

# Functional Dependencies (II)

**R&G Chapter 19**

# Annoucement

- **Final exam**
  - 9:30am – 12noon, Saturday, December 17, 2016
  - For both CS442 and CPE442 students

# In Last Lecture

- **Data redundancy**
  - Update, insertion, deletion anomaly
- **Source for redundancy: functional dependencies (FDs)**
  - $X \rightarrow Y$ : Given any two tuples in  $r$ , if the  $X$  values are the same, then the  $Y$  values must also be the same. (but not vice versa)
- **To remove data redundancy**
  - FD-driven schema decomposition

# Rules of Inference

- **Armstrong's Axioms (AA)** ( $X, Y, Z$  are sets of attributes):
  - Reflexivity: If  $X \supseteq Y$ , then  $X \rightarrow Y$
  - Augmentation: If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$  for any  $Z$
  - Transitivity: If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$
- **Some additional rules (that follow from AA):**
  - Union: If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$
  - Decomposition: If  $X \rightarrow YZ$ , then  $X \rightarrow Y$  and  $X \rightarrow Z$

# Example



Given:

–Relation  $R = \{A, B, C, G, H, I\}$

–FDs:  $A \rightarrow B$ ,  $A \rightarrow C$ ,  $CG \rightarrow H$ ,  $CG \rightarrow I$ ,  $B \rightarrow H$

Questions: Prove the following FDs by using AA rules

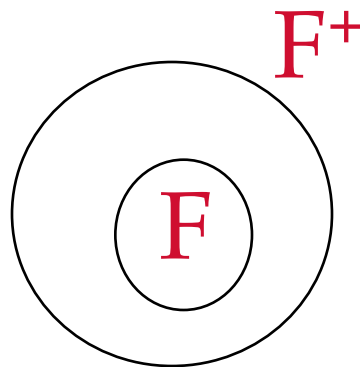
(1)  $A \rightarrow H$ ,

(2)  $AG \rightarrow I$

(3)  $CG \rightarrow HI$

# Closure of FDs

- An FD  $f$  is implied by a set of FDs  $F$  if  $f$  holds whenever all FDs in  $F$  hold.
- $F^+ =$  closure of  $F$  is the set of all FDs that are implied by  $F$ . (includes “trivial dependencies”)



# Computing FD Closure

- Typically we want to check if a given FD  $X \rightarrow Y$  can be implied from a given set of FDs  $F$ .
- It is equivalent to checking whether  $X \rightarrow Y$  is in  $F^+$ .
- An efficient check:
  - Compute attribute closure of  $X$  (denoted  $X^+$ ) wrt  $F$ .  
 $X^+ =$  Set of attributes  $A$  such that  $X \rightarrow A$  is in  $F^+$ 
    - Initialize  $X^+ := X$
    - Repeat until no change: if there is an FD  $U \rightarrow V$  in  $F$  such that  $U$  is in  $X^+$ , then add  $V$  to  $X^+$
  - Check if  $Y$  is in  $X^+$  (i.e.,  $X \rightarrow Y$  is in  $F^+$ )

# Attribute Closure (Example 1)



- **$R(ABCDE)$**
- **$F = \{A \rightarrow D, D \rightarrow B, B \rightarrow C, E \rightarrow B\}$**
- **What's  $A^+$ ,  $D^+$ ,  $E^+$ ,  $ACE^+$  ?**



# Attribute Closure (Example 2)

- **$R = \{A, B, C, D, E\}$**
- **$F = \{ B \rightarrow CD, D \rightarrow E, B \rightarrow A, E \rightarrow C, AD \rightarrow B \}$**
- **Is  $B \rightarrow E$  in  $F^+$  ?**

$$B^+ = B$$

$$B^+ = BCD$$

$$B^+ = BCDA$$

$$B^+ = BCDAE \quad \dots \text{Yes!}$$

# FD Closure VS. Finding Key

- **Computing FD closure can be used to find the keys of a relation.**
  - If  $X^+ = \{\text{all attributes of } R\}$ , then  $X$  is a superkey for  $R$ .
  - Question: How to check if  $X$  is a *candidate* key?
  - Answer: check whether any subset  $Y$  of  $X$  satisfies:  
$$Y^+ = \{\text{all attributes of } R\}$$

# Key Calculation



- $R = \{A, B, C, D, E\}$
- $F = \{ B \rightarrow CD, D \rightarrow E, B \rightarrow A, E \rightarrow C, AD \rightarrow B \}$
- Is D a superkey of R?
- Is B a superkey of R?
- Is B a candidate key of R?
- Is AD a superkey of R?
- Is AD a *candidate* key of R?
- Is ADE a *candidate* key of R?

# How to Determine Candidate Keys?

- **An efficient solution:**

- When computing FD closure, we distinguish attributes into three categories
  - L: attributes only appear at the left side of all given FDs
  - R: attributes only appear at the right side of all given FDs
  - M: attributes that appear on both sides of all given FDs
- The principle:
  - Attributes in L: each candidate key should include **ALL** attributes in L;
  - Attributes in M may be part of keys
  - Attributes in R will **NOT** be part of any key

# Determine the keys (example 1)



- **Database :  $R(A, B, C, D)$**
- **FDs:  $(AB \rightarrow C, C \rightarrow B, C \rightarrow D)$**
- **What are the candidate keys of  $R$ ?**

# Determine the keys (example 2)



- **Database : R(A, B, C)**
- **FDs: (A->B, B-> C, C-> A)**
- **What are the candidate keys of R?**

L	M	R
	A, B, C	

- $A^+ = \{ABC\}$
- $B^+ = \{BCA\}$
- $C^+ = \{CAB\}$
- Keys: A, B, C