

Applications of  $t$ -invertible uppers to zero

Let  $D$  be an integral domain with quotient field  $K$  and let  $F(D)$  denote the set of fractional ideals of  $D$ . Denote by  $A^{-1}$  the fractional ideal  $D :_K A = \{x \in K \mid xA \subseteq D\}$ . The function  $A \mapsto A_v = (A^{-1})^{-1}$  on  $F(D)$  is called the  $v$ -operation on  $D$  (or on  $F(D)$ ). Associated to the  $v$ -operation is the  $t$ -operation on  $F(D)$  defined by  $A \mapsto A_t = \cup \{H_v \mid H \text{ ranges over finitely generated subideals of } A\}$ . The  $v$  and  $t$ -operations are examples of the so called star operations, well explained in sections 32 and 34 of [8]. Indeed  $A \subseteq A_t \subseteq A_v$ . A fractional ideal  $A \in F(D)$  is called a  $v$ -ideal (resp., a  $t$ -ideal) if  $A = A_v$  (resp.,  $A = A_t$ ). An integral  $t$ -ideal maximal among integral  $t$ -ideals is a prime ideal called a maximal  $t$ -ideal. If  $A$  is a nonzero integral ideal with  $A_t \neq D$  then  $A$  is contained in at least one maximal  $t$ -ideal. A prime ideal that is also a  $t$ -ideal is called a prime  $t$ -ideal. Call  $I \in F(FD)$   $v$ -invertible (resp.,  $t$ -invertible) if  $(II^{-1})_v = D$  (resp.,  $(II^{-1})_t = D$ ). A prime  $t$ -ideal that is also  $t$ -invertible was shown to be a maximal  $t$ -ideal in Proposition 1.3 of [12, Theorem 1.4].

Let  $X$  be an indeterminate over  $K$ . Given a polynomial  $g \in K[X]$ , let  $A_g$  denote the fractional ideal of  $D$  generated by the coefficients of  $g$ . A prime ideal  $P$  of  $D[X]$  is called a prime upper to 0 if  $P \cap D = (0)$ . Thus a prime ideal  $P$  of  $D[X]$  is a prime upper to 0 if and only if  $P = h(X)K[X] \cap D[X]$ , for a prime  $h$  in  $K[X]$ . It follows from [12, Theorem 1.4] that  $P$  a prime upper to zero of  $D$  is a maximal  $t$ -ideal if and only if  $P$  is  $t$ -invertible if and only if  $P$  contains a polynomial  $f$  such that  $(A_f)_v = D$ . Based on this it was concluded in [10] that if  $f$  is a polynomial in  $D[X]$  such that  $(A_f)_v = D$ , then  $f(X)D[X]$  is a  $t$ -product of uppers to zero. Call a polynomial  $f$  super primitive if  $(A_f)_v = D$  and call  $D$  a PSP domain if every primitive polynomial over  $D$  is super primitive. (In [10], using the fact that every ideal of  $D[X]$  that contained a super primitive polynomial was  $t$ -invertible we concluded that  $fD[X]$  was a  $t$ -product of maximal  $t$ -ideals. An element  $e$  was called a  $t$ -invertibility element if every ideal containing  $e$  was  $t$ -invertible. It was shown in Theorem 1.3 of [10] that a  $t$ -invertibility element is a  $t$ -product of maximal  $t$ -ideals.) The following result makes the above conclusion somewhat more obvious. Yet, before we state the lemma, let's note that every non-constant polynomial in  $D[X]$  belongs to at most a finite number of uppers to zero, some of which may be  $t$ -invertible.

**Lemma 1 .** *Let  $f \in D[X]$  be a non-constant polynomial and suppose that  $P_1, \dots, P_n$  are the only prime uppers to zero containing  $f$  that are maximal  $t$ -ideals. Then (1) for some positive integers  $r_i$  we have  $f(X)D[X] = (AP_1^{r_1} \dots P_n^{r_n})_t$  where  $(A, P_1^{r_1} \dots P_n^{r_n})_t = D[X]$ , i.e.  $A$  is  $t$ -co-maximal with  $P_1^{r_1} \dots P_n^{r_n}$  (2) if  $f$  is super primitive, i.e. is such that  $(A_f)_v = D$ , then  $fD[X] = (P_1^{r_1} \dots P_n^{r_n})_t$ , (3) Any non-constant polynomial  $f$  of  $D[X]$  has at most a finite number of super primitive divisors.*

**Proof.** (1). The proof can be taken from the proof of Proposition 3.7 of [5]. For (2), note that if  $P$  is a maximal  $t$ -ideal containing  $A$ , then  $P$  contains  $f$ . This makes  $P$   $t$ -invertible. But the only  $t$ -invertible maximal  $t$ -ideals containing

$f$  are  $P_1, \dots, P_n$ . This leaves the possibility that  $A$  is contained in a maximal  $t$ -ideal  $M$  with  $M \cap D \neq (0)$ . But this is impossible because  $f \in A \subseteq M$ , forcing  $D = (f, d)_v \subseteq M$ . Thus  $A$  is contained in no maximal  $t$ -ideal. Forcing  $A_t = D$ . But then  $fD[X] = (AP_1^{r_1} \dots P_n^{r_n})_t = (A_t P_1^{r_1} \dots P_n^{r_n})_t = (P_1^{r_1} \dots P_n^{r_n})_t$ . For (3), let's call an ideal  $I$  a  $t$ -divisor of an ideal  $A$  if there is an ideal  $B$  such that  $A = (BI)_t$ . If  $f$  is as in (1), i.e.  $f$  is such that  $fD[X] = (AP_1^{r_1} \dots P_n^{r_n})_t$ , then proper ideals of the kind  $P_1^{a_1} \dots P_n^{a_n}$   $0 \leq a_i \leq r_i$  are  $t$ -divisors of  $fD[X]$  and they only  $t$ -divide  $P_1^{r_1} \dots P_n^{r_n}$ . The reason is that if  $A, B, C$  are ideals such that  $(A, B)_t = D$  and  $A_t \supseteq (BC)_t$ , then  $A_t \supseteq C_t$ . (This is because  $A_t \supseteq (BC)_t \Leftrightarrow A_t = (A, BC)_t = (A, AC, BC)_t = (A, (A, B)C)_t = (A, (A, B)_t C)_t = (A, C)_t \Rightarrow A_t \supseteq C_t$ .) Now as  $(P_1^{a_1} \dots P_n^{a_n})_t \supseteq (AP_1^{r_1} \dots P_n^{r_n})_t$  and as  $P_1^{a_1} \dots P_n^{a_n}$  and  $A$  share no maximal  $t$ -ideals, we have  $(P_1^{a_1} \dots P_n^{a_n})_t \supseteq (P_1^{r_1} \dots P_n^{r_n})_t$ . Now the number of proper  $t$ -divisors of  $(P_1^{r_1} \dots P_n^{r_n})_t$  is less than  $\prod_{i=1}^n (r_i + 1)$  and hence finite. On the other hand if  $h$  is a super primitive divisor of  $f$ , then  $hD[X] = (P_1^{a_1} \dots P_n^{a_n})_t$  by (2). Indeed if  $h$  is a super primitive divisor of  $f$ , then  $f(X) = h(X)k(X)$ . Or  $(P_1^{r_1} \dots P_n^{r_n})_t = (P_1^{a_1} \dots P_n^{a_n})_t (k(X))$ . Multiplying both sides by  $(P_1^{-a_1} \dots P_n^{-a_n})$  and applying the  $t$ -operation, we get  $(P_1^{r_1-a_1} \dots P_n^{r_n-a_n})_t = (k(X))$ . On the other hand  $(h(X)k(X)) = (h(X)k(X))_t$  because  $(h(X)k(X))$  is principal. Consequently  $t$ -division acts like ordinary division in this case and so if  $n_{sf}$  denotes the number of non-associate super primitive divisors of  $f$ , then  $n_{sf} < \prod_{i=1}^n (r_i + 1) < \infty$ . ■

Call a nonzero element  $r$  in  $D$  primal if for all  $x, y \in D \setminus \{0\}$ ,  $r|xy$  implies  $r = st$  where  $s|x$  and  $t|y$ . Cohn [6] called an integrally closed integral domain  $D$  Schreier if each nonzero element of  $D$  is primal. A domain whose nonzero elements are primal was called pre-Schreier in [18]. Note that in a pre-Schreier domain every irreducible element (atom) is prime. (In fact a primal atom in any domain, is prime. For let  $p$  be an irreducible element that is also primal and let  $p|ab$ . So  $p = rs$  where  $r|a$  and  $s|b$ , because  $p$  is primal. But as  $p$  is also an atom,  $r$  is a unit or  $s$  is a unit. Whence  $p|a$  or  $p|b$ .) An integral domain  $D$  is said to have the Irreducible Divisor Finite (IDF) property if every nonzero non unit of  $D$  is divisible by at most a finite number of non associated irreducible elements of  $D$ . Obviously, in a pre-Schreier domain the IDF property translates to: Every nonzero element is divisible by at most a finite number of non-associated primes. A Schreier domain has the PSP property, as a consequence of Lemma 2.1 of [19] and as in the proof of the aforementioned lemma the integrally closed property was not used one concludes that a pre-Schreier domain has the PSP property. Also it is well known that in a PSP domain, atoms are primes as well (cf [3]). Thus if  $D$  has the PSP property, the IDF property translates to: Every nonzero element is divisible by at most a finite number of non-associated primes. The point is, I will carry on with pre-Schreier and hope that the reader will draw conclusions about PSP domains.

Now if  $D$  is pre-Schreier and not Schreier,  $D[X]$  is not pre-Schreier, see e.g. [18, Remark 4.6]. (It is well known that  $D[X]$  being pre-Schreier if and only if  $D[X]$  is Schreier.) So, some irreducible elements of  $D[X]$  are not primes. However if  $f$  is an irreducible non-constant polynomial in  $D[X]$  then  $f$  is primitive, i.e. the GCD of the coefficients of  $f$  is 1 and over a pre-Schreier domain a

primitive polynomial is super-primitive, as we have already pointed out, meaning  $(A_f)_v = D$ . (As mentioned above [19], Lemma 2.1 was stated for Schreier domains but was proved using properties characterizing pre-Schreier domains only.) Now  $f$  being a non-constant polynomial,  $f$  must belong to an upper to zero  $P$  of  $D[X]$  and because  $(A_f)_v = D$  every upper to zero  $P$ , containing  $f$ , must be a maximal  $t$ -ideal [12, Theorem 1.4]. Thus, as mentioned above, if  $D$  is a PSP domain any prime upper to zero in  $D[X]$  that contains an irreducible polynomial is a maximal  $t$ -ideal.

Next, verifying the IDF property entails checking that each nonzero polynomial  $g \in D[X]$  is divisible by at most a finite number of irreducible divisors. If  $g$  is constant then all the divisors up to associates of  $g$  come from  $D$  alone and up to associates there are finitely many irreducible divisors for each constant  $g$ . So, let  $g$  be non-constant. Obviously each irreducible divisor of  $g$  that comes from  $D$  is a divisor of each of the coefficients of  $g$  and so  $g$  has only finitely many irreducible divisors coming from  $D$ .

According to Lemma 1, if  $f(X) \in D[X]$  such that  $(A_f)_v = D$ , then  $f(X)D[X] = (Q_1^{n_1} \dots Q_m^{n_m})_t$ , where  $Q_i$  are prime uppers to zero. Now let's go back to  $g(X)$ , that we supposed was in  $n$  uppers to zero  $P_1, \dots, P_n$  that were maximal  $t$ -ideals and hence  $t$ -invertible. As we have seen in (1) of Lemma 1  $g(X)D[X] = (AP_1^{r_1} \dots P_n^{r_n})_t$  where  $(A, P_1^{r_1} \dots P_n^{r_n})_t = D[X]$ . If  $f$  is an irreducible (primitive) polynomial dividing  $g$ , then  $(f) = (P_1^{a_1} \dots P_n^{a_n})_t$  where  $0 \leq a_i \leq r_i$ . (This is because if  $(f) = (Q_1^{s_1} \dots Q_n^{s_n})_t$  and say  $s_i > 0$  then  $g(X)D[X] = (AP_1^{r_1} \dots P_n^{r_n})_t \subseteq (f) \subseteq Q_i$ . Since  $A$  is contained in no uppers to zero,  $P_1^{r_1} \dots P_n^{r_n} \subseteq Q_i$ . Because  $P_j$  are mutually  $t$ -comaximal, exactly one of the  $P_j$  is contained in  $Q_i$ . But then for a fixed  $j$ ,  $P_j = Q_i$  and so each of the  $Q$  s is one of the  $P$  s.) Now because  $A$  does not share a maximal  $t$ -ideal with  $P_1^{a_1} \dots P_n^{a_n}$  we have  $P_1^{r_1} \dots P_n^{r_n} \subseteq (f)$ . But there can only be a finite number of such irreducible polynomials, by (3) of Lemma 1. This leaves the case of when  $g(X)$  is not contained in any maximal uppers to zero. In this case the only irreducible divisors are divisors of coefficients of  $g$ , coming from  $D$ .

Thus we have the following statement.

**Theorem 2** *Let  $D$  be a domain such that for every primitive polynomial  $f$  over  $D$  we have  $(A_f)_v = D$ , where  $A_f$  denotes the content of  $f$ . If  $D$  is an IDF domain, then so is  $D[X]$ .*

The case of Schreier domains, i.e. integrally closed pre-Schreier domains, may be handled as follows: It is known that if  $D$  is Schreier then so is  $D[X]$ , according to [6]. So the non constant irreducible elements of  $D[X]$  are prime and generators of uppers to zero containing them. Now  $D$  being IDF the constant irreducible divisors of a general non-constant  $f \in D[X]$  come from  $D$  and so are finite, up to associates, and the non-constant irreducible divisors are finite, up to associates, because they are primes and hence generators of the uppers to zero containing them.

Recall that an integral domain  $D$  is said to be a Prufer  $v$ -multiplication domain (PVMD) if every nonzero finitely generated ideal of  $D$  is  $t$ -invertible. Let's also recall from [17] the following result.

**Proposition 3** *Let  $D$  be an integrally closed integral domain, let  $X$  be an indeterminate over  $D$  and let  $S = \{f(X) \in D[X] \mid (A_f)_v = D\}$ . Then  $D$  is a PVMD if and only if for any prime ideal  $P$  of  $D[X]$  with  $P \cap D = (0)$  we have  $P \cap S \neq \emptyset$ .*

In light of [12, Theorem 1.4] it has often been concluded that  $D$  is a PVMD if and only if  $D$  is integrally closed such that every upper to zero of  $D[X]$  is a maximal  $t$ -ideal. In fact the above proposition and Theorem 2.6 of [11] led to the notion of a UMT domain. (A domain whose uppers to zero are maximal  $t$ -ideals.) It was stated in [12, Proposition 3.2] that  $D$  is a PVMD if and only if  $D$  is an integrally closed UMT domain.

**Lemma 4** *Let  $B$  be a  $t$ -invertible  $t$ -ideal of  $D[X]$  with  $B \cap D = (0)$ . Then  $B = (A'P_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$  where  $P_i$  are the  $t$ -invertible prime uppers to 0 of  $D[X]$  containing  $B$  and  $(A', P_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t = D$ .*

**Proof.**  $BK[X] = f(X)K[X]$ . Since, being  $t$ -invertible,  $B$  is of finite type, there is  $s \in K \setminus \{0\}$  such that  $B \subseteq sfD[X]$ . Or  $B = (A_1sf(X))_t$  because  $B$  is  $t$ -invertible and so is  $B/sf(X)$ . Now  $sA_1$  must intersect  $D$  because  $BK[X] = fK[X]$ . So the only uppers to zero that contain  $B$  must contain  $f$ . Adjusting  $s$  we can assume that  $f \in D[X]$ . So  $B = (A_1s)_t(f(X)) = (A_1s(A_1P_1^{r_1}\dots P_n^{r_n}))_t$  by Lemma 1. The rest is adjustments. (Alternatively let  $P_1, \dots, P_n$  be the maximal uppers to zero and note that  $D[X]_{P_i}$  are rank one DVRs. So there is  $r_i$  that  $B \subseteq (P_i^{r_i})_t$  and  $B \not\subseteq (P_i^{r_i+1})_t$ . Now as  $(P_i^{r_i})_t$  are  $t$ -invertible,  $B = (B_1P_1^{r_1})_t$ , repeating with  $i = 2$  we have  $B = (B_2P_1^{r_1}P_2^{r_2})_t = \dots = (B_nP_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$ . Set  $B_n = A$ . As  $(BA^{-1})_t = (P_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t \subseteq D[X]$  we have  $A \subseteq D[X]$ . As far as  $(A, P_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t = D[X]$  is concerned, it follows from the fact that  $A$  and  $(P_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$  share no maximal  $t$ -ideals.) ■

**Theorem 5** *An integral domain  $D$  is a PVMD if and only if for each non-constant polynomial  $f(X)$  over  $D$  we have uppers to zero  $P_1, \dots, P_n$  such that  $f(X)D[X] = (AP_1^{r_1}\dots P_n^{r_n})_t$  where  $A = A_f[X]$ .*

**Proof.** Let  $D$  be a PVMD and let  $f$  be a non-constant polynomial in  $D[X]$ . Then  $fD[X] = (AP_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$ , where  $P_i$  are the maximal  $t$ -ideals containing  $fD[X]$ , by Lemma 1. Now in  $K[X]$  we have  $fK[X] = P_1^{r_1}P_2^{r_2}\dots P_n^{r_n}K[X] = P_1^{r_1}K[X] \cap P_2^{r_2}K[X] \cap \dots \cap P_n^{r_n}K[X]$  because  $P_i$  are maximal ideals of  $K[X]$ . Next note that  $P_i^{r_i}K[X] \cap D[X] = P_i^{r_i}K[X]_{P_i} \cap K[X] \cap D[X]$  and because  $P_i \cap D = (0)$  we have  $K[X]_{P_i} = D[X]_{P_i}$ . Thus  $P_i^{r_i}K[X]_{P_i} \cap K[X] \cap D[X] = P_i^{r_i}D[X]_{P_i} \cap D[X] = P_i^{(r_i)}$ . But then  $fK[X] \cap D[X] = P_1^{(r_1)} \cap \dots \cap P_n^{(r_n)} = (P_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$  because  $P_i$  are mutually  $t$ -comaximal. On the other hand, on account of  $D$  being integrally closed, we have  $fK[X] \cap D[X] = fA_f^{-1}[X]$  [16]. This gives  $fA_f^{-1}[X] = (P_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$ . Multiplying both sides by  $A_f$  and applying the  $t$ -operation we get  $fD[X] = (A_fP_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$ . Conversely suppose that  $D$  is such that for each non-constant polynomial  $f \in D[X]$  we have  $fD[X] = (A_fP_1^{r_1}P_2^{r_2}\dots P_n^{r_n})_t$ . Then, by construction,  $A_f$  is  $t$ -invertible. Since for every finitely generated nonzero ideal  $A = (a_0, a_1, \dots, a_m)$  we can construct a non-constant polynomial

$f = \sum_{i=0}^m a_i X^i$  such that  $A_f = A$  we conclude that every finitely generated nonzero ideal of  $D$  is  $t$ -invertible. (Alternatively for each pair  $a, b \in D \setminus \{0\}$  we have  $f = a + bX$  which gives  $(f(X)) = (A_f P)_t$ , forcing  $A_f = (a, b)$  to be  $t$ -invertible. But this is a necessary and sufficient condition for  $D$  to be a PVMD.) ■

**Proposition 6** *An integrally closed domain  $D$  is a PVMD if and only if every linear non-constant polynomial over  $D$  is contained in a  $t$ -invertible upper to zero.*

**Proof.** If  $D$  is a PVMD, then of course as every upper to zero is a maximal  $t$ -ideal and hence  $t$ -invertible, every linear polynomial is contained in a  $t$ -invertible upper to zero. Conversely suppose that every non-constant linear polynomial  $f = a + bX$  is contained in a  $t$ -invertible upper to zero. If  $f(0) = 0$ , then  $f = bXD[X]$  and there is nothing to be gained from this. Yet if  $f(0) \neq 0$  and  $f$  is contained in a  $t$ -invertible upper  $P$ , then  $(f) = (AP)_t$ . Where  $fK[X] = PK[x]$  and so  $fK[X] \cap D = f(X)A_f^{-1}[X] = P$ . Since  $P$  is  $t$ -invertible, so must be  $A_f^{-1}[X]$ . multiplying both sides by  $A_f$  and taking the  $t$ -image we get  $(f(X)) = (A_f[X]P)_t = .$  Thus for every pair of nonzero elements  $a, b$  of  $D$ ,  $(a, b)$  is  $t$ -invertible. This forces  $D$  to be a PVMD. ■

**Proposition 7** *An integrally closed domain  $D$  is a PVMD if and only if every integral ideal  $A$  of  $D[X]$  with  $A \cap D = (0)$  is contained in a  $t$ -invertible upper to zero.*

**Proof.** If  $D$  is a PVMD then every upper to zero in  $D[X]$  is  $t$ -invertible. Also if  $A$  is an ideal of  $D[X]$  with  $A \cap D = (0)$  then (because  $D$  is integrally closed) for some  $s \in D \setminus \{0\}$  we have  $sA = f(X)C$  for some polynomial  $f \in D[X]$  and some integral ideal  $C$  with  $C \cap D \neq (0)$  [2, Theorem 2.1]. Now as  $fD[X]$  is contained in at least one upper to zero  $sA$  must be in an upper to zero. But  $s$  being a constant does not belong to any upper to zero. So  $A$  is contained in at least one upper to zero. Conversely let  $D$  be integrally closed and let  $f(X)$  be a non-constant linear polynomial. Then  $fA_f^{-1}[X] = P$ , because  $D$  is integrally closed. Since  $P$  is  $t$ -invertible  $A_f^{-1}[X]$  and hence  $A_f^{-1}$  is  $t$ -invertible and so is  $(A_f)_v$ . But then every two generated nonzero ideal of  $D$  is  $t$ -invertible. ■

By Proposition 3.2 of [12]  $D$  is a PVMD if and only if  $D$  is an integrally closed UMT domain. Let's drop the integrally closed part and see if we can get similar results.

**Proposition 8** *Let  $D$  be an integral domain and  $X$  an indeterminate over  $D$ . Then  $D$  is a UMT domain if and only if for each  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D = (0)$ ,  $A$  is contained in a  $t$ -invertible prime upper to zero.*

**Proof.** Since being a  $t$ -invertible  $t$ -ideal  $A$  is a  $v$ -ideal of finite type, we have  $s \in D \setminus \{0\}$  such that  $sA \subseteq fD[X]$  for some  $f$  where  $f$  is non-constant polynomial contained in  $A$ . (We have  $A = (a_1, \dots, a_n)_v K[X] = g(X)$ . So  $(s_{i1}/sa_{i2})a_i = g(X)$ . Setting  $s = \prod s_{i2}$  and multiplying both sides by  $s$  we get  $t_i a_i = sg(X) \in A$ .

Now take  $sg(X) = f(X)$  we can find  $s = \prod t_i$  such that  $(sa_i) \subseteq f(X)$ . Now  $s(a_1, \dots, a_n) \subseteq (f)$  and so  $s(a_1, \dots, a_n)_v \subseteq (f)$ . But  $s(a_1, \dots, a_n)_v = sA$ . Now  $f$ , being a nonconstant polynomial, belongs to a prime upper to zero. If  $D$  is a UMT domain, then each prime upper to zero is  $t$ -invertible. Conversely let  $f$  be a non-constant polynomial in  $D[X]$  and suppose that every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D = (0)$  is contained in a  $t$ -invertible prime upper to zero. Observe that  $fD[X]$  is a  $t$ -invertible  $t$ -ideal and so, by the rule, must be contained in a  $t$ -invertible prime upper to zero say  $Q_1$ . So  $fD[X] = (A_1Q_1)_t$  where  $(A_1)_t$  is a  $t$ -invertible  $t$ -ideal. If  $(A_1)_t \cap D \neq (0)$  we are done and if not we apply the rule again on  $(A_1)_t$  to get  $(A_1)_t = ((A_2)Q_2)_t$ . or  $fD[X] = (A_2Q_1Q_2)_t$ . Continuing the recursive procedure we get at say stage  $fD[X] = (A_rQ_1 \dots Q_r)_t$  and note that as  $f$  is contained in only a finite number of uppers to zero and as  $D[X]_{P_i}$  is a rank one DVR the process cannot run for ever and thus there'd be a stage  $r$  when  $A_r \cap D \neq (0)$ . Setting  $A_r = A$  and renaming and regrouping we get  $fD[X] = (AP_1^{r_1} \dots P_n^{r_n})_t$  where  $A \cap D \neq (0)$ . This accounts for all the prime uppers to zero containing  $f$ . Thus every prime upper containing  $f$  is a maximal  $t$ -ideal. Now let  $P$  be a prime upper to zero. Then for some  $h \in D[X]$  we have  $P = hK[X] \cap D$ . By the above procedure  $hD[X] = (AQ)_t$  where  $Q$  is a  $t$ -invertible prime upper containing  $h$ . But then  $P = hK[X] \cap D = AQK[X] \cap D = Q$ , forcing the conclusion that  $P = Q$  a maximal  $t$ -ideal. (This last line actually nails the proof. The earlier procedure is to indicate what goes on generally.)

Now here's something interesting! We know that a pre-Schreier PVMD is a GCD domain. What must a pre-Schreier UMT domain  $D$  be? The way I see it let  $a, b \in D \setminus \{0\}$  and take  $(aX + b)D[X]$ . Because  $D$  is UMT  $(aX + b)D[X] = (AP)_t$  where both  $A$  and  $P$  are and  $A \cap D \neq (0)$ . Now we know that if  $D$  is integrally closed and  $A$  is a  $t$ -invertible  $t$ -ideal of  $D[X]$  with  $A \cap D \neq (0)$ , then  $A = (A \cap D)[X]$  and obviously  $A \cap D$  is a  $t$ -invertible  $t$ -ideal [2, Corollary 3.1]. But as the tone of [2, Corollary 3.1] indicates, the jury is still out on the converse. That is the authors of [2] did not know for sure if for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$  we have  $A = (A \cap D)[X]$ , then  $D$  should be integrally closed. That is we have this question.

Question Suppose that  $D$  is an integral domain such that for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$  we have  $A = (A \cap D)[X]$ . Must  $D$  be integrally closed?

The answer to the above question is yes and this is how we get it. Let's say that a domain  $D$  is \*\* if for every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$  we have  $A = (A \cap D)[X]$  and let's denote  $(A \cap D)$  by  $\mathcal{A}$ . First let us note that if  $\alpha \in K$  is integral over  $D$  then the fractional ideal  $(1, \alpha)$  is invertible if and only if  $\alpha \in D$ , [15, Proposition 1.4] This leads to the following lemma.

**Lemma 9** *Suppose that  $\alpha \in K$  is integral over  $D$ . If the fractional ideal  $(1, \alpha)$  is  $t$ -invertible, then  $\alpha \in D$ .*

Proof. Suppose that  $\alpha \in K$  is integral over  $D$ . Then  $\alpha$  satisfies a monic polynomial  $f = X^n + a_{n-1}X^{n-1} + \dots + a_0$ . Since  $a_i = (a_i/s_i)s_i$  for  $s_i$  in any multiplicative set  $S$ ,  $f$  can serve as a monic polynomial over  $D_S$ . Thus  $\alpha$  being

integral over  $D$  implies that  $\alpha$  is integral over  $D_S$ . Consequently  $\alpha$  is integral over  $D_P$  each maximal  $t$ -ideal  $P$ . Now recall the easy to prove fact that a finitely generated nonzero ideal  $I$  is  $t$ -invertible if and only if  $ID_P$  is principal for each maximal  $t$ -ideal  $P$  of  $D$ . (We say that  $I$  is  $t$ -locally principal.) Thus if  $\alpha$  is integral over  $D$  and if  $P$  that is a maximal  $t$ -ideal of  $D$  then  $\alpha \in D_P$  because  $\alpha$  is integral over  $D_P$  and  $(1, \alpha)D_P$  is principal and hence invertible. Thus  $\alpha \in D_P$  for each maximal  $t$ -ideal  $P$ . But then  $\alpha \in D = \cap D_P$ .

**Proposition 10** *Let  $D$  be an integral domain. Then  $D$  is integrally closed if and only if  $D$  is  $**$ .*

Proof. If  $D$  is integrally closed, then  $D$  is  $**$  by [2, Corollary 3.1]. Conversely, suppose that  $\alpha = \frac{b}{a}$ , where  $a, b \in D \setminus \{0\}$ , is integral over  $D$ . Then  $\alpha$  satisfies a monic polynomial  $f$ . Now  $f$  splits as  $(X + \alpha)g(X)$  in  $K[X]$ . Being linear,  $(X + \alpha)$  is a prime in  $K[X]$ . Thus  $P = (X + \alpha)K[X] \cap D[X]$  is a prime upper to zero. Obviously  $f \in P$  and so  $P$  is  $t$ -invertible. Also  $a(X + \alpha)D[X] = (aX + b)D[X] \subseteq P$ . Since  $P$  is a  $t$ -invertible ideal we have  $(aX + b)D[X] = (AP)_t$ , where  $P$  and  $A$  are  $t$ -invertible. As  $(aX + b)$  is linear  $A \cap D \neq (0)$ . Now  $D$  being  $**$  forces  $A = \mathcal{A}[X]$ . So  $(aX + b)D[X] = (AP)_t = (\mathcal{A}[X]P)_t \subseteq \mathcal{A}[X]$ , forcing  $aX + b$  and thus  $a, b \in \mathcal{A}[X]$ . Now as  $(a, b)[X] \subseteq \mathcal{A}[X]$ , and as  $A = \mathcal{A}[X]$  is  $t$ -invertible we have  $(a, b)[X](\mathcal{A}[X])^{-1} \subseteq D[X]$ . On the other hand  $(\mathcal{A}[X]P)_t = (aX + b)D[X] \subseteq (a, b)[X]$ . Thus  $(\mathcal{A}[X]P)_t \subseteq (a, b)[X]$  and so  $P \subseteq ((a, b)[X](\mathcal{A}[X])^{-1})_t \subseteq D[X]$ . Or  $P \subseteq ((a, b)\mathcal{A}^{-1})_t[X] \subseteq D[X]$ . Since  $P$  contains  $f$  with  $A_f = D$  we have  $(f, a) \subseteq ((a, b)\mathcal{A}^{-1})_t[X] \subseteq D[X]$ . This forces  $((a, b)\mathcal{A}^{-1})_t[X] = ((a, b)\mathcal{A}^{-1})_t[X] = D[X]$ , because  $(f, a)_t = D[X]$  (see [7, Proposition 3.4]). Thus  $((a, b)\mathcal{A}^{-1})_t = D$  and so  $(a, b)$  is  $t$ -invertible. But this means  $(1, \frac{b}{a})$  is  $t$ -invertible. Now as  $\alpha = \frac{b}{a}$  is integral over  $D$  and as  $(1, \frac{b}{a})$  is  $t$ -invertible we conclude, by Lemma 9, that  $\alpha = \frac{b}{a} \in D$ .

Now [2, Corollary 3.1] can be recovered as the following statement.

Corollary M. Let  $D$  be an integral domain. Then the following are equivalent.

- (1)  $D$  is integrally closed,
- (2) For every  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$ ,  $A = (A \cap D)[X]$ ,
- (3) For every divisorial ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$ ,  $A = (A \cap D)[X]$ ,
- (4) For every  $t$ -invertible  $t$ -ideal  $A$  of  $D[X]$  with  $A \cap D \neq (0)$ ,  $A = (A \cap D)[X]$ .

Proof. (1)  $\Rightarrow$  (2) follows from [2, Corollary 3.1], (2)  $\Rightarrow$  (3) because every divisorial ideal is a  $t$ -ideal and (3)  $\Rightarrow$  (4) because every  $t$ -invertible  $t$ -ideal is divisorial. Finally (4)  $\Rightarrow$  (1) is Proposition 10.

Notes.

(1). On the idf front the following Q/A often goes unnoticed:

Let  $D$  be an the idf domain, let  $L$  be a field extension of  $K = qf(D)$  and let  $X$  be an indeterminate over  $L$ . Under what conditions must  $D + XL[X]$  (resp.,  $D + XL[[X]]$ ) be an the idf domain?

Answer: Not generally, yet if  $D$  has only finitely many, or no, irreducible elements. Thus if  $D$  is a Cohen-Kaplansky or an antimatter domain with quotient field  $K \neq D$ , then  $D + XK[X]$  is an the idf domain.

Suppose that  $D$  has only finitely many or no atoms and  $D \neq K$ . Let  $f \in D + XL[X]$ . If  $f(0) = d \neq 0$ ,  $f = d(1 + Xg(X))$  where  $d \in D$  and  $1 + Xg(X)$  is a product of primes. As  $D$  has only a finite number of atoms,  $d$  is divisible by only finitely many atoms and so  $f$  is divisible by only finitely many atoms. If  $f(0) = 0$ ,  $f = (X^r/s)(s + Xg(X))$ . Notice that if  $D \neq K$ ,  $X$  is not irreducible, so the only atomic factors of  $f$  in this case are atoms of  $D$  or primes of the form  $1 + Xh(X)$ . Next if  $D + XL[X]$  is an idf domain and  $D \neq K$ , then  $D$  must have only finitely many atoms or no atoms because each of  $X/s$ , for  $s \in D \setminus \{0\}$ , is divisible by all the nonzero elements of  $D$ .

However if  $D = K$ ,  $K + XL[X]$  is the idf if and only if  $|L^*/K^*| < \infty$ . For if  $K + XL[X]$  is the idf and  $f(0) \neq (0)$  we have  $f = (lX^r)(1 + Xg(X))$  and elements of the form  $lX$  are irreducible. Yet if  $|L^*/K^*| < \infty$  there are only finitely many non-associate atoms  $l_iX$ , where  $l_i$  represents the coset  $l_i/K^*$ . Of course elements of the form  $1 + Xg(X)$  are products of primes. If on the other hand  $|L^*/K^*| = \infty$  we have infinitely many  $lX$  that are not associated to each other, so if  $r > 1$ ,  $(lX^r)(1 + Xg(X))$  has infinitely many non-associate irreducible factors.

(2). Also goes missing a mention of a PSP the idf domain that is Prufer due to Loper [13]. If we call a PSP domain described in [13] a looper domain, then  $D[X]$  is a PVMD that is not a PSP domain (use Corollaries 3.5 and 3.6 of [4]).

(3). It appears, no one has considered "strongly" idf domains: Every nonzero non unit is divisible by at least one and at most a finite number of irreducible elements, up to associates. Examples abound.

(4). The question of why  $D[X]$  is Schreier when it is pre-Schreier, has baffled quite a few people. A somewhat convoluted proof was provided in [1, Corollary 7]. Given below is a direct proof, in the hope that you can convert it into a result on monoid algebras.

Let  $R$  be an integral domain, with quotient field  $K$ . Cohn [6] called an element  $x$  of  $R$  primal if for all  $y, z \in R$ ,  $x|yz$  implies  $x = rs$ , where  $r|y$  and  $s|z$ . He called an integrally closed integral domain whose elements were all primal a Schreier ring and proved that if  $R$  is a Schreier ring and  $X$  an indeterminate over  $R$ , then so is  $R[X]$ . Later McAdam and Rush [14] proved that if every element of  $R[X]$  is primal then,  $R$  is Schreier (Theorem 3 of [14]).

**Theorem 11** *Let  $R$  be an integral and let  $X$  be an indeterminate over  $R$ . If every element of  $R$  is primal in the polynomial ring  $R[X]$ , then  $R$  is a Schreier ring.*

In the course of his study of Bezout rings and their subrings, P.M. Cohn [6] introduced the notion of a primal element in the manner already mentioned. Also, he called an element  $c \in R$  completely primal if all factors of  $c$  are primal. He then proved that in an integral domain any product of (completely) primal elements is (completely) primal. From this it is clear that if  $S$  is generated by completely primal elements then the saturation  $\bar{S}$  of  $S$  consists of completely primal elements. He then goes on to state what may be called "Nagata like theorem".



**Theorem 12** [cf. [6] Theorem 2.6]. *Let  $R$  be an integrally closed integral domain and  $S$  a multiplicative subset of  $R$ . Then (i) if  $R$  is a Schreier ring, so is  $R_S$ , (ii) (Nagata like theorem) if  $R_S$  is a Schreier ring and  $S$  is generated by completely primal elements of  $R$ , then  $R$  is a Schreier ring.*

Using his Nagata type theorem he goes on to prove the following result as Theorem 2.7 of [6].

**Theorem 13** *Let  $R$  be an integral domain and  $X$  an indeterminate over  $R$ . If  $R$  is a Schreier ring then so is  $R[X]$ .*

In his proof he noted that since  $R$  is integrally closed, so is  $R[X]$ . He then shows that elements of  $R$  are primal in  $R[X]$  and then uses his Nagata like theorem in the following way: Since  $S = R \setminus \{0\}$  consists of completely primal elements of  $R[X]$  and since  $R[X]_S = K[X]$  is a Schreier ring,  $R[X]$  is a Schreier ring. Our Theorem 11 says that if we must assume or prove that elements of  $R$  are primal in  $R[X]$ , then the integrally closed assumption is unnecessary.

**Proof.** (Proof of Theorem 11) Note that every element of  $R$  being primal in  $R[X]$  entails every element of  $R$  being primal in  $R$ . For when  $y, z$  are in  $R$  and  $x|yz$ , the elements  $y, z$  are in  $R[X]$  as well. So  $x|yz$  implies  $x = r(X)s(X)$  where  $r(X)|y$  and  $s(X)|z$  and the degree considerations put  $r(X)$  and  $s(X)$  in  $R$ . So all we are needing to show is that  $R$  is integrally closed. For this let  $\alpha = \frac{a}{b}$  be integral over  $R$ , where  $a, b \in R \setminus \{0\}$ . Then  $\alpha$  satisfies a monic polynomial  $f(X) = X^n + a_{n-1}X^{n-1} + \dots + a_0$ . ■

Now, in  $K[X]$ , we have  $f(X) = (X - \frac{a}{b})g(X)$ . Let  $d \in R$  such that  $dg(X) \in R[X]$ . Then,  $bdf(X) = (bX - a)dg(X)$  where the expressions on both sides are in  $R[X]$ . Since  $b$  is primal in  $R[X]$ , we have  $b = pq$  where  $p|(bX - a)$  in  $R[X]$  and  $q|dg(X)$ , in  $R[X]$ . But this means that  $df = \frac{(bX-a)}{p} \frac{dg(X)}{q}$ , where  $\frac{(bX-a)}{p} = \frac{b}{p}X - \frac{a}{p}$ ,  $\frac{dg(X)}{q} = h(X) \in R[X]$ .

Next note that in  $df = (\frac{b}{p}X - \frac{a}{p})h(X)$ ,  $d$  is primal in  $R[X]$  and so  $d = rs$  where  $r|_{R[X]}(\frac{b}{p}X - \frac{a}{p})$  and  $s|_{R[X]}h(X)$ . But then  $f = (\frac{b}{pr}X - \frac{a}{pr})h_1(X)$ , where all the expressions involved are in  $R[X]$ .

Now  $f$  is monic and the expressions on the right are in  $R[X]$ . So the leading coefficients of  $(\frac{b}{pr}X - \frac{a}{pr})$  and  $h_1(X)$  must be units. Thus  $b$  is an associate of  $pr$ , making  $r$  an associate of  $q$  and thus proving that  $\frac{a}{pr}$  is an associate of  $\frac{a}{pq} = \frac{a}{b}$ . But  $\frac{a}{pr} \in R$ , which leads to the conclusion that  $\alpha = \frac{a}{b} \in R$ .

**Corollary 14** *Let  $R$  be an integral domain and  $X$  an indeterminate over  $R$ . Then the following are equivalent for  $R$ . (1) Every element of  $R[X]$  is primal in  $R[X]$ , (2) Every element of  $R$  is primal in  $R[X]$  (3)  $R$  is a Schreier ring.*

**Proof.** (1)  $\Rightarrow$  (2) is obvious, (2)  $\Rightarrow$  (3) follows from Theorem 11 and (3)  $\Rightarrow$  (1) is Theorem 13. ■

We note that the above results hinge on the fact that  $K[X]$  is a Schreier ring. This allows us to extend the above results to a generalization, called a monoid ring, of polynomial rings, in a limited way. To see that we prepare

as follows. Let  $S = \langle S, +, 0 \rangle$  be a commutative monoid and let  $R$  be a ring. The monoid ring of  $S$  over  $R$ , denoted by  $R[X; S]$  or  $R[S]$ , is the set of finite sums of the form  $\sum a_s X^s$ , where  $s \in S$  and  $a_s \in R \setminus \{0\}$ , with addition and multiplication defined as for polynomials. According to Theorem 8.1 of [9]  $R[X; S]$  is an integral domain if and only if  $R$  is an integral domain and  $S$  is torsion free and cancellative. Here  $S$  is torsion free if  $ms = ns$  implies  $m = n$  for any  $m, n \in N$  and any  $s \in S$ .

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