

Rank lemma still holds

$\text{rank}[\text{parent}(\alpha)] > \text{rank}[\alpha]$  for all non-roots.

Thm:- With union by rank and path compression in UNION + FIND operations takes  $O(n \log^* n)$  time

$$\log_2 (2^{65536}) = 5$$

$$2^2 = 2^4 = 2^2$$

rank blocks :-  $\{0\}, \{1\}, \{2, 3, 4\}, \dots, \{2^k, \dots, 2^{2k}\}$

# different rank blocks =  $O(\log^* n)$

Defn:- At a given pt in time, call object  $\alpha$  good if  
 i)  $\alpha$  or  $\alpha$ 's parent is a root (OR)  
 ii)  $\text{rank}[\text{parent}(\alpha)]$  is larger than  $\text{rank}[\alpha]$ .

$\alpha$  is bad otherwise.

Every FIND visits only  $O(\log^* n)$  good nodes.  
 $(2 + \# \text{ rank blocks})$

Total work done during  $m$  operat's  $O(m \log^* n)$

$\leq$  + total # of visits to bad nodes.

$$(Lc)_A \cdot c_A = (L)_A \cdot c_A$$

$$(S+Sc)_A =$$

Consider a rank block  $\{k+1, \dots, 2^k\}$   
 For each object  $x$  with final rank in the block,  
 # visits to  $x$  while  $x$  is bad is  $\leq 2^k$

# objects  $x$  with final rank in the block is

$$\sum_{i=k+1}^{2^k} \frac{n}{2^i} \leq \frac{n}{2^k}$$

# blocks  $O(\log^* n)$

Total work =  $O(m+n \log^* n)$

Tarjan:- in Find + Union operat<sup>n</sup>,  $O(m \alpha(n))$

Ackermann f<sup>n</sup>:

$$k \geq 0, r \geq 1, A_k(r)$$

$$A_0(r) = r+1$$

$$A_k(r) = \underbrace{A_{k-1} \circ A_{k-1} \circ \dots \circ A_{k-1}}_{r \text{ times}}(r)$$

$$A_1(r) = \underbrace{A_0(A_0(r))}_{r \text{ times}}$$

$$= A_0 A_0(r+1)$$

$$= A_0 2^r$$

$$A_2(r) = \underbrace{A_1 \circ A_1 \circ \dots \circ A_1}_{r \text{ times}}(r)$$

$$= \dots (2(2^r))$$

$$= 2^{r \cdot (r+1)}$$

$$A_3(2) = A_2(A_2(2))$$

$$A_3(r) =$$

$$= A_2(8)$$

$$= 2^8 + 8 = 204$$

Clearly  $A_k(r) \geq$

$$A_4(2) = A_3(A_3(2))$$

$$= A_3(204 + 8)$$

$$< A_3 \dots A_2(2)$$

Defn: For every  $n \geq 4$ ,  $\alpha(n) = \min k$  such that  
 $A_k(2) \geq n$

$\alpha(1) = 1$	$n=4$	Ackermann analysis - DCA by Kozen
$= 2$	$n=5 \dots 8$	
$= 3$	$9 \dots 2048$	
$= 4$	$n$ tower of $2^{2^{\dots^2}}$ of height <del>2048</del>	

$n$ -distinct objects —  
 $\leq m$  UNION + FIND (lazy union, by rank, path comp)  
 $O(\max(n))$

Defn:  $f(x) = \max$  value of  $k \geq \text{rank}[\text{parent}(x)] \geq A_k[\text{rank}(x)]$

$H(x) = \max$  value of  $k \geq \text{rank}[\text{parent}(x)] \geq A_k[\text{rank}(x)]$

$f(x) \geq 0$

$f(x) \geq 1 \Leftrightarrow \text{rank}[\text{parent}(x)] \geq 2 \text{rank}(x)$

$f(x) \geq 2 \Leftrightarrow \text{rank}[\text{parent}(x)] \geq \text{rank}(x) \cdot 2$

for all objects  $x$  with  $\text{rank}(x) \geq 2$ ,  $f(x) \leq \alpha(n)$

(since  $A_{\alpha(n)}(2) \geq n$ )

Good vs bad objects

Defn: An object  $x$  is bad if all of ↓ hold.

i)  $x$  is not root

ii)  $\text{parent}(x)$  is not a root

iii)  $\text{rank}(x) \geq 2$

iv)  $x$  has an ancestor  $y$  with  $f(y) = f(x)$

height 2 of 8

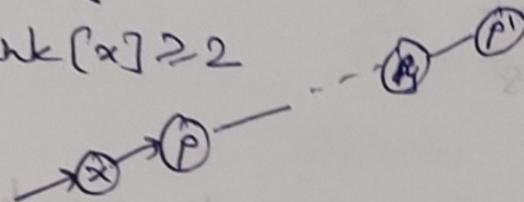
$\equiv 2^2$  — iterated towers (longer fm)

max-# of good objects on an object-root path  
 $= \Theta(\alpha(n))$

$$1 + 1 + 1 + 1 + \alpha(n)$$

$$f(x) = k$$

$$\text{rank}[x] \geq 2$$



path compression:  $x$ 's new parent will be  $p'$  or even higher

$$\begin{aligned} \text{rank}[x \text{ is new parent}] &\geq \text{rank}[p'] \geq A_k[\text{rank}[p_y]] \\ &\geq A_k[\text{rank}[p]] \end{aligned}$$

$$r = \text{rank}[x] \geq 2$$

After "r" such pointer updates

$$\text{rank}[x \text{ is parent}] \geq \underbrace{A_k \circ \dots \circ A_k}_{r \text{ times}}(r) = A_{k+r}(r)$$

While  $x$  is bad, every "r" visits increases  $f(x)$   
 $\rightarrow \leq r\alpha(n)$  visits to  $x$  while it's bad

Total # of visits to bad objects

$$\begin{aligned} &\leq \sum_{\text{objects } x} \text{rank}[x] \alpha(n) \\ &= \alpha(n) \sum_{r \geq 0} r (\# \text{ of objects with rank } r) \end{aligned}$$

$$= n\alpha(n) \sum_{r \geq 0} \frac{r}{2^r}$$

$$= O(n\alpha(n))$$

8 to 5 update

(not resolved) reward factors

6/9/23  
APS P :-

Gr-directed,  $c_e$  - edge costs  
of<sup>l</sup> - shortest path distances of  $u \rightarrow v$  path +  
 $u, v \in V$   
report (or)  
the presence of -ve cycle

running time :-

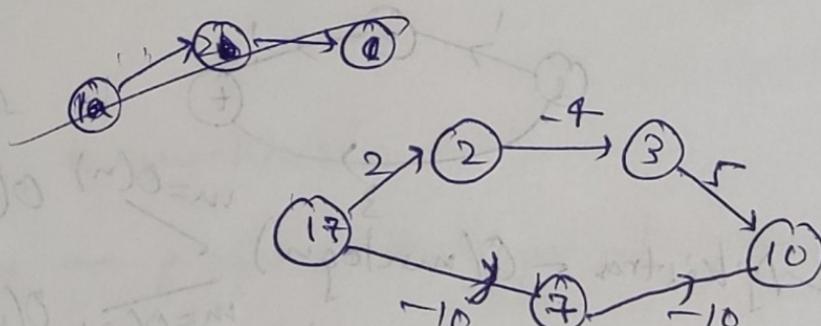
$n$ -Dijkstra (no -ve weights)

$O(nm \log n) \begin{cases} m = O(n^2) \rightarrow O(n^3 \log n) \text{ Dense} \\ m = O(n) \rightarrow O(n^2 \log n) \text{ Sparse} \end{cases}$

$n$ -Bellmann Ford (general edge costs)

$O(n^2m) \begin{cases} m = O(n^2) \rightarrow O(n^4) \\ m = O(n) \rightarrow O(n^3) \end{cases}$

Lemma :- Suppose  $G_i$  has no -ve cycle. Fix source  $i \in V$ , destination  $j \in V$  and  $k \in \{1, 2, \dots, n\}$ .  
 $P$  = shortest path (cycle-free) with all internal nodes from  $V^{(k)}$ .



Then :-

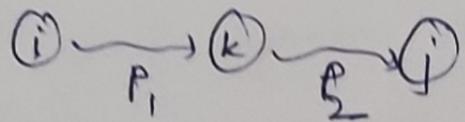
Case 1 :- If  $k$  is not internal to  $P$ , then  $P$  is a S.P  
in  $j$  with all internal vertices in  $V^{(k-1)}$ .

Case 2 :- If  $k$  is internal to  $P$

$P_1 = \{P \text{ ins } k \text{ from } V^{(k-1)}$

$P_2 = \{P \text{ kns } j\}$  " "

for Lemma 1 Exercise



$$B[i, j, 0] = \begin{cases} 0 & \text{if } i=j \\ c_{ij} & (i, j) \in E \\ \infty & (i, j) \notin E \end{cases}$$

For  $k=1$  to  $n$

For  $i=1$  to  $n$

For  $j=1$  to  $n$

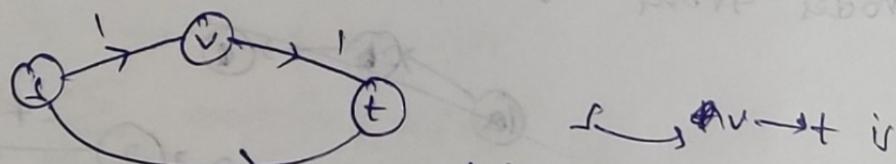
$$B[i, j, k] = \min \left\{ \begin{array}{l} B[i, j, k-1], \\ B[i, k, k-1] + B[k, j, k-1] \end{array} \right\}$$

$B[i, i, n] < 0$  for at least one  $i \in V$  at the end - report -ve cycle

### Johnson's Algo

$$O(mn \log n) + O(mn)$$

Gr - directed graph with general edge length



10/9/23

CCCP: Djikstra  $\leftarrow O(mn \log n)$

$$m=O(n) \quad O(n^2 \log n)$$

Djikstra  $\leftarrow O(mn \log n)$

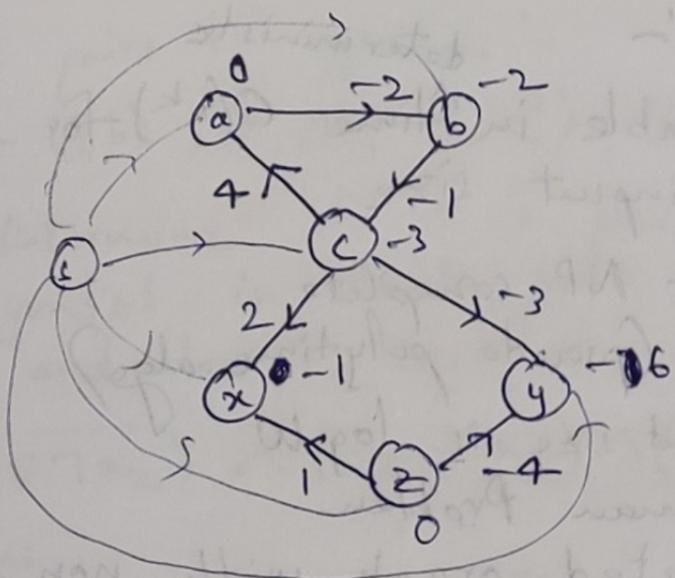
$$m=O(n^2) \quad O(n^3 \log n)$$

General  
case

$$m=O(n^2) \quad O(n^4)$$

$$O(n^3) - Floyd$$

Warshall



$$G + \{e\} = G'$$

- Run BF on  $G'$  with "1" as source

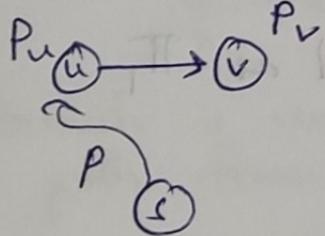
- Run Dijkstra's for  $n$  times

- return  $d(u, v) = d'(u, v) - p_u + p_v$

$$\underbrace{O(n) + O(nm) + O(m)}_{\text{Assigning source vertex } e = (u, v) \text{ of } G'} + O(n \log n) + O(n^2) = O(nm \log n)$$

for every edge  $e = (u, v)$  of  $G$ , Dijkstra

$$\text{P.P. } c_e' = c_e + p_u - p_v \text{ if non-ve}$$



### N-P completeness

Halting problem is unsolvable

Unsolvable:- Quines — programs that print themselves

Intractable:-

Only have brute force algo in exp time

Polynomial Solvability :- deterministic

P - all problems solvable in time  $O(n^k)$  for some  $k$   
where  $n$  - input size

Knapsack -  $O(nW)$  - NP complete

} (pseudo polytime algo)  
Input size is  $\log W$

18/4/03

TSP :- Travelling Salesman Problem

i/p: Complete undirected graph with non- $\text{ve}$  edge costs.

Qn:- A min-cost tour?

Conjecture :- There is no poly-time algo for TSP

Reduct :- a problem  $\Pi_1$  reduces to  $\Pi_2$  if given a poly-time subroutine to  $\Pi_2$  can be used to solve  $\Pi_1$ .

Completeness :-

- Suppose  $\Pi_1$  reduces to  $\Pi_2$

$\Pi_2$  is at least as hard as  $\Pi_1$

Defn :-  $\mathcal{C}$  = a set of problems  
problem  $\Pi$  is  $\mathcal{C}$ -complete

if i)  $\Pi \in \mathcal{C}$

ii) everything in  $\mathcal{C}$  reduces to  $\Pi$ .

Class NP :-

Non deterministic polytime algo

If

i) Solutions always have length polynomial in the input size

ii) Proposed solutions can be verified in poly-time

seq of pairs of symbols :- 2 SAT Problem  $\in P$   
 ex:-  $(A, b), (E, D), (d, \bar{c}), (\bar{b}, c)$   $\exists$  SAT is NP-complete.

Qn :- Determine if it is possible to circle at least one symbol in each pair without circling upper and lower case of same symbol.

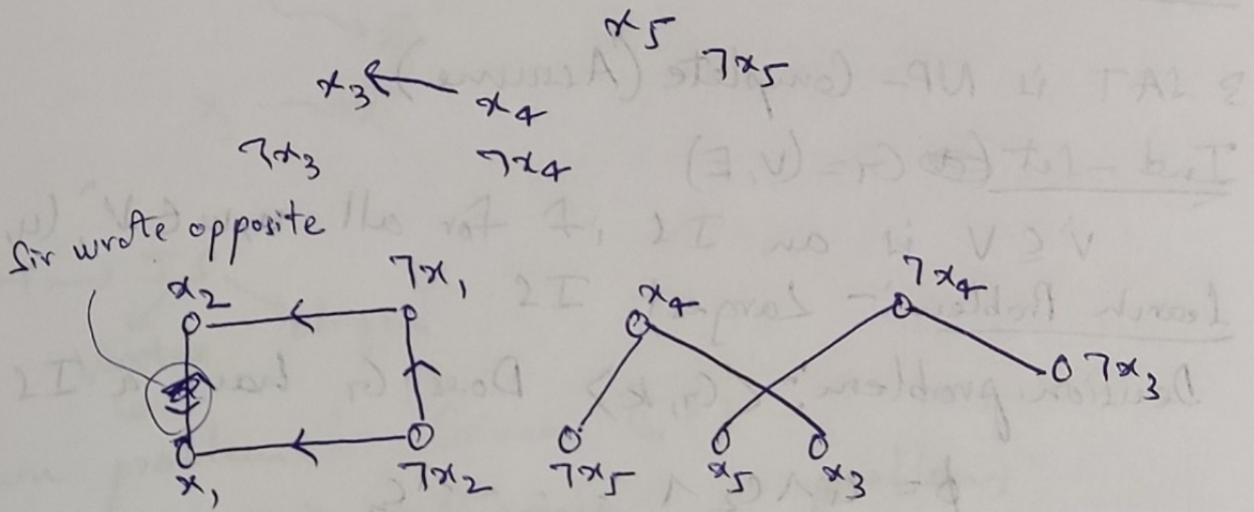
$$(\bar{x}_1 \vee \bar{x}_2) \wedge (x_5 \vee x_4) \wedge (\bar{x}_4 \vee x_3) \wedge (\bar{x}_2 \vee \bar{x}_1)$$

TA not  $\Rightarrow$   $\neg V \neg p \Leftarrow q$  substitutability

clauses

minimally satisfiable

$\bar{x}_1 \leftarrow \bar{x}_2$



Claim :- formula is unsatisfiable  $\Leftrightarrow$  there is some  $x$  with a path from  $x \rightarrow \bar{x}$  or  $\bar{x} \rightarrow x$ .

$$\text{pf: } \Leftarrow$$

$$x \rightarrow \bar{x} \text{ or } \bar{x} \rightarrow x$$

$\Rightarrow$  There is no  $x \not\rightarrow \bar{x}$  (or)  $\bar{x} \not\rightarrow x$

$$x = T$$

- Assign T to all variable ~~reducible~~ reachable from  $x$
- Assign negation of those variables false

While this algo identifies  $p \rightarrow q$  for 2-SAT, it identifies  $p \rightarrow q \vee r$  for 3-SAT.

### Search vs Decision

3-SAT is NP-Complete (Assume)

Ind-set  $\Leftrightarrow G = (V, E)$

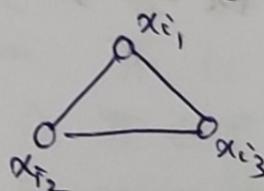
$V \subseteq V$  is an IS if for all  $u, w \in V$ ,  $(u, w) \notin E$

Search Problem :- Largest IS.

Decision problem :-  $\langle G, k \rangle$  Does  $G$  have a IS of size  $\leq k$ ?

$$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_n$$

$$C_i = (x_{i1} \vee x_{i2} \vee x_{i3})$$



Vertex Cover :-  $G = (V, E)$

$V \subseteq V$  is a vertex cover.

$(u, v) \in E \Rightarrow$  either  $u \in V$  or  $v \in V$

$\langle G, k \rangle$  - Does  $G$  have a vertex cover of size  $\leq k$ ?

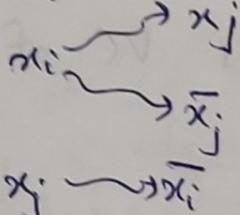
Soln :-  $V^c$  where  $V$  is the IS.

If  $V' \subseteq V$  is an IS of size  $k$ , then  $V \setminus V'$  is a vertex cover of size  $n-k$

Clique :-  $G_t = (V, E)$   
 $V' \subseteq V$  is a clique for all  $u, v \in V; (u, v) \in E$

The clique of  $G_t$  is a MIS of  $G^T$ .  
In Michael Sipser, Theory of Computation :-

→ If  $x_i \rightarrow \bar{x}_i$  &  $\bar{x}_i \rightarrow x_i$  can't be there  
→ Pick a literal  $x_i \Rightarrow$  there is no  $x_i \rightarrow \bar{x}_i$ .



3SAT  $\rightarrow$  IS  
↓  
Vertex Cover

Subset sum problem :- Given  $(S, t)$

Special instances of NP-complete problems

1) WIS in a path.  
in a tree - ?

2) Heuristic :- Algorithms which are not always correct.  
- Karger's algo

3) TSP :-  $n! \approx n^n$

Exact algo for VC

If :-  $G_t = (V, E)$  - undirected

Q :- Is there a VC of size  $\leq k$

Star graph - 1

Complete graph  $K_n - n-2$

## Substructure Lemma

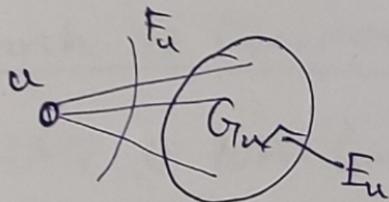
$G_i, (u, v) \in E, k \geq 1$

$G_{uv} = G_i$  with  $u$  & its neighbouring edges removed

$G_v =$

$G_i$  has a VC of size  $k \Leftrightarrow G_{uv}$  &  $G_v$  (or) both have a VC of size  $k-1$ .

Pf: ( $\Leftarrow$ )  $G_{uv}$   $\vdash$  VC of size  $k-1$



$S \setminus \{u\}$  is VC of  $G_i$  of size  $k$

( $\Rightarrow$ )  $S$  is a VC of  $G_i$  of size  $k$   $u \notin S \setminus \{u\}$   
 $(u, v) \in E$

## Search Algo:

$G_i = (V, E), k, |V| \geq 2, k \geq 2$

For all edges:

remaining cases  
are base cases

- 1. Pick an arbitrary  $(u, v) \in E$
- 2. Recursively search a VC of size  $k-1$  in  $G_{uv}$
- 3. "
- 4. Both FAIL, No VC of size "k".

Corrections:- Induction + substructure lemma

07/01/23

NP-completeness -  
Special tractable sub classes

1. Heuristic
2. Exact exponential time algo (better than bruteforce)
3.  $VC = O(2^{km})$
4.  $TSP = O(2^n n^2)$

IP :- complete undirected graph, non-ve edge costs  
IP :- min-cost tour.

$L_{ij}$  = length of SP from 1 to j that uses  
exactly i edges and no repeated vertices  
if  $i \in \{0, 1, \dots, m\}$ ,  $j \in \{1, 2, \dots, n\}$

Recurrence

$$L_{ij} = \min_{k \neq i, j} \{ L_{ik} + c_{kj} \}$$

$S \subseteq \{1, 2, \dots, n\}$  (that contains 1 w/ j)

$j \in \{1, 2, \dots, n\}$

$L_{ij} = \min$  length of a path from 1 to j that visits  
precisely the vertices of  $S$  (exactly once)

$$L_{ij} = \min_{k \in S} \{ L_{S - \{i\}, k} + c_{kj} \} \quad - \text{size of subproblem } |S|$$

$A$  = 2-d array, index by subsets  $S \subseteq \{1, 2, \dots, n\}$  that  
contains w/ 1 w/ destinations  $j \in \{1, \dots, n\}$

$$A[\{ \}, 1] = \begin{cases} 0 & \text{if } \{ \} = \{ \} \\ \dots \end{cases}$$

for  $m = 2, 3, \dots, n$  [ $m = \text{subprob size}$ ]

For each set  $S \subseteq \{1, 2, \dots, n\}$  of size  $m$  that ends in  $i$

For each  $j \in S, j \neq i$

$$A[S, j] = \min_{\substack{k \in S \\ k \neq j}} \{ A[S - \{j\}, k] + C_{k,j} \}$$

Return  $\min_{j=1 \dots n} \{ AP[\{1, 2, \dots, n\}, j] + G_{j,1} \}$

$O(n \cdot 2^n) \cdot O(n)$  work per subproblem

$\underbrace{T}_{\substack{\text{choice} \\ \text{of } T}} \quad \underbrace{S}_{\substack{\text{choice} \\ \text{of } S}}$

Knapsack :-

-  $O(nW)$

greedy heuristic -  $O(n \log n)$

1) Sort and render item so that

$$\frac{v_1}{w_1} \geq \frac{v_2}{w_2} \geq \dots \geq \frac{v_n}{w_n}$$

- 2) Pick items in this order until one doesn't fit.
- 3) Return either step 2's soln (or) the max<sub>val</sub> valuable item, whichever is better. that fits.

The value of the 3-step greedy soln is always  $\geq 50\%$  value of optimal soln.

27/9/23  
Thu:-

Value of 3-step greedy  $\geq \underline{50\%}$  value of an optimal sol<sup>n</sup>

$$F \geq OPT$$

1	4	CS
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1	80% of 2
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Value of 3-step greedy  $\geq$  total value of the 1<sup>st</sup> k items

$\geq$  Value of the (k+1)<sup>th</sup> item

2 (Value of 3-step greedy)  $\geq$  total value of 1<sup>st</sup> (k+1) items

$\geq$  total value of greedy fractional sol<sup>n</sup>

$\geq$  optimal knapsack problem

i)  $W = 1000$   
 $v_1 = 502$     $v_2 = w_2 = 500$   
 $w_1 = 501$     $v_3 = w_3 = 500$

Optimal :-  $V = 1000$   $W = 1000$

Greedy :-  $V = 502$   $W = 501$

Suppose every item i has size  $w_i \leq 10\%$  of W

Value of 2-step greedy  $\geq 90\%$  value of an optimal sol<sup>n</sup>

↓

90% of value of greedy fractional sol<sup>n</sup>

$$\max_i w_i \leq \delta W$$

then 2-step greedy value  $\geq (1 - \delta)$  optimal

Arbitrarily good approx'.

$\epsilon > 0$  (user-specified)

Guarantee  $(1 - \epsilon)$ -approximat<sup>n</sup>

Running time (v) accuracy

$O(n^2 V_{\max})$  time dp

$$V_{\max} = \max_i \{v_i\}$$

$O(nW)$

$s_{i,x} = \min$  total size needed to achieve the value  $\geq x$  using the 1st "i" items.

$$s_{i,x} = \min \begin{cases} s_{i-1,x} & i \text{ is not used in} \\ & \text{the opt soln} \\ w_i + s_{i-1,x-v_i} & \end{cases}$$

Return the largest  $x$  such that

$$A[n, x] \leq w \leftarrow O(n^2 V_{\max})$$

1.  $v_i^* = \left\lfloor \frac{v_i}{m} \right\rfloor$  for every item  $i$

2. Compute optimal soln wrt  $\hat{v}_i$  using the dynamic prog algo.

$$m\hat{v}_i \in [v_i - m, v_i]$$

$$v_i \geq m\hat{v}_i \geq v_i - m$$

① ②

$s^* = \text{opt. soln to the original soln}$

$s = \text{our heuristic soln (optimal for } \hat{v}_i's \text{)}$

$$\sum_{i \in S} \hat{v}_i \geq \sum_{i \in S'} \hat{v}_i \rightarrow ③$$

$$④ \sum_{i \in S} v_i \geq m \sum_{i \in S} \hat{v}_i \geq m \sum_{i \in S} \hat{v}_i \geq \sum_{i \in S} (v_i - w)$$

$$\sum_{i \in S} v_i \geq \sum_{i \in S} v_i - mw(m-1)$$

$$\text{Constraint: } \sum_{i \in S} v_i \geq (1 - \epsilon) \sum_{i \in T} v_i$$

$$v_H \leq \epsilon \sum_{i \in T} v_i$$

$$\boxed{m = \frac{\epsilon v_{\max}}{n}}$$