RoboComm: A DID based approach for secure and privacy preserving Robot-to-Robot interactions over state channels[†]

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Abstract

In a multi-robot system establishing trust amongst untrusted robots from different organisations while preserving a robot's privacy is a challenge. Recent decentralized technologies such as smart contract and blockchain are being explored[1][2] for applications in robotics. However, the limited transaction processing and high maintenance cost hinder the widespread adoption of such approaches. Moreover, blockchain transactions be they on public or private/permissioned blockchain are publically readable(in the case of a private/permissioned blockchain, the data is readable within the network participants) which further fails to preserve the confidentiality of the robot's data and privacy of the robot. In this work, we propose *RoboComm* a Self-Sovereign Identity(SSI) based approach for secure and privacy-preserving interaction between robots. With Decentralized Identity(DID) a component of SSI; robots can authenticate each other independently without relying on any third-party service. We improve message confidentiality and interaction throughput by allowing message exchange over state channels. Being a blockchain backed solution *RoboComm* provides a trustworthy system without trusting a single party. Moreover, we implement our proposed approach to demonstrate the feasibility of our solution.

Keywords: Decentralized Identity, State Channels, Robot Economy

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1 Introduction

Robots are now a part of society and are no longer restricted within bounded walls inside manufacturing units. These social robots [3] with human-like visual, perception, and speech cues interact with humans and the open environment with little to no restrictions. A social robot gathers data through interaction with humans, whereas a swarm of homogeneous robots on a mission exchange messages in real-time to reach a consensus on critical decision-making. The same applies to robot-to-robot interactions in a multi-robot system[4]. Today there is no data provenance and accountability framework in place to monitor these interactions. This raises concerns over the data confidentiality and privacy of an individual robot. The exchanged data can be processed and even sold by the data receiver without the consent of the data producer.

Consider a scenario where a mobile robot R_1 is running low on battery and needs to be charged to complete its task. It finds a stationary idle robot R_2 with surplus battery backup open to share energy. The R_2 need to authenticate and verify certain information such as end of life, and background of R_1 before R_1 can charge itself. If R_1 discloses its manufacturing information, whereas the manufacturing information contains the date of manufacturing and the date of the end of life, the robot R_1 will be disclosing more private information, such as the manufacturer name and other critical information. Moreover, robot authentication is also a challenge. A robot needs to rely on a centralized service for identity management, authentication, and credential issuance. These centralized services have availability issues and can become a central point of failure hampering the robotic operations.

2 Motivation

To address the above concerns we propose to use W3C Decentralised Identity and Verifiable Credentials (VCs) for data provenance along off-chain communications for data exchange. Robots can generate their DIDs and obtain VCs through designated issuers. Each claim is individually signed by the issuer. This allows the robot to selectively disclose the required information instead of disclosing all information. Data exchange between the robots can take place off the chain through peer-to-peer connections for faster exchange. Thus the main contributions of this work are as follows:

1. Provide robots with an SSI with DID-based decentralized authentication, and a p2p communication mechanism.
2. Increase transaction throughput of the blockchain based system with off chain transactions on the Layer 2 state channels.
3. Provide a trustworthy shared platform for robots from different organizations to buy and sell services in the ecosystem, thus boosting the autonomous robot economy.

3 Preliminaries

In this section, we discuss the technologies and the primitives used for designing our architecture.
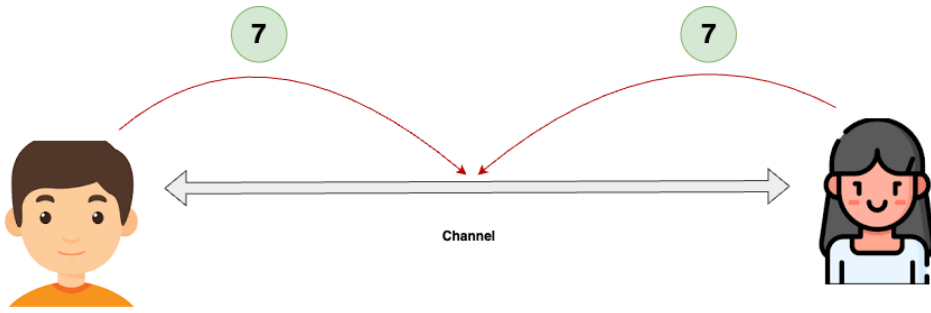
Fig. 1 A Payment channel between Bob(left) and Alice(right)

3.1 Channel Network

A channel network is one of the Layer 2 scaling solutions available. In a channel network, two parties, Alice and Bob, create a channel that enables them to keep a large number of transactions "offchain." To put it another way, the ledger is only used when the parties involved in the channel disagree or wish to close the channel. If the parties are not in conflict, they are free to update the balance in the case of a payment channel or the smart contract state in the case of a ledger channel. Individuals will strongly prefer honest behaviour since there is no motivation for disagreement because off-chain transactions can always be handled on a ledger by the individuals concerned. In the best-case scenario, channels greatly lower transaction costs, enable fast payments, and lessen the strain on the ledger when both parties to the payment channel operate honourably and off-chain transactions never appear on the ledger before the channel is closed.

In case of any misbehaviour from a participant or the participant simply disappears from the channel in between the interaction, the other participant can always recover his funds without any loss by producing the latest signed transaction from the misbehaving participant. Also, the misbehaving party cannot obtain extra tokens as each transaction needs to be digitally signed by both the participant. The Fig.1 shows a payment channel established between Alice and Bob. Both put 7 coins/tokens each onto the channel. These tokens get locked on the channel and cannot be spent until the channel is closed.

State channels are no different and work similar to the payment channels. However, instead of only transferring financial information about ones account balance the state channels also facilitates sharing account state information.

3.2 Decentralised Identity(DID) and DID Communication

3.2.1 Decentralised Identity

A DID is a unique and persistent global identifier. DIDs enable verifiable and decentralized digital identities for entities that are under total control of the identity owner instead of relying on a central authority. A DID resolves to a DID document. The DID

representation conforms with the Universal Resource Identifier (URI) scheme with three mandatory components as below

$$< did : method : method - specifier >$$

Eg.

$$< did : robot : 0xAb8483F64d9C6d1EcF9b849Ae677dD3315835cb2 >$$

The DID document possesses public keys for cryptographic operations, including authentication and verification. The DID document can also contain service endpoints, which can be used to advertise different services provided by the entity or the controller of the entity.

3.2.2 DID Communication(DIDComm)

DIDComm provides a secure and private communication methodology built atop the decentralized design of DIDs. It is transport agnostic and supports both simplex and duplex; works offline; doesn't assume client-server or synchronous or real-time; allows paired or n-wise or public broadcast usage[5]. Unlike today's communication methodologies, which mostly rely on key registries, identity providers, certificate authorities, browser or app vendors, or similar centralizations, DID-based communication allows decentralised, peer-to-peer, verifiable communication without relying on any centralised service or authority.

3.3 Verifiable Credentials (VC)

A VC represents statements made by an issuer in a tamper-evident and privacy-respecting manner. Specifically, VCs provide portable and provable claims about an entity that can be cryptographically verified. The exchange of VCs builds trust among DID-identified peers. The W3C maintains the VC specifications, which consist of three components: metadata, claims, and proof. Metadata describes meta-information about the VC, such as the issuer, expiration date, and public keys for verification. It also includes a set of claims about the entity and proofs.

In recent years, there have been a few works done in order to integrate DID and VCs into the Internet of Things space. In [6] authors provide a comprehensive taxonomy and usage of VCs in the IoT context with respect to their validity period, trust and interoperability level, and scope of usage. The life-cycle management of VCs as well as various optimisation techniques for realising SSI in IoT environments are also addressed in great detail.

3.4 Sovereign Robots

A sovereign robot is enabled with a Self Sovereign Identity (SSI). An identity that can be independently verified. Unlike centralised and federated identity management, SSI empowers robots with complete control over their identities, ensuring both absolute portability and enhanced security. The robot uses DID and VCs for communications and the establishment of claims.

3.5 Layer 1 Blockchain

A layer 1 blockchain such as Bitcoin and Ethereum is a decentralised network of nodes connected with a peer-to-peer network. These nodes maintain the blockchain ledger with its own consensus mechanism and doesn't depend on any third entity for this purpose. A Layer 1 blockchain can also have support for smart contracts, which allows custom codes to be written in a high level language that can be deployed and executed on the blockchain.

4 Proposed Architecture

In this section, we describe our proposed state channel based architecture for robot-to-robot interactions. Fig. 2 shows the proposed framework diagram. The framework consists of two layers, Layer 1 and Layer 2. Layer 1 is a blockchain running its own consensus mechanism such as Proof-of-Work, Proof-of-Stake, and Byzantine Fault Tolerance (BFT). The Layer 1 blockchain also supports smart contracts which allow business logic to be implemented as code that can be executed on the blockchain.

Meanwhile the Layer 2 consists of multiple robots of different types, characteristics and manufacturers from different organisations. In a practical and real life scenario, two robots from two different organisations with no trust on each other can come forward to initiate a secure and verifiable interaction by verifying each other's information from the Layer 1 blockchain. A robot from an organisation trusts its peer robots from the same organisation; these robots communicate with each other in a P2P manner to access blockchain information. However, due to storage, communication and energy constraints on a robot not all robots maintain an upto date information about the blockchain ledger. A few robots from an organisation which have sufficient storage and power backup called a full node are entrusted to maintain an upto date information of the blockchain ledger. These full nodes are queried by its organisation peers for verifying DIDs of robots from other organisations.

A set of well-known issuers from different organisations are entrusted to provide VCs to robots. The information regarding the issuance of a credential is kept on the blockchain. A VC is digitally signed by an issuer, which can be independently verified by any robot without any trusted entity. An organisation provides a VC to the robot of its own organisation. The DIDs of the issuers are made available on the blockchain through a smart contract, which a robot can refer to. The VC contains the DID information of the robot alongwith other specifications. The VC acts as a certificate here whereas, the issuer is the certificate authority.

Once, both the robots are satisfied by the information available on the blockchain, the robots can start off-chain communications. They exchange off chain transactions digitally signed by the private key associated with the address of its DID. A robot receiving an off chain transaction verifies the signature and updates it local state. Any one of the robot can initiate a state closure by submitting an on-chain transaction on the Layer 1 blockchain.
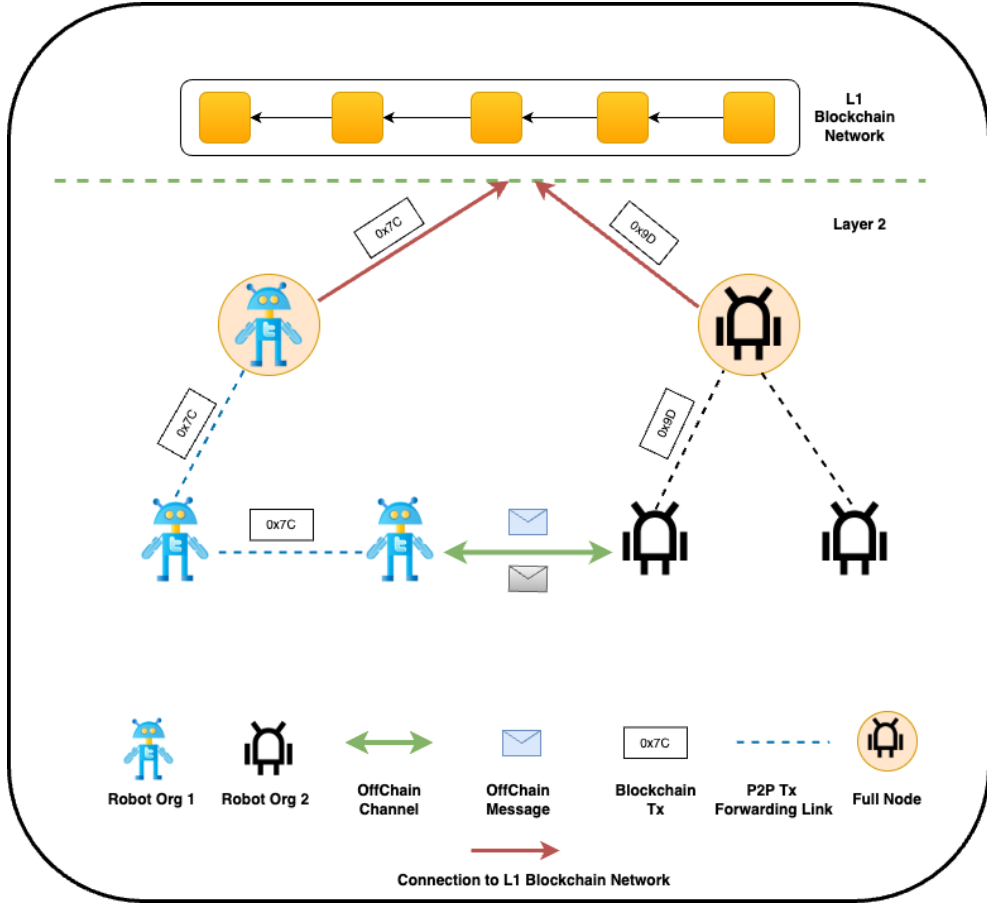
Fig. 2 Proposed Architecture

4.1 Use Case: Peer-to-peer energy transfer in robotics

Robots, such as a delivery robot used for doorstep delivery purposes, need to move throughout the city, navigating paths, avoiding living and non-living obstacles. Because of the need to be mobile it cannot be hooked up to a regular direct power supply during its operation. This requires a battery-based rechargeable solution. In recent years, such service robots have come equipped with a rechargeable battery. However, during long operations, it is evident that they may run out of power and need to do a recharge. However, it is not economical to establish a robot charging station in every corner of the city. Again, adding a backup battery is also not a feasible solution, as it is going to increase the weight of the robot and will result in higher power consumption, which means the solution is not viable.

Peer-to-peer energy transfer amongst the robots be a viable solution here. In P2P energy transfer, a robot low on battery power gets its battery recharged by another

robot in its vicinity with surplus battery backup[7]. Robots can reach a consensus on energy transfer and initiate the transfer of energy.

However, ensuring fair and proper energy transfer between the robots in an unmonitored environment is a challenge. Robots can belong to different organizations and thus their benign behaviour cannot always be granted. Also, because they can come from multiple organizations a centralized solution can't be established. Moreover, being operating in an open environment, they are prone to hacks.

Decentralized solutions such as blockchain can be a viable platform for developing energy transfer solutions for these independent robots[8]. The robots can get their VC with a DID registered on the blockchain, enabling it to perform authentication without any third party. However, due to the low throughput of the existing Layer 1 blockchains, it is not an ideal choice to develop the solution. Layer 2 technologies such as state channels can help here. The robots can initiate the energy transfer deal over the layer 1 blockchain with the help of smart contracts.

Once the consensus on the deal is reached the energy transfer starts, for each unit of energy transfered from the source to the destination. The source robot signs an off chain transaction and sends it to the destination robot. The transaction contains the information such as the total number of energy units transferred from source to destination, along with other necessary information.

Similarly, the destination robot also releases an off-chain transaction from destination to source with the total unit of credit score transferred to the source in exchange for the energy transfer. These earned credit scores can be directly converted to tokens or coins on the blockchain and can be utilised by an autonomous robot to purchase services such as maintenance and upgrades, thus enabling a thriving robot economic ecosystem.

The transfer of energy in an energy trade between two robots is performed in four phases:-

1. **Robot Discovery** :-
   This is the first phase of the energy transfer. In this phase the prospective robot with sufficient energy is identified.
   (a) A robot running low on battery broadcasts beacon messages with its DID and the VC issued to it by an issuer. A robot with sufficient energy replies with an affirmative message to start energy transfer.
   (b) The robots are identified with the help of a DID, which can be verified on the blockchain. The DID is used for robot identification and for communication. Robots receiving a DID from a peer verify the authenticity and credibility of the broadcasting/sender robot by polling the blockchain for information against the DID. A robot considers the peer robot for the further steps if the robot DID is not revoked and is active.
2. **Robot Selection**:-
   (a) There can be multiple robots in the vicinity willing to participate in energy transfer. In this case the buyer robot checks with the blockchain the credit score of each robot and select the robot with the highest credit score.
3. **Channel Establishment and Energy Transfer**:- This phase is concerned with the creation of a ledger channel or state channel between the buyer and seller
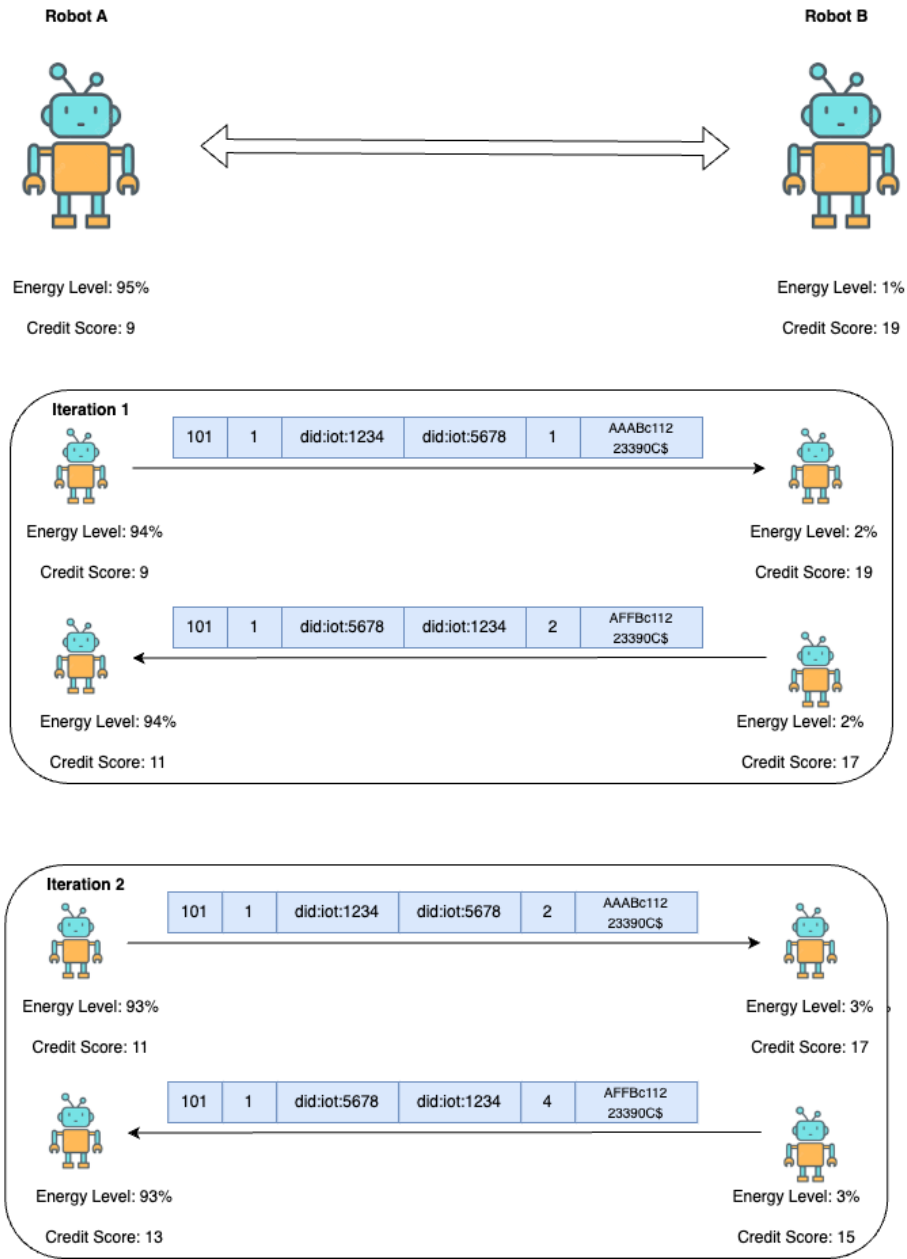
Fig. 3 Off-chain communication between two robots during energy transfer

Fig. 4 An off-chain transaction payload

robots. The buyer robot is the one willing to purchase energy units, whereas the seller robot is the one willing to sell energy units in exchange for credit points. For each successful unit of energy transferred, an off-chain transaction is executed from the seller to the buyer. In response to this, the buyer also executes an off-chain transaction, which updates the credit score of the seller. Fig. 3 shows a sequence diagram for a sample trade between two robots.

(a) *Channel Opening*: Both the buyer and seller robot execute on chain transactions to open a channel. The channel establishment is controlled by a function deployed as a smart contract on the blockchain. For a channel to be opened, both parties need to provide confirmation by executing transactions respectively.

(b) *Energy Transfer and Credit Score Update*: The energy transfer phase deals with the transfer of energy from the seller robot to the buyer robot. The off-chain exchange of energy starts. For each unit of energy received from a participating robot in the exchange. The receiving robot needs to send an off-chain transaction to the sender node, with the respective update in the credit score.

(c) *Channel Closing*: This is done by closing the state channel, which triggers the final settlement of the transactions on the blockchain. This final settlement is done by broadcasting the last transaction to the blockchain, which records the final state of the channel, updating the energy levels and credit score of both the seller robot and buyer robot.

The diagram 3 shows the sequence of events performed by the involved parties during the energy exchange. The energy exchange occurs over several iterations. For each iteration a unit of energy is transferred in exchange for some credit score.

Consider the iteration 1 from the diagram where robot A exchanges one unit of energy with robot B for 2 points of credit score. The state updates are made via the offchain transactions. The structure of the offchain transaction is as shown in Fig. 4, which can be broken down as below:-

1. *Exchange ID*: This is a unique id for an exchange.
2. *Iteration Number*: A whole number denoting the iteration in the energy exchange.
3. *Sender Address*: A blockchain address of the sender robot. The sender robot is the robot signing the transaction.
4. *Receiver Address*: A blockchain address of the receiver robot. The receiver robot is the robot for which the transaction is intended.
5. *Value*: A data denoting either the units of energy or a credit score.
6. *Signature*: The digital signature of the sender robot.

4.2 Dispute Resolution: How to handle cheating robots?

Disputes may arise at any stage of the robot-to-robot interaction over the state channel. Some disputes can arise intentionally where a misbehaving robot can make an attempt to cheat by presenting an outdated state or falsified transaction. However,

there are also scenarios where the dispute may arise unintentionally from natural reasons such as communication delays and timeouts where the channel is not closed properly or the participants cannot reach an agreement on the final state. There exist several techniques in the literature that can be used for dispute resolution under such scenarios, such as timelocks and cryptographic commitments. We handle the dispute resolution in our approach as follows:

1. *When the dispute occurs because of a cheating robot*: If a cheating robot R_1 tries to close the state channel unilaterally with a state that was not agreed upon by both, the robot R_2 can initiate a dispute by revealing the most recent valid state. A challenge period is a time window during which one party can contest the closure or the state submitted by the other party. During the challenge period, the disputed state can be reviewed, and the honest robot can reclaim its rightful share by presenting cryptographic evidence or other proofs of the legitimate state. Moreover, punishment mechanisms can also be introduced to punish misbehaving robots in order to encourage honest behaviour.

2. *When dispute occurs due to natural reasons*: If the peer robot goes offline or becomes unavailable, for reasons such as hardware or communication failure, the other robot can wait for a threshold Δ interval and can submit the last signed transaction from the offline robot and on the blockchain if the peer robot doesn't come online.

    In the worst-case scenario, the seller robot might incur a loss of a unit of energy. This might happen when the buyer robot does not release an off-chain transaction, incrementing the credit score of the seller for the extra unit of energy received from the last off-chain transaction executed by the seller. This loss of the seller can be minimised by considering the smallest microunit possible for the energy to be traded/transferred. Moreover, an honest seller can be cheated by a misbehaving buyer once. The seller can maintain a local list of misbehaving robots based on past interaction experience and deny providing services to them later on. Here, we assume that the robot hardware, such as the energy metre, is fault-free and has a negligible error margin.

5 Implementation

In this section we discuss the implementation of our proposed solution in details.

Our proposed solution makes use of the following technologies:

1. *Consensys Quorum*[9]: The Consensys Quorum (GoQuorum) is an open sourced, enterprise-focused blockchain that acts as the layer 1 blockchain as shown in the framework diagram. It is an EVM-based machine and consists of all the supported functionalities as like of the Ethereum blockchain; the only difference is that it does not have the concept of gas and gas prices. Quorum also has the support for private transactions and private contracts through public/private state separation.

2. *libp2p*[10]: libp2p is an open source networking library with a modular network stack used to build peer-to-peer (P2P) applications. It is the underlying networking layer that powers many decentralized projects, including IPFS (InterPlanetary File

System). libp2p provides a flexible set of tools for creating P2P networks, allowing developers to build secure and scalable distributed applications.

3. *Solidity*[11]: It is a high-level, statically-typed programming language designed for writing smart contracts that run on the Ethereum Virtual Machine (EVM). Smart contracts are self-executing contracts with the terms of the agreement directly written into code. Solidity allows developers to create decentralized applications (dApps) on the Ethereum blockchain by defining the rules and logic of the contract, which is then executed on the Ethereum network.

We implemented the robot clients in Typescript. The p2p communication between the clients was facilitated by the libp2p protocol. The Quorum blockchain was used as layer 1, with the smart contracts written in Solidity.

Each robot in the system was globally identified with a DID. The P2P communication between two robots was established with libp2p multi-address. A multiaddress (often abbreviated multiaddr), is a convention for encoding multiple layers of addressing information into a single "future-proof" path structure. It defines human-readable and machine-optimised encodings of common transport and overlay protocols and allows many layers of addressing to be combined and used together. A multiaddr looks like as follows:

/ip4/127.0.0.1/tcp/10333/p2p/12D3KooWDTptSsELd2qug4rcXcFoRZNd7mT6NT3cPnZAJ7mH8ydR

The mapping between a robot DID and its multiaddress is stored in the smart contract on the blockchain. A robot receiving a DID from its peer obtains the peer robot libp2p multiaddr by resolving the peer DID with the blockchain. Upon successful resolution of a robot DID, the querying robot receives a DID document as shown in Fig. 6, which contains information such as the multiaddr, authentication type, controller, etc.

We deployed three smart contracts:-

1. *Registry Contract*: It keeps track of robot DID and its mapping to the robot multiaddress. It also stores metadata about the robot DID, such as its creation time, status, such as whether the robot DID is active or revoked.
2. *Issuer Contract*: It keeps track of the DIDs of the well-known issuers, which are authorised to issue verifiable credentials (VCs) to the robots.
3. *Energy Trade Contract*: The trade contract deals with the business logic of the energy trade.

Once a robot obtains the multiaddr of its peer, it sends a digitally signed off-chain transaction to its peer. A digitally signed off-chain transaction looks like as shown in Fig. 5 :


```
Signed Transaction:   0xf8ed298445fef58a830186a094c0f8efe49180cc317cbcd455ed809bf70eb82a9080b8849820b486000000000000000000000000000000000000000000000000
000000000000000001940000000000000000000000000000000000000000000000000000000000000010000000000000000000000001234567890abcdef1234567890abcdef123456780
0000000000000000000000000000000000000000000000000000a840eb78706a0085d31fd51af66b58c2802d124bca4473440b163d990aeaa6fb9fc201a316e90a026e3e26
84d054b723d72ea8c213094f650fb738510cbdce486b78245718912cc
```

Fig. 5 Digitally signed off-chain transaction

```
resolving for robot: did:robo: 0xAb8483F64d9C6d1EcF9b849Ae677dD3315835cb2
resolved did document:
{
  '@context': [ 'https://www.w3.org/ns/did/v1' ],
  type: 'DIDCommMessaging',
  id: 'did:robo:0xAb8483F64d9C6d1EcF9b849Ae677dD3315835cb2',
  serviceEndpoint: {
    transportType: 'libp2p',
    network: 'didcomm-public',
    libp2pmultiAddr: '/ip4/127.0.0.1/tcp/10333/p2p/12D3KooWDTptSsELd2qug4rcXcFoRZNd7mT6NT3cPnZAJ7mH8ydR'
  },
  authentication: [
    {
      id: 'did:robo:0xAb8483F64d9C6d1EcF9b849Ae677dD3315835cb2',
      type: 'Ed25519VerificationKey2020',
      controller: 'did:robo:0xAb8483F64d9C6d1EcF9b849Ae677dD3315835cb2'
    }
  ]
}
```

Fig. 6 DID Document of a robot

6 Discussion

With the rise of intelligent and autonomous robots, ensuring secure and privacy-preserving interactions amongst these robots is of utmost importance. Centralised solutions do exist, but they fail to scale, are not transparent, verifiable, and lack trust. RoboComm makes an attempt to tackle these challenges by utilising decentralised technologies such as DID, SSI, and blockchain. Moreover, it introduces a state channel-based approach for secure peer-to-peer interaction between robots. Now, robots can authenticate each other without relying on a centralised third party. Moreover, being decentralised in nature with deals initiated and settled on the blockchain, this approach provides a common trusted platform for robots from different organisations that potentially do not trust each other. This allows for an autonomous, thriving robot economy where robots across organisations can come forward to offer and purchase services such as energy trade and get incentivised. These earned incentives can then be used by a robot to purchase maintenance and upgrade services.

7 Related Works

Privacy and trust management in robotics is sufficiently studied in the literature. A taxonomy of privacy constructs for privacy-sensitive robotics is provided in [12] whereas [13] provides themes that should comprise privacy-sensitive robotics research. In [14] [15] authors introduce a blockchain-based framework for handling misbehaving and byzantine robots in the system. A blockchain-based approach for collaborative decision-making with a lightweight consensus mechanism is proposed in [1]. Moreover, trust management and trustworthiness in robotic systems are studied in[16] [17][18]. There have also been some works in the literature that exploit blockchain for trust and reputation management, such as [19][20]. Although blockchain-based systems are better than centralised systems, they are not scalable enough for practical deployments due to low transaction throughput and resource-intensive consensus algorithms, which are not ideal for low-resource robots such as the swarm robots, which have limited processing and storage resources available.

We have not found any work in the literature that uses SSI and DID-based communication to enhance the privacy of robot-to-robot interaction. Best of our knowledge

this is the first time SSI technology with DID is being used with a state channel for off chain peer to peer communication in robotics.

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