

EIGENCURVES IN THE WORLD OF MODULAR FORMS

Agenda :

- 1) Define the p -adic Hecke algebra
- 2) Construct "weight space"
- 3) Give an e.g. of a family of systems of Hecke eigenvalues parametrized by weight.
- 4) Define the Hida family & the eigencurve (Coleman & Mazur)
- 5) Give idea of proofs of Hida's & C&M's theorem.

§1. p -adic Hecke algebra

Fix $N \geq 1$

Fix $p \nmid N$

$M_k(N) \rightarrow \text{wt } k, \text{ level } N \text{ for } T_i(N)$

If $l \nmid N$, define S_l to be $\langle l \rangle l^{k-2}$
for l prime

- $H_k = \mathbb{Z}$ -subalgebra of $\text{End}(M_k(N))$ generated by lS_l & T_l as l ranges over primes not
- $\hookrightarrow \Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & l \end{pmatrix} \Gamma_1(N)$

$$\frac{\Gamma_0(N)}{\Gamma_1(N)} \xrightarrow{\sim} (\mathbb{Z}/N\mathbb{Z})^\times$$

$$\alpha \longrightarrow l$$

$$\langle l \rangle = \Gamma_1(N) \alpha \Gamma_1(N)$$

- $\lambda: \mathbb{H}_k \xrightarrow{\text{rg hom}} \mathbb{C} \xrightarrow{\text{rg hom}} \bar{\mathbb{Z}}$ is called a "system of Hecke eigenvalues".

We think of λ as taking values in $\bar{\mathbb{Z}}$

- $\mathbb{H}_k^{(p)}$ is the subalgebra of \mathbb{H}_k generated by lS_e & T_e for l not dividing N_p . prime, fixed
fixed, level

Define S_e & T_e on $\bigoplus_{i=1}^m M_i(N)$ in the obvious way.
 S_e acts on $M_i(N)$ via $\langle l \rangle l^{i-2}$.

- Let $\mathbb{H}_{\leq k}^{(p)} := \mathbb{Z}$ -subalgebra of endomorphisms of $\bigoplus_{i=1}^k M_i(N)$ generated by lS_e & T_e for $l \nmid N_p$.

$$lS_e \downarrow \begin{matrix} \hookrightarrow \\ \mathbb{H}_{\leq k} \\ \prod_{i=1}^k \mathbb{H}_i \subset \prod \text{End}(M_i(N)) \\ (lS_e)_i \end{matrix}$$

$$\text{If } k' \geq k, \text{ then } \bigoplus_{i=p}^k \dots \subset \bigoplus_{i=0}^{k'} \dots$$

$$\Rightarrow \mathbb{H}_{\leq k'}^{(p)} \xrightarrow{\text{restriction}} \mathbb{H}_{\leq k}^{(p)}$$

$$lS_e \mapsto lS_e$$

$$T_e \mapsto T_e$$

$$\Rightarrow \mathbb{Z}_p \otimes_{\mathbb{Z}} \mathbb{H}_{\leq k'}^{(p)} \rightarrow \mathbb{Z}_p \otimes_{\mathbb{Z}} \mathbb{H}_{\leq k}^{(p)}$$

- The p-dic Hecke algebra \mathbb{H} is $:= \varprojlim \mathbb{Z}_p \otimes_{\mathbb{Z}} \mathbb{H}_{\leq k}^{(p)}$

\exists a well defined S_e & $T_e \in \mathbb{H}$

$$\mathbb{H} \hookrightarrow \prod_{k \geq 1} \mathbb{Z}_p \otimes_{\mathbb{Z}} \mathbb{H}_k \rightarrow \mathbb{Z}_p \otimes_{\mathbb{Z}} \mathbb{H}_k$$

(Fact: H is t.f., p -adically complete, Noeth \mathbb{Z}_p -algebra & it is a product of finitely many complete Noeth local \mathbb{Z}_p algebras)

- A p -adic system of Hecke eigenvalues is a \mathbb{Z}_p -hom

$$\xi: H \rightarrow \bar{\mathbb{Z}}_p$$

$$\text{consider } \lambda^{(p)}: H_k^{(p)} \hookrightarrow H_k \xrightarrow{\pi} \bar{\mathbb{Z}}_p$$

Then ξ of the form $\pi^{(p)} \circ (H \rightarrow \mathbb{Z}_p \otimes H_k^{(p)})$
is called classical

§2. Weight Space

\exists a canonical map $\text{Spec } H \rightarrow \underbrace{\text{Spec } \mathbb{Z}_p[[T]]}_{\text{This will be our weight space}}$

$$\text{Let } \alpha := \begin{cases} p & \text{if } p \text{ is odd} \\ p^2 & \text{if } p \text{ is even} \end{cases}$$

$$\mathbb{Z}_p^\times \cong \mu_{p-1} \times \Gamma \text{ for } p \text{ odd}$$

$$\mathbb{Z}_p^\times \cong \mu_2 \times \Gamma \text{ for } p \text{ even}$$

$$\text{Let } \Gamma := 1 + \alpha \mathbb{Z}_p \quad (\text{if } p \text{ is odd})$$

$$\text{Let } \mathbb{Z}_p[[\Gamma]] := \varprojlim_n \mathbb{Z}_p[\Gamma / \Gamma^{p^n}] \quad , \quad \begin{matrix} \text{the completed} \\ \text{gp rg of } \Gamma \text{ over} \\ \mathbb{Z}_p \end{matrix}$$

$\underbrace{\Gamma}_{\mathbb{Z}_p / \Gamma^{p^n} \mathbb{Z}_p}$

$$\text{We have } \mathbb{Z}_p[\Gamma] \hookrightarrow \mathbb{Z}_p[[\Gamma]]$$

Denote with $[x]$ the elt in $\mathbb{Z}_p[[\Gamma]]$ corresponding to $x \in \Gamma$

$$\mathbb{Z}_p[[\Gamma]] \xrightarrow{\sim} \mathbb{Z}_p[[\Gamma]]$$

$$\begin{aligned} \Gamma &\mapsto -1[1] \\ &+ 1[1+q] \end{aligned}$$

Want to construct $\mathbb{Z}_p[[\Gamma]] \rightarrow H$

Suffices to construct a $\overset{\text{continuous}}{\underset{\text{gp hom}}{\alpha}}$ $\Gamma \rightarrow H^\times$
 $l \mapsto S_l \rightarrow l^{k-2} \langle l \rangle$

Consider the following set (dense in Γ) : by Dirichlet's theorem on primes in arithmetic progression

$$S := \{l \text{ prime } | l \equiv 1 \pmod{Nq}\}$$

$\uparrow p \text{ when } p \text{ is odd}$

Lemma : The map $S \rightarrow H$ given by $l \mapsto S_l$, extends uniquely to a continuous gp hom $\Gamma \rightarrow H^\times$

Pf :

$$S \rightarrow \varprojlim_{\substack{H \\ \leq k}} \mathbb{Z}_p \otimes_{\mathbb{Z}} H_{\leq k}^{(p)} \hookrightarrow \prod_k \mathbb{Z}_p \otimes H_k^{(p)}$$

$$H_k = \mathbb{Z}\langle lS_l, T_l \rangle_{\substack{l \text{ prime} \\ \text{not dividing} \\ N}}$$

$$l \mapsto S_l \mapsto (S_l)_k = \begin{cases} (l^{k-2})_k & l \equiv 1 \pmod{Nq} \\ & \langle l \rangle \text{ is trivial} \end{cases}$$

$p \nmid N$

$$\Gamma(N)$$

This extends to a cont. hom on Γ

$$x \xrightarrow{\hspace{10cm}} (x^{k-2})_k$$

As H is a complete subspace & S is dense, the image of Γ lands in H

We obtain :

$$-1 + [1 + \alpha] \quad \leftarrow \quad T$$

$$\text{Spec } \mathbb{H} \xrightarrow{\psi} \text{Spec } \mathbb{Z}_p[[\Gamma]] \xrightarrow{\sim} \text{Spec } \mathbb{Z}_p[[\tau]]$$

$\overline{\mathbb{Z}}_p$ points of $\mathbb{Z}_p[[\Gamma]]$ \leftrightarrow cont. characters $\chi : \Gamma \rightarrow \overline{\mathbb{Z}}_p^\times$

$$\text{Spec } \mathbb{Z}_p[[\Gamma]] \cap (\overline{\mathbb{Z}_p}) \xrightarrow{\sim} \text{Spec } \mathbb{Z}_p[[T]] \cap (\overline{\mathbb{Z}_p})$$

$$k \longmapsto R(1+\alpha) - 1$$

$$\text{Spec } \bar{\mathbb{Z}_p} \longrightarrow \text{Spec } \mathbb{Z}_p[[T]] \quad \mathbb{Z}_p[[T]]$$

Define κ_k : $\Gamma \rightarrow \overline{\mathbb{Z}}_p^X$ via
 $x \mapsto x^{k-2}$

$\{F_k\}$ are Zariski dense in $\text{Spec } \mathbb{Z}_p[[t]]$

We call R_k the pt of wt k

We will regard $\text{Spec } \mathbb{Z}_p[[\Gamma]]$ as an interpolation of the set of integers.

$$\varprojlim H_{\leq k}^{(p)} \otimes \mathbb{Z}_p$$

Note: If $\tilde{\gamma}: \mathbb{H} \rightarrow \overline{\mathbb{Z}_p}$ is classical arising from $\gamma: \mathbb{H}_k \rightarrow \overline{\mathbb{Z}_p}$,

then

$$K_k = \xi \circ w$$

Think of w mapping a system of Hecke eigenvalues to its corresponding weight.

As $\xi_0 w$ is \mathbb{F}_k , it forces w to be injective
 $H \xrightarrow{\text{classical}} \overline{\mathbb{Z}_p} \xrightarrow{w} \mathbb{Z}[[\Gamma]] \rightarrow H$
(as $\mathbb{Z}_p[[T]] \xrightarrow{w} H \rightarrow \overline{\mathbb{Z}_p}$
 $T \mapsto -1 + (1+\alpha)^{k-2}$
 $k \gg 0$ will ensure nothing nonzero dies)

As w is injective, $\text{Spec } H \rightarrow \text{Spec } \mathbb{Z}[[\Gamma]]$ is sch. theoretically dominant & ∴ set theoretically.

{ We can ask if \exists families of systems of Hecke eigenvalues (& ∴ of Galois representations) parametrized by weight.

$$\frac{\Gamma_0(N)}{\Gamma_1(N)} \xrightarrow{\sim} (\mathbb{Z}/N\mathbb{Z})^\times$$

$$\begin{pmatrix} 1 & b \\ 0 & l \end{pmatrix} \xrightarrow{\alpha} l \quad al \equiv 1 \pmod{N}$$

$$\underbrace{\langle l \rangle}_{\cong} = \Gamma_1(N) \propto \Gamma_1(N) \quad \langle l \rangle, \underbrace{l^{k-2} \langle l \rangle}_{\cong}$$

$$\lambda(S^l) = \varepsilon(l) l^{k-2}$$

$$\log_l | | = k - 2$$

Can we find

$$Z \xrightarrow{\text{closed}} \text{Spec } H \quad \text{s.t.}$$

- 1) $Z \hookrightarrow \text{Spec } H \xrightarrow{\cong} \text{Spec } \mathbb{Z}_p[[T]]$ is dominant with finite fibers
- 2) Z contains a Zariski dense set of points corresponding to classical systems of Hecke eigenvalues

§3. E.g. of such a family : the Eisenstein family

For simplicity $N = 1$ & fix an even residue class $i \pmod{p-1}$ if p is odd.

(Recall : If $k \geq 4$, even, $E_k \in M_k(1)$ is a Hecke eigenform)

Consider $\lambda_k^{(p)} \xrightarrow{\text{ }} \lambda_k$ restricted to $H_k^{(p)}$
associated to Eisenstein series E_k
for $k \geq 4$ & $\begin{cases} k \equiv i \pmod{p-1} & \text{if } p \text{ is odd} \\ k \text{ even} & \text{if } p = 2 \end{cases}$

$$\lambda_k^{(p)}(lS_e) = l^{k-1}$$

$$\lambda_k^{(p)}(T_e) = 1 + l^{n-1}$$

$$\mathbb{Z}_p^\times = \mu \times \Gamma$$

$$\begin{cases} \mu = \mu_{p-1} & \text{if } p \text{ is odd} \\ \mu = \mu_2 & \text{if } p \text{ is even} \end{cases}$$

$$\text{Let } \varphi: \mathbb{Z}_p^\times \longrightarrow \mu$$

$$\lambda_k^{(p)}(lS_e) = l \varphi(l)^{i-2} (l \varphi(l)^{-1})^{k-2}$$

$$\lambda_k^{(p)}(T_e) = 1 + l \varphi(l)^{i-2} (l \varphi(l)^{-1})^{k-2}$$

where we set $i=0$ if $p=2$

$$H \xrightarrow{E} \mathbb{Z}_p[[\Gamma]]$$

$$S_e \mapsto \varphi(l)^{i-2} [l \varphi(l)^{-1}]$$

$$T_e \mapsto 1 + l \varphi(l)^{i-2} [l \varphi(l)^{-1}]$$

$$\text{By construction } \begin{matrix} \uparrow \kappa_k \\ x \mapsto x^{k-2} \\ \Gamma \mapsto \bar{\mathbb{Z}}_p^+ \end{matrix} = \lambda_k^{(p)} \quad \text{for any } k \equiv i \pmod{p-1} \quad (\text{or even } k \text{ if } p=2)$$

$$\begin{array}{ccc} \mathbb{Z}_p[[\Gamma]] & \xrightarrow{w} & H \xrightarrow{E} \mathbb{Z}_p[[\Gamma]] \\ [l] & \mapsto & S_l \mapsto \varphi(l)^{i-2} [l\varphi(l)^{-1}] \end{array}$$

$l \in \{$
 $l \equiv 1 \pmod{N\alpha}\}$

$$\begin{aligned} &\stackrel{=}{\pi^*}[l] \\ &\varphi(l) = 1 \\ &\text{as } l \equiv 1 \pmod{\alpha} \end{aligned}$$

$\therefore E \circ w = \text{id}$ on $\mathbb{Z}_p[[\Gamma]] \Rightarrow$
 we have a section of the weight map, which is a separated

$\therefore E$ gives a closed immersion
 $\text{Spec } \mathbb{Z}_p[[\Gamma]] \rightarrow \text{Spec } H$

$$\begin{array}{ccc} \text{Spec } \mathbb{Z}_p[[\Gamma]](\bar{\mathbb{Z}}_p) & \longrightarrow & \text{Spec } H(\bar{\mathbb{Z}}_p) \\ k & \mapsto & k \circ E \\ k_k & \mapsto & \pi_k^{(p)} \end{array}$$

Suppose we included information on T_p & pS_p in π_k

We would want that if k_k & $k_{k'}$ are "close p-adically",
 then π_k & $\pi_{k'}$ should also be close p-adically

↑

(Spec H)(R)

" $H_{\text{top}}(H, R)$ "
 endow with the
 weakest topology
 s.t. eva is
 continuous for all
 $a \in H$

Say $k' > k$, $k' - k = p^m u$

$$\begin{array}{ccc} pS_p & \xrightarrow{\pi_k} & p^{k-1} \\ \downarrow \pi_{k'} & & \downarrow p^{k'-1} \\ \pi_{k'} & \xrightarrow{\text{diff}} & p^{k-1}(1 - p^{k'-k}) \end{array}$$

unit

§4. Hida family & the eigencurve.

We observe that $\lambda_k(T_p)$ & $p\lambda_k(S_p)$ do not interpolate well.

If we consider the p^{th} Hecke polynomial

$x^2 - \lambda_k(T_p)x + p\lambda_k(S_p)$, in the preceding e.g., it has the form $x^2 - (1 + p^{k-1})x + p^{k-1}$

$$= (x-1)(x-p^{k-1})$$

\uparrow \nwarrow
No problem Problem.

So we consider points in $\text{Spec } H \times_{\mathbb{Z}_p} \mathbb{G}_{\text{m}}$ $\mathbb{Z}_p[T, T^{-1}]$

Let X denote $\bar{\mathbb{Q}}_p$ valued pts of $\text{Spec } H \times \mathbb{G}_{\text{m}}$ consisting of pairs $(\bar{\chi}, \alpha)$ where $\bar{\chi} : H \rightarrow \bar{\mathbb{Z}}_p$ is classical coming from some $\chi : H_k \rightarrow \bar{\mathbb{Z}}_p$ & α is a root of p^{th} Hecke polynomial of $\bar{\chi}$

$$X^{\text{ord}} := \{(\bar{\chi}, \alpha) \in X \mid \alpha \in \bar{\mathbb{Z}}_p^\times\} = \bar{\mathbb{Z}}_p \text{ points in } X$$

Last time :

N, p are fixed. $\ell \neq p$, and a prime.

1) Defined p -adic Hecke algebra $= \varprojlim H_{\leq k}^{(p)} \otimes \mathbb{Z}_p$

2) Constructed a "weight space" $\text{Spec } H \xrightarrow{w} \text{Spec } \mathbb{Z}_p[[T]]$

3) Considered an e.g. of a family of systems of Hecke eigenvalues parametrized by weight

(in other words, $\exists \hookrightarrow \text{Spec } H \xrightarrow{w} \text{Spec } \mathbb{Z}_p[[T]]$)

$\begin{matrix} \text{Zariski} \\ \text{dense} \\ \text{set of pts} \\ \text{corr. to classical} \\ \text{systems.} \end{matrix}$
 $\begin{matrix} \text{dominant} \\ w/ \text{ finite fibres} \end{matrix}$

Now : Hida family & the eigencurve

We start by considering points in $\text{Spec } H \times_{\mathbb{Z}_p} \mathbb{G}_m$

& defined $X := \{(\xi, \alpha) \in \text{Spec } H \times_{\mathbb{Z}_p} \mathbb{G}_m (\bar{\mathbb{Q}}_p) \mid \xi : H \rightarrow \bar{\mathbb{Z}}_p$ is classing coming from

some $\pi : H_k \rightarrow \bar{\mathbb{Z}}_p$ & α is a root

of p^{th} Hecke polynomial of π

$$x^2 - \lambda(T_p)x + p\lambda(S_p)$$

$\uparrow p^{k-2} \ell^p$

We defined $X^{\text{ord}} := \{(\xi, \alpha) \in X \mid \alpha \in \overline{\mathbb{Z}_p}^\times\} =$
 $\overline{\mathbb{Z}_p}$ points in X

Thm (Hida) : Zariski closure C^{ord} of X^{ord}
 in $\text{Spec } H \times \mathbb{G}_m$ is 1-dim.

$C^{\text{ord}} \hookrightarrow \text{Spec } H \times \mathbb{G}_m \xrightarrow{\text{pr}} \text{Spec } H \xrightarrow{w} \text{Spec } \mathbb{Z}_p[[\Gamma]]$
 is finite. It is étale in the nbhd of those
 pts of X^{ord} coming from systems of
 eigenvalues appearing in wt $k \geq 2$

If we try to interpolate X , taking alg
 Zariski closure is "too coarse". Instead,
 we construct a rigid analytic family
 lying inside the ass. rigid analytic space
 of $(\text{Spec } H \times \mathbb{G}_m)^{\text{an}}$.

Thm (Coleman & Mazur) : The rig. an. Zariski closure C of X in $(\text{Spec } H \times \mathbb{G}_m)^{\text{an}}$ is 1-dim

The composite

$$C \hookrightarrow (\text{Spec } H \times \mathbb{G}_m)^{\text{an}} \xrightarrow{(\text{Spec } H)^{\text{an}}} (\text{Spec } (\mathbb{Z}_p[[T]])^{\text{an}}$$

is flat & has discrete fibers

For any $c > 0$, \exists only finitely many pts (\bar{z}, α) in any given fiber with $\text{ord}_p(\alpha) \leq c$.

The curve C is called the eigencurve of tame level N . $(C^{\text{ord}})^{\text{an}}$ is called the "slope 0 part" or "the ordinary part"

§5. Very very rough idea of proofs :

Step 1 : Space on which H acts

- (i) "generalized p -adic modular functions"
- (ii) surrogate of (i) constructed from gp cohomology of $\Gamma_1(N)$
- (iii) p -adically completed cohomology of modular curves.

Step 2 : (For (i) & (ii))

Introduce U_p operator on the space

$$f = \sum a_n q^n$$

Recall: On q^n -expansions, $U_p f = \sum a_{np} q^n$

Let $H^* :=$ quotient of $H[U_p]$ that
acts faithfully on our space

$$\begin{array}{ccc} H \times \mathbb{Z}_p[x] & \longrightarrow & \text{End(space)} \\ \downarrow & x & \mapsto U_p \\ \dots & & \end{array}$$

$$\text{Spec } H^* \hookrightarrow \text{Spec } H \times \mathbb{A}^1$$

If f is a modular form of wt k & level N , $p \nmid N$, & if α & β are roots of $(x^2 - \lambda(T_p)x + p\lambda(S_p))$

Then $f(\tau) - \beta f(p\tau)$ turns out to be a U_p eigenform of level NP , with U_p eigenvalue α .

$\therefore (\xi, \alpha)$ defines a rg hom $H^* \rightarrow \bar{\mathbb{Q}}_p$

$$(\xi, \alpha) : \text{Spec } \bar{\mathbb{Q}}_p \longrightarrow \text{Spec } H \times \mathbb{G}_m \longrightarrow \text{Spec } H \times \mathbb{A}^1$$

\dashrightarrow

$$\bar{x} \subset \text{Spec } H^*$$

But $\text{Spec } H^*$ is too big

If f is an eigenform for H^* whose U_p -eigenvalue α is of +ve slope

$$\text{then } U_p^n f = \alpha^n f \rightarrow 0$$

We can "cut out the ordinary part".

Quotient of H^* acting faithfully on the ordinary part is the coordinate rg of $C^{\text{ord}} = \bar{x}^{\text{ord}}$

For Coleman & Mazur's curve, the issue is :

Suppose α has +ve slope, then

$$H \rightarrow H[U_p] \rightarrow H^* \rightarrow \bar{\mathbb{Z}}_p$$

$$U_p \mapsto \dots \mapsto \alpha \in \text{max ideal}$$

$\text{Im}(U_p)$ in H^* \in maximal ideal $\underline{m^*}$

Say m^* lies over m in H

$$\begin{array}{ccc} \boxed{H_m^*} & \cong & \boxed{H_m[[U_p]]} \\ \underbrace{\quad}_{\text{completions}} & & \end{array}$$

\therefore if $H_m \rightarrow \bar{\mathbb{Z}}_p$ is any system of eigenvalues, can be extended arbitrarily to H_m^* , by assigning a positive slope value to U_p

For non ordinary stuff, no way of algebraically distinguishing positive slope roots of p^{th} Hecke polynomial from any other +ve slope elts of $\bar{\mathbb{Z}}_p$

By passing to "some analytic setting", we try to get U_p to be a compact operator w/ reasonable spectral theory & analyze its eigenspaces to prove the theorem.

In the interpolation paper

- Not exactly a direct action of U_p . Instead $\bigwedge^{\text{all of}} \text{GL}_2(\mathbb{Q}_p)$ acts
- Introduction of U_p & passage to its eigenspace is effected by applying the Jacquet module functor.