

# Analysis of Algorithms

## Introduction

Andres Mendez-Vazquez

September 2, 2018

# Outline

## 1 Motivation

- What is an Algorithm?
- Instance of a Problem
- Kolmogorov's Definition

## 2 Problems Solved By Algorithms

- The Realm of Algorithms

## 3 Syllabus

- What Will You Learn in This Class?
- What do we want?

## 4 Some Notes in Notation

- Notation for Pseudo-Code

## 5 What abstraction of a Computer to use?

- The Random-Access Machine

## 6 Analyzing Algorithms

- Input Size and Running Time
- The First Method: Counting Number of Operations
- Counting Equation For Insertion Sort
- The Analysis of the Worst and Average Case Inputs
- Why Do We Want Efficient Algorithms?



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## Informal definition

Informally, an algorithm is any well defined computational procedure that

- It takes some value, or set of values, as input.
- Then, it produces some value, or set of values, as output.



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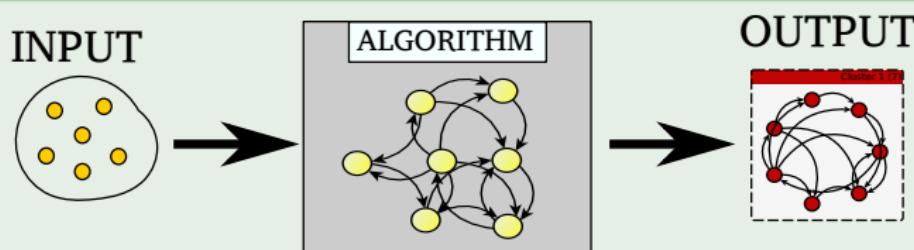
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# Example

## Sorting Problem

- **Input:** A sequence of  $N$  numbers  $a_1, a_2, \dots, a_N$
- **Output:** A reordering of the input sequence  $a_{(1)}, a_{(2)}, \dots, a_{(N)}$

Achieve

We are dealing with instances of a problem.



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- **Input:** A sequence of  $N$  numbers  $a_1, a_2, \dots, a_N$
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Actually

We are dealing with **instances of a problem.**



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# Stuff Like

A sequence of integer numbers

10	2	4	5	11	36	18	9	50
----	---	---	---	----	----	----	---	----

- We want to order the numbers!!



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# Stuff Like

A sequence of integer numbers

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The Classic

- We want to order the numbers!!!



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# Instance of a Problem

## Instance of the problem

- For example, we have
  - ▶ 9, 8, 5, 6, 7, 4, 3, 2, 1
- Then, we finish with
  - ▶ 1, 2, 3, 4, 5, 6, 7, 8, 9

# Although Instances are Important

Nevertheless

The way we use those instances is way more important

Recursive Fibonacci

Look at Recursive Fibonacci!!!



# Although Instances are Important

Nevertheless

The way we use those instances is way more important

For example

Look at Recursive Fibonacci!!!



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# Example: Fibonacci

## Fibonacci rule

$$\bullet F_n = \begin{cases} F_{n-1} + F_{n-2} & \text{if } n > 1 \\ 1 & \text{if } n = 1 \\ 0 & \text{if } n = 0 \end{cases}$$

## Time Complexity

- Naive version using directly the recursion – exponential time.
- A more elegant version – linear time.



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# Kolmogov's Definition

## A bound for each sub-step

- An algorithmic process splits into steps whose complexity is bounded in advance
  - i.e., the bound is independent of the input and the current state of the computation.

## Ending the Process

- The process runs until either the next step is impossible or a signal says the solution has been reached.

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- Each step consists of a direct and immediate transformation of the current state.
- This transformation applies only to the active part of the state and does not alter the remainder of the state.

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# By The Way (BTW)

How do they look this machines, this algorithms?

After all we like to see them!!!



# An Example of an Algorithm

## Insertion Sort

**Data:** Unsorted Sequence  $A$

**Result:** Sort Sequence  $A$

Insertion Sort( $A$ )

**for**  $j \leftarrow 2$  **to**  $\text{length}(A)$  **do**

$\text{key} \leftarrow A[j];$

    // Insert  $A[j]$  Insert  $A[j]$  into the sorted sequence  $A[1, \dots, j - 1]$

$i \leftarrow j - 1;$

**while**  $i > 0$  **and**  $A[i] > \text{key}$  **do**

$A[i + 1] \leftarrow A[i];$

$i \leftarrow i - 1;$

**end**

$A[i + 1] \leftarrow \text{key}$

**end**

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# Single-Source Shortest Path

Application → Short Paths in Maps

These algorithms allows to solve the problem of finding the shortest path in a map between two addresses.

Example



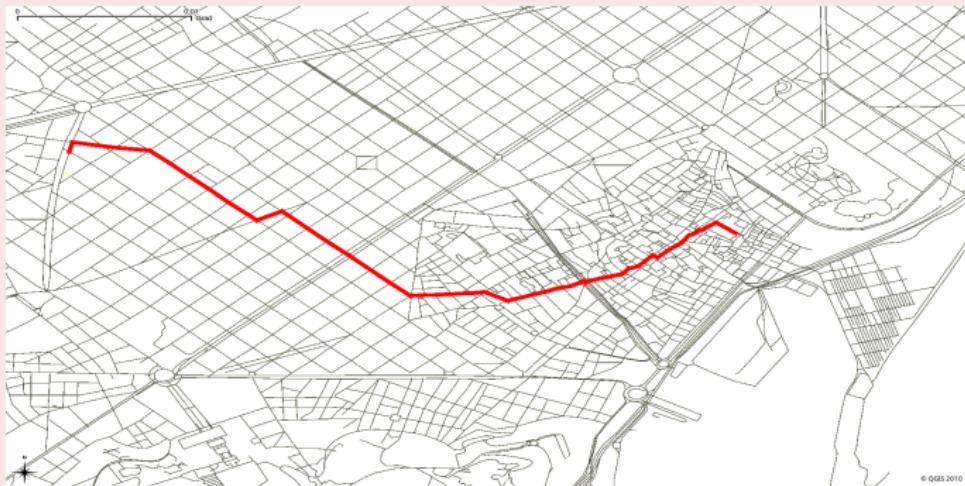
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## Application → Inverting Matrices

Because of stability reasons, given the system  $Ax = y$ , we use the LUP decomposition or Cholensky decomposition to obtain the inverse  $A^{-1}$ .

Example



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# Solving Systems of Linear Equations

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### Example

The diagram shows the Cholesky decomposition of a square matrix  $A$  into three components:  $L$ ,  $D$ , and  $U$ . The matrix  $A$  is represented by a grid of green and red numbers. To its left, the factor  $L$  is shown as a lower triangular matrix with green diagonal elements and red super-diagonals. To the right of  $A$ , the factor  $D$  is shown as a diagonal matrix with red diagonal elements. To the right of  $D$ , the factor  $U$  is shown as an upper triangular matrix with green sub-diagonals and red diagonal elements. An equals sign follows  $U$ , indicating the result of the multiplication  $L \cdot D \cdot U = A$ .

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# Huffman Codes

## Application → Compression

This method is part of the greedy methods. They are used for compression, they can achieve 20% to 90% compression in text files.

Example



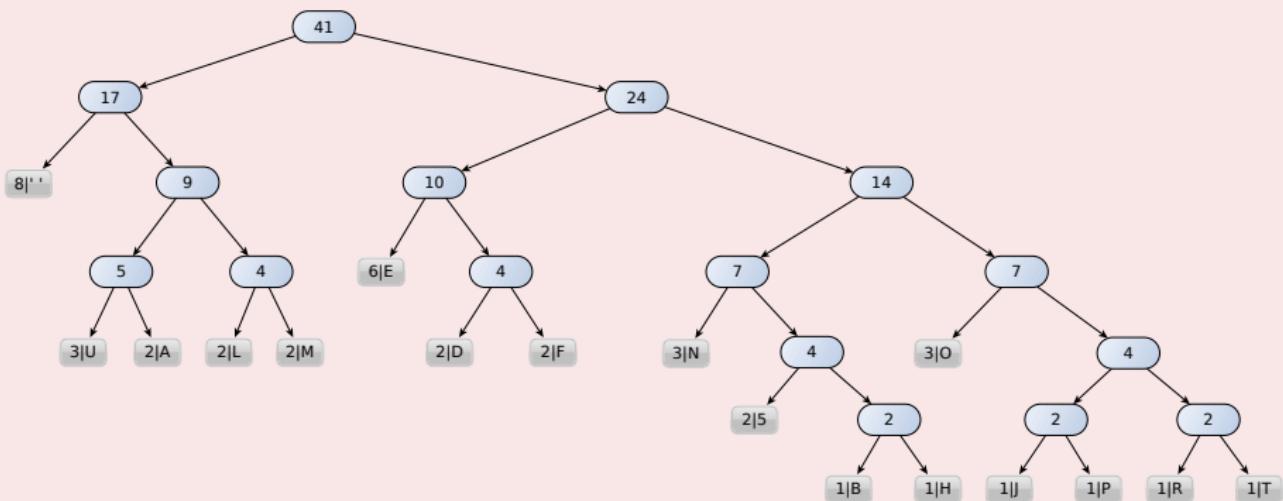
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In many algorithms, we want to multiply different  $n \times n$  matrices.



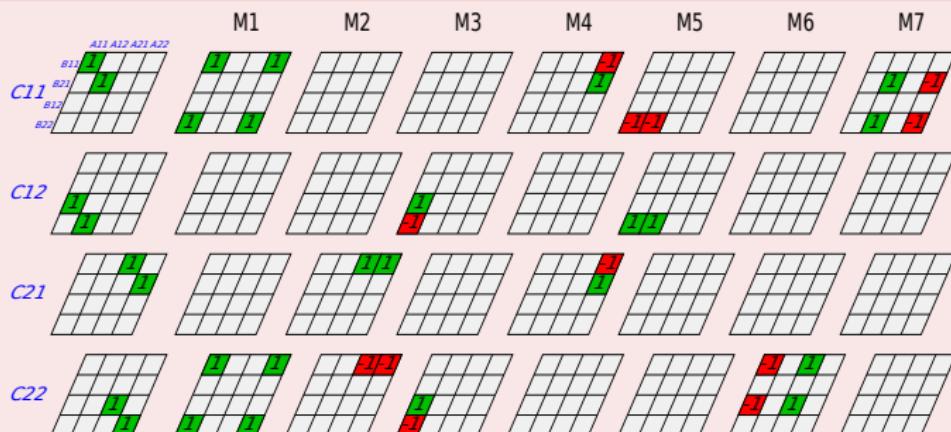
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### STRASSEN'S ALGORITHM

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# Convex Hull

## Application → Computational Geometry

Given the points in a plane, we want to find the minimum convex hull that encloses them.

Example



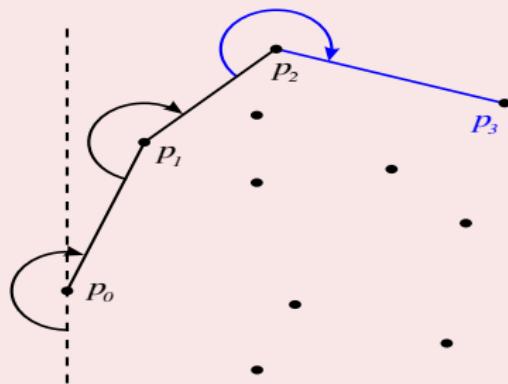
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## Application → Computational Molecular Engineering

- In this field the engineers and biologist try to use the basis of life to create complex molecular machines.
- All these machines will require complex algorithms.

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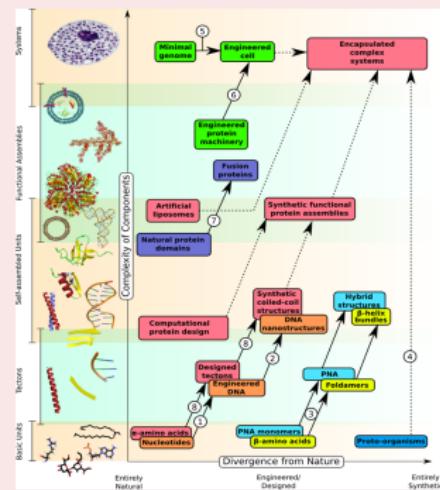
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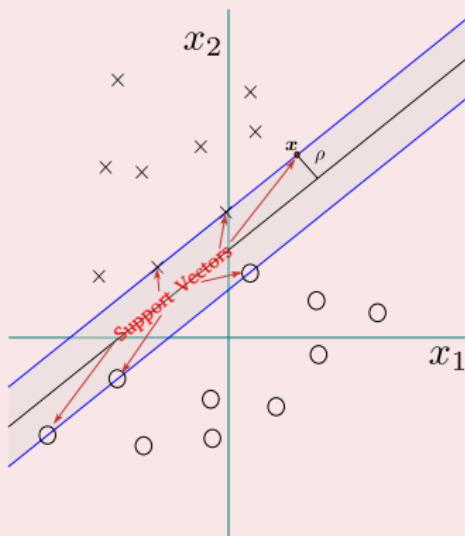
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# Databases

Application → Partition of the Database Space  
For fast access Queries!!!

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de México



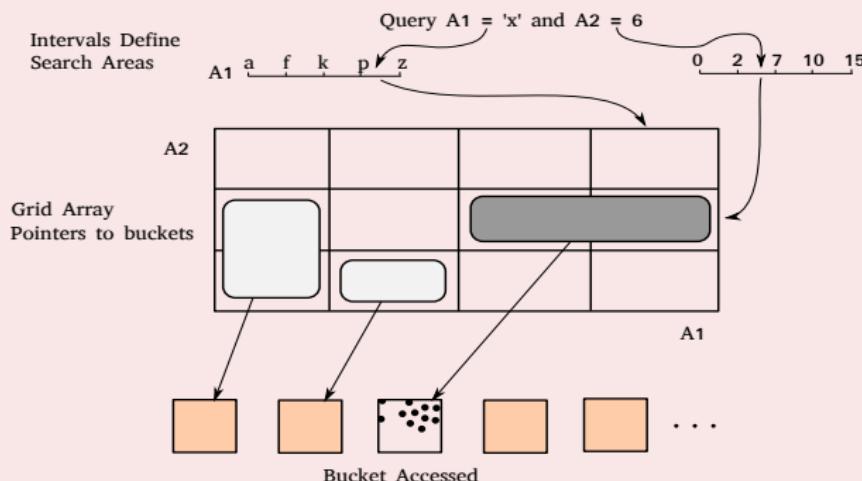
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### Example



@Copyright Michael Unwalla: A mixed transaction cost model for coarse grained multi-column partitioning in a shared-nothing database machine

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## Application → Face Recognition

Facial Recognition measure face landmarks to identify different features in the face.

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## Growth Functions

- Asymptotic Notation -  $\Omega$ ,  $O$  and  $\Theta$ 
  - Standard notation and common functions
  - Solving Recursions



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- The substitution method
- The recursive tree method
- The master method



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- Indicator Random Variables
- Randomization Algorithms



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- Minimum and Maximum.

- Selection.

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- Elementary Graph Algorithms
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  - Single-Source Shortest Paths
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- Encodings

- Polynomial Time Verification
- Polynomial Reduction
- NP-Hard
- NP-Complete proofs
- A family of NP-Problems



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# Now, what we are going to look at!!!

First

Some stuff about notation!!!

Second

What abstraction of a Computer to use?



A first approach to analyzing algorithms!!!

# Now, what we are going to look at!!!

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Some stuff about notation!!!

Second

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Third

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# Please Follow These Simple Rules

## Insertion Sort( $A$ )

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## Rule

- Always put the name of the algorithm at the top together with the input.

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## Insertion Sort( $A$ )

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## Rule

- Always initialize all the variables.
- The  $a \leftarrow b$  ( You also can use " $=.$ ") means that the value  $b$  is passed to  $a$ .

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- Use indentation to preserve the block structure avoiding clutter.

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## Rule

- it corresponds to comments and you can also use "://"

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# The Random-Access Machine

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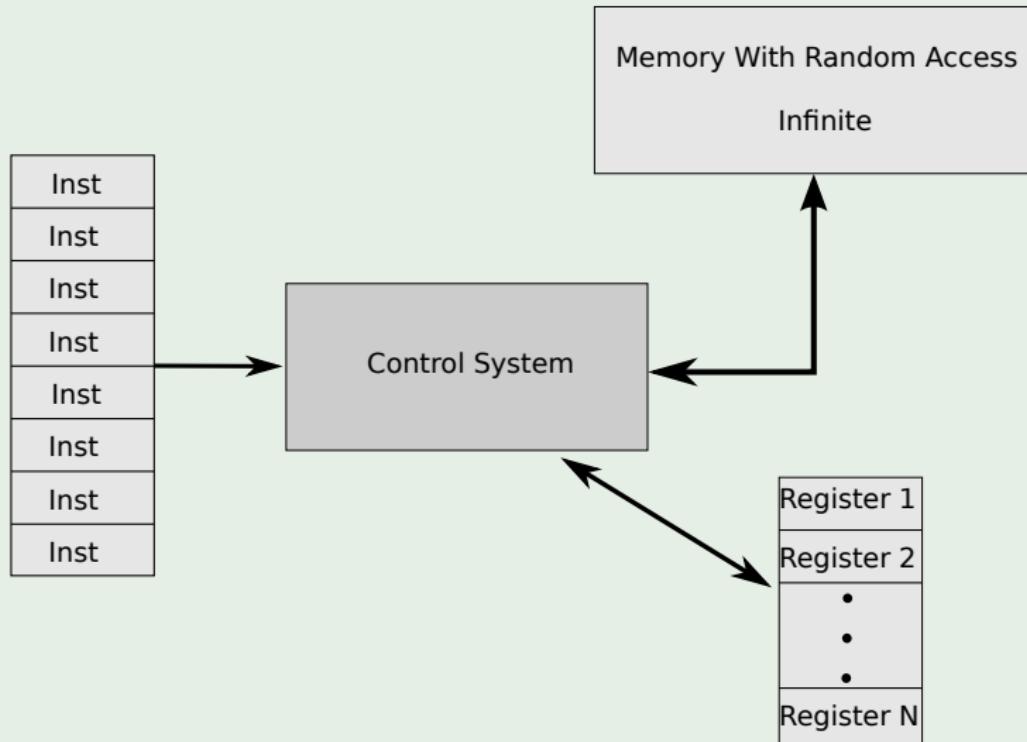
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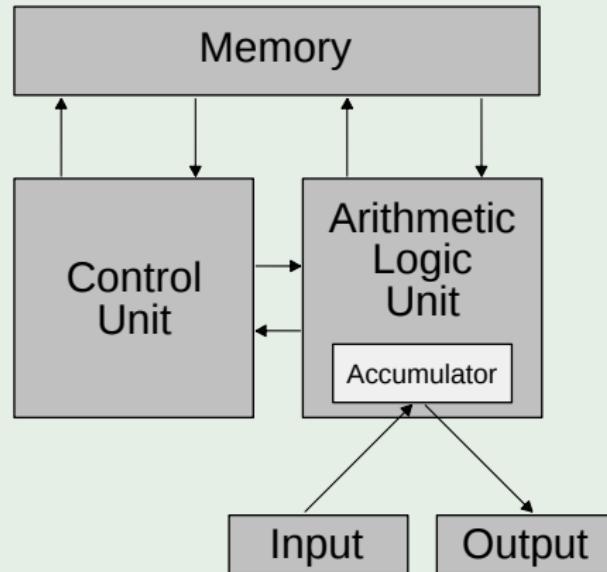
# RAM Model

We have that



Although there are other equivalent models

## Von Neumann architecture scheme



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# Input Size and Running Time

## Definition

The Input Size depends on the type of problem. We will indicate which input size is used per problem.

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The Running Time of an algorithm is the number of primitives operations or steps executed. For now, we will assume that each line in an algorithm takes  $c_i$  a constant time.



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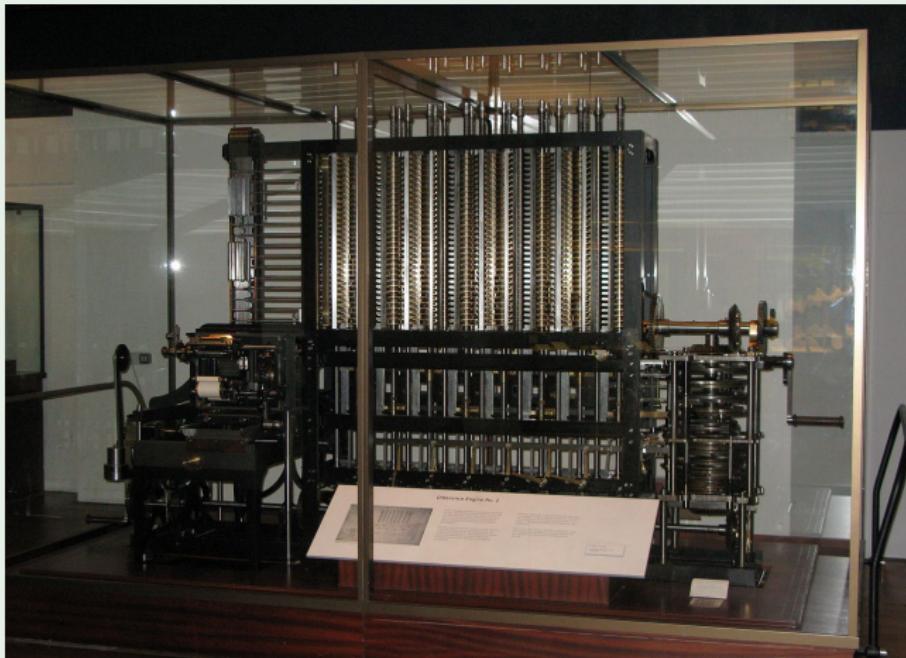
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Even Babbage cared about how many turns of the crank were necessary!!!

Look at the crank!!!



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### **The First Method: Counting Number of Operations**

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# We are going to do some quite simple

## Counting the number of operations

- Therefore we have the following equivalences using algebraic sums...



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## Loops equivalent to Sums

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## Therefore

- We have that each operation  $i$  cost a certain time  $c_i$

Therefore the total cost of a loop would be  $c(N - 1)$



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```

## Count Value

$\rightarrow c_1 N$

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1  for  $j \leftarrow 2$  to  $\text{length}(A)$ 
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## Count Value

$$\rightarrow c_2(N - 1)$$

# Counting the Operations

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```
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$$\rightarrow c_3(N - 1)$$

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## Count Value

$$\rightarrow c_4 \sum_{j=2}^N j$$

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$$\rightarrow c_5 \sum_{j=2}^N (j - 1)$$

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$$\rightarrow c_6 \sum_{j=2}^N (j - 1)$$

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$$\rightarrow c_7(N - 1)$$

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# The $T(N)$ function

The total number of operations

It is known as a function  $T(N)$

$$T : \mathbb{N} \longmapsto \mathbb{N}$$

Something like this:

This generic name will be also be used for the recursive functions!!!

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# Building a function for counting

## Counting Equation

$$T(N) = c_1N + c_2(N - 1) + C_3(N - 1) + c_4 \left( \frac{N(N+1)}{2} - 1 \right) + \dots \\ c_5 \left( \frac{N(N-1)}{2} - 1 \right) + c_6 \left( \frac{N(N-1)}{2} - 1 \right) + c_7 (N - 1)$$

This can be reduced to something like:

$$T(N) = aN^2 + bN + c$$



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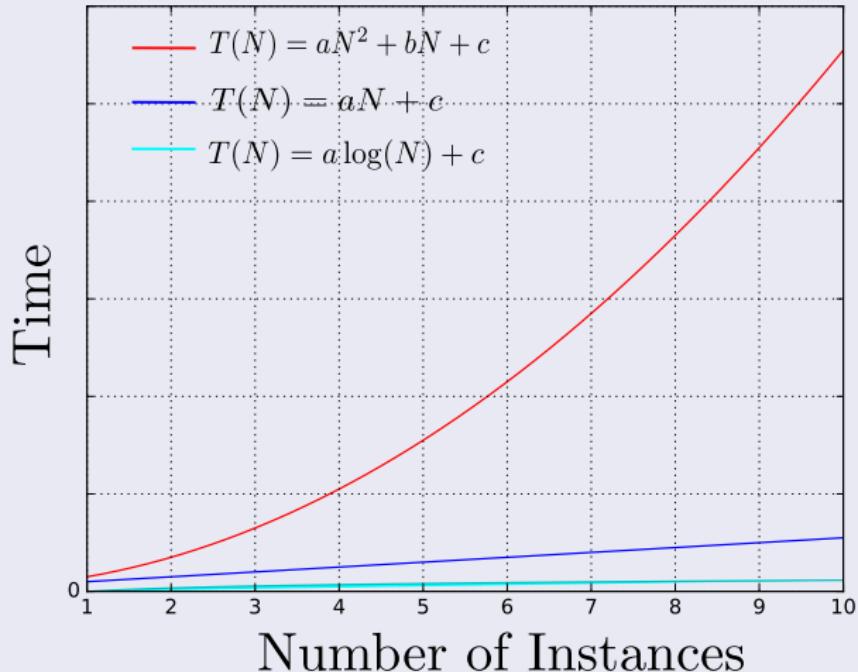
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# Example of Complexities

## Something Notable



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# The Worst and The Average Case Inputs

## The Worst Case Input

- Upper bound on the running time of an algorithm.
  - In case of insertion sort, it will be the permutation:

$$N, N-1, N-2, \dots, 3, 2, 1 \quad (1)$$

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- In the case of insertion sort, if half of the elements of  $A[1, 2, \dots, j-1]$  are less than  $A[j]$  and half are greater.
- Then, insertion sort checks half of the elements i.e.:

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We have the following example

Assume, we have  $10^6$  numbers to sort!!!



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Now, we have the following algorithms

- Insertion Sort  $\rightarrow T(N) = c_1 N^2$

Merge Sort  $\rightarrow T(N) = c_2 N \log_2 N$



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What does it mean? How many instructions?

- In a Supercomputer  $c_1 = 2$  instructions per line
- In our humble PC  $c_2 = 50$  instructions per line



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## In addition

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## Final Result

- Time of Insertion Sort in the Supercomputer:

$$\frac{2(10^6)^2 \text{ ins}}{10^{10} \text{ ins/sec}} = 200 \text{ seconds} \quad (3)$$

- Time of Merge Sort in a humble PC:

$$\frac{2(10^6) \log(10^6) \text{ ins}}{10^7 \text{ ins/sec}} = 3.9 \text{ seconds} \quad (4)$$

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