

Classes of Problems

recursive / decidable

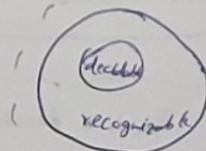
r.e. / T-recognizable

Turing machines — countable

languages — uncountable

} Hence \exists languages that

are not T-recognizable



We have ordering w_1, w_2, \dots of Σ^*
" " of all r.e. languages

$$L = \{ \langle M_i \rangle \mid M_i \text{ does not accept } \langle M_i \rangle \}$$

P.t. \nexists an M_i s.t. $L = L(M_i)$

Suppose $\exists \langle M \rangle$ s.t. $L = L(M)$

Does $\langle M \rangle \in L(M) = L$ \rightarrow contradiction

• let $L_d = \{ \langle M \rangle \mid \langle M \rangle \notin L(M) \}$ \leftarrow Notation in Hopcroft-Ullman

Thm L_d is not r.e.

M_{L_d}

Is L_d r.e.? Yes $\langle M_{L_d} \rangle$

Proof:

$$\overline{L_d} = \{ \langle M \rangle \mid \langle M \rangle \in L(M) \}$$

| if L is recursive $\Rightarrow \overline{L}$ is recursive
Q.E.D.

Proof??

iterate over all strings (in lexicographic order)

$\langle M_1 \rangle, \langle M_2 \rangle, \langle M_3 \rangle, \dots$

and output any that satisfy. Hence r.e.

$\langle M_1 \rangle$

$\langle M_2 \rangle$

$\langle M_3 \rangle$

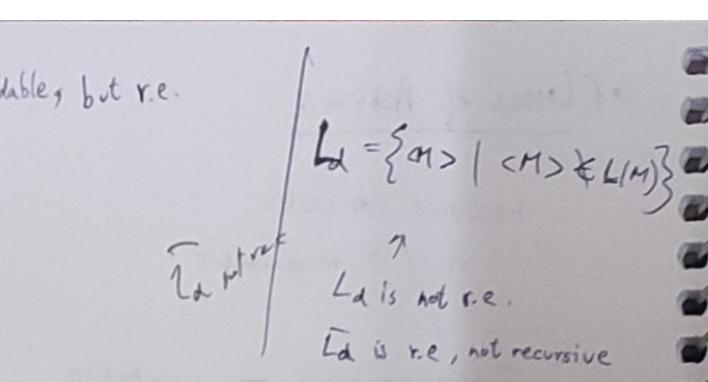
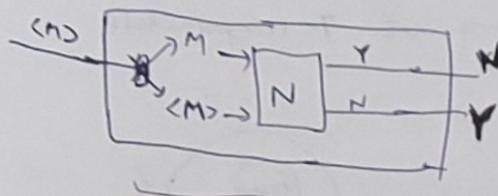
Wrong

- Show $L_u = \{ \langle M, w \rangle \mid w \in L(M) \}$ is undecidable, but r.e.

Idea: If $\langle M \rangle \in \overline{L}_d$,

$$\langle M, \langle M \rangle \rangle \in L_u$$

Suppose TM N decides L_u ,



reduction of L_d to L_u

This is a decider for L_d . But then contradiction. Hence \nexists such N .

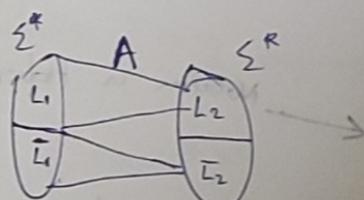
To show, L_u is r.e., ... (simulate N)

Then, for \overline{L}_u ↗ can't be recursive (\because then L_u would be recursive)

↗ can't be r.e. also (\because if L, \overline{L} are r.e., then both are recursive as well).

• Reductions

$$L_1 \leq L_2$$



$$\begin{array}{ccc} L_1 & & L_2 \\ \xrightarrow{x \in \Sigma^*} & \boxed{A} & \xrightarrow{A(x) \in \Sigma^*} \\ x \in L_1 & & A(x) \in L_2 \end{array}$$

many-to-one
reduction

Def: If A is algo.s.e. $x \in L_1 \iff A(x) \in L_2$
then A is a "reduction" of L_1 into L_2 .

So, $L_1 \leq L_2 \iff L_1$ can be reduced to L_2

Turning reduction

Algo to check if $x \in L$,

use subroutines like $x_1 \in L_2 ?$

$x_2 \in L_2 ?$

$$\bullet L_e = \{ \langle M \rangle \mid L(M) = \emptyset \}$$

← need to show \overline{L}_e is r.e., not recursive

L_e is not recursive

$$L_{ne} = \overline{L}_e = \{ \langle M \rangle \mid L(M) \neq \emptyset \}$$

Idea: \overline{L}_e is r.e. - coz we can simulate M on all strings w_1, w_2, \dots ← (in a clocked way)

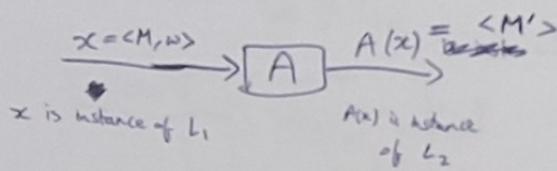
until any 1 string accepts

↓
using the

pair generator (deterministic)

To show for L_{ne} is not recursive,

$$\text{we show } L_u \leq L_{ne}$$



where $\langle M, w \rangle \in L_u \iff \langle M' \rangle \in L_{ne}$

$$\Rightarrow w \in L(M) \iff L(M') \neq \emptyset$$

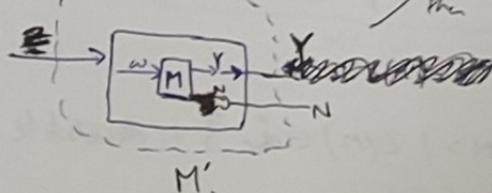
To construct this ↑,

given $\langle w, M \rangle$,

we need a machine ~~that accepts~~ some $\langle M' \rangle$

$$w \in L(M) \Rightarrow L(M') \neq \emptyset$$

$$w \notin L(M) \Rightarrow L(M') = \emptyset$$



Partial Derivations

$$\bullet L_{rec} = \{ \langle M \rangle \mid L(M) \text{ is recursive} \}$$

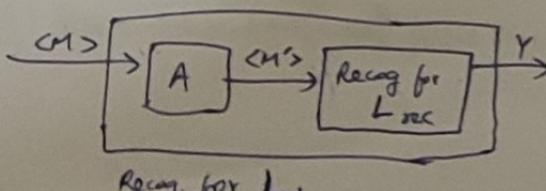
L_{rec} is also not r.e.

↑ this is not decidable, not r.e. even

Idea:

→ ~~the idea is to use a recognizer A that has got for L_{rec} is also r.e. if it's not rejected.~~

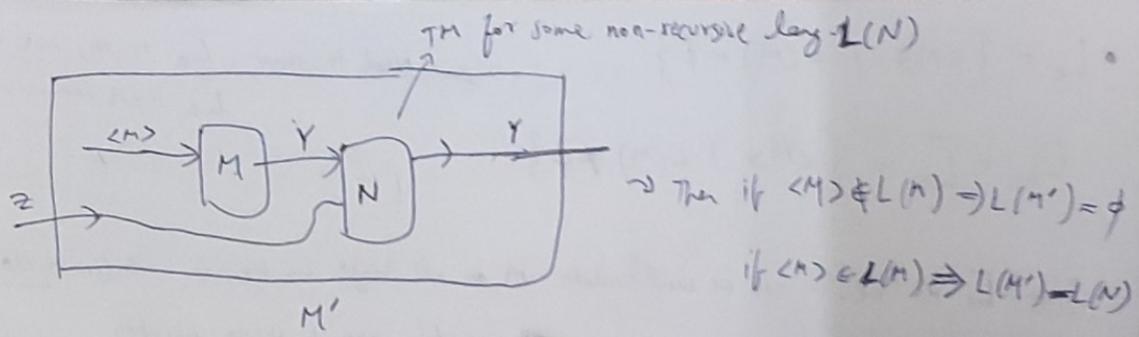
$$\text{so, } L_d \leq L_{rec}$$



if $\langle M \rangle \notin L(M) \Rightarrow L(M') \text{ is recursive}$

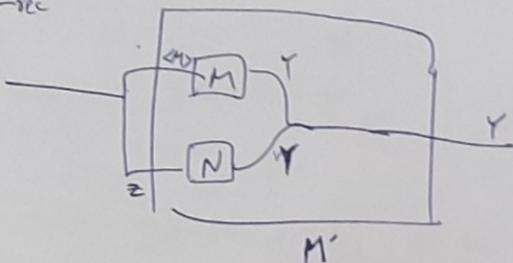
if $\langle M \rangle \in L(M) \Rightarrow L(M') \text{ is not recursive}$

Then,



for $\overline{L}_{\text{rec}}$,

to show $\overline{L}_{\text{rec}}$



- $L = \{\langle M \rangle \mid L(M) \in \mathcal{L}\}$

can we this as definition of r.e. lang

\mathcal{L} ~~any~~ subset of r.e. langs (that may satisfy a "property")

$$\begin{aligned} \mathcal{L} &= \emptyset \\ \mathcal{L} &= \text{set of all r.e. langs} \end{aligned} \quad \left. \begin{array}{l} \text{trivial properties} \\ \text{r.e.} \end{array} \right\}$$

So, property \mathcal{L} is decidable if $L = \{\langle M \rangle \mid L(M) \in \mathcal{L}\}$ is decidable

$$\underline{\mathcal{L}} = \{\langle M \rangle \mid M \text{ has 5 states}\}$$

So not a property of langs.

$$\begin{matrix} \text{But } L(M) = L(M') \\ \uparrow \qquad \uparrow \\ \text{5 states} \qquad \text{6 states} \end{matrix}$$

But property of machines.

So, these kinds of properties we don't consider.

• Rice's Theorem

Every non-trivial property of r.e. langs is undecidable.

Proof:

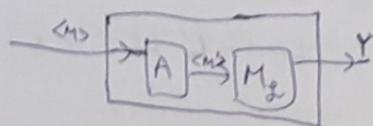
Suppose L is not trivial property

Wlog, assume $\phi \notin L$

Suppose \exists a decider M_2 which decides $\not\in L_2$

Then to show $L_{ne} \leq L_2$

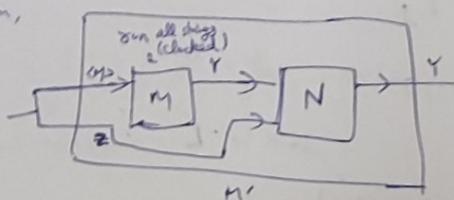
$$(L_{ne} = \{ \langle M \rangle \mid L(M) \neq \phi \})$$



We need, $\not\Rightarrow L(M) \neq \phi \Rightarrow L(M') \in L$
 $L(M) = \phi \Rightarrow L(M') \notin L$

$$N, L(N) \in L$$

Then,



$$L_2 = \{ \langle M \rangle \mid L(M) \in L \}$$

Property L (of r.e. langs) is set of relangs

When is L_2 not r.e.?

Then

L_2 is r.e., ~~iff~~ iff

① $L \in L$ and L' is a r.e. lang st. $L' \supset L \Rightarrow L' \in L$

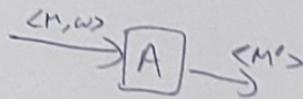
② $L \in L$, Finite $L' \subset L$ st. $L' \in L$

③ ~~exists a decider~~ ^{The set of} finite language in L is enumerable. (i.e. Enumerator that enumerates all finite langs in L)

Proof of ①,

assume ① is false. Then claim: $L_u \leq L_2$

So,

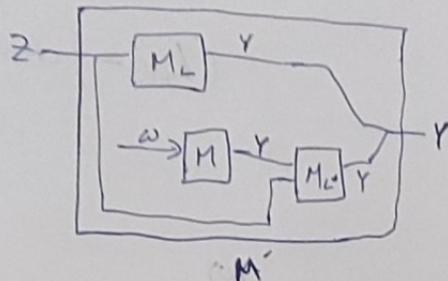


we need,

$$w \in L(M) \Rightarrow L(M') \in \mathcal{L}$$

$$w \notin L(M) \Rightarrow L(M') \notin \mathcal{L}$$

??



Then, $L(M) = \mathcal{L}$ when $w \in L(M)$

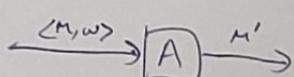
$L(M) = L'$ when $w \notin L(M)$

Proof of ②,

assume ② is false. Then claim: $L_u \leq L_2$

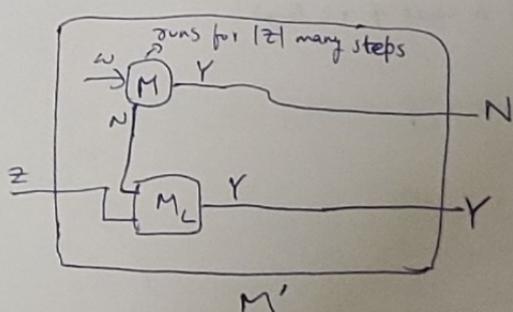
So, $L' \subset L$ and $L' \notin \mathcal{L}$
finite

So,



$$w \notin L(M) \Rightarrow L(M') \in \mathcal{L}$$

$$w \in L(M) \Rightarrow L(M') \notin \mathcal{L}$$



will be \mathcal{L}

will be finite subset of \mathcal{L}

$\{z \in \mathcal{L} \mid |z| \leq \text{steps for } w \text{ to accept on } M\}$

Proof of ③,

Idea: go over all " w_1, \dots, w_m " and construct

and verify that $L(M) \in \mathcal{L}$ ~~$L(M) = \{w_1, \dots, w_m\}$~~
(i.e. $\langle M \rangle \in L_2$)

will eventually output all finite lang in \mathcal{L}

Thm: ① and ② and ③ $\Rightarrow L_2$ is r.e.

Proof: Given $\langle M \rangle \in L_2$,

Run enumerator for finite langs (using ③)

for code = "w₁, ..., w_m"

Verify if $L(M) \supseteq \{w_1, \dots, w_m\}$ (i.e. M accepts w₁, ..., w_m)

existence of finite subset using ②

* if yes, accept $\langle M \rangle$

(using ①)

Also can have properties/langs based on machine,
like

$L = \{ \langle M, \omega \rangle \mid M \text{ rejects } 3 \text{ consecutive } 1's \text{ when input is } \omega \}$

↳ we can show $L_u \leq L$. hence L is undecidable

↳ we can have $\langle M', \omega \rangle$ s.t. $L(M') = L(M)$ but $\langle M', \omega \rangle$ is not in L.

Another ex:

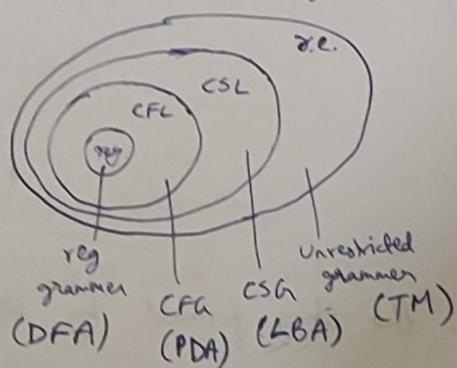
$L = \{ \langle M \rangle \mid \nexists M' \text{ s.t. } L(M) = L(M') \text{ s.t. } |\langle M \rangle| < |\langle M' \rangle| \}$

??: not recursive

• Classes of computability

Chomsky

Hierarchy



Context Sensitive Grammars

$$\beta A \gamma \rightarrow \beta \alpha \gamma$$

$$\beta' A \gamma' \rightarrow \beta' \alpha' \gamma'$$

Unrestricted Grammars

$$\alpha \rightarrow \beta$$

$$\alpha A b B \rightarrow \alpha C b b C$$

- CSL - equivalent to class of languages recognised by TM with linear space (Proof??.)

if input x,
use at most $|x|$ cells

equivalent to $O(|x|)$ cells (by changing alphabet)

} called a
Linear Bounded Automaton
(LBA)

If $|r| = k$,



the

$k^n | Q | n$

Total # of 'configurations' of the ZBA:

Hence a deterministic LBA will repeat (if long enough time)

50

$L = \{ \langle M, w \rangle \mid M \text{ is LBA which acc } w \}$ is decidable.

- $\text{DTIME}(f(n))$ = Class of all languages which are accepted by DTM with time complexity $f(n)$

Book

Using diagonalisation, we can show,

$\text{DTIME}(n^k) \subseteq \text{DTIME}(n^{k+1})$

← see legend

→ Post's Correspondence Principle (PCP)

Follow sipsen

乞

$$P = \{ (b, ca), (a, ab), (ca, a), (abc, c) \}$$

Finite set of elements from $(\Sigma^*)^2$

one instance
of a PIP problem

Problem

Above the pairs are files as such.

$$\left[\frac{b}{ca} \right] \quad \left[\frac{a}{ab} \right] \quad \left[\frac{ca}{a} \right] \quad \left[\frac{abc}{c} \right]$$

Then does there exist a seq. of tiles st. the string formed by the upper strings is same as that formed by concat of lower.

for ex -

$$\left[\frac{a}{ab} \right] \left[\frac{b}{ca} \right] \left[\frac{c}{a} \right] \left[\frac{a}{ab} \right] \left[\frac{abc}{c} \right] = \dots \text{abcancelabc} \quad \text{and} \\ \dots \text{abcancelabc} \quad \text{and}$$

Hence, Mo is a yes instance.

Thm: PCP problem is undecidable.

Define PCP = set of all ^{yes} instances

$$\text{formally} = \{ \langle P \rangle \mid P \text{ is yes instance} \}$$

Proof:

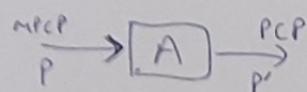
* Modified PCP

extra restriction that $\left[\frac{u_i}{v_i} \right] = \left[\frac{t_i}{x_i} \right]$

→ first tile

Idea: If $L_n \leq \text{MPCP} \leq \text{PCP}$, then PCP is undecidable

For MPCP $\leq \text{PCP}$,



Introduce new character \star in Σ ,

Then, if $P = \left\{ \left[\frac{t_1}{x_1} \right], \dots, \left[\frac{t_m}{x_m} \right] \right\}$

$P' = \left\{ \left[\frac{\star \bar{t}_1}{\star \bar{x}_1 \star} \right], \dots, \left[\frac{\star \bar{t}_i}{\bar{x}_i \star} \right], \dots, \left[\frac{\star}{\epsilon} \right] \right\}$ where $x = a_1 \dots a_k$
 $\bar{x} = a_1 \star a_2 \dots \star a_k$

Clearly, P is MPCP yes iff P' is PCP yes.

For $L_n \leq \text{MPCP}$,

if $w = a_1 \dots a_n$
let $ID_0 = q_0 a_1 \dots a_n$ ← computation history for a LBA
 $ID_0 \# ID_1 \# \dots \# ID_t$

$$\delta(q_0, a_1) = (q_1, b, R)$$

$$\# \{ q_0 a_1 | a_2 \dots \# \} \quad \# q_0 a_1 \dots a_{n-1} \# T b, a_1, | a_2 \dots a_n \#$$

$$ID_1 = b, q_1 a_2 \dots a_n$$

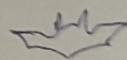
$$\left[\begin{matrix} q_0 & a_1 \\ b & a_1 \end{matrix} \right], \left[\begin{matrix} a_1 \\ a_1 \end{matrix} \right], \left[\begin{matrix} \# \\ \# \end{matrix} \right]$$

$ID_0 \# \dots \# ID_{k-1} \#$

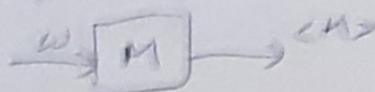
$ID_0 \# \dots \# ID_{k-1} \# \sqcup$



- Proof that "CFL ambiguous" is not decidable (MISSED)



• Self-enumeration is possible



$\Leftarrow \underline{\text{SELF}}$ value (step)

Here B is

~~ignore first~~

first digit

The infinite set becomes the first
(E))

In English <AB>

English equivalent \rightarrow first 2 of them, second is greater:
"first 2
- greater" } "and so"

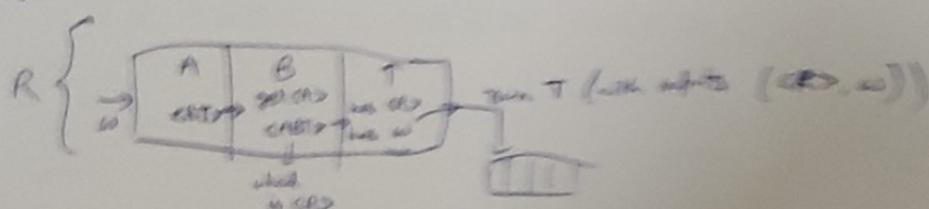
• Recursion Thm: If $t : \Sigma^* \times \Sigma^* \rightarrow \Sigma^*$ is a computable func., then \exists TM R s.t.

$$t(\langle R \rangle, \omega) = \tau(\omega) + \omega$$

that τ is the solution
by TM R

Can be used to perform a task
like, "get my self-description <R>" for
any arbitrary TM R.

Proof:



$$L = \{ \langle M \rangle \mid \exists M' \text{ s.t. } L(M') = L(M) \text{ and } |\langle M' \rangle| < |\langle M \rangle| \}$$

Thm: L is not r.e.

↙ long of
all minimal
TMs.

Proof:

Assume r.e. \exists enumeration for L . (say E)

Then let M : (input w)

obtain $\langle M \rangle$

Run enumerator E to get a TM M' s.t. $|\langle M' \rangle| > |\langle M \rangle|$

Simulate M' on w and print $M'(w)$



Notice $L(M) = L(M')$

But we chose M' which appears in E .

but $|\langle M' \rangle| > |\langle M \rangle|$.

Contradiction.

- Let $\sigma \in$ total rec. func

\exists TM M st. func computed by M and $\sigma(\langle M \rangle)$ are same.

Starting complexity theory

• Complexity class P

$\text{DSPACE}(f(n)) \rightarrow$ class of langs accepted by DTM
with space complexity $f(n)$

Similarly, $\text{DTIME}(\cdot)$

$\text{NSPACE}(\cdot)$ } for NTM's
 $\text{NTIME}(\cdot)$

For class \mathcal{C} , $\text{co-}\mathcal{C} = \{ \bar{L} \mid L \in \mathcal{C} \}$

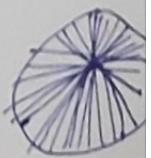
• Fix const $c < 1$,

if L is accepted by a DTM with time com. $f(n)$,

then \exists a DTM with time com. $c f(n)$ which also accepts L .

Thm

$$\text{DTIME}(n^k) \subseteq \text{DTIME}(n^{k+1})$$



Proof ??

Def^b

$$P = \bigcup_{k \in \mathbb{N}} \text{DTIME}(n^k), \quad \text{PSPACE} = \bigcup_{k \geq 0} \text{DSPACE}(n^k)$$

And

$$P \subset \text{PSPACE} \subset \text{EXPTIME} \subset \text{EXPSPACE} \dots$$

$O(f(n)) \leq O(2^{f(n)})$
↓
space time

$$L_{d,LBA} = \{ \langle M \rangle \mid M \text{ is LBA s.t. } L(M) \neq \langle M \rangle \}$$

$$L_{d,n^k} = \{ \langle M \rangle \mid M \text{ is TM with time com. } n^k \text{ s.t. } L(M) \neq \langle M \rangle \}$$

1) Can be construct a machine with time com. n^{k+1} that accepts P_L .
It runs for at most n^k steps with each step needing n^k time to read the input.

Wrt time & space com., DTM and NTM are diff.

?

$$\text{NTIME}(t(n)) \subseteq \text{DTIME}(2^{\text{poly}})$$

Defⁿ

$$\text{NP} = \bigcup_{k \geq 0} \text{NTIME}(n^k) \quad \text{NPSPACE} = \bigcup_{k \geq 0} \text{NSPACE}(n^k)$$

?

Def: If \exists a polytime algo s.t. $x \in L' \iff A(x) \in L$,
then $L' \leq_m^p L$

Thm: • Suppose $L' \leq_m^p L$, then

$$L \in P \Rightarrow L' \in P$$

• Suppose $L' \leq_m^p L$, then

$$L \in NP \Rightarrow L' \in NP$$

- Q - whether $P = NP$?

- Def^a: L is NP-Hard if $\forall L' \in NP, L' \leq_m^b L$
(class of 'hardest' problems in NP)
- Def^b: L is NP-complete if L is NP-Hard & $L \in NP$.

→ Cook's thm -Levin
 ↳ SAT is NP-complete.
set of all satisfiable boolean formula

Proof: For some $L \in NP$, we must show $L \leq_m^b SAT$