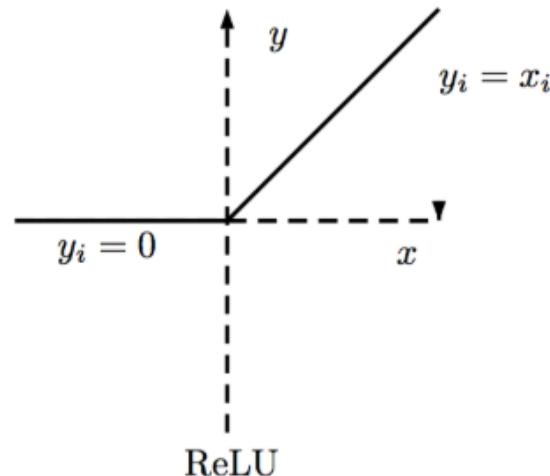


$$ReLU(x) = \max(0, x)$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C2. ReLU - Nonlinearity



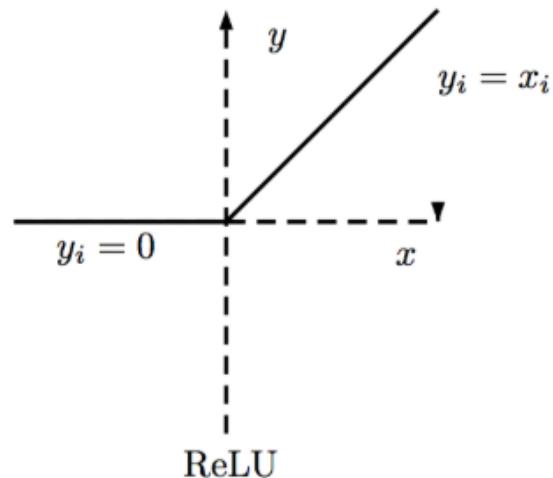
$$ReLU(x) = \max(0, x)$$

$$\frac{\partial L}{\partial x} = \begin{cases} 0, & x \leq 0 \\ 1, & x > 0 \end{cases}$$

# II. Main Layers

## C. Layers Concepts & Implements

### C2. ReLU - Nonlinearity



$$ReLU(x) = \max(0, x)$$

$$\frac{\partial L}{\partial x} = \begin{cases} 0, & x \leq 0 \\ 1, & x > 0 \end{cases}$$

*Good Enough?*

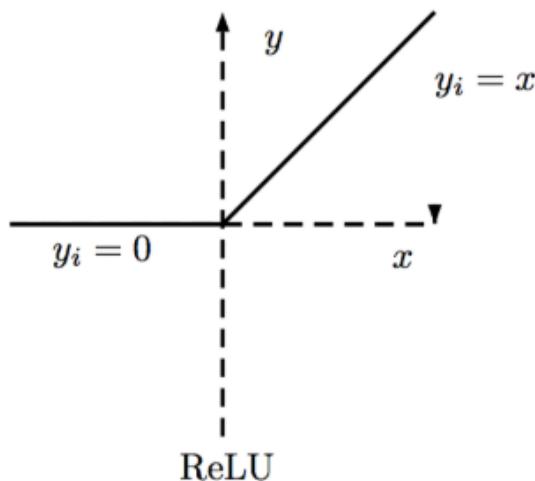
*Partial elements die. Loss info*

*What Else Could Do?*

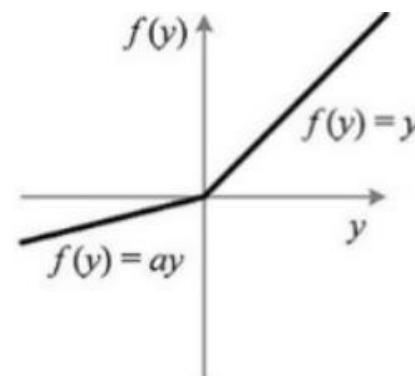
# II. Fundamental Layers

## C. Layers Concepts & Implements

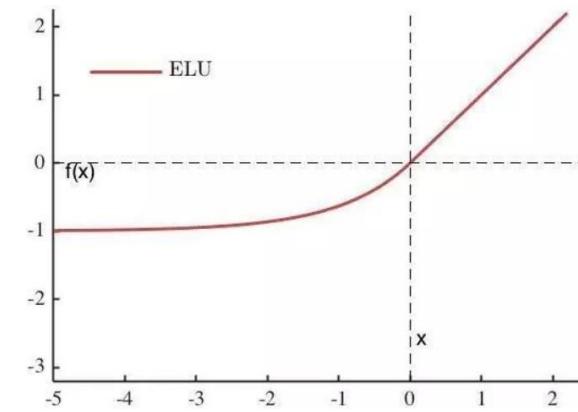
### C2. ReLU - Nonlinearity



$ReLU(x) = \max(0, x)$   
(rectified linear unit)



$Leaky\_ReLU(x) = \max(0.01x, x)$   
 $PReLU(x) = \max(\alpha x, x)$   
(parametric relu)



$$ELU(x) = \begin{cases} \alpha(\exp(x) - 1), & x \leq 0 \\ x, & x > 0 \end{cases}$$

(exponential relu)

$$\frac{\partial L}{\partial x} = \begin{cases} ELU(x) + \alpha, & x \leq 0 \\ 1, & x > 0 \end{cases}$$

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C2. ReLU - Nonlinearity

#### *Questions:*

1. *What is nonlinearity*
2. *What is nonlinearity for*
3. *What is ReLU*
4. *Why ReLU*

#### *Answers:*

1.  $d_{\text{out}} \neq k d_{\text{in}}$
2. *to fit the complex system*
3. *activation func like Sigmoid, Tanh ...*
4. *easy to implement, calculate, loss-friendly*

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C2. ReLU - Nonlinearity

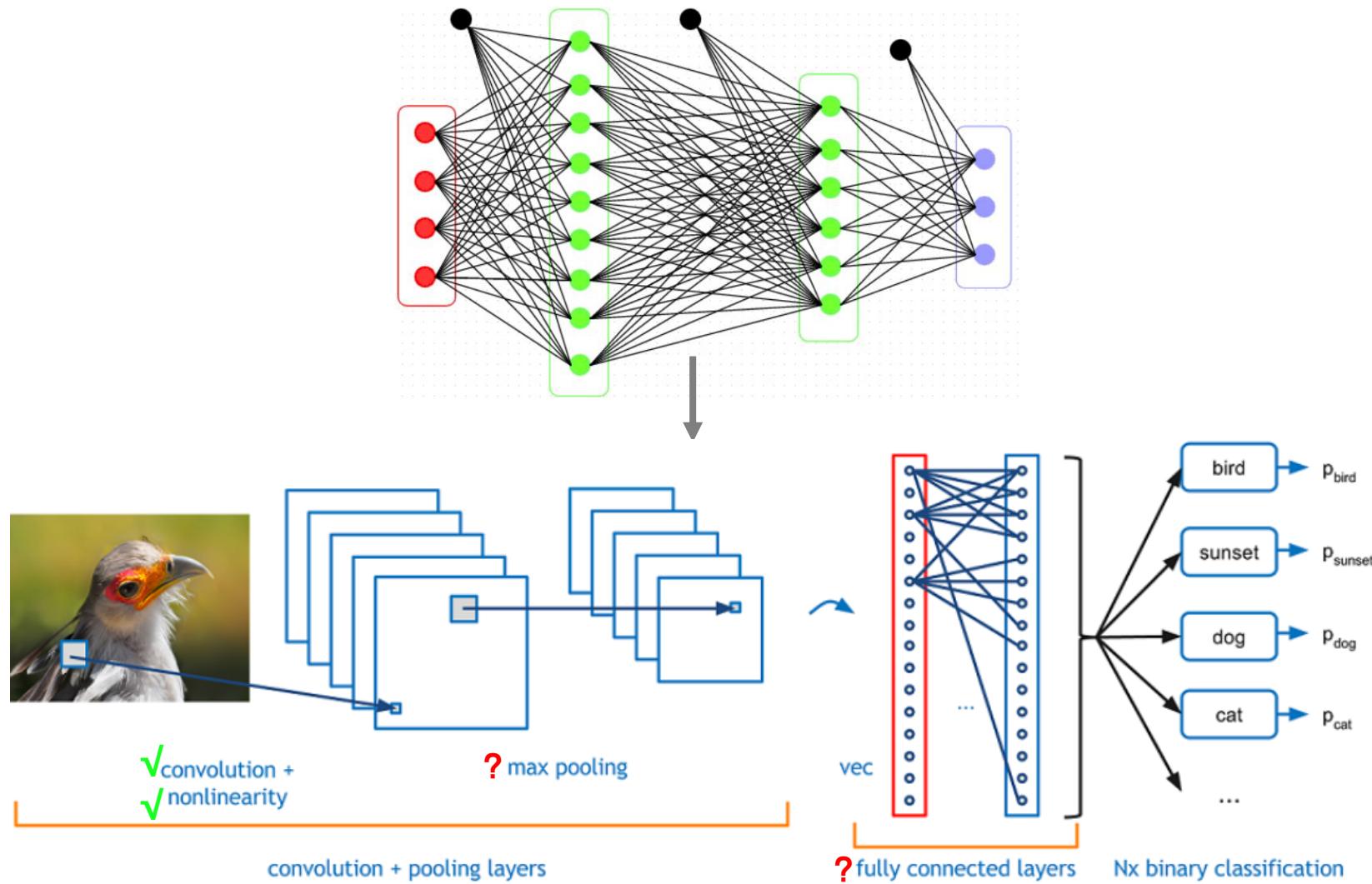
#### *Questions:*

5. *Which one is better*
6. *Any other types*

#### *Answers*

5. *ReLU could be 1<sup>st</sup> choice  
PReLU looks better  
Never use sigmoid in middle layers*
6. *Maxout, SeLU. (If U have interests)*

# I. CNN Outlines - Revisit



# II. Fundamental Layers

## C. Layers Concepts & Implements

### C3. Pooling

#### *Questions:*

1. *What is pooling*
2. *What is pooling for*
3. *What kind of pooling*

#### *Answers:*

- 1.
- 2.
- 3.

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C3. Pooling (池化)

2	3	3	4
6	7	8	9
4	3	2	0
2	3	4	5

Pooling: Reduce tensor's size



7	9
4	5

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C3. Pooling (池化)

2	3	3	4
6	7	8	9
4	3	2	0
2	3	4	5

2x2, /2 Max Pooling:

Reduce tensor's size

---

Aim:

Get the most robust responded features of exact spatial location



7	9
4	5

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C3. Pooling (池化)

2	3	3	4
6	7	8	9
4	3	2	0
2	3	4	5

**2x2, /2 Ave Pooling:**

Reduce tensor's size

---

**Aim:**

Get the averaged features of exact spatial location;

Usually used to change tensor's shape at the end of the network



4.5	6
3	2.75

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C3. Pooling - BP

2	3	3	4
6	7	8	9
4	3	2	0
2	3	4	5

2x2, /2 Max Pooling:

Reduce tensor's size

---

BP: 
$$Loss_i * \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

7	9
4	5

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C3. Pooling - BP

2	3	3	4
6	7	8	9
4	3	2	0
2	3	4	5

2x2, /2 Ave Pooling:

Reduce tensor's size

BP:

$$Loss_i * \begin{bmatrix} \frac{1}{4} & \frac{1}{4} & \frac{1}{4} & \frac{1}{4} \\ \frac{1}{4} & \frac{1}{4} & \frac{1}{4} & \frac{1}{4} \\ \frac{1}{4} & \frac{1}{4} & \frac{1}{4} & \frac{1}{4} \\ \frac{1}{4} & \frac{1}{4} & \frac{1}{4} & \frac{1}{4} \end{bmatrix}$$

7	9
4	5

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C3. Pooling

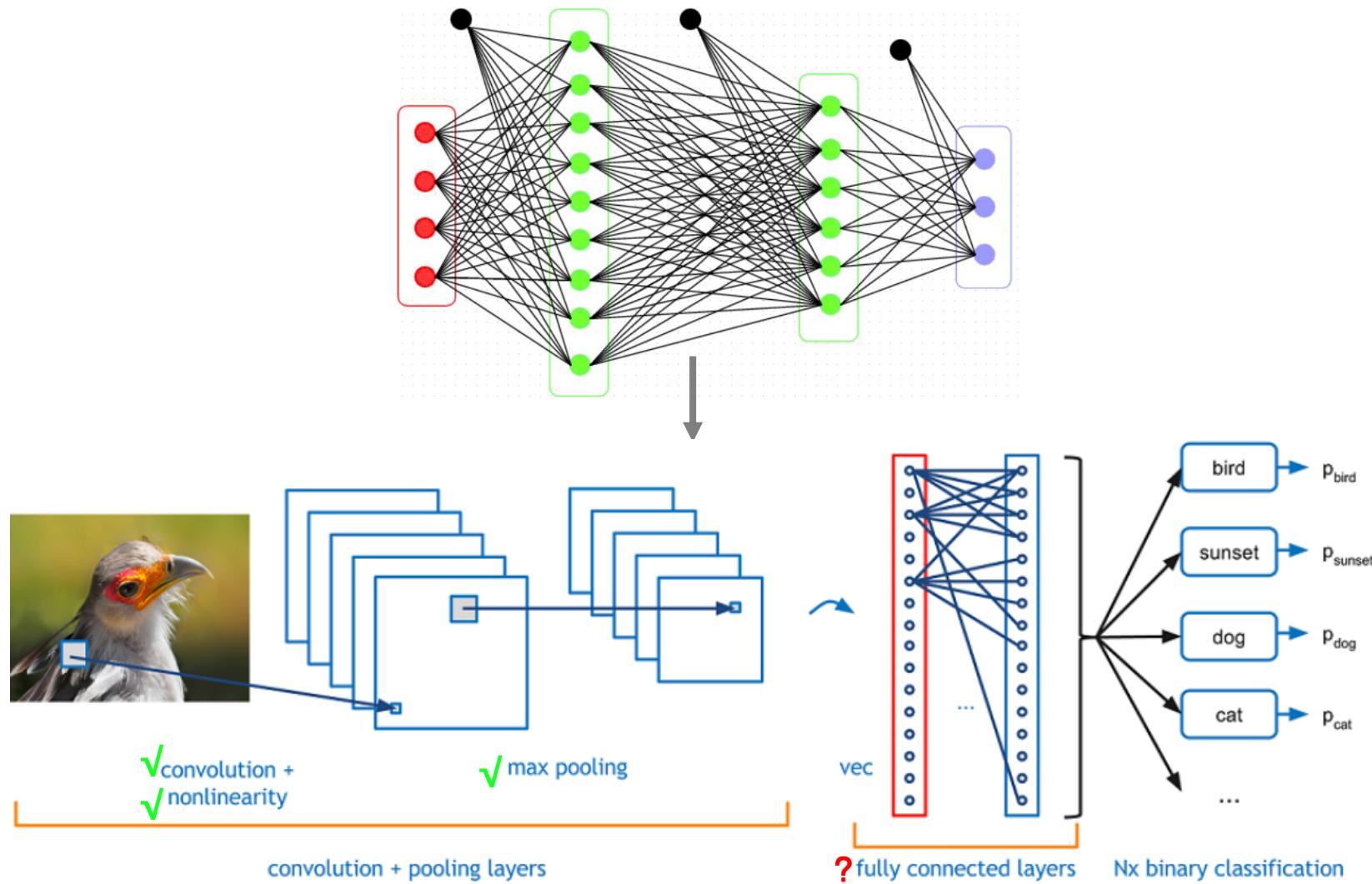
#### *Questions:*

1. *What is pooling*
2. *What is pooling for*
3. *What kind of pooling*
4. *How to do BP*

#### *Answers:*

- 1.
- 2.
- 3.
- 4.

# I. CNN Outlines - Revisit



## II. Fundamental Layers

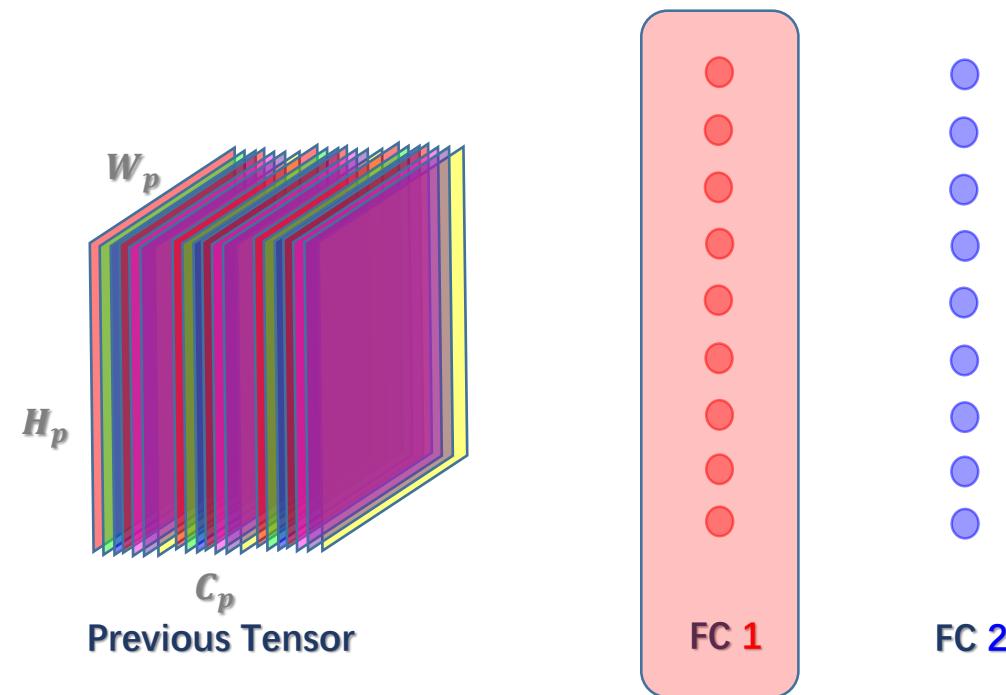
### C. Layers Concepts & Implements

#### C4. Fully Connected / Inner Product

# II. Fundamental Layers

## C. Layers Concepts & Implements

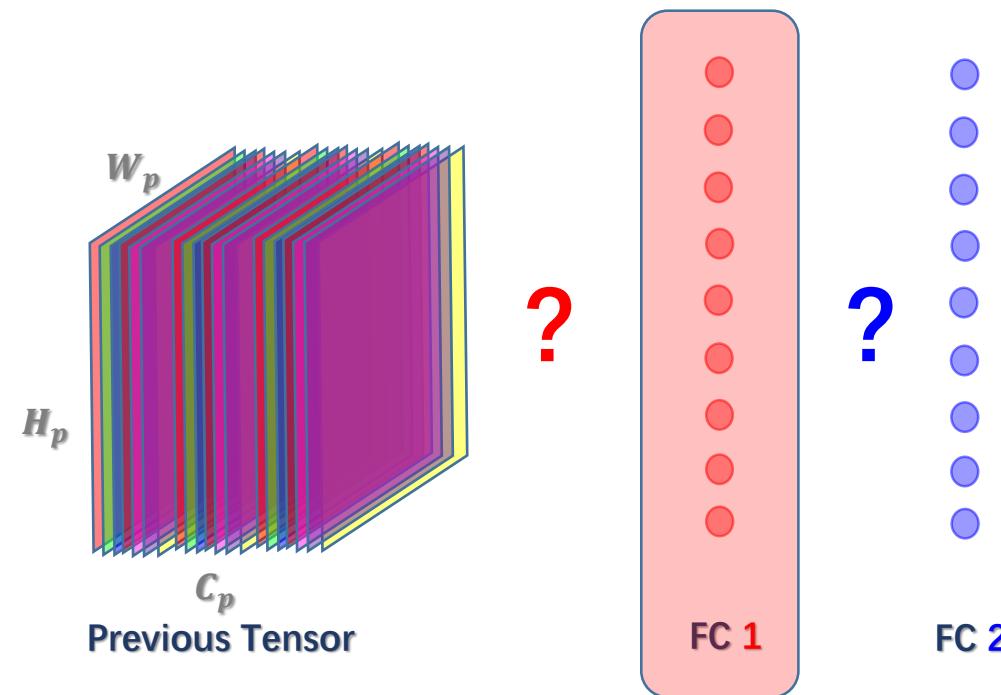
### C4. Fully Connected / Inner Product



# II. Fundamental Layers

## C. Layers Concepts & Implements

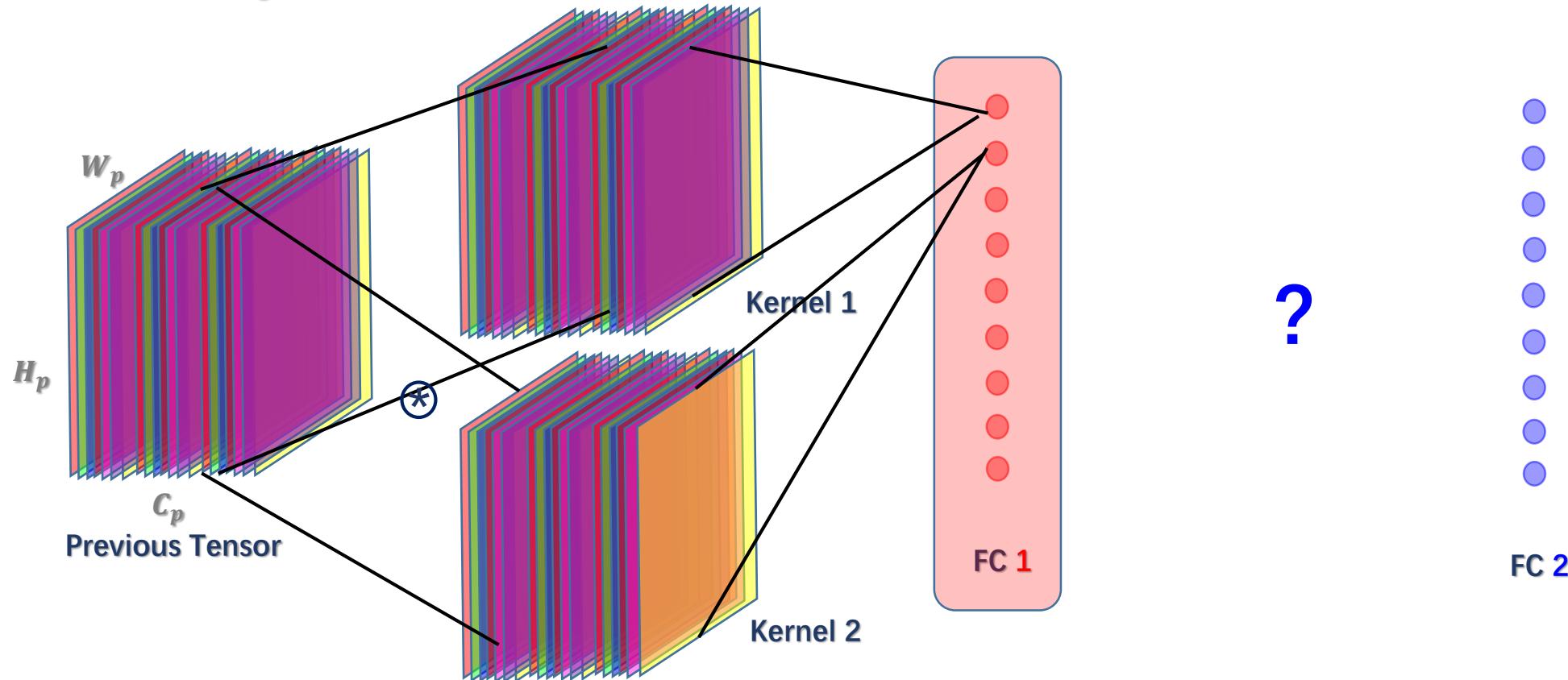
### C4. Fully Connected / Inner Product



# II. Fundamental Layers

## C. Layers Concepts & Implements

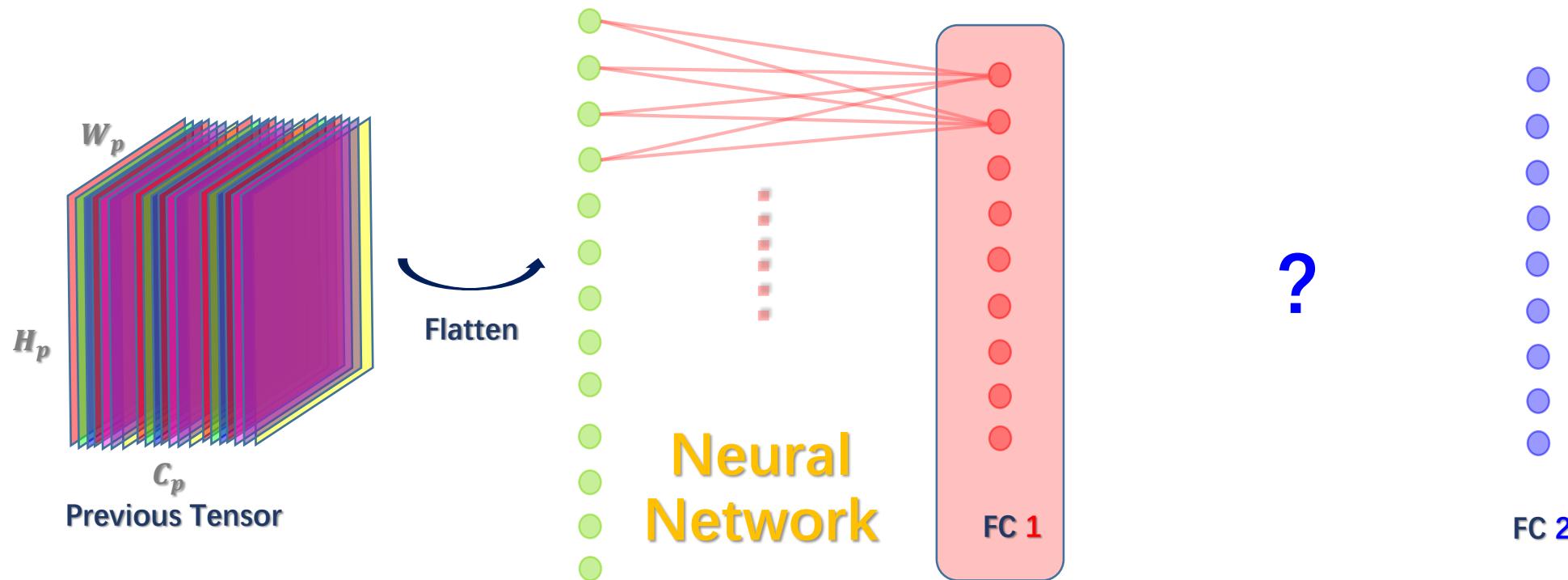
### C4. Fully Connected / Inner Product



# II. Fundamental Layers

## C. Layers Concepts & Implements

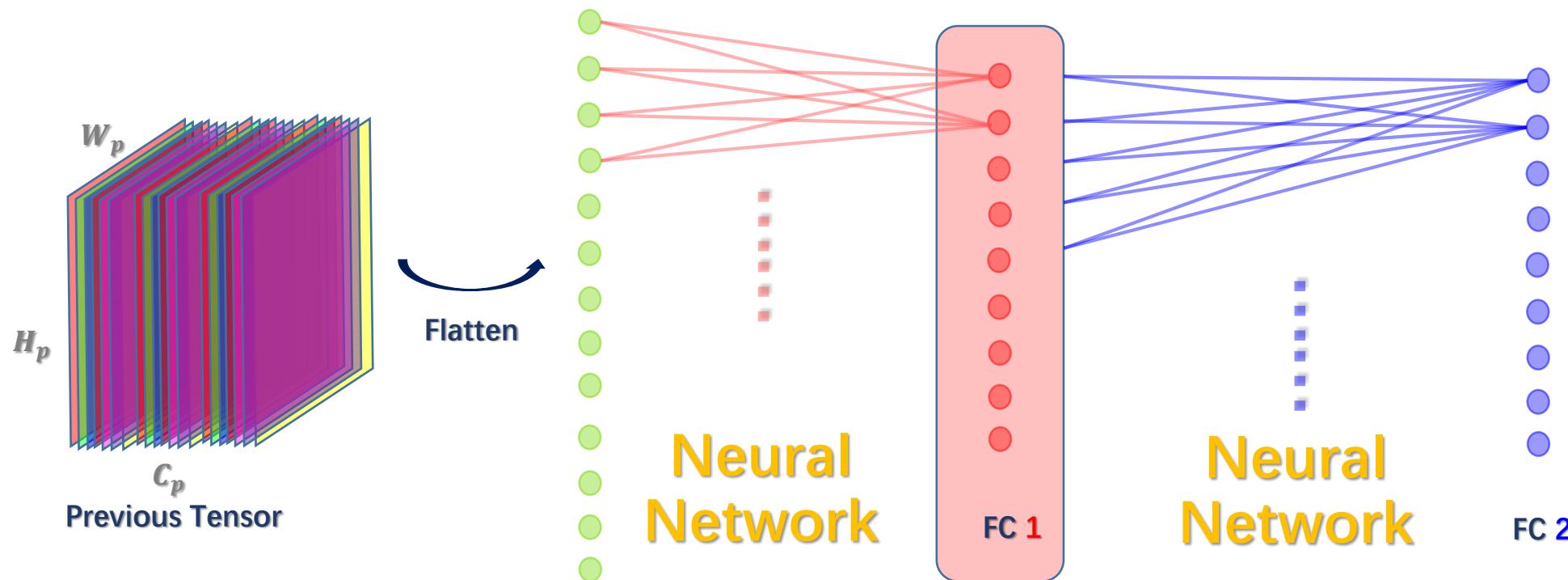
### C4. Fully Connected / Inner Product



# II. Fundamental Layers

## C. Layers Concepts & Implements

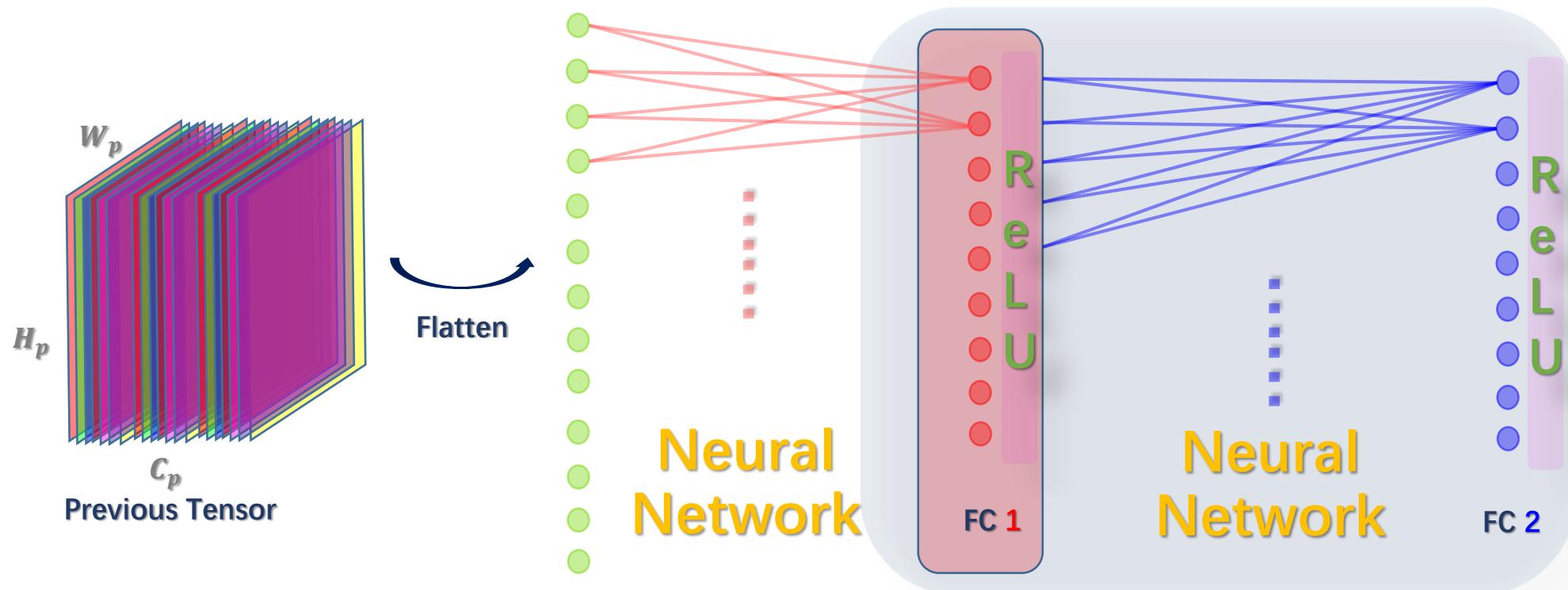
### C4. Fully Connected / Inner Product



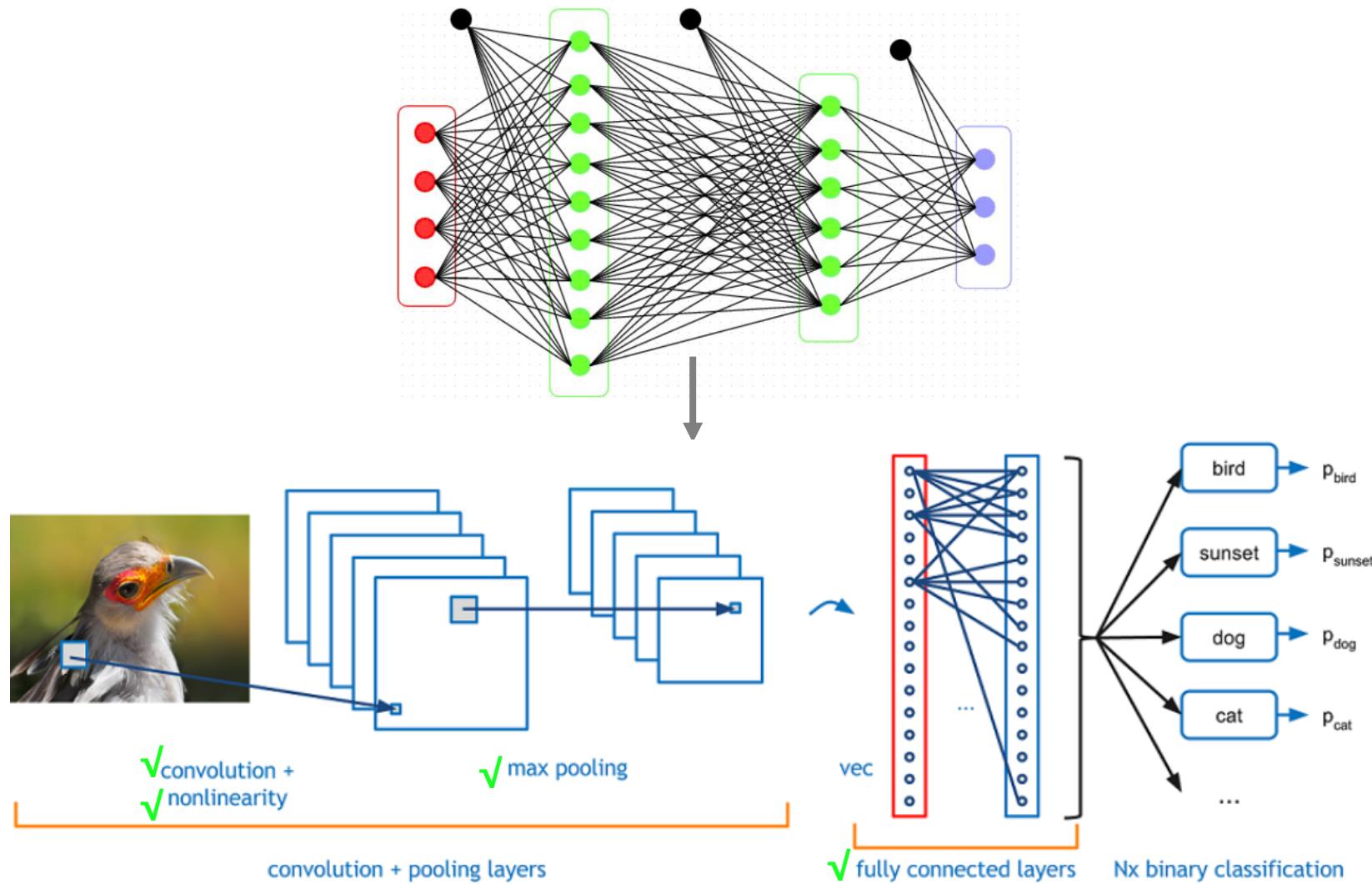
# II. Fundamental Layers

## C. Layers Concepts & Implements

### C4. Fully Connected / Inner Product



# I. CNN Outlines - Revisit



## II. Fundamental Layers

### C. Layers Concepts & Implements

#### C4. Fully Connected / Inner Product

**Really?**

## II. Fundamental Layers

### C. Layers Concepts & Implements

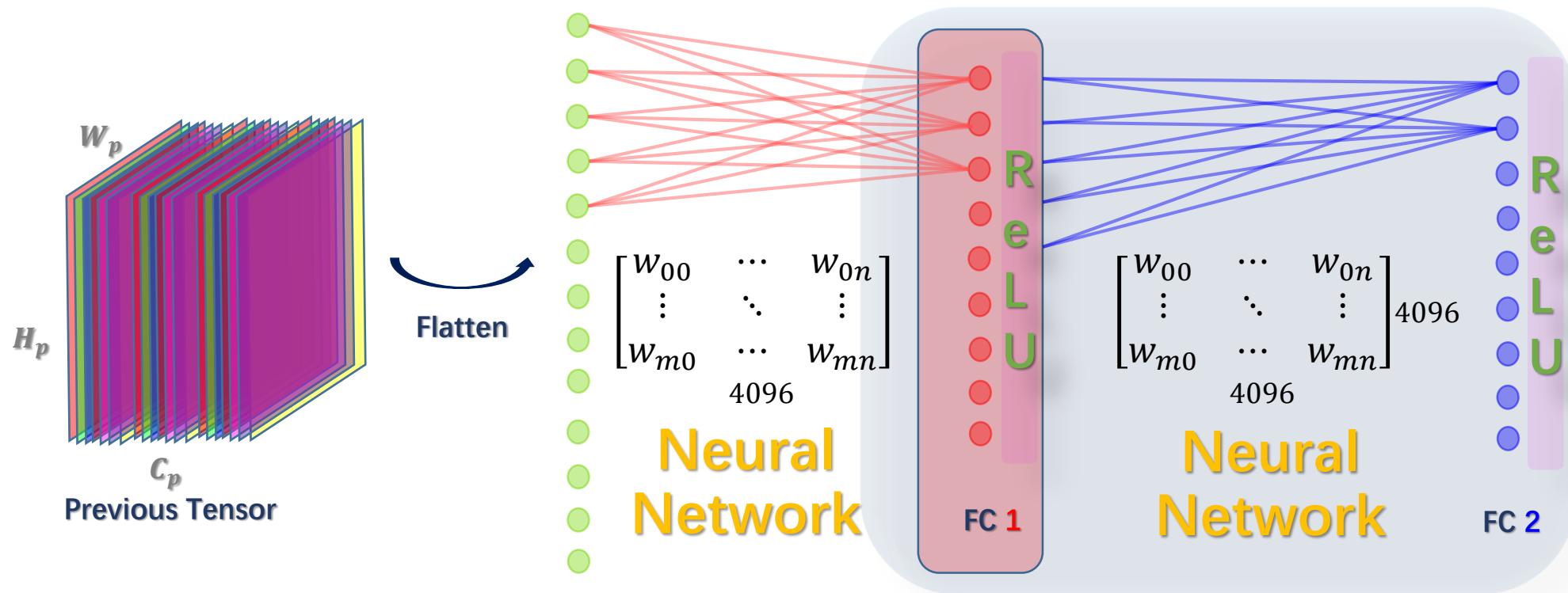
#### C4. Fully Connected / Inner Product

Any  
Thoughts?

# II. Fundamental Layers

## C. Layers Concepts & Implements

### C4. Fully Connected / Inner Product



## II. Fundamental Layers

### C. Layers Concepts & Implements

#### C4. Fully Connected / Inner Product

1. Too many parameters, sometimes
2. Too many calculations, sometimes
3. Too much resources needed, sometimes
4. Prone to over fit

## II. Fundamental Layers

### C. Layers Concepts & Implements

#### C4. Fully Connected / Inner Product

1. Too many parameters, sometimes
2. Too many calculations, sometimes
3. Too much resources needed, sometimes
4. Prone to over fit

Any Features?

## II. Fundamental Layers

### C. Layers Concepts & Implements

#### C4. Fully Connected / Inner Product

1. Too many parameters, sometimes
2. Too many calculations, sometimes
3. Too much resources needed, sometimes
4. Prone to over fit
  1. CNN-based feature vector
  2. Global ave pooling as a trend

## II. Fundamental Layers

### C. Layers Concepts & Implements

#### C4. Fully Connected / Inner Product

##### *Questions:*

1. *What if we need parameters to fit?*
2. *But we don't want overfitting*
3. *And time / resource consuming*

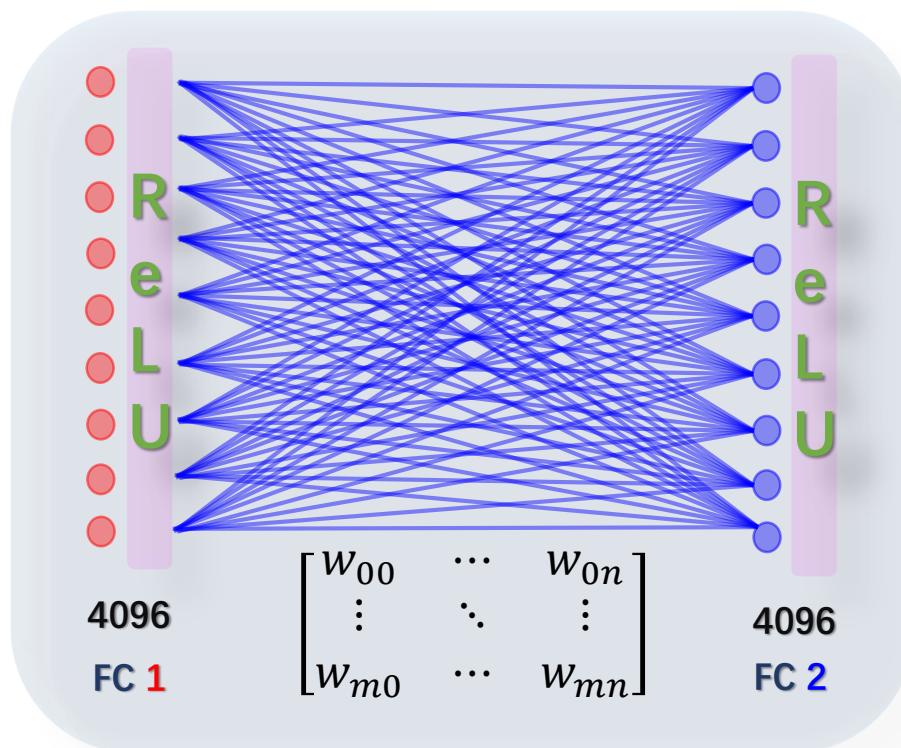
# III. Functional Layers



# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer

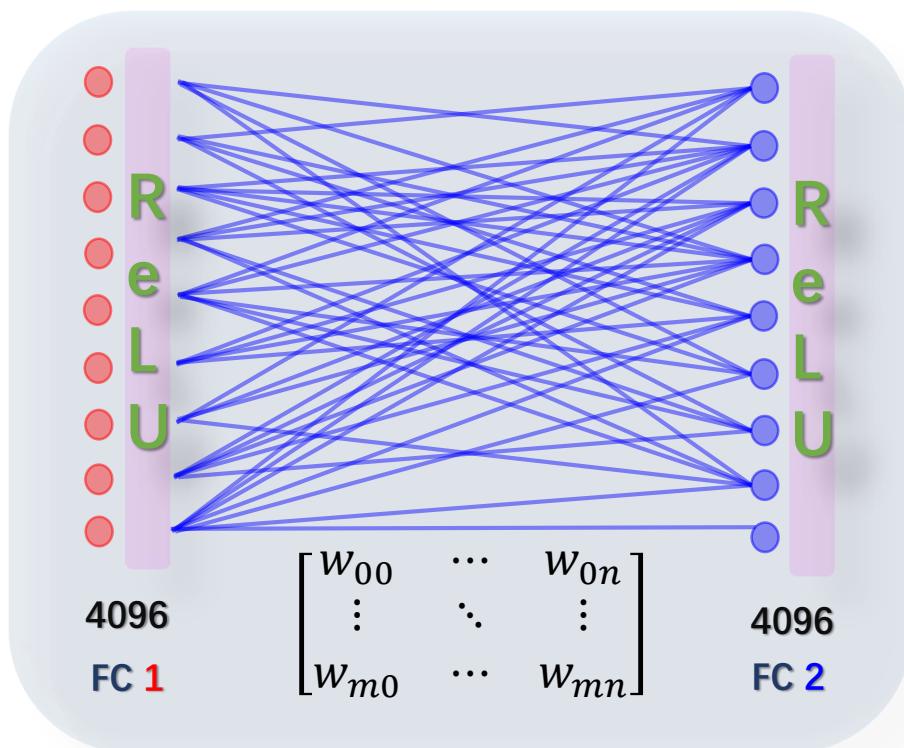


1. Too many parameters
2. Too many calculations
3. Too much resources needed
4. Prone to over fit

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer

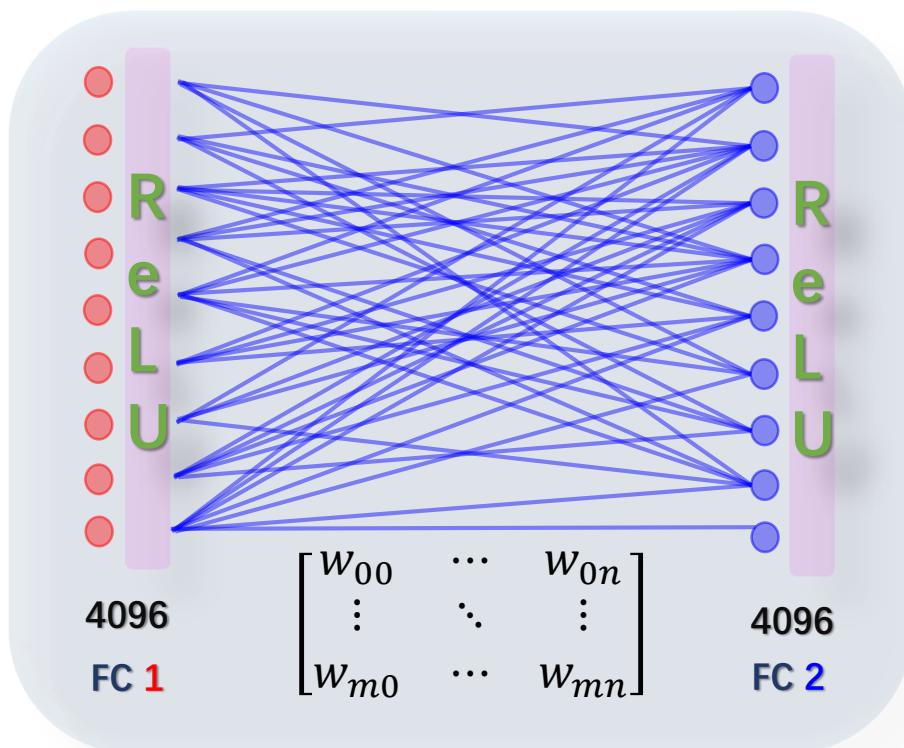


- 1. Too many parameters**
- 2. Too many calculations**
- 3. Too much resources needed**
- 4. Prone to over fit**

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer

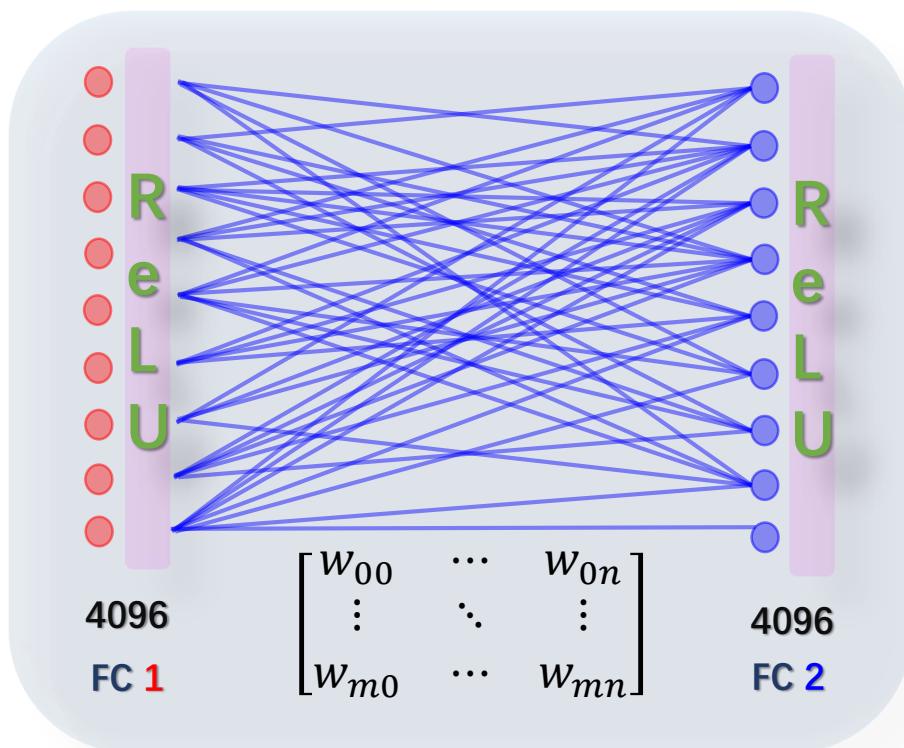


Randomly give up  
some connections  
under a particular  
ratio

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer



Train:

```
def dropout_train_step(x):
    ReLU1 = np.maximum(0, FC1)
    mask1 = np.random.rand(*ReLU1.shape) < p
    Dropout1 = ReLU1 * mask1
```

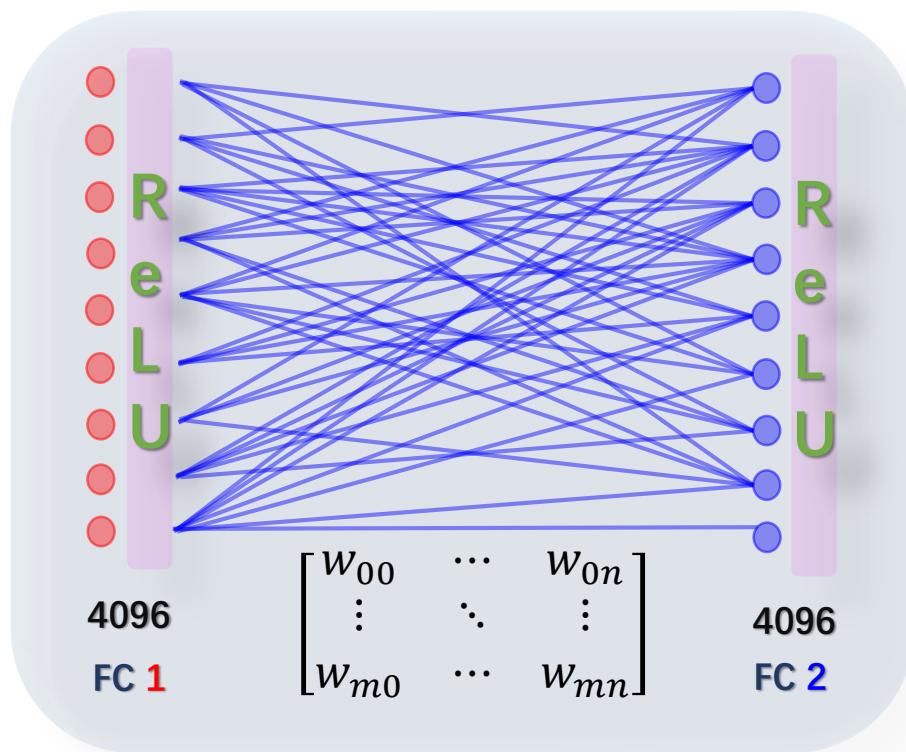
Test:

How ?

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer



Train:

```
def dropout_train_step(x):
    ReLU1 = np.maximum(0, FC1)
    mask1 = np.random.rand(*ReLU1.shape) < p
    Dropout1 = ReLU1 * mask1
```

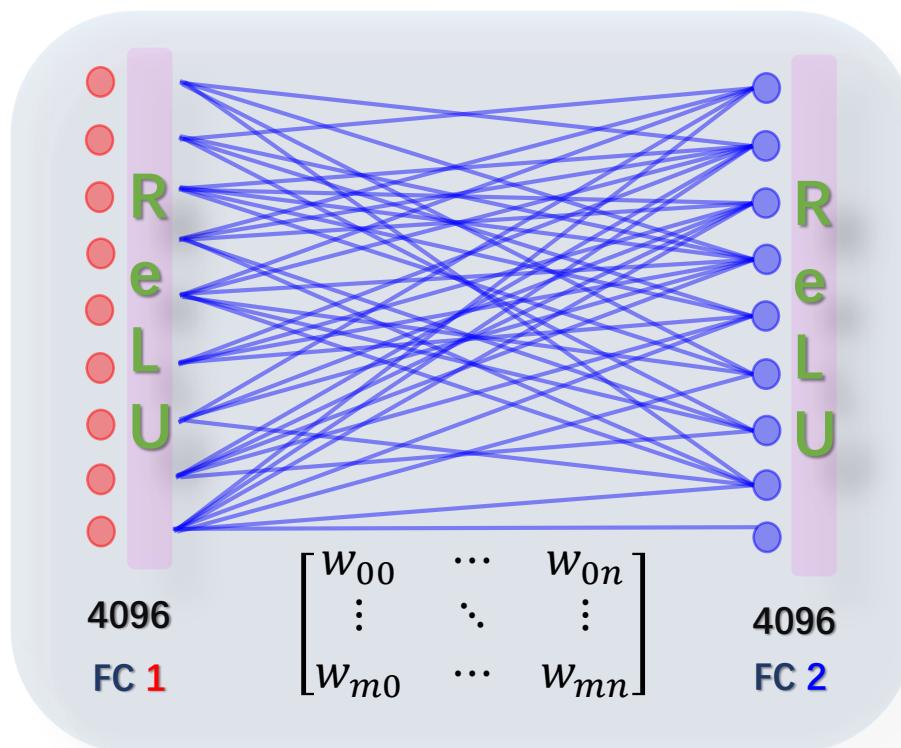
Test:

$$\begin{aligned} y &= w_0x_0 + w_1x_1 \\ &= w_0 * 1 + w_1 * 1 \\ &= [(w_0 * 0 + w_1 * 0) + (w_0 * 0 + w_1 * 1) + \\ &\quad (w_0 * 1 + w_1 * 0) + (w_1 * 1 + w_1 * 1)] * \frac{1}{4} \\ &= \frac{1}{4} * (2w_0x_0 + 2w_1x_1) \\ &= \frac{1}{2} * (w_0x_0 + w_1x_1) \\ &= E(y) \end{aligned}$$

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer



Train:

```
def dropout_train_step(x):
    ReLU1 = np.maximum(0, FC1)
    mask1 = np.random.rand(*ReLU1.shape) < p
    Dropout1 = ReLU1 * mask1
```

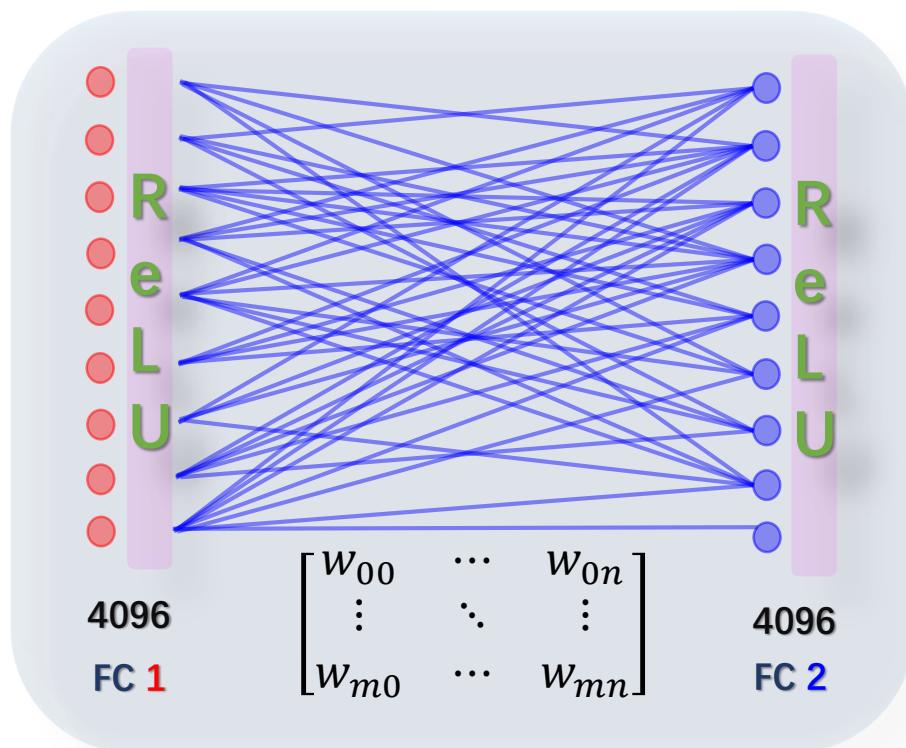
Test:

```
def dropout_test_step(x):
    ReLU1 = np.maximum(0, FC1) * p
```

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer



Train:

```
def dropout_train_step(x):
    ReLU1 = np.maximum(0, FC1)
    mask1 = np.random.rand(*ReLU1.shape) < p
    Dropout1 = ReLU1 * mask1
```

Test:

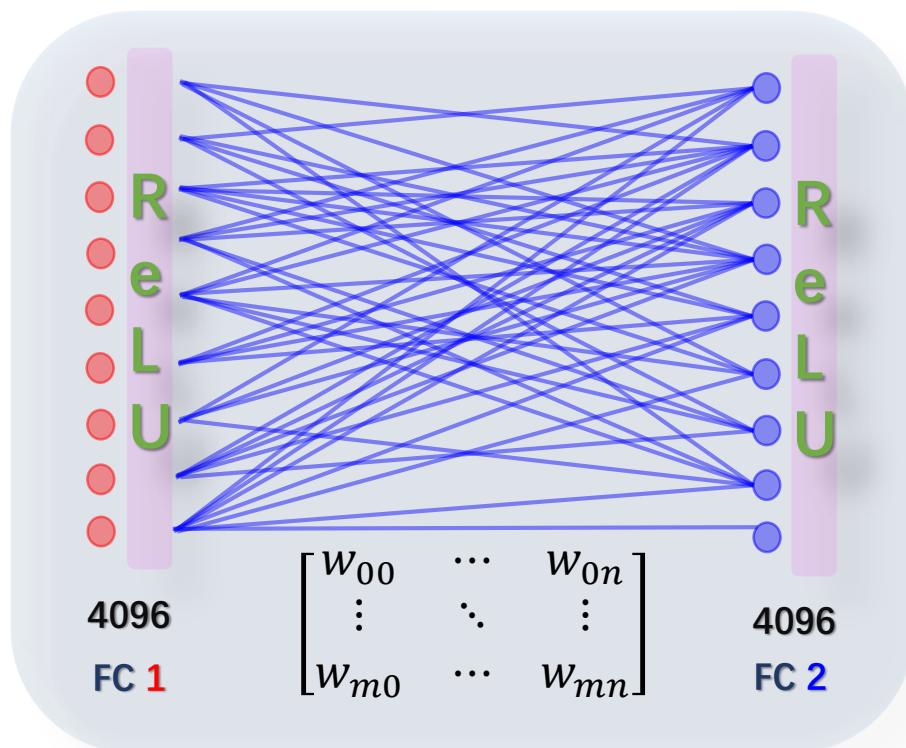
```
def dropout_test_step(x):
    ReLU1 = np.maximum(0, FC1) * p
```

We could do better

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D1. Dropout Layer



Train:

```
def dropout_train_step(x):
    ReLU1 = np.maximum(0, FC1)
    mask1 = (np.random.rand(*ReLU1.shape) < p) / p
    Dropout1 = ReLU1 * mask1
```

Test:

```
def dropout_test_step(x):
    ReLU1 = np.maximum(0, FC1)
```

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization

#### *Questions:*

1. *What is BN*
2. *What is BN for*
3. *What kind N*
4. *Where to put BN*
5. *What does BN look like*
6. *How to compute*

#### *Answers:*

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization

*The deeper network, the harder to train*

*Vanishing gradient*

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization

*The deeper network, the more difficult to train*

*Vanishing gradient*

Q. What kind of data is good to train

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization

*The deeper network, the more difficult to train*

*Vanishing gradient*

Q. What kind of data is good to train (why sigmoid is much worse?)

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization

*The deeper network, the more difficult to train*

*Vanishing gradient*

Q. What kind of data is good to train (why sigmoid is much worse?)

A:

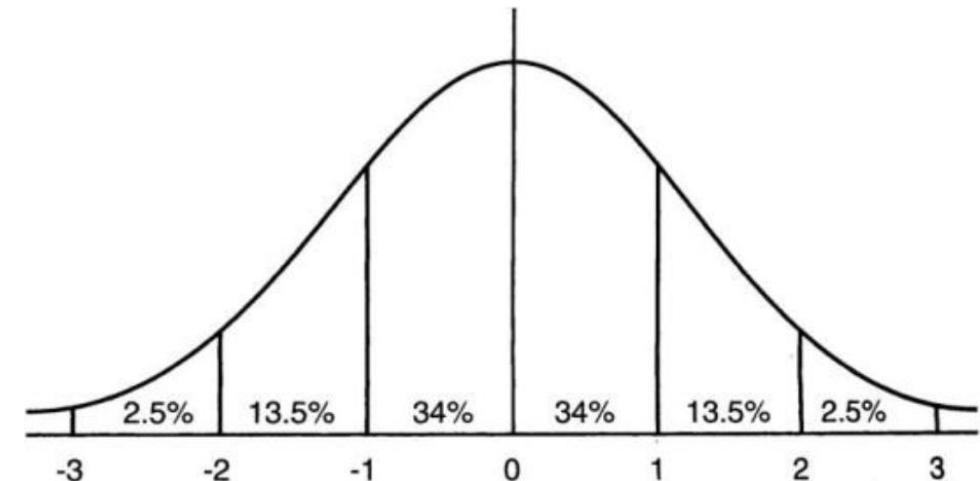
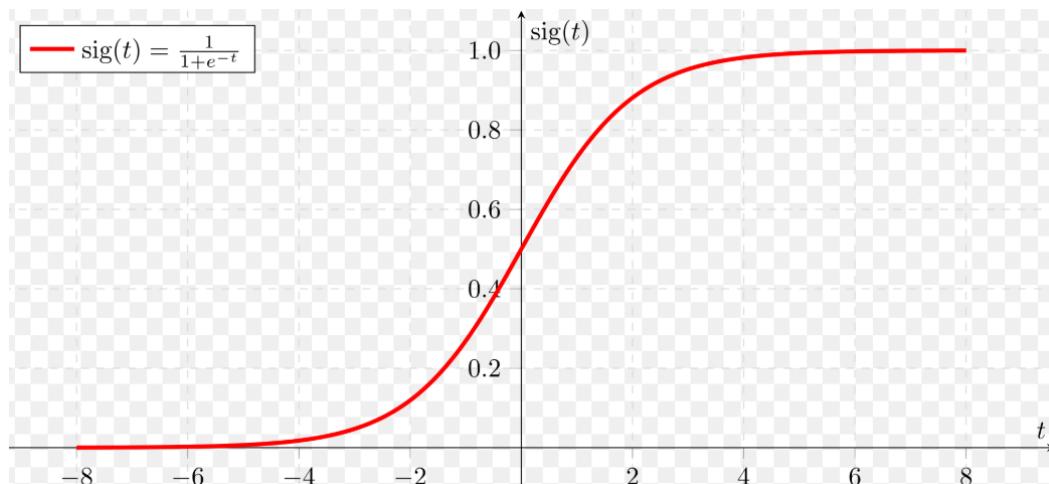
[Independent Identically Distribution & No Internal Covariate Shift]

The distribution is coincident to data and to the range of activation function where there's largest gradient.

# II. Functional Layers

## D. Functional Layers Concepts & Implements

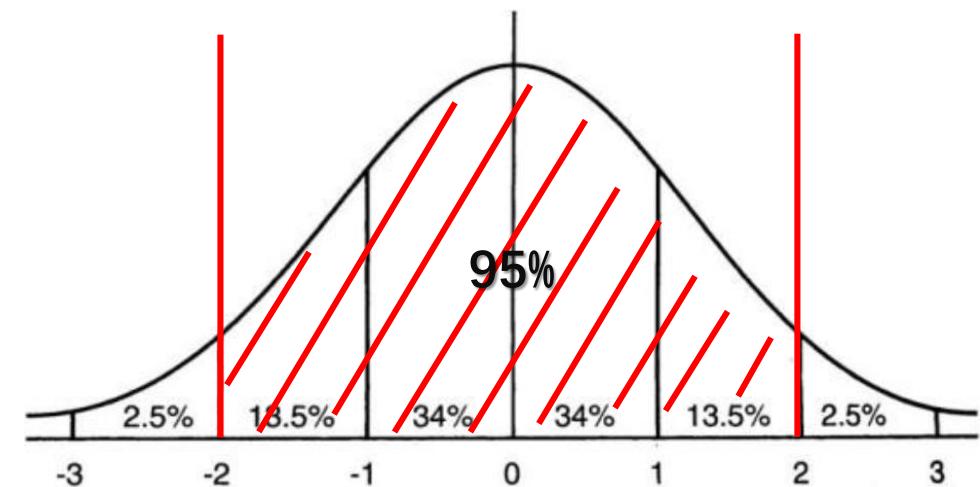
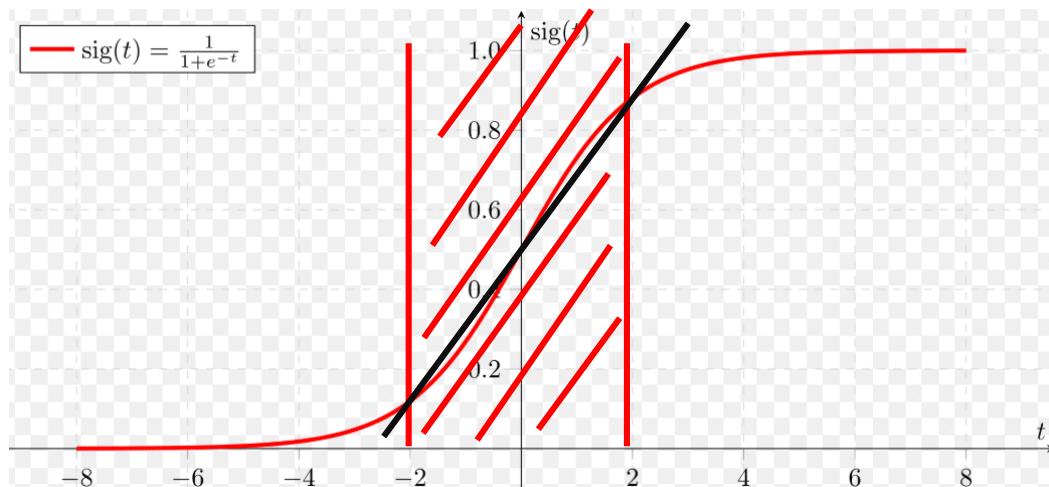
### D2. Batch Normalization-Theory



# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Theory



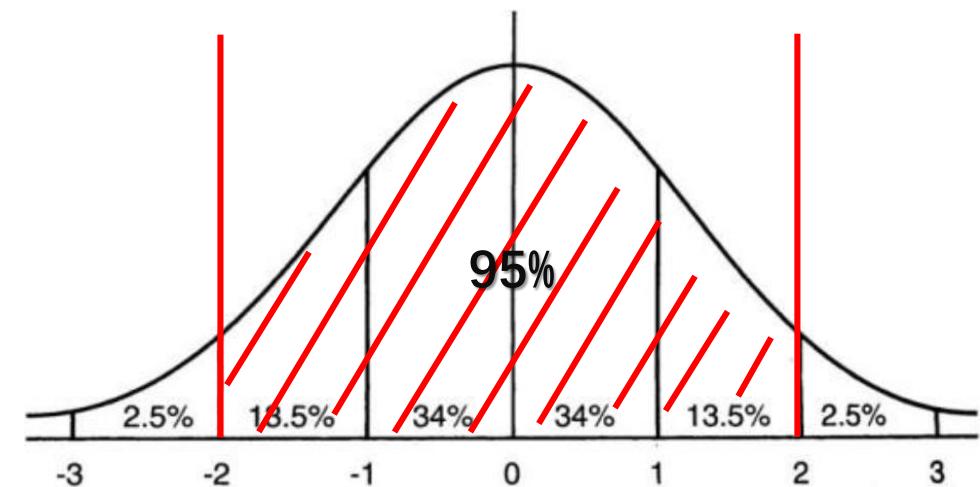
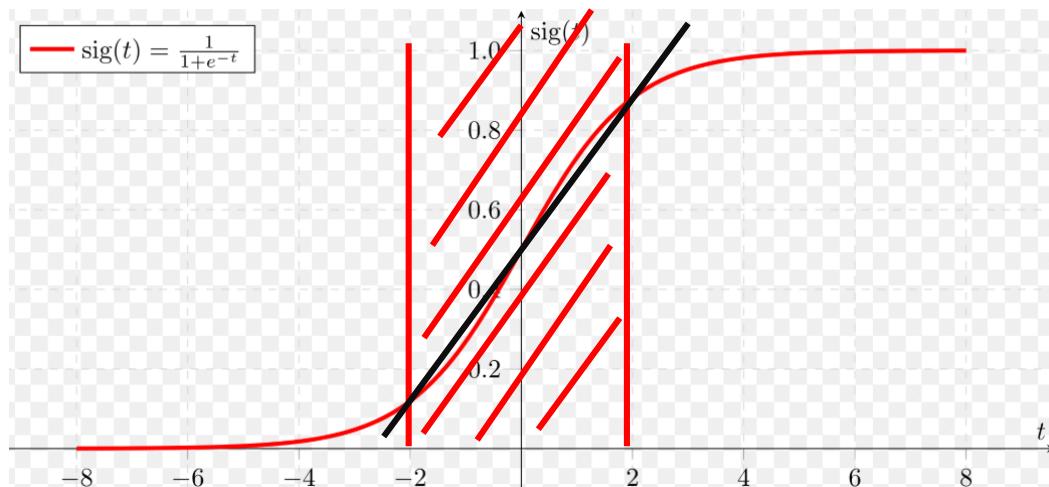
Layer-layer: Should have IID (Independent identical distribution)

Solve: Internal Covariate Shift

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Theory



Gradient saturation: a. gradient losing; b. loss is reducing

BN: pull the data back to distribution having larger distribution.

Changing data distribution via changing data

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Theory

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_{1\dots m}\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{mini-batch variance}$$

$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{normalize}$$

$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{scale and shift}$$

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Theory

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_{1\dots m}\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{mini-batch variance}$$

$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{normalize}$$

$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{scale and shift}$$

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Theory

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_{1\dots m}\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

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#### Phenomenon

1. Larger gradient -> more linear
2. More linear -> harder to fit complex system
3. Harder to fit -> bad result

#### Explanation

1. Finetune each input
2. Gradually map the normalized input to original one
3. Maintain the ability of fitting complex system

# II. Functional Layers

## D. Functional Layers Concepts & Implements

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#### Benefits (more exp. in course)

1. Increase learning rate
2. Speed up training
3. Less prone to overfit  
Remove or use less dropout  
Reduce L2 regularization weight
4. Less image augmentation

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Practice

**Where To Put?**

**Usually:**

Conv -> Batch Norm -> ReLU->Pool

**Some argues:**

Conv -> ReLU -> Batch Norm ->Pool

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Practice

#### **Train & Test?**

**Train:**

Get mean & std for each batch

**Test:**

Use recorded mean & std (usually last trained batch's)

# II. Functional Layers

## D. Functional Layers Concepts & Implements

### D2. Batch Normalization-Calculation

#### How To BP?

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_{1\dots m}\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{mini-batch mean}$$

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# II. Functional Layers

## D. Functional Layers Concepts & Implements

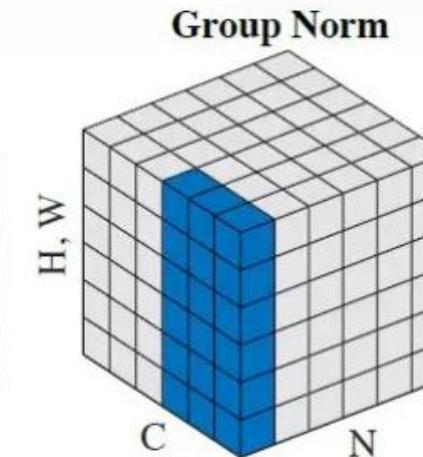
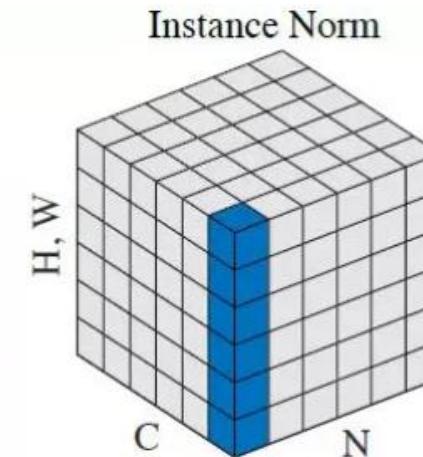
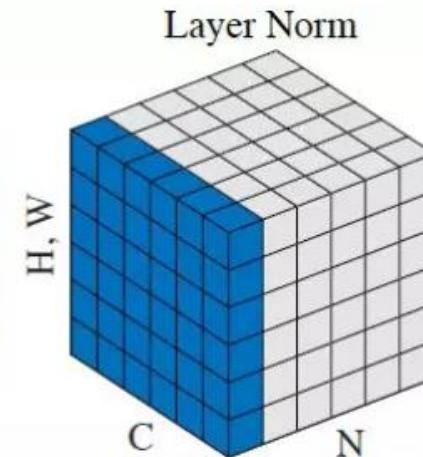
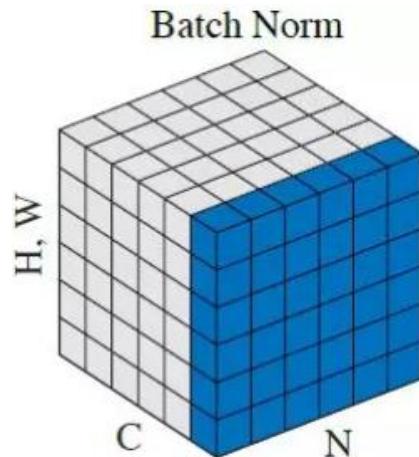
### D2. Batch Normalization-Others

Group Norm, Instance Norm, Layer Norm

*Reading [Optional]* 😊

**Answer me:**

1. Area to use.
2. When to use.
3. How to use.
4. Compare each.  
Pros & Cons



# Summary

## I. Fundamental Layers

**Conv: Conv, Dilated Conv, Transposed Conv, C3D (Deformable Conv)**

**ReLU: ReLU, Leaky-ReLU, PReLU, ELU (SeLU)**

**Pooling: Max Pooling, Ave Pooling**

**FC**

## II. Functional Layers

**Dropout**

**Batch Normalization (Group / Layer / Instance Norm)**