

# Authentication Protocols (身份鉴别协议)

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- Mutual Authentication
- One-way Authentication

# Mutual Authentication Protocols (相互鉴别协议)

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- used to **convince**(确信) parties each others and to **exchange** session keys
- key issues:
  - confidentiality – to protect session keys
  - timeliness (**及时性**) – to prevent replay attacks

# Replay Attacks

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- a valid(正确的) signed message is copied and later resent
- 三大对策 Countermeasures include:
  - use of **sequence numbers** (generally impractical 需记录)
  - **timestamps** (needs synchronized clocks)
  - **challenge/response** (using unique nonce)

# Mutual Authentication : Using Symmetric Encryption

- use a two-level hierarchy of keys
- usually with a trusted Key Distribution Center (KDC)
  - each party shares own master key with KDC
  - KDC generates session keys used for connections between parties
  - master keys used to distribute these to them



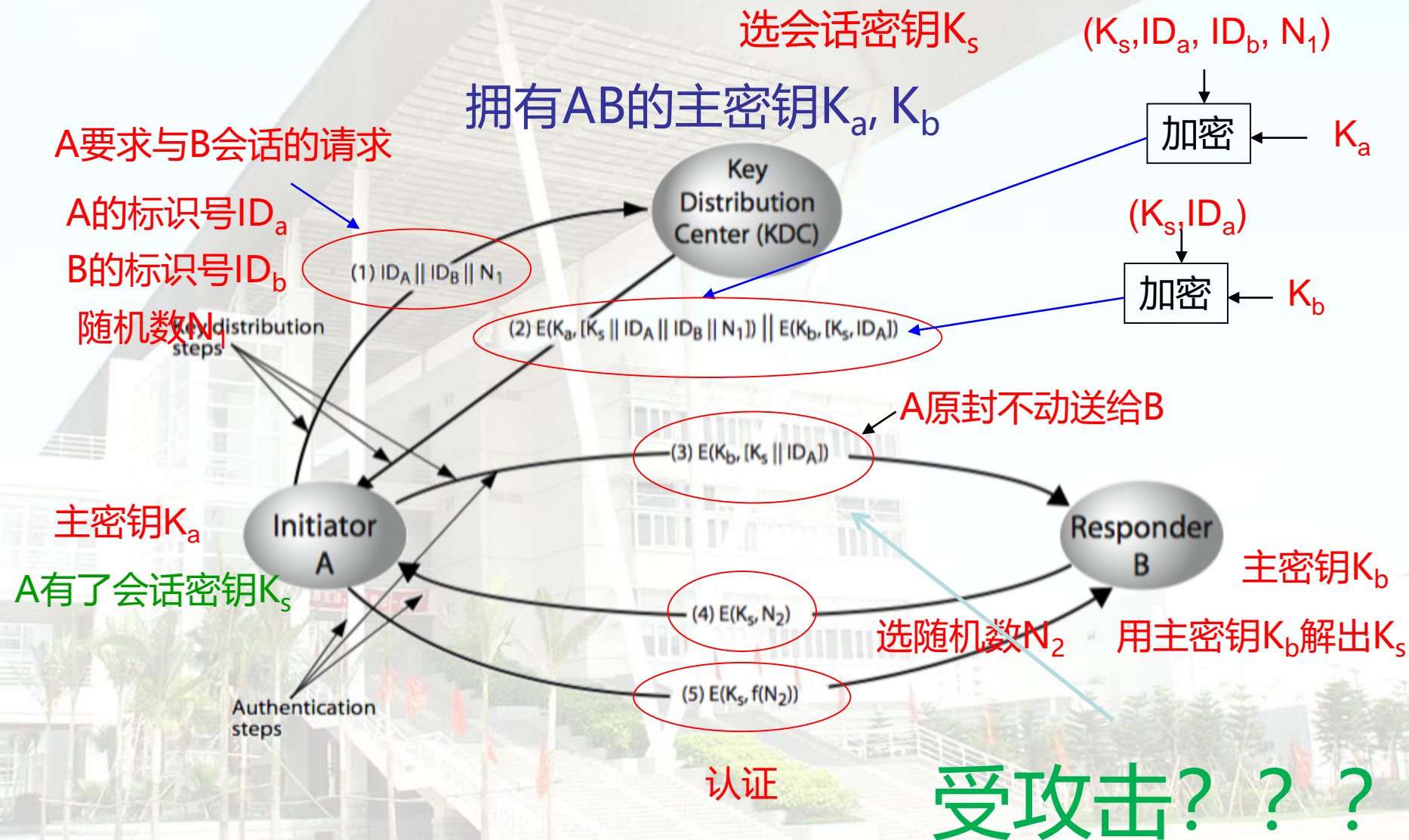
## Mutual Authentication

# Needham-Schroeder Protocol

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- original third-party key distribution protocol
- session key between A B issued by KDC
- protocol overview:
  1. A → KDC:  $ID_A \parallel ID_B \parallel N_1$
  2. KDC → A:  $E_{K_a}[K_s \parallel ID_B \parallel N_1 \parallel E_{K_b}[K_s \parallel ID_A]]$
  3. A → B:  $E_{K_b}[K_s \parallel ID_A]$
  4. B → A:  $E_{K_s}[N_2]$
  5. A → B:  $E_{K_s}[f(N_2)]$

## Needham-Schroeder Protocol (2)



# Mutual Authentication :

## Needham-Schroeder Protocol (3)

- used to securely distribute a new session key for communications between A & B
- but is vulnerable(易受攻击) to a **replay attack** if an old session key has been compromised
  - then **message 3** can be resent convincing B that is communicating with A
- modifications to address this require:
  - **timestamps**
  - **using an extra nonce**

# Mutual Authentication: Denning Protocol 改进

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- Add **timestamps T**
- protocol overview:
  1. A  $\rightarrow$  KDC:  $ID_A \parallel ID_B$
  2. KDC  $\rightarrow$  A:  $E_{K_a}[K_s \parallel ID_B \parallel \mathbf{T} \parallel E_{K_b}[K_s \parallel ID_A \parallel \mathbf{T}]]$
  3. A  $\rightarrow$  B:  $E_{K_b}[K_s \parallel ID_A \parallel \mathbf{T}]$
  4. B  $\rightarrow$  A:  $E_{K_s}[N_2]$
  5. A  $\rightarrow$  B:  $E_{K_s}[f(N_2)]$

But needs synchronized clocks!!!



# Mutual Authentication: Needham-Schroeder Protocol (4) 改进

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- using an extra nonce

- protocol overview:

1. **A**->**B**:  $ID_A \parallel N_a$

2. **B**-> **KDC** :  $ID_B \parallel N_b \parallel E_{K_b}[ID_A \parallel N_a \parallel T_b]$

3. **KDC** -> **A**:  $E_{K_a}[ID_B \parallel N_a \parallel K_s \parallel T_b] \parallel E_{K_b}[ID_A \parallel K_s \parallel T_b] \parallel N_b$

4. **A** -> **B**:  $E_{K_b}[ID_A \parallel K_s \parallel T_b] \parallel E_{K_s}[N_b]$

时间 $T_b$ 由B的时钟决定,B只检查自身的时间,不存在AB时间同步问题

# Using Public-Key Encryption

- need to ensure have **correct public keys** for other parties
- using a central Authentication Server (AS)
  - **鉴别/认证服务器**
- various protocols exist using **timestamps** or **nonces**

# Denning AS Protocol

1.  $A \rightarrow AS: ID_A \parallel ID_B$  : A想与B建立连接
2.  $AS \rightarrow A: E_{PRas}[ID_A \parallel PU_a \parallel T] \parallel E_{PRas}[ID_B \parallel PU_b \parallel T]$   
AS私钥  $\rightarrow$   $E_{PRas}$   $\rightarrow$  A的公钥  $\rightarrow$   $PU_a$   $\rightarrow$  B的公钥  $\rightarrow$   $PU_b$   $\rightarrow$  会话密钥
3.  $A \rightarrow B: E_{PRas}[ID_A \parallel PU_a \parallel T] \parallel E_{PRas}[ID_B \parallel PU_b \parallel T] \parallel E_{PUB}[E_{PRa}[K_s \parallel T]]$   
A的私钥!!!  $\rightarrow$   $E_{PRa}$

- note session key is chosen by A, hence AS need not be trusted to protect it
- timestamps prevent replay but require synchronized clocks

- ## 会话密钥



# Woo-Lam Modified Method (2)

1.  $A \rightarrow KDC: ID_A \parallel ID_B$
2.  $KDC \rightarrow A: E_{K_{auth}}[ID_B \parallel KU_b]$  将B的公钥告诉A
3.  $A \rightarrow B: E_{KU_b}[N_a \parallel ID_A]$
4.  $B \rightarrow KDC: ID_B \parallel ID_A \parallel E_{KU_{auth}}[N_a]$
5.  $KDC \rightarrow B: E_{KR_{auth}}[ID_A \parallel KU_a] \parallel E_{KU_b}[E_{KR_{auth}}[N_a \parallel [K_s \parallel ID_A \parallel ID_B]]]$
6.  $B \rightarrow A: E_{KU_a}[E_{KR_{auth}}[N_a \parallel [K_s \parallel ID_A \parallel ID_B]] \parallel N_b]$
7.  $A \rightarrow B: E_{K_s}[N_b]$

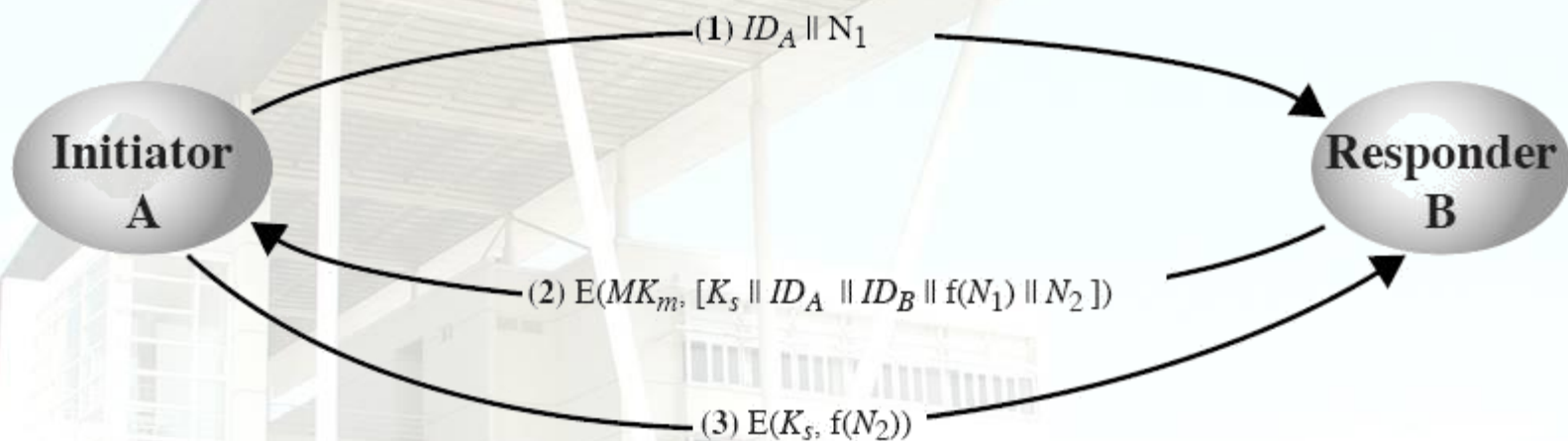
加入( $N_a, ID_A$ ) 唯一标识了A的连接请求

# One-Way Authentication

- required when sender & receiver are **not in communications at same time**  
eg. email
- **header in clear** so can be delivered by email system
- **contents of body protected & sender authenticated**

# decentralized(分散式) key distribution

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要求发送方 向接收方提出请求,等待包含会话密钥的响应,才进行通信

Figure 7.11 Decentralized Key Distribution

# Using Symmetric Encryption

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- refine use of KDC but **can't have final exchange of nonces:**

1.  $A \rightarrow KDC: ID_A \parallel ID_B \parallel N_I$

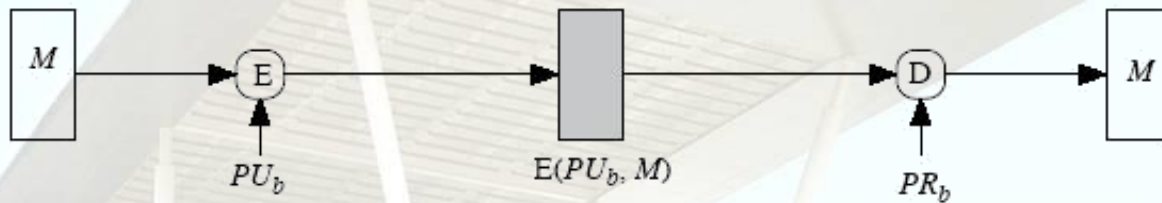
2.  $KDC \rightarrow A: E_{K_a}[K_s \parallel ID_B \parallel N_I \parallel E_{K_b}[K_s \parallel ID_A]]$

3.  $A \rightarrow B: E_{K_b}[K_s \parallel ID_A] \parallel E_{K_s}[M]$

does not protect against replays



# Public-Key Approaches

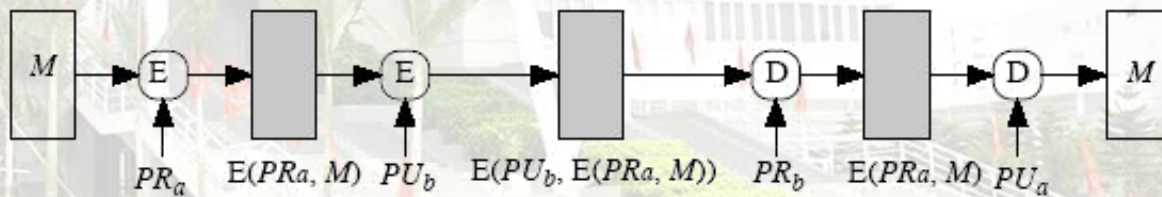


(b) Public-key encryption: confidentiality



(c) Public-key encryption: authentication and signature

要求知道对方公钥



(d) Public-key encryption: confidentiality, authentication, and signature

# Public-Key Approaches

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if confidentiality is major concern:

A->B:  $E_{PUB}[K_S] \parallel E_{K_S}[M]$

- encrypt session key by public key,
- encrypt message by session key

- if authentication needed, use digital signature with digital certificate:

A->B:  $M \parallel E_{PRa}[H(M)] \parallel E_{PRas}[T \parallel ID_A \parallel PU_a]$

- with message, signature, certificate

# Authentication Applications

- authentication functions
- application-level authentication & digital signatures
- Kerberos
  - a private-key authentication service
- X.509
  - a public-key directory authentication service