

# CSCI203

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Week 7 – Lecture B

# Looking at Sorting (Again)

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- ▶ We have already seen a number of sorting algorithms with varying efficiency.
  - ▶ Insertion Sort:
  - ▶ Selection Sort:
  - ▶ Bubble Sort:
    - ▶ All  $O(n^2)$
  - ▶ Quick Sort:
  - ▶ Heap Sort:
  - ▶ Merge Sort:
    - ▶ All  $O(n \log n)$
- ▶ Can we do better than  $O(n \log n)$  operations?



# Linear Time Sorting?

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- ▶ What if we could sort  $n$  items in  $O(n)$ ?
- ▶ We can!
- ▶ Sometimes...
- ▶ ...provided the keys we wish to sort satisfy certain conditions:
  - ▶ The keys are integers;
  - ▶ Each key fits in a single word of memory;
  - ▶ All keys are smaller than some upper bound,  $k$ ;
  - ▶  $k$  satisfies a specific relationship to  $n$ .
    - ▶ (To be revealed later).

# Counting Sort

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# Counting Sort

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- ▶ Given these conditions we can sort our array as follows:

- ▶ **key:** array[1..n] of integer

- a:** array[1..k] of integer

- for i in 1..k

- a[i]=0

- rof

- for i in 1..n

- a[key[i]]++

- rof

- for i in 1..k

- for j in 1..a[i]

- print i

- rof

- rof

# Counting Sort

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- ▶ This algorithm takes:
  - ▶  $O(k)$  operations to initialize the array;
  - ▶  $O(n)$  operations to store the keys;
  - ▶  $O(n+k)$  operations to output the result.
- ▶ Provided  $k \in O(n)$  this algorithm is also in  $O(n)$ .
- ▶ You might object that, if there is data associated with each key, it has been lost.
- ▶ You would be right!



# Counting Sort Improved.

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- ▶ We can address this objection by replacing our integer array with an array of lists.

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▶ key: array[1..n] of integer
  a: array[1..k] of list[]
  for i in 1..k
    a[i]=[]
  rof
  for i in 1..n
    append data to a[key[i]]
  rof
  for i in 1..k
    for j in 1..length[a[i]]
      print i,a[i][j]
    rof
  rof
```

- ▶ Now, we have retained the data associated with each key.
  - ▶ In the order they appeared in the original data set.

# Counting Sort

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- ▶ This, revised, version of counting sort is still  $O(n+k)$ .
  - ▶  $O(n)$  if  $k \in O(n)$ .
- ▶ If  $k \notin O(n)$  this will not be the case.
- ▶ If  $k \gg n$  the algorithm is  $O(k)$ .
- ▶ Can we find a way to sort  $n$  integers in  $O(n)$  time even if  $k$  is much larger than  $n$ ?
- ▶ Yes—provided  $k = n^{O(1)}$ .
  - ▶ We say  $k$  is polynomial in  $n$ .



# Radix Sort

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# Radix Sort

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- ▶ Radix sort works by treating the integer keys as numbers in some arbitrary base,  $b$ .
- ▶ E.g.  $key=123$  decimal
  - ▶ = 1111011 base 2
  - ▶ = 173 base 8
  - ▶ = 7B base 16
  - ▶ = 443 base 5
  - ▶ = 78 base 17
  - ▶ etc.
- ▶ Note: if the maximum value of  $key$  is  $k$ , the number of digits in a key,  $d \leq \lceil \log_b k \rceil$
- ▶ We will sort our keys using the digits in our selected base in order, as follows...



# Radix Sort

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- ▶ Our algorithm is as follows:
  - ▶ sort the data by the least significant digit of the key
  - ▶ sort the data by the next least significant digit of the key
  - ▶ ...
  - ▶ sort the data by the most significant digit of the key
- ▶ We use counting sort for each of the sorts in the above sequence.
- ▶ Remember: counting sort preserves prior order.
  - ▶ We say counting sort is a *stable* sort.

# Radix Sort

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- ▶ We can analyse radix sort as follows:
  - ▶ At each step we perform counting sort on  $n$  keys with  $k$  represented in base  $b$ .
  - ▶ Each sort is  $O(n+b)$ .
  - ▶ There are  $d$  sort steps, where  $d = \lceil \log_b k \rceil$
  - ▶ Thus, our algorithm is  $O((n+b) \cdot \log_b k)$
  - ▶ Now, if we set  $b=n$ , we get a complexity of  $O((n+n) \cdot \log_n k)$
  - ▶ If  $k$  is polynomial in  $n$ ,  $\log_n k$  is a constant...
  - ▶ ...and our algorithm is  $O(n)$ .



## An Example

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- ▶ Consider the following set of numbers:
- ▶ 467, 362, 753, 178, 610, 800, 250, 138, 708, 426, 692, 426, 187, 965, 346, 257, 684, 575, 350, 594,  $n=20$ .
- ▶ Let us set the base,  $b$ , to 10.
- ▶ We will sort them by each decimal digit from least to most significant:

Key	$d_2$	$d_1$	$d_0$
467	4	6	7
362	3	6	2
753	7	5	3
178	1	7	8
610	6	1	0
800	8	0	0
250	2	5	0
138	1	3	8
708	7	0	8
426	4	2	6
692	6	9	2
426	4	2	6
187	1	8	7
965	9	6	5
346	3	4	6
257	2	5	7
684	6	8	4
575	5	7	5
350	3	5	0
594	5	9	4

Sort on  $d_0$

Key	$d_2$	$d_1$	$d_0$
610	6	1	0
800	8	0	0
250	2	5	0
350	3	5	0
362	3	6	2
692	6	9	2
753	7	5	3
684	6	8	4
594	5	9	4
965	9	6	5
575	5	7	5
426	4	2	6
426	4	2	6
346	3	4	6
467	4	6	7
187	1	8	7
257	2	5	7
178	1	7	8
138	1	3	8
708	7	0	8



Key	d <sub>2</sub>	d <sub>1</sub>	d <sub>0</sub>
610	6	1	0
800	8	0	0
250	2	5	0
350	3	5	0
362	3	6	2
692	6	9	2
753	7	5	3
684	6	8	4
594	5	9	4
965	9	6	5
575	5	7	5
426	4	2	6
426	4	2	6
346	3	4	6
467	4	6	7
187	1	8	7
257	2	5	7
178	1	7	8
138	1	3	8
708	7	0	8

Now sort on d<sub>1</sub>

Key	d <sub>2</sub>	d <sub>1</sub>	d <sub>0</sub>
800	8	0	0
708	7	0	8
610	6	1	0
426	4	2	6
426	4	2	6
138	1	3	8
346	3	4	6
250	2	5	0
350	3	5	0
753	7	5	3
257	2	5	7
362	3	6	2
965	9	6	5
467	4	6	7
575	5	7	5
178	1	7	8
684	6	8	4
187	1	8	7
692	6	9	2
594	5	9	4

Key	$d_2$	$d_1$	$d_0$
800	8	0	0
708	7	0	8
610	6	1	0
426	4	2	6
426	4	2	6
138	1	3	8
346	3	4	6
250	2	5	0
350	3	5	0
753	7	5	3
257	2	5	7
362	3	6	2
965	9	6	5
467	4	6	7
575	5	7	5
178	1	7	8
684	6	8	4
187	1	8	7
692	6	9	2
594	5	9	4

Finally, sort on  $d_2$

Key	$d_2$	$d_1$	$d_0$
138	1	3	8
178	1	7	8
187	1	8	7
250	2	5	0
257	2	5	7
346	3	4	6
350	3	5	0
362	3	6	2
426	4	2	6
426	4	2	6
467	4	6	7
575	5	7	5
594	5	9	4
610	6	1	0
684	6	8	4
692	6	9	2
708	7	0	8
753	7	5	3
800	8	0	0
965	9	6	5

And we are done!



# Analysis of Radix Sort

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# Analysis

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- ▶ Although radix sort is  $O(n)$ , we cannot immediately conclude that it will be faster than an order  $n \log n$  sort such as merge sort.
- ▶ The critical issue is the size of  $\log_2 n$  compared to  $\log_n k$ .
- ▶ If we assume 64-bit integers  $k = 2^{64}$ .
- ▶ Let us look at the relationship for different values of  $n$ .



# Analysis

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- ▶ The following table compares  $\log_2 n$  with  $\log_n k$ :

$n$	$\log_2 n$	$\log_n k$
10	3.32	19.27
100	6.64	9.63
1,000	9.97	6.42
10,000	13.29	4.82
100,000	16.61	3.85
1,000,000	19.93	3.21

- ▶ As you can see, radix sort wins as soon as  $n$  is somewhere between one hundred and one thousand.
- ▶ In fact, the break-even point is  $n=256$ .
- ▶ For 32-bit integers break-even is  $n=51$ .