

QUESTION (HD 1701): Do star operations have any applications?

ANSWER: Recently, I wrote up the following. This might answer your question and hopefully more.

The questions: “When is an integral domain D a t -local domain?” and, “What good is a t -local domain?” may sound like the oddest questions. The simple answers to these questions are, “When D is a quasi-local domain and the maximal ideal of D is a t -ideal” and, “There are situations where the knowledge that a certain (quasi local) domain is t -local can simplify matters a great deal”. The purpose of this note is to point out some telltale signs that would point to the fact that the domain is t -local and in some cases more. Usually, t -local domains being cousins of valuation domains, albeit distant ones, it helps to know the circumstances under which the knowledge that a quasi-local domain is a t -local domain can greatly simplify the proof that the domain in question is a valuation domain. But first let us explain the “ t -ideal” terminology, that might be alien to some.

Let D be an integral domain with quotient field K , let $F(D)$ be the set of non-zero fractional ideals of D and for $A \in F(D)$, let $A^{-1} = \{x \in K : xA \subseteq D\}$. The functions on $F(D)$ defined by $A \mapsto A_v = (A^{-1})^{-1}$ and $A \mapsto A_t = \cup\{\mathbf{a}_v : 0 \neq \mathbf{a} \text{ is a finitely generated subideal of } A\}$, called the v - and t -operations, come under the umbrella of star operations discussed in sections 32 and 34 of [G], where the reader can find proofs of the statements made here about the v - and t -operations. An ideal A is a (v)- t -ideal if $A = (A_v) A_t$ and a (v)- t -ideal of finite type if $A = B_v$ for some finitely generated $B \in F(D)$. Next the t -operation is a star operation of finite type in the sense that $A \in F(D)$ is a t -ideal if and only if for each finitely generated nonzero subideal I of A we have $I_v \subseteq A$. An integral ideal maximal w.r.t being an integral t -ideal is called a maximal t -ideal and is a prime ideal. Finally every t -ideal is contained in a maximal t -ideal. Any unexplained terminology is straightforward and well accepted and usually comes from [K] or [G].

Proposition A. If D is a quasi local domain and the maximal ideal of D is minimal over (i.e. is a radical of) an integral t -ideal then D is t -local.

Proof. The proof can be found, couched in star operations of finite character, in [HH, (5) of Prop. 1.1] or in [Z-HD].

Corollary AA. If D is a quasi local domain and the maximal ideal of D is minimal over (i.e. is the radical of) a principal ideal of D , then D is t -local.

Obvious because a principal ideal is a t -ideal.

Corollary AB. If D is a quasi local domain and the maximal ideal of D is principal then D is t -local.

Follows from Corollary AA.

Corollary AC. A one dimensional quasi local domain is t -local.

Follows from the fact that in this case the maximal ideal is a minimal prime over every ideal contained in it.

Proposition AD. If (D, M) is quasi local and for every pair of prime ideals P, Q of D , we have $P \subseteq Q$ or $Q \subseteq P$, i.e. $\text{spec}(D)$ is treed, or linearly ordered under inclusion, then D is t -local.

Let $I = (x_1, x_2, \dots, x_n) \subset M$ be a nonzero ideal of D and let P be a minimal prime of I . Then $\text{spec}(D)$ being treed forces P to be unique. Now let, for each $i = 1, 2, \dots, n$, $P(x_i)$ be the minimal prime of x_i . Again by the linearity of order of $\text{spec}(D)$, for some $1 \leq k \leq n$, $P(x_k) \supseteq P(x_j)$ for $j \neq k$. So $P(x_k) \supseteq I$ and so $P(x_k) \supseteq P$. But as $x_k \in P$, $P(x_k) \subseteq P$. Whence every proper nonzero finitely generated ideal of D is contained in a prime ideal of D that is minimal over a principal ideal and hence is a t -ideal, by Proposition A, which is P in this case. Thus $I_v \subseteq P \subseteq M$. Since I is arbitrary as a finitely generated ideal, M is a t -ideal.

A nonzero element $c \in D$ is called comparable in D if for all $x \in D$ we have $(c) \subseteq (x)$ or $(x) \subseteq (c)$.

These elements were introduced and studied in [AZ] to prove a Kaplansky type theorem: An integral domain D is a valuation domain if and only if every nonzero prime ideal of D contains a comparable element. An important part of the result was the proof of the fact that the set of all comparable elements of D is a saturated multiplicative set.

Of course D is a valuation domain if and only if every nonzero element of D is comparable and this was used in [GMZ] to show that a GCD domain D is a valuation domain if and only if D contains a non unit comparable element. But there was more in store for us. In [GMZ] a part of the following observation was proved.

Proposition B (cf [GMZ, Theorem 2.5]). An integral domain D that contains a non unit comparable element is a t -local domain while a t -local domain may not contain a comparable element.

Proof. Let D be an integral domain and let d be a non unit comparable element in D . We first show that D is quasi local. Suppose by way of contradiction that there exist two co-maximal non unit elements x, y in D , i.e. $rx + sy = 1$ for some $r, s \in D$. Now as d is comparable $d|rx$ or $rx|d$. So rx has a non unit comparable factor d or, being a factor of d , rx is non unit comparable element. Thus rx has a non unit comparable factor h . Similarly sy has a non unit factor k . Since h, k are comparable, $h|k$ or $k|h$, say $h|k$. Thus assuming that $rx + sy = 1$ we get the contradictory conclusion that a non unit divides a unit. So, D is quasi local, with say maximal ideal M . Next let $x_1, x_2, \dots, x_n \in M$ and note that as above, each of the x_i has a non unit comparable factor h_i . Thus $(x_1, x_2, \dots, x_n) \subseteq (h_1, h_2, \dots, h_n)$. Next since h_1, h_2 have each a non unit common factor k_1 ($= h_1$ or h_2). So, $(x_1, x_2, \dots, x_n) \subseteq (h_1, h_2, \dots, h_n) \subseteq (k_1, h_3, \dots, h_n)$. Continuing this process we eventually get a non unit comparable element k such that $(x_1, x_2, \dots, x_n) \subseteq (h_1, h_2, \dots, h_n) \subseteq (k)$. Thus $(x_1, x_2, \dots, x_n) \subseteq (k) \subseteq M$. But as $(x_1, x_2, \dots, x_n) \subseteq (k)$ implies $(x_1, x_2, \dots, x_n)_v \subseteq (k)$ we conclude that for each finitely generated ideal $(x_1, x_2, \dots, x_n) \subseteq M$, $(x_1, x_2, \dots, x_n)_v \subseteq M$. Thus D is a t -local domain. For the converse note that a one dimensional quasi local domain has only one nonzero prime ideal and so is a valuation ring if and only if it contains a non unit comparable element, by the Kaplansky type theorem mentioned above. The proof is complete once we note that there do exist one-dimensional, Noetherian quasi local domains that are not valuation domains.

A fractional ideal $I \in F(D)$ is said to be (v -) t -invertible if there is $J \in F(D)$

such that $((IJ)_v = D)$ $(IJ)_t = D$. A domain D is a Prüfer v -multiplication domain, PVMD, if every finitely generated $I \in F(D)$ is t -invertible. It is well known (see Griffin [Gr]) that D is a PVMD if and only if D_M is a valuation domain. Obviously every invertible ideal is t -invertible. Note that a GCD domain D is a PVMD, because for each finitely generated nonzero ideal I of D we have I_v principal.

Corollary BA. A PVMD D is a valuation domain if and only if D contains a non unit comparable element.

Follows from the fact that a t -local PVMD is a valuation domain anyway and a valuation domain that is not a field must contain many non unit comparable elements.

This corollary is more interesting in that a GCD domain is a PVMD. Now here comes something a tad surprising. Call an integral domain D atomic if every nonzero nonunit of D is expressible as a finite product irreducible elements.

Corollary BB. An atomic domain that contains a non unit comparable element is a DVR.

Proof. Let D be an atomic domain and let d be a nonunit comparable element in D . Then by Proposition B, D is t -local with maximal ideal M . Let h be an irreducible factor of d . Then h is a comparable element, being a factor of a comparable element. So, for every x in D , $h|x$ or $x|h$. Now as h is irreducible $x|h$ means that x is a unit or $x = h$. Thus for all non units $x \in D$, $h|x$. That is $M = hD$. But then h is a prime. Next, as for each non unit $x \in D \setminus \{0\}$ $h|x$ we have $x = x_1h$ and if x_1 is a nonunit then $x_1 = x_2h$ and so $x = h^2x_2$. Continuing this way we can get $x = h^rx_r$. Because D is atomic, for each non unit $x \in D \setminus \{0\}$ there is $n = n(x)$ such that $x = h^n x_n$ where x_n is a unit. But then D is a DVR.

Remark BC. I had proved Corollary BB for Noetherian domains. Seeing that Tiberiu Dumitrescu suggested the atomic domain assumption. With hindsight we can prove the following result.

Corollary BD. Let D be a domain that contains a non-unit comparable element. Then D contains an atom a if and only if a is the generator of the maximal ideal of D and hence a comparable element.

Proof. Indeed D is t -local with maximal ideal D , by Proposition B. Let h be a nonunit comparable element of D . Then $h|a$ or $a|h$. If $h|a$ then as a is an atom and h a non-unit, h and a must be associates, so a is a comparable element. If, on the other hand, $a|h$ then a is comparable, being a factor of a comparable element. Thus as above $aD = M$. The converse is obvious, indeed if the maximal ideal M of a local domain D is principal and $M = Da$ then, up to associates, a is the only atom in D .

But the presence of a non unit comparable element in a domain D does more to the domain than just show that D is a t -local domain, as shown in [GMZ, Theorem 2.3]. We restate it and suggest that for the proof the readers look up [GMZ] here:

Proposition C. ([GMZ, Theorem 2.3]). Suppose the integral domain D con-

tains a nonzero non-unit comparable element; let Y be the set of nonzero comparable elements of D . Then:

- (1) $P = \cap\{(c) : c \in Y\}$ is a prime ideal of D and $D \setminus P = Y$.
- (2) D/P is a valuation domain.
- (3) $P = PD_P$.
- (4) D is quasi local, P is a comparable ideal of D , and $\dim D = \dim(D/P) + \dim(D_P)$

Moreover, if J is any integral domain such that there is a non maximal prime ideal Q of J such that (a) J/Q is a valuation domain, and (b) $Q = QJ_Q$, then each element of $J \setminus Q$ is comparable. If, in addition, Q is minimal with respect to properties (a) and (b), then $J \setminus Q$ is the set of nonzero comparable elements of J . (Here an ideal I being comparable means that I compares with every other ideal under inclusion.)

Corollary CA. Suppose D contains a non-unit comparable element; let Y be the set of all comparable elements of D . D is a valuation domain if and only if $\cap\{(c) : c \in Y\} = 0$.

Follows from (1) and (2) of Proposition C.

Corollary CA. If a domain D contains a non unit comparable element then the maximal ideal of D is generated by some non unit comparable elements.

Obvious.

Note that if p is a prime element of a domain D then for each x in D , $(p) \cap (x) = (x)$ or $(p) \cap (x) = (px)$. So, $(p, x)^{-1} = \frac{(p) \cap (x)}{px} = (\frac{1}{p})$ or (1) . But then $(p, x)_v = p$ or (1) . So, if a prime element p belongs to a maximal t -ideal M then $M = (p)$. So, if a prime element p belongs to a t -local ring (D, M) then $M = pD$ consequently p is a comparable element of D . It is well known that if p is a prime element in an integral domain then $\cap(p^n)$ is a prime ideal (See e.g. Kaplansky [Kap, Exercise (5), page 7].

Proposition D. If a domain D contains a non-unit comparable element c then for every non-unit comparable element x , we have that $\cap(x^n) = Q$ is a prime ideal such that D/Q is a valuation domain and $Q = QD_Q$. Conversely, if there is an element x in a domain D such that $\cap(x^n) = Q$ is a prime ideal such that D/Q is a valuation domain and $Q = QD_Q$, then D is t -local and x is a comparable element of D .

Proof. Indeed Q is an ideal, being an intersection of ideals. Now consider $S = D \setminus Q$ and let $a, b \in S$. Then $a \notin (x^m)$ for some positive integer m and $b \notin (x^n)$ for some positive integer n . Since x and hence x^m, x^n are comparable we conclude that (a) $\supseteq (x^m)$ and (b) $\supseteq (x^n)$. Now $(ab) \supseteq (bx^m)$ and $(bx^m) \supseteq (x^{n+m})$ which gives $(ab) \supseteq (x^{n+m})$ meaning $ab \in S$ and Q is a prime.

From the above proof it follows that S consists of factors of powers of the comparable element x and so every element of S is comparable; this means D/Q is a valuation domain. Next let $a/t \in QD_Q$ where $a \in Q$ and $t \in D \setminus Q$. But then t divides some power of x and so $(a) \subsetneq (t)$ which means that for some non unit y we have $a = ty$. As $t \notin Q$, $y \in Q$. So $a/t = y \in Q$. Thus $QD_Q \subseteq Q$. The converse follows from Theorem 2.3 of [GMZ].

Indeed there are integral domains that may or may not be quasi local but

have elements x such that $\cap(x^n) = Q$ is a prime ideal such that $Q = QD_Q$, but D/Q is not a valuation domain. Here are some examples using the $D + M$ construction of Gilmer that goes as: Let V be a valuation domain expressible as $V = k + M$ where k is a subfield of V and M is the maximal ideal of V and let D be a subring of k . The ring $R = D + M$ is called the $D + M$ construction (see [BG] and has some interesting properties due to the mode of this construction, as indicated in [BG]. Our model for these examples would be $V = k[[X]] = k + Xk[[X]]$ and D a subring of k , giving $R = D + Xk[[X]]$.

Example DA. Let D be a one dimensional quasi local domain with quotient field l contained in k and suppose that D is not a valuation domain. Then $R = D + Xk[[X]]$ is such that for each nonzero non unit x in D we have $\cap(x^n) = Xk[[X]]$ (obvious) and $Xk[[X]] = Xk[[X]]R_{Xk[[X]]} = Xk[[X]](l + Xk[X])$. But $R/Xk[[X]] = D$.

What makes the above example work is the fact that for a non unit x in a one dimensional quasi local domain D we have $\cap(x^n) = (0)$. Call an integral domain D an Archimedean domain if for all non unit elements x in D we have $\cap(x^n) = (0)$.

Example DB. Let D be an archimedean domain with quotient field l contained in k and suppose that D is not a valuation domain. Then $R = D + Xk[[X]]$ is such that for each nonzero non unit x in D we have $\cap(x^n) = Xk[[X]]$ (obvious) and $Xk[[X]] = Xk[[X]]R_{Xk[[X]]} = Xk[[X]](l + Xk[X])$. But $R/Xk[[X]] = D$.

Example DC. Following the construction $R = D + XD_S[X]$ of [CMZ], if s is a non unit element in S such that $\cap(s^nD) = (0)$ then $\cap(s^nR) = XD_S[X]$ a prime ideal, but $R/XD_S[X] = D$ may not be a valuation domain.

From t -local domains to valuation domains

Because in a valuation domain (V, M) every finitely generated ideal is principal, the maximal ideal M is obviously a t -ideal. So t -local domains are cousins of valuation domains, but, sort of far removed. For example, $R = Z_{(p)} + (X, Y, Z)Q[[X, Y, Z]]$, with $M = pZ_{(p)} + (X, Y, Z)Q[[X, Y, Z]]$ is obviously t -local, but $R[1/p] = Q[[X, Y, Z]]$ which is quasi local, but as far away from being t -local as it gets. On the other hand quotient rings of a valuation domain are valuation domains. So it is legitimate to ask: Under what conditions is a t -local domain a valuation domain?

Here we address this question. The following is a simple result that hinges on the fact that if A is a finitely generated ideal in a t -ideal I then $A_v \subseteq I$.

Proposition E. For a set of elements x_1, x_2, \dots, x_n , in a t -local domain (D, M) , the following are equivalent.

- (1) $(x_1, x_2, \dots, x_n)_v = D$.
- (2) At least one x_i is a unit.
- (3) $(x_1, x_2, \dots, x_n) = D$.

Proposition F. The following are equivalent for a t -local domain (D, M) .

- (1) D is a valuation domain
- (2) D is a GCD domain.
- (3) D is a PVMD.

Proof. That $(1) \Rightarrow (2) \Rightarrow (3)$ is straight forward. For $(3) \Rightarrow (1)$ note that in a PVMD every nonzero finitely generated ideal (x_1, x_2, \dots, x_n) is t -invertible. But by Proposition 1.12 of [ACZ], (x_1, x_2, \dots, x_n) is principal.

It is well known that a commutative integral domain D is coherent if and only if the intersection of every pair of finitely generated ideals is finitely generated. Call a domain D a finite conductor domain if the intersection of every pair of principal ideals of D is finitely generated. Indeed a finite conductor (FC) domain is a generalization of coherent domains. This name (FC domain) was used in [Z-FC] first.

Corollary FA. For an integrally closed t -local domain the following are equivalent.

- (1) D is a valuation domain.
- (2) D is a coherent domain.
- (3) D is a finite conductor domain.

Here $(1) \Rightarrow (2) \Rightarrow (3)$ are all straightforward. For $(3) \Rightarrow (1)$ note that an integrally closed FC domain is a PVMD [Z-FC] and a t -local PVMD is a valuation domain.

Corollary FB. (Theorem 1 [Mc]). Let D be an integrally closed quasi-local domain whose primes

are linearly ordered by inclusion. Suppose that the intersection of any two principal ideals is finitely generated. Then D is a valuation domain.

Proof. By Proposition AD, D is t -local and by [Z-FC, Theorem 2], D is a PVMD. (Once it is established that D is t -local the argument used in Lemma 5 of [Z-FC] may be used.)

Call a nonzero element r , of a domain D , primal if for all $x, y \in D \setminus \{0\}$ $r|xy$ implies that $r = st$ where $s|x$ and $t|y$. A domain whose nonzero elements are all primal is called pre-Schreier. An integrally closed pre-Schreier domain was called Schreier by P.M. Cohn in his paper [C]. There he showed that a GCD domains is a Schreier domain.

A module M is said to be locally cyclic if every finitely generated submodule of M is contained in a cyclic submodule of M . Thus an ideal I of D is locally cyclic if for any finite set of elements $x_1, x_2, \dots, x_n \in I$ there is an element $d \in I$ such that $d|x_i$. Based on considerations initiated by McAdam and Rush [McR], the following result was proved: An integral domain D is pre-Schreier if and only if for all $a, b \in D \setminus \{0\}$ and $x_1, x_2, \dots, x_n \in (a) \cap (b)$ there is $d \in (a) \cap (b)$ such that $d|x_i$. Based on this we can make the following note.

Note FC. We show, following [Z-PS], that if D is a pre-Schreier domain and $a, b \in D \setminus \{0\}$, then the following are equivalent:

- (1) $(a) \cap (b)$ is principal, (2) $(a) \cap (b)$ is finitely generated, (3) $(a) \cap (b)$ is a v -ideal of finite type.

Proof. Indeed $(1) \Rightarrow (2) \Rightarrow (3)$ are all straightforward. All we need is show $(3) \Rightarrow (1)$. For this note that if $(a) \cap (b) = (x_1, x_2, \dots, x_n)_v$, then,

$x_1, x_2, \dots, x_n \in (a) \cap (b)$. Since D is pre-Schreier, there is a $d \in (a) \cap (b)$ such that $d|x_i$. That is $(x_1, x_2, \dots, x_n) \subseteq (d)$. But then $(x_1, x_2, \dots, x_n)_v \subseteq (d)$. This gives $(d) \subseteq (a) \cap (b) = (x_1, x_2, \dots, x_n)_v \subseteq (d)$.

Call a domain D a v -finite conductor (v -FC) domain if for each pair $0 \neq a, b \in D$, $(a) \cap (b)$ is a v -ideal of finite type. Then from Note FC we can conclude that: A domain D is a GCD domain if and only if D is a pre-Schreier and a v -FC domain. With this preparation we have the following result.

Corollary FD. For a pre-Schreier t -local domain D , the following are equivalent:

- (1) D is a valuation domain,
- (2) D is a coherent domain,
- (3) D is an FC domain,
- (4) D is a v -FC domain,
- (5) D is a GCD domain.

Indeed the above are not the only situations in which a domain becomes a valuation domain.

Proposition G. Suppose that D contains a non unit comparable element x and let $P = \cap(x^n)$. Then D is a valuation domain if and only if D_P is a valuation domain.

Proof. Indeed if D is a valuation domain, then, P is a prime and, so D_P is a valuation domain and so we have only to take care of its converse. The presence of a non unit comparable element makes D a t -local domain. Let's split the proper finitely generated ideals into two types: (a) ones that contain a non unit factor of a power of x and (b) ones that do not contain a non unit factor of a power of x . Ones in part (a) are principal by Theorem 2.4 of [GMZ] and ones in part (b) are principal proper ideals of D_P and hence are in PD_P . By Proposition D above, $PD_P = P$, so for each x in P , xD_P is an ideal of D . Now let $x_1, x_2, \dots, x_n \in P$ and consider (x_1, x_2, \dots, x_n) . Since D_P is a valuation domain $(x_1, x_2, \dots, x_n)D_P = dD_P$ and we can assume that x_i, d are in D . So for some $r_i \in D$ and $s_i \in D \setminus P$ we have $x_i = \frac{r_i}{s_i}d$. (As $d \in P$, $s_i|d$, the right hand side is in D). So $(x_1, x_2, \dots, x_n) = (\frac{r_1}{s_1}d, \frac{r_2}{s_2}d, \dots, \frac{r_n}{s_n}d)$. Removing the denominators we get $s(x_1, x_2, \dots, x_n) = (t_1d, t_2d, \dots, t_nd)$ or $s(x_1, x_2, \dots, x_n) = (t_1, t_2, \dots, t_n)d$. As $s(x_1, x_2, \dots, x_n)D_P = (x_1, x_2, \dots, x_n)D_P = dD_P = (t_1, t_2, \dots, t_n)dD_P$ we conclude that $(t_1, t_2, \dots, t_n)D_P = D_P$. But that means that at least one of the t_i is in $D \setminus P$ and hence is a comparable element. But then, by Theorem 2.4 of [GMZ], (t_1, t_2, \dots, t_n) is principal generated by a comparable element t . Thus $s(x_1, x_2, \dots, x_n) = t(d)$. Since s and t are comparable we have two possibilities: (α) $u(x_1, x_2, \dots, x_n) = (d)$ or (β) $(x_1, x_2, \dots, x_n) = v(d)$. In both cases (x_1, x_2, \dots, x_n) turns out to be a principal ideal of D . (In case (α) because $u|d$ in D .)

Applications.

We have already pointed out that Theorem 1, of [Mc] falls to the observation that a quasi local domain with treed spectrum is actually t -local (Corollary FB) and necessarily quasi local. A domain D a treed domain if $\text{Spec}(D)$ is treed i.e. $\text{Spec}(D)$ is a tree as a poset. Indeed $\text{Spec}(D)$ is treed if and only if any two incomparable primes of D are co-maximal. Indeed if D is such that $\text{Spec}(D)$ is treed then $\text{Spec}(D_P)$ is treed for every nonzero prime ideal P of D . So, by Proposition AD, every nonzero prime ideal of D is a t -ideal. In particular, every

maximal ideal of D is a t -ideal. Indeed as a general t -local domain D may not have $\text{Spec}(D)$ treed, as the example at the start of the previous section indicates. So the class of domains with treed spectra is strictly contained in the class of domains whose maximal ideals are t -ideals. But in the presence of some extra conditions this distinction may disappear.

Proposition H. For a Prüfer v -multiplication domain D , the following are equivalent.

- (1) Every maximal ideal of D is a t -ideal
- (2) $\text{Spec}(D)$ is treed
- (3) D is a Prüfer domain.

Proof. (1) \Rightarrow (3) For every prime t -ideal P of a PVMD D , we have D_P a valuation domain [MZ, Corollary 4.3] and if D_P is a valuation domain for every maximal ideal of D then D is well known to be a Prüfer domain. (3) \Rightarrow (2) is clear because in a Prüfer domain D , D_P is a valuation domain for every nonzero prime ideal P and $\text{Spec}(D_P)$ is treed. Finally (2) \Rightarrow (1) has been explained above.

Indeed as an integrally closed finite conductor domain is a PVMD [MZ, Corollary 4.3] and a Prüfer domain is finite conductor, and this leads to the following result.

Corollary HA. An integrally closed treed domain D is Prüfer if and only if D is finite conductor.

Indeed, it is worth noting that a nonzero ideal I in an integral domain D is said to be of grade one if $I \neq D$ and I does not contain a set of elements forming a regular sequence of length ≥ 2 . So, every t -ideal is a grade one ideal and every nonzero prime ideal in a treed domain is a grade one ideal.

For the next application we need to prepare a little. Let R be a regular local ring, with quotient field F and, with $\dim R = n$, and let $m = (x_1, \dots, x_n)R$ be the maximal ideal of R . Choose $i \in \{1, \dots, n\}$, and consider the overring $R[x_1/x_i, \dots, x_n/x_i]$ of R . Choose any prime ideal P of $R[x_1/x_i, \dots, x_n/x_i]$ such that $P \supseteq m$. The ring $R_1 = R[x_1/x_i, \dots, x_n/x_i]_P$ is a local quadratic transform (LQT) of R , and, again, a regular local ring with $\dim R_1 \leq n$. If we iterate the process we obtain a sequence $R = R_0 \subseteq R_1 \subseteq R_2 \subseteq \dots$ of regular local overrings of R such that for each j , $R_j + 1$ is a LQT of R_j . After a finite number of iterations $\dim R_j$ is bound to stabilize, and the process of iterating LQTs of the same Krull dimension and ascending unions of the resulting sequences are of interest to algebraic geometers. For a description the reader may consult [Heinzer et al, HLOST] which got the author interested in the topic.

Let $R = R_0 \subseteq R_1 \subseteq R_2 \subseteq \dots$ be a sequence of LQTs from a regular local ring. Of interest in recent papers such as {[Heinzer et al]} has been the ring $S = \cup_{j \geq 0} R_j$, dubbed in recent work as "Sannon's Quadratic Extension" to honor David Shannon [Sh] for his interesting contribution. Briefly, before Shannon, Abhyankar [Ab, Lemma 12] had shown that if the regular local ring R has dimension 2 then S is a valuation overring of R such that the maximal ideal m_S of S contains m . David Shannon, a student of Abhyankar's, [Sh, Examples 4.7 and 4.17] showed that if $\dim R > 2$, S need not be a valuation ring.

Our purpose here is to look at S from a simple star-operation theoretic

perspective, provide some direct straight-forward and brief proofs of some known results and point to known results that could simplify some of the considerations in recent work.

We start by gathering some information about S .

(1) $S = \cup_{j \geq 0} R_j$ as described above is a quasi local ring. Let m_S denote the maximal ideal of S . Then $m_S = \cup_{j \geq 0} m_j$ where m_j is the maximal ideal of the LQT R_j .

(2) S is integrally closed, as being integrally closed is a first order property which is preserved by directed unions and hence ascending unions.

S has another elementary property but that needs some introduction. Cohn [C], called an element r of an integral domain D primal if for all $x, y \in D$ $r|xy$ in D implies that $r = st$ where $s|x$ and $t|y$. He called an integrally closed D a Schreier domain if each nonzero element of D is primal and showed that a GCD domain (every pair of (nonzero) elements has a GCD) is Schreier. He also noted [C, page 255] that the property of being Schreier is a first order property. Now $S = \cup_{j \geq 0} R_j$ is an ascending and hence directed union of regular local rings and hence GCD domains. This gives us the next property of S .

(3) S is (at least) a Schreier domain.

Next, according to [HLOST, Proposition 3.8] there is an element $x \in m_S$ such that $m_S = \sqrt{xS}$. This gives us, in light of Proposition A, the property that is of interest to us, in this article.

(4) S is a t -local ring.

This is enough information to provide more satisfying statements and proof(s) of Theorem 6.2 of [Heinzer et al]

Theorem K. (cf [Heinzer et al, Theorem 6.2]) Let S be a quadratic Shannon extension of a regular local ring. Then the following are equivalent:

- (1) S is a valuation domain
- (2) S is coherent.
- (3) S is a finite conductor domain.
- (4) S is a GCD domain.
- (5) S is a PVMD.
- (6) S is a v -finite conductor domain.

Proof. The equivalence of (1) \Leftrightarrow (2) \Leftrightarrow (3) comes from Corollary FA. Now (1) \Leftrightarrow (4) \Leftrightarrow (5) follow from Proposition F, and as S is Schreier (1) \Leftrightarrow (6) by Corollary FD.

Corollary KA. If S is not a valuation domain then S contains a pair of elements a, b such that $aS \cap bS$ is not a v -ideal of finite type.

This corollary is significant with reference to the proof of Theorem 6.2 of [Heinzer et al] in that there are PVMDs D , such as Krull domains, that contain elements a, b such that $aD \cap bD$ is not finitely generated, but is of finite type. Besides such an example is good to have.

From [HLOST, Proposition 4.1] we conclude that S has another property of interest.

(5) For each element $x \in m_S$ such that $m_S = \sqrt{xS}$, $T = S[1/x]$ is a regular local ring with $\dim(T) = \dim(S) - 1$.

So, if $\dim(S) = 2$ and m_S contains a nonzero comparable element then S is a valuation domain. Also if $\dim(S) > 3$ then S cannot be a valuation domain, whether S contains a comparable element or not. For a regular local ring T of $\dim(T) > 1$, T is not a valuation domain. Indeed if $m_S = pS$ is principal then, S is a non-valuation t -local domain that contains a comparable element, by Proposition G. Indeed Proposition G provides a definitive criterion that can be used to provide examples of non-valuation t -local domains containing a comparable element, even in $\dim 2$. The examples are: (1) $D = Z_{(p)} + P$, where P is the maximal ideal in $R[[X]]$, Z is the ring of integers, R the field of real numbers and p a nonzero prime element in Z . Indeed $D_P = Q + XR[[X]]$ which is not a valuation domain. In the same vein, and this is suggested by Tiberiu Dumitrescu, we have (2) $D = Z_{(p)} + P$ where P is the maximal ideal of (X^2, X^3) of $Q[[X^2, X^3]]$. Here $D_P = Q[[X^2, X^3]]$ which is a well known one dimensional Noetherian domain that is not a valuation domain. While we are at it, let P be the maximal ideal of the n -dimensional regular local ring $Q[[X_1, X_2, \dots, X_n]]$. Then $D = Z_{(p)} + P$ contains a proper comparable element and, of course, D_P is far from being a valuation domain. Finally, and it is related, a one dimensional domain that contains a nonzero non-unit comparable element is a valuation domain. This follows from the facts that: (1) The presence of a comparable element forces the domain to be one dimensional t -local and (2) A domain is a valuation domain if and only if every nonzero prime ideal contains a nonzero comparable element [AZ].

Call the saturation of the set $\{x^n : n \in N\}$, span of x and denote it by $Span(x)$.

(6) If $x \in m_S$ such that $m_S = \sqrt{xS}$ then (a) for every non unit h in $span(x)$ we have $m_S = \sqrt{hS}$ and (b) m_S is generated by non units in $span(x)$.

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