

# **Chap 2. Arrays and Structures (2)**

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# 2.5 Sparse Matrix

## 2.5.1 The Abstract Data Type

- Standard representation of a matrix
  - $A[\text{MAX\_ROWS}][\text{MAX\_COLS}]$

	col 0	col 1	col 2
row 0	-27	3	4
row 1	6	82	-2
row 2	109	-64	11
row 3	12	8	9
row 4	48	27	47

(a)

	col 0	col 1	col 2	col 3	col 4	col 5
row 0	15	0	0	22	0	-15
row 1	0	11	3	0	0	0
row 2	0	0	0	-6	0	0
row 3	0	0	0	0	0	0
row 4	91	0	0	0	0	0
row 5	0	0	28	0	0	0

(b)

Figure 2.4: Two matrices

- Sparse matrix
  - $m \times n$  matrix  $A$  :  $\frac{\text{no. of nonzero elements}}{m \times n} \ll 1$

## 2.5.2 Sparse Matrix Representation

- *An array of triples*
  - <row, column, value> : 3-tuples (triples)

*SparseMatrix Create(maxRow, maxCol) ::=*

```
#define MAX_TERMS 101 /* maximum number of terms +1*/
typedef struct {
    int col;
    int row;
    int value;
} term;
term a[MAX_TERMS];
```

	col 0	col 1	col 2	col 3	col 4	col 5	row	col	value	
row 0	15	0	0	22	0	-15	a[0]	6	6	8
row 1	0	11	3	0	0	0	[1]	0	0	15
row 2	0	0	0	-6	0	0	[2]	0	3	22
row 3	0	0	0	0	0	0	[3]	0	5	-15
row 4	91	0	0	0	0	0	[4]	1	1	11
row 5	0	0	28	0	0	0	[5]	1	2	3
							[6]	2	3	-6
							[7]	4	0	91
							[8]	5	2	28

# *Use an array of triples (cont')*

	row	col	value
$a[0]$	6	6	8
[1]	0	0	15
[2]	0	3	22
[3]	0	5	-15
[4]	1	1	11
[5]	1	2	3
[6]	2	3	-6
[7]	4	0	91
[8]	5	2	28

**a[0].row** : the number of rows

**a[0].col** : the number of columns

**a[0].value** : the total number of nonzero entries

- The triples are ordered by row and within rows by columns.  
*(row major ordering)*

---

**ADT SparseMatrix** is

**objects:** a set of triples,  $\langle \text{row}, \text{column}, \text{value} \rangle$ , where  $\text{row}$  and  $\text{column}$  are integers and form a unique combination, and  $\text{value}$  comes from the set  $\text{item}$ .

**functions:**

for all  $a, b \in \text{SparseMatrix}$ ,  $x \in \text{item}$ ,  $i, j, \text{maxCol}, \text{maxRow} \in \text{index}$

*SparseMatrix* Create( $\text{maxRow}, \text{maxCol}$ ) ::=

**return** a *SparseMatrix* that can hold up to  $\text{maxItems} = \text{maxRow} \times \text{maxCol}$  and whose maximum row size is  $\text{maxRow}$  and whose maximum column size is  $\text{maxCol}$ .

*SparseMatrix* Transpose( $a$ ) ::=

**return** the matrix produced by interchanging the row and column value of every triple.

*SparseMatrix* Add( $a, b$ ) ::=

**if** the dimensions of  $a$  and  $b$  are the same  
**return** the matrix produced by adding corresponding items, namely those with identical  $\text{row}$  and  $\text{column}$  values.  
**else return** error

*SparseMatrix* Multiply( $a, b$ ) ::=

**if** number of columns in  $a$  equals number of rows in  $b$   
**return** the matrix  $d$  produced by multiplying  $a$  by  $b$  according to the formula:  $d[i][j] = \sum(a[i][k] \cdot b[k][j])$  where  $d(i, j)$  is the  $(i, j)$ th element  
**else return** error.

## 2.5.3 Transposing a Matrix

```

for  $j \leftarrow 1$  to  $n$  do
    for  $i \leftarrow 1$  to  $m$  do
         $b(j, i) \leftarrow a(i, j)$ 
    end
end

```

	row	col	value		row	col	value
a[0]			6				8
a[1]			0				15
a[2]			3				15
a[3]			5				22

$a[0]$	6	6	8
$[1]$	0	0	15
$[2]$	0	3	22
$[3]$	0	5	-15
$[4]$	1	1	11
$[5]$	1	2	3
$[6]$	2	3	-6
$[7]$	4	0	91
$[8]$	5	2	28

(a)

$b[0]$	6	6	8
[1]	0	0	15
[2]	0	4	91
[3]	1	1	11
[4]	2	1	3
[5]	2	5	28
[6]	3	0	22
[7]	3	2	-6
[8]	5	0	-15

(b)

**Figure 2.5:** Sparse matrix and its transpose stored as triples

- Is this a good algorithm for transposing a matrix?

for each row  $i$  of original matrix  
 take element  $\langle i, j, \text{value} \rangle$  and store it  
 as element  $\langle j, i, \text{value} \rangle$  of the transpose;

	row	col	value	$a$	$b$
$a[0]$	6	6	8	(0, 0, 15)	$\rightarrow$ ( 0, 0, 15)
[1]	0	0	15	(0, 3, 22)	$\rightarrow$ ( 3,0, 22)
[2]	0	3	22	(0, 5, -15)	$\rightarrow$ ( 5, 0, -15)
[3]	0	5	-15	(1, 1, 11)	$\rightarrow$ ( 1, 1, 11)
[4]	1	1	11		data movement
[5]	1	2	3	(1, 2, 3)	$\rightarrow$ ( 2, 1, 3)
[6]	2	3	-6		data movement
[7]	4	0	91		...
[8]	5	2	28		

We must move elements to maintain the correct order!

- Using column indices

for all elements in column j of original matrix  
place element  $\langle i, j, \text{value} \rangle$  in  
element  $\langle j, i, \text{value} \rangle$  of the transpose

	row	col	value	<i>a</i>	<i>b</i>
$a[0]$	6	6	8	(0, 0, 15)	$\rightarrow$ (0, 0, 15)
[1]	0	0	15	(4, 0, 91)	$\rightarrow$ (0, 4, 91)
[2]	0	3	22	(1, 1, 11)	$\rightarrow$ (1, 1, 11)
[3]	0	5	-15	(2, 1, 3)	$\rightarrow$ (2, 1, 3)
[4]	1	1	11	(5, 2, 28)	$\rightarrow$ (2, 5, 28)
[5]	1	2	3		...
[6]	2	3	-6		
[7]	4	0	91		
[8]	5	2	28		

We can avoid data movement!

```

typedef struct {
    int col;
    int row;
    int value;
} term;
term a[MAX_TERMS];

```

	row	col	value
a[0]	6	6	8
[1]	0	0	15
[2]	0	3	22
[3]	0	5	-15
[4]	1	1	11
[5]	1	2	3
[6]	2	3	-6
[7]	4	0	91
[8]	5	2	28

(a)

	row	col	value
b[0]	6	6	8
[1]	0	0	15
[2]	0	4	91
[3]	1	1	11
[4]	2	1	3
[5]	2	5	28
[6]	3	0	22
[7]	3	2	-6
[8]	5	0	-15

(b)

Figure 2.5: Sparse matrix and its transpose stored as triples

---

```

void transpose(term a[], term b[])
    /* b is set to the transpose of a */
    int n,i,j, currentb;
    n = a[0].value;           /* total number of elements */
    b[0].row = a[0].col; /* rows in b = columns in a */
    b[0].col = a[0].row; /* columns in b = rows in a */
    b[0].value = n;
    if (n > 0 ) { /* non zero matrix */
        currentb = 1;
        for (i = 0; i < a[0].col; i++) //a의 열 인덱스
            /* transpose by the columns in a */
            for (j = 1; j <= n; j++) //원소의 개수 만큼, a의 행 인덱스
                /* find elements from the current column */
                if (a[j].col == i) {
                    /* element is in current column, add it to b */
                    b[currentb].row = a[j].col;
                    b[currentb].col = a[j].row;
                    b[currentb].value = a[j].value;
                    currentb++;
                }
        }
    }
}

```

---

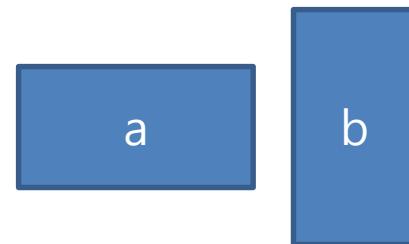
### Program 2.8: Transpose of a sparse matrix

Time complexity :  $O(\text{columns} \cdot \text{elements})$

- Analysis of *transpose*
  - Nested for loops are the decisive factor.
  - The remaining part requires only constant time.
  - Time complexity : **O(columns · elements)**
  - If  $elements = rows \cdot columns$ ,  $O(columns^2 \cdot rows)$ 
    - To conserve space, we have traded away too much time.

cf) If the matrices are represented as 2D arrays,

```
for ( j = 0; j < columns; j++)
    for ( i = 0; i < rows; i++)
        b[j][i] = a[i][j];
```



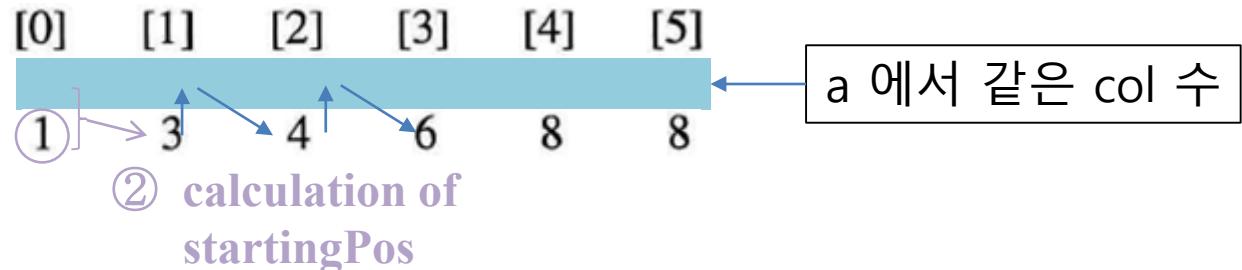
- $O(columns \cdot rows)$

- Fast transpose of a sparse matrix

	row	col	value		row	col	value
$a[0]$	6	6	8		$b[0]$	6	6
[1]	0	0	15	→	[1]		
[2]	0	3	22		[2]		
[3]	0	5	-15	→	[3]		
[4]	1	1	11	→	[4]		
[5]	1	2	3	→	[5]		
[6]	2	3	-6	→	[6]		
[7]	4	0	91	→	[7]		
[8]	5	2	28	→	[8]		

① calculation of  
rowTerms

$rowTerms =$   
 $startingPos =$



- Fast transpose of a sparse matrix(cont')
- ③  $b(j,i) \leftarrow a(i,j)$

	row	col	value		row	col	value
$a[0]$	6	6	8	$b[0]$	6	6	8
[1]	0	0	15	[1]	0	0	15
[2]	0	3	22	[2]	0	4	91
[3]	0	5	-15	[3]	1	1	11
[4]	1	1	11	[4]	2	1	3
[5]	1	2	3	[5]	2	5	28
[6]	2	3	-6	[6]	3	0	22
[7]	4	0	91	[7]	3	2	-6
[8]	5	2	28	[8]	5	0	-15



현재 처리시 0 행의 시작 주소는 2, 처리 후 1증가

	[0]	[1]	[2]	[3]	[4]	[5]
$rowTerms =$	2	1	2	2	0	1
$startingPos =$	3	4	6	8	8	9

완료 후 StartingPos 값

## ▶ Fast transpose of a sparse matrix(cont')



	행	열	값
a[0]	6	6	8
a[1]	0	0	15
a[2]	0	3	22
a[3]	0	5	-15
a[4]	1	1	11
a[5]	1	2	3
a[6]	2	3	-6
a[7]	4	0	91
a[8]	5	2	28



rowTerms[MAX\_COL]

[0]	2	[0]	1
[1]	1	[1]	3
[2]	2	[2]	4
[3]	2	[3]	6
[4]	0	[4]	8
[5]	1	[5]	8

startingPos[MAX\_COL]

	행	열	값
b[0]	6	6	8
b[1]	0	0	15
b[2]	0	4	91
b[3]	1	1	11
b[4]	2	1	3
b[5]	2	5	28
b[6]	3	0	22
b[7]	3	2	-6
b[8]	5	0	-15

---

```

void fastTranspose(term a[], term b[])
{ /* the transpose of a is placed in b */
    int rowTerms[MAX_COL], startingPos[MAX_COL];
    int i, j, numCols = a[0].col, numTerms = a[0].value;
    b[0].row = numCols; b[0].col = a[0].row;
    b[0].value = numTerms;
    if (numTerms > 0) { /* nonzero matrix */
        for (i = 0; i < numCols; i++)
            rowTerms[i] = 0;
        for (i = 1; i <= numTerms; i++)
            rowTerms[a[i].col]++;
        startingPos[0] = 1;
        for (i = 1; i < numCols; i++)
            startingPos[i] =
                startingPos[i-1] + rowTerms[i-1];
        for (i = 1; i <= numTerms; i++) {
            j = startingPos[a[i].col]++;
            b[j].row = a[i].col; b[j].col = a[i].row;
            b[j].value = a[i].value;
        }
    }
}

```

calculation of  
rowTerms      rowTerms = [0] 2 [1] 1 [2] 2 [3] 2 [4] 0 [5] 1  
calculation of  
startingPos      startingPos = [0] 1 [1] 3 [2] 4 [3] 6 [4] 8 [5] 8

b(j,i)  $\leftarrow$  a(i,j)     

---

**Program 2.9:** Fast transpose of a sparse matrix  $O(\text{columns} + \text{elements})$

- Analysis of *fastTranspose*
  - The number of iterations of the four loops
    - $numCols$ ,  $numTerms$ ,  $numCols-1$ ,  $numTerms$ , respectively
  - The statements within the loops require constant time.
  - Time complexity : **O(*columns+ elements*)**  

$$(columns \cdot (rows+1))$$
  - If  $elements = columns \cdot rows$ ,  $O(columns \cdot rows)$ 
    - equals that of the 2D array representation
  - However, if  $elements \ll columns \cdot rows$ ,
    - much faster than 2D array representation
  - Thus, in this representation *we save both time and space*.

## 2.6 Representation of Multidimensional Arrays

- *Array of arrays* in C (Section 2.2.2)
  - store it in consecutive memory like 1D array
  - $a[upper_0][upper_1]\dots[upper_{n-1}]$

The number of elements =  $\prod_{i=0}^{n-1} upper_i$

# Row Major Order

- Declaration: A[2][3][2][2]
  - the range of index values
    - $0..1, 0..2, 0..1, 0..1$
  - order to store

A[0][0][0][0], A[0][0][0][1], A[0][0][1][0], A[0][0][1][1]

A[0][1][0][0], A[0][1][0][1], A[0][1][1][0], A[0][1][1][1]

...

A[1][2][0][0], A[1][2][0][1], A[1][2][1][0], A[1][2][1][1]

A synonym for row major order is  
*lexicographic order!!*

# Row Major Order(cont’)

- $a[upper_0][upper_1]$

**address**

$$a[0][0]$$

$$\alpha$$

$$a[i][0]$$

$$\alpha + i \cdot upper_1$$

$$a[i][j]$$

$$\alpha + i \cdot upper_1 + j$$

Assuming that each array element requires only one word of memory

- $a[upper_0][upper_1][upper_2]$

$$a[0][0][0]$$

$$\alpha$$

$$a[i][0][0]$$

$$\alpha + i \cdot upper_1 \cdot upper_2$$

$$a[i][j][k]$$

$$\alpha + i \cdot upper_1 \cdot upper_2 + j \cdot upper_2 + k$$

# Row Major Order(cont')

- $a[upper_0][upper_1]\dots[upper_{n-1}]$

$$a[0] [0] \dots [0] \quad \alpha$$

$$a[i_0][0][0]\dots[0] \quad \alpha + i_0 upper_1 upper_2 \dots upper_{n-1}$$

$$\begin{aligned} a[i_0][i_1][0]\dots[0] &= \alpha + i_0 upper_1 upper_2 \dots upper_{n-1} \\ &\quad + i_1 upper_2 upper_3 \dots upper_{n-1} \end{aligned}$$

$$\begin{aligned} a[i_0][i_1] \dots [i_{n-1}] &= \alpha + i_0 upper_1 upper_2 \dots upper_{n-1} \\ &\quad + i_1 upper_2 upper_3 \dots upper_{n-1} \\ &\quad + i_2 upper_3 upper_4 \dots upper_{n-1} \\ &\quad \cdot \\ &\quad \cdot \\ &\quad \cdot \\ &\quad + i_{n-2} upper_{n-1} \\ &\quad + i_{n-1} \end{aligned}$$

$$= \alpha + \sum_{j=0}^{n-1} i_j a_j \text{ where: } \begin{cases} a_j = \prod_{k=j+1}^{n-1} upper_k & 0 \leq j < n-1 \\ a_{n-1} = 1 & \end{cases}$$

# Pattern matching

## ◆ More complex string applications

Assume pat is a pattern to search for a string

Easiest way to determine if pat is in string or not

Using the built-in function strstr

Statement identifying whether pat is in string

```
if (t=strstr(string, pat))
    printf("The string from strstr is : %s\n", t);
else
    printf("The pattern was not found with strstr\n");
```

- Although strstr appears to be well-suited for pattern matching, let's develop our own pattern matching function

```
#include <stdio.h>
#include <string.h>
void main()
{
char *string = "This is a test program for strsr
function ";
char *pat = "test";
char *t;
if (t = strstr(string, pat))
printf("The string from strstr is : %s\n", t);
else
printf("The pattern was not found with strstr\n");
}
```



# Pattern matching

## nfind simulation

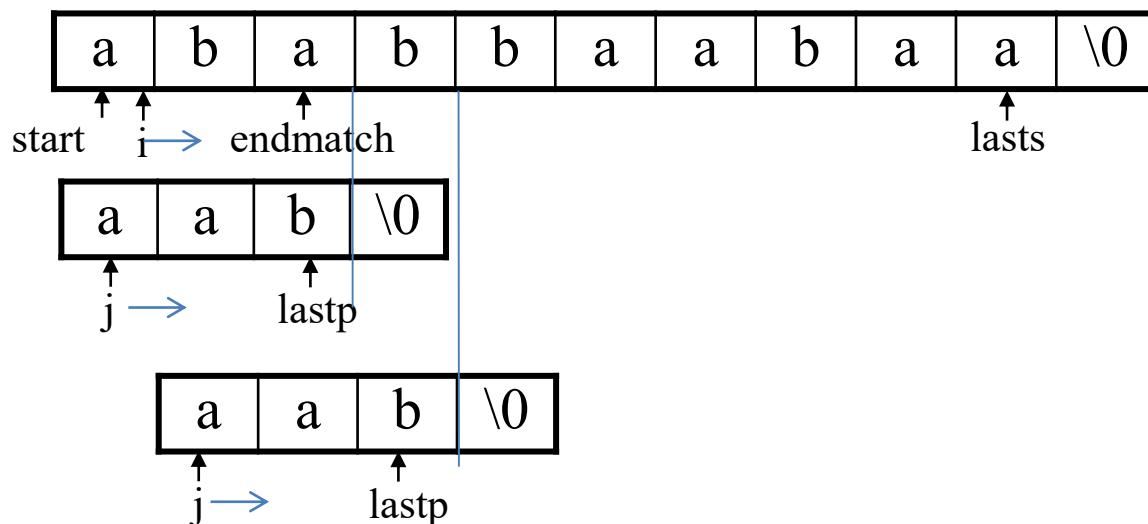
Assume pat = "aab" and string = "ababbaabaa"

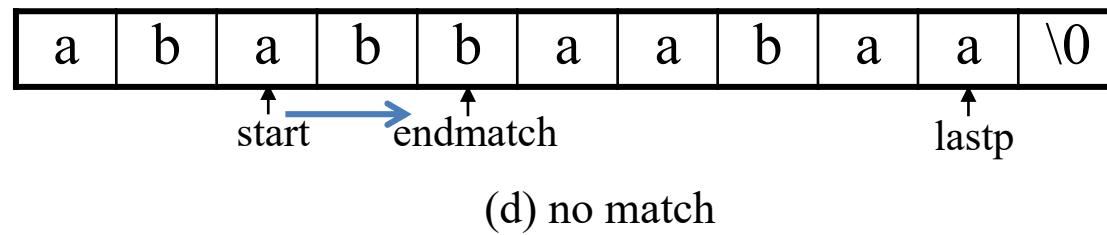
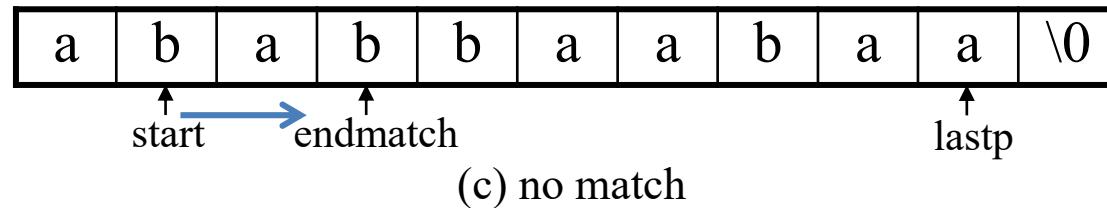
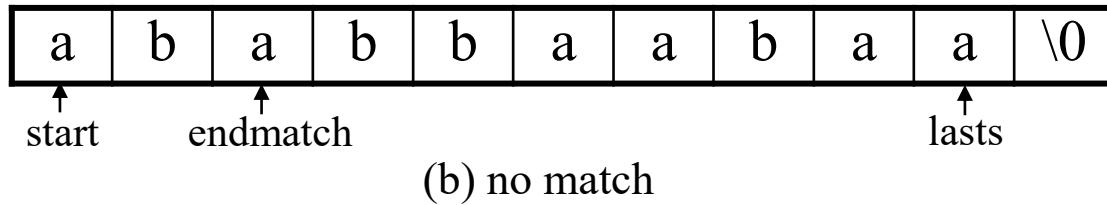
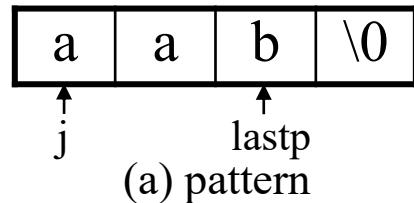
Point end of string to lasts, end of pat array to lastp

Compare string [endmatch] with pat [lastp]

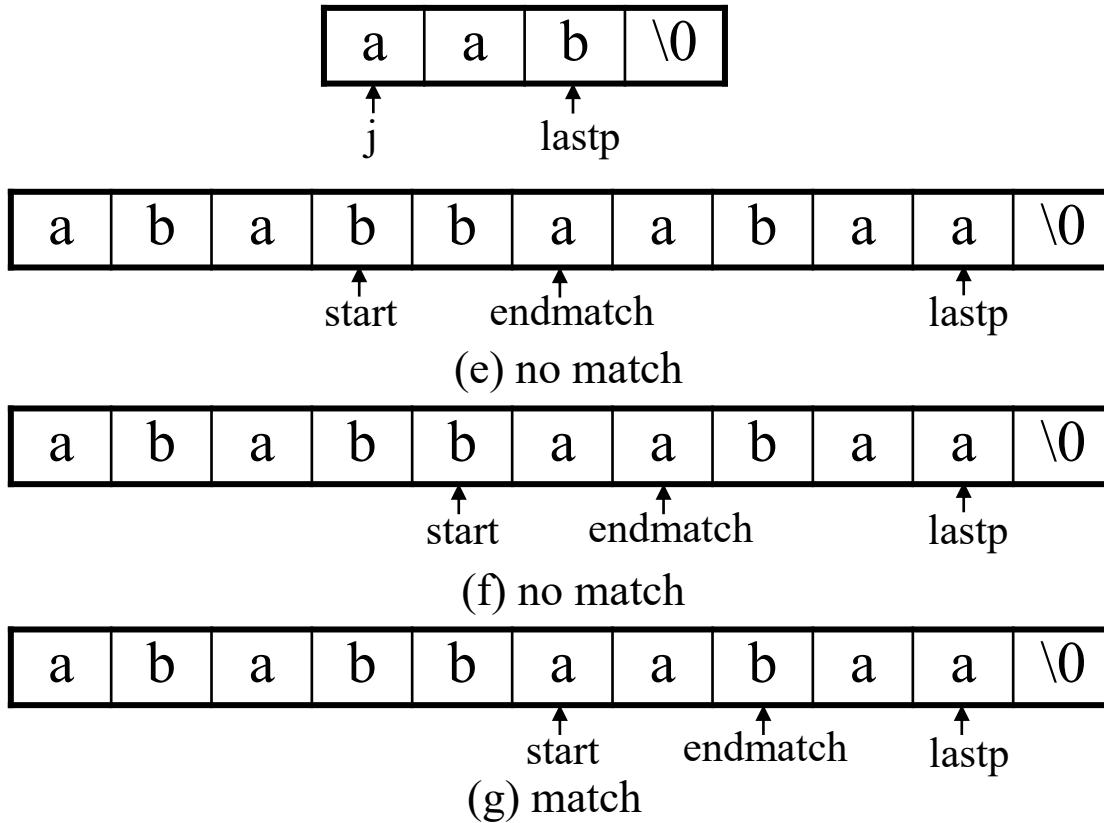
If they match, use i, j to move two strings until pat is matched.

The variables start and endmatch are incremented.





# Pattern matching



# Pattern matching

- ◆ Pattern matching that checks the last character of the pattern first

```
int nfind(char *string, char *pat)
{ /* Match the last character in the pattern first, then match it from the beginning. */
    int i=0, j=0, start = 0;
    int lasts = strlen(string) - 1;
    int lastp = strlen(pat) - 1;
    int endmatch = lastp;

    for (i=0; endmatch <= lasts; endmatch++, start++) {
        if (string[endmatch] == pat[lastp])
            for (j=0, i=start; j< lastp &&
                    string[i] == pat[j]; i++, j++);
        if (j == lastp)
            return start; /* success */
    }
    return -1;
}
```

< nfind function >

Time complexity : O(lasts\*lastp)

aaaaaaaaaaaaaaaaaaaaaaa  
aaaaaabaa

# Pattern matching

- ◆ KMP (Knuth, Morris, Pratt) Pattern matching
- ◆ failure function

- String : a b c a b c a b c a b d a b c c
- Pattern : a b c a b c a b d a

j	0	1	2	3	4	5	6	7	8	9
pat	a	b	c	a	b	c	a	b	d	a
f	-1	-1	-1	0	1	2	3	4	-1	0

실패함수 : 패턴에 대한 정보를 제공,  
현재의 비교가 실패했을 때,  
패턴의 몇 번째 문자와 비교해야 할까에 대한 정보를 제공

# Pattern matching

- ◆ KMP (Knuth, Morris, Pratt) Pattern matching
- ◆ failure function

- String : a b c a b c a b c a b d a b c c
- Pattern : a b c a b c a b d a

j	0	1	2	3	4	5	6	7	8	9
pat	a	b	c	a	b	c	a	b	d	a
f	-1	-1	-1	0	1	2	3	4	-1	0

j : pattern의 index

f : pattern에 있는 문자들이 패턴의 시작 위치에서부터 일치하는 문자 수

위의 string에서 8번째 문자 'c'와 pattern 'd'가 다름

pattern의 7번째 문자는 pattern의 처음부터 4 번째까지 일치함으로,  
string에서 8번째 문자 'c'와 pattern의 5번째 문자 'c'와 비교를 수행

# 패턴매칭

## ◆ 실패함수(failure function)

- 정의 : 임의의 패턴  $p=p_0p_1 \dots p_{n-1}$ 이 있을 때  
이 패턴의 실패함수( $f$ )는 다음과 같이 정의한다.

$$f(j) = \begin{cases} \text{제일 큰 } i < j : \text{여기서 } p_0p_1\dots p_i = p_{j-i}p_{j-i+1}\dots p_j \text{인 } i \geq 0 \text{이 존재시} \\ -1 \quad : \text{그 이외의 경우} \end{cases}$$

ex) 패턴  $\text{pat} = \text{abcabcaab}$ 에 대해  $f$ 는 다음과 같다.

j	0	1	2	3	4	5	6	7	8	9
pat	a	b	c	a	b	c	a	c	a	b
f	-1	-1	-1	0	1	2	3	-1	0	1

# Pattern matching(6/7)

## ◆ pmatch 함수 : 패턴 매칭의 규칙을 함수화한 것

```
#include <stdio.h>
#include <string.h>
#define max_string_size 100
#define max_pattern_size 100
int pmatch();
void fail();
int failure[max_pattern_size];
char string[max_string_size];
char pat[max_pattern_size];

int pmatch(char *string, char *pat)
{
    /* Knuth, Morris, Pratt의 스트링 매칭 알고리즘 */
    int i = 0, j = 0;
    int lens = strlen(string);
    int lenp = strlen(pat);
```

# Pattern matching(7/7)

```
while (i<lens && j < lenp) {  
    if (string[i] == pat[j]) {  
        i++; j++;  
    }  
    else if (j == 0) i++; //패턴의 처음부터 다른  
    else j = failure[j-1]+1;  
}  
return ((j == lenp) ? (i - lenp) : -1);  
}
```

복잡도 :  $O(m) = O(\text{strlen(string)})$

- String : a b c a b c a b c a b d a b c c
- Pattern : a b c a b c a b d a

j	0	1	2	3	4	5	6	7	8	9
pat	a	b	c	a	b	c	a	b	d	a
f	-1	-1	-1	0	1	2	3	4	-1	0

```

void fail(char *pat)
/* compute the pattern's failure function */
int n = strlen(pat);
failure[0] = -1;
for(j=1; j < n; j++) {
    failure[j] = i = failure[j-1];
    while ((pat[j] != pat[i+1]) && (i>=0))
        i = failure[i];
    if (pat[j] == pat[i+1])
        failure[j] = i+1;
    else failure[j] = -1;
}

```

Program 2.15: Computing the failure function

j	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
pat	a	b	c	a	b	a	b	c	a	b	c	a	b	e	a			
f	-1	-1	-1	0	1	0	1	2	3	4	2	3	4	2	3	4	-1	0

패턴인덱스

failure 값

시작부터 반복되는 패턴이 없을 경우 빠져나옴

현재의 문자와 반복 패턴 문자가  
다를 경우, 앞부분에서 비교할 위치