

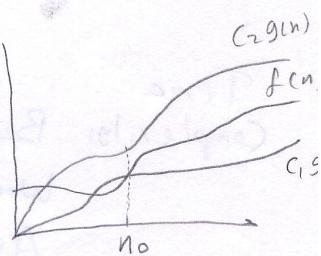
Summary 1

- Algorithm: any well defined computational procedure that takes input and returns output.
 - Algorithm analysis:
 - Worst-case : $\text{Max}(T(n))$
 - Best-case : $\text{Min}(T(n))$
 - Avg-case:
 - Approx. to worst if best-case is exception.
 - Approx to best if worst-case is exception
- } Depends on the Input

- Order of Growth: Highest order term represents order of growth, neglect the constants.

$$\text{e.g. } \frac{2n+16}{n}, \frac{n^2+n+26}{n^2}, \frac{n^2+n\log n}{n^2}$$

- Theta (Θ): $f(n) = \Theta(g(n))$, c_1, c_2, n_0 +ve const.s s.t.
 $c_1 g(n) \leq f(n) \leq c_2 g(n)$ for all $n \geq n_0$
 $g(n)$ is an asymptotically tight bound for $f(n)$



Big O: asymptotic upper bound

$$f(n) = O(g(n)), c, n_0 \text{ +ve const.s s.t. } 0 \leq f(n) \leq c g(n) \text{ for all } n \geq n_0$$

May be tight or not tight

Big - Omega: asymptotic lower bound

$$f(n) = \Omega(g(n)), c, n_0 \text{ +ve const.s s.t. } 0 \leq c g(n) \leq f(n) \text{ for all } n \geq n_0$$

little-o: not tight (similar to Big-O but not tight)

little-omega: similar to Ω but not tight.

Recurrences

(2)

① Substitution method

Guess \rightarrow Prove (math. induction)

$$\text{Ex: } T(n) = 2T(\lfloor n/2 \rfloor) + n$$

- Guess: $O(n \lg n)$

- Prove, $T(n) \leq cn \lg n$, $c > 0$

→ Assume valid for $m \leq n$, in particular $m = \lfloor n/2 \rfloor$

$$\rightarrow T(\lfloor n/2 \rfloor) \leq c \lfloor n/2 \rfloor \lg (\lfloor n/2 \rfloor)$$

→ Substitute in the original relation

$$\begin{aligned} T(n) &\leq 2 \left[c \lfloor n/2 \rfloor \lg (\lfloor n/2 \rfloor) \right] + n \\ &\leq c n \lg (\lfloor n/2 \rfloor) + n \\ &= c n \lg n - c n \lg 2 + n \\ &= c n \lg n - c n + n \\ &\leq c n \lg n \quad (c \geq 1) \end{aligned}$$

Remember:

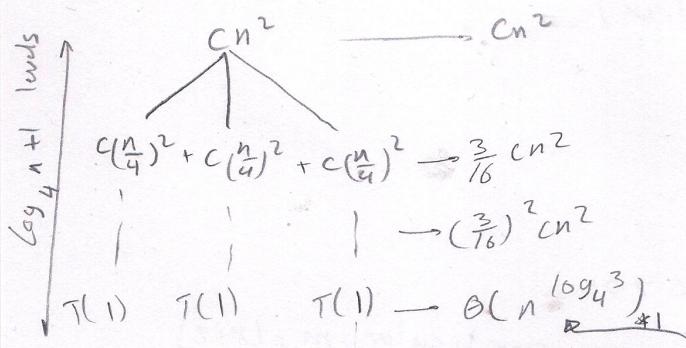
$$\sum_{i=1}^n i = \frac{n}{2} (a_1 + a_n)$$

$$\sum_{k=0}^n ar^k = \frac{a(1 - r^{n+1})}{1 - r}$$

$$S_a = \frac{a_1}{1 - r}, r < 1$$

(2) Recursion tree:

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$



$$\text{at depth } \log_4 n \rightarrow \# \text{nodes} = 3^{\log_4 n} = n^{\log_4 3}$$

$$T(n) = cn^2 + \frac{3}{16}cn^2 + \left(\frac{3}{16}\right)^2 cn^2 + \dots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + \Theta(n^{\log_4 3})$$

$$\leq \sum_{i=0}^{\log_4 n - 1} cn^2 \left(\frac{3}{16}\right)^i + \Theta(n^{\log_4 3}) = \frac{\left(\frac{3}{16}\right)^{\log_4 n} - 1}{\left(\frac{3}{16}\right) - 1} cn^2 + \Theta(n^{\log_4 3})$$

Simplify

$$< \sum_{i=0}^{\infty} cn^2 \left(\frac{3}{16}\right)^i + \Theta(n^{\log_4 3})$$

$$= \frac{1}{1 - \frac{3}{16}} cn^2 + \Theta(n^{\log_4 3}) = \frac{16}{13} cn^2 + \Theta(n^{\log_4 3}) = O(n^2)$$

Verify using substitution:

$$\text{Guess: } T(n) = O(n^2)$$

$$T(n) \leq dn^2$$

$$\text{Substitute: } T(n) \leq 3T(\lfloor n/4 \rfloor) + cn^2$$

$$\leq 3d(n/4)^2 + cn^2$$

$$\leq \frac{3}{16}dn^2 + cn^2$$

$$\leq dn^2 \quad \frac{3}{16}d + c \leq d$$

$$c \leq \frac{13}{16}d$$

$$(d \geq \frac{16}{13}c) \text{ condition}$$

Note: We omit floor and ceiling for simplicity

No. of every subproblem size = $\frac{n}{4^i}$

$$\text{ex } i=0 \quad \frac{n}{4^0} = n$$

$$i=1 \quad \frac{n}{4^1} = \frac{n}{4}$$

$$i=2 \quad \frac{n}{4^2}$$

Note: # of nodes @ depth $i = 3^i$

(3)

(3) Master method:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$a \geq 1 \quad b > 1 \quad \downarrow \quad \text{+ve function}$

Cases:

$$1. f(n) = O(n^{\log_b a - \epsilon}) \text{ for } \epsilon > 0, T(n) = \Theta(n^{\log_b a})$$

$$2. f(n) = \Theta(n^{\log_b a}), T(n) = \Theta(n^{\log_b a} \lg n)$$

$$3. f(n) = \Omega(n^{\log_b a + \epsilon}) \text{ for } \epsilon > 0 \text{ and}$$

$$a f\left(\frac{n}{b}\right) \leq c f(n), c < 1 \text{ and all large } n$$

$$T(n) = \Theta(f(n))$$

$$\text{Ex: } T(n) = 9T\left(\frac{n}{3}\right) + n$$

$a = 9 \quad b = 3 \quad f(n) = n = O(n^{\log_3 9 - 1}) \Rightarrow \text{Case 1}$

$$T(n) = \Theta(n^{\log_3 9}) = \Theta(n^2)$$

- Master method proof consists of 2 parts:

1- Proof under assumption: n is exact power of b
by breaking the problem into 3 lemmas

2 Generalize part 1

Lemma 1 (4.2):

$a \geq 1, b > 1, f(n)$ func. defined on exact powers of b

$$T(n) = \begin{cases} \Theta(1) & n=1 \\ aT\left(\frac{n}{b}\right) + f(n) & n=b^i, i \text{ positive integer} \end{cases}$$

Then:

$$T(n) = \Theta(n^{\log_b a}) + \sum_{j=0}^{\log_b n - 1} a^j f\left(\frac{n}{b^j}\right)$$

Proof: (Proof): Use recursion-tree method as before

- Lemma 2 (4.3):

(5)

2

$a \geq 1, b > 1, f(n)$ non-negative defined on exact powers of 2

$$g(n) = \sum_{j=0}^{\log_b n-1} a^j f\left(\frac{n}{b^j}\right) \quad \text{from Lemma 1}$$

has

$$1. f(n) = O(n^{\log_b a - \epsilon}), \epsilon > 0 \Rightarrow g(n) = O(n^{\log_b a})$$

$$2. f(n) = \Theta(n^{\log_b a}) \Rightarrow g(n) = \Theta(n^{\log_b a} \lg n)$$

$$3. a f\left(\frac{n}{b}\right) \leq c f(n) \text{ for } c < 1 \text{ and all large } n \Rightarrow$$

$$g(n) = \Theta(f(n))$$

Proof:

$$\underline{\text{Case 1:}} \quad f(n) = O(n^{\log_b a - \epsilon}) \Rightarrow f\left(\frac{n}{b^j}\right) = O\left(\left(\frac{n}{b^j}\right)^{\log_b a - \epsilon}\right)$$

$$g(n) = O\left[\sum_{j=0}^{\log_b n-1} a^j \left(\frac{n}{b^j}\right)^{\log_b a - \epsilon}\right]$$

$$n^{\log_b a - \epsilon} \sum_{j=0}^{\log_b n-1} \left(\frac{a b^j}{b^{\log_b a}}\right)^j = n^{\log_b a - \epsilon} \sum_{j=0}^{\log_b n-1} (b^{\epsilon})^j$$

$$= n^{\log_b a - \epsilon} \left(\frac{b^{\epsilon} \log_b n}{b^{\epsilon} - 1} - 1 \right) = n^{\log_b a - \epsilon} \left[\frac{n^{\epsilon} - 1}{b^{\epsilon} - 1} \right] = O(n^{\log_b a})$$

$$\therefore g(n) = O(n^{\log_b a})$$

$$\underline{\text{Case 2:}} \quad f(n) = \Theta(n^{\log_b a}) \Rightarrow f\left(\frac{n}{b^j}\right) = \Theta\left(\left(\frac{n}{b^j}\right)^{\log_b a}\right)$$

$$g(n) = \Theta\left[\sum_{j=0}^{\log_b n-1} a^j \left(\frac{n}{b^j}\right)^{\log_b a}\right]$$

$$n^{\log_b a} \sum_{j=0}^{\log_b n-1} \left(\frac{a}{b^{\log_b a}}\right)^j = n^{\log_b a} \sum_{j=0}^{\log_b n-1} 1 = n^{\log_b a} \log_b n$$

$$\therefore g(n) = \Theta(n^{\log_b a} \log_b n) = \Theta(n^{\log_b a} \lg n)$$

$$\underline{\text{Case 3:}} \quad c > 1 \quad f(n) \geq a^j f\left(\frac{n}{b^j}\right)$$

$$\rightarrow g(n) \leq f(n) \left(\frac{1}{1-c}\right) + O(1) \\ = O(f(n))$$

Check
reference

Sorting

① Insertion Sort: Incremental approach

Code:
arr [1-indexed]

for $j=2 \rightarrow A.size$

Key = $A[j]$

// insert key in sorted position

$i=j-1$

while ($i > 0$ and $A[i] > Key$)

$A[i+1] = A[i]$ // shifting

$i--;$

$A[i+1] = Key$

Analysis:
cost times

$c_1 \cdot n$

$c_2 \cdot n-1$

$c_4 \cdot n-1$

$c_5 \cdot \sum_{j=2}^n t_j$

$c_6 \cdot \sum_{j=2}^n (t_j - 1)$

$c_7 \cdot t_j \leq n$

$c_8 \cdot n-1$

$$T(n) = \sum_i c_i \cdot \text{times}_i$$

Ex:

Time Complexity: Best-Case: Sorted $\Theta(n)$ [The while loop won't execute]

Worst-Case: Sorted in reverse $\Theta(n^2)$

Avg-Case: $t_j = \frac{j}{2}$ (half of the while loop check are true): $\Theta(n^2)$

Space Complexity: $\Theta(1)$ In-place sorting

(2) Merge Sort: Divide-and-Conquer:

- Divide: Divide an n -element sequence to be sorted into 2 subsequences of $\frac{n}{2}$ -elements
- Conquer: Sort the 2 subsequences recursively using merge sort.
- Combine: Combine subproblems solution into the original problem solution.

Code:

```
Merge(A, P, q, r)
```

$$n_1 = q - P + 1$$

$$n_2 = r - q$$

arrays $\leftarrow L[1 \dots n_1+1], R[1 \dots n_2+1]$

for $i = 1 \rightarrow n_1$
 $L[i] = A[P+i-1]$

for $j = 1 \rightarrow n_2$
 $R[j] = A[q+j]$

$$L[n_1+1] = R[n_2+1] = \infty$$

$$i = j = 1$$

for $k = P \rightarrow r$

if $L[i] \leq R[j]$

$$A[k] = L[i]$$

$i++$

else $A[k] = R[j]$

$j++$

Merge Sort(A, P, r)

if $P < r$

$$q = \lfloor (P+r)/2 \rfloor \quad // \text{Get midpoint}$$

Merge Sort(A, P, q) // 1st half

Merge Sort(A, q+1, r) // 2nd half

Merge(A, P, q, r) // Merge sorted halves

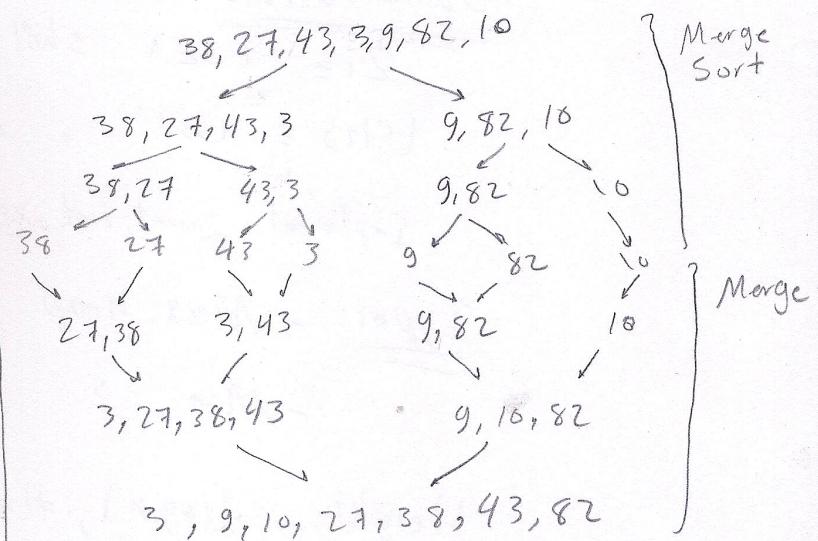
Merge Sort(A, 1, A.length); // Initial call

Space complexity: $\Theta(n)$, temp. space

L, R in merging.

Notes: Small $n \rightarrow$ Insertion sort
 Large $n \rightarrow$ Merge Sort

Ex



Analysis:

Divide: $\Theta(1) \rightarrow$ Compute middle

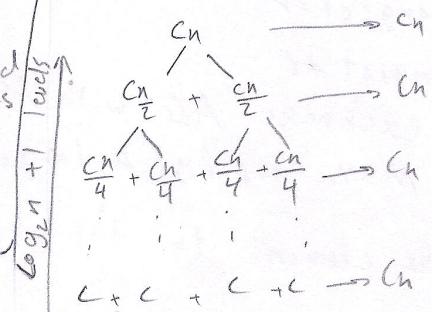
Conquer: $2T(\frac{n}{2}) \rightarrow$ Solve 2 subproblems of size $\frac{n}{2}$

Combine: $\Theta(n) \rightarrow$ Merging 2 subarrays $L, R, C(n) = \Theta(n)$

$$T(n) = \begin{cases} \Theta(1) & n=1 \\ 2T\left(\frac{n}{2}\right) + \Theta(n) & n>1 \end{cases}$$

$$= \begin{cases} C, & n=1 \\ 2T\left(\frac{n}{2}\right) + cn, & n>1 \end{cases}$$

where C is constant required to solve problem of size 1



$$\therefore \text{Total} = (\log_2 n + 1) cn = \underline{\underline{\Theta(n \log_2 n)}}$$

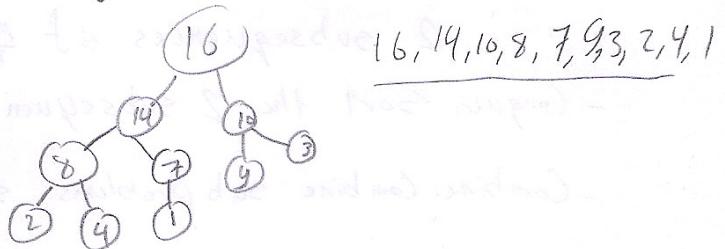
③ Heap Sort:

Heap - Data structure (e.g. array), every node has max 2 children

$$\text{Parent}(i) = \lfloor i/2 \rfloor$$

$$\text{left}(i) = 2i$$

$$\text{right}(i) = 2i+1$$



16, 14, 10, 8, 7, 9, 3, 2, 4, 1

Optimizations:

$2i \leq i < 1$ shift left

$\lfloor i/2 \rfloor \equiv i \gg 1$, right

Implement parent, left, right func as inline.

Types: - Max heap $A[\text{Parent}(i)] \geq A[i]$

- Min $\rightarrow A[\text{Parent}(i)] \leq A[i]$

Height: $\Theta(\log n)$, #nodes @ height $h = \lceil \frac{n}{2^{h+1}} \rceil$

Operations: $\Theta(\log n)$

Max-heapify (A, i):

$l = \text{left}(i)$

$r = \text{right}(i)$

if $l \leq A.\text{hsiz}$ and $A[l] > A[i]$

largest = l

else largest = i

if $r \leq A.\text{hsiz}$ and $A[r] > A[\text{largest}]$

largest = r

if largest $\neq i$

exchange $A[i]$ with $A[\text{largest}]$

Max-heapify ($A, \text{largest}$)

#Move the element to the right

Position

$\Theta(\log n)$

Build Max heap (A):

$A.\text{hsiz} = A.\text{length}$

for $i = \lfloor A.\text{length}/2 \rfloor \rightarrow 1$

Max-heapify (A, i)

Note: i starts with last parent

$\Theta(n \log n)$

Max-heapify

Heap Sort (A):

Build max heap (A):

for $i = A.\text{length} \rightarrow 2$

exchange $A[i] \rightarrow A[1]$

$A.\text{hsiz} --$

Max-heapify ($A, 1$)

$\Theta(n \log n)$

Used in priority queue.

Same concept

③ Quick Sort - (In-place), Better Cache Utilization?

Code: Quicksort(A, P, R)

if $P < R$

$q = \text{Part}(A, P, R)$

Quicksort(A, P, q-1)

Quicksort(A, q+1, R)

Part(A, P, R):

$x = A[R]$

$i = P - 1$

for $j = P$ to $R - 1$

if $A[j] \leq x$

\leftarrow exchange $A[i] \rightarrow A[j]$

exchange $A[i+1] \rightarrow A[R]$

return $i + 1$

Worst case (sorted): $T(n) = T(n-1) + \Theta(n)$
 $= \Theta(n^2)$

Best case: $T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$
 $= \Theta(n \lg n)$

Improvements: Use random pivot from the range and put it last item then call part func.

$O(n \lg n)$: expected if distinct elements

For comparison sort:

In the worst case we need $(n \lg n)$ comparisons.

④ Counting Sorts:

Code: Counting Sort(A, B, K):

let $C[0 \dots K]$ be new array

for $i = 0 \rightarrow K$

$C[i] = 0$

for $j = 1 \rightarrow A.length$

$C[A[j]] += 1$

for $i = 1 \rightarrow K$

$C[i] += C[i-1]$

for $j = A.length \rightarrow 1$

$B[C[A[j]]] = A[j]$

$C[A[j]] -= 1$

$\Theta(n + K)$

when $K = O(n) \rightarrow \Theta(n)$

Stable, Not in-place

⑤ Radix Sorter

for $i = 1 \rightarrow d$

use stable sorting (e.g. counting)

to sort array A on digit i

$\Theta(d(n+K))$

if we have n b-bit digits \rightarrow how many bits will each d contain?

$$T(n, b) = \Theta(d(n+K)) = \Theta\left(\frac{b}{r}(n+2^r)\right)$$

$$r \downarrow \Rightarrow 2^r \ll n, \frac{b}{r} \uparrow \Rightarrow (n+2^r) \rightarrow \Theta(n)$$

$$r \uparrow \Rightarrow 2^r \gg n, \frac{b}{r} \downarrow \Rightarrow (n+2^r) \uparrow \uparrow \uparrow$$

$$n+2^r = \Theta(n) \rightarrow r = \lfloor \log n \rfloor$$

$$T(n, b) = \Theta\left(\frac{b n}{\log n}\right)$$

$$\text{if } b = O(\log n) \Rightarrow T(n, b) = \Theta(n)$$

Q6) Bucket Sort

Codes: Bucket sort(A)

Let B[0...n-1] array

$n = A.length$

for $c=0 \rightarrow n-1$

make $B[c]$ empty list

for $i=1 \rightarrow n$

insert $A[i]$ into $B[nA[i]]$

for $c=0 \rightarrow n-1$

sort $B[c]$ with insertion sort

concatenate $B[0], B[1] \dots B[n-1]$
in order.

If input is uniformly distributed

$\mathcal{O}(n)$

To get min. value in A

loop $\mathcal{O}(n)$

To get ith smallest element.

Randmized-select (A, P, r, i)

if $P == r$

return $A[P]$

$q = \text{randomized partition } (A, P, r)$

// explained in quicksort

$K = q - P + 1$

if $i == K$

return $A[q]$.

else if $i < K$

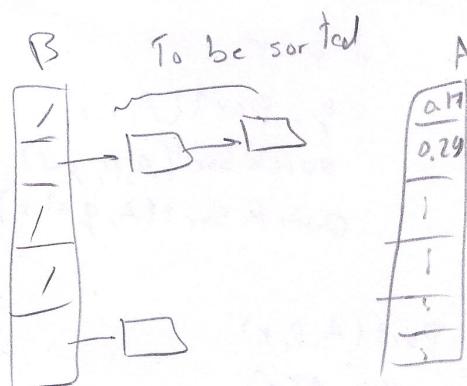
return randomized-select (A, P, q-1, i)

else return randomized-select (A, q+1, r, i)

$$O(n) : T(n) = T\left(\frac{n}{2}\right) + O(n)$$

$$\alpha = 1, \beta = 2, \gamma = n$$

$$\therefore O(n) \text{ on avg}$$



Hashing

(11)

- Hash table :- size m
- Use hash function $h: U \rightarrow \{0, 1, \dots, m-1\}$
- Collisions may occur, can be solved -
 ① Chaining ② Open addressing

Hash functions:- (Goal reduce collisions)

1-Division methods

$$h(K) = K \bmod m$$

↳ should be prime not too close to powers of 2
 if $m=2^p$ then $h(K) \equiv$ lower- p bits of K , it's better if $h(K)$ depends on all K bits

2-Multiplication methods

$$h(K) = \lfloor m(A \times K \bmod 1) \rfloor \quad 0 < A < 1$$

$\overbrace{KA - \lfloor KA \rfloor} = \text{fractional part of } KA$

m is not critical \rightarrow most likely make it $= 2^p$ to implement the function in an easy way:-

- Suppose machine word size $= w$ and K fits within 1 word

- Strict A to be in the form $\frac{s}{2^w}$ where $0 < s < 2^w$

- Select $A \rightarrow$ Get $s \rightarrow$ fits in one word

$$K \times s = r_1 2^w + r_0$$

↓ ↓
 w bits w bits 2 w -bits lower part
 upper part

r_0 P-bits $= h(K)$
 for hashing ($m=2^p$)

Ex: $K = 123456, p = 14, m = 2^{14} = 16384, w = 32$

A of the form $\frac{s}{2^{32}}$, let $A \approx 0.618034 \Rightarrow s = 2654435769$

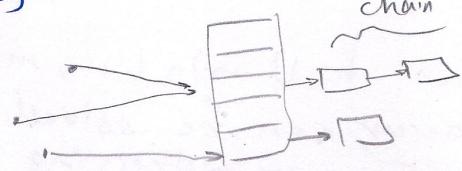
$$K \cdot s = 3217706022297664 = \frac{76300 \cdot 2^{32}}{r_1} + \frac{17612864}{r_0}$$

Get 14 most-significant bits of $r_0 \Rightarrow h(K) = 67$

- Universal hashings
 Using the same hash function allows adversary to hash all data to the same position, to avoid this determinism problem, Pick random hash function from pre-defined hash functions and use it for the whole run (change the next run and so on).

- Collision solutions:

① Chaining :-



Load factor $\alpha = \frac{n}{m}$ → elements (12)
table size
avg chain length

Unsuccessful search: $\Theta(1 + \alpha)$, assuming uniform hashing

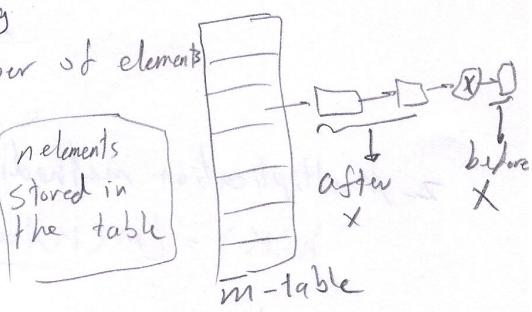
One element in the table has the requested key

$$\text{if } n = O(m) \Rightarrow \alpha = O(1) \Rightarrow \Theta(1)$$

- Successful search takes avg-case $\Theta(1 + \alpha)$, assuming uniform hashing

Proof:-

The # elements examined before finding $x = 1 + (\text{number of elements having the same } h(x) \text{ as } x \text{ which came after } x \text{ while saving } n \text{ elements in the table})$.



x_i : i-th element from \downarrow with key K_i

x_{ij} : $h(K_i) = h(K_j) \rightarrow \text{collision}$

assume uniform hashing $\Rightarrow E[x_{ij}] = \frac{1}{m}$

$$T(n) = E \left[\underbrace{\frac{1}{n} \sum_{i=1}^n \left(1 + \sum_{j=i+1}^n x_{ij} \right)}_{\substack{\text{Sum for} \\ \text{all elements} \\ \text{in the table}}} \right]$$

collision probability

$\rightarrow x_j$ came after x_i and collided with x_i key

$\rightarrow (1 + \text{number of } \dots \text{ mentioned})$

$$= \frac{1}{n} \sum_{i=1}^n \left(1 + \sum_{j=i+1}^n E[x_{ij}] \right)^m = 1 + \frac{1}{nm} \sum_{i=1}^n (n-i)^m = 1 + \frac{1}{nm} \left[\sum_{i=1}^n n - \sum_{i=1}^n i^m \right] = 1 + \frac{1}{nm} \left[n^2 - \frac{n(n+1)}{2} \right]$$

$$= 1 + \frac{n-1}{2m} = 1 + \frac{\alpha}{2} - \frac{\alpha}{2n}$$

$\therefore T(n) = \Theta(1 + \alpha)$ then if $\frac{O(m)}{n} = n \Rightarrow \alpha = O(1 + \frac{O(m)}{m}) = O(1+1) = O(1)$
 $\rightarrow n$ is proportional to m

(2) Open addressing:

(13)

- No lists

- Solve collisions \rightarrow insert in the next empty table cell after looking (probing) for it using deduced equation.

- When searching \rightarrow you must search all next elements.

Hash-Search (T, K)

possible

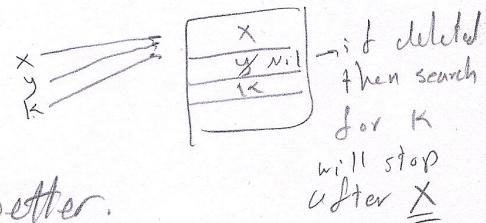
```
i = 0
repeat
    j = h(K, i)
    if (T[j] == K) return j
```

```
i ++
until T[i] == Nil or i == m
```

return Nil

- When deleting ($T[i] = \text{Nil}$), the above search will fail if we delete element which wasn't last one in this cluster

Solution: Mark deleted elements with something else.



- If keys may be deleted \rightarrow chaining is better.

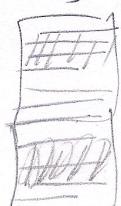
- Linear probing:

$$h(K, i) = (h(K) + i) \bmod m$$

Search next one if current is filled.

Problem: primary clustering

Empty slot preceded



by i full slots will be filled with prob. $\frac{C+1}{m}$

$C+1$: prob. of K to be one of the previous full slots or current slot, in both cases will lead to this empty one to fill it

- Quadratic probing:

$$h(K, i) = (h(K) + C_1 i + C_2 i^2) \bmod m$$

Auxiliary hash func.

$C_1, C_2 \rightarrow$ positive

Better than \Rightarrow

Problem: Secondary clustering;

$$\text{if } h(K_1, 0) = h(K_2, 0) \Rightarrow h(K_1, i) = h(K_2, i)$$

So initial positions are important

Double hashing

$$h(K, i) = (h_1(K) + i h_2(K)) \bmod m$$

Auxiliary hash funcs

(14)

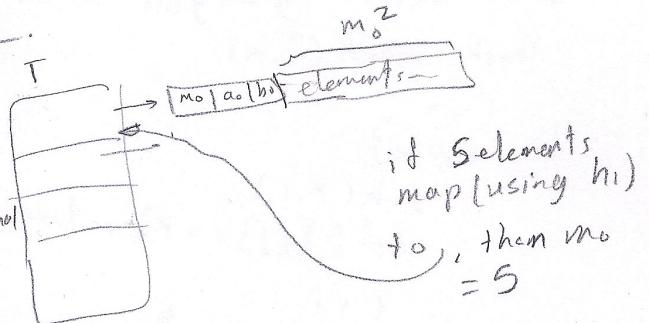
if $\alpha = \frac{n}{m} < 1$ and uniform hashing
the expected # of probes in
an unsuccessful search = $\frac{1}{1-\alpha}$ at most

Perfect hashing

- Good for static data
 - reserved words in programming lang.
 - file names in CD-ROM

- $O(1)$ memory access worst case.

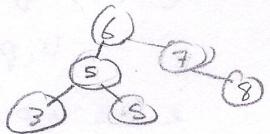
- Each cell is hash table with
hash function depends on cell
and then the elements storage
with size m_i^2 (m_i : the number of original
elements which map to this cell
of the original table), this



guarantees (when choosing proper hash functions) that no collisions happen.

(15)

Binary Search Trees (BST)

- For all nodes y in left sub-tree of $x \Rightarrow y.\text{key} \leq x.\text{key}$
- \dots right $\dots \Rightarrow y.\text{key} \geq x.\text{key}$
- Operations Complexity: $O(h)$
for complete/balanced trees $O(\lg n)$
for linear chain: $O(n)$ 

- BST traversal:

Inorder:

```
in(x)
if x != Nil
    in(x.left)
    Print x.key
    in(x.right)
```

Preorder:

```
in(x):
if x != Nil
    print(x.key)
    in(x.left)
    in(x.right)
```

Postorder:

```
in(y):
if x != Nil
    in(x.left)
    in(x.right)
    print(x.key)
```

$\Theta(n)$

- BST Search,

TS(x, k)

```
if (x == Nil or k == x.key)
    return x
if k < x.key
    return TS(x.left, k)
else return TS(x.right, k)
```

Iterative

```
while (x != Nil and k != x.key)
    if k < x.key
        x = x.left
    else x = x.right
return x
```

- Minimum:

TMIL(x)

```
while x.left != Nil
    x = x.left
```

return x

- Successor

Successor of node x
is the node with
smallest key $> x.\text{key}$

TSU(x)

```
if x.right != Nil
    return TMIL(x.right)
```

$y = x$

while $y \neq \text{Nil}$ and $x = y.\text{right}$

$x = y$

$y = y.P$

return y

- Insert

TIC(T, z)

```
y = Nil
x = T.root
while (x != Nil)
```

$y = x$

if $z.\text{key} < x.\text{key}$

$x = x.\text{left}$

else $x = x.\text{right}$

$Z.P = y$

if ($y == \text{Nil}$)

$T.root = z$

else if $z.\text{key} < y.\text{key}$

$y.\text{left} = z$

else $y.\text{right} = z$

- Delete:

```
Transplant(T, u, v)
  if (u.p == Nil)
    T.root = v
  else if u == u.p.left
    u.p.left = v
  else u.p.right = v
  if (v != Nil)
    v.p = u.p
```

(16)

```
TD(T, z)
  if z.left == Nil
    Transplant(T, z, z.right)
  else if z.right == Nil
    Transplant(T, z, z.left)
  else y = TMi(z.right)
    if y.p != z
      Transplant(T, y, y.right)
      y.right = z.right
      y.right.p = y
    Transplant(T, z, y)
    y.left = z.left
    y.left.p = y
```

- Linked list trees cost $O(n)$ for operations
- To minimize worst case \rightarrow randomize insertion \Rightarrow Avg case $O(\log n)$
- AVL, Red-black has worst case $O(\log n)$: Self balanced.
- AVL trees:
 - Heights of 2 child subtrees of any node differ by one at most
 - If after insertion or deletion, the condition above breaks, the rebalancing takes place.

- Red black trees.

- Every node has color [R | B]
- No path from root to leaf is twice as long as another. (balanced)

Properties

1. Every node is either black or red.
2. The root is black
3. Every leaf (Nil) is black (External nodes)
4. If node is red, then both children are black
5. For each node, all simple paths from the node to descendant leaves contain the same number of black nodes.

Red-black with n internal nodes has height @ most = $2 \lg(n+1)$

- Black height of $x = \#$ of black nodes on any simple path from (not $\text{R} \text{B} \text{T}$) x down to a leaf. $\text{bh}(x)$

Rotations:

- Insert & delete on $\text{RB} \text{T}$ takes $O(\log n)$ because they modify it.
- If, after operation, any condition gets broken, we use rotations (right or left) to fix the tree.

Assuming x right child $\neq T.\text{Nil}$ and root's parent is $T.\text{Nil}$

Left - rotate(T, x):

$$y = x.\text{right}$$

$$x.\text{right} = y, \text{left}$$

$$\text{if } y.\text{left} \neq T.\text{Nil}$$

$$y.\text{left}.p = x$$

$$y.p = x.p$$

$$\text{if } x.p == T.\text{Nil}$$

$$T.\text{root} = y$$

$$\text{else if } x == x.p.\text{left}$$

$$x.p.\text{left} = y$$

$$\text{else } x.p.\text{right} = y$$

$$y.\text{left} = x$$

$$x.p = y$$

TB Insert FIX(T, z):

while $z.p.\text{color} = \text{RED}$

if $z.p = z.p.p.\text{left}$

$$y = z.p.p.\text{right}$$

if $y.\text{color} = \text{red}$

$$z.p.\text{color} = \text{black}$$

$$y.\text{color} = \text{black}$$

$$z.p.p.\text{color} = \text{Red}$$

$$z = z.p.p$$

else if $z == z.p.p.\text{right}$

$$z = z.p$$

leftrotate(T, z)

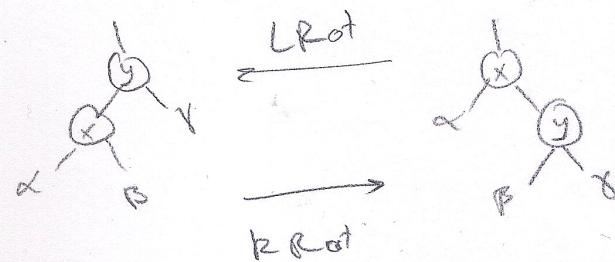
$$z.p.\text{color} = \text{black}$$

$$z.p.p.\text{color} = \text{red}$$

rightrotate($T, z.p.p$)

else same as «then» clause ↑↑ with right/left exchanged

Red-Black-tree



Represents all Nil nodes and root's parent

Insertion: Insert normally, color=red

RB Insert(T, z):

$$y = T.\text{Nil}$$

$$x = T.\text{root}$$

while $x \neq T.\text{Nil}$

$$y = x$$

if $z.\text{Key} < y.\text{Key}$

$$x = x.\text{left}$$

else $x = x.\text{right}$

$$z.p = y$$

if $y == T.\text{Nil}$

$$T.\text{root} = z$$

else if $z.\text{Key} < y.\text{Key}$

$$y.\text{left} = z$$

else $y.\text{right} = z$

$$z.\text{left} = T.\text{Nil}$$

$$z.\text{right} = T.\text{Nil}$$

$$z.\text{color} = \text{red}$$

TB Insert fix(T, z)

$T.\text{root}.color = \text{black}$ // outside while

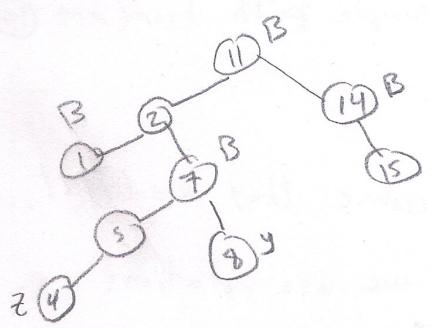
Note: Insertion may break property 2,4

// Case 1

// Case 2

Check back side

// Case 3



After Z insertion, node 5 has red child [Property 4 X]

(18)

This is just useless :/

Check the reference