

Take home exam in FYS3110  
Quantum mechanics

Candidate number

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## Problem 1

We have a Hamiltonian

$$\hat{H} = \kappa \hat{a}^\dagger \hat{a}, \quad (1)$$

where  $\kappa$  is a positive real constant with units of energy, and  $\hat{a}$  and  $\hat{a}^\dagger$  are operators with the commutation relation

$$[\hat{a}, \hat{a}^\dagger] = \hat{a}\hat{a}^\dagger - \hat{a}^\dagger\hat{a} = 1. \quad (2)$$

### Problem 1.1

We will find the energy eigenvalues of the Hamiltonian  $\hat{H}$ .

If we assume that  $|\psi\rangle$  is an energy eigenstate with energy  $E$

$$\hat{H}|\psi\rangle = E|\psi\rangle,$$

we will check if  $\hat{a}^\dagger|\psi\rangle$  and  $\hat{a}|\psi\rangle$  are eigenstates of  $\hat{H}$ . Let us start with  $\hat{a}^\dagger|\psi\rangle$ .

$$H(\hat{a}^\dagger|\psi\rangle) = \kappa \hat{a}^\dagger \hat{a} \hat{a}^\dagger |\psi\rangle,$$

we substitute  $\hat{a}\hat{a}^\dagger = \hat{a}^\dagger\hat{a} + 1$

$$\begin{aligned} H(\hat{a}^\dagger|\psi\rangle) &= \kappa \hat{a}^\dagger (\hat{a}^\dagger \hat{a} + 1) |\psi\rangle \\ &= \hat{a}^\dagger (\kappa \hat{a}^\dagger \hat{a} |\psi\rangle + \kappa |\psi\rangle) \\ &= \hat{a}^\dagger (\hat{H}|\psi\rangle + \kappa |\psi\rangle), \end{aligned}$$

from our assumption about  $|\psi\rangle$ , we know that  $\hat{H}|\psi\rangle = E|\psi\rangle$ ,

$$\begin{aligned} H(\hat{a}^\dagger|\psi\rangle) &= \hat{a}^\dagger (E|\psi\rangle + \kappa |\psi\rangle) \\ &= (E + \kappa) \hat{a}^\dagger |\psi\rangle, \end{aligned}$$

so if  $|\psi\rangle$  is an eigenstate of  $\hat{H}$  with the eigenvalue  $E$ , then  $\hat{a}^\dagger|\psi\rangle$  is also an eigenstate, and it has the eigenvalue  $E + \kappa$ .

For  $\hat{a}|\psi\rangle$  we get

$$H(\hat{a}|\psi\rangle) = \kappa \hat{a}^\dagger \hat{a} \hat{a} |\psi\rangle,$$

we substitute  $\hat{a}^\dagger \hat{a} = \hat{a} \hat{a}^\dagger - 1$

$$\begin{aligned} H(\hat{a}|\psi\rangle) &= \kappa (\hat{a} \hat{a}^\dagger - 1) \hat{a} |\psi\rangle \\ &= \kappa \hat{a} \hat{a}^\dagger \hat{a} |\psi\rangle - \kappa \hat{a} |\psi\rangle, \end{aligned}$$

constants always commute,  $\kappa\hat{a} = \hat{a}\kappa$

$$\begin{aligned} H(\hat{a}|\psi\rangle) &= \hat{a}\kappa\hat{a}^\dagger\hat{a}|\psi\rangle - \kappa\hat{a}|\psi\rangle \\ &= \hat{a}\hat{H}|\psi\rangle - \kappa\hat{a}|\psi\rangle \\ &= \hat{a}E|\psi\rangle - \kappa\hat{a}|\psi\rangle \\ &= \left(E - \kappa\right)\hat{a}|\psi\rangle \end{aligned}$$

so if  $|\psi\rangle$  is an eigenstate of  $\hat{H}$  with the eigenvalue  $E$ , then  $\hat{a}|\psi\rangle$  is also an eigenstate, and it has the eigenvalue  $E - \kappa$ .

So we see that  $\hat{a}^\dagger$  and  $\hat{a}$  are a raising and a lowering operator respectively, that increase and decrease the energy. The fact that the lowering operator  $\hat{a}$  reduces the energy by  $\kappa$  means there must be some ground state  $|\psi_0\rangle$  where if we apply the lowering operator one more time we get

$$\hat{a}|\psi_0\rangle = 0,$$

or we would be able to create a state with negative energy by continually applying the lowering operator  $\hat{a}$ . By letting the Hamiltonian work on  $|\psi_0\rangle$  we can find the energy of this ground state:

$$\hat{H}|\psi_0\rangle = \kappa\hat{a}^\dagger\hat{a}|\psi_0\rangle = \kappa\hat{a}^\dagger 0 = 0.$$

We see that the ground state has the energy  $E = 0$ . If we let the raising operator  $\hat{a}^\dagger$  work  $n$  times on the ground state, we get the state  $|\psi_n\rangle$  with energy

$$E_n = n\kappa.$$

These are the only possible eigenvalues of  $\hat{H}$ , if there was some energy eigenstate with an eigenvalue different from  $n\kappa$ , the lowering operator  $\hat{a}$  would let us get a state with negative energy, which can't be. There is however, no limit on how many times we can apply  $\hat{a}^\dagger$ , so there is no restriction on how large the energy can become.

The eigenvalues of  $\hat{H}$  are

$$E_n = n\kappa \quad \text{for } n = 0, 1, 2, \dots$$

## Problem 1.2

The operators  $\hat{a}$  and  $\hat{a}^\dagger$  can be written in terms of the position  $\hat{x}$  and momentum operators  $\hat{p}$  as

$$\hat{a} = i\frac{L}{\sqrt{2}\hbar}\hat{p} + \frac{1}{\sqrt{2}L}\hat{x} - \frac{c}{\sqrt{2}}, \quad \hat{a}^\dagger = -i\frac{L}{\sqrt{2}\hbar}\hat{p} + \frac{1}{\sqrt{2}L}\hat{x} - \frac{c^*}{\sqrt{2}}, \quad (3)$$

where  $c$  is a complex dimensionless number and  $L$  is a positive real constant with units of length.

### Showing that expression (3) satisfies the commutation relation (2)

We will now show that the expressions of  $\hat{a}$  and  $\hat{a}^\dagger$  (eq. 3) satisfy the commutation relation for these operators (eq. 2). To do this, we let the operators work on a test function  $f(x)$ .

$$\begin{aligned}
[\hat{a}, \hat{a}^\dagger]f(x) &= \hat{a}\hat{a}^\dagger f(x) - \hat{a}^\dagger\hat{a}f(x) \\
&= \left( i\frac{L}{\sqrt{2}\hbar}\hat{p} + \frac{1}{\sqrt{2}L}\hat{x} - \frac{c}{\sqrt{2}} \right) \left( -i\frac{L}{\sqrt{2}\hbar}\hat{p} + \frac{1}{\sqrt{2}L}\hat{x} - \frac{c^*}{\sqrt{2}} \right) f(x) \\
&\quad - \left( -i\frac{L}{\sqrt{2}\hbar}\hat{p} + \frac{1}{\sqrt{2}L}\hat{x} - \frac{c^*}{\sqrt{2}} \right) \left( i\frac{L}{\sqrt{2}\hbar}\hat{p} + \frac{1}{\sqrt{2}L}\hat{x} - \frac{c}{\sqrt{2}} \right) f(x) \\
&= \frac{L^2}{2\hbar^2}\hat{p}\left(\hat{p}f(x)\right) + \frac{i}{2\hbar}\hat{p}\left(\hat{x}f(x)\right) - \frac{iLc^*}{2\hbar}\hat{p}f(x) - \frac{i}{2\hbar}\hat{x}\left(\hat{p}f(x)\right) \\
&\quad + \frac{1}{2L^2}\hat{x}\left(\hat{x}f(x)\right) - \frac{c^*}{2L}\hat{x}f(x) + \frac{iLc}{2\hbar}\hat{p}f(x) - \frac{c}{2L}\hat{x}f(x) + \frac{cc^*}{2}f(x) \\
&\quad - \left[ \frac{L^2}{2\hbar^2}\hat{p}\left(\hat{p}f(x)\right) - \frac{i}{2\hbar}\hat{p}\left(\hat{x}f(x)\right) + \frac{iLc}{2\hbar}\hat{p}f(x) + \frac{i}{2\hbar}\hat{x}\left(\hat{p}f(x)\right) \right. \\
&\quad \left. + \frac{1}{2L^2}\hat{x}\left(\hat{x}f(x)\right) - \frac{c}{2L}\hat{x}f(x) - \frac{iLc^*}{2\hbar}\hat{p}f(x) - \frac{c^*}{2L}\hat{x}f(x) + \frac{c^*c}{2}f(x) \right].
\end{aligned}$$

We now see a lot of the terms cancel each other out or add up to cancel the factor 2, and we are left with

$$\begin{aligned}
[\hat{a}, \hat{a}^\dagger]f(x) &= \frac{i}{\hbar}\hat{p}\left(\hat{x}f(x)\right) - \frac{i}{\hbar}\hat{x}\left(\hat{p}f(x)\right) \\
&= \frac{i}{\hbar}\left(\hat{p}\hat{x} - \hat{x}\hat{p}\right)f(x) \\
&= \frac{i}{\hbar}[\hat{p}, \hat{x}]f(x).
\end{aligned}$$

We can now drop the test function, and insert for the canonical commutation relation  $[\hat{p}, \hat{x}] = -i\hbar$ ,

$$[\hat{a}, \hat{a}^\dagger] = \frac{i}{\hbar}(-i\hbar) = 1,$$

so we see that the expressions for  $\hat{a}$  and  $\hat{a}^\dagger$  satisfy the commutation relation for the operators.

### Writing the Hamiltonian in terms of $\hat{x}$ and $\hat{p}$

We will now write the Hamiltonian  $\hat{H}$  in terms of the position operator  $\hat{x}$  and the momentum operator  $\hat{p}$ . We start with our original expression for the Hamiltonian (eq. 1), and substitute with our expressions for  $\hat{a}$  and  $\hat{a}^\dagger$  (eq. 3):

$$\begin{aligned}
\hat{H} &= \kappa \hat{a}^\dagger \hat{a} \\
&= \kappa \left( -i \frac{L}{\sqrt{2}\hbar} \hat{p} + \frac{1}{\sqrt{2}L} \hat{x} - \frac{c^*}{\sqrt{2}} \right) \left( i \frac{L}{\sqrt{2}\hbar} \hat{p} + \frac{1}{\sqrt{2}L} \hat{x} - \frac{c}{\sqrt{2}} \right) \\
&= \kappa \left[ \frac{L^2}{2\hbar^2} \hat{p}\hat{p} - \frac{i}{2\hbar} \hat{p}\hat{x} + \frac{iLc}{2\hbar} \hat{p} + \frac{i}{2\hbar} \hat{x}\hat{p} + \frac{1}{2L^2} \hat{x}\hat{x} \right. \\
&\quad \left. - \frac{c}{2L} \hat{x} - \frac{iLc^*}{2\hbar} \hat{p} - \frac{c^*}{2L} \hat{x} + \frac{|c|^2}{2} \right] \\
&= \frac{\kappa}{2} \left[ \frac{L^2}{\hbar^2} \hat{p}^2 + \frac{1}{L^2} \hat{x}^2 + \frac{i}{\hbar} (\hat{x}\hat{p} - \hat{p}\hat{x}) + \frac{iL(c - c^*)}{\hbar} \hat{p} - \frac{(c + c^*)}{L} \hat{x} + |c|^2 \right],
\end{aligned}$$

we now substitute in the canonical commutation relation  $\hat{x}\hat{p} - \hat{p}\hat{x} = [\hat{x}, \hat{p}] = i\hbar$ . We also write  $c + c^* = 2\Re(c)$  and  $c - c^* = 2i\Im(c)$ . Where  $\Re(c)$  and  $\Im(c)$  are respectively the real and imaginary parts of the complex number  $c$ . We then have

$$\hat{H} = \frac{\kappa}{2} \left[ \frac{L^2}{\hbar^2} \hat{p}^2 + \frac{1}{L^2} \hat{x}^2 - \frac{2L\Im(c)}{\hbar} \hat{p} - \frac{2\Re(c)}{L} \hat{x} + |c|^2 - 1 \right]. \quad (4)$$

### Problem 1.3

We will find the lowest energy eigenstate in the position representation and normalize it.

#### Finding the ground state wavefunction— $\psi_0(x)$

To find the lowest energy eigenstate,  $|\psi_0\rangle$ , we use the fact that it must terminate if we let the lowering operator  $\hat{a}$  work on it

$$\hat{a}|\psi_0\rangle = 0,$$

we insert our expression for  $\hat{a}$  (eq. 3)

$$\left( i \frac{L}{\sqrt{2}\hbar} \hat{p} + \frac{1}{\sqrt{2}L} \hat{x} - \frac{c}{\sqrt{2}} \right) |\psi_0\rangle = 0.$$

We want to find this state in the position representation, so we use

$$\hat{x} = x, \quad \hat{p} = -i\hbar \frac{d}{dx},$$

and we write  $|\psi_0\rangle \simeq \psi_0(x)$ , this gives us the differential equation

$$\frac{L}{\sqrt{2}} \frac{d\psi_0(x)}{dx} + \frac{1}{\sqrt{2}L} x \psi_0(x) - \frac{c}{\sqrt{2}} \psi_0(x) = 0,$$

we multiply the equation with  $\sqrt{2}/L$ , and then separate the differential equation

$$\frac{d\psi_0(x)}{\psi_0(x)} = \left( -\frac{1}{L^2}x + \frac{c}{L} \right) dx.$$

Integrating on both sides of the equation gives

$$\ln \psi_0(x) = -\frac{1}{2L^2}x^2 + \frac{c}{L}x + \text{const.}$$

Exponentiating both sides of the equation, and calling the integration constant  $D$  gives us

$$\psi_0(x) = D \exp \left[ -\left( \frac{1}{2L^2}x^2 - \frac{c}{L}x \right) \right]$$

### Normalizing the ground state wavefunction

We will normalize the ground state wavefunction.  $\psi_0(x)$  is normalized if

$$\langle \psi_0 | \psi_0 \rangle = \int_{-\infty}^{\infty} \psi_0^*(x) \psi_0(x) dx = 1.$$

The challenge is to choose the integration constant  $D$  in such a way that this is the case. We start by performing the inner product. Remembering that  $c \in \mathbb{C}$  and  $L \in \mathbb{R}$  we get:

$$\begin{aligned} \langle \psi_0 | \psi_0 \rangle &= \int_{-\infty}^{\infty} \psi_0^*(x) \psi_0(x) dx \\ &= \int_{-\infty}^{\infty} D^* \exp \left[ -\left( \frac{1}{2L^2}x^2 - \frac{c^*}{L}x \right) \right] D \exp \left[ -\left( \frac{1}{2L^2}x^2 - \frac{c}{L}x \right) \right] dx \\ &= |D|^2 \int_{-\infty}^{\infty} \exp \left[ -\left( \frac{1}{L^2}x^2 - \frac{2\Re(c)}{L}x \right) \right] dx. \end{aligned}$$

This is a Gaussian integral with a known answer<sup>1</sup>

$$\int_{-\infty}^{\infty} e^{-(ax^2+2bx+c)} dx = \sqrt{\frac{\pi}{a}} \exp \left[ \frac{b^2 - ac}{a} \right], \quad a > 0. \quad (5)$$

Where in our case  $a = 1/L^2$ ,  $b = -\Re(c)/L$  and  $c = 0$ . The constant  $L$  is positive, so  $a > 0$  and we get

$$\langle \psi_0 | \psi_0 \rangle = |D|^2 \sqrt{\pi L^2} \exp [\Re(c)^2].$$

For  $\psi_0$  to be normalized, this inner product must be 1, so we get

$$|D|^2 \sqrt{\pi L^2} \exp [\Re(c)^2] = 1 \quad \Rightarrow \quad |D| = \left( \frac{\exp [-\Re(c)^2]}{\sqrt{\pi L}} \right)^{1/2},$$

we choose  $D$  to be both real and positive and get the normalized ground state waveform

$$\psi_0(x) = \left( \frac{\exp [-\Re(c)^2]}{\sqrt{\pi L}} \right)^{1/2} \exp \left[ -\left( \frac{1}{2L^2}x^2 - \frac{c}{L}x \right) \right].$$

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<sup>1</sup>See *Rottmann* p. ??.

### Problem 1.4

We will calculate the expectation values of the position and momentum for the lowest energy state of  $\hat{H}$ .

#### Calculating the expectation value of the position

The expectation value is given by

$$\langle x \rangle = \langle \psi_0 | \hat{x} | \psi_0 \rangle = \int_{-\infty}^{\infty} \psi_0^*(x) x \psi_0(x) \, dx.$$

We use the expression for the normalized ground state waveform  $\psi_0(x)$ :

$$\langle x \rangle = \frac{\exp[-\Re(c^2)]}{\sqrt{\pi L}} \int_{-\infty}^{\infty} x \exp\left[-\left(\frac{1}{L^2}x^2 - \frac{2\Re(c)}{L}x\right)\right] dx,$$

An integral on this form has a known solution<sup>2</sup>

$$\int_{-\infty}^{\infty} x e^{-(ax^2+2bx+c)} dx =, \quad a > 0. \quad (6)$$

#### Calculating the expectation value of the momentum

The expectation value is given by

$$\langle p \rangle = \langle \psi_0 | \hat{p} | \psi_0 \rangle = \int_{-\infty}^{\infty} \psi_0^*(x) (-i\hbar \frac{d}{dx}) \psi_0(x) \, dx.$$

We use the expression for the normalized ground state waveform  $\psi_0(x)$ :

$$\begin{aligned} \langle p \rangle &= -i\hbar \left( \frac{\exp[-\Re(c^2)]}{\sqrt{\pi L}} \right)^{1/2} \int_{-\infty}^{\infty} \psi_0^*(x) \frac{d}{dx} \exp\left[-\left(\frac{1}{2L^2}x^2 - \frac{c}{L}x\right)\right] dx \\ &= -i\hbar \left( \frac{\exp[-\Re(c^2)]}{\sqrt{\pi L}} \right)^{1/2} \int_{-\infty}^{\infty} \psi_0^*(x) \left(-\frac{1}{L}x + \frac{c}{L}\right) \exp\left[-\left(\frac{1}{2L^2}x^2 - \frac{c}{L}x\right)\right] dx \\ &= i\hbar \frac{\exp[-\Re(c^2)]}{\sqrt{\pi LL}} \int_{-\infty}^{\infty} (x - c) \exp\left[-\left(\frac{1}{L^2}x^2 - 2\frac{\Re(c)}{L}x\right)\right] dx, \end{aligned}$$

we split the integral up into parts

$$\begin{aligned} \langle p \rangle &= i\hbar \frac{\exp[-\Re(c^2)]}{\sqrt{\pi LL}} \left( x \int_{-\infty}^{\infty} \exp\left[-\left(\frac{1}{L^2}x^2 - 2\frac{\Re(c)}{L}x\right)\right] dx \right. \\ &\quad \left. - c \int_{-\infty}^{\infty} \exp\left[-\left(\frac{1}{L^2}x^2 - 2\frac{\Re(c)}{L}x\right)\right] dx \right). \end{aligned}$$

These two integrals are Gaussian integrals, and we can use the known answers (eq. 5 and eq. 6),

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<sup>2</sup>See *Rottmann* p. ??.

## Problem 2

We will denote the components of the spin-1/2 operators as  $\hat{S}_i$ , where  $i = \{x, y, z\}$ . These components can be represented as

$$\hat{S}_i \simeq \frac{\hbar}{2} \sigma_i,$$

where  $\sigma_i$  are the Pauli matrices:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

In this representation, the eigenkets of  $\hat{S}_z$  are  $|\uparrow\rangle \simeq \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $|\downarrow\rangle \simeq \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

$$\hat{S}_z |\uparrow\rangle = \frac{\hbar}{2} |\uparrow\rangle, \quad \hat{S}_z |\downarrow\rangle = -\frac{\hbar}{2} |\downarrow\rangle.$$

### Problem 2.1

We will expand the exponentials

$$e^{-i\phi\sigma_z/2} \quad \text{and} \quad e^{-i\theta\sigma_y/2},$$

we do this using the Taylor expansion

$$\begin{aligned} e^{-x} &= 1 - x + \frac{x^2}{2!} - \frac{x^3}{3!} + \frac{x^4}{4!} - \frac{x^5}{5!} + \dots \\ &= \sum_{n=0}^{\infty} (-1)^n \frac{x^n}{n!}, \end{aligned}$$

note that this is *not* an approximation, as we keep all the terms in the expansion.

### Expanding the exponential $e^{-i\phi\sigma_z/2}$

Expanding the exponential, we get

$$e^{-i\phi\sigma_z/2} = \sum_{n=0}^{\infty} \frac{(-1)^n i^n}{n!} \left(\frac{\phi}{2}\right)^n \sigma_z^n,$$

we see that every even numbered term is purely real, and every odd numbered term is purely imaginary

$$(-1)^{2n} i^{2n} = (-1)^n, \quad (-1)^{2n+1} i^{2n+1} = -i(-1)^n, \quad \text{for } n = 0, 1, 2, \dots$$

We group these terms separately and get two sums

$$e^{-i\phi\sigma_z/2} = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} \left(\frac{\phi}{2}\right)^{2n} \sigma_z^{2n} - i \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} \left(\frac{\phi}{2}\right)^{2n+1} \sigma_z^{2n+1}$$

we need to find an expression for

$$\sigma_z^n \quad \text{for } n = 1, 2, \dots,$$



matrix multiplication gives

$$\sigma_z = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_z^2 = \sigma_z \sigma_z = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I_2,$$

where  $I_2$  is the  $2 \times 2$  identity matrix. So we find

$$\sigma_z^{2n} = (\sigma_z^2)^n = (I_2)^n = I_2, \quad \sigma_z^{2n+1} = (\sigma_z^2)^n \sigma_z = I_2 \sigma_z = \sigma_z.$$

Returning to our expanded exponential we find

$$e^{-i\phi\sigma_z/2} = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} \left(\frac{\phi}{2}\right)^{2n} I_2 - i \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} \left(\frac{\phi}{2}\right)^{2n+1} \sigma_z,$$

as the matrices  $I_2$  and  $\sigma_z$  are independent of  $n$ , we can move them outside the sums, which are now simply the Taylor expansions of  $\cos$  and  $\sin$ :

$$e^{-i\phi\sigma_z/2} = \underbrace{\left[ \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} \left(\frac{\phi}{2}\right)^{2n} \right]}_{\cos(\frac{\phi}{2})} I_2 - i \underbrace{\left[ \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} \left(\frac{\phi}{2}\right)^{2n+1} \right]}_{\sin(\frac{\phi}{2})} \sigma_z,$$

so we get

$$e^{-i\phi\sigma_z/2} = \cos\left(\frac{\phi}{2}\right) I_2 - i \sin\left(\frac{\phi}{2}\right) \sigma_z.$$

### Expanding the exponential $e^{-i\theta\sigma_y/2}$

The expansion of  $e^{-i\theta\sigma_y/2}$  is very similar, we expand and get

$$e^{-i\theta\sigma_y/2} = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} \left(\frac{\theta}{2}\right)^{2n} \sigma_y^{2n} - i \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} \left(\frac{\theta}{2}\right)^{2n+1} \sigma_y^{2n+1},$$

and again we need to find  $\sigma_y^n$  for  $n = 1, 2, \dots$

$$\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_y^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I_2.$$

So we get

$$\sigma_y^{2n} = (\sigma_y^2)^n = I_2^n = I_2, \quad \sigma_y^{2n+1} = (\sigma_y^2)^n \sigma_y = I_2 \sigma_y = \sigma_y.$$

Putting these results into the expanded exponential gives

$$e^{-i\theta\sigma_y/2} = \underbrace{\left[ \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} \left(\frac{\theta}{2}\right)^{2n} \right]}_{\cos(\frac{\theta}{2})} I_2 - i \underbrace{\left[ \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} \left(\frac{\theta}{2}\right)^{2n+1} \right]}_{\sin(\frac{\theta}{2})} \sigma_y,$$

$$e^{-i\theta\sigma_y/2} = \cos\left(\frac{\theta}{2}\right) I_2 - i \sin\left(\frac{\theta}{2}\right) \sigma_y.$$

## Problem 2.2

We will show that the state

$$|\theta, \phi, +\rangle = e^{-i\hat{S}_z\phi/\hbar} e^{-i\hat{S}_y\theta/\hbar} |\uparrow\rangle,$$

is an eigenstate of the operator  $\vec{n} \cdot \hat{\vec{S}}$ , where

$$\vec{n} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta) \quad \text{and} \quad \hat{\vec{S}} = (\hat{S}_x, \hat{S}_y, \hat{S}_z),$$

with eigenvalue  $+\hbar/2$ . We will also find the eigenstate of  $\vec{n} \cdot \hat{\vec{S}}$  with eigenvalue  $-\hbar/2$ .

**Showing that  $|\theta, \phi, +\rangle$  is an eigenstate**

We write the state in matrix representation, using

$$\hat{S}_i \simeq \frac{\hbar}{2} \sigma_i,$$

we get

$$|\theta, \phi, +\rangle \simeq e^{-i\phi\sigma_z/2} e^{-i\theta\sigma_y/2} \begin{pmatrix} 1 \\ 0 \end{pmatrix}.$$

We now use the result of problem 2.1 to replace the exponentials

$$|\theta, \phi, +\rangle \simeq \left( \cos\left(\frac{\phi}{2}\right) I_2 - i \cos\left(\frac{\phi}{2}\right) \sigma_z \right) \left( \cos\left(\frac{\theta}{2}\right) I_2 - i \cos\left(\frac{\theta}{2}\right) \sigma_y \right) \begin{pmatrix} 1 \\ 0 \end{pmatrix}.$$

Inserting the matrices  $I_2$ ,  $\sigma_z$  and  $\sigma_y$  gives

$$|\theta, \phi, +\rangle \simeq \begin{pmatrix} \cos \frac{\phi}{2} - i \cos \frac{\phi}{2} & 0 \\ 0 & \cos \frac{\phi}{2} + i \cos \frac{\phi}{2} \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} & -\sin \frac{\theta}{2} \\ \sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}.$$

We rewrite

$$\cos \frac{\phi}{2} \pm i \cos \frac{\phi}{2} = e^{\pm i\phi/2},$$

from normal matrix multiplication we then get

$$|\theta, \phi, +\rangle \simeq \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix}.$$

If we let the operator  $\vec{n} \cdot \hat{\vec{S}}$  work on the state  $|\theta, \phi, +\rangle$  we get

$$\begin{aligned} \vec{n} \cdot \hat{\vec{S}} |\theta, \phi, +\rangle &= \sin \theta \cos \phi \hat{S}_x |\theta, \phi, +\rangle \\ &\quad + \sin \theta \sin \phi \hat{S}_y |\theta, \phi, +\rangle \\ &\quad + \cos \theta \hat{S}_z |\theta, \phi, +\rangle. \end{aligned}$$

Using the matrix representation of  $\hat{S}_i$  and  $|\theta, \phi, +\rangle$ , we get

$$\begin{aligned}\vec{n} \cdot \hat{\vec{S}} |\theta, \phi, +\rangle &= \frac{\hbar}{2} \left[ \begin{pmatrix} 0 & \sin \theta \cos \phi \\ \sin \theta \cos \phi & 0 \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \right. \\ &\quad + \begin{pmatrix} 0 & -i \sin \theta \sin \phi \\ i \sin \theta \sin \phi & 0 \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &\quad \left. + \begin{pmatrix} \cos \theta & 0 \\ 0 & -\cos \theta \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \right],\end{aligned}$$

normal matrix multiplication gives

$$\begin{aligned}\vec{n} \cdot \hat{\vec{S}} |\theta, \phi, +\rangle &= \frac{\hbar}{2} \begin{pmatrix} \sin \theta \cos \phi \sin \frac{\theta}{2} e^{i\phi/2} - i \sin \theta \sin \phi \sin \frac{\theta}{2} e^{i\phi/2} + \cos \theta \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \theta \cos \phi \cos \frac{\theta}{2} e^{-i\phi/2} + i \sin \theta \sin \phi \cos \frac{\theta}{2} e^{-i\phi/2} - \cos \theta \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &= \frac{\hbar}{2} \begin{pmatrix} (\cos \phi - i \sin \phi) \sin \theta \sin \frac{\theta}{2} e^{i\phi/2} + \cos \theta \cos \frac{\theta}{2} e^{-i\phi/2} \\ (\cos \phi + i \sin \phi) \sin \theta \cos \frac{\theta}{2} e^{-i\phi/2} - \cos \theta \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &= \frac{\hbar}{2} \begin{pmatrix} e^{-i\phi} \sin \theta \sin \frac{\theta}{2} e^{i\phi/2} + \cos \theta \cos \frac{\theta}{2} e^{-i\phi/2} \\ e^{i\phi} \sin \theta \cos \frac{\theta}{2} e^{-i\phi/2} - \cos \theta \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &= \frac{\hbar}{2} \begin{pmatrix} [\sin \theta \sin \frac{\theta}{2} + \cos \theta \cos \frac{\theta}{2}] e^{-i\phi/2} \\ [\sin \theta \cos \frac{\theta}{2} - \cos \theta \sin \frac{\theta}{2}] e^{i\phi/2} \end{pmatrix}.\end{aligned}$$

Now we need some trigonometry identities

$$\sin \theta = 2 \sin \frac{\theta}{2} \cos \frac{\theta}{2}, \quad \cos \theta = \cos^2 \frac{\theta}{2} - \sin^2 \frac{\theta}{2}. \quad (7)$$

Using these we get

$$\begin{aligned}\vec{n} \cdot \hat{\vec{S}} |\theta, \phi, +\rangle &= \frac{\hbar}{2} \begin{pmatrix} [2 \sin^2 \frac{\theta}{2} + \cos^2 \frac{\theta}{2} - \sin^2 \frac{\theta}{2}] \cos \frac{\theta}{2} e^{-i\phi/2} \\ [2 \cos^2 \frac{\theta}{2} - \cos^2 \frac{\theta}{2} + \sin^2 \frac{\theta}{2}] \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &= \frac{\hbar}{2} \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{pmatrix}.\end{aligned}$$

So we have shown that

$$\vec{n} \cdot \hat{\vec{S}} |\theta, \phi, +\rangle = \frac{\hbar}{2} |\theta, \phi, +\rangle,$$

this means that  $|\theta, \phi, +\rangle$  is an eigenstate for the operator with eigenvalue  $\hbar/2$ .

### Finding the eigenstate of $\vec{n} \cdot \hat{\vec{S}}$ with eigenvalue $-\hbar/2$

We know that

$$|\theta, \phi, +\rangle = e^{-i\hat{S}_z\phi/\hbar} e^{-i\hat{S}_y\theta/\hbar} |\uparrow\rangle,$$

is an eigenstate with eigenvalue  $+\hbar/2$ . We want to test if

$$|\theta, \phi, -\rangle = e^{-i\hat{S}_z\phi/\hbar} e^{-i\hat{S}_y\theta/\hbar} |\downarrow\rangle,$$

is an eigenstate with eigenvalue  $-\hbar/2$ . We do this in the same manner as we did for  $|\theta, \phi, +\rangle$ .

Using the matrix representation we get

$$|\theta, \phi, -\rangle \simeq e^{-i\phi\sigma_z/2} e^{-i\theta\sigma_y/2} \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

Expanding the exponentials and inserting the matrices yields

$$|\theta, \phi, -\rangle \simeq \begin{pmatrix} e^{-i\phi/2} & 0 \\ 0 & e^{i\phi/2} \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} & -\sin \frac{\theta}{2} \\ \sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

So we get

$$|\theta, \phi, -\rangle \simeq \begin{pmatrix} -\sin \frac{\theta}{2} e^{-i\phi/2} \\ \cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix}.$$

We now let the operator  $\vec{n} \cdot \hat{\vec{S}}$  work on the state

$$\begin{aligned} \vec{n} \cdot \hat{\vec{S}} |\theta, \phi, -\rangle &= \frac{\hbar}{2} \begin{pmatrix} \sin \theta \cos \phi \cos \frac{\theta}{2} e^{i\phi/2} - i \sin \theta \sin \phi \sin \frac{\theta}{2} e^{-i\phi/2} - \cos \theta \sin \frac{\theta}{2} e^{-i\phi/2} \\ -\sin \theta \cos \phi \sin \frac{\theta}{2} e^{-i\phi/2} - i \sin \theta \sin \phi \sin \frac{\theta}{2} e^{-i\phi/2} - \cos \theta \cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &= \frac{\hbar}{2} \begin{pmatrix} (\cos \phi - i \sin \phi) \sin \theta \cos \frac{\theta}{2} e^{i\phi/2} - \cos \theta \sin \frac{\theta}{2} e^{-i\phi/2} \\ -(\cos \phi + i \sin \phi) \sin \theta \sin \frac{\theta}{2} e^{-i\phi/2} - \cos \theta \cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &= \frac{\hbar}{2} \begin{pmatrix} [\sin \theta \cos \frac{\theta}{2} - \cos \theta \sin \frac{\theta}{2}] e^{-i\phi/2} \\ -[\sin \theta \sin \frac{\theta}{2} + \cos \theta \cos \frac{\theta}{2}] e^{i\phi/2} \end{pmatrix}. \end{aligned}$$

Using trigonometric identities for  $\sin \theta$  and  $\cos \theta$  (eq. 7) we get

$$\begin{aligned} \vec{n} \cdot \hat{\vec{S}} |\theta, \phi, +\rangle &= -\frac{\hbar}{2} \begin{pmatrix} -[2 \cos^2 \frac{\theta}{2} - \cos^2 \frac{\theta}{2} + \sin^2 \frac{\theta}{2}] \sin \frac{\theta}{2} e^{-i\phi/2} \\ [2 \sin^2 \frac{\theta}{2} + \cos^2 \frac{\theta}{2} - \sin^2 \frac{\theta}{2}] \cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix} \\ &= -\frac{\hbar}{2} \begin{pmatrix} -\sin \frac{\theta}{2} e^{-i\phi/2} \\ \cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix}. \end{aligned}$$

So we see that  $|\theta, \phi, -\rangle$  is indeed the eigenstate of  $\hat{n} \cdot \hat{\vec{S}}$  with eigenvalue  $-\hbar/2$ .

### Problem 2.3