# File Systems (2)

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Acknowledgments. Slides and/or picture in the following are adapted from UW, Columbia, and UC Berkeley slides

#### **Outline**

- ☐ File system concepts
  - What is a file?
  - What operations can be performed on files?
  - What is a directory and how is it organized?
- File implementation
  - How to allocate disk space to files?

# **Typical File Access Patterns**

- Sequential Access
  - Data read or written in order
    - Most common access pattern
      - E.g., copy files, compiler read and write files,
  - Can be made very fast (peak transfer rate from disk)
- Random Access
  - Randomly address any block
    - ▶ E.g., update records in a database file
  - Difficult to make it fast (seek time and rotational delay)

# **Disk Management**

- Need to track where file data is on disk
  - How should we map logical sector # to surface #, track #, and sector #?
    - Order disk sectors to minimize seek time for sequential access
- Need to track where file metadata is on disk
- Need to track free versus allocated areas of disk
  - E.g., block allocation bitmap (Unix)
    - Array of bits, one per block
    - Usually keep entire bitmap in memory

# **Allocation Strategies**

- Various approaches (similar to memory allocation)
  - Contiguous
  - Extent-based
  - Linked
  - FAT tables
  - Indexed
  - Multi-level indexed
- Key metrics
  - Fragmentation (internal & external)?
  - Grow file over time after initial creation ?
  - □ Fast to find data for sequential and random access?
  - Easy to implement?
- Storage overhead?

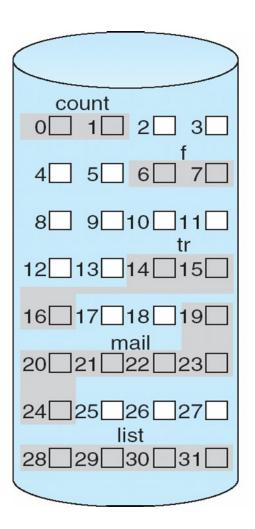
  Operating Systems, Spring 2018



# **Contiguous Allocation**

- Allocate files like continuous memory allocation (base & limit)
  - User specifies length, file system allocates space all at once
  - Can find disk space by examining bitmap
  - Metadata: contains starting location and size of file

### **Contiguous Allocation Example**



# file start length count 0 2 tr 14 3 mail 19 6 list 28 4 f 6 2

directory

#### **Pros and Cons**

- Pros
  - Easy to implement
  - Low storage overhead (two variables to specify disk area for file)
  - Fast sequential access since data stored in continuous blocks
  - Fast to compute data location for random addresses.
  - Just an array index
- Cons
  - Large external fragmentation
  - □ Difficult to grow file

#### **Extent-based Allocation**

- Multiple contiguous regions per file (like segmentation)
  - Each region is an extent
  - Metadata: contains small array of entries designating extents
    - Each entry: start and size of extent

#### **Pros and Cons**

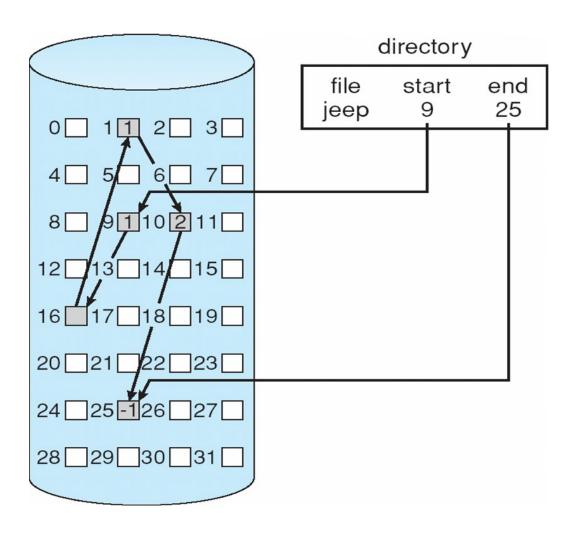
- Pros
  - Easy to implement
  - Low storage overhead (a few entries to specify file blocks)
  - ☐ File can grow overtime (until run out of extents)
  - □ Fast sequential access
  - Simple to calculate random addresses
- Cons
  - Help with external fragmentation, but still a problem

#### **Linked Allocation**

- All blocks (fixed-size) of a file on linked list
  - Each block has a pointer to next
  - Metadata: pointer to the first block

block pointer

# **Linked Allocation Example**



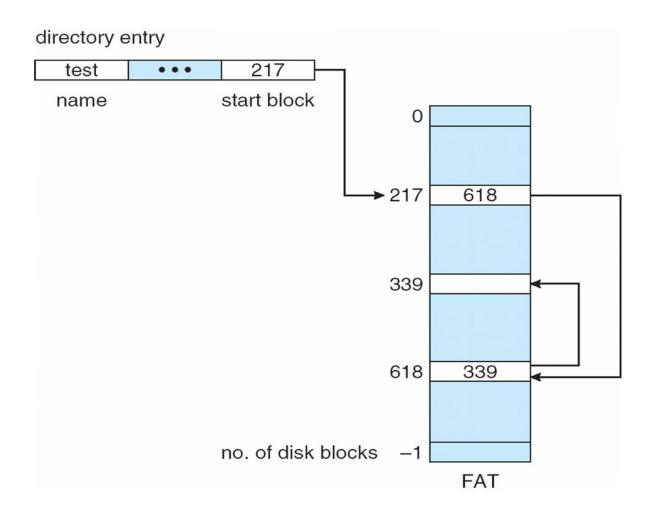
#### **Pros and Cons**

- Pros
  - No external fragmentation
  - ☐ Files can be easily grown with no limit
  - Also easy to implement, though awkward to spare space for dis k pointer per block
- Cons
  - Large storage overhead (one pointer per block)
  - Potentially slow sequential access
  - Difficult to compute random addresses

#### Variation: FAT table

- Store linked-list pointers outside block in File-Allocation Table
  - One entry for each block
  - Linked-list of entries for each file
- Used in MSDOS and Windows operating systems

# **FAT Example**



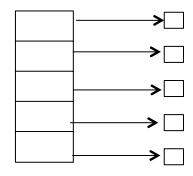
#### **Pros and Cons**

- Pros
  - □ Fast random access. Only search cached FAT
- Cons
  - Large storage overhead for FAT table
  - Potentially slow sequential access

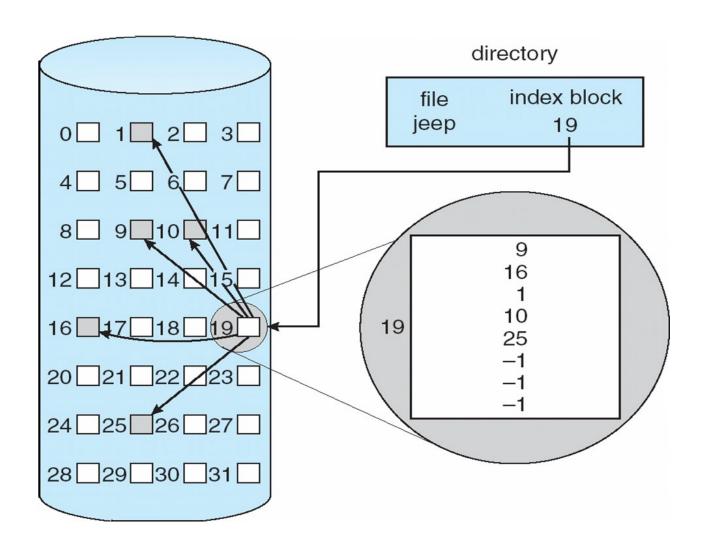
#### **Indexed Allocation**

- ☐ File has array of pointers (index) to block
  - Allocate block pointers contiguously in metadata
    - Must set max length when file created
    - Allocate pointers at creation, allocate blocks on demand
    - Cons: fixed size, same overhead as linked allocation
  - Maintain multiple lists of block pointers
    - Last entry points to next block of pointers
    - Cons: may need to access a large number of pointer blocks

block pointers

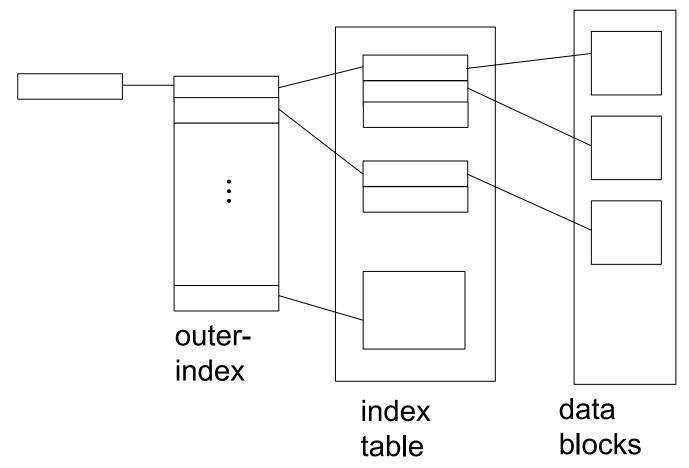


# **Indexed Allocation Example**

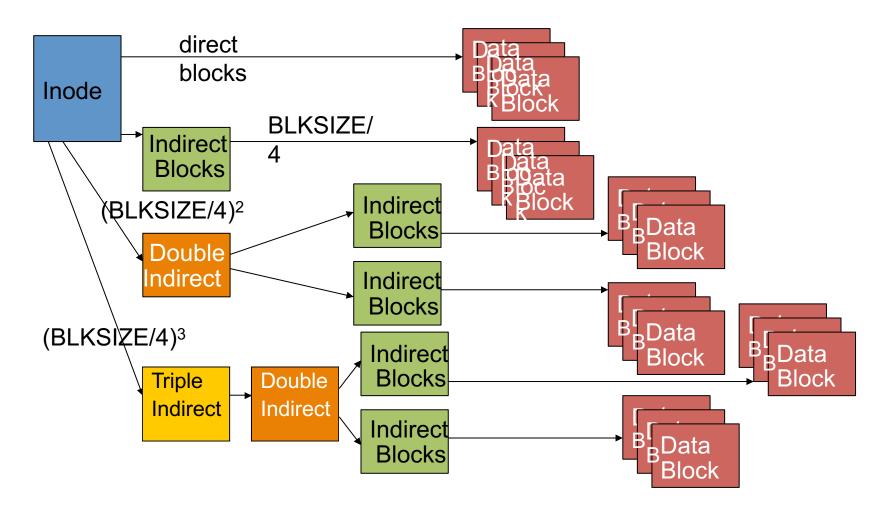


#### **Multi-level Indexed Files**

■ Block index has multiple levels

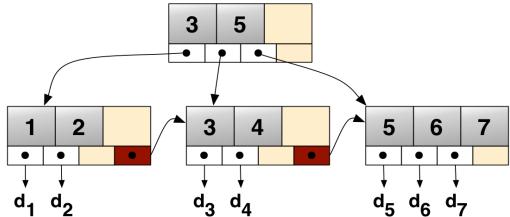


# Multi-level Indexed Allocation (UNIX FFS, and Linux ext2/ext3)



#### **Advanced Data Structures**

- More sophisticated data structures
- □ B+ Trees
  - Used by many high perf filesystems for directories and/or data
  - □ E.g., XFS, ReiserFS, ext4, MSFT NTFS and ReFS, IBM JFS, brzs
  - Can support very large files (including sparse files)
  - Can give very good performance (minimize disk seeks to find block)



# **Free Space Management**

- File system maintains free-space list to track available blocks/clusters
  - ☐ Free bitmap stored in the superblock

0	1	2			n-1
				•••	

$$bit[i] = \begin{cases} 1 \Rightarrow block[i] \text{ free} \\ 0 \Rightarrow block[i] \text{ occupied} \end{cases}$$

free-space list head -

- ☐ Linked free list
  - Pros: space efficient
  - Cons: requires many disk reads to find free cluster of right size
- ☐ Grouping
  - Use a free index-block containing n-1 pointers to free blocks and a pointer to the next free index-block
- Counting
  - Free list of variable sized contiguous clusters instead of blocks
  - Reduces number of free list entries

