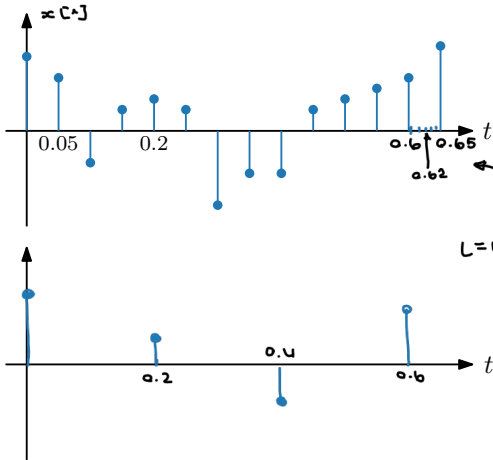
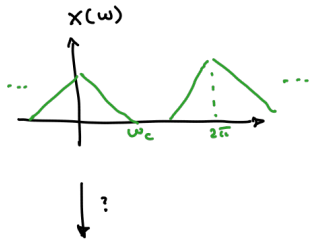


# Sampling rate conversion

Upsampling and downsampling

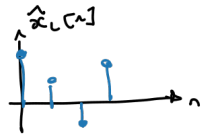
Herman Kamper

## Upsampling and downsampling intuition


$$f_s = 20 \text{ Hz}$$

Upsampling  $\infty 100 \text{ Hz}$   
( $L=5$ )

$L=4$  ) Downsample to 5 Hz

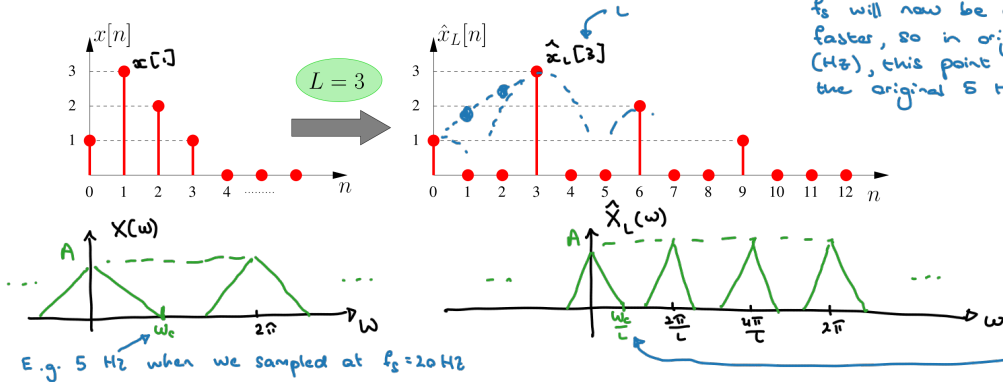


We only consider up- and downsampling by integer factors (principles can be extended to non-integer case)

# Upsampling

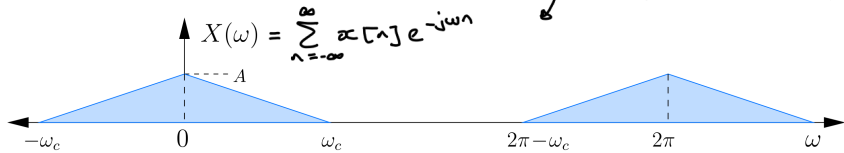
Insert  $L - 1$  zeros between each sample of  $x[n]$ :

$$\hat{x}_L[n] = \begin{cases} x[n/L] & \text{when } n = kL \\ 0 & \text{otherwise} \end{cases}$$

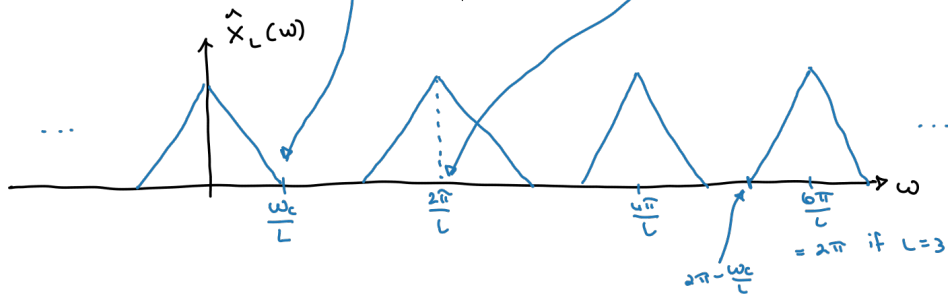


This now moved, but remember,  $f_s$  will now be a factor  $L$  faster, so in original frequency (Hz), this point will stay at the original 5 Hz.

DTFT:  $\hat{X}_L(\omega) = \sum_{n=-\infty}^{\infty} \hat{x}_L[n] e^{-j\omega n} = \sum_{n=-\infty}^{\infty} x[n] e^{-j\omega n L} \quad \therefore \hat{X}_L(\omega) = X(\omega L)$

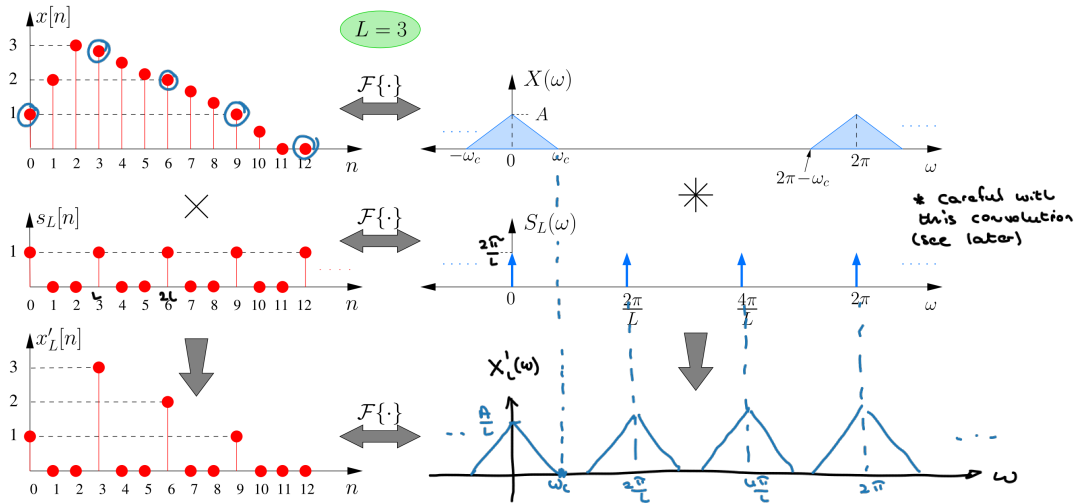


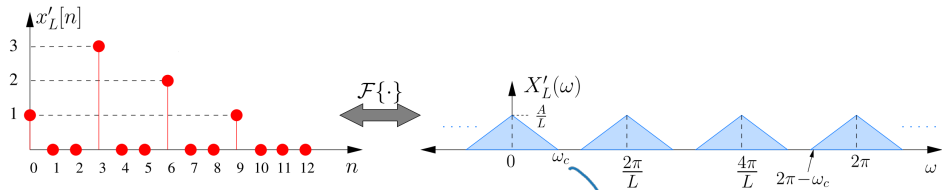
$L = 3$



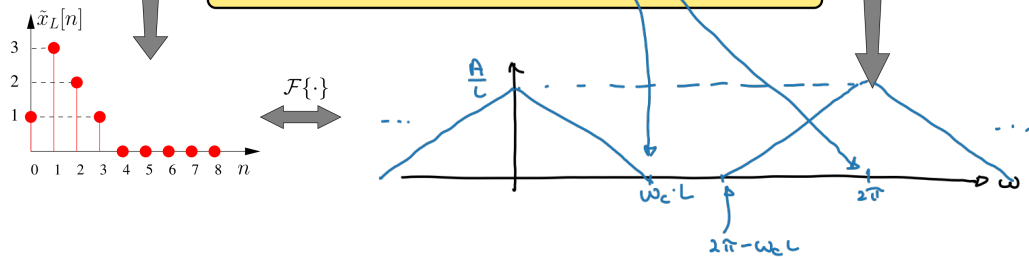
# Downsampling

Keep each  $L$ th sample:  $\tilde{x}_L[n] = x[nL]$





Discard zeros: converse of upsampling



## Being more precise about $X'_L(\omega)$

Why do we not get infinite sums of the periodic components of  $X(\omega)$  after convolution?

Because, remember, convolution of sampled signals is different from continuous signals:

$$\mathcal{F}\{x_1[n] \cdot x_2[n]\} = \frac{1}{2\pi} \int_{-\pi}^{\pi} X_1(\lambda) \cdot X_2(\omega - \lambda) d\lambda$$

I.e. we only integrate over one period, not from  $-\infty$  to  $\infty$ .

You can get the derived result from the impulse train DTFT:  $S_L(\omega) = \frac{2\pi}{L} \sum_{k=-\infty}^{\infty} \delta\left(\omega - \frac{2\pi k}{L}\right)$

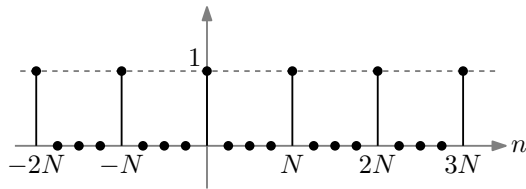
and then show that:  $\frac{1}{2\pi} \int_{-\pi}^{\pi} X(\lambda) \cdot S_L(\omega - \lambda) d\lambda = \frac{1}{L} \sum_{k=-\infty}^{\infty} X\left(\omega - \frac{2\pi k}{L}\right)$

which is exactly what is visually derived.

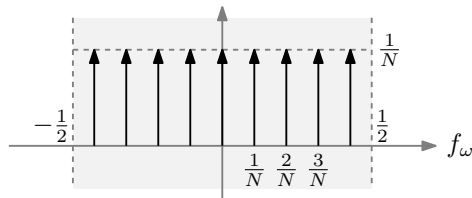
The DTFT of the impulse train is a little strange (see next slide).

We made use of this DTFT pair:

$$h[n] = \sum_{k=-\infty}^{\infty} \delta[n - kN]$$



$$H(f_\omega) = \frac{1}{N} \sum_{k=-\infty}^{\infty} \delta\left(f_\omega - \frac{k}{N}\right)$$



But note that, if we switch to angular frequency, the right-hand-side becomes:

$$H(\omega) = \frac{2\pi}{N} \sum_{k=-\infty}^{\infty} \delta\left(\omega - \frac{2\pi k}{N}\right)$$

This scaling by  $2\pi$  when switching from  $f_\omega$  to  $\omega$  is not generally what happens! It is a peculiar result that comes from properties of the impulse. (Ask ChatGPT, but I won't examine this.) So there is actually a mistake on the slides where the amplitude of  $1/L$  is used with the  $\omega$ -axis.