

## Catalog

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## Question 1

### Answer:

The relationships between the four notions of differential privacy are as follows:

1. Pure Differential Privacy ( $\epsilon$ -DP) implies Approximate Differential Privacy  $((\epsilon, \delta)$ -DP), but not vice versa. Under  $\epsilon$ -DP, the privacy loss random variable is almost surely bounded between  $-\epsilon$  and  $\epsilon$  because the ratio of probabilities  $\Pr[A(D) = o] / \Pr[A(D') = o]$  is bounded by  $e^\epsilon$ . This strict bound means that for all outputs, the difference in probabilities is tightly controlled, satisfying  $(\epsilon, \delta)$ -DP with  $\delta = 0$ . However,  $(\epsilon, \delta)$ -DP allows the privacy loss to exceed  $\epsilon$  with probability up to  $\delta$ , so it does not imply the strict bounds of  $\epsilon$ -DP unless  $\delta = 0$ .

2. Pure Differential Privacy ( $\epsilon$ -DP) implies Zero-Concentrated Differential Privacy ( $\rho$ -zCDP), but not vice versa. In  $\epsilon$ -DP, the bounded privacy loss random variable ensures sub-Gaussian tails with parameter  $\rho \geq \epsilon^2/2$ . This sub-Gaussian behavior aligns with the definition of zCDP, where the privacy loss random variable has a certain concentration (controlled variance). Conversely, zCDP allows for unbounded privacy loss values with sub-Gaussian tails, so it does not enforce the strict bounds required by  $\epsilon$ -DP.

3. Zero-Concentrated Differential Privacy ( $\rho$ -zCDP) implies Rényi Differential Privacy  $(\alpha, \epsilon'(\alpha))$ -RDP, but not necessarily the other way around. zCDP ensures that the privacy loss random variable is sub-Gaussian, which implies that the Rényi divergence of order  $\alpha$  between  $A(D)$  and  $A(D')$  is at most  $\epsilon'(\alpha) = \rho\alpha$ . This directly satisfies the definition of RDP. However, RDP can allow for privacy loss random variables with heavier tails (sub-exponential), so it does not always imply the sub-Gaussian concentration required for zCDP unless additional conditions are met.

4. Rényi Differential Privacy  $(\alpha, \epsilon'(\alpha))$ -RDP implies Approximate Differential Privacy  $((\epsilon, \delta)$ -DP), and vice versa under certain parameters. RDP bounds the Rényi divergence, which controls the moments of the privacy loss random variable. By applying concentration inequalities, one can derive  $(\epsilon, \delta)$ -DP guarantees from RDP parameters. Similarly,  $(\epsilon, \delta)$ -DP provides bounds on the cumulative distribution function of the privacy loss, which can be used to derive RDP parameters for certain  $\alpha$ . The conversion between RDP and approximate DP depends on the specific values of  $\alpha$ ,  $\epsilon$ , and  $\delta$ .

5. Zero-Concentrated Differential Privacy ( $\rho$ -zCDP) implies Approximate Differential Privacy  $((\epsilon, \delta)$ -DP), but not vice versa. The sub-Gaussian nature of the privacy loss random variable in zCDP allows us to bound the probability that the privacy loss exceeds any  $\epsilon$ , leading to  $(\epsilon, \delta)$ -DP with  $\delta$  depending on  $\rho$  and  $\epsilon$ . Specifically, tail bounds for sub-Gaussian distributions show that the probability of large deviations decreases exponentially, satisfying the  $\delta$  requirement. However, approximate DP allows for heavier-tailed distributions (sub-exponential), so it does not ensure the sub-Gaussian concentration needed for zCDP.

6. Pure Differential Privacy ( $\epsilon$ -DP) implies Rényi Differential Privacy  $(\alpha, \epsilon'(\alpha)$ -RDP), but not vice versa. Since  $\epsilon$ -DP bounds the privacy loss random variable between  $-\epsilon$  and  $\epsilon$ , all its moments are bounded, and the Rényi divergence of any order  $\alpha$  is at most  $\epsilon'(\alpha) = \alpha\epsilon^2/2$  (for  $\alpha \geq 1$ ). This satisfies the RDP definition with specific  $\epsilon'(\alpha)$ . Conversely, RDP does not impose the strict pointwise bounds on the privacy loss random variable required for  $\epsilon$ -DP unless  $\epsilon'(\alpha)$  is zero for all  $\alpha$ , which is trivial.

In conclusion, the implications between these notions form a hierarchy based on the restrictiveness of the privacy guarantees, primarily determined by the behavior of the privacy loss random variable:

Pure DP (most restrictive, bounded privacy loss) implies zCDP (sub-Gaussian privacy loss),  
zCDP implies RDP (controls on the Rényi divergence, sub-exponential tails),  
RDP implies Approximate DP (least restrictive, allows small probability  $\delta$  of larger privacy loss).

## Question 2

### Answer:

(a) Since the privacy loss  $L(o)$  is almost surely equal to  $\epsilon_0$ , it means that for all outputs  $o$ , we have  $\ln \left( \frac{\Pr[A(D)=o]}{\Pr[A(D')=o]} \right) = \epsilon_0$ . This implies  $\Pr[A(D) = o] = e^{\epsilon_0} \Pr[A(D') = o]$  for all  $o$ . Therefore, the mechanism satisfies Pure Differential Privacy ( $\epsilon$ -DP) with  $\epsilon = \epsilon_0$ , because the ratio of probabilities is exactly bounded by  $e^{\epsilon_0}$ .

(b) The privacy loss  $L(o)$  lies within  $[0, \epsilon_1]$  for all outputs  $o$ , which means  $\ln \left( \frac{\Pr[A(D)=o]}{\Pr[A(D')=o]} \right) \leq \epsilon_1$  and  $\Pr[A(D) = o] \leq e^{\epsilon_1} \Pr[A(D') = o]$ . Additionally, since  $L(o) \geq 0$ ,  $\Pr[A(D') = o] \leq \Pr[A(D) = o]$ . Thus, the mechanism satisfies Pure Differential Privacy ( $\epsilon$ -DP) with  $\epsilon = \epsilon_1$ , as the privacy loss is bounded within  $[0, \epsilon_1]$ .

(c) The privacy loss  $L(o)$  follows a normal distribution with mean  $0$  and variance  $\sigma^2$ , exhibiting sub-Gaussian tails. This implies that for all  $\alpha > 1$ , the Rényi divergence  $D_\alpha(A(D) \parallel A(D')) \leq \frac{\alpha}{2\sigma^2}$ . Hence, the mechanism satisfies Zero-Concentrated Differential Privacy ( $\rho$ -zCDP) with  $\rho = \frac{1}{2\sigma^2}$ , because the privacy loss random variable meets the sub-Gaussian concentration required by zCDP.

(d) Here,  $L(o)$  follows a Laplace distribution with sub-exponential tails, which means the moment-generating function exists only within a finite interval. This allows us to bound the Rényi divergence  $D_\alpha(A(D) \parallel A(D'))$  by  $\varepsilon'(\alpha) = \frac{\alpha}{b}$  for  $\alpha > 1$ . Therefore, the mechanism satisfies Rényi Differential Privacy  $(\alpha, \varepsilon'(\alpha)$ -RDP), as it provides explicit bounds on the Rényi divergence based on the scale parameter  $b$ .

(e) The privacy loss  $L(o)$  takes values  $\pm \varepsilon_2$  with equal probability, so  $|L(o)| = \varepsilon_2$  almost surely. This means that both  $\Pr[A(D) = o] \leq e^{\varepsilon_2} \Pr[A(D') = o]$  and  $\Pr[A(D') = o] \leq e^{\varepsilon_2} \Pr[A(D) = o]$  hold for all  $o$ . Consequently, the mechanism satisfies Pure Differential Privacy  $(\varepsilon$ -DP) with  $\varepsilon = \varepsilon_2$ , due to the absolute bound on the privacy loss.

In summary:

- (a) represents (1) Pure Differential Privacy  $(\varepsilon$ -DP).
- (b) represents (1) Pure Differential Privacy  $(\varepsilon$ -DP).
- (c) represents (3) Zero-Concentrated Differential Privacy  $(\rho$ -zCDP).
- (d) represents (4) Rényi Differential Privacy  $(\alpha, \varepsilon'(\alpha)$ -RDP).
- (e) represents (1) Pure Differential Privacy  $(\varepsilon$ -DP).

Each privacy loss distribution corresponds to the strictest differential privacy notion it satisfies, and by the implications established, it also satisfies the less restrictive notions.

## Question 5

### Answer:

To show that the VC dimension of the class  $\mathcal{C}$  of convex subsets in the unit square  $[0,1]^2$  is  $\infty$ , need to demonstrate that for any positive integer  $n$ , there exists a set of  $n$  points in  $[0,1]^2$  that can be shattered by  $\mathcal{C}$ .

#### 1. Selection of Points:

Let  $n$  be any positive integer.

Choose  $n$  distinct points  $\{x_1, x_2, \dots, x_n\}$  in  $[0,1]^2$  such that no three points are colinear (they are in general position). Since  $[0,1]^2$  is uncountably infinite, always select such points within the unit square.

#### 2. Shattering the Points:

For any binary labeling of these  $n$  points (each point labeled either 0 or 1), to find a convex set  $S \subseteq [0,1]^2$  such that:

All points labeled 1 are inside  $S$ .

All points labeled 0 are outside  $S$ .

#### 3. Construction of the Convex Set:

If all points are labeled 1:

The convex hull of all the points  $\{x_1, x_2, \dots, x_n\}$  is a convex set containing all the points, satisfying the labeling.

If some points are labeled 0 and others 1:

Let  $P_1$  be the set of points labeled 1.

Let  $P_0$  be the set of points labeled 0.

Construct the convex hull  $\text{Conv}(P_1)$  of the positively labeled points  $P_1$ . This is the smallest convex set containing all points in  $P_1$ .

Since the points are in general position, for each negatively labeled point  $x_i \in P_0$ , there exists a hyperplane (in 2D, a straight line) that separates  $x_i$  from  $\text{Conv}(P_1)$ .

For each  $x_i \in P_0$ , introduce a half-plane that excludes  $x_i$  but includes  $\text{Conv}(P_1)$ .

Intersecting Convex Sets:

The intersection of convex sets is convex. Therefore, intersecting  $\text{Conv}(P_1)$  with the half-planes that exclude the negatively labeled points yields a convex set  $S$  that contains all positively labeled points and excludes all negatively labeled points.

Conclusion:

Since we can construct such a convex set  $S$  for any binary labeling of the  $n$  points, the set of points  $\{x_1, x_2, \dots, x_n\}$  is shattered by  $C$ .

As  $n$  was arbitrary, this process works for any positive integer  $n$ .

Therefore, the VC dimension of  $C$  is  $\infty$ .

## Question 6

### Answer:

An infinite VC dimension implies that the class  $C$  is not PAC learnable, because no finite sample size can guarantee uniform convergence between empirical risk and true risk for all distributions over  $[0,1]^2$ ; thus, learning  $C$  with arbitrarily high accuracy and confidence is impossible in the PAC framework.