

First Midterm Project

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In this project we will be looking at two simple models for the ground state of the helium and beryllium atoms, with two and four electrons respectively. We use the hydrogenic wavefunctions as our single-particle wavefunctions throughout.

The System

Any atom is characterized by its atomic number Z , which denotes how many protons are in the atomic core. Any element corresponds to atoms with a given atomic number, helium having $Z = 2$ and beryllium having $Z = 4$. A charge neutral atom will have the same number of electrons as the number of protons in its core. An atom that has more or less electrons than protons is ionized and is therefore electrically charged.

As the nucleus is much more massive than the electrons, and they have electric charge of equal magnitude, it is reasonable to assume that the motion of the electrons will be much more pronounced than that of the core. In fact, it is quite reasonable to say the core is purely stationary. This approximation is known as the *Born-Oppenheimer* approximation. It reduces the complexity of our equations significantly, as we now only have to solve for the wave-function of the electrons.

Under the Born-Oppenheimer approximation, the Schrödinger equation can be solved in closed form for the hydrogen atom. However, for the helium atom and heavier atoms, this is no longer possible due to the Coulomb interaction between the electrons. We can however find *hydrogenic* wave-functions. These hydrogen-like wave-functions are the wave-functions describing a nucleus with atomic number Z , but with only a single electron attached to it. When more electrons are added, these hydrogenic wave-functions are no longer stationary states for the system, they do however form an orthonormal basis in which the new stationary states can be expanded.

The hydrogenic wavefunctions have quantum numbers n, l and m_l . And as electrons are fermions with spin $s = 1/2$, we know that we have a double spin degeneracy for each hydrogenic wavefunction. So our system has single-particle states with quantum numbers n, l, m_l, s, m_s .

Excluding fine-structure, the Hamiltonian for an atom with atomic number Z and N electrons is given (in atomic units) by

$$\hat{H} = \sum_{i=1}^N \hat{h}_0(x_i) + \sum_{i<j}^N \frac{1}{r_{ij}},$$

where $\hat{h}_0(x_i) = \hat{t}(x_i) - Z/r_i$.

We won't actually use the explicit form of the hydrogenic wave-functions, nor the Hamiltonian in this project. Instead we realize that the Hamiltonian is a sum of a one-body operator and a two-body operator and write it as

$$\hat{H} = \sum_{i=1}^N \hat{h}_0(x_i) + \sum_{i<j}^N \hat{h}_1(x_i, x_j).$$

The only time the explicit form of these onebody and twobody operators is of interest is when we need to calculate the matrix elements

$$\langle \alpha | \hat{h}_0 | \beta \rangle, \quad \langle \alpha \beta | \hat{h}_1 | \gamma \delta \rangle,$$

where α, β, γ and δ are our hydrogenic single-particle wave-functions. Instead of calculating the onebody matrix elements explicitly, we will use the result

$$\langle \alpha | \hat{h}_0 | \beta \rangle = -\frac{Z^2}{2n^2} \delta_{\alpha\beta}.$$

For the two-body matrix elements, we realize that they are given by a combination of a spatial integral and a spin integral. We now look further into what this means for the values of the two-body matrix elements.

Spin Summation

When looking at the matrix element $\langle pq | \hat{v} | rs \rangle$, we remember that it is shorthand for the integral

$$\langle pq | \hat{v} | rs \rangle = \iint \psi_p^*(x_1) \psi_q^*(x_2) \hat{v}(x_1, x_2) \psi_r(x_1) \psi_s(x_2) dx_1 dx_2.$$

In our case however, the wavefunction consists of both a spatial and a spin part. As our Hamiltonian doesn't affect the spin part of the wave-function, we can split our integral into a radial integral and a spin integral

$$\langle p_{\chi_1} q_{\chi_2} | \hat{v} | r_{\chi_3} s_{\chi_4} \rangle = \langle pq | \hat{v} | rs \rangle \langle \chi_1 \chi_2 | \chi_3 \chi_4 \rangle.$$

The radial integral can be computed analytically for s -waves, and we have recieved a tabulated list of these matrix elements, so they can be considered known. The spin integral can easily be computed from the fact that the spin-orbitals are orthonormal, so we have

$$\langle \chi_1 \chi_2 | \chi_3 \chi_4 \rangle = \langle \chi_1 | \chi_3 \rangle \langle \chi_2 | \chi_4 \rangle = \delta_{\chi_1 \chi_3} \delta_{\chi_2 \chi_4}.$$

So we see that if both particles have the same spin, the spin integral becomes unity, and in all other cases the spin integral vanishes and takes the radial integral with it.

Let us now look closer at what this means for the antisymmetrized matrix elements of the twobody operator. Keeping only the terms where the spins are equal, we get

$$\begin{aligned} \langle p_{\uparrow} q_{\uparrow} | r_{\uparrow} s_{\uparrow} \rangle &= \langle p_{\downarrow} q_{\downarrow} | r_{\downarrow} s_{\downarrow} \rangle = \langle pq | \hat{v} | rs \rangle - \langle pq | \hat{v} | sr \rangle, \\ \langle p_{\uparrow} q_{\downarrow} | r_{\uparrow} s_{\downarrow} \rangle &= \langle p_{\downarrow} q_{\uparrow} | r_{\downarrow} s_{\uparrow} \rangle = \langle pq | \hat{v} | rs \rangle, \\ \langle p_{\uparrow} q_{\downarrow} | r_{\downarrow} s_{\uparrow} \rangle &= \langle p_{\downarrow} q_{\uparrow} | r_{\uparrow} s_{\downarrow} \rangle = -\langle pq | \hat{v} | sr \rangle, \\ \langle p_{\uparrow} q_{\uparrow} | r_{\uparrow} s_{\downarrow} \rangle &= \langle p_{\uparrow} q_{\uparrow} | r_{\downarrow} s_{\uparrow} \rangle = \langle p_{\uparrow} q_{\downarrow} | r_{\uparrow} s_{\uparrow} \rangle = \langle p_{\downarrow} q_{\uparrow} | r_{\uparrow} s_{\uparrow} \rangle = 0, \\ \langle p_{\uparrow} q_{\downarrow} | r_{\downarrow} s_{\downarrow} \rangle &= \langle p_{\downarrow} q_{\uparrow} | r_{\downarrow} s_{\downarrow} \rangle = \langle p_{\downarrow} q_{\downarrow} | r_{\uparrow} s_{\downarrow} \rangle = \langle p_{\downarrow} q_{\downarrow} | r_{\downarrow} s_{\uparrow} \rangle = 0, \\ \langle p_{\uparrow} q_{\uparrow} | r_{\downarrow} s_{\downarrow} \rangle &= \langle p_{\downarrow} q_{\downarrow} | r_{\uparrow} s_{\uparrow} \rangle = 0. \end{aligned}$$

So we see that out of 16 possible combinations, 10 vanish completely, 2 only have the direct term, 2 only have the exchange term and only two terms have both the direct and exchange term. The spin summation simplifies things considerably.

Exercise 1a)

We start of by looking at the helium atom, meaning we have two electrons in our system. We will look at the single-particle states and create an ansatz for the ground state and singly-excited states.

Single-particle states

For our single-particle states, we use the hydrogenic wave-functions. We limit ourselves to the s -waves $1s$ - $3s$, meaning $l = 0$ and $n \leq 3$. It follows from this that $m_l = 0$. We also know that $s = 1/2$ for electrons, so $m_s = \pm 1/2$. With these limitations, there are then six linearly independent single-particle states that acts as our basis for the possible single-particle states of the system.

We could label these single-particle states as $|nlm_lsm_s\rangle$, but we would rather use something simpler as the only quantum numbers that actually change are n and m_s . We therefore use the notation $|n_\uparrow\rangle$ for the $m_s = 1/2$ states and $|n_\downarrow\rangle$ for the $m_s = -1/2$ states. Using this notation, our single-particle basis is

$$\{1_\uparrow, 1_\downarrow, 2_\uparrow, 2_\downarrow, 3_\uparrow, 3_\downarrow\}.$$

This set of single-particle states is orthonormal and any single-particle state in our system can be written as a linear combination of these basis-states. We will however limit ourselves to states where the total spin project M_S is zero, meaning the two electrons in the system have opposite spins.

An ansatz for the ground state

We would now like to construct an ansatz for the ground state. The single-particle states are the hydrogenic wave-functions, meaning they are the solution of the onebody part of the Hamiltonian. For the hydrogenic wavefunctions the energies are known and increase with n . Therefore, if there was no interaction between the electrons, the ground-state would be constructed from the states $|1_\uparrow\rangle$ and $|1_\downarrow\rangle$.

Now, when interaction *is* included, this is no longer the true ground state. However, the ground-state without interaction is a good starting point, and so we will use this state as our ansatz for the ground state.

Due to electrons being indistinguishable and fermions, we must construct a Slater determinant to describe the two-particle system. In first quantization, our ansatz can then be written

$$|\Phi_0\rangle = \frac{1}{\sqrt{2}}(|1_\uparrow\rangle|1_\downarrow\rangle - |1_\downarrow\rangle|1_\uparrow\rangle).$$

In second quantization however, we don't have to explicitly express the anti-symmetry of the wavefunction algebraically. Instead we construct the ground state from the true vacuum, so we have

$$|\Phi_0\rangle = \hat{a}_{1_\uparrow}^\dagger \hat{a}_{1_\downarrow}^\dagger |0\rangle.$$

The Fermi-vacuum and hole-formulation¹

In second quantization we described a system by denoting all the occupied single-particle states. When doing any useful calculation we collapse our state into a string of creation operators working on the true vacuum. We can however, introduce a reference state to take the place of the true vacuum, this reference state is usually referred to as either the *core* or the *Fermi-vacuum*.

To collapse a general state into a string of operators acting on the Fermi-vacuum generally requires the use of *both* creation and annihilation operators. For example, if we let $|0\rangle$ denote the true vacuum, and define our reference state to be $|ijk\rangle$, then we can collapse a given state as follows

$$|abijk\rangle = \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_k^\dagger |0\rangle, \quad |abijk\rangle = \hat{a}_a^\dagger \hat{a}_b^\dagger |ijk\rangle = \hat{a}_a^\dagger \hat{a}_b^\dagger |\Phi_0\rangle,$$

where we have denoted the Fermi-vacuum by $|\Phi_0\rangle$, which in this case contained the single-particle states i, j and k .

Any annihilation operator destroys the true vacuum state. For the Fermi-vacuum however, the given single-particle state might be contained in the reference, in which case the vacuum is *not* destroyed—but it will now contain a *hole*. Holes are simply vacant states in the reference vacuum. So we have

$$\hat{\alpha}|0\rangle = 0, \quad \hat{\alpha}|\Phi_0\rangle = \begin{cases} |\Phi_\alpha\rangle & \text{if } \alpha \in \Phi_0, \\ 0 & \text{if } \alpha \notin \Phi_0, \end{cases}$$

where Φ_α denotes the vacuum-state with the state $|\alpha\rangle$ removed. However, remembering which states are and aren't contained in the *Fermi*-vacuum becomes tiring, so we want to introduce notation that makes it easy to see this at all times. We turn to the notation introduced in Shavitt and Bartlett, where we let a, b, c, \dots denote states *not* in the reference and i, j, k, \dots be states in the reference. The states p, q, r, \dots can be used if a general state is needed. We can then for any general reference vacuum write

$$\hat{i}^\dagger |\Phi_0\rangle = 0, \quad \langle \Phi_0 | \hat{i} = 0, \quad \hat{a} |\Phi_0\rangle = 0, \quad \langle \Phi_0 | \hat{a}^\dagger = 0.$$

A given physical system often has a constant number of particles, so states like $|\Phi_i\rangle$ with just a particle removed, are often not interesting. Those that are interesting, are states like $|\Phi_i^a\rangle$. Here, a particle that was below the Fermi-level has been removed, leaving a hole, while a particle above the Fermi-level has been added. This one-particle-one-hole state is easily interpreted as a state where a single particle has been excited when compared to the reference vacuum.

If we now let the ground state of the system be the reference vacuum, we can easily create single-excited states by looking at the possible one-particle-one-hole states, and doubly-excited states by looking at two-particle-two-hole states and so on.

¹Much of the text from this section is taken from the exercises from week 4, where I gave motivation for the formulation and went into detail about the reference vacuum, pseudo creation- and annihilation operators and looked at the general Wick's theorem.

Excited states for the helium atom

Now, we don't know the true ground state for the helium atom, but we have found an ansatz for the ground state, so we let this be our reference state that defines the Fermi-vacuum. Meaning

$$|\Phi_0\rangle = \hat{a}_{1\uparrow}^\dagger \hat{a}_{1\downarrow}^\dagger |0\rangle,$$

now denotes the Fermi vacuum. We will look at all the possible one-particle-one-hole and two-particle-two-hole excitations from this Fermi vacuum under the restriction that M_s is preserved and zero.

The possible one-particle-one-hole states are

$$\begin{aligned} |\Phi_{1\uparrow}^{2\uparrow}\rangle &= \hat{a}_{2\uparrow}^\dagger \hat{a}_{1\uparrow} |\Phi_0\rangle, & |\Phi_{1\uparrow}^{3\uparrow}\rangle &= \hat{a}_{3\uparrow}^\dagger \hat{a}_{1\uparrow} |\Phi_0\rangle, \\ |\Phi_{1\downarrow}^{2\downarrow}\rangle &= \hat{a}_{2\downarrow}^\dagger \hat{a}_{1\downarrow} |\Phi_0\rangle, & |\Phi_{1\downarrow}^{3\downarrow}\rangle &= \hat{a}_{3\downarrow}^\dagger \hat{a}_{1\downarrow} |\Phi_0\rangle. \end{aligned}$$

And the possible two-particle-two-hole states are

$$\begin{aligned} |\Phi_{1\uparrow 1\downarrow}^{2\uparrow 2\downarrow}\rangle &= \hat{a}_{2\uparrow}^\dagger \hat{a}_{2\downarrow}^\dagger \hat{a}_{1\uparrow} \hat{a}_{1\downarrow} |\Phi_0\rangle, \\ |\Phi_{1\uparrow 1\downarrow}^{2\uparrow 3\downarrow}\rangle &= \hat{a}_{2\uparrow}^\dagger \hat{a}_{3\downarrow}^\dagger \hat{a}_{1\uparrow} \hat{a}_{1\downarrow} |\Phi_0\rangle, \\ |\Phi_{1\uparrow 1\downarrow}^{3\uparrow 2\downarrow}\rangle &= \hat{a}_{3\uparrow}^\dagger \hat{a}_{2\downarrow}^\dagger \hat{a}_{1\uparrow} \hat{a}_{1\downarrow} |\Phi_0\rangle, \\ |\Phi_{1\uparrow 1\downarrow}^{3\uparrow 3\downarrow}\rangle &= \hat{a}_{3\uparrow}^\dagger \hat{a}_{3\downarrow}^\dagger \hat{a}_{1\uparrow} \hat{a}_{1\downarrow} |\Phi_0\rangle. \end{aligned}$$

All the states we have constructed is illustrated in figure 1.

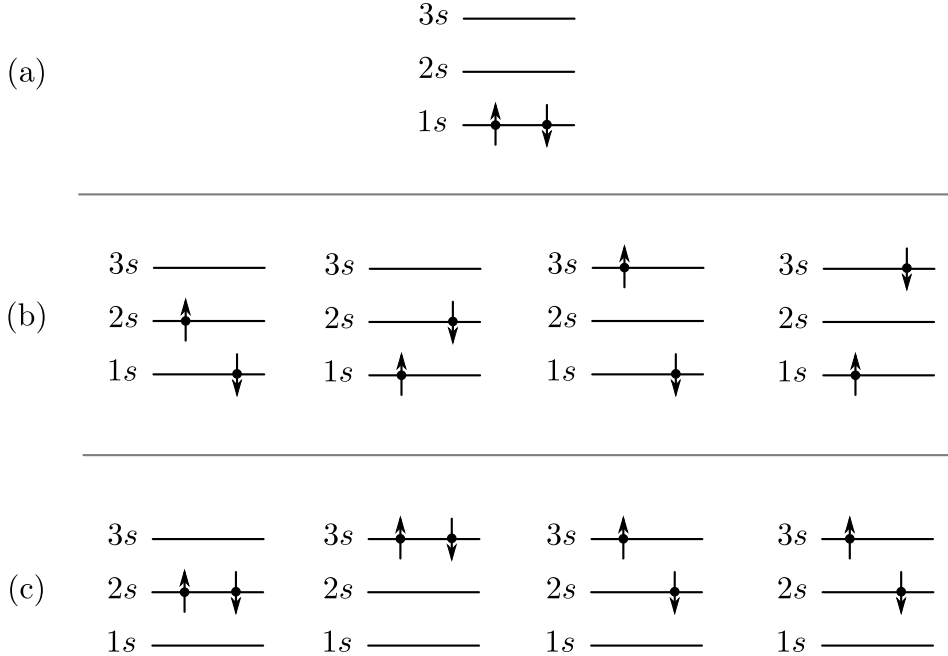


Figure 1. Schematic of states built from the hydrogenic single-particle states in the helium atom with two electrons. **(a)** The ansatz for the ground state, i.e., the Fermi-vacuum. **(b)** Single-excited states from the Fermi-vacuum, i.e., one-particle-one-hole states. **(c)** Doubly-excited states, i.e., two-particle-two-hole states.

Exercise 1b)

We will now define our Hamiltonian in second quantization, so that we can compute the reference energy for the ansatz ground state as a function of the atomic number.

Hamiltonian in second quantization

In second quantization, general onebody and twobody operators can be written

$$\hat{T} = \sum_{pq} \langle p|\hat{t}|q \rangle \hat{a}_p^\dagger \hat{a}_q,$$

$$\hat{V} = \sum_{pqrs} \langle pq|\hat{v}|rs \rangle \hat{a}_p^\dagger \hat{a}_q^\dagger \hat{a}_r \hat{a}_s,$$

note that we use p, q, r, s to denote the states as operators generally work on both states below and above the Fermi vacuum. Using Wick's theorem, we can rewrite these operators to²

$$\hat{T} = \sum_{pq} \langle p|\hat{t}|q \rangle \{ \hat{a}_p^\dagger \hat{a}_q \} + \sum_i \langle i|\hat{t}|i \rangle,$$

$$\hat{V} = \frac{1}{4} \sum_{pqrs} \langle pq||rs \rangle \{ \hat{a}_p^\dagger \hat{a}_q^\dagger \hat{a}_s \hat{a}_r \} + \sum_{pqi} \langle pi||qi \rangle \{ \hat{a}_p^\dagger \hat{a}_q \} + \frac{1}{2} \sum_{ij} \langle ij||ij \rangle.$$

Using this, we can write the Hamiltonian for our system out in second quantization as

$$\hat{H} = \sum_{pq} \langle p|\hat{h}_0|q \rangle \{ \hat{a}_p^\dagger \hat{a}_q \} + \frac{1}{4} \sum_{pqrs} \langle pq||rs \rangle \{ \hat{a}_p^\dagger \hat{a}_q^\dagger \hat{a}_s \hat{a}_r \}$$

$$+ \sum_i \langle i|\hat{h}_0|i \rangle + \sum_{pqi} \langle pi||qi \rangle \{ \hat{a}_p^\dagger \hat{a}_q \} + \frac{1}{2} \sum_{ij} \langle ij||ij \rangle,$$

where $\hat{h}_0 = \hat{t}_0 - Z/r$ and $\hat{h}_1 = 1/r_{ij}$.

Finding the reference energy

We now want to find the reference energy, which is the expectation value of our ansatz ground state. This is by definition given by

$$E[\Phi_0] = \langle \Phi_0 | \hat{H} | \Phi_0 \rangle.$$

Any normal-product vanishes when acting on the Fermi vacuum, so we are only left with the two terms of the Hamiltonian where there is no normal-product

$$E[\Phi_0] = \langle \Phi_0 | \hat{H} | \Phi_0 \rangle = \sum_i \langle i|\hat{h}_0|i \rangle + \frac{1}{2} \sum_{ij} \langle ij||ij \rangle.$$

As expected, we are left with summing only over the single-particle states below the Fermi-level. In our case, we have the single-particles states

$$\{1_\uparrow, 1_\downarrow, 2_\uparrow, 2_\downarrow, 3_\uparrow, 3_\downarrow\},$$

where the first two are below the Fermi-level, and the rest are above it.

²See the problem set for week 4.

Writing out the sums we then get

$$E[\Phi_0] = \langle 1_\uparrow | \hat{h}_0 | 1_\uparrow \rangle + \langle 1_\downarrow | \hat{h}_0 | 1_\downarrow \rangle \\ + \frac{1}{2} (\langle 1_\uparrow 1_\uparrow | 1_\uparrow 1_\uparrow \rangle + \langle 1_\uparrow 1_\downarrow | 1_\uparrow 1_\downarrow \rangle + \langle 1_\downarrow 1_\uparrow | 1_\downarrow 1_\uparrow \rangle + \langle 1_\downarrow 1_\downarrow | 1_\downarrow 1_\downarrow \rangle).$$

We now use spin summations. We see that the first and last terms give both the direct and exchange terms, while the two middle terms only contribute the direct term. In this case, the direct term and the exchange term cancel out, so we are left with

$$E[\Phi_0] = 2\langle 1 | \hat{h}_0 | 1 \rangle + \langle 11 | \hat{v} | 11 \rangle.$$

We know that the one-body element is given by

$$\langle i | \hat{h}_0 | j \rangle = -\frac{Z^2}{2n^2} \delta_{ij},$$

and the value of the element $\langle 11 | \hat{v} | 11 \rangle$ is tabulated. We have then found the reference energy as a function of Z

$$E[\Phi_0](Z) = \frac{5}{8}Z - Z^2.$$

Inserting for the atomic number of helium, $Z = 2$, we get the reference energy

$$E[\Phi_0] = -2.75.$$

The true ground state has an energy of -2.9037 with our Hamiltonian. We see that our reference energy, which is derived from our simple ansatz for the ground state is surprisingly close to the real ground state, with a relative error of only 5.3%. Note that it should come as no surprise that our reference energy is above the true ground state energy as this is predicted by the variational principle.

Exercise 1c)

We now limit our system even further and only allow single-excited states beyond the Fermi-level. We use these Slater-determinants as a basis and find the linear combination that gives a minimum in the energy.

The basis and hamiltonian matrix

Our state basis is now given by the Fermi-vacuum and the four possible one-particle-one-hole excitations, so we have the basis

$$\{|\Phi_0\rangle, |\Phi_{\downarrow 1}^{\uparrow 2}\rangle, |\Phi_{\uparrow 1}^{\uparrow 3}\rangle, |\Phi_{\downarrow 1}^{\downarrow 2}\rangle, |\Phi_{\downarrow 1}^{\downarrow 3}\rangle\}.$$

This basis spans a five-dimensional Hilbert space. We can represent any operator, including the Hamiltonian, as a five-by-five matrix in this space. We start of by using closure on the operator

$$\hat{H} = \sum_{pq} |p\rangle \langle p | \hat{H} | q \rangle \langle q|.$$

We now define the matrix representation of the operator by stating that the matrix element is given by

$$H_{pq} = \langle p | \hat{H} | q \rangle.$$

And we can now interpret an operator working on a state as a matrix-vector product, if we let the state be given as a coefficient-vector in the same basis

$$|i\rangle_p = \langle p | i \rangle = \sum_q \langle p | \hat{H} | q \rangle \langle q | j \rangle = \sum_p H_{pq} |j\rangle_q.$$

Solving the Schrödinger equation then reduces to finding the eigenvectors and eigenvalues of the Hamiltonian matrix.

General expressions for the matrix elements

To find the Hamiltonian matrix of our system we must compute the matrix elements H_{pq} where p and q are the Slater determinants in our basis, i.e., we are looking at computing the elements

$$\langle \Phi_0 | \hat{H} | \Phi_i^a \rangle, \quad \langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle,$$

where

$$i, j \in \{1_\uparrow, 1_\downarrow\} \text{ and } a, b \in \{2_\uparrow, 2_\downarrow, 3_\uparrow, 3_\downarrow\}.$$

Using diagrammatic form, the first matrix element can be written

$$\langle \Phi_0 | \hat{H} | \Phi_i^a \rangle = \text{diagram 1} + \text{diagram 2}$$

Diagram 1: A vertex with two incoming lines labeled a and i , and one outgoing line labeled i . Diagram 2: A vertex with two incoming lines labeled a and i , and one outgoing line labeled i , with a loop labeled (k) on the i line.

This is a Hugenholtz diagram (as opposed to a Goldstone diagram), meaning the final term is asymmetrized. We can write this diagram out algebraically as

$$\langle \Phi_0 | \hat{H} | \Phi_i^a \rangle = \langle a | \hat{h}_0 | i \rangle + \sum_k \langle ak || ik \rangle.$$

The matrix element for two one-particle-one-hole states is generally given by

$$\langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle = \text{diagram 1} + \delta_{ab} \left(\text{diagram 2} + \text{diagram 3} \right) + \delta_{ij} \left(\text{diagram 4} + \text{diagram 5} \right) + \delta_{ab} \delta_{ij} \left(\text{diagram 6} + \text{diagram 7} \right).$$

Diagram 1: A vertex with two incoming lines labeled a and b , and two outgoing lines labeled i and j . Diagram 2: A vertex with two incoming lines labeled i and j , and two outgoing lines labeled i and j , with a loop labeled (k) on the i line. Diagram 3: A vertex with two incoming lines labeled i and j , and two outgoing lines labeled i and j , with a loop labeled (k) on the j line. Diagram 4: A vertex with two incoming lines labeled a and b , and two outgoing lines labeled a and b , with a loop labeled (k) on the a line. Diagram 5: A vertex with two incoming lines labeled a and b , and two outgoing lines labeled a and b , with a loop labeled (k) on the b line. Diagram 6: A vertex with two incoming lines labeled i and j , and two outgoing lines labeled i and j , with a loop labeled (k) on the i line. Diagram 7: A vertex with two incoming lines labeled i and j , and two outgoing lines labeled i and j , with a loop labeled (l) on the j line.

These are again Hugenholtz-diagrams, so we can write it out algebraically as

$$\begin{aligned} \langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle = & \langle aj || ib \rangle - \delta_{ab} \left(\langle i | \hat{h}_0 | j \rangle + \sum_k \langle ik || jk \rangle \right) + \delta_{ij} \left(\langle a | \hat{h}_0 | b \rangle + \sum_k \langle ak || bk \rangle \right) \\ & + \delta_{ab} \delta_{ij} \left(\sum_k \langle k | \hat{h}_0 | k \rangle + \frac{1}{2} \sum_{kl} \langle kl || kl \rangle \right). \end{aligned}$$

Note that if there are two non-coincidences between the SDs we only get one term, from the twobody operator, but if there is only one non-coincidence or none we also get contributions from the onebody operator, as well as more contributions from the twobody operator. The factor 1/2 for the final term is read out from the diagram from the redundancy in the k and l indices, see Shavitt and Bartlett chapter 4 for more info on diagrammatic notation.

Symmetry in the Hamiltonian matrix

As the Hamiltonian matrix is a five-by-five matrix, there are 25 elements we need to compute. However, there is a lot of symmetry in the matrix which we can exploit to simplify the work.

First of, the Hamiltonian matrix must be hermitian, meaning the transpose elements must be complex conjugates of each other, and as our elements are purely real we have

$$\langle \Phi_0 | \hat{H} | \Phi_i^a \rangle = \langle \Phi_i^a | \hat{H} | \Phi_0 \rangle,$$

this means we only have to calculate half of the off-diagonal terms. Secondly, as the Hamiltonian does not affect the spin-orbital of the wavefunctions, we see that elements with completely opposite spins must be equal

$$\langle \Phi_0 | \hat{H} | \Phi_{1\downarrow}^{n\downarrow} \rangle = \langle \Phi_0 | \hat{H} | \Phi_{1\uparrow}^{n\uparrow} \rangle \text{ and } \langle \Phi_{1\uparrow}^{n\uparrow} | \hat{H} | \Phi_{1\uparrow}^{m\uparrow} \rangle = \langle \Phi_{1\downarrow}^{n\downarrow} | \hat{H} | \Phi_{1\downarrow}^{m\downarrow} \rangle.$$

Calculating the matrix elements

We are now ready to calculate the matrix elements of the Hamiltonian matrix. This can of course be done by hand, but that is a lot of work. As we already have full expressions for each matrix element, we can calculate the Hamiltonian matrix symbolically on a computer.

The matrices are computed symbolically, but inserting for $Z = 2$ for the helium atom gives the Hamiltonian matrix

$$H = \begin{pmatrix} -2.750 & 0.179 & 0.179 & 0.088 & 0.088 \\ 0.179 & -2.080 & 0.044 & 0.101 & 0.022 \\ 0.179 & 0.044 & -2.080 & 0.022 & 0.101 \\ 0.088 & 0.101 & 0.022 & -2.023 & 0.012 \\ 0.088 & 0.022 & 0.101 & 0.012 & -2.023 \end{pmatrix}.$$

We can clearly see the symmetries in the Hamiltonian matrix, as it is symmetric about the main diagonal due to all elements being purely real. We also see that elements with completely opposite spins are equal as a result of spin degeneracy.

Finding the eigenvalues and eigenvectors

Now that we have represented the Hamiltonian as a matrix, we can write the Schrödinger equation out as an eigenvalue matrix problem

$$H\vec{v}_k = \epsilon_k\vec{v}_k.$$

Where \vec{v}_k is the k 'th eigenvector and ϵ_k is the k 'th eigenvalue. As these are eigenvectors and eigenvalues of the Hamiltonian, they are in fact the coefficient-vectors of the stationary states and their corresponding energies. The smallest eigenvalue is therefore the ground state energy in the given Hilbert space.

We now compute the eigenvalues of the Hamiltonian using numerical tools and find them to be

$$\lambda_1 = -2.83864845,$$

$$\lambda_2 = -2.16988063,$$

$$\lambda_3 = -2.13619337,$$

$$\lambda_4 = -1.98904529,$$

$$\lambda_5 = -1.82322132.$$

The lowest eigenvalue is the approximation to the ground state energy, so we have found

$$E = -2.8386.$$

Now, this is still an approximation to the exact ground state energy, as we severely limited our Hilbert space by having a basis consisting of only 5 SDs, which were in turn constructed from a very limited set of single-particle states.

This method for finding the ground state energy also obeys the variational principle, so again we were sure that the approximation would overshoot the exact energy. As our

ansatz ground state is part of our basis, we also knew that this approximation should be at least equally good to the last one, and probably better. So we knew theoretically that we needed to land between the exact energy and the previous approximation, which we did

$$E_{\text{exact}} = -2.9037 \leq E = -2.8386 \leq E[\Phi_0] = -2.750,$$

this is then at least a small confirmation that our calculations have been correct. Our new approximation has a relative error of 2.2%, which again is surprisingly good considering how limited our basis actually is. It is also quite a bit better than the previous approximation which was given by the expectation value for the energy of the pure ansatz ground state.

Exercise 1d)

So far we have only looked at the helium atom, we will now look at the beryllium atom in the same manner. The beryllium atom has atomic number $Z = 4$, and so has four electrons.

Single-particle states, Slater determinants and a basis

As before, we use the hydrogenic wave-functions as our single-particle states. As the hydrogenic wave-functions already is built on the assumption of no interaction between the electrons, they are not affected by the fact that we have more electrons in the beryllium atom than in the helium atom. They are however slightly affected by the fact that beryllium has a higher atomic number Z , and so the coulomb interaction with the atomic core is stronger for every electron. We use the same limitations on the single-particle states as earlier, looking only at the s -waves for $n \leq 3$. So we have the quantum numbers $n = 1, 2, 3$, $l = m_l = 0$, $s = 1/2$ and $m_s = \pm 1/2$. We therefore use the same notation as earlier.

Due to spin degeneracy, each s -level can inhabit two electrons. The ansatz for the ground state is then two electrons in $1s$ and two electrons in two $2s$ —as earlier, this is the state that has the lowest energy *if* we disregard interaction between the electrons. In second quantization, the ground state can then be written

$$|\Phi_0\rangle = \hat{a}_{1\uparrow}^\dagger \hat{a}_{1\downarrow}^\dagger \hat{a}_{2\uparrow}^\dagger \hat{a}_{2\downarrow}^\dagger |0\rangle.$$

We let this be our Fermi-vacuum. We can now create four one-particle-one-hole states if we enforce $M_s = 0$. These are

$$\begin{aligned} |\Phi_{1\uparrow}^{3\uparrow}\rangle &= \hat{a}_{3\uparrow}^\dagger \hat{a}_{1\uparrow} |\Phi_0\rangle, & |\Phi_{1\downarrow}^{3\downarrow}\rangle &= \hat{a}_{3\downarrow}^\dagger \hat{a}_{1\downarrow} |\Phi_0\rangle, \\ |\Phi_{2\uparrow}^{3\uparrow}\rangle &= \hat{a}_{3\uparrow}^\dagger \hat{a}_{2\uparrow} |\Phi_0\rangle, & |\Phi_{2\downarrow}^{3\downarrow}\rangle &= \hat{a}_{3\downarrow}^\dagger \hat{a}_{2\downarrow} |\Phi_0\rangle. \end{aligned}$$

These states are illustrated in figure 2, shown on the next page

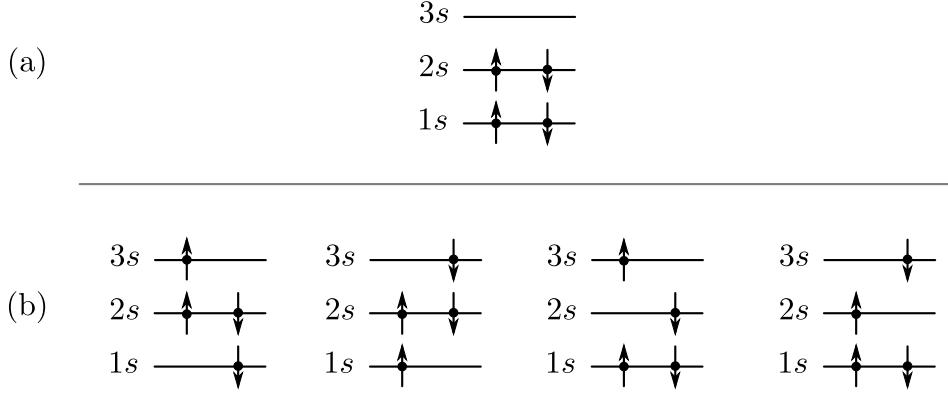


Figure 2. Schematic of states built from the hydrogenic single-particle states in the beryllium atom with four electrons. **(a)** The ansatz for the ground state, i.e., the Fermi-vacuum. **(b)** Single-excited states from the Fermi-vacuum, i.e., one-particle-one-hole states.

Calculating the reference energy

The reference energy of the beryllium ansatz ground state is given by

$$E[\Phi_0] = \langle \Phi_0 | \hat{H} | \Phi_0 \rangle = \sum_i \langle i | \hat{h}_0 | i \rangle + \frac{1}{2} \sum_{ij} \langle ij | \hat{v} | ij \rangle,$$

where $i, j \in \{1_\uparrow, 1_\downarrow, 2_\uparrow, 2_\downarrow\}$. Writing out the sums gives

$$\begin{aligned} E[\Phi_0] = & 2\langle 1 | \hat{h}_0 | 1 \rangle + 2\langle 2 | \hat{h}_0 | 2 \rangle + \frac{1}{2} (\langle 1_\uparrow 1_\uparrow | 1_\uparrow 1_\uparrow \rangle + \langle 1_\uparrow 1_\downarrow | 1_\uparrow 1_\downarrow \rangle + \langle 1_\uparrow 2_\uparrow | 1_\uparrow 2_\uparrow \rangle \\ & + \langle 1_\uparrow 2_\downarrow | 1_\uparrow 2_\downarrow \rangle + \langle 1_\downarrow 1_\uparrow | 1_\downarrow 1_\uparrow \rangle + \langle 1_\downarrow 1_\downarrow | 1_\downarrow 1_\downarrow \rangle + \langle 1_\downarrow 2_\uparrow | 1_\downarrow 2_\uparrow \rangle \\ & + \langle 1_\downarrow 2_\downarrow | 1_\downarrow 2_\downarrow \rangle + \langle 2_\uparrow 1_\uparrow | 2_\uparrow 1_\uparrow \rangle + \langle 2_\uparrow 1_\downarrow | 2_\uparrow 1_\downarrow \rangle + \langle 2_\uparrow 2_\uparrow | 2_\uparrow 2_\uparrow \rangle \\ & + \langle 2_\uparrow 2_\downarrow | 2_\uparrow 2_\downarrow \rangle + \langle 2_\downarrow 1_\uparrow | 2_\downarrow 1_\uparrow \rangle + \langle 2_\downarrow 1_\downarrow | 2_\downarrow 1_\downarrow \rangle + \langle 2_\downarrow 2_\uparrow | 2_\downarrow 2_\uparrow \rangle \\ & + \langle 2_\downarrow 2_\downarrow | 2_\downarrow 2_\downarrow \rangle). \end{aligned}$$

We immediately see that

$$\langle 1_\uparrow 1_\uparrow | 1_\uparrow 1_\uparrow \rangle = \langle 1_\downarrow 1_\downarrow | 1_\downarrow 1_\downarrow \rangle = \langle 2_\uparrow 2_\uparrow | 2_\uparrow 2_\uparrow \rangle = \langle 2_\downarrow 2_\downarrow | 2_\downarrow 2_\downarrow \rangle = 0,$$

Using this and all the other spin summations, we end up with

$$E[\Phi_0] = 2\langle 1 | \hat{h}_0 | 1 \rangle + 2\langle 2 | \hat{h}_0 | 2 \rangle + \langle 11 | \hat{v} | 11 \rangle + 4\langle 12 | \hat{v} | 12 \rangle - 2\langle 12 | \hat{v} | 21 \rangle + \langle 22 | \hat{v} | 22 \rangle.$$

Inserting for all the matrix elements gives

$$E[\Phi_0](Z) = \frac{586373}{373248} Z - \frac{5}{4} Z^2.$$

Inserting for berylliums atomic number, $Z = 4$, gives the reference energy

$$E[\Phi_0] = -13.7160.$$

The exact energy is -14.6674 which means we have a relative error of 6.5%, which is ever so slightly larger than for the helium atom, but still surprisingly good. Note that the variational principle is also apparent here, as the approximation overshoots the exact energy.

Constructing the Hamiltonian matrix

Again we limit the system to the ansatz ground state and the four one-particle-one-hole Slater determinants, meaning we have the basis

$$\{|\Phi_0\rangle, |\Phi_{\downarrow 1}^{\downarrow 3}\rangle, |\Phi_{\uparrow 1}^{\uparrow 3}\rangle, |\Phi_{\downarrow 2}^{\downarrow 3}\rangle, |\Phi_{\uparrow 2}^{\uparrow 3}\rangle\}.$$

We construct the Hamiltonian in the same manner as we did for the helium atom in exercise 1c. The main difference is that we have four electrons in our system, meaning

$$i, j, k, l \in \{1_{\uparrow}, 1_{\downarrow}, 2_{\uparrow}, 2_{\downarrow}\}, \quad a, b \in \{3_{\uparrow}, 3_{\downarrow}\}.$$

We can use the exact same expressions for the onebody and twobody operators as we used in exercise 1c. In our program, we only have to alter N and Z to four, and redefine our SD basis.

Running the program gives the hamiltonian matrix

$$H = \begin{pmatrix} -1.858 & 0.095 & 0.095 & 0.223 & 0.223 \\ 0.095 & -1.605 & 0.012 & -0.197 & 0.004 \\ 0.095 & 0.012 & -1.605 & 0.004 & -0.197 \\ 0.223 & -0.197 & 0.004 & -2.122 & 0.015 \\ 0.223 & 0.004 & -0.197 & 0.015 & -2.122 \end{pmatrix}.$$

Eigenvalues of the Hamiltonian

Using numpy, we find the eigenvalues of the hamiltonian matrix for the beryllium atom to be

$$\begin{aligned} \lambda_1 &= -14.36210798, \\ \lambda_2 &= -13.7577963, \\ \lambda_3 &= -13.05941173, \\ \lambda_4 &= -9.63871331, \\ \lambda_5 &= -9.58503496. \end{aligned}$$

As before, the lowest of these is our approximation to the ground state energy, so

$$E = -14.3621.$$

Again we see that, as expected, the new approximation lies between the ansatz ground state reference energy and the exact energy

$$E_{\text{exact}} = -14.6674 \leq E = -14.3621 \leq E[\Phi_0] = -13.7160.$$

The new approximation has a relative error of 2.1%, which unlike the ansatz ground state reference energy, is actually better than for the helium atom!

Exercise 1e)

We now turn to a new method to find a new approximation to the ground state energy, the Hartree-Fock method. This method aims to find the single best Slater determinant to approximate the ground state.

Change-of-basis

We can change from one single-particle basis to another by doing a unitary transform. Any state ψ_p can be expanding in the basis $\{\phi_\lambda\}$ as follows

$$\psi_p = \sum_{\lambda} C_{p\lambda} \phi_{\lambda},$$

where $C_{p\lambda}$ is a matrix element in the unitary matrix C . If the original basis is orthonormal, then any basis we change into is also orthonormal.

The Hartree-Fock equations

We want to approximate the ground state of the system by a single Slater determinant. The idea is therefore rather simple, we write out the reference energy with respect to a general Slater determinant. By expanding that SD into a new basis, we can minimize the reference energy with respect to the coefficients of that expansion. Finding the Slater determinant that best approximates the ground state then reduces to a minimization problem.

We start of by writing out the reference energy of a general Slater determinant

$$E = \langle \Phi | \hat{H} | \Phi \rangle = \sum_{p=1}^N \langle p | \hat{h}_0 | p \rangle + \frac{1}{2} \sum_{p=1}^N \sum_{q=1}^N \langle pq | | pq \rangle.$$

Expanding the states into a new basis gives us

$$E = \sum_{p=1}^N \sum_{\alpha\beta} C_{p\alpha}^* C_{p\beta} \langle \alpha | \hat{h}_0 | \beta \rangle + \frac{1}{2} \sum_{p=1}^N \sum_{q=1}^N \sum_{\alpha\beta\gamma\delta} C_{p\alpha}^* C_{q\beta}^* C_{p\gamma} C_{q\delta} \langle \alpha\beta | | \gamma\delta \rangle.$$

We then want to find a minimum in the reference energy with respect to the coefficients. A necessary condition for a minimum is that the variation δE is zero. This is not a sufficient condition, as there might be maxima and saddle points.

The minimization problem is not without constraints, as we do require that the single-particle wave-functions are orthogonal and the transformation unitary. As C is unitary, we know that $C^\dagger C = \mathbb{1}$, so we have

$$\sum_{\alpha} C_{a\alpha}^* C_{a\alpha} = (C^\dagger C)_{aa} = \delta_{aa}.$$

This is the case for any a , so we can state the constraints on the problem as

$$\left(\sum_{\alpha} C_{a\alpha}^* C_{a\alpha} - \delta_{aa} \right) = 0 \quad \forall a.$$

This set of N constraints can be included in the minimization process through the use of Lagrangian multipliers. As each constraint is equal to zero, we can multiply it by a constant ϵ_a and freely add it to the energy without changing anything

$$E = E - \sum_a \epsilon_a \left(\sum_{\alpha} C_{a\alpha}^* C_{a\alpha} - \delta_{aa} \right).$$

We can then find the variation in the reference energy. From the definition we find

$$\delta E = \sum_{k\alpha} \frac{\partial E}{\partial C_{k\alpha}^*} \delta C_{k\alpha}^* + \sum_{k\alpha} \frac{\partial E}{\partial C_{k\alpha}} \delta C_{k\alpha} - \sum_{k\alpha} \epsilon_k (C_{k\alpha} \delta C_{k\alpha}^* + C_{k\alpha}^* \delta C_{k\alpha}).$$

Each coefficient $C_{p\alpha}$ and $C_{p\alpha}^*$ is independant, and so can be varied independantly. For a minimum, we know that $\delta E = 0$ for all possible variations in coefficients, and so $\delta E = 0$ gives rise to a set of equations, one for each coefficient:

$$\delta E = 0 \quad \Rightarrow \quad \left(\frac{\partial E}{\partial C_{k\alpha}^*} - \epsilon_k C_{k\alpha} \right) \delta C_{k\alpha}^* = 0 \quad \forall \quad k, \alpha,$$

which is satisfied if and only if

$$\frac{\partial E}{\partial C_{k\alpha}^*} - \epsilon_k C_{k\alpha} = 0 \quad \forall \quad k, \alpha.$$

When we take the derivative of the original energy with respect to a specific coefficient, we get

$$\sum_{\beta} C_{k\beta} \langle \alpha | \hat{h}_0 | \beta \rangle + \sum_{p=1}^N \sum_{\beta\gamma\delta} C_{p\beta}^* C_{k\gamma} C_{p\delta} \langle \alpha\beta | \gamma\delta \rangle - \epsilon_k C_{k\alpha} = 0.$$

As β and γ are simply dummy variables we can relabel them, giving

$$\sum_{\gamma} \left(\langle \alpha | \hat{h}_0 | \gamma \rangle + \sum_{p=1}^N \sum_{\beta\delta} C_{p\beta}^* C_{p\delta} \langle \alpha\beta | \gamma\delta \rangle \right) C_{k\gamma} - \epsilon_k C_{k\alpha} = 0 \quad \forall \quad k, \alpha.$$

We defined the expression in the parenthesis as the Hartree-Fock operator³, which we denote with $\hat{h}_{\alpha\gamma}^{\text{HF}}$. We can then write our necessary condition for minimizing the energy as

$$\sum_{\gamma} \hat{h}_{\alpha\gamma}^{\text{HF}} C_{k\gamma} = \epsilon_k C_{k\alpha} \quad \forall \quad k, \alpha.,$$

which are the Hartree-Fock equations. We see that we can interpret the left-hand side as a matrix-vector product, so we can write

$$h^{\text{HF}} \mathbf{C}_k = \epsilon_k \mathbf{C}_k,$$

where h^{HF} is the Hartree Fock operator represented in the original basis, and \mathbf{C}_k is the coefficient-vector of the k 'th eigenvector of the Hartree-Fock operator, and ϵ_k is its eigenvalue. The eigenvalue corresponds to the single-particle energy of the new state.

³More accurately, the expression the parenthesis is the $\alpha\gamma$ matrix element of the matrix representation of the Hartree-fock operator in the original basis.

Exercise 1f)

We will now construct the Hartree-Fock matrix using the single particle states $1s$ - $3s$ with spin degeneracy for both the helium and beryllium atoms. We then have

$$\alpha, \gamma \in \{1_{\uparrow}, 1_{\downarrow}, 2_{\uparrow}, 2_{\downarrow}, 3_{\uparrow}, 3_{\downarrow}\},$$

meaning the Hartree-Fock matrix will be a 6-by-6 matrix.

Assembling the Hartree-Fock matrix

To assemble the HF matrix, we need to know the matrix C . When solving the HF equations iteratively, we use the solution from the previous timestep to assemble the new HF matrix. For the first iteration, we must then make a guess of the matrix C , normally we set it equal to the identity matrix, meaning the coefficients are $C_{\alpha\beta} = \delta_{\alpha\beta}$.

To assemble the matrix, we use a python script that computes the elements from the formula

$$h_{\alpha\gamma}^{\text{HF}} = \langle \alpha | \hat{h}_0 | \gamma \rangle + \sum_{p=1}^N \sum_{\beta\delta} C_{p\beta}^* C_{p\delta} \langle \alpha\beta | | \gamma\delta \rangle$$

This expression is valid for both the helium and beryllium atoms, but they will of course have a different N and Z value. Calculating all the matrix elements gives the two following matrices

$$h_{\text{helium}}^{\text{HF}} = \begin{pmatrix} -0.750 & 0 & 0.179 & 0 & 0.088 & 0 \\ 0 & -0.750 & 0 & 0.179 & 0 & 0.088 \\ 0.179 & 0 & 0.296 & 0 & 0.180 & 0 \\ 0 & 0.179 & 0 & 0.296 & 0 & 0.180 \\ 0.088 & 0 & 0.180 & 0 & 0.164 & 0 \\ 0 & 0.088 & 0 & 0.180 & 0 & 0.164 \end{pmatrix}$$

$$h_{\text{helium}}^{\text{HF}} = \begin{pmatrix} -3.909 & 0 & 0.392 & 0 & 0.189 & 0 \\ 0 & -3.909 & 0 & 0.392 & 0 & 0.189 \\ 0.392 & 0 & 0.193 & 0 & 0.445 & 0 \\ 0 & 0.392 & 0 & 0.193 & 0 & 0.445 \\ 0.189 & 0 & 0.445 & 0 & 0.527 & 0 \\ 0 & 0.189 & 0 & 0.445 & 0 & 0.527 \end{pmatrix}$$

Solving the HF equations

Now that we have found the HF matrices for both helium and beryllium, we can solve the HF equations. The HF equations could be written as the matrix-vector equation

$$h^{\text{HF}} \mathbf{C}_k = \epsilon_k \mathbf{C}_k,$$

so solving the equations means finding the eigenvectors and corresponding eigenvalues of the HF matrix. We do this numerically.

For helium we find the new single-particle energies

$$\lambda_1 = \lambda_2 = 0.3577, \quad \lambda_3 = \lambda_4 = 0.4925 \quad \lambda_5 = \lambda_6 = 1.1182,$$

and for beryllium they are

$$\lambda_1 = \lambda_2 = -3.1825, \quad \lambda_3 = \lambda_4 = 0.3546 \quad \lambda_5 = \lambda_6 = 1.3204.$$

In both cases, we see that there are three distinct eigenvalues each occurring twice. This isn't a big surprise, as we have double spin degeneracy in our single-particle states, but the Hamiltonian makes no distinction of spin.

Note that we have listed our eigenvalues in increasing order, this is vitally important for the success of the algorithm, as we are summing over the N first states. If we want to find the ground state energy, we need to sum over the N lowest energy states. As we are using `numpy.linalg.eig`, which is a wrapper for the LAPACK `eig`, we need to explicitly order the coefficient matrix in this manner after finding it before progressing with our computations.

We also get coefficient matrices for both helium and beryllium, but we won't list them here.

Calculating the ground state energy

Now that we have solved the HF eigenvalue equations, we can calculate the approximate ground state energy from the formula

$$E = \sum_{p=1}^N \sum_{\alpha\beta} C_{p\alpha}^* C_{p\beta} \langle \alpha | \hat{h}_0 | \beta \rangle + \frac{1}{2} \sum_{p=1}^N \sum_{q=1}^N \sum_{\alpha\beta\gamma\delta} C_{p\alpha}^* C_{q\beta}^* C_{p\gamma} C_{q\delta} \langle \alpha\beta | | \gamma\delta \rangle,$$

which you might recall was the expression for the energy of a general Slater determinant of N particles—this formula was the basis for the entire HF method.

When we compute the resulting energies, using the coefficient matrices we found in the last section for helium and beryllium, we find that the resulting approximations to the ground state energies are

$$E_{\text{helium}} = -2.8354,$$

$$E_{\text{beryllium}} = -14.6551.$$

We see that both values are above the exact energy, which is good, or we would have broken the variational principle and something would definitely be wrong. We also see that the values we found lie below the energies we found for the ansatz ground states. This isn't very surprising as the ansatz ground state is a single SD, and the HF-method aims to find the single SD with the lowest energy, we therefore expect the HF-method to find a better SD than the one we simply guessed at.

Exercise 1f)

The Algorithm

We now perform the Hartree-Fock minimization iteratively. The algorithm can be sketched as follows

1. Make some guess for the coefficient matrix C .
2. Assemble h_{HF} from the coefficient matrix C .
3. Find the eigenvectors of h_{HF} and order them correctly. These then form the new coefficient matrix C .
4. Repeat steps 2 and 3 until some tolerance is met.
5. Calculate the energy resulting from the final coefficient matrix.

There are different possibilities for the tolerance criterion. One possibility is looking at the single-particle energies we get from the eigenvalues of h_{HF} . If these change very little from one iteration to the next, we have most likely reached a convergence. Another possibility is looking at the energy itself.

We need to guess at a coefficient matrix to start the iterative scheme. In the previous exercise we started by using a diagonal matrix for C , other possibilities is letting it simply be zero or we could let it be random. We implement all these in our code, so that we can test if they all converge to the same solution.

The Code

The full code is attached in an appendix at the end of the project.

Results

The program converges to the same solution for all three methods for the initial C for both atoms. The program converges to the following values.

$$\begin{aligned}E_{\text{helium}} &= -2.8311, \\E_{\text{beryllium}} &= -14.5091.\end{aligned}$$

We first note that the variational principle is still fulfilled. Next we note that even though the method converges to a specific value, it is not the exact value, one might wonder why. The answer is that the method converges to the best single Slater determinant that approximates the ground state energy. As the true ground state energy might be a linear combination of several SDs, the method cannot actually reach the exact energy.

Summary

In this project we set out with the goal of finding the ground state energy of the helium and beryllium atoms. This energy cannot be found in closed-form due to the electron-electron interaction so we are left hoping to find good approximations. We had knowledge of the exact energy for our system, meaning we could compare our approximations to the exact solution throughout.

We used the hydrogenic wave-functions as our single-particle states. We imposed limitations on these single-particle states, giving us a small basis of single-particle states. To describe our system of two and four electrons, we constructed Slater determinants from these states.

First we calculated the energy of the Slater determinant that would have been the ground states of the system if we had no electron-electron interaction. This method is incredibly simple, and had surprisingly good results, having a relative error of only 5-6%.

Next we defined a set of one-particle-one-hole Slater determinants in addition to our ansatz ground state. We then had 5 SDs spanning a five-dimensional Hilbert space. By representing our Hamiltonian as a matrix in this space, we could find the linear combination of these SDs that gave the lowest possible energy, which was next approximation to the ground state. This method involved some more computations, but was still quite simple and intuitive. We ended up with a relative error of about 2%, which is really good for such a simple approximation method.

Next we turned to the Hartree-Fock method. By writing out the energy expectancy of a general SD, we could expand the single-particle states of that SD into our hydrogenic wavefunction basis. This gave us an expression for the energy which was only dependant on the coefficients. We then treated the problem like a minimization problem, finding the coefficients that produced the SD that minimized the energy of the system.

Finally we performed the Hartree-Fock method iteratively, repeating the minimization problem. The method converged to a specific energy, independent of what initial guess we used for the coefficient matrix C . Although the method converged, it did not reach the exact energy, as it is simply the best single Slater determinant energy, which might be a good enough approximation of the exact solution.

Table 1. Full table of results.

Helium	Ansatz GS	5xSD basis	1 it. HF	Conv. HF	Exact
E [a.units]	-2.7500	-2.8386	-2.8354	-2.8311	-2.9037
Rel. error	5.3%	2.2%	2.5%	2.2%	

Beryllium	Ansatz GS	5xSD basis	1 it. HF	Conv. HF	Exact
E [a.units]	-13.7160	-14.3621	-14.6551	-14.5091	-14.6674
Rel. error	6.5%	2.1%	0.1%	1.1%	

Appendix A - Code for Hartree-Fock solver

```
"""
Program for solving Hartree Fock iteratively.
1. Import radial integral results
2. Set up HF matrix using C = I as initial guess
3. Solve eigevalue problem using numpy.linalg
4. Use resulting eigenvectors to assemble new HF matrix
5. Repeat steps 3 and 4 until convergence is met.
6. Calculate ground state energy from resulting C.
"""

from __future__ import division
from sympy import *
from numpy import *
import pickle, sys

Z = Symbol("Z")

# Read in the radial_integrals from pickled object
with open("radial_integrals.p", "rb") as infile:
    radial_integrals = pickle.load(infile)

class HF:
    """
    Class for solving the Hartree Fock equations iteratively.
    """

    def __init__(self, N, basis, Z_value, first_C='identity'):
        """
        N is the number of particles in the system and basis
        is the single-particle basis for the system.
        """
        # Read in the radial_integrals from pickled object
        with open("radial_integrals.p", "rb") as infile:
            self.radial_integrals = pickle.load(infile)

        self.N = N # number of particles in the system
        self.basis = basis # single-particle basis for the system
        self.Z_value = Z_value # atomic number of the atom
        self.n = len(basis) # number of single-particle basis states
        self.ek = array((0,0,0,0,0,0)) # new single-particle energies
        self.E = 0 # energy
        # Set up the first coefficient matrix to be used
        if first_C == 'identity':
            self.C = identity(self.n)
        elif first_C == 'zero':
            self.C = zeros((self.n, self.n))
        elif first_C == 'rand':
            self.C = random.rand(self.n, self.n)
        else:
            print "first_C argument not understood"
            print "Legal values are: 'identity', 'zero', 'rand'"
            sys.exit(1)

        self.h_HF = zeros((self.n, self.n))
        self.assemble_HF_matrix() # set up HF matrix for C = I

    def h_0(self, p, q):
        """
        Takes the integer values of states and returns the
        asymmetrized twobody matrix element <pq||rs>.
        """
        n1, s1 = self.basis[p]
        n2, s2 = self.basis[q]

        if n1 != n2 or s1 != s2:
            return 0
        else:
            return -Z**2/(2*n1**2)

    def rad(self, n1, n2, n3, n4):
        """
        Returns the radial integral <n1, n2|v|n3, n4>.
        """
        return self.radial_integrals[n1-1, n2-1, n3-1, n4-1]
```

```

def h_1(self, p, q, r, s):
    """
    Takes the integer values of four basis-states and returns
    the asymmetrized twobody matrix element <pq||rs>.
    """
    n1, s1 = self.basis[p]
    n2, s2 = self.basis[q]
    n3, s3 = self.basis[r]
    n4, s4 = self.basis[s]

    if s1 == s2 == s3 == s4:
        return self.rad(n1, n2, n3, n4) - self.rad(n1, n2, n4, n3)
    if s1 == s3 and s2 == s4:
        return self.rad(n1, n2, n3, n4)
    if s1 == s4 and s2 == s3:
        return -self.rad(n1, n2, n4, n3)
    else:
        return 0

def assemble_HF_matrix(self):
    """
    Assemble the HF matrix from the coefficient matrix.
    """
    n, N = self.n, self.N
    C = self.C

    for a in range(n):
        for g in range(n):
            s = self.h_0(a,g)
            for p in range(N):
                for b in range(n):
                    for d in range(n):
                        s += C[p,b]*C[p,d]*self.h_1(a,b,g,d)

            self.h_HF[a,g] = s.subs(Z, self.Z_value)

def reorder_coefficients(self):
    ek, C = self.ek, self.C

    # Sort eigenvalues and coefficient matrix using numpy.argsort
    indices = argsort(ek)
    ek = ek[indices]
    C = C[:, indices]

    self.ek, self.C = ek, C.T

def calc_energy(self):
    """
    Calculates the ground state energy from the
    current coefficient matrix.
    """
    n, N = self.n, self.N
    C = self.C

    e = 0
    for p in range(N):
        for a in range(n):
            for b in range(n):
                e += C[p,a]*C[p,b]*self.h_0(a,b)
            for q in range(N):
                for c in range(n):
                    for d in range(n):
                        e += 0.5*C[p,a]*C[q,b]*C[p,c]*C[q,d]*self.h_1(a,b,c,d)

    self.E = e.subs(Z, Z_value).evalf()
    return self.E

def solve(self, tol=1e-6, max_iters=40):
    iterations = 0
    n, N = self.n, self.N
    Ep = 0

    while iterations < max_iters:
        iterations += 1

```

```

        # Find eigenvalues and eigenvector of HF matrix
        self.ek, self.C = linalg.eig(self.h_HF)

        # Reorder eigenvalues and eigenvector
        self.reorder_coefficients()

        # Assemble the new HF matrix
        self.assemble_HF_matrix()

        # Test tolerance of lowest eigenvalue
        print self.calc_energy()
        error = abs(self.E - Ep)
        if error < tol:
            print "Solver converged after %d iterations." % (iterations)
            return

        Ep = self.E

        print "Solver failed to converge in %d iterations." % (iterations)

N = 4
Z_value = 4
basis = [(1,1), (1,-1), (2,1), (2,-1), (3,1), (3,-1)]

print "Solving with initial guess C=I."
solver = HF(N, basis, Z_value, first_C='identity')
solver.solve(max_iters=100)

print "\n\nSolving with initial guess C=0."
solver = HF(N, basis, Z_value, first_C='zero')
solver.solve(max_iters=100)

print "\n\nSolving with random initial guess."
solver = HF(N, basis, Z_value, first_C='rand')
solver.solve(max_iters=100)

```