

A Linear Time Algorithm for the Optimal Discrete IRS Beamforming

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Abstract—It remains an open problem to find the optimal configuration of phase shifts under the discrete constraint for intelligent reflecting surface (IRS) in polynomial time. The above problem is widely believed to be difficult because it is not linked to any known combinatorial problems that can be solved efficiently. The branch-and-bound algorithms and the approximation algorithms constitute the best results in this area. Nevertheless, this work shows that the global optimum can actually be reached in linear time on average in terms of the number of reflective elements (REs) of IRS. The main idea is to geometrically interpret the discrete beamforming problem as choosing the optimal point on the unit circle. Although the number of possible combinations of phase shifts grows exponentially with the number of REs, it turns out that there are only a linear number of circular arcs that possibly contain the optimal point. Furthermore, the proposed algorithm can be viewed as a novel approach to a special case of the discrete quadratic program (QP).

Index Terms—Discrete beamforming for IRS/RIS, global optimum, linear time algorithm, discrete quadratic program.

I. INTRODUCTION

CONFIGURING intelligent reflecting surface (IRS) concerns with the choice of a set of “best” phase shifts across reflective elements (REs), namely passive beamforming, to boost the signal reception at the target receiver. The existing studies in this area [1], [2] mainly divide into two categories: those assuming continuous phase shifts to ease optimization or analysis, and those restricting the phase shifts to a given discrete set from a practical standpoint. This work belongs to the latter. We establish a result that seems at first surprising: the discrete IRS beamforming problem has an $O(N)$ algorithm for its solution, where N is the number of REs.

The proposed algorithm stems from a geometric interpretation of the IRS beamforming problem which was first proposed in [3]. While [3] focuses on the binary beamforming case with each phase shift being either 0 or π , this work goes further to account for a general K -ary case where each phase shift takes

on K discrete values. We propose visualizing each possible solution as a point on the unit circle; the point moving around the unit circle captures the different beamforming decisions. The essential power of the above geometric method arises from the following observation: although the number of possible combinations of phase shifts grows exponentially with the number of REs, there are at most a linear number of unit circular arcs that possibly contain the point of the optimal beamforming. Furthermore, the utilities of all these arcs can be computed in linear time. The main idea behind the proposed algorithm is to try out all possible arcs and pick the best, and then recover the optimal phase shifts.

The existing works seldom consider global optimum for the discrete IRS beamforming. Although the IRS technology has been extensively studied over the past few years for many complicated system models, the simplest point-to-point model is still far less well understood. A common practice in the literature is to first solve the relaxed continuous beamforming problem via standard optimization, and then round the solution to the discrete set, e.g., [4], [5] based on semidefinite relaxation (SDR) and [6], [7] based on minorization-maximization (MM). For the relaxed continuous beamforming problem, [8] enforces the discrete constraint by penalization, but the resulting nonconvex problem is still difficult to deal with. Another popular idea [9], [10] is to decide the phase shift for one RE at a time, but the performance of such greedy search is not provable. Some other works [11], [12] resort to the branch-and-bound algorithm to obtain the global optimum of the discrete IRS beamforming. But these methods incur exponential time complexities and provide limited insights. For the practical approach, the best result so far in the literature is to attain an approximation ratio of the global optimum, e.g., [10] gives an approximation ratio of $\cos^2(\pi/K)$ in terms of the optimal signal-to-noise ratio (SNR), where K is the number of different values each phase shift can take on, and further [3] improves it to $0.5 + 0.5 \cos(\pi/K)$. Moreover, [13], [14] consider the discrete IRS beamforming in the absence of channel information. To the best of our knowledge, the present work gives the first polynomial time algorithm for the discrete IRS beamforming with provable global optimality.

Furthermore, it is worth mentioning the connection to the quadratic program (QP) like $\max \mathbf{x}^T \mathbf{Q} \mathbf{x}$. The authors of [15] already observed that the discrete IRS beamforming problem at the link level boils down to solving a discrete QP—which is NP-hard in general [16] but can be efficiently solved under certain conditions [17], e.g., when each entry of \mathbf{x} is selected from a binary set $\{0, 1\}$ and $\text{rank}(\mathbf{Q}) \leq 2$. Actually, the

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quadratic time algorithm proposed in [15] for the binary IRS beamforming is a direct application of the QP method in [17]. The binary QP without the rank constraint can be solved in polynomial time as well [18]. Nevertheless, a generic K -ary discrete QP remains an open problem. The proposed algorithm can be recognized as a linear time approach to a special case of the K -ary discrete QP.

II. SYSTEM MODEL

Consider wireless transmission in aid of an IRS. Assume that the IRS comprises N REs, and that the transmitter and receiver have one antenna each. We use $h_0 \in \mathbb{C}$ to denote the direct channel from the transmitter to the receiver, $h_n^I \in \mathbb{C}$ the channel from the transmitter to the n th RE, and $h_n^{II} \in \mathbb{C}$ the channel from the n th RE to the receiver, so the cascaded reflected channel $h_n \in \mathbb{C}$ associated with the n th RE is

$$h_n = h_n^{II} h_n^I, \text{ for } n = 1, \dots, N. \quad (1)$$

In the remainder of the paper, the direct and reflected channels are frequently written in a polar form, i.e.,

$$h_n = \beta_n e^{j\alpha_n}, \text{ for } n = 0, 1, \dots, N, \quad (2)$$

with the magnitude $\beta_n > 0$ and the phase $\alpha_n \in [0, 2\pi)$. In particular, $\beta_n > 1$ occurs if IRS can amplify reflection. Assume that the channel phases α_n are uniformly distributed. Moreover, each RE n induces a phase shift θ_n into its reflected channel h_n , which takes on values in a prescribed discrete set

$$\Phi_K = \{\omega, 2\omega, \dots, K\omega\} \text{ where } \omega = \frac{2\pi}{K}. \quad (3)$$

Thus, for the transmit signal $X \in \mathbb{C}$, the received signal $Y \in \mathbb{C}$ is given by

$$Y = \left(h_0 + \sum_{n=1}^N h_n e^{j\theta_n} \right) X + Z, \quad (4)$$

where $Z \sim \mathcal{CN}(0, \sigma^2)$ is an i.i.d. complex Gaussian noise.

Denoting the transmit power level by P , the SNR can be computed as

$$\text{SNR} = \frac{\mathbb{E}[|Y - Z|^2]}{\mathbb{E}[|Z|^2]} \quad (5a)$$

$$= \frac{P}{\sigma^2} \left| \beta_0 e^{j\alpha_0} + \sum_{n=1}^N \beta_n e^{j(\alpha_n + \theta_n)} \right|^2. \quad (5b)$$

Similarly, the baseline SNR without IRS can be obtained as

$$\text{SNR}_0 = \frac{P\beta_0^2}{\sigma^2}. \quad (6)$$

To quantify the performance gain due to IRS, we introduce the notion of *SNR boost* as

$$f(\theta) = \frac{\text{SNR}}{\text{SNR}_0} \quad (7a)$$

$$= \frac{1}{\beta_0^2} \left| \beta_0 e^{j\alpha_0} + \sum_{n=1}^N \beta_n e^{j(\alpha_n + \theta_n)} \right|^2. \quad (7b)$$

We now consider the problem of finding the optimal IRS beamforming vector $\theta := (\theta_1, \dots, \theta_N)$ that yields the highest

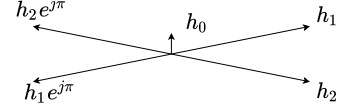


Fig. 1. Clearly, it is optimal to set either $\theta_1 = \theta_2 = 0$ or $\theta_1 = \theta_2 = \pi$ in this example. But we would end up with $\theta_1 = 0$ and $\theta_2 = \pi$ if each h_n is rotated to the closest position to h_0 , which leads to fairly poor performance.

SNR boost:

$$\underset{\theta}{\text{maximize}} \quad f(\theta) \quad (8a)$$

$$\text{subject to} \quad \theta_n \in \Phi_K, \text{ for } n = 1, \dots, N. \quad (8b)$$

We assume that all the channel information is available. In the above problem, the optimal value of a particular θ_n heavily depends on how the other θ_m 's ($m \neq n$) are chosen. It seems intractable to directly coordinate all these θ_n 's because the size of the solution space $\Phi_K \times \Phi_K \times \dots \times \Phi_K$ is exponential in N . Nevertheless, the rest of the paper shows that the problem (8) can be optimally solved in linear time in expectation.

III. PROPOSED METHOD

A. Geometric Interpretation of Discrete Beamforming

The problem in (8) can be visualized with a complex plane graph where every channel h_n corresponds to a 2-dimensional real vector. Applying the phase shift θ_n to h_n can be viewed as rotating the vector h_n counterclockwise by an angle of θ_n ; recall that the rotation must be discrete because of the constraint $\theta_n \in \Phi_K$. We aim to coordinate the rotations of (h_1, \dots, h_N) so that the magnitude of their vector addition is maximized. Thus, if $(\theta_1^*, \dots, \theta_N^*)$ is the optimal solution to (8), then the vector addition

$$g = h_0 + \sum_{n=1}^N h_n e^{j\theta_n^*} \quad (9)$$

has the maximum length. We write the normalized g as

$$\mu = \frac{g}{|g|}. \quad (10)$$

Notice that the vector h_0 is fixed. A naive idea for maximizing $|g|$ is to rotate every h_n to the closest possible position to h_0 in the complex plane, namely the *closest point projection (CPP)*. However, CPP is suboptimal and can yield quite bad results, as illustrated in Fig. 1. The reason is that h_0 may considerably deviate from μ , in which case aligning h_n with h_0 cannot contribute much to the magnitude of the overall vector addition. Rather, it is always optimal to rotate h_n to the closest possible position to μ , as stated in the following lemma.

Lemma 1: For an optimal solution $(\theta_1^*, \dots, \theta_N^*)$ to problem (8), each θ_n^* must satisfy

$$\theta_n^* = \arg \min_{\theta_n \in \Phi_K} |(\theta_n + \alpha_n - \angle \mu) \bmod 2\pi|, \quad (11)$$

where $\angle \mu$ stands for the phase of μ in (10).

Proof: Suppose that there exists some $\theta'_n \in \Phi_K \setminus \{\theta_n^*\}$ with $|(\theta'_n + \alpha_n - \angle \mu) \bmod 2\pi| < |(\theta_n^* + \alpha_n - \angle \mu) \bmod 2\pi|$. We can then render h_n closer to the addition of the rest vectors

by applying a phase shift of θ'_n to it instead, thereby further increasing $|g|$, which is a contradiction. ■

In light of the above lemma, we proceed to decide a range of μ for which $\theta_n^* = k\omega$ must hold. First, define a sequence of complex numbers with respect to each $n = 1, \dots, N$ as

$$s_{nk} = e^{j(\alpha_n + (k-0.5)\omega)}, \text{ for } k = 1, \dots, K. \quad (12)$$

Notice that these complex numbers, when visualized as points in the complex plane, all lie on the unit circle C . For any two points $a, b \in C$, we use $\text{arc}(a : b)$ to denote a unit circular arc with a as the initial end and b as the terminal end in the counterclockwise direction; in particular, let $\text{arc}(a : b)$ be an open arc with the two endpoints a and b excluded, as shown in Fig. 2. The following proposition follows from Lemma 1.

Proposition 1: A sufficient condition for $\theta_n^* = k\omega$ is

$$\mu \in \text{arc}(s_{nk} : s_{n,k+1}). \quad (13)$$

Intuitively, letting $\theta_n = k\omega$ is guaranteed to minimize the gap $|(\theta_n + \alpha_n - \angle\mu) \bmod 2\pi|$ whenever μ lies in its associated arc, and thus $k\omega$ must be optimal according to Lemma 1.

We put together all the complex numbers $\{s_{nk}, \forall(n, k)\}$, then remove the replicas among them, and further sort out the remaining distinct numbers according to their phases, i.e.,

$$0 \leq \angle\lambda_1 < \angle\lambda_2 < \dots < \angle\lambda_L < 2\pi, \quad (14)$$

where $\{\lambda_1, \dots, \lambda_L\}$, $L \leq NK$, are the distinct numbers of $\{s_{nk}, \forall(n, k)\}$. Moreover, we use the following function to retrieve the associated RE indices n from λ_ℓ :

$$\mathcal{N}(\lambda_\ell) = \{n \mid s_{nk} = \lambda_\ell\}. \quad (15)$$

Notice that the distinct points of $(\lambda_1, \dots, \lambda_L)$ all lie on the unit circle C and partition C into L circular arcs, which are $\text{arc}(\lambda_1 : \lambda_2), \dots, \text{arc}(\lambda_{L-1} : \lambda_L)$, and $\text{arc}(\lambda_L : \lambda_1)$.

The key observation is that if μ moves around C but within the same $\text{arc}(\lambda_\ell : \lambda_{\ell+1})$, i.e., when μ stays in the same $\text{arc}(s_{nk} : s_{n,k+1})$ for every n , then the optimal solution of θ_n^* does not change according to Proposition 1. As a result, we conclude that the optimal solution $(\theta_1^*, \dots, \theta_N^*)$ solely depends on which $\text{arc}(\lambda_\ell : \lambda_{\ell+1})$ contains μ . Because μ is unknown *a priori*, we need to try out all possible arcs and choose the best. The essential power of this exhaustive search approach arises from the fact that there are at most KN arcs, so the complexity is just linear in N .

Remark 1: Actually, we can further reduce the number of the considered arcs from KN to $2N$ by using [3, Proposition 1]: the optimal overall channel superposition deviates from h_0 by less than $2\pi/K$, i.e., $|(\angle\mu - \alpha_0) \bmod 2\pi| < 2\pi/K$. Thus, it suffices to consider the two closest arcs to h_0 for each h_n .

So far we sketch the main idea behind the proposed method. But there are still two technical challenges to tackle. First, how to break the tie when two phase shifts can both minimize the gap in (11), i.e., when μ lies right between two arcs rather than in the interior of an arc. Second, how to actually achieve the linear complexity in N . Here is a subtle pitfall: if the SNR boost is evaluated for each arc separately, then it requires $O(N^2)$ time to figure the optimal arc. We give the complete description of the proposed method in the sequel.

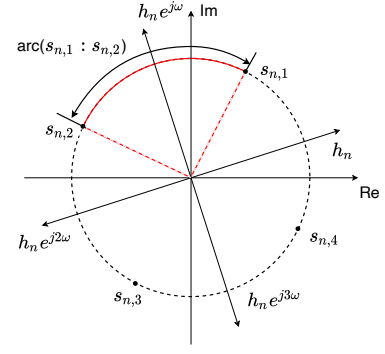


Fig. 2. A total of K points $\{s_{nk}, k = 1, \dots, K\}$ partition the unit circle into K arcs; $\text{arc}(s_{ni} : s_{n,i+1})$ starts from s_{ni} and ends at $s_{n,i+1}$ in the counterclockwise direction.

B. Optimal Solving in Linear Time

We start by showing that the aforementioned tie-breaking issue will never occur.

Proposition 2: We always have $\mu \neq \lambda_\ell$ for any endpoint λ_ℓ , so μ must lie in the interior of some $\text{arc}(\lambda_\ell : \lambda_{\ell+1})$.

Proof: Suppose that $\mu = \lambda_\ell$ for some ℓ , so there exists at least one s_{nk} such that $s_{nk} = \mu$. As a result, μ lies on the boundary between $\text{arc}(s_{n,k-1} : s_{nk})$ and $\text{arc}(s_{nk} : s_{n,k+1})$, and hence we need to break a tie: $\theta_n^* = (k-1)\omega$ or $\theta_n^* = k\omega$. Assume that $\theta_n^* = k\omega$ and let $g' = g - h_n e^{jk\omega}$. It can be easily seen that g must lie between $h_n e^{jk\omega}$ and g' . Thus, g' and $h_n e^{j(k-1)\omega}$ must be on the same side of g while $h_n e^{jk\omega}$ lies on the opposite side. Furthermore, because $h_n e^{j(k-1)\omega}$ and $h_n e^{jk\omega}$ are symmetric about g , $h_n e^{j(k-1)\omega}$ must be closer to g' , and consequently letting $\theta_n = (k-1)\omega$ would increase $|g|$, which forms a contradiction. By symmetry, we can draw a similar contradiction if assuming $\theta_n^* = (k-1)\omega$ at first. The above proposition is then verified. ■

Remark 2: Equipped with Proposition 2, we can strengthen Proposition 1 to state that (13) is a necessary and sufficient condition for $\theta_n^* = k\omega$.

Because of Proposition 2, we can restrict the possible positions of μ to the interior of arcs. We seek the optimal arc that maximizes $|g|$. Let us start from $\text{arc}(\lambda_1 : \lambda_2)$ and move μ around the unit circle in the counterclockwise direction. As μ enters the $(\ell+1)$ th arc from the previous ℓ th arc, i.e.,

$$\text{arc}(\lambda_\ell : \lambda_{\ell+1}) \rightarrow \text{arc}(\lambda_{\ell+1} : \lambda_{\ell+2}), \quad (16)$$

all those θ_n with $n \in \mathcal{N}(\lambda_{\ell+1})$ are changed accordingly because they no longer minimize the phase gaps $|(\theta_n + \alpha_n - \angle\mu) \bmod 2\pi|$ in (11). These θ_n 's are optimally updated as

$$\theta_n \rightarrow \theta_n + \omega \text{ for all } n \in \mathcal{N}(\lambda_{\ell+1}). \quad (17)$$

Importantly, notice that the overall vector addition g is effected only by those newly updated θ_n with $n \in \mathcal{N}(\lambda_{\ell+1})$ in (17). Thus, the overall channel superposition in the new arc, denoted by $g_{\ell+1}$, can be efficiently obtained from the previous g_ℓ as

$$g_{\ell+1} = g_\ell + \sum_{n \in \mathcal{N}(\lambda_{\ell+1})} (h_n e^{j\theta_n} - h_n e^{j(\theta_n - \omega)}). \quad (18)$$

The above recursive computation of $g_{\ell+1}$ plays a key role in our linear time algorithm, as shown in the proof of Theorem 1

Algorithm 1 Linear-Time Optimal Beamforming for IRS

- 1: **Initialization:** Compute $\{s_{nk}\}$ and sort $\{\lambda_1, \dots, \lambda_L\}$ according to (12) and (14).
 - 2: Find L arcs that possibly contain μ as in Remark 1.
 - 3: Compute each θ_n according to (11); obtain g_1 in (9).
 - 4: **for** each possible arc($\lambda_\ell : \lambda_{\ell+1}$) **do**
 - 5: Update $\theta_n \rightarrow \theta_n + \omega$ for each $n \in \mathcal{N}(\lambda_\ell)$.
 - 6: Compute g_ℓ based on $g_{\ell-1}$ according to (18).
 - 7: **end for**
 - 8: Find $\ell_{\text{OPT}} = \arg \max_\ell \{g_\ell\}$.
 - 9: Place μ anywhere in the interior of arc($\lambda_{\ell_{\text{OPT}}} : \lambda_{\ell_{\text{OPT}}+1}$).
 - 10: Compute each optimal θ_n^* according to (11).
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in what follows. Otherwise, if each g_ℓ is evaluated according to (9) separately, then the complexity would raise to $O(N^2)$. Algorithm 1 summarizes the steps.

Theorem 1: Algorithm 1 yields the global optimal solution $(\theta_1^*, \dots, \theta_N^*)$ to problem (8) in $O(N)$ time in expectation.

Proof: The global optimality of Algorithm 1 is evident because each θ_n is optimally decided as in Proposition 1 and all the possible arcs have been considered for μ .

We now analyze the complexity of Algorithm 1. Recall that we have assumed that the channel phases α_n 's are uniformly distributed on $[0, 2\pi)$, and thus λ_ℓ 's are uniformly distributed on the unit circle. By the binning method [19], it takes $O(N)$ time on average to sort the λ_ℓ 's in step 1 of Algorithm 1; we remark that the worst-case complexity is $O(N^2)$. Regarding the for-loop from step 5 to step 8, for the iteration of ℓ , (17) and (18) incur $O(|\mathcal{N}(\lambda_\ell)|)$ each, so the entire for-loop incurs $\sum_{\ell=2}^L O(|\mathcal{N}(\lambda_\ell)|) = O(N)$. Moreover, we see immediately that the complexity of the rest steps is linear in N . Thus, the overall complexity is $O(N)$ on average. ■

The average complexity of Algorithm 1 would raise to $O(NK)$ if we try out all the arcs on the unit circle. Moreover, if the channel phases are not uniformly distributed on $[0, 2\pi)$, then we may sort out λ_ℓ 's in $N \log(N)$ time on average by using the quick sort instead in step 1 of Algorithm 1. Fig. 3 illustrates the gain of Algorithm 1 over CPP.

Remark 3: Algorithm 1 can be readily applied to a complex K -ary QP: maximize $_{\mathbf{x}}$ $\mathbf{x}^\top \mathbf{Q} \mathbf{x}$ subject to $x_i \in \{1, \omega, \dots, \omega^{K-1}\}$ whenever $\text{rank}(\mathbf{Q}) = 1$ over \mathbb{C} , i.e., when \mathbf{Q} can be decomposed as $\mathbf{Q} = \mathbf{v}^H \mathbf{v}$ for some complex vector \mathbf{v} .

IV. SIMULATION RESULTS

We now compare the proposed algorithm with the existing methods for the discrete IRS beamforming in some numerical examples. The transmit power is 30 dBm and the background noise power is -90 dBm. The channel model follows [9], [10], [20] as specified in the following. The direct channel is modeled as $h_0 = 10^{-\text{PL}_0/20} \times \zeta_0$ where $\text{PL}_0 = 32.6 + 36.7 \log_{10}(d_0)$ is the pathloss (in dB) between the transmitter and the receiver which are d_0 meters apart, and ζ_0 is the Rayleigh fading component drawn i.i.d. from the Gaussian distribution $\mathcal{CN}(0, 1)$. The cascaded reflected channel is modeled as $h_n = 10^{-(\text{PL}_1 + \text{PL}_2)/20} \times \zeta_{n1} \zeta_{n2}$ where

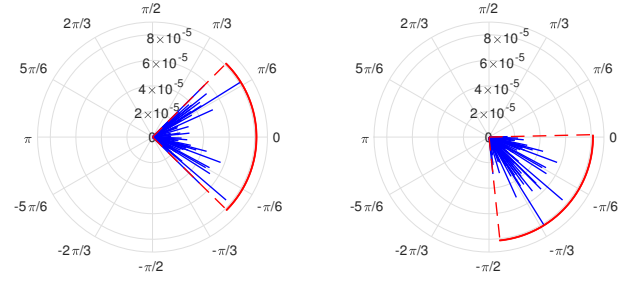


Fig. 3. Plot of the rotated channels $h_n e^{j\theta_n}$ when $N = 100$ and $K = 4$. Algorithm 1 (right) outperforms CPP (left) by making channels more clustered.

PL_1 and PL_2 are computed as $\text{PL}_i = 30 + 22 \log_{10}(d)$, $i \in \{1, 2\}$, with d in meters denoting the transmitter-to-IRS distance and the IRS-to-receiver distance, respectively. The Rayleigh fading components ζ_{n1} and ζ_{n2} are drawn from the Gaussian distribution $\mathcal{CN}(0, 1)$ independently across $n = 1, \dots, N$. The locations of the transmitter, IRS, and receiver are denoted by the 3-dimensional coordinate vectors $(50, -200, 20)$, $(-2, -1, 0)$, and $(0, 0, 0)$ in meters, respectively. We compare Algorithm 1 with the exhaustive search when $N = 10$, both of which achieve the global optimum. For a larger number of REs, say $N = 100$, we consider the following two baseline methods for comparison purpose:

- *Closest Point Projection (CPP)* [10]: Round the continuous solution $\theta_n = \alpha_0 - \alpha_n$ to the closest point in Φ_K .
- *Block Coordinate Descent (BCD)* [9]: Optimize the phase shift for one RE at a time.

Fig. 4 shows the cumulative distribution of the SNR boost when the number of phase shift choices $K = 2$. While the proposed algorithm is guaranteed to attain the global optimum, CPP and BCD are both suboptimal. Fig. 5 further shows the case of $K = 4$. CPP and BCD are still suboptimal but the gaps become smaller. Actually, the performance of CPP and BCD are sensitive to the choice of channel model. For instance, as previously shown in Fig. 1, the gap between CPP and the global optimum can be arbitrarily large in certain scenarios. In particular, if the provable ultrareliable transmission is of crucial importance, then the proposed algorithm is a much better choice than the existing methods.

We further consider multiple receivers when $K = 2$. In addition to the existing receiver at $(0, 0, 0)$, three more receivers are located at $(0, 1, 0)$, $(1, 0, 0)$, and $(1, 1, 0)$, respectively. Assume that a common message is intended to the four receivers, namely the broadcast channel. We propose running Algorithm 1 (or CPP, or BCD) for each receiver and then choose the best $\{\theta_n\}$ to maximize the lowest SNR across the four receivers. Thus, the time complexity of this extended Algorithm 1 is $O(NU)$ where U refers to the number of receivers. The resulting cumulative distribution of the lowest SNR by the different methods is displayed in Fig. 6. Again, the proposed method outperforms all the other methods. Note that BCD has quite bad performance in this multi-user case.

V. CONCLUSION

We propose a linear time algorithm that is guaranteed to yield the globally optimal configuration of discrete phase shifts

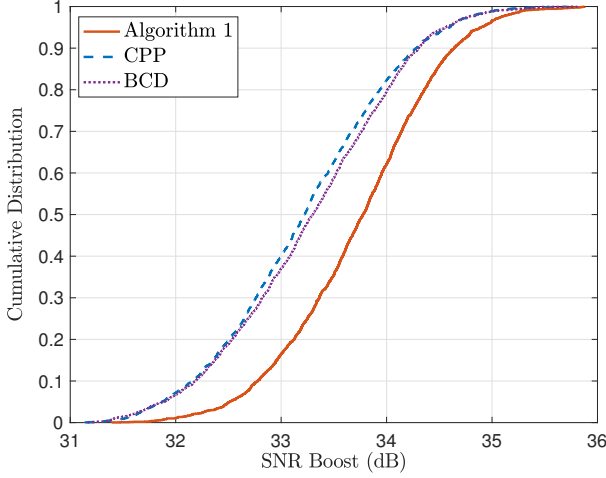


Fig. 4. Cumulative distribution of SNR boost when $K = 2$.

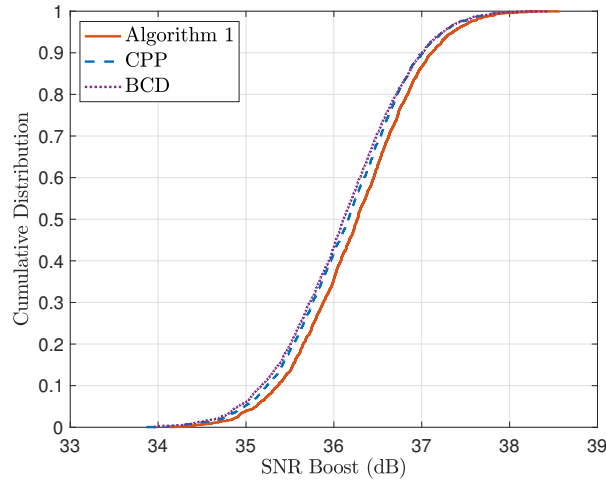


Fig. 5. Cumulative distribution of SNR boost when $K = 4$.

for IRS in order to maximize the SNR boost, whereas the best results in the literature are branch-and-bound algorithms and the approximation algorithms. The proposed method provides insights into how the phase shifts should be coordinated across the REs from a geometric perspective. Moreover, this work sheds light on how the notoriously difficult problem of discrete QP can be solved for a special case.

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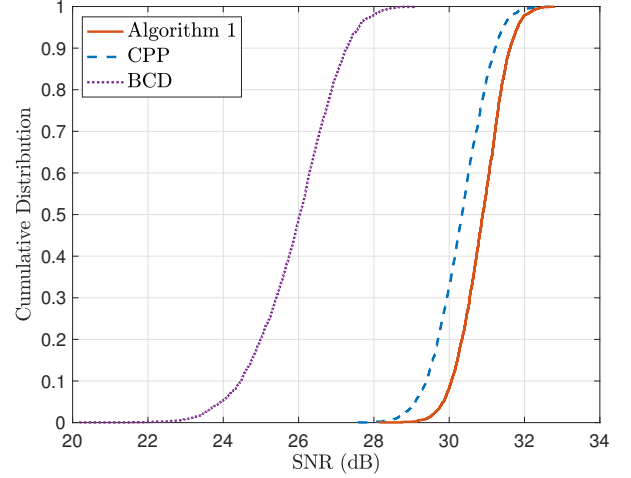


Fig. 6. Cumulative distribution of min SNR across 4 users when $K = 2$.

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