

# INTEGRAL DOMAINS AND THE IDF-PROPERTY

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*Dedicated to the memory of Robert Gilmer,  
the most influential figure in Multiplicative Ideal Theory in recent past.*

ABSTRACT. TODO...

## 1. INTRODUCTION

TODO...

## 2. BACKGROUND

**2.1. General Notation.** Following common notation, we let  $\mathbb{Z}$ ,  $\mathbb{Q}$ , and  $\mathbb{R}$ , denote the sets of integers, rational numbers, and real numbers, respectively. In addition, we let  $\mathbb{P}$ ,  $\mathbb{N}$  and  $\mathbb{N}_0$  denote the set of primes, positive integers, and nonnegative integers, respectively. For  $a, b \in \mathbb{Z}$ , we let  $\llbracket a, b \rrbracket$  denote the discrete interval  $\{n \in \mathbb{Z} \mid a \leq n \leq b\}$ , allowing  $\llbracket a, b \rrbracket$  to be empty when  $a > b$ . In addition, given  $S \subseteq \mathbb{R}$  and  $r \in \mathbb{R}$ , we set  $S_{\geq r} = \{s \in S \mid s \geq r\}$  and  $S_{> r} = \{s \in S \mid s > r\}$ . For  $q \in \mathbb{Q} \setminus \{0\}$ , we let  $\mathfrak{n}(q)$  and  $\mathfrak{d}(q)$  denote, respectively, the unique  $n \in \mathbb{N}$  and  $d \in \mathbb{Z}$  such that  $q = n/d$  and  $\gcd(n, d) = 1$ . Accordingly, for any  $Q \subseteq \mathbb{Q} \setminus \{0\}$ , we set  $\mathfrak{n}(Q) = \{\mathfrak{n}(q) \mid q \in Q\}$  and  $\mathfrak{d}(Q) = \{\mathfrak{d}(q) \mid q \in Q\}$ . Finally, for each  $p \in \mathbb{P}$  and  $n \in \mathbb{Z} \setminus \{0\}$ , we let  $v_p(n)$  denote the maximum  $v \in \mathbb{N}_0$  such that  $p^v$  divides  $n$ , and for  $q \in \mathbb{Q} \setminus \{0\}$ , we set  $v_p(q) = v_p(\mathfrak{n}(q)) - v_p(\mathfrak{d}(q))$  (in other words,  $v_p$  is the  $p$ -adic valuation map of  $\mathbb{Q}$  restricted to nonzero rationals).

**2.2. Monoids.** In the scope of this paper, a *monoid* is a triple  $(M, *, e)$ , where  $M$  is a set,  $*$  is an associative, cancellative, and commutative operation on  $M$ , and  $e$  is the identity element, that is,  $e * x = x * e = x$  for all  $x \in M$ . Observe that for every integral domain  $D$ , its multiplicative subset  $D \setminus \{0\}$  is a monoid. The fundamental role played by monoids in this paper is in the context of monoid rings, and in this setting we use additive notation as R. Gilmer does in [17]. When there seems to be no risk of ambiguity, we write  $M$  instead of  $(M, *, e)$ . Let  $M$  be a multiplicative monoid. We let  $M^\bullet$  denote the set of nonzero elements. An element  $b \in M$  is called *invertible* if there exists  $c \in M$  such that  $b * c = e$ . We let  $\mathcal{U}(M)$  denote the group of invertible elements of  $M$ . Observe that when  $M$  is the multiplicative monoid of an integral domain  $D$ , the invertible elements of  $M$  are precisely the units of  $D$ , in which case, we will use the standard notation  $D^\times$  rather than  $\mathcal{U}(M)$ . We let  $M_{\text{red}}$  denote the quotient monoid  $M/\mathcal{U}(M)$ . The monoid  $M$  is called *reduced* if  $\mathcal{U}(M)$  is the trivial group, in which case,  $M$  is naturally isomorphic to  $M_{\text{red}}$ . The *quotient group* of  $M$  (or *different group* of  $M$  if  $M$  is additively written), denoted by  $\text{gp}(M)$ , is the unique abelian group up to isomorphism satisfying that any abelian group containing a homomorphic image of  $M$  will also contain a homomorphic image of  $\text{gp}(M)$ . The

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monoid  $M$  is *torsion-free* if  $\text{gp}(M)$  is a torsion-free group (or equivalently, if for all  $b, c \in M$ , if  $b^n = c^n$  for some  $n \in \mathbb{N}$ , then  $b = c$ ).

For a subset  $S$  of  $M$ , we let  $\langle S \rangle$  denote the submonoid of  $M$  generated by  $S$ , that is, the smallest (under inclusion) submonoid of  $M$  containing  $S$ . An *ideal* of  $M$  is a subset  $I$  of  $M$  such that  $I * M \subseteq I$  (or, equivalently,  $I * M = I$ ). An ideal of  $M$  is *principal* if there exists  $b \in M$  satisfying  $I = b * M$ . For  $b_1, b_2 \in M$ , we say that  $b_2$  *divides*  $b_1$  in  $M$  if  $b_1 * M \subseteq b_2 * M$ , in which case we write  $b_2 \mid_M b_1$ , and we say that  $b_1$  and  $b_2$  are *associates* if  $b_1 * M = b_2 * M$ . The monoid  $M$  is a *valuation monoid* if for any  $b_1, b_2 \in M$  either  $b_1 \mid_M b_2$  or  $b_2 \mid_M b_1$ . We say that  $M$  satisfies the *ascending chain condition on principal ideals* (ACCP) if every increasing sequence (under inclusion) of principal ideals eventually terminates. An element  $a \in M \setminus \mathcal{U}(M)$  is an *atom* (or an *irreducible*) if whenever  $a = u * v$  for some  $u, v \in M$ , then either  $u \in \mathcal{U}(M)$  or  $v \in \mathcal{U}(M)$ . We let  $\mathcal{A}(M)$  denote the set of atoms of  $M$ . The monoid  $M$  is *atomic* if every non-invertible element factors into atoms or irreducible elements. One can check that every monoid satisfying the ACCP is atomic.

**2.3. Factorizations.** Observe that the monoid  $M$  is atomic if and only if  $M_{\text{red}}$  is atomic. Let  $Z(M)$  denote the free (commutative) monoid on  $\mathcal{A}(M_{\text{red}})$ , and let  $\pi: Z(M) \rightarrow M_{\text{red}}$  be the unique monoid homomorphism fixing the set  $\mathcal{A}(M_{\text{red}})$ . For every  $b \in M$ , we set

$$Z(b) = Z_M(b) = \pi^{-1}(b * \mathcal{U}(M)).$$

Observe that  $M$  is atomic if and only if  $Z(b)$  is nonempty for any  $b \in M$ . The monoid  $M$  is called a *finite factorization monoid* (FFM) if it is atomic and  $|Z(b)| < \infty$  for every  $b \in M$ . In addition,  $M$  is called a *unique factorization monoid* (UFM) if  $|Z(b)| = 1$  for every  $b \in M$ . By definition, every UFM is an FFM. If  $z = a_1 * \dots * a_\ell \in Z(M)$  for some  $a_1, \dots, a_\ell \in \mathcal{A}(M_{\text{red}})$ , then  $\ell$  is called the *length* of  $z$  and is denoted by  $|z|$ . For each  $b \in M$ , we set

$$L(b) = L_M(b) = \{|z| \mid z \in Z(b)\}.$$

The monoid  $M$  is called a *bounded factorization monoid* (BFM) if it is atomic and  $|L(b)| < \infty$  for all  $b \in M$ . Observe that if  $M$  is an FFM, then it is also a BFM. On the other hand, the reader can verify that every BFM satisfies the ACCP.

Let  $D$  be an integral domain. The set consisting of all nonzero elements of  $D$  is a monoid, which is denoted by  $D^*$  and called the *multiplicative monoid* of  $D$ . Every factorization property defined for monoids in the previous paragraph can be rephrased for integral domains. We say that  $D$  is a *unique* (resp., *finite*, *bounded*) *factorization domain* provided that  $D^*$  is a unique (resp., finite, bounded) factorization monoid, respectively. Accordingly, we use the acronyms UFD, FFD, and BFD. Observe that this new definition of a UFD coincides with the standard definition of a UFD. In order to simplify notation, we write  $Z(D) = Z(D^*)$ , and for every  $x \in D^*$ , we write  $Z(x) = Z_{D^*}(x)$  and  $L(x) = L_{D^*}(x)$ . As for monoids, we let  $\mathcal{A}(D)$  denote the set of atoms/irreducibles of  $D$ .

**2.4. Integral Domains and Monoid Domains.** Let  $D$  be an integral domain, and let  $M$  be a torsion-free monoid. Following R. Gilmer [17], we let  $D[M]$  denote the monoid ring of  $M$  over  $D$ , that is, the ring consisting of all polynomial expressions with exponents in  $M$  and coefficients in  $D$ . It follows from [17, Theorem 8.1] that  $D[M]$  is an integral domain (as  $M$  is torsion-free and, by definition, cancellative). Accordingly, we often call  $D[M]$  a monoid domain. In addition, it follows from [17, Theorem 11.1] that  $D[M]^\times = \{rx^u \mid r \in D^\times \text{ and } u \in \mathcal{U}(M)\}$ . In light of [17, Corollary 3.4], we can assume that  $M$  is a totally ordered monoid. Let  $f(x) = c_n x^{q_n} + \dots + c_1 x^{q_1}$  be a nonzero element in  $D[M]$  for some coefficients  $c_1, \dots, c_n \in D^*$  and exponents  $q_1, \dots, q_n \in M$  satisfying  $q_n > \dots > q_1$ . Then we call  $\deg f = \deg_{D[M]} f := q_n$  and  $\text{ord } f = \text{ord}_{D[M]} f := q_1$  the *degree* and the *order* of  $f$ , respectively. In addition, we call the set  $\text{supp } f := \text{supp}_{D[M]}(f(x)) := \{q_1, \dots, q_n\}$  the *support* of  $f$ .

**2.5.  $t$ -ideals.** Let  $D$  be an integral domain with quotient field  $K$ , and let  $F(D)$  denote the set of fractional ideals of  $D$ . For a fractional ideal  $A$  of  $D$ , let  $A^{-1}$  denote the fractional ideal

$$(D :_K A) = \{x \in K \mid xA \subseteq D\}.$$

The function  $A \mapsto A_v := (A^{-1})^{-1}$  on  $F(D)$  is called the  $v$ -operation on  $D$  (or on  $F(D)$ ). Associated to the  $v$ -operation is the  $t$ -operation on  $F(D)$ : for each fractional ideal  $A$  of  $D$ ,

$$A \mapsto A_t := \bigcup \{H_v \mid H \in F(D) \text{ is a finitely generated and } H \subseteq A\}.$$

For every fraction ideal  $A$  of  $D$ , it is clear that  $A \subseteq A_t \subseteq A_v$ . The  $v$ -operation and the  $t$ -operation are examples of the so called star operations (see [18, Sections 32 and 34] or [14, Chapter 1]). A fractional ideal  $A \in F(D)$  is called a  $v$ -ideal (resp., a  $t$ -ideal) if  $A = A_v$  (resp.,  $A = A_t$ ). An integral  $t$ -ideal maximal among integral  $t$ -ideals is a prime ideal called a *maximal  $t$ -ideal*. If  $A$  is a nonzero integral ideal with  $A_t \neq D$ , then  $A$  is contained in at least one maximal  $t$ -ideal. A prime ideal that is also a  $t$ -ideal is called a *prime  $t$ -ideal*. We say that  $A \in F(D)$  is  $v$ -invertible (resp.,  $t$ -invertible) if  $(AA^{-1})_v = D$  (resp.,  $(AA^{-1})_t = D$ ). A prime  $t$ -ideal that is also  $t$ -invertible is a maximal  $t$ -ideal [23, Proposition 1.3]. Two ideals  $A$  and  $B$  of  $D$  are called  $t$ -comaximal if  $(A, B)_t = D$ , where  $(A, B)$  denotes the ideal generated by  $A$  and  $B$ . The integral domain  $D$  is called a *Prüfer  $v$ -multiplication domain* (or a *PVMD*) if every nonzero finitely generated ideal of  $D$  is  $t$ -invertible. It is well known that the class of PVMDs contains all GCD-domains, Krull domains, and Prüfer domains.

Some generalizations of GCD-domains will show up in coming sections. The *content*  $A_f$  of a polynomial  $f \in K[X]$  is the fractional ideal of  $D$  generated by the coefficients of  $f$ . Let  $f$  be a polynomial in  $D[X]$ . Then  $f$  is called *primitive (over  $D$ )* provided that  $A_f = D$ , that is, no nonunit of  $D$  divides all coefficients of  $f$ . On the other hand,  $f$  is called *super-primitive (over  $D$ )* if  $(A_f)_v = D$ , that is,  $A_f^{-1} = D$ . By [30, Theorem C], every super-primitive polynomial is primitive. Following Arnold and Sheldon [7], we say that  $D$  is a *PSP-domain* if every primitive polynomial over  $D$  is super-primitive. Every GCD-domain is a PSP-domain [30, Theorem H]. Finally,  $D$  is called an *AP-domain* if every irreducible is prime in  $D$ . It follows from [30, Theorem F] and [7, Proposition 4.1] that every PSP-domain is an AP-domain.

**2.6. Irreducible-Divisor-Finite Domains.** As mentioned in the introduction, the primary purpose of this paper is to provide fundamental results on integral domains where each nonzero element has only finitely many irreducible divisors up to associates. An integral domain with this property is called an *irreducible-divisor-finite domain*, or an *IDF-domain* for short. These integral domains were introduced and first investigated by A. Grams and H. Warner in [21]. Following P. Clark [11], we say that an integral domain  $D$  is a *Furstenberg domain* if every nonunit of  $D$  is divisible by an irreducible. Now we introduce the following definition, which is central in this paper.

**Definition 2.1.** We say that an integral domain is a *tight irreducible-divisor-finite domain* or a *TIDF-domain* if it is a Furstenberg IDF-domain.

As not every antimatter domain is a field, not every IDF-domain is Furstenberg. Therefore TIDF-domains form a special proper subclass of that of IDF-domains. One advantage that TIDF-domains have over IDF-domains is that the former can be linked to the study of *strongly* TIDF-domains, those with each proper nonzero ideal contained in at least one and at most finitely many non-associate irreducible elements. Though of course that does not make the domain manageable, even though every irreducible in such domains would have to be a prime. Example 4.3 again proves to be a killjoy example. However other examples may be constructed. Yet if we throw in conditions like (b)-(d) of Corollary 4.4, we end up with a PID.

3.  $t$ -INVERTIBLE UPPER TO ZERO IDEALS

Assume throughout this section that  $D$  is an integral domain with quotient field  $K$ . A prime ideal  $P$  of  $D[X]$  is called a *prime upper to zero* if  $P \cap D = (0)$ . Thus, a prime ideal  $P$  of  $D[X]$  is a prime upper to zero ideal if and only if  $P = h(X)K[X] \cap D[X]$  for some irreducible/prime polynomial  $h \in K[X]$ . Observe that prime upper to zero ideals are  $t$ -ideals because they have height one.

**3.1. Ascent of the IDF Property on PSP-domains.** Recall that a PSP-domain is an integral domain  $D$  satisfying that every primitive polynomial  $f$  over  $D$  is super primitive, that is,  $(A_f)_v = D$ . The primary purpose of this section is to show that the IDF property ascends on PSP-domains, that is, if a PSP-domain  $D$  is an IDF-domain, then the polynomial ring  $D[X]$  is also an IDF-domain. In doing so, prime upper to zero ideals play some role as combinatorial tools. We also show how they can be used to characterize UMT domains (i.e., integral domains whose uppers to zero are all maximal  $t$ -ideals).

It follows from [23, Theorem 1.4] that a prime upper to zero ideal  $P$  of  $D$  is a maximal  $t$ -ideal if and only if  $P$  is  $t$ -invertible, which happens precisely when  $P$  contains a polynomial  $f$  super-primitive over  $D$ , that is,  $(A_f)_v = D$ . Based on this, it was concluded in [20] that if  $f$  is a super-primitive polynomial over  $D$ , then  $f(X)D[X]$  is a  $t$ -product of prime uppers to zero ideals. Using the same fact, the authors in [20] also concluded that  $f(X)D[X]$  is a  $t$ -product of maximal  $t$ -ideals. An element  $a \in D$  is called a  *$t$ -invertibility element* if every ideal of  $D$  containing  $a$  is  $t$ -invertible. It was proved in [20, Theorem 1.3] that  $a \in D$  is a  $t$ -invertibility element if and only if  $aD$  is a  $t$ -product of maximal  $t$ -ideals of  $D$ . The following result makes the above conclusion somewhat more obvious. Yet, before we state the following lemma, we note that every non-constant polynomial in  $D[X]$  belongs to at most finitely many prime upper to zero ideals, some of which may be  $t$ -invertible.

**Lemma 3.1** (Upper-to-zero Representation Lemma). *Let  $f \in D[X]$  be a non-constant polynomial and let  $P_1, \dots, P_n$  be the only prime uppers to zero ideals containing  $f$  that are maximal  $t$ -ideals. Then the following statements hold.*

- (1)  $f(X)D[X] = (AP_1^{r_1} \cdots P_n^{r_n})_t$  for some ideal  $A$  and  $r_1, \dots, r_n \in \mathbb{N}$  such that  $A$  and  $P_1^{r_1} \cdots P_n^{r_n}$  are  $t$ -comaximal.
- (2) If  $f$  is super-primitive, then  $f(X)D[X] = (P_1^{r_1} \cdots P_n^{r_n})_t$ .
- (3) Any non-constant polynomial  $f \in D[X]$  has at most finitely many super-primitive divisors.

*Proof.* (1) The proof can be taken from the proof of Proposition 3.7 of [10].

(2) Let  $A$  be as in part (1). Assume, by way of contradiction, that  $A_t \neq D$ . Then  $A$  is contained in a maximal  $t$ -ideal  $M$ . In this case,  $f \in M$  and the fact that  $f$  is super-primitive ensures that  $M$  is  $t$ -invertible. Now the fact that the only  $t$ -invertible maximal  $t$ -ideals containing  $f$  are  $P_1, \dots, P_n$  implies that  $M \cap D \neq (0)$ . However, the inclusion  $f \in A \subseteq M$  implies that  $D \subseteq M$ , which is a contradiction. Thus,  $A_t = D$ , and so

$$f(X)D[X] = (AP_1^{r_1} \cdots P_n^{r_n})_t = (A_t P_1^{r_1} \cdots P_n^{r_n})_t = (P_1^{r_1} \cdots P_n^{r_n})_t.$$

(3) Let us call an ideal  $I$  a  *$t$ -divisor* of an ideal  $A$  if there is an ideal  $B$  such that  $A = (BI)_t$ . Write  $f(X)D[X] = (AP_1^{r_1} \cdots P_n^{r_n})_t$  as in part (1). Then proper ideals  $P_1^{a_1} \cdots P_n^{a_n}$ , where  $0 \leq a_i \leq r_i$ , are  $t$ -divisors of  $f(X)D[X]$  and they only  $t$ -divide  $P_1^{r_1} \cdots P_n^{r_n}$ . Indeed, if  $A, B, C$  are ideals of  $D$  such that  $(A, B)_t = D$  and  $A_t \supseteq (BC)_t$ , then  $A_t \supseteq C_t$ : this is because  $A_t \supseteq (BC)_t$  if and only if

$$A_t = (A, BC)_t = (A, AC, BC)_t = (A, (A, B)C)_t = (A, (A, B)_t C)_t = (A, C)_t,$$

which implies that  $A_t \supseteq C_t$ . Observe that the inclusion  $(P_1^{a_1} \cdots P_n^{a_n})_t \supseteq (AP_1^{r_1} \cdots P_n^{r_n})_t$ , along with the fact that  $A$  and  $P_1^{a_1} \cdots P_n^{a_n}$  share no maximal  $t$ -ideals, guarantees that  $(P_1^{a_1} \cdots P_n^{a_n})_t \supseteq (P_1^{r_1} \cdots P_n^{r_n})_t$ . Now the number of proper  $t$ -divisors of  $(P_1^{r_1} \cdots P_n^{r_n})_t$  is less than  $\prod_{i=1}^n (r_i + 1)$  and hence finite. Let  $h$  be a super-primitive divisor of  $f$  in  $D[X]$ , and write  $f(X) = g(X)h(X)$ . It follows from part (2) that

$h(X)D[X] = (P_1^{a_1} \cdots P_n^{a_n})_t$  and, therefore,  $(P_1^{r_1} \cdots P_n^{r_n})_t = (P_1^{a_1} \cdots P_n^{a_n})_t(g(X))$ . Multiplying both sides by  $(P_1^{-a_1} \cdots P_n^{-a_n})$  and applying the  $t$ -operation, we obtain  $(P_1^{r_1-a_1} \cdots P_n^{r_n-a_n})_t = (g(X))$ . On the other hand,  $(g(X)h(X)) = (g(X)h(X))_t$  because  $(g(X)h(X))$  is a principal ideal. Consequently,  $t$ -division acts like ordinary division in this case, and so if  $n_{sf}$  denotes the number of non-associate super-primitive divisors of  $f$ , then  $n_{sf} < \prod_{i=1}^n (r_i + 1) < \infty$ .  $\square$

We are in a position to prove that the IDF property ascends from  $D$  to  $D[X]$  when  $D$  is a PSP-domain.

**Theorem 3.2.** *Let  $D$  be a PSP-domain. If  $D$  is an IDF-domain, then  $D[X]$  is also an IDF-domain.*

*Proof.* Next, verifying the IDF property entails checking that each nonzero polynomial  $g \in D[X]$  is divisible by at most a finite number of irreducible divisors. If  $g$  is constant then all the divisors up to associates of  $g$  come from  $D$  alone and up to associates there are finitely many irreducible divisors for each constant  $g$ . So, let  $g$  be non-constant. Obviously each irreducible divisor of  $g$  that comes from  $D$  is a divisor of each of the coefficients of  $g$  and so  $g$  has only finitely many irreducible divisors coming from  $D$ .

According to Lemma 3.1, if  $f(X) \in D[X]$  such that  $(A_f)_v = D$ , then  $f(X)D[X] = (Q_1^{n_1} \cdots Q_m^{n_m})_t$ , where  $Q_i$  are prime uppers to zero. Now let's go back to  $g(X)$ , that we supposed was in  $n$  uppers to zero  $P_1, \dots, P_n$  that were maximal  $t$ -ideals and hence  $t$ -invertible. As we have seen in (1) of Lemma 3.1  $g(X)D[X] = (AP_1^{r_1} \cdots P_n^{r_n})_t$  where  $(A, P_1^{r_1} \cdots P_n^{r_n})_t = D[X]$ . If  $f$  is an irreducible (primitive) polynomial dividing  $g$ , then  $(f) = (P_1^{a_1} \cdots P_n^{a_n})_t$  where  $0 \leq a_i \leq r_i$ . (This is because if  $(f) = (Q_1^{s_1} \cdots Q_m^{s_m})_t$  and say  $s_i > 0$  then  $g(X)D[X] = (AP_1^{r_1} \cdots P_n^{r_n})_t \subseteq (f) \subseteq Q_i$ . Since  $A$  is contained in no uppers to zero,  $P_1^{r_1} \cdots P_n^{r_n} \subseteq Q_i$ . Because  $P_j$  are mutually  $t$ -comaximal, exactly one of the  $P_j$  is contained in  $Q_i$ . But then for a fixed  $j$ , the equality  $P_j = Q_i$  holds, and so each of the  $Q$ 's is one of the  $P$ 's.) Now because  $A$  does not share a maximal  $t$ -ideal with  $P_1^{r_1} \cdots P_n^{r_n}$  we have  $P_1^{r_1} \cdots P_n^{r_n} \subseteq (f)$ . But there can only be a finite number of such irreducible polynomials, by (3) of Lemma 3.1. This leaves the case of when  $g(X)$  is not contained in any maximal uppers to zero. In this case the only irreducible divisors are divisors of coefficients of  $g$ , coming from  $D$ .  $\square$

An element  $r \in D$  is called *primal* if for any  $y, z \in D$ , the relation  $r \mid_D yz$  guarantees the existence of  $r_1, r_2 \in D$  with  $r = r_1 r_2$  such that  $r_1 \mid_D y$  and  $r_2 \mid_D z$ . Following [32], we say that  $D$  is a *pre-Schreier domain* if every nonzero element  $r \in D$  is *primal*, and following [12] we say that  $D$  is a *Schreier domain* if  $D$  is an integrally closed pre-Schreier domain. It is well known that every GCD-domain is a Schreier domain, and it follows from the definition that every Schreier domain is a pre-Schreier domain. In addition, every pre-Schreier domain is a PSP-domain (this is [35, Lemma 2.1], which although stated for Schreier domains is proved using properties characterizing pre-Schreier domains only). In particular, pre-Schreier domains are AP-domains. It is well known that  $D[X]$  is pre-Schreier if and only if  $D[X]$  is Schreier.

Let  $D$  be a pre-Schreier domain that it is not Schreier, which implies by our previous observation that  $D[X]$  is not even pre-Schreier (see e.g. [32, Remark 4.6]). Since every Schreier domain is an AP-domain, some irreducible elements of  $D[X]$  are not primes. However, if  $f$  is an irreducible non-constant polynomial in  $D[X]$ , then  $f$  is primitive. Now  $f$  being a non-constant polynomial,  $f$  must belong to an upper to zero ideal  $P$  of  $D[X]$  and, as  $(A_f)_v = D$ , every upper to zero ideal containing  $f$  must be a maximal  $t$ -ideal [23, Theorem 1.4].

We can also establish a version of Theorem 3.2 for Schreier domains as follows. It is known that if  $D$  is Schreier then so is  $D[X]$ , according to [12]. So the non-constant irreducible elements of  $D[X]$  are prime and generators of uppers to zero containing them. Now  $D$  being IDF the constant irreducible divisors of a general non-constant  $f \in D[X]$  come from  $D$  and so are finite, up to associates, and the non-constant irreducible divisors are finite, up to associates, because they are primes and hence generators of the uppers to zero containing them.

**Question 3.3.** *Does the IDF property ascend in the class consisting of AP-domains?*

**3.2. Further Applications of the Upper-to-zero Representation Lemma.** We proceed to provide further applications of the Upper-to-zero Representation Lemma. Recall that an integral domain  $D$  is said to be a Prüfer  $v$ -multiplication domain (PVMD) if every nonzero finitely generated ideal of  $D$  is  $t$ -invertible. We also recall the following result.

**Proposition 3.4.** *[34, Proposition 4] Let  $D$  be an integrally closed domain, and let  $S = \{f(X) \in D[X] \mid (A_f)_v = D\}$ . Then  $D$  is a PVMD if and only if  $P \cap S \neq \emptyset$  for every prime upper to zero ideal  $P$  of  $D[X]$ .*

In light of [23, Theorem 1.4], one concludes that  $D$  is a PVMD if and only if  $D$  is integrally closed and every upper to zero ideal of  $D[X]$  is a maximal  $t$ -ideal [23, Proposition 3.2]. In fact, Proposition 3.4 and [22, Proposition 2.6] led to the notion of a *UMT domain*, that is, an integral domain whose prime upper to zero ideals are maximal  $t$ -ideals.

**Lemma 3.5.** *Let  $B$  be a  $t$ -invertible  $t$ -ideal of  $D[X]$  with  $B \cap D = (0)$ . Then  $B = (A'P_1^{r_1}P_2^{r_2} \cdots P_n^{r_n})_t$ , where  $P_1, \dots, P_n$  are the  $t$ -invertible prime upper to zero ideals of  $D[X]$  containing the ideal  $B$  and  $(A', P_1^{r_1}P_2^{r_2} \cdots P_n^{r_n})_t = D$ .*

*Proof.* Note that the localization  $D[X]_{P_1}$  is a rank-one DVR and, as a result, there exists  $r_1 \in \mathbb{N}$  such that  $B \subseteq (P_1^{r_1})_t$  but  $B \not\subseteq (P_1^{r_1+1})_t$ . Since  $(P_1^{r_1})_t$  is  $t$ -invertible, we can write  $B = (B_1P_1^{r_1})_t$  for some ideal  $B_1$ . Since  $D[X]_{P_j}$  is a rank-one DVR for every  $j \in \llbracket 1, n \rrbracket$ , proceeding in a similar manner, we obtain that

$$B = (B_1P_1^{r_1})_t = (B_2P_1^{r_1}P_2^{r_2})_t = \cdots = (B_nP_1^{r_1}P_2^{r_2} \cdots P_n^{r_n})_t.$$

Now set  $A := B_n$ . Because  $(BA^{-1})_t = (P_1^{r_1}P_2^{r_2} \cdots P_n^{r_n})_t \subseteq D[X]$ , the inclusion  $A \subseteq D[X]$  holds. Finally, it follows from the fact that the ideals  $A$  and  $(P_1^{r_1}P_2^{r_2} \cdots P_n^{r_n})_t$  share no maximal  $t$ -ideals that  $(A, P_1^{r_1}P_2^{r_2} \cdots P_n^{r_n})_t = D[X]$ .  $\square$

**Theorem 3.6.** *An integral domain  $D$  is a PVMD if and only if for each non-constant polynomial  $f(X) \in D[X]$ , there are prime upper to zero ideals  $P_1, \dots, P_n$  such that  $f(X)D[X] = (AP_1^{r_1} \cdots P_n^{r_n})_t$  for some  $r_1, \dots, r_n \in \mathbb{N}$ , where  $A = A_f[X]$ .*

*Proof.* For the direct implication, assume that  $D$  be a PVMD. Then it follows from [23, Theorem 1.4] that every prime upper to zero ideal is a maximal  $t$ -ideal of  $D[X]$ . Let  $f$  be a non-constant polynomial in  $D[X]$ , and let  $P_1, \dots, P_n$  be the prime upper to zero ideals containing  $f(X)D[X]$  that are  $t$ -maximal. By virtue of Lemma 3.1, we can write  $f(X)D[X] = (AP_1^{r_1}P_2^{r_2} \cdots P_n^{r_n})_t$  for some ideal  $A$  and  $r_1, \dots, r_n \in \mathbb{N}$  such that  $A$  and  $P_1^{r_1} \cdots P_n^{r_n}$  are  $t$ -comaximal. Since  $P_1, \dots, P_n$  are maximal ideals in  $K[X]$ ,

$$(3.1) \quad f(X)K[X] = P_1^{r_1}P_2^{r_2} \cdots P_n^{r_n}K[X] = P_1^{r_1}K[X] \cap P_2^{r_2}K[X] \cap \cdots \cap P_n^{r_n}K[X].$$

Now fix  $i \in \llbracket 1, n \rrbracket$ . Note that the equality  $P_i^{r_i}K[X] \cap D[X] = P_i^{r_i}K[X]_{P_i} \cap K[X] \cap D[X]$  holds, and so the fact that  $P_i \cap D = (0)$  ensures that  $K[X]_{P_i} = D[X]_{P_i}$ . Thus, letting  $P^{(m)}$  denote the  $m$ -th symbolic power of a prime ideal  $P$  of  $D[X]$  (that is,  $P^{(m)} = P^m D[X]_P \cap D[X]$ ), we obtain that  $P_i^{r_i}K[X]_{P_i} \cap K[X] \cap D[X] = P_i^{(r_i)}$ . Now observe that  $P^{r_i}K[X]_{P_i} \cap K[X] = P^{r_i}K[X]$ , and so  $P^{r_i}K[X] \cap D[X] = P^{(r_i)}$ . This, in tandem with (3.1), implies that

$$(3.2) \quad f(X)K[X] \cap D[X] = P_1^{(r_1)} \cap \cdots \cap P_n^{(r_n)}.$$

Since  $(P_1^{r_1})_t, \dots, (P_n^{r_n})_t$  are mutually  $t$ -comaximal, and  $P_i^{(r_i)} = (P_i^{r_i})_v = (P_i^{r_i})_t$  for every  $i \in \llbracket 1, n \rrbracket$ , it follows that

$$(3.3) \quad P_1^{(r_1)} \cap \cdots \cap P_n^{(r_n)} = (P_1^{(r_1)} \cdots P_n^{(r_n)})_t = ((P_1^{r_1})_t \cdots (P_n^{r_n})_t)_t = (P_1^{r_1} \cdots P_n^{r_n})_t.$$



It follows now from (3.2) and (3.3) that  $f(X)K[X] \cap D[X] = (P_1^{r_1} \dots P_n^{r_n})_t$ . On the other hand, from the fact that  $D$  is integrally closed, we infer that  $f(X)A_f^{-1}[X] = f(X)K[X] \cap D[X]$  (see [29, Lemme 1]). Therefore  $f(X)A_f^{-1}[X] = (P_1^{r_1} P_2^{r_2} \dots P_n^{r_n})_t$ . After multiplying both sides of this equality by  $A_f$  and applying the  $t$ -operation, we obtain  $f(X)D[X] = (A_f P_1^{r_1} P_2^{r_2} \dots P_n^{r_n})_t$ .

Conversely, suppose that for each non-constant polynomial  $f(X) \in D[X]$  there are prime upper to zero ideals  $P_1, \dots, P_n$  such that  $f(X)D[X] = (A_f P_1^{r_1} P_2^{r_2} \dots P_n^{r_n})_t$  for some  $r_1, \dots, r_n \in \mathbb{N}$ . Then, by construction,  $A_f$  is  $t$ -invertible. Since for every finitely generated nonzero ideal  $A = (a_0, a_1, \dots, a_m)$  we can construct a non-constant polynomial  $f = \sum_{i=0}^m a_i X^i$  such that  $A_f = A$ , we conclude that every finitely generated nonzero ideal of  $D$  is  $t$ -invertible; that is,  $D$  is a PVMD.  $\square$

We proceed to characterize integrally closed PVMDs in terms of prime upper to zero ideals.

**Proposition 3.7.** *For an integrally closed domain  $D$ , the following conditions are equivalent.*

- (a)  $D$  is a PVMD.
- (b) Every linear non-constant polynomial over  $D$  is contained in a  $t$ -invertible prime upper to zero ideal.
- (c) Every ideal  $A$  of  $D[X]$  with  $A \cap D = (0)$  is contained in a  $t$ -invertible prime upper to zero ideal.

*Proof.* (a)  $\Rightarrow$  (b): If  $D$  is a PVMD, then as every prime upper to zero ideal is a maximal  $t$ -ideal and hence  $t$ -invertible, every linear polynomial is contained in a  $t$ -invertible prime upper to zero ideal.

(b)  $\Rightarrow$  (a): Proving the converse amounts to arguing that each two-generated nonzero ideal of  $D$  is  $t$ -invertible. To do so, take  $a, b \in D^*$  such that  $(a, b)$  is a nonzero ideal of  $D$ . Then  $f(X) = aX + b$  is a non-constant linear polynomial over  $D$ , and so  $f(X)$  is contained in a  $t$ -invertible prime upper to zero ideal  $P$ . Then  $f(X)D[X] \subseteq P$ , and so  $f(X)D[X] = (AP)_t$  for some ideal  $A$ . As a result,

$$(3.4) \quad P = ((A^{-1})f(X)D[X])_t = f(X)A^{-1}[X].$$

Since  $D$  is integrally closed,  $P = f(X)K[X] \cap D[X] = f(X)A_f^{-1}[X]$ . This, in tandem with (3.4), guarantees that  $f(X)A^{-1}[X] = f(X)A_f^{-1}[X]$ , from which the equality  $A^{-1}[X] = A_f^{-1}[X]$  follows. This, in turns, implies that  $A_v = (A_f)_v$ . Because  $A_v$  and  $(A_f)_v$  are of finite type,  $A_t = (A_f)_t$ . Thus,

$$f(X)D[X] = (AP)_t = (A_t P)_t = ((A_f)_t P)_t = (A_f P)_t,$$

forcing the ideal  $(a, b) = A_f$  to be  $t$ -invertible. Hence we can conclude that  $D$  is a PVMD.

(a)  $\Rightarrow$  (c): Let  $A$  be an ideal of  $D[X]$  with  $A \cap D = (0)$ . Because  $D$  is integrally closed, [4, Theorem 2.1] guarantees the existence of  $s \in D^*$ , a polynomial  $f(X) \in D[X]$ , and an ideal  $C$  of  $D[X]$  with  $C \cap D \neq (0)$  such that  $sA = f(X)C$ . Since  $f(X)D[X]$  is contained in at least one prime upper to zero ideal,  $sA$  must be also contained in a prime upper to zero ideal. As  $s$  is a constant, it does not belong to any prime upper to zero ideal and, therefore,  $A$  is contained in at least one prime upper to zero ideal.

(c)  $\Rightarrow$  (a): Let  $f(X)$  be a non-constant linear polynomial. As  $D$  is integrally closed,  $f(X)A_f^{-1}[X] = P$ . Since  $P$  is  $t$ -invertible, the ideal  $A_f^{-1}[X]$  is  $t$ -invertible and, therefore so is  $A_f^{-1}$ . Hence the ideal  $(A_f)_v$  is also  $t$ -invertible. Thus, every two-generated nonzero ideal of  $D$  is  $t$ -invertible, which means that  $D$  is a PVMD.  $\square$

By [23, Proposition 3.2], an integral domain  $D$  is a PVMD if and only if  $D$  is an integrally closed UMT-domain. Let us drop the integrally closed part and see if we can get similar results.

**Proposition 3.8.** *An integral domain  $D$  is a UMT domain if and only if each  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D = (0)$  is contained in a  $t$ -invertible prime upper to zero.*

*Proof.* Assume first that  $D$  is a UMT domain. Since  $A \cap D = (0)$ , the equality  $AK[X] = h(X)K[X]$  holds for some nonzero nonunit  $h \in K[X]$ . Now as  $h$  is a product of primes in  $K[X]$ , there is an irreducible element  $p \in K[X]$  such that  $AK[X] = h(X)K[X] \subseteq p(X)K[X]$ . Then

$$A \subseteq AK[X] \cap D[X] = p(X)K[X] \cap D[X] \subseteq D[X].$$

Observe that  $p(X)K[X] \cap D[X] \subseteq D[X]$  is a prime upper to zero ideal, and so the fact that  $D$  is a UMT domain ensures that it is a maximal  $t$ -ideal. Hence  $A$  is contained in a  $t$ -invertible prime upper to zero ideal.

Conversely, assume that each  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D = (0)$  is contained in a  $t$ -invertible prime upper to zero ideal. Let  $f$  be a non-constant polynomial in  $D[X]$ . Observe that  $f(X)D[X]$  is a  $t$ -invertible  $t$ -ideal, and so it must be contained in a  $t$ -invertible prime upper to zero ideal, namely,  $Q_1$ . So  $f(X)D[X] = (A_1Q_1)_t$  where  $(A_1)_t$  is a  $t$ -invertible  $t$ -ideal. If  $(A_1)_t \cap D \neq (0)$ , then we are done. On the other hand, if  $(A_1)_t \cap D = (0)$ , we can write  $(A_1)_t = (A_2Q_2)_t$ , where  $Q_2$  is a  $t$ -invertible prime upper to zero ideal containing  $(A_1)_t$ . Then  $f(X)D[X] = (A_2Q_1Q_2)_t$ . Since  $f$  is contained in only finitely many prime upper to zero ideals  $P_1, \dots, P_n$  (and  $D[X]_{P_i}$  is a rank-1 DVR for every  $i \in \llbracket 1, n \rrbracket$ ), after finitely many steps we can write  $f(X)D[X] = (A_kQ_1 \cdots Q_k)_t$  for an ideal  $A_k$  such that  $A_k \cap D \neq (0)$  and  $t$ -invertible prime upper to zero ideals  $Q_1, \dots, Q_k$ . After setting  $A := A_k$ , renaming, regrouping the ideals  $Q_1, \dots, Q_k$  appropriately, we can write  $f(X)D[X] = (AP_1^{r_1} \cdots P_n^{r_n})_t$ . This accounts for all the prime upper to zero ideals containing  $f$ . Thus, every prime upper to zero ideal containing  $f$  is a maximal  $t$ -ideal. Now let  $P$  be a prime upper to zero ideal. Then we can write  $P = h(X)K[X] \cap D[X]$  for some non-constant polynomial  $h \in D[X]$ . By the above procedure,  $h(X)D[X] = (AQ)_t$  for some  $t$ -invertible prime upper to zero  $Q$  containing  $h$ . Therefore  $P = h(X)K[X] \cap D[X] = AQK[X] \cap D[X] = Q$ , forcing the equality  $P = Q$ , which means that  $P$  is a maximal  $t$ -ideal.  $\square$

Now here's something interesting! We know that a pre-Schreier PVMD is a GCD domain. What must a pre-Schreier UMT domain  $D$  be? [Let  $D$  be a pre-Schreier UMT domain.] Let  $a, b \in D^*$  and take  $(aX + b)D[X]$ . Because  $D$  is UMT,  $(aX + b)D[X] = (AP)_t$ , where both  $A$  and  $P$  are  $t$ -invertible  $t$ -ideals,  $P$  is a prime upper to zero, and  $A \cap D \neq (0)$ . Now we know that if  $D$  is integrally closed and  $A$  is a  $t$ -invertible  $t$ -ideal of  $D[X]$  with  $A \cap D \neq (0)$ , then  $A = (A \cap D)[X]$  and obviously  $A \cap D$  is a  $t$ -invertible  $t$ -ideal [4, Corollary 3.1]. But as the tone of [4, Corollary 3.1] indicates, the jury is still out on the converse. That is the authors of [4] did not know for sure if for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$  we have  $A = (A \cap D)[X]$ , then  $D$  should be integrally closed. That is we have this question.

In light of [4, Corollary 3.1], if  $D$  is an integrally closed domain, then for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D[X] \neq (0)$  the equality  $A = (A \cap D)[X]$  holds. However, the converse of this statement seems to be still unsettled; that is, the following question appears to be still unanswered.

**Question 3.9.** Suppose that  $D$  is an integral domain such that for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$  we have  $A = (A \cap D)[X]$ . Must  $D$  be integrally closed?

The answer to the above question is yes and this is how we get it. Let's say that a domain  $D$  is \*\* if for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$  we have  $A = (A \cap D)[X]$  and let's denote  $(A \cap D)$  by  $I$ . First, let us note that if  $\alpha \in K$  is integral over  $D$ , then the fractional ideal  $(1, \alpha)$  is invertible if and only if  $\alpha \in D$ , [28, Proposition 1.4]. This leads to the following lemma.

**Lemma 3.10.** Let  $D$  be an integral domain with quotient field  $K$ , and let  $\alpha \in K$  be an integral element over  $D$ . If the fractional ideal  $(1, \alpha)$  is  $t$ -invertible, then  $\alpha \in D$ .

*Proof.* Take  $f = X^n + a_{n-1}X^{n-1} + \cdots + a_0 \in D[X]$  such that  $f(\alpha) = 0$ . We proceed to argue that  $\alpha \in D_P$  for each maximal  $t$ -ideal  $P$  of  $D$ . To do so, let  $P$  be one of these maximal  $t$ -ideals. We can think of  $f$  to be a monic polynomial over  $D_P$ . Thus, the fact that  $\alpha$  is integral over  $D$  implies that



$\alpha$  is integral over  $D_P$ . Recall that a finitely generated nonzero ideal  $I$  is  $t$ -invertible if and only if  $ID_P$  is principal for each maximal  $t$ -ideal  $P$  of  $D$ . By hypothesis, the finitely generated ideal  $(1, \alpha)$  is  $t$ -invertible and, by virtue of the previous observation,  $(1, \alpha)D_P$  must be principal. In particular,  $(1, \alpha)D_P$  is invertible. Because  $\alpha$  is integral over  $D_P$  and  $(1, \alpha)D_P$  is invertible,  $\alpha \in D_P$ . Hence we conclude that  $\alpha \in \bigcap D_P = D$ , where the intersection is taken over all maximal  $t$ -ideals of  $D$ .  $\square$

We are now in a position to answer Question 3.9.

**Proposition 3.11.** *An integral domain  $D$  is integrally closed if and only if  $D$  is  $**$ .*

*Proof.* The direct implication is [4, Corollary 3.1]. For the reverse implication, let  $\alpha = \frac{b}{a}$ , where  $a, b \in D^*$ , be an integral element over  $D$ . Then  $\alpha$  satisfies a monic polynomial  $f$ . Now  $f$  splits as  $(X - \alpha)g(X)$  in  $K[X]$ . Being linear,  $X - \alpha$  is a prime in  $K[X]$ . Thus,  $P = (X - \alpha)K[X] \cap D[X]$  is a prime upper to zero. It is clear that  $f \in P$ , and so  $P$  is  $t$ -invertible. Also,  $a(X - \alpha)D[X] = (aX - b)D[X] \subseteq P$ . Since  $P$  is a  $t$ -invertible  $t$ -ideal  $(aX - b)D[X] = (AP)_t$ , where  $P$  and  $A$  are  $t$ -invertible  $t$ -ideals. As  $(aX - b)$  is linear,  $A \cap D \neq (0)$ . Now the fact that  $D$  satisfies  $**$  implies that  $A = I[X]$  and, as a result,  $(aX - b)D[X] = (AP)_t = (I[X]P)_t \subseteq I[X]$ . Then  $aX - b \in I[X]$ , which implies that  $a, b \in I[X]$ . Now as  $(a, b)[X] \subseteq I[X] = A$ , the fact that  $A$  is  $t$ -invertible guarantees that  $(a, b)[X](I[X])^{-1} \subseteq D[X]$ . On the other hand,  $(I[X]P)_t = (aX - b)D[X] \subseteq (a, b)[X]$ , and so  $P \subseteq ((a, b)[X](I[X])^{-1})_t \subseteq D[X]$ , or  $P \subseteq ((a, b)I^{-1})_t[X] \subseteq D[X]$ . Since  $P$  contains  $f$  and  $A_f = D$ , we see that  $(f, a) \subseteq ((a, b)I^{-1})_t[X] \subseteq D[X]$ . Hence  $((a, b)I^{-1})_t[X] = (((a, b)I^{-1})[X])_t = D[X]$ ; this is because  $(f, a)_t = D[X]$  (see [15, Proposition 3.4]). Thus,  $((a, b)I^{-1})_t = D$ , and so  $(a, b)$  is  $t$ -invertible. Therefore the fractional ideal  $(1, \alpha)$  is also  $t$ -invertible. Since  $\alpha$  is integral over  $D$ , from Lemma 3.10 we can conclude that  $\alpha \in D$ . Thus,  $D$  is integrally closed.  $\square$

Now [4, Corollary 3.1] can be recovered as the following statement.


**Corollary 3.12.** *Let  $D$  be an integral domain. Then the following conditions are equivalent.*

- (a)  $D$  is integrally closed.
- (b)  $A = (A \cap D)[X]$  for every  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$ .
- (c)  $A = (A \cap D)[X]$  for every divisorial ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$ .
- (d)  $A = (A \cap D)[X]$  for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$ .

*Proof.* (a)  $\Rightarrow$  (b): This follows from [4, Corollary 3.1].

(b)  $\Rightarrow$  (c) This holds because every divisorial ideal is a  $t$ -ideal.

(c)  $\Rightarrow$  (d) This holds because every  $t$ -invertible  $t$ -ideal is divisorial.

(d)  $\Rightarrow$  (a) This is Proposition 3.11.   $\square$

#### 4. TIDF-DOMAINS

In this section, we consider a natural stronger notion of IDF-domains, namely, integral domains where every nonunit has a *nonempty* finite set of irreducible divisors up to associates. Recall that an integral domain  $R$  is a *Furstenberg domain* provided that every nonunit of  $R$  is divisible by an irreducible, and also recall that a *TIDF-domain* is a Furstenberg IDF-domain. Every TIDF-domain is, in particular, an IDF-domain. The converse does not hold as fields and antimatter domains are IDF-domains but not TIDF-domains. Here is a less trivial example of an IDF-domain that is not a TIDF-domain.

**Example 4.1.** A valuation domain  $(V, M)$  with  $M^2 = M$  is an IDF-domain but  $V$  cannot be a TIDF-domain because no  $m \in M \setminus \{0\}$  is divisible by any atom.

**4.1. Atomic Domains.** Every FFD is an IDF-domain, and it is well-known and proved in [1] that in the class of atomic domains the properties of being an FFD and an IDF-domain are equivalent. Moreover, given that every FFD is atomic, it follows that every FFD is a TIDF-domain. It is therefore natural to wonder under which extra condition a TIDF-domain is guaranteed to be an FFD. The following proposition gives an answer to this question.

**Proposition 4.2.** *The following conditions are equivalent for an integral domain  $D$ .*

- (a)  $D$  is an FFD.
- (b)  $D$  is an Archimedean TIDF-domain.
- (c)  $D$  is a TIDF-domain and  $\bigcap_{n \in \mathbb{N}} a^n D = (0)$  for each  $a \in \mathcal{A}(D)$ .

*Proof.* (a)  $\Rightarrow$  (b): Since  $D$  is an FFD, it is an atomic IDF-domain and, therefore, a TIDF-domain. In addition,  $D$  satisfies the ACCP because it is an FFD. Thus, it follows from [8, Theorem 2.1] that  $D$  is Archimedean.

(b)  $\Rightarrow$  (c): This is obvious.

(c)  $\Rightarrow$  (a): Since  $D$  is a TIDF-domain, it is also an IDF-domain. Thus, all we need to show is that  $D$  is atomic. For this we proceed as follows. Let  $x$  be a nonzero nonunit of  $D$ . Since  $D$  is a TIDF-domain there is an irreducible  $a_1|x$ . Because  $a_1$  is an atom,  $\bigcap_{n \in \mathbb{N}} a_1^n D = (0)$ . So there is an  $n_1$  such that  $a_1^{n_1}|x$  and  $a_1^{n_1+1} \nmid x$ . Let  $x_1 = x/a_1^{n_1}$ . If  $x_1$  is a nonunit, then because  $D$  is a TIDF-domain,  $x_1$  is divisible by an irreducible  $a_2$  and there is an  $n_2$  such that  $a_2^{n_2}|x_1$  and  $a_2^{n_2+1} \nmid x_1$ . This gives  $x_2 = x_1/a_2^{n_2}$ . Continuing in this fashion, we get  $x_r = x/(a_1^{n_1} a_2^{n_2} \cdots a_r^{n_r})$ . Now this cannot continue indefinitely because  $x$  is divisible by at most a finite number of distinct atoms up to associates. Hence  $x$  is a product of atoms. Since the choice of  $x$  was arbitrary, we conclude that  $D$  is atomic, as desired.  $\square$

Although every integral domain satisfying ACCP is Archimedean, satisfying ACCP does not prevent an integral domain from having a nonzero nonunit with an infinite number of non-associated irreducible divisors. For example, the ring  $\mathbb{Q} + X\mathbb{R}[X]$  satisfies ACCP but its element  $X^2$  has infinitely many distinct irreducible divisors, namely,  $X/r$  for any  $r \in \mathbb{R} \setminus \mathbb{Q}$ . Thus, the TIDF condition is needed in the statement of Proposition 4.2. Yet the TIDF condition and atomicity together are a bit much as we already know that the IDF condition and atomicity together already guarantee the finite factorization property. On the other hand, the TIDF condition alone does not even guarantee atomicity, as the following example illustrates.

**Example 4.3.** Let  $p$  be a prime. Now consider the ring  $R := \mathbb{Z}_{(p)} + (X, Y)\mathbb{Q}[X, Y]$ , where  $X$  and  $Y$  are different indeterminates over  $\mathbb{Q}$ , and let  $K$  be the quotient field of  $R$ . In addition, let  $Z$  be an indeterminate over  $K$  and consider the subring  $D := R + ZK[[Z]]$  of  $K[[Z]]$ . Then  $D$  and  $R$  are both TIDF-domains: indeed, every nonzero nonunit  $x$  of  $D$  (resp.,  $R$ ) is divisible by the irreducible  $p$ , and the fact that  $\mathcal{A}(D) = pD^\times$  (resp.,  $\mathcal{A}(R) = pR^\times$ ) guarantees that  $x$  has only finitely many irreducible divisors up to associates. However, it is clear that neither  $R$  nor  $D$  are atomic.

We take advantage of this example to point out an interesting divisibility behavior. Consider the localization of  $D$  at the multiplicative set  $S := \{p^n \mid n \in \mathbb{N}_0\}$ , that is,

$$D_S = \mathbb{Q} + (X, Y)\mathbb{Q}[X, Y] + ZK[[Z]] = \mathbb{Q}[X, Y] + ZK[[Z]].$$

Observe that  $D_S$  is an integral domain where every nonzero nonunit is divisible by a prime. Still it is not a TIDF-domain because the element  $Z$  is divisible by infinitely many non-associated primes.

Recall that an integral domain is called an AP-domain provided that every irreducible is prime. It is well known that every Schreier domain is an AP domain. The second author has pointed out in [33] that the ring  $R = \mathbb{Z}_{(p)} + (X, Y)\mathbb{Q}[X, Y]$  (as in Example 4.3) is a Schreier domain. In addition, it is not too hard to show that  $D = R + ZK[[Z]]$  (as in Example 4.3) is also a Schreier domain. As the

Schreier property implies the AP property, the previous observation thwarts all hope of using the TIDF property in tandem with the AP property to achieve the finite factorization property. However, in the class consisting of AP domains, we can refine our understanding of the TIDF property.

**Corollary 4.4.** *Let  $D$  be a domain with the AP property. Then the following conditions are equivalent.*

- (a)  $D$  is a UFD.
- (b)  $D$  is a completely integrally closed TIDF-domain.
- (c)  $D$  is an Archimedean TIDF-domain.
- (d)  $D$  is a TIDF-domain such that  $\cap(p^n) = (0)$  for every prime element  $p$ .

*Proof.* (a)  $\Rightarrow$  (b)  $\Rightarrow$  (c)  $\Rightarrow$  (d): These implications follow immediately.

(d)  $\Rightarrow$  (a): Let  $x$  be a nonzero nonunit of  $D$ . Since  $D$  is a TIDF-domain with every irreducible a prime,  $x$  is divisible by at least one and at most finitely many primes. Choose one, say  $p_1$  dividing  $x$ . By (4)  $x = x_1 p_1^{n_1}$  where  $p_1 \nmid x_1$ . Repeat with  $x_1$  to choose  $p_2 | x_1$  to get  $x = x_2 p_1^{n_1} p_2^{n_2}$ . Continuing in this way, at stage  $r$  we obtain that  $x = x_r p_1^{n_1} p_2^{n_2} \cdots p_r^{n_r}$  where  $p_r \nmid x_r$  and we can keep on doing so until  $x_r$  becomes a unit. Therefore we obtain a canonical decomposition of  $x$  as a finite product of powers of non-associate primes. As  $x$  was chosen arbitrarily, we conclude that  $D$  is a UFD.  $\square$

**4.2. Polynomial Rings.** As proved by Malcolmson and Okoh in [27], the IDF property does not ascend, in general, from an integral domain  $D$  to its ring of polynomials. However, it was proved by Eftekhari and Khorsandi in [13, Theorem 2.1] that the IDF property ascends to rings of polynomials if we restrict to the subclass of MCD-finite domains. A common divisor  $d \in D$  of a nonempty subset  $S$  of  $D^*$  is called a *maximal common divisor* if  $\gcd S/d = 1$ . Following [13], we say that an integral domain  $D$  is *MCD-finite* if every finite subset of  $D^*$  has only finitely many maximal common divisors up to associates.

As for the case of the IDF property, the TIDF property ascend from an integral domain to its polynomial ring if we restrict to the class of MCD-finite domain.

**Proposition 4.5.** *Let  $D$  be an integral domain, and let  $\Gamma$  be a nonempty set. Then the following conditions are equivalent.*

- (a)  $D$  is a TIDF-domain and an MCD-finite domain.
- (b)  $D[X]$  is a TIDF-domain.
- (c)  $D[X_\gamma \mid \gamma \in \Gamma]$  is a TIDF-domain.

*Proof.* (a)  $\Rightarrow$  (b): Since  $D$  is an IDF-domain that is also an MCD-finite domain, it follows from [13, Theorem 2.1] that  $D[X]$  is an IDF-domain. It suffices to argue that  $T$  is a Furstenberg domain. To do so, take a nonzero nonunit  $f \in D[X]$ , and let us argue that  $f$  is divisible by an irreducible in  $D[X]$ . Observe that  $f$  must have a nonunit divisor  $g \in D[X]$  such that for any  $g_1, g_2 \in D[X]$ , the equality  $g = g_1 g_2$  implies that either  $g_1 \in D$  or  $g_2 \in D$ . If  $g$  is irreducible, then we are done. Assume, therefore, that  $g$  is not irreducible. Then we can write  $g = h_1 h_2$  for some nonunits  $h_1, h_2 \in D[X]$ . Assume, without loss of generality, that  $h_1$  is a nonunit of  $D$ . Since  $D$  is a Furstenberg domain, there exists  $a \in \mathcal{A}(D)$  such that  $a \mid_D h_1$ . Since  $D^*$  is a divisor-closed submonoid of  $D[X]^*$ , we conclude that  $a \in \mathcal{A}(D[X])$  such that  $a$  divides  $f$  in  $D[X]$ . Thus,  $D[X]$  is a Furstenberg domain, and so a TIDF-domain.

(b)  $\Rightarrow$  (c): Set  $T := D[X_\gamma \mid \gamma \in \Gamma]$ . As in the previous part, by virtue of [13, Theorem 2.1] it suffices to argue that  $T$  is a Furstenberg domain. An inductive argument, in tandem with the previous implication, immediately shows that any ring of polynomials on finitely many indeterminates over  $D$  is a Furstenberg domain when  $D$  is a Furstenberg domain. Now take a nonzero nonunit  $f \in T$ . Then  $f \in S := D[X_1, \dots, X_k]$  for some  $X_1, \dots, X_k \in \Gamma$ . Since  $S$  is Furstenberg, we can take  $a \in \mathcal{A}(S)$  such

that  $a \mid_S f$ . Now the fact that  $S$  is a divisor-closed subring of  $T$  implies that  $a \in \mathcal{A}(T)$  and  $a \mid_T f$ . Hence  $T$  is a Furstenberg domain.

(c)  $\Rightarrow$  (a): By [13, Theorem 2.1],  $D$  is both an IDF-domain and an MCD-finite domain. Also,  $D$  is a Furstenberg domain; this is a consequence of the fact that  $D$  is a divisor-closed subring of the Furstenberg domain  $D[X_\gamma \mid \gamma \in \Gamma]$ . Hence  $D$  is a TIDF-domain.  $\square$

**4.3. The  $D+M$  Construction.** The  $D+M$  construction, which is a useful source of (counter)examples in commutative ring theory, was introduced and first studied by Gilmer in [19, Appendix II] in the context of valuation domains<sup>1</sup>. Let  $T$  be an integral domain, and let  $K$  and  $M$  be a subfield of  $T$  and a nonzero maximal ideal of  $T$ , respectively, such that  $T = K + M$ . For a subdomain  $D$  of  $K$ , set  $R = D + M$ . In this subsection, we consider IDF-domains and TIDF-domains through the lens of the  $D + M$  construction. We first consider the case when  $D$  is not a field.

**Proposition 4.6.** *Let  $T$  be an integral domain, and let  $K$  and  $M$  be a subfield of  $T$  and a nonzero maximal ideal of  $T$ , respectively, such that  $T = K + M$ . For a subring  $D$  of  $K$ , set  $R = D + M$ . If  $D$  is not a field, then the following statements hold.*

- (1) *If  $R$  is an IDF-domain, then  $D$  has only finitely many non-associate irreducibles.*
- (2) *If  $R$  is a TIDF-domain, then  $D$  has a finite set of non-associate irreducibles and  $\mathcal{A}(D)$  is nonempty provided that  $D$  is a divisor-closed subring of  $R$ .*

*In addition, if  $T$  is a local domain, then the following stronger statements hold.*

- (3)  *$R$  is an IDF-domain if and only if  $D$  has only finitely many non-associate irreducibles.*
- (4)  *$R$  is a TIDF-domain if and only if  $D$  is a TIDF-domain with a nonempty finite set of non-associate irreducibles.*

*Proof.* (1) Every nonzero  $d \in D$  divides any  $m \in M$ ; indeed,  $m = d(d^{-1})m$  and it is clear that  $d^{-1}m \in KM \subseteq M$ . Therefore, since  $M$  is a nonzero ideal, the fact that  $R$  is an IDF-domain, immediately implies that  $R$  contains only finitely many non-associate irreducibles.

(2) Assume that  $R$  is a TIDF-domain. It follows by the previous part that  $R$  has only finitely many non-associate irreducibles. Since  $D$  is not a field, it must contain a nonzero nonunit  $d$ , which must remain a nonunit in  $R$ . As  $R$  is a TIDF-domain, there is an  $a \in \mathcal{A}(R)$  such that  $a \mid_R x$ . Then  $a \in \mathcal{A}(D)$  because  $D$  is a divisor-closed subring of  $R$ . Thus,  $\mathcal{A}(D)$  is not empty.

(3) This is [1, Proposition 4.3].

(4) For the direct implication, suppose first that  $R$  is a TIDF-domain. In light of part (2) and the fact that  $D$  is not a field, it suffices to argue that every nonzero nonunit in  $D$  has an irreducible divisor in  $D$ . Take a nonzero nonunit  $d \in D$ . Since  $d$  is a nonunit of  $R$ , we can take  $a_1 + m_1 \in \mathcal{A}(R)$  with  $a_1 \in D$  and  $m_1 \in M$  such that  $a_1 + m_1 \mid_R d$ . As  $a_1(1 + a_1^{-1}m_1) \in \mathcal{A}(R)$  either  $a_1 \in \mathcal{A}(R)$  or  $1 + a_1^{-1}m_1 \in \mathcal{A}(R)$ . However, the fact that  $T$  is local ensures that  $1 + M \subseteq R^\times$ . Hence  $a_1 \in \mathcal{A}(R)$ . Since  $D^\times = R^\times \cap D$ , it follows that  $a_1 \in \mathcal{A}(D)$ .

For the reverse implication, suppose that  $D$  is a TIDF-domain with a (nonempty) finite maximal set of non-associate irreducibles. Because of part (3), it is enough to verify that every nonzero nonunit  $x \in R$  has an irreducible divisor in  $R$ . This is clear if  $x \in M$  because we have already observed that every nonzero element of  $D$  divides every element of  $M$ . Assume, therefore, that  $x \notin M$ . Then the fact that  $T$  is a local domain ensures that  $x$  is associate in  $R$  with an element of  $D$ . As  $D$  is a TIDF-domain,  $x$  must be divisible by an irreducible in  $D$  and so by an irreducible in  $R$ . Hence  $R$  is also a TIDF-domain.  $\square$

<sup>1</sup>The  $D + M$  construction in the general context of integral domains was first investigated in 1976 by J. Brewer and E. Rutter [9].

**Corollary 4.7.** *Let  $D$  be an integral domain with quotient field  $K$ , and let  $L$  be a field extension of  $K$ . Consider the subrings  $R = D + XL[X]$  and  $S = D + XL[[X]]$  of  $L[X]$  and  $L[[X]]$ , respectively. If  $D$  is not a field, then the following statements hold.*

- (1)  *$R$  is a TIDF-domain if and only if  $\mathcal{A}(D)$  is nonempty and finite (up to associates).*
- (2)  *$S$  is a TIDF-domain if and only if  $\mathcal{A}(D)$  is nonempty and finite (up to associates).*

*Proof.* (1) The direct implication follows from Proposition 4.6 because  $D$  is a divisor-closed subring of both  $R$  and  $S$ . For the reverse implication, consider the general element  $(hX^r)(1 + Xg(X))$  of  $D + XL[X]$ , where  $h \in L$  and  $g(X) \in L[X]$ . The element  $1 + Xg(X)$  is a product of powers of finitely many height-one primes in  $L[X]$  and hence in  $D + XL[X]$ . Let  $n_g$  be the number of prime divisors of  $1 + Xg(X)$  and let  $n_D$  be the number of atoms in  $D$  (up to associates). If  $r > 0$ , then the number of irreducible divisors of  $(hX^r)(1 + Xg(X))$  is  $n_D + n_g$ . On the other hand, if  $r = 0$ , then  $h \in D$  and so the number of irreducible divisors of  $h$  is  $n_h \leq n_D$ , and so the number of irreducible divisors of  $h(1 + Xg(X))$  is  $m$  such that  $1 \leq m \leq n_D + n_g$ . Conversely,  $D$  must have at most a finite number of irreducible elements because  $X \in R$  is divisible by every element of  $D$ .

(2) This is similar to part (1). □

It follows from [6, Lemma 4.18] that  $\mathcal{A}(R) \subseteq T^\times \cup \mathcal{A}(T)$  and, if  $D$  is a field,  $\mathcal{A}(R) \subseteq \mathcal{A}(T)$ .

**Proposition 4.8.** *Let  $T$  be an integral domain, and let  $K$  and  $M$  be a subfield of  $T$  and a nonzero maximal ideal of  $T$ , respectively, such that  $T = K + M$ . For a subfield  $F$  of  $K$ , set  $R = F + M$ .*

- *When  $M \cap \mathcal{A}(R) \neq \emptyset$ , then the following statements hold.*
  - (1)  *$R$  is an IDF-domain if and only if  $T$  is an IDF-domain and  $|K^\times/F^\times| < \infty$ .*
  - (2)  *$R$  is a TIDF-domain if and only if  $T$  is a TIDF-domain and  $|K^\times/F^\times| < \infty$ .*
- *When  $M \cap \mathcal{A}(R) = \emptyset$ , then the following statements hold.*
  - (4)  *$R$  is an IDF-domain if and only if  $T$  is an IDF-domain.*
  - (5)  *$R$  is a TIDF-domain if and only if  $T$  is a TIDF-domain.*

*Proof.* (1) This is [1, Proposition 4.2(a)].

(2) For the direct implication, suppose that  $R$  is a TIDF-domain. In light of part (1), we only need to argue that  $D_T(t)$  is nonempty for every nonzero nonunit  $t \in T$ . Let  $t$  be a nonzero nonunit of  $T$ . After replacing  $t$  by one of its associate elements in  $T$ , we can assume that  $t \in R$ . Since  $t$  is a nonunit of  $T$ , then it must be a nonunit of  $R$ . This, along with the fact that  $R$  is a TIDF-domain, ensures the existence of  $a \in \mathcal{A}(R)$  such that  $a \mid_R t$ . Because  $F$  is a field, it follows from [6, Lemma 4.18] that  $\mathcal{A}(R) \subseteq \mathcal{A}(T)$ . Thus,  $a \in \mathcal{A}(T)$ , and so  $a \mid_T t$ . Hence  $D_T(t)$  is nonempty.

Conversely, suppose that  $T$  is a TIDF-domain and  $|K^\times/F^\times| < \infty$ . Because of part (1), it suffices to show that  $D_R(r)$  is nonempty for every nonzero nonunit  $r \in R$ . Fix a nonzero nonunit  $r \in R$ . From the fact that  $F$  is a field, one can easily argue that  $R^\times = T^\times \cap R$  (see [6, Lemma 4.7]) and, therefore, we obtain that  $r$  is a nonunit in  $T$ . We split the rest of the proof in the following two cases.

*Case 1:  $r \notin M$ .* In this case, after replacing  $r$  for one of its associates in  $R$ , we can assume that  $r = 1 + m$  for some nonzero  $m \in M$ . Because  $T$  is a TIDF-domain,  $1 + m$  has an irreducible divisor in  $T$ , and we can assume that such an irreducible divisor of  $1 + m$  has the form  $1 + m'$  for some  $m' \in M$  (note that no element of  $M$  can divide  $1 + m$  in  $T$ ). From  $1 + m' \in \mathcal{A}(T) \setminus M$  and  $R^\times = T^\times \cap R$ , one infers that  $1 + m' \in \mathcal{A}(R)$ . Moreover, it is clear that  $1 + m'$  divides  $r$  in  $R$ . Thus,  $D_R(r)$  is nonempty.

*Case 2:  $r \in M$ .* As  $T$  is a TIDF-domain, we can pick  $a \in \mathcal{A}(T)$  such that  $a \mid_T r$ . If  $a \notin M$ , then  $a$  is associate in  $T$  with an irreducible element of the form  $1 + m$  for some nonzero  $m \in M$  and, proceeding as we did in the previous case, we can conclude that  $1 + m \in \mathcal{A}(R)$  and  $1 + m \mid_R r$ . Suppose, on the other hand, that  $a \in M$ . Since every element in  $T$  is associate in  $T$  with an element of  $R$ , after replacing  $a$

by one of its associates in  $T$ , we can assume that  $a \mid_R r$ . Since  $R^\times = T^\times \cap R$ , it follows that  $a \in \mathcal{A}(R)$ . Hence  $D_R(r)$  is nonempty.

(3) This is [1, Proposition 4.2(a)].

(4) This mimics the argument given for part (2) as the hypothesis  $M \cap \mathcal{A}(R) \neq \emptyset$  was only needed to transfer the IDF property.  $\square$

As in the case when  $D$  is not a field, we highlight the following special cases.

**Corollary 4.9.** *Let  $D$  be an integral domain with quotient field  $K$ , and let  $L$  be a field extension of  $K$ . For the subrings  $R = D + XL[X]$  and  $S = D + XL[[X]]$  of  $L[X]$  and  $L[[X]]$ , respectively, the following statements hold.*

- *If  $D$  is not a field, then  $R$  is a TIDF-domain if and only if  $\mathcal{A}(D)$  is nonempty and finite (up to associates).*
- *If  $D$  is a field, then the following statements hold.*
  - (1)  *$R$  is a TIDF-domain if and only if  $|L^*/D^*| < \infty$ .*
  - (2)  *$S$  has  $n$  atoms if and only if  $D$  has  $n > 0$  atoms.*
  - (3)  *$S$  is a TIDF-domain if and only if  $|L^*/D^*| < \infty$ .*

We end this subsection by briefly discussing the  $D + M$  construction on another natural class of domains strictly contained in that of IDF-domains. Following [26], we say that an integral domain  $R$  is a *powerful IDF-domain* (or a *PIDF-domain*) if for every nonzero  $x \in R$  the set  $\bigcup_{n \in \mathbb{N}} D_n(x)$  is finite up to associates, where  $D_n(x)$  denotes the set of divisors of  $x^n$  in  $R$ . Examples of PIDF-domains include Krull domains and, in particular, Dedekind domains and rings of integers of algebraic number fields (see [26, Corollary 3.3]). In addition, every valuation domain is a PIDF: this follows immediately from the fact that a valuation domain can contain only one principal ideal generated by an irreducible element. Observe that  $R$  is an IDF-domain if and only if  $D_1(x)$  is finite for every nonzero  $x \in R$  and, therefore, every PIDF-domain is an IDF-domain. Not every IDF-domain, however, is a PIDF-domain.

**Example 4.10.** [26, ] Let  $F$  be a field of characteristic zero, and consider the subring  $F[X^2, X^3]$  of  $F[x]$ . It is not hard to verify that  $R$  is an FFD and, therefore, it is an IDF-domain. On the other hand, it follows from [26, Proposition 4.1] that  $R$  is not a PIDF-domain.

**Proposition 4.11.** *Let  $T$  be an integral domain, and let  $K$  and  $M$  be a subfield of  $T$  and a nonzero maximal ideal of  $T$ , respectively, such that  $T = K + M$ . For a subfield  $F$  of  $K$ , set  $R = F + M$ . Then the following statements hold.*

- (1) *When  $M \cap \mathcal{A}(R) \neq \emptyset$ , then  $R$  is a PIDF-domain if and only if  $T$  is a PIDF-domain and  $|K^\times/F^\times| < \infty$ .*
- (2) *When  $M \cap \mathcal{A}(R) = \emptyset$ , then  $R$  is a PIDF-domain if and only if  $T$  is a PIDF-domain.*

*Proof.* (1) Assume that  $R$  is a PIDF-domain. In particular,  $R$  is an IDF-domain, and it follows from part (1) that  $T$  is an IDF-domain and  $|K^\times/F^\times| < \infty$ . Suppose, towards a contradiction, that  $T$  is not a PIDF-domain. As  $T$  is an IDF-domain, for some nonzero  $t \in T$  we can choose a strictly increasing sequence  $(k_n)_{n \in \mathbb{N}}$  of positive integers and a sequence  $(a_n)_{n \in \mathbb{N}}$  of pairwise non-associate irreducibles of  $T$  with  $a_n \mid_T t^{k_n}$  for every  $n \in \mathbb{N}$ . After replacing  $t$  by one of its associates in  $T$ , we can assume that  $t \in R$ . Similarly, we can assume that  $a_n \in \mathcal{A}(R)$  and  $a_n \mid_R t^{k_n}$  for every  $n \in \mathbb{N}$  (here we are using that  $R^\times = T^\times \cap R$ ). Therefore  $(a_n)_{n \in \mathbb{N}}$  is a sequence of non-associate irreducibles in  $R$  with  $a_n R^\times \in \bigcup_{n \in \mathbb{N}} D_R(t^n)$ . Thus,  $|\bigcup_{n \in \mathbb{N}} D_R(t^n)| = \infty$ , contradicting that  $R$  is a PIDF-domain.

Conversely, suppose that  $T$  is a PIDF-domain and  $|K^\times/F^\times| < \infty$ , and take  $\alpha_1, \dots, \alpha_m \in K^\times$  such that  $K^\times/F^\times = \{\alpha_1 F^\times, \dots, \alpha_m F^\times\}$ . Fix a nonzero nonunit  $r \in R$ . Since  $F$  is a field, it follows



from [6, Lemma 4.18] that  $\mathcal{A}(R) \subseteq \mathcal{A}(T)$ , and so  $aT^\times \in \bigcup_{n \in \mathbb{N}} D_T(r^n)$  for every  $a \in \mathcal{A}(R)$  with  $aR^\times \in \bigcup_{n \in \mathbb{N}} D_R(r^n)$ . Hence we can define  $\varphi: \bigcup_{n \in \mathbb{N}} D_R(r^n) \rightarrow \bigcup_{n \in \mathbb{N}} D_T(r^n)$  by  $\varphi(aR^\times) = aT^\times$ . Now for  $bT^\times \in \bigcup_{n \in \mathbb{N}} D_T(r^n)$ , we see that  $\varphi^{-1}(bT^\times) \subseteq \{\alpha_1 bR^\times, \dots, \alpha_m bR^\times\}$ . This, along with the fact that  $\bigcup_{n \in \mathbb{N}} D_T(r^n)$  is finite, guarantees that  $\bigcup_{n \in \mathbb{N}} D_R(r^n)$  is a finite set. As a result,  $R$  is a PIDF-domain.

(2) These follow the lines of part (1) as the hypothesis  $M \cap \mathcal{A}(R) \neq \emptyset$  was only needed to transfer the IDF property.  $\square$

**4.4. Localization.** It is well known that the property of being atomic is not preserved under localization. Indeed, there are atomic domains with localizations that are antimatter domains (i.e., do not contain any irreducibles) but not fields. The following example sheds some light upon this observation.

**Example 4.12.** Consider the monoid ring  $F[M]$ , where  $F$  is the field of  $p$  elements and  $M$  is the monoid  $\{0\} \cup \mathbb{Q}_{\geq 1}$ . Observe that the localization of  $F[M]$  at the multiplicative set  $S = \{X^m \mid m \in M\}$  is the group ring  $F[\mathbb{Q}]$ . It follows from [17, Theorem 14.17] that  $F[\mathbb{Q}]$  does not satisfy ACCP. Moreover, since  $F$  is a perfect field of characteristic  $p$ , every nonunit of  $F[\mathbb{Q}]$  is the  $p$ -th power of a nonunit, and so  $F[\mathbb{Q}]$  is an antimatter domain.

However, under some special conditions on the multiplicative set, the property of being atomic is preserved under localization. Let  $A \subseteq B$  be a ring extension. Following Cohn [12], we call  $A \subseteq B$  an *inert extension* if  $xy \in A$  for  $x, y \in B^*$  implies that  $ux, u^{-1}y \in A$  for some  $u \in B^\times$ . Let  $A \subseteq B$  be an inert extension of integral domains, then one can readily verify that  $\mathcal{A}(A) \subseteq B^\times \cup \mathcal{A}(B)$ . Therefore if  $A \subseteq B$  is inert and  $A^\times = B^\times \cap A$ , then  $\mathcal{A}(A) = \mathcal{A}(B) \cap A$ .

**Example 4.13.**

- (1) If  $R$  is an integral domain, then the extension  $R \subseteq R[X]$  is inert.
- (2) Furthermore, under the usual notation of the  $D + M$  construction, where  $T = K + M$  and  $R = D + M$ , it is not hard to argue that both extensions  $D \subseteq R$  and  $R \subseteq T$  are inert.
- (3) Fix  $n \in \mathbb{Z}$  with  $n \geq 2$ , and then consider the extension  $R[X^n] \subseteq R[X]$ . It is clear that  $R[X^n]^\times = R^\times$ . Observe, on the other hand, that although  $X^n \in R[X^n]$  there is no  $u \in R^\times$  such that  $uX \notin R[X^n]$ . As a result,  $R[X^n] \subseteq R[X]$  is not an inert extension.

Let  $R$  be an integral domain, and let  $S$  be a multiplicative set of  $R$ . If  $S$  is generated by primes, then the localization extension  $R \subseteq R_S$  is inert by [2, Proposition 1.9]. Now if  $R \subseteq R_S$  is an inert extension, then we know by [2, Theorem 2.1] that  $R_S$  is atomic provided that  $R$  is atomic. Unfortunately, the same statement is not longer true if atomicity is replaced by the IDF property [2, Example 2.3] or the TIDF property (Example 4.14).

The ring in the following example is basically the same ring used in [2, Example 2.3].

**Example 4.14.** Let  $D$  denote the localization of  $\mathbb{Z}$  at a prime  $p$ , and then set  $R = D + X\mathbb{R}[[X]]$ . Observe that  $D$  has only one irreducible up to associates, namely  $p$ , and this irreducible element divides every nonunit of  $D$ . In particular,  $D$  is a TIDF-domain with finitely many irreducibles up to associates. Since  $\mathbb{R}[[X]]$  is a local domain, it follows from part (4) of Proposition 4.6 that  $R$  is also a TIDF-domain. One can easily check that  $p$  is also prime in  $R$ . Thus, if  $S$  is the multiplicative set  $pR^\times$ , then the extension  $R \subseteq R_S$  is inert. However, as argued in [2, Example 2.3], the ring  $R_S$  is not even an IDF-domain.

A saturated multiplicative subset  $S$  of an integral domain  $R$  is called *splitting* if every  $r \in R$  can be written as  $r = as$  for some  $a \in R$  and  $s \in S$  such that  $aR \cap s'R = as'R$  for all  $s' \in S$ . If  $S$  is a splitting multiplicative set, then the extension  $R \subseteq R_S$  is inert by [2, Proposition 1.5]. In addition, if  $R$  is atomic, then every multiplicative subset of  $R$  generated by primes is a splitting multiplicative set. In general, localization does not preserve irreducibles in integral domains, after all, fields do not

contain irreducibles. However, if  $D$  is an integral domain and  $S$  is a splitting multiplicative set of  $D$ , then  $x \in \mathcal{A}(D)$  if and only if  $x \in \mathcal{A}(D_S)$  for all  $x \in D$  [2, Corollary 1.4(c)].

In the next proposition we establish the statements of both [2, Theroem 3.1] and [2, Theorem 2.4(a)] for the case of TIDF-domains.

**Proposition 4.15.** *Let  $R$  be an integral domain, and let  $S$  be a splitting multiplicative subset of  $R$  generated by primes. Then  $R$  is a TIDF-domain if and only if  $R_S$  is a TIDF-domain.*

*Proof.* Let  $B$  be the subset of  $R$  consisting of all elements that are not divisible in  $R$  by any prime contained in  $S$ . Write  $r = bs$  for some  $b \in R$  and  $s \in S$ . If  $p$  is a prime in  $S$  and  $r = bs$  for some  $b \in R$  and  $s \in S$  such that  $bR \cap s'R = bs'R$  for all  $s' \in S$ , then [2, Proposition 1.6] ensures the existence of a maximum  $m \in \mathbb{N}_0$  such that  $p^m \mid_R b$ , and so  $b \in bR \cap p^m R = bp^m R$ , which implies that  $m = 0$ . Therefore every element of  $r \in R$  can be written as  $r = bs$  for some  $b \in B$  and  $s \in S$ .

By virtue of [2, Theroem 3.1] and [2, Theorem 2.4(a)], the ring  $R$  is an IDF-domain if and only if  $R_S$  is an IDF-domain. Therefore it suffices to show that  $R$  is a Furstenberg domain if and only if  $R_S$  is a Furstenberg domain. For the direct implication, assume that  $R$  is a Furstenberg domain. It suffices to argue that every nonzero nonunit  $r \in R$  has an irreducible divisor in  $R_S$ . Since  $R \subseteq R_S$  is an inert extension,  $\mathcal{A}(R) \subseteq R_S^\times \cup \mathcal{A}(R_S)$  by [2, Lemma 1.1]. Write  $r = bs$  for some  $b \in B$  and  $s \in S$ . Since  $R$  is Furstenberg, there is an  $a \in \mathcal{A}(R)$  such that  $b = ar'$  for some  $r' \in R$ . Since none of the primes contained in  $S$  can divide  $a$  in  $R$ , it follows that  $a \notin S = R_S^\times$ . Hence  $a \in \mathcal{A}(R_S)$ . Thus,  $R_S$  is Furstenberg.

Conversely, suppose that  $R_S$  is a Furstenberg domain. We will argue that every nonzero nonunit  $r \in R$  has an irreducible divisor. We assume that  $p \nmid_R r$  for any  $p \in S$  as otherwise we are done. Since  $R_S$  is Furstenberg, there exists  $a' \in \mathcal{A}(R_S)$  dividing  $r$  in  $R_S$ . As  $R_S^\times = S$ , we can actually assume that  $a' \in R$ . As  $S$  is splitting,  $a' = as$  for some  $a \in R$  and  $s \in S$  such that  $aR \cap s'R = as'R$  for all  $s' \in S$ . It follows from [2, Corollary 1.4] that  $a \in \mathcal{A}(R)$ . Write  $r = a\frac{b}{s}$  for some  $b \in R$  and  $s \in S$ . Now the fact that  $s \mid_R ab$  implies that  $s \mid_R b$ . As a result,  $a$  is an irreducible divisor of  $r$  in  $R$ . Thus,  $R$  is a Furstenberg domain.  $\square$

Let us end this subsection with a version of Proposition 4.15 for PIDF-domains. We emphasize that the proof we give is completely parallel to that of [2, Theorem 2.4(a)].

**Proposition 4.16.** *Let  $D$  be an integral domain, and let  $S$  be a splitting multiplicative subset of  $D$  generated by primes. Then  $D$  is a PIDF-domain if and only if  $D_S$  is a PIDF-domain.*

*Proof.* Suppose first that  $D$  is a PIDF-domain, and let  $x$  be a nonzero nonunit of  $D_S$ . Now suppose that  $y \in D_S$  is a divisor of  $x^k$  in  $D_S$  for some  $k \in \mathbb{N}$ . After replacing both  $y$  and  $x^k$  by some of their associates in  $D_S$ , we can assume that  $y, x^k \in D$ . Furthermore, as  $S$  is a splitting multiplicative set, after replacing  $y$  by some element in  $yS^{-1}$ , we can assume that  $yD \cap sD = ysD$  for all  $s \in S$ . As  $S$  is a splitting multiplicative set of  $D$ , the element  $y$  is irreducible in  $D$ . Now write  $x^k = y(d/s)$  for some  $d \in D$  and  $s \in S$ . Then  $sx^k = yd \in yD \cap sD = ysD$ , and so there is a  $d' \in D$  such that  $sx^k = ysd'$ , that is,  $x^k = yd'$ . Thus,  $y$  divides  $x^k$  in  $D$ . Thus, the fact that  $D$  is a PIDF-domain implies that only finitely many irreducibles in  $D_S$  (up to associates) divide some power of  $x$  in  $D_S$ . Hence  $D_S$  is a PIDF-domain.

Conversely, suppose that  $D_S$  is a PIDF-domain, and let  $x$  be a nonzero nonunit of  $D$ . Take  $y$  to be an irreducible divisor of a power of  $x$  in  $D$ . Now write  $x = x's$  for some  $x' \in D$  and  $s \in S$  such that no prime in  $S$  divides  $x'$  in  $D$ . As  $D_S$  is a PIDF-domain, only finitely many irreducibles of  $D_S$  (up to associates) divide some power of  $x'$ , namely,  $a_1, \dots, a_k$ , which we can assume to be in  $D$ . Then  $a_1, \dots, a_k \in \mathcal{A}(D)$  because  $S$  is a splitting multiplicative set of  $D$ . If  $y \in S$ , then  $y$  is associate to one of the finitely many primes in  $S$  dividing  $s$ . Now suppose that  $y \notin S$ . As  $D \subseteq D_S$  is an inert extension,  $\mathcal{A}(D) \subseteq D_S^\times \cup \mathcal{A}(D_S)$  and, therefore,  $y \in \mathcal{A}(D_S)$ . Since  $y$  is an irreducible that is not in  $S$ , the fact that  $y$  divides some power of  $x's$  in  $D$  ensures that  $y$  divides some power of  $x'$  in  $D_S$ . Then we can take  $j \in \llbracket 1, k \rrbracket$  such that  $y = a_j(s_1/s_2)$  for some  $s_1, s_2 \in S$ . Because  $S$  is generated by primes  $a_j, y \in \mathcal{A}(D) \setminus S$

guarantees that  $s_1/s_2 \in D^\times$ , and so  $y$  is associate to  $a_j$  in  $D$ . Hence any irreducible divisor of a power of  $x$  in  $D$  must be associate with either a prime dividing  $s$  or an irreducible in  $\{a_1, \dots, a_k\}$ . Hence  $D$  is a PIDF-domain.  $\square$

**4.5. Direct Limits.** A ring homomorphism  $f: R \rightarrow S$  is called a *divisor homomorphism* if  $x \mid_R y$  provided that  $f(x) \mid_S f(y)$  for all  $x, y \in R$ . Observe that if  $f$  is a divisor homomorphism, then  $f^{-1}(S^\times) = R^\times$ , and so  $f(R^\times) = S^\times \cap f(R)$ , which implies that  $f^{-1}(\mathcal{A}(S)) \subseteq \mathcal{A}(R)$ . However, divisor homomorphisms are not, in general, atomically inert. We say that  $f$  is a *divisor-closed homomorphism* if  $f$  is a divisor homomorphism such that  $f(R)$  is a divisor-closed subring of  $S$ .

**Lemma 4.17.** *For a divisor-closed homomorphism  $f: R \rightarrow S$ , the following statements hold.*

- (1)  $f(R^\times) = S^\times$ , and so  $f^{-1}(S^\times) = R^\times$ .
- (2)  $f^{-1}(\mathcal{A}(S)) = \mathcal{A}(R)$ , and so  $f(\mathcal{A}(R)) = \mathcal{A}(S) \cap f(S)$ . In particular,  $f$  is atomically inert.

*Proof.* (1) It is clear that  $f(R^\times) \subseteq S^\times$ . For the reverse implication, take  $y \in S^\times$ . Since  $y \mid_S 1 = f(1)$ , the fact that  $f(R)$  is a divisor-closed subring of  $S$  allows us to write  $y = f(x)$  for some  $x \in R$  and the fact that  $f$  is a divisor homomorphism now implies that  $x \mid_R 1$ . Thus,  $x \in R^\times$  and so  $y \in f(R^\times)$ . For the second equality, first observe that if  $f(x) \in S^\times$  for some  $x \in R$ , then  $f(x) \mid_S f(1)$  and, as  $f$  is a divisor homomorphism,  $x \in R^\times$ . Therefore  $R^\times = f^{-1}(f(R^\times)) = f^{-1}(S^\times)$ .

(2) Take  $a \in \mathcal{A}(R)$  and write  $f(a) = y_1 y_2$  for some  $y_1, y_2 \in N$ . Since  $f(R)$  is a divisor-closed subring of  $S$ , then  $y_1 = f(x_1)$  and  $y_2 = f(x_2)$  for some  $x_1, x_2 \in R$ , and so  $f(a) = f(x_1 x_2)$ . As  $f$  is a divisor homomorphism, we can take  $u \in R^\times$  with  $a = u x_1 x_2$ , which implies that either  $x_1 \in R^\times$  or  $x_2 \in R^\times$ . Hence  $y_1 \in S^\times$  or  $y_2 \in S^\times$ , and so  $f(a) \in \mathcal{A}(S)$ . Thus,  $f$  is atomically inert, and so  $\mathcal{A}(R) \subseteq f^{-1}(\mathcal{A}(S))$ . For the reverse inclusion, take  $x \in R$  with  $f(x) \in \mathcal{A}(S)$ , and then write  $x = x'_1 x'_2$  for  $x'_1, x'_2 \in R$ . Therefore  $f(x'_1) \in S^\times$  or  $f(x'_2) \in S^\times$ , and so  $x'_1 \in f^{-1}(S^\times) = R^\times$  or  $x'_2 \in f^{-1}(S^\times) = R^\times$  by part (1), and we obtain that  $x \in \mathcal{A}(R)$ . Hence  $f^{-1}(\mathcal{A}(S)) = \mathcal{A}(R)$ . The second equality is an immediate implication of the first one.  $\square$

Being a divisor(-closed) homomorphism transfers from the homomorphism of a directed system of integral domains to the homomorphisms of its direct limit.

**Proposition 4.18.** *Let  $I$  be a directed set, and let  $(f_{ij}: R_i \rightarrow R_j)_{i,j \in I}$  be a directed system of integral domains with colimit  $(\varinjlim R_i, (f_i)_{i \in I})$ . Then the following statements hold.*

- (1)  $f_{ij}$  is a divisor homomorphism for all  $i, j \in I$  if and only if  $f_i$  is a divisor homomorphism for all  $i \in I$ .
- (2) If  $f_{ij}$  is a divisor-closed homomorphism for all  $i, j \in I$ , then  $f_i$  is a divisor-closed homomorphism for all  $i \in I$ .

*Proof.* (1) Let  $R$  denote the colimit of the system  $(R_i, (f_i)_{i \in I})$ . For the direct implication, suppose that  $f_{ij}$  is a divisor homomorphism for all  $i, j \in I$ . Now fix  $i \in I$ , and take  $x_i, y_i \in R_i$  such that  $f_i(x_i) \mid_R f_i(y_i)$ . Pick  $j \in I$  and  $x_j \in R_j$  such that  $[x_i] \cdot [x_j] = [y_i]$ . Take  $k \in I$  with  $k \geq i, j$  such that  $[x_i] \cdot [x_j] = [f_{ik}(x_i) f_{jk}(x_j)]$ , and then  $\ell \in I$  with  $\ell \geq k$  such that  $f_{i\ell}(x_i) f_{j\ell}(x_j) = f_{i\ell}(y_i)$  in  $R_\ell$ . Since  $f_{i\ell}$  is a divisor homomorphism and  $f_{i\ell}(x_i) \mid_{R_\ell} f_{i\ell}(y_i)$ , it follows that  $x_i \mid_{R_i} y_i$ . Hence  $f_i$  is a divisor homomorphism.

For the reverse implication, assume that  $f_i$  is a divisor homomorphism for every  $i \in I$ . Fix  $i, j \in I$  with  $i \leq j$ , and let us verify that  $f_{ij}$  is a divisor homomorphism. To do this, take  $x_i, y_i \in M_i$  such that  $f_{ij}(x_i) \mid_{R_j} f_{ij}(y_i)$ . This implies that  $f_j(f_{ij}(x_i))$  divides  $f_j(f_{ij}(y_i))$  in  $R$ , that is,  $f_i(x_i) \mid_R f_i(y_i)$ . As  $f_i$  is a divisor homomorphism,  $x_i \mid_R y_i$ . Thus, we conclude that  $f_{ij}$  is a divisor homomorphism.

(2) Assume that  $f_{ij}$  is a divisor-closed homomorphism for all  $i, j \in I$ . Fix  $i \in I$  and suppose that  $[x_j]$  divides  $f_i(x_i)$  in  $R$  for some  $j \in I$  and  $x_j \in R_j$ . Take  $k \in I$  with  $k \geq i, j$ . Since  $f_k$  is a divisor

homomorphism by the previous statement, the fact that  $f_k(f_{jk}(x_j))$  divides  $f_k(f_{ik}(x_i))$  in  $R$  ensures that  $f_{jk}(x_j)$  divides  $f_{ik}(x_i)$  in  $R_k$ . As  $f_{ik}$  is divisor-closed, there exists  $x'_i \in R_i$  such that  $f_{ik}(x'_i) = f_{jk}(x_j)$ , and so  $[x_j] = f_k(f_{jk}(x_j)) = f_k(f_{ik}(x'_i)) = f_i(x'_i) \in f_i(R_i)$ . Thus,  $f_i$  is divisor-closed for every  $i \in I$ .  $\square$

In the following theorem we give some conditions under which the IDF properties we study in this section are preserved under direct limits.

**Theorem 4.19.** *Let  $I$  be a directed set, and let  $(f_{ij}: R_i \rightarrow R_j)_{i \in I}$  be a directed system of integral domains with direct limit  $(\varinjlim R_i, (f_i)_{i \in I})$ . If  $f_{ij}$  is a divisor-closed homomorphism for all  $i, j \in I$  with  $i \leq j$ , then the following statements hold.*

- (1) *If  $R_i$  is an IDF-domain for each  $i \in I$ , then  $\varinjlim R_i$  is an IDF-domain.*
- (2) *If  $R_i$  is a TIDF-domain for each  $i \in I$ , then  $\varinjlim R_i$  is a TIDF-domain.*

*Proof.* Set  $R := \varinjlim R_n$ . Since  $f_{ij}$  is a divisor-closed homomorphism for all  $i, j \in I$  with  $i \leq j$ , it follows from part (2) of Proposition 4.18 that  $f_i$  is a divisor-closed homomorphism for each  $i \in I$ . Therefore, by part (2) of Lemma 4.17, the homomorphism  $f_i$  is atomically inert and satisfies  $f_i^{-1}(\mathcal{A}(R)) = \mathcal{A}(R_i)$  for each  $i \in I$ .

(1) Assume that  $R_i$  is an IDF-domain for all  $i \in I$ . For all  $i, j \in I$  with  $i \leq j$ , the homomorphism  $f_{ij}$  is atomically inert by Lemma 4.17. Now take  $[x] \in R$  and  $i \in I$  with  $x \in R_i$ . We claim that  $|D_R([x])| \leq |D_{R_i}(x)|$ . Suppose that  $[a]$  and  $[b]$  are two non-associate irreducible divisors of  $[x]$  in  $R$  with  $a \in R_j$  and  $b \in R_k$  for some  $j, k \in I$ . Take  $\ell \in I$  large enough so that  $i, j, k \leq \ell$ . Since  $f_j$  and  $f_k$  are divisor-closed homomorphisms and  $[a], [b] \in \mathcal{A}(R)$ , we see that  $a \in \mathcal{A}(R_j)$  and  $b \in \mathcal{A}(R_k)$ . Now, as  $f_\ell$  is a divisor homomorphism, the fact that  $[a] \mid_R [x]$  implies that  $f_{j\ell}(a) \mid_{R_\ell} f_{i\ell}(x)$ . Then we see that  $f_{j\ell}(a) \in f_{i\ell}(R_i)$  because the latter is a divisor-closed subring of  $R_\ell$ . Take  $a' \in R_i$  such that  $f_{j\ell}(a) = f_{i\ell}(a')$ . Since  $f_{j\ell}$  is atomically inert by Lemma 4.17 and  $f_{i\ell}$  is a divisor-closed homomorphism,  $a' \in \mathcal{A}(R_i)$ . Similarly, we can choose  $b' \in \mathcal{A}(R_i)$  with  $f_{k\ell}(b) = f_{i\ell}(b')$ . Then the fact that  $f_i$  is a divisor homomorphism guarantees that both  $a'$  and  $b'$  divide  $x$  in  $R_i$ . Observe in addition that  $a'$  and  $b'$  are non-associate elements of  $R_i$  because their images  $[a]$  and  $[b]$  are not associate elements in  $R$ . Hence  $|D_R([x])| \leq |D_{R_i}(x)|$ , as claimed. Then the fact that each  $R_i$  is an IDF-domain implies that  $R$  is an IDF-domain.

(2) Suppose that  $R_i$  is a TIDF-domain for every  $i \in I$ . Given part (1), it suffices to prove that  $D_1([x])$  is nonempty for every nonunit  $[x] \in R$ . To do so, take a nonunit  $[x] \in R$ , and then take  $i \in I$  such that  $x \in R_i$ . Since  $R_i$  is a TIDF-domain, there is an  $a \in \mathcal{A}(R_i)$  such that  $a \mid_{R_i} x$ . This, along with the fact that  $f_i$  is atomically inert, implies that  $[a]$  is an irreducible in  $R$  dividing  $[x]$ , and so  $D_R([x])$  is nonempty. Hence we can conclude that  $R$  is a TIDF-domain.  $\square$

As we did with the  $D + M$  construction, we end this subsection by briefly discussing direct limits of PIDF-domains.

**Proposition 4.20.** *Let  $I$  be a directed set, and let  $(f_{ij}: R_i \rightarrow R_j)_{i \in I}$  be a directed system of integral domains with direct limit  $(\varinjlim R_i, (f_i)_{i \in I})$ . Let  $f_{ij}$  be a divisor-closed homomorphism for all  $i, j \in I$  with  $i \leq j$ . If  $R_i$  is a PIDF-domain for each  $i \in I$ , then  $\varinjlim R_i$  is a PIDF-domain.*

*Proof.* Set  $R := \varinjlim R_n$ . Since  $f_{ij}$  is a divisor-closed homomorphism for all  $i, j \in I$  with  $i \leq j$ , it follows from part (2) of Proposition 4.18 that  $f_i$  is a divisor-closed homomorphism for each  $i \in I$ . Therefore, by part (2) of Lemma 4.17, the homomorphism  $f_i$  is atomically inert and satisfies  $f_i^{-1}(\mathcal{A}(R)) = \mathcal{A}(R_i)$  for each  $i \in I$ .

Assume that  $R_i$  is a PIDF-domain for each  $i \in I$ . We proceed by contradiction: suppose that there exists a nonzero  $[x] \in R$  such that  $\bigcup_{n \in \mathbb{N}} D_R([x]^n)$  is an infinite set, and fix  $i \in I$  such that  $x \in R_i$ . Since  $R_i$  is a PIDF-domain, we can pick  $N \in \mathbb{N}$  with  $|\bigcup_{n \in \mathbb{N}} D_{R_i}(x^n)| < N$ . It follows from part (1) that

$D_R([x]^n)$  is finite for every  $n \in \mathbb{N}$ . Then we can take a strictly increasing sequence  $(k_n)_{n \in \mathbb{N}}$  of positive integers and a sequence  $([a_n])_{n \in \mathbb{N}}$  of pairwise non-associate irreducibles of  $R$  such that  $[a_n] \mid_R [x^{k_n}]$ . For each  $n \in \llbracket 1, N \rrbracket$ , choose  $i_n \in I$  such that  $a_n \in R_{i_n}$ . Now take  $\ell \in I$  with  $\ell \geq i_1, \dots, i_N$ . Observe that  $f_{n\ell}(a_n) \mid_{R_\ell} f_{i\ell}(x^{k_n})$  for every  $n \in \llbracket 1, N \rrbracket$  because  $f_\ell$  is a divisor homomorphism. Proceeding as we did in part (1), for each  $n \in \llbracket 1, N \rrbracket$  we can produce  $a'_n \in \mathcal{A}(R_i)$  with  $f_i(a'_n) = [a_n]$ . Since  $[a_1], \dots, [a_N]$  are pairwise non-associates in  $R$ , it follows that  $a'_1, \dots, a'_N$  are pairwise non-associate irreducibles of  $R_i$ , each of them dividing some power of  $x$  in  $R_i$ . However, this contradicts that  $|\bigcup_{n \in \mathbb{N}} D_{R_i}(x^n)| < N$ . Thus,  $R$  is a PIDF-domain.  $\square$

## 5. POLYNOMIAL MONOID DOMAINS

For a torsion-free monoid  $M$  and an integral domain  $R$ , we can construct the monoid ring  $R[M]$ , which is also an integral domain. The primary purpose of this final section is to discuss the IDF property on monoid rings.

Since every FFD is an atomic IDF-domain, it must be an IDF-domain. However, there are monoid rings over any prescribed field that are IDF-domains that are not FFDs.

**Proposition 5.1.** *For every field  $F$  and  $d \in \mathbb{N}$ , there exists a monoid ring over  $F$  with Krull dimension  $d$  that is a TIDF-domain but not an FFD.*

*Proof.* content...  $\square$

To begin with, we show that, for every  $n \in \mathbb{N}$ , there exists an  $n$ -dimensional monoid ring (over any prescribed field) that is not an IDF-domain.

**Proposition 5.2.** *For every field  $F$  and  $d \in \mathbb{N}$ , there exists a monoid ring over  $F$  with Krull dimension  $d$  that is a BFD but not an FFD.*

*Proof.* First, suppose that  $d = 1$ . Consider the monoid  $M = \{0\} \cup \mathbb{Q}_{\geq 1}$ . It is clear that the difference group of  $M$  is  $\mathbb{Q}$ . We can argue, following the lines of [6, Example 4.7], that  $F[M]$  is a BFD that is not an FFD. On the other hand,  $\dim F[M] = \dim F[\mathbb{Q}] = \dim F[x] = 1$ , where the first equality follows from [17, Theorem 21.4] and the second equality follows from [17, Theorem 17.1].

Suppose now that  $d \geq 2$ . Let  $M$  be the additive submonoid  $\{0\} \cup (\mathbb{Z}^{d-1} \times \mathbb{N})$  of the free abelian group  $\mathbb{Z}^d$ . Clearly,  $M$  is torsion-free. Consider the monoid domain  $F[M]$ . One can easily see that  $\mathcal{A}(M) = \mathbb{Z}^{d-1} \times \{1\}$ . This immediately implies that  $M$  is a BFM (indeed, an HFM). Since  $M$  is a reduced torsion-free BFM, it follows from [3, Theorem 13] that  $F[M]$  is a BFD. On the other hand,  $x_d^2 = (x_1^{-k} x_d)(x_1^k x_d)$  for every  $k \in \mathbb{N}$  (after identifying  $F[M]$  with the obvious subring of the Laurent polynomial ring  $F[x_1^{\pm 1}, \dots, x_d^{\pm 1}]$ ), and it is clear that the monomials  $x_1^k x_d$  (for all  $k \in \mathbb{Z}$ ) are pairwise non-associate irreducible elements in  $F[M]$ . Hence  $F[M]$  is not an FFD. Finally,  $\dim F[M] = \dim F[\mathbb{Z}^d] = d$  by virtue of [17, Theorem 21.4] and [17, Theorem 17.1].  $\square$

As an integral domain is an FFD if and only if it is an atomic IDF-domain [1, Theorem 5.1], we obtain the following corollary.

**Corollary 5.3.** *For every  $d \in \mathbb{N}$ , there exists an atomic monoid ring with Krull dimension  $d$  that is not an IDF-domain.*

Provided that the monoid  $M$  is chosen as simple as it can possibly be, meaning  $M = \mathbb{N}_0$ , the monoid ring  $R[M]$  becomes a ring of polynomials, and it is known that the property of being irreducible-divisor-finite does not ascend, in general, from  $R$  to  $R[M]$  (see [27, Theorem 2.5]). Following the same methodology, one can choose  $R$  as simple as it can possibly be, namely  $R \in \{\mathbb{Q}, \mathbb{F}_p\}$ , and wonder whether the property of being irreducible-divisor-finite ascends from  $M$  to  $R[M]$ . As for the case of polynomial

rings, this question has a negative answer, and our next goal is to provide explicit construction of counterexamples. Observe that the monoids used to establish Corollary 5.3 are not IDF-monoids.

Let us introduce another family of monoids, which will be more suitable for our purposes. For  $p \in \mathbb{P}$ , set  $M_p := \langle 1/p^n \mid n \in \mathbb{N} \rangle$ , and for a nonempty set  $\mathcal{P}$  consisting of primes, we let  $M_{\mathcal{P}}$  denote the additive submonoid of  $\mathbb{Q}_{\geq 0}$  generated by the set  $\bigcup_{p \in \mathcal{P}} M_p$ , that is,

$$M_{\mathcal{P}} := \langle p^{-n} \mid p \in \mathcal{P} \text{ and } n \in \mathbb{N} \rangle.$$

One can easily see that none of the defining generators of  $M_{\mathcal{P}}$  is an atom. This implies that  $M_{\mathcal{P}}$  is an antimatter monoid. In addition, it follows from [16, Proposition 3.1] that  $M_{\mathcal{P}}$  is not root-closed. The most useful fact about the monoid  $M_{\mathcal{P}}$  is that its subset  $M_p \cap [0, 1)$  is divisor-closed for every  $p \in \mathcal{P}$ : we say that a subset  $S$  of a monoid  $M$  is *divisor-closed* if for all  $s \in S$  and  $t \in M$  the divisibility relation  $t \mid_M s$  implies that  $t \in S$ . Let us prove this.

**Lemma 5.4.** *Let  $\mathcal{P}$  be a set of primes with  $|\mathcal{P}| \geq 2$ . Then  $M_p \cap [0, 1)$  is a divisor-closed subset of  $M_{\mathcal{P}}$  for every  $p \in \mathcal{P}$ .*

*Proof.* Fix  $p \in \mathcal{P}$ , and take an element  $c/p^k \in M_p \cap (0, 1)$  for some  $k \in \mathbb{N}$  and  $c \in \llbracket 1, p^k - 1 \rrbracket$  such that  $\gcd(p, c) = 1$ . Suppose, towards a contradiction, that  $1/q^n \mid_{M_{\mathcal{P}}} c/p^k$  for some  $q \in \mathcal{P} \setminus \{p\}$  and  $n \in \mathbb{N}$ . Then we can write

$$(5.1) \quad \frac{c}{p^k} = \frac{c_1}{q^\ell} + \frac{c_2}{d}$$

for some  $c_1, c_2, d, \ell \in \mathbb{N}$  such that  $c_2/d \in M_{\mathcal{P}}$  and  $\gcd(q, d) = 1$ . After multiplying both sides of (5.1) by  $p^k q^\ell d$ , we see that  $q^\ell$  divides  $c_1$ . However, this contradicts the fact that  $c/p^k < 1$ . Hence  $1/q^n \nmid_{M_{\mathcal{P}}} c/p^k$  for any  $q \in \mathcal{P} \setminus \{p\}$  and  $n \in \mathbb{N}$ , which means that every nonzero divisor of  $c/p^n$  in  $M_{\mathcal{P}}$  belongs to  $M_p \cap (0, 1)$ . Hence  $M_p \cap [0, 1)$  is a divisor-closed subset of  $M_{\mathcal{P}}$ .  $\square$

Let  $R$  be an integral domain, and let  $M$  be a totally ordered monoid. If  $f(x) = c_n x^{q_n} + \dots + c_1 x^{q_1} \in R[M]$  for some nonzero coefficients  $c_1, \dots, c_n \in R$  and  $q_1 < \dots < q_n$ , then we call  $\text{supp}(f(x)) := \{q_1, \dots, q_n\}$  the *support* of  $f$ . If  $S \subseteq R[M] \setminus \{0\}$ , then we set  $\text{supp}(S) := \bigcup_{s(x) \in S} \text{supp}(s(x))$ . We are in a position to prove that  $\mathbb{Q}[M_{\mathcal{P}}]$  is not an IDF-domain provided that  $|\mathcal{P}| \geq 2$ .

**Proposition 5.5.** *Let  $\mathcal{P}$  be a set of primes with  $|\mathcal{P}| \geq 2$ . Then  $M_{\mathcal{P}}$  is an IDF-monoid while  $\mathbb{Q}[M_{\mathcal{P}}]$  is not an IDF-domain.*

*Proof.* We have observed before that  $M_{\mathcal{P}}$  is antimatter; therefore it is an IDF-monoid. In order to prove that  $\mathbb{Q}[M_{\mathcal{P}}]$  is not an IDF-domain, we will argue that  $x - 1 \in \mathbb{Q}[M_{\mathcal{P}}]$  has infinitely many non-associate irreducible divisors. For each  $m \in \mathbb{N}$ , let  $\Phi_m(x)$  denote the  $m$ -th cyclotomic polynomial. Since

$$x - 1 = (x^{1/p^n})^{p^n} - 1 = \prod_{j=0}^{n-1} \Phi_{p^j}(x^{1/p^n})$$

and  $\Phi_{p^j}(x^{1/p^n})$  belongs to  $\mathbb{Q}[M_{\mathcal{P}}]$  for all  $p \in \mathcal{P}$  and  $j, n \in \mathbb{N}$ , it suffices to show that  $\Phi_{p^j}(x^{1/p^k})$  is irreducible in  $\mathbb{Q}[M_{\mathcal{P}}]$  provided that  $p \in \mathcal{P}$  and  $1 \leq j < k$ . Fix  $p \in \mathcal{P}$  and  $j, k \in \mathbb{N}$  with  $1 \leq j < k$ , and then write  $\Phi_{p^j}(x^{1/p^k}) = a(x)b(x)$  for some  $a(x), b(x) \in \mathbb{Q}[M_{\mathcal{P}}]$ . Now write

$$a(x) = a_p(x) + a'(x) \quad \text{and} \quad b(x) = b_p(x) + b'(x)$$

for some  $a_p(x), a'(x), b_p(x), b'(x) \in \mathbb{Q}[M_{\mathcal{P}}]$  such that  $\text{supp}(a_p(x))$  and  $\text{supp}(b_p(x))$  are contained in  $M_p$  while  $\text{supp}(a'(x))$  and  $\text{supp}(b'(x))$  are disjoint from  $M_p$ , that is,  $a_p(x)$  (resp.,  $b_p(x)$ ) is the addition of all monomials in  $a(x)$  (resp.,  $b(x)$ ) whose exponents belong to  $M_p$ . Now set  $f(x) := a_p(x)b'(x) + a'(x)b_p(x) +$



$a'(x)b'(x)$  (note that  $f(x) = a(x)b(x) - a_p(x)b_p(x)$ ). Since  $\deg a(x)b(x) = \deg(\Phi_{p^j}(x^{1/p^k})) < 1$ , it follows that

$$(5.2) \quad \text{supp}(f(x)) = \text{supp}(\Phi_{p^j}(x^{1/p^k}) - a_p(x)b_p(x)) \subseteq M_p \cap (0, 1).$$

As the support of both  $a'(x)$  and  $b'(x)$  is disjoint from  $M_p$ , then every element in the support of  $f(x)$ , which belongs to  $M_p \cap (0, 1)$  by (5.2), must be divisible by an element of  $M_{\mathcal{P}} \setminus M_p$ . Since  $M_p \cap (0, 1)$  is a divisor-closed subset of  $M_{\mathcal{P}}$  by Lemma 5.4, it follows that  $\text{supp}(f(x))$  is empty, that is,  $f(x) = 0$ . Hence  $\Phi_{p^j}(x^{1/p^k}) = a(x)b(x) = a_p(x)b_p(x)$ .

We proceed to show that either  $a_p(x)$  or  $b_p(x)$  has degree zero. Take the minimum  $\ell \in \mathbb{N}$  such that  $p^\ell \text{supp}(a_p(x))$  and  $p^\ell \text{supp}(b_p(x))$  are subsets of  $\mathbb{N}$ . Because

$$p^{j+\ell-k-1}(p-1) = \varphi(p^j)p^{\ell-k} = \deg \Phi_{p^j}((x^{p^\ell})^{1/p^k}) = \deg a_p(x^{p^\ell})b_p(x^{p^\ell}) \in \mathbb{N},$$

we see that  $j + \ell - k - 1 \geq 0$ . Therefore  $\Phi_{p^j}(x^{\ell-k}) = \Phi_p(x^{p^{\ell-k+j-1}}) = \Phi_{j+\ell-k}$  is irreducible in  $\mathbb{Q}[x]$ . Thus, the equality  $\Phi_{p^j}(x^{\ell-k}) = a_p(x^{p^\ell})b_p(x^{p^\ell})$  implies that one of the polynomial expressions  $a_p(x)$  or  $b_p(x)$  is constant, as desired.

Suppose, without loss of generality, that  $a_p(x) \in \mathbb{Q} \setminus \{0\}$ . Since  $\deg a(x)$  divides  $\deg \Phi_{p^j}(x^{1/p^k}) = (p-1)/p^{k-j+1} \in M_p \cap (0, 1)$ , the fact that  $M_p \cap (0, 1)$  is divisor-closed in  $M_{\mathcal{P}}$  ensures that  $\deg a(x) \in M_p$ . Therefore  $\deg a(x) = \deg a_p(x) = 0$ , which implies that  $a(x) \in \mathbb{Q}$ . Hence  $\Phi_{p^j}(x^{1/p^k})$  is irreducible in  $\mathbb{Q}[M_{\mathcal{P}}]$  for all  $j, k \in \mathbb{N}$  with  $1 \leq j < k$ , and it is clear that none of these polynomial expressions are associates in  $\mathbb{Q}[M_{\mathcal{P}}]$ . Hence  $x - 1$  has infinitely many non-associates irreducible divisors, and we can conclude that  $\mathbb{Q}[M_{\mathcal{P}}]$  is not an IDF-domain.  $\square$

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