

# **SORTING ALGORITHMS**

**MERGESORT**

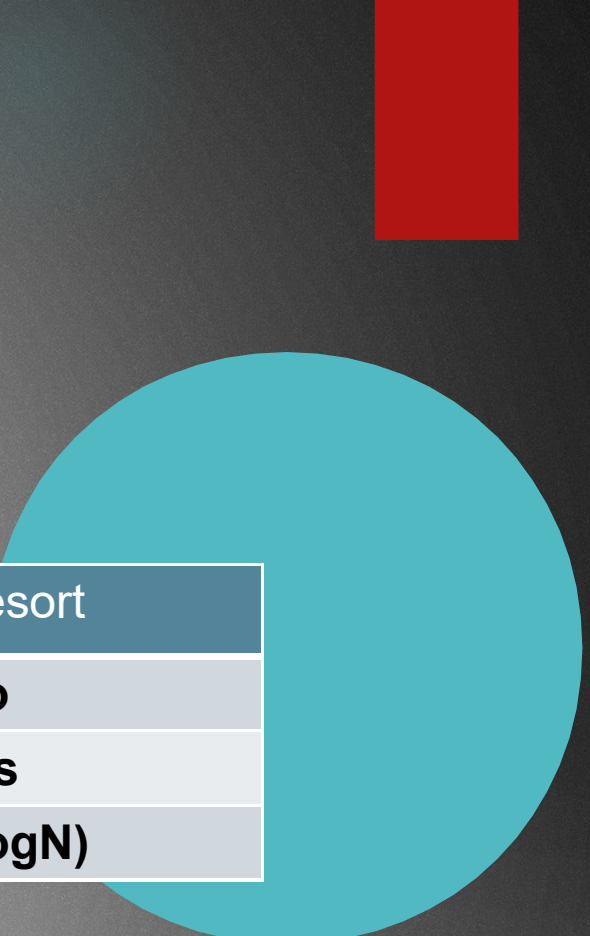




# Mergesort

- ▶ Mergesort is a divide and conquer algorithm that was invented by John von Neumann in 1945
- ▶ Comparison based algorithm with running time complexity  **$O(N \log N)$**
- ▶ It is a stable sorting algorithm
- ▶ Not an in-place algorithm !!!
- ▶ Although heapsort has the same time bounds as merge sort → heapsort requires only  **$\Theta(1)$**  auxiliary space instead of merge sort's  **$\Theta(n)$**
- ▶ Efficient quicksort implementations generally outperforms mergesort
- ▶ Merge sort is often the best choice for sorting a linked list: in this situation it is relatively easy to implement a merge sort in such a way that it requires only  **$\Theta(1)$**  extra space



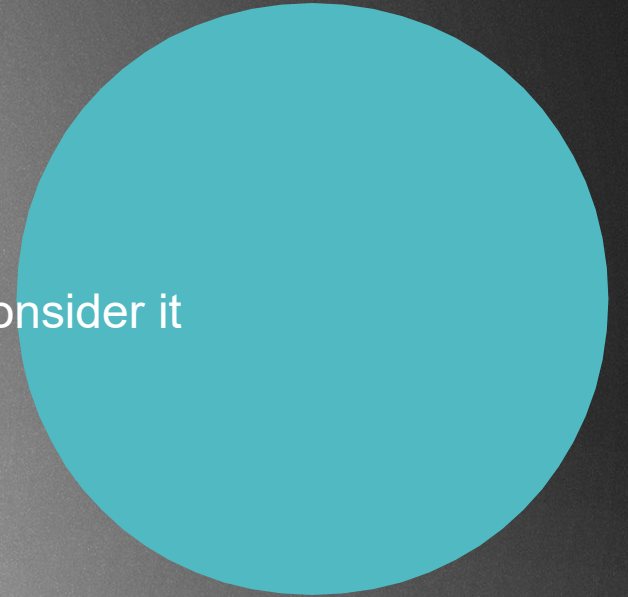


	Quicksort	Mergesort
<b>In place</b>	<b>Yes</b>	<b>No</b>
<b>Stable</b>	<b>No</b>	<b>Yes</b>
<b>Time complexity</b>	<b>Quadratic sometimes</b>	<b><math>O(N \log N)</math></b>



# Mergesort

- 1.) divide the array into two subarrays recursively
- 2.) sort these subarrays recursively with mergesort again
- 3.) if there is only a single item left in the subarray → we consider it to be sorted by definition
- 4.) merge the subarrays to get the final sorted array





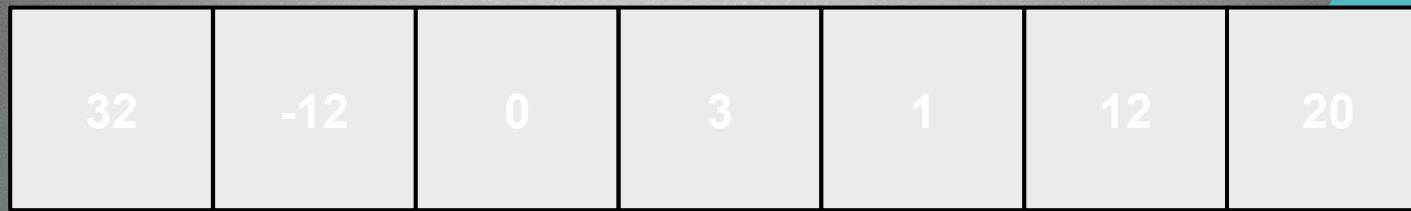
# Mergesort „divide”



32	-12	0	3	1	12	20
----	-----	---	---	---	----	----



# Mergesort „divide”



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----	-----	---	---	---	----	----

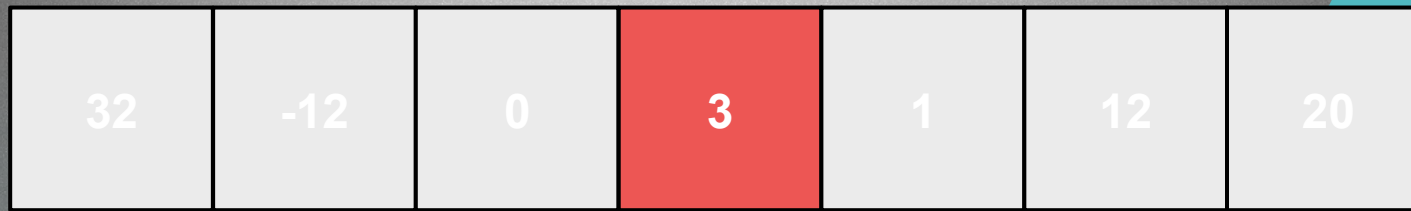
We call the sort method over and over again: `mergesort(0,6)`

Generate a middle index in order to  
partition the array:

$$\text{middleIndex} = (\text{low} + \text{high}) / 2$$



# Mergesort „divide”



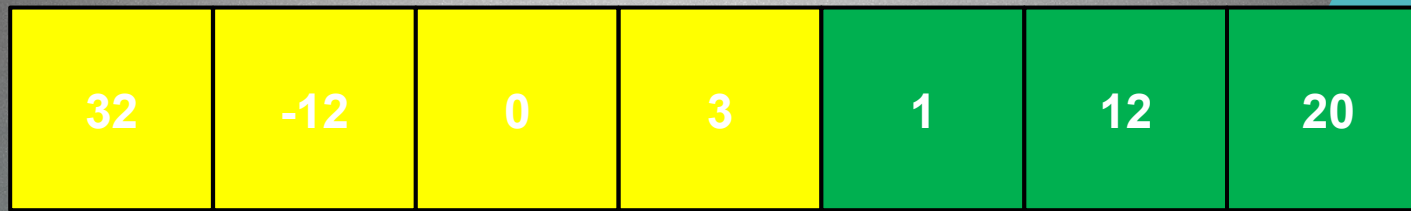
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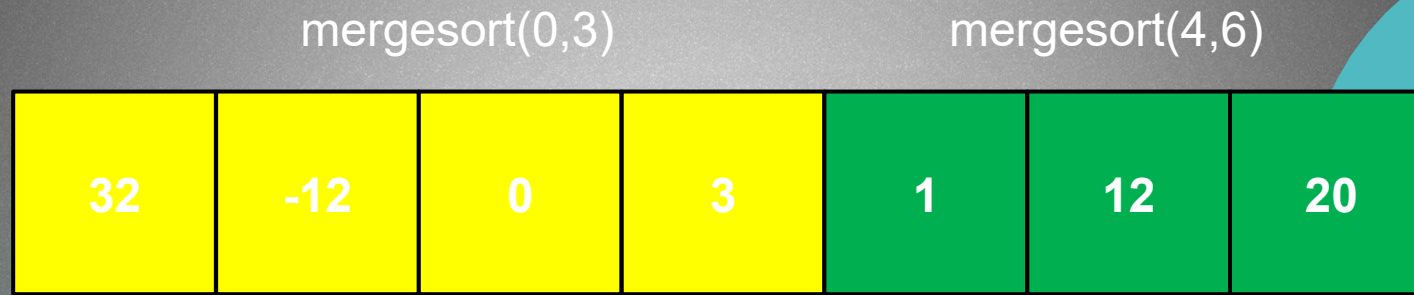
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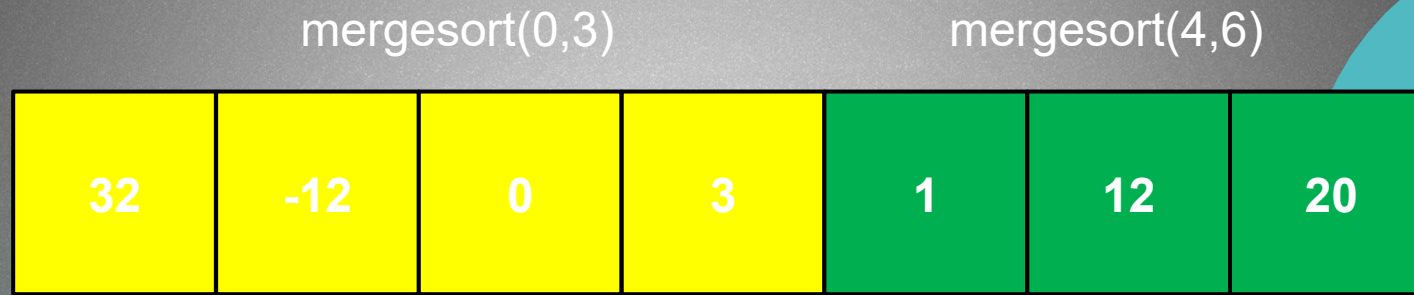
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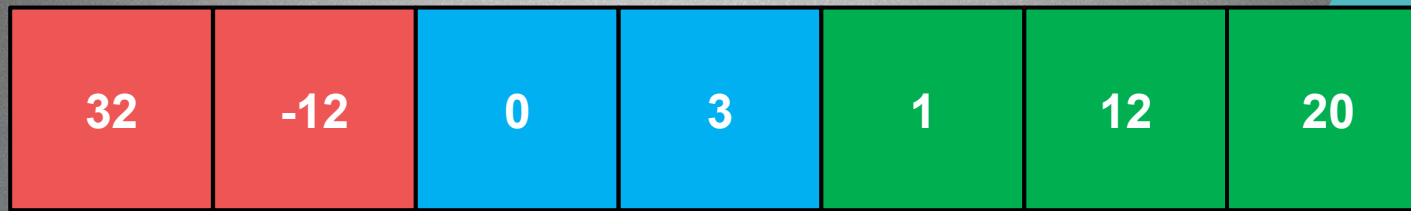
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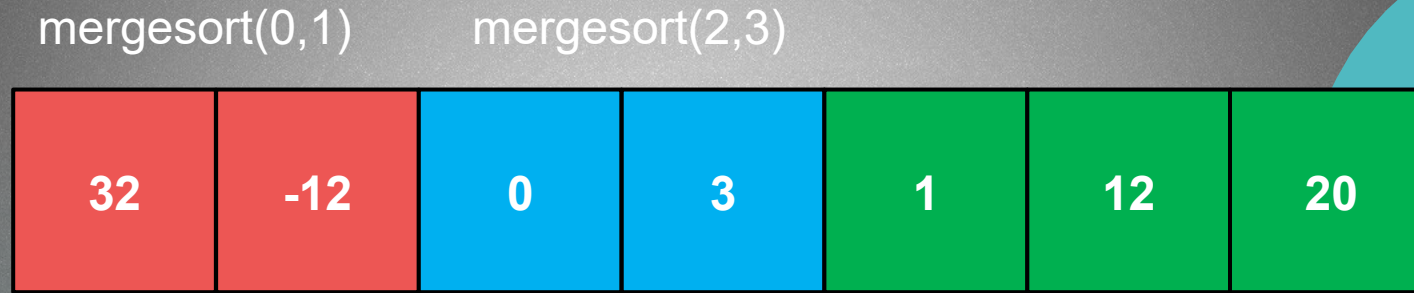
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We call the sort method over and over again: mergesort(0,6)

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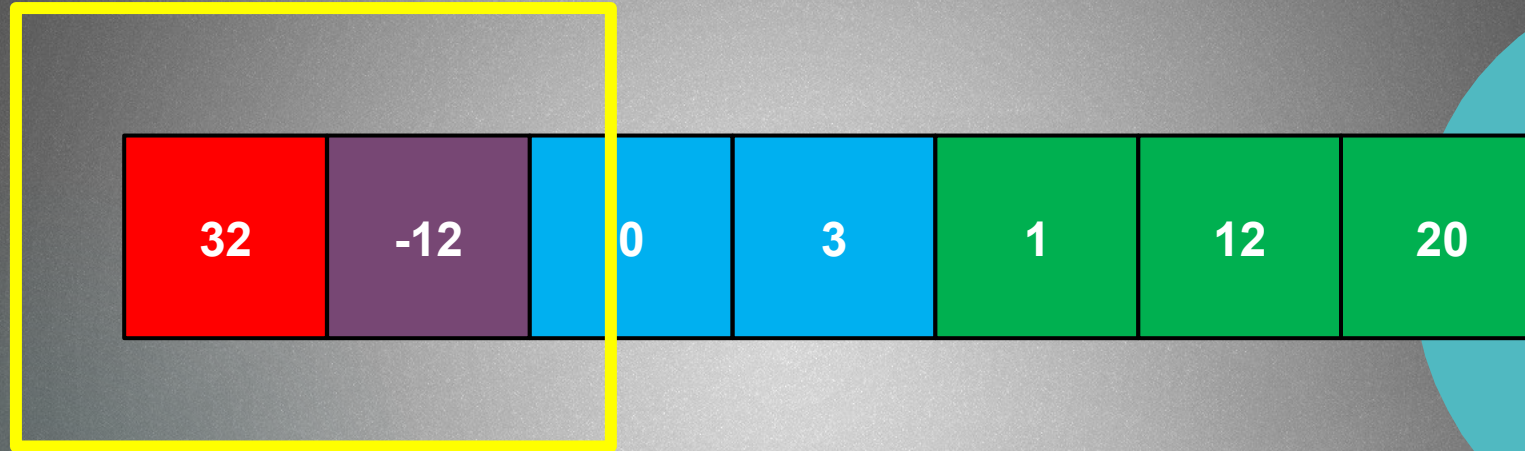
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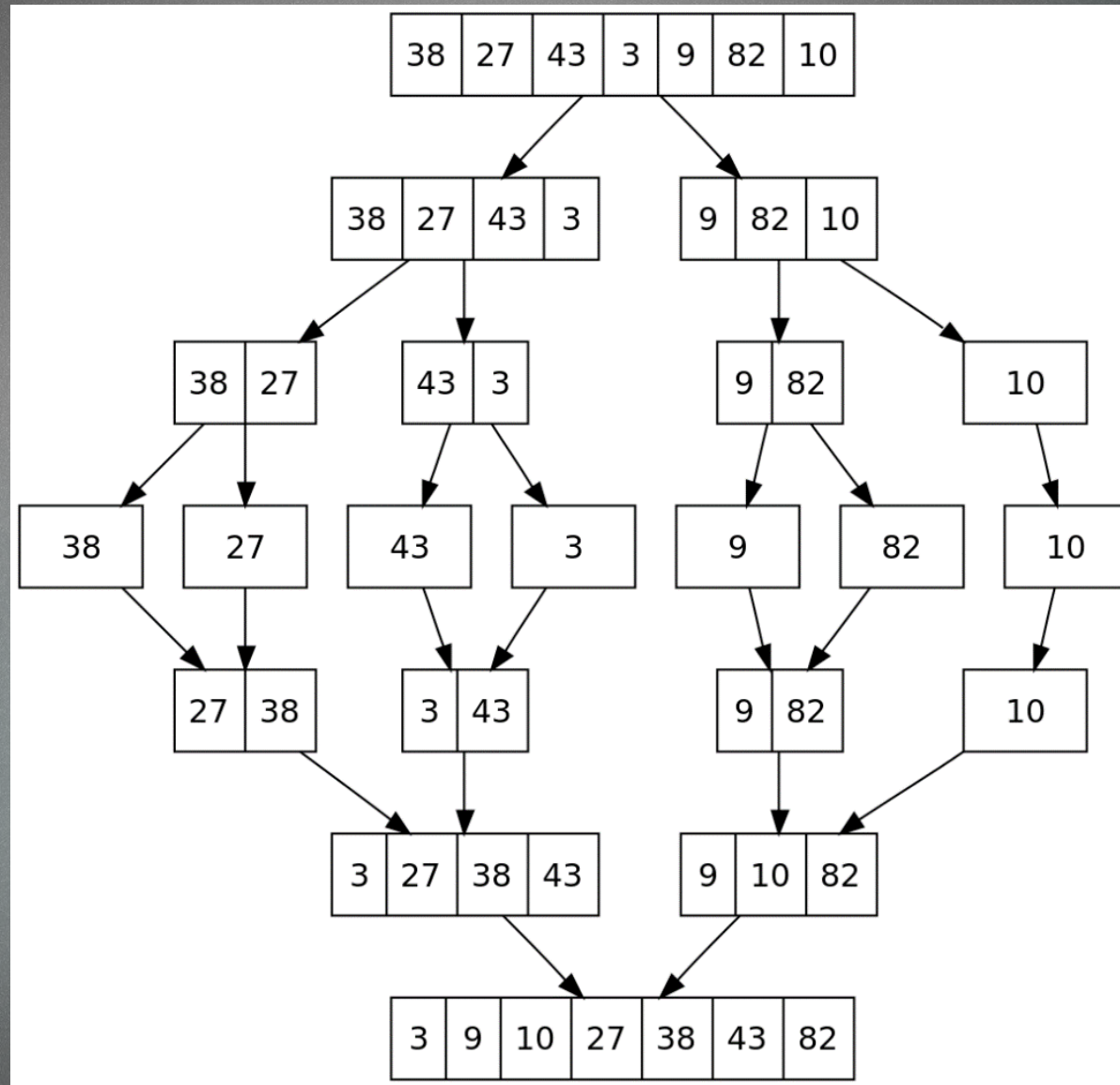


# Mergesort „divide”



After several recursive method calls: we end with single items,  
we consider them sorted by default  
~ so we keep merging these already sorted items







# Mergesort „conquer”

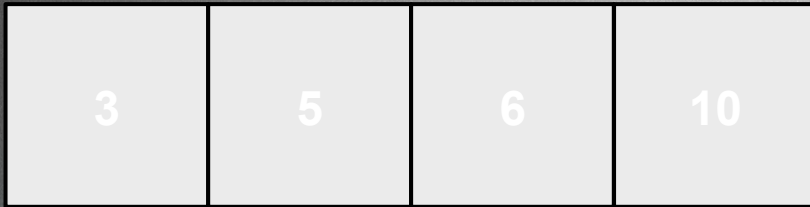
3	5	6	10
---	---	---	----

1	4	8
---	---	---

So after the split operations: we have several distinct arrays that are already sorted: we have to merge these arrays into a single one



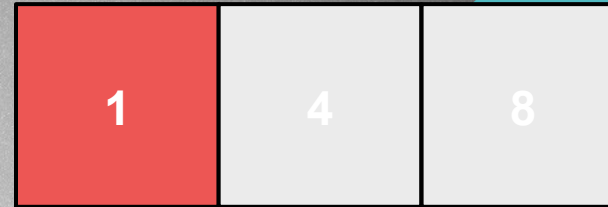
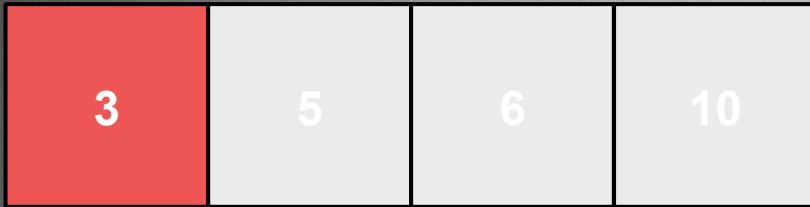
# Mergesort „conquer”



result array



# Mergesort „conquer”

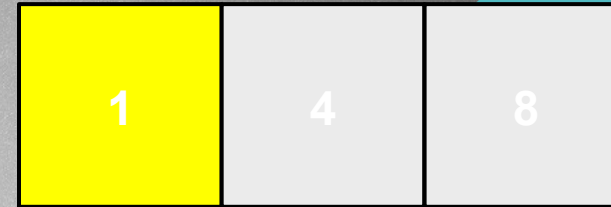
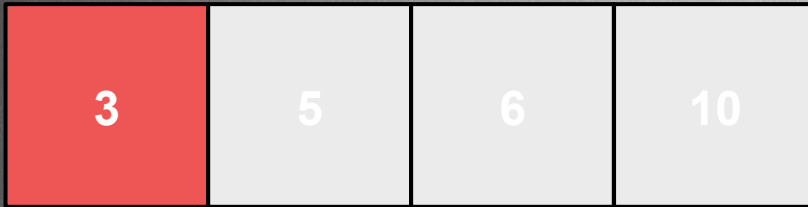


result array

We start at the beginning of the subarrays: we keep comparing them, we insert the smaller into the result array



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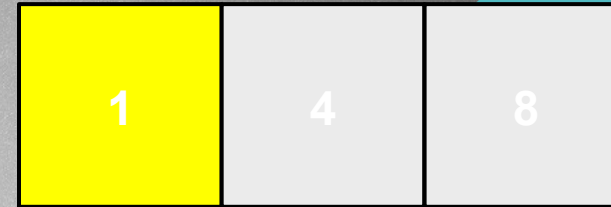
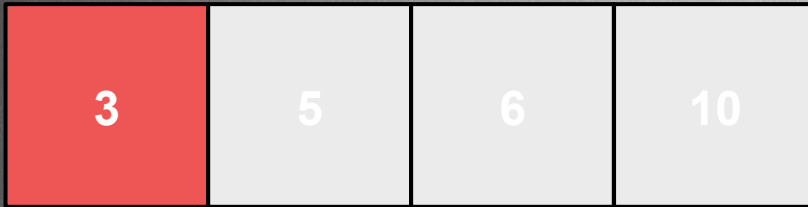


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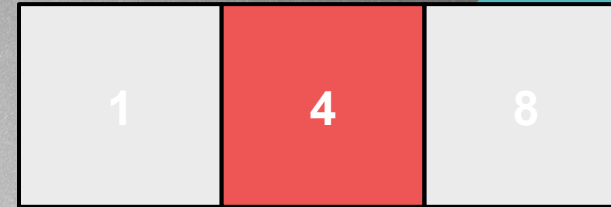
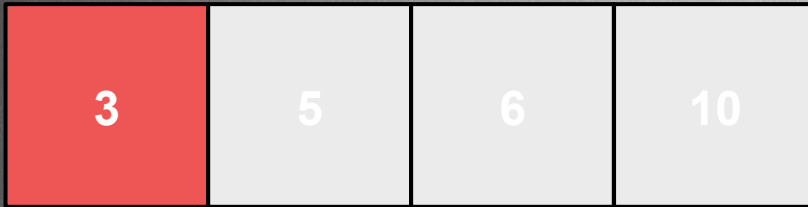


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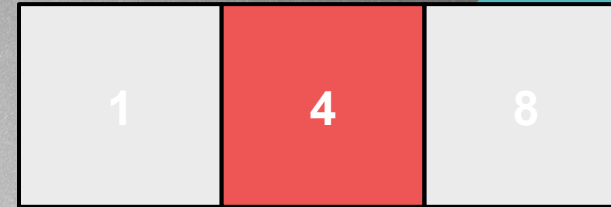
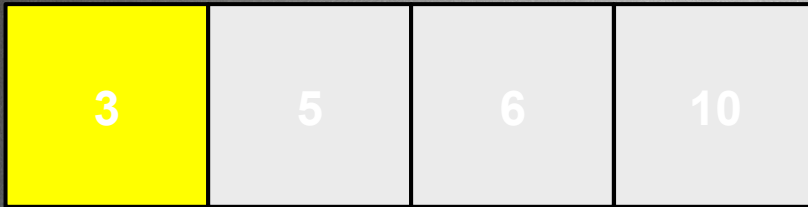


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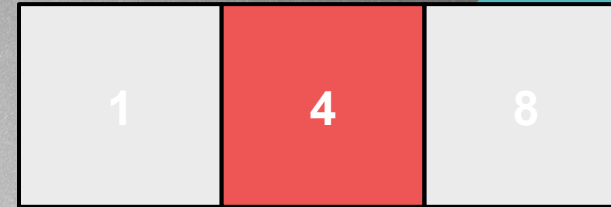
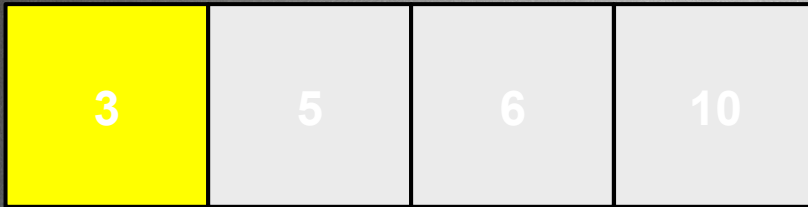


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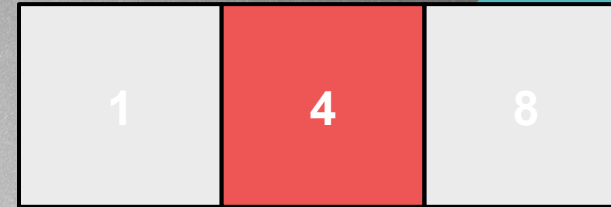
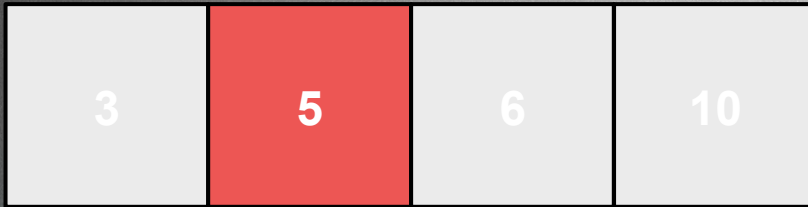


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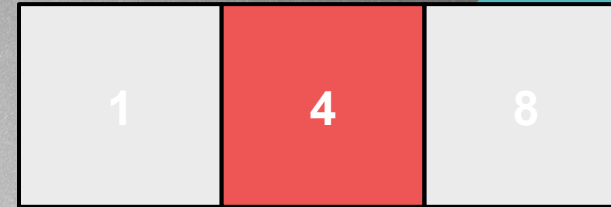
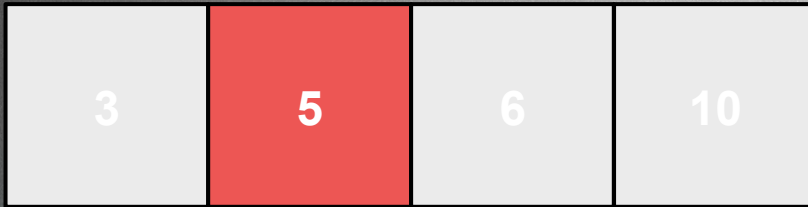


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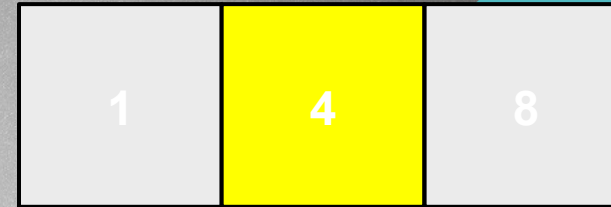
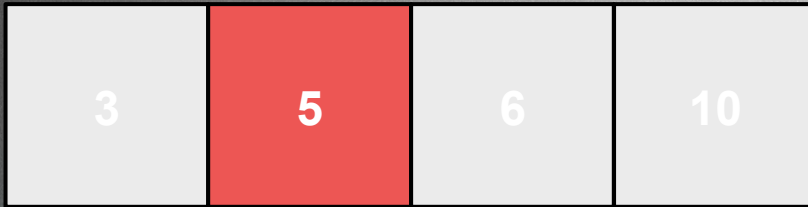


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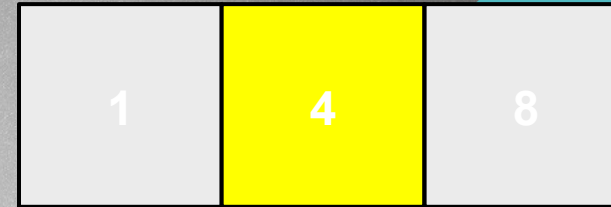
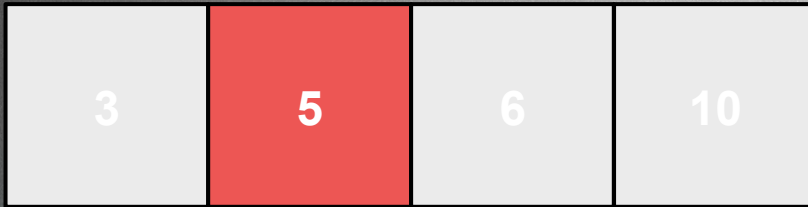


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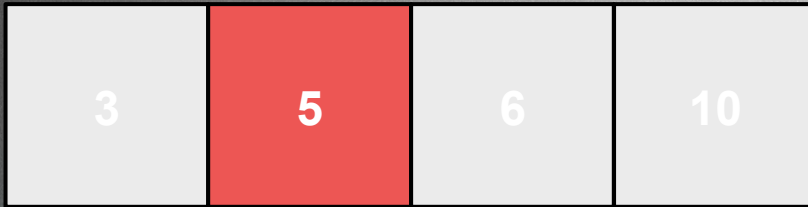


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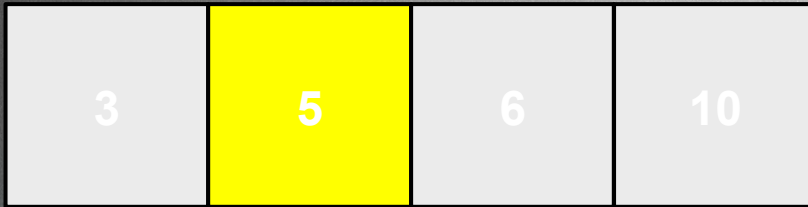


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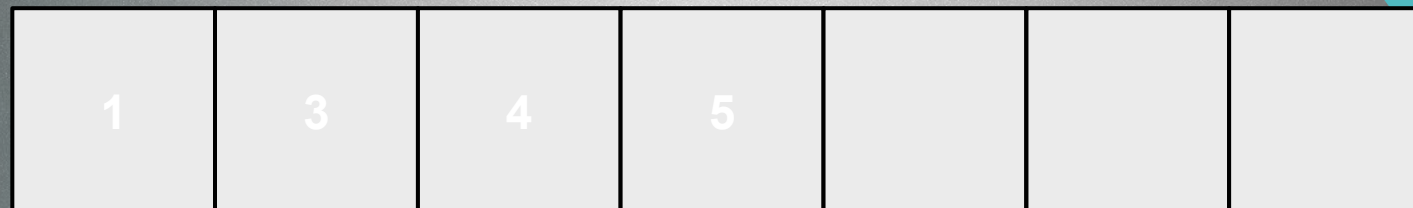
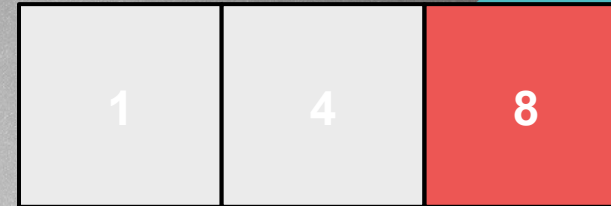
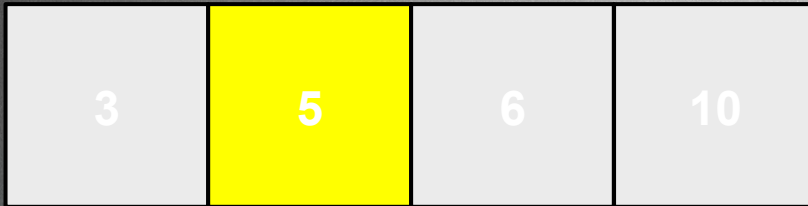


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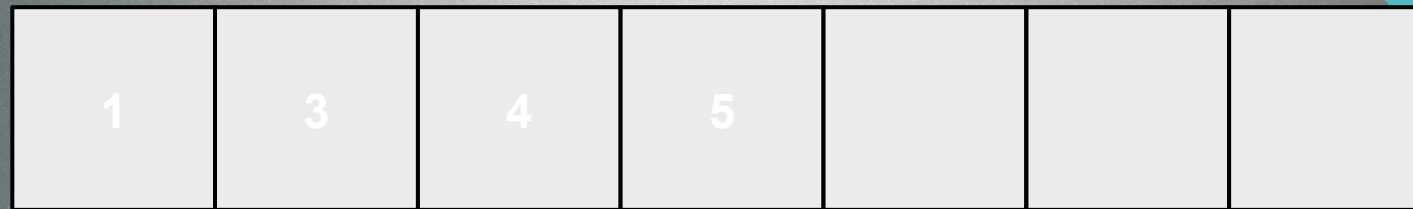
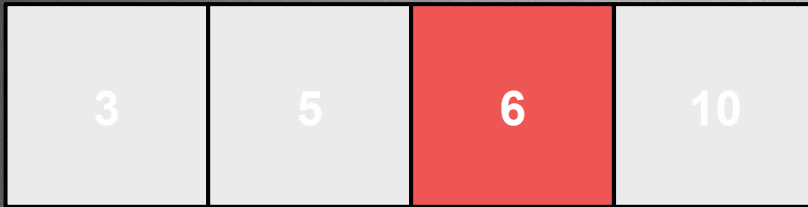


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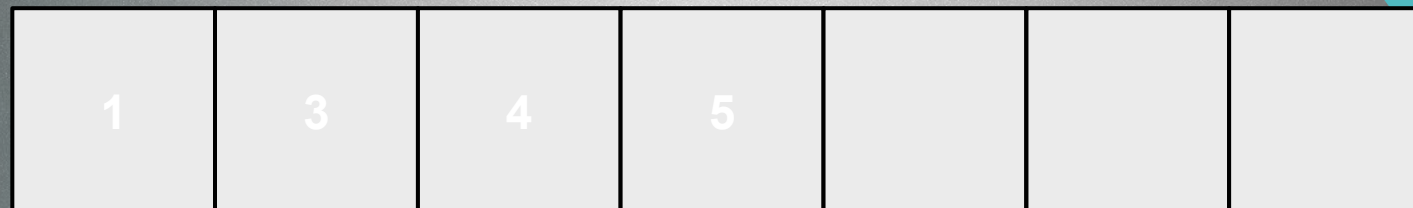
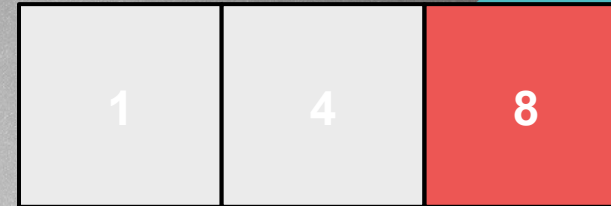
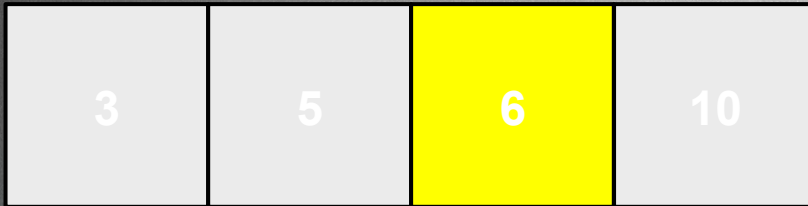


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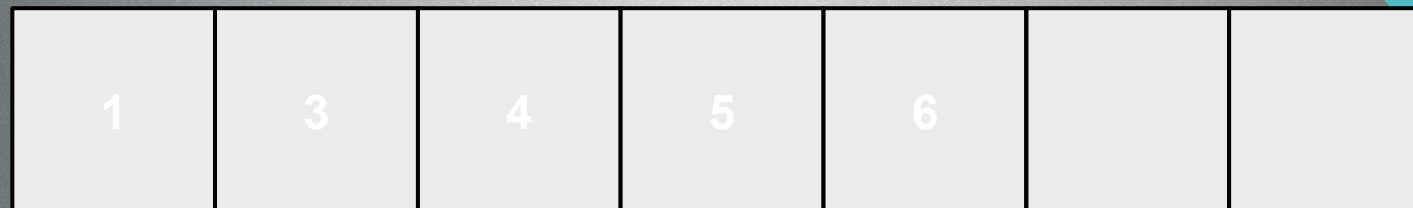
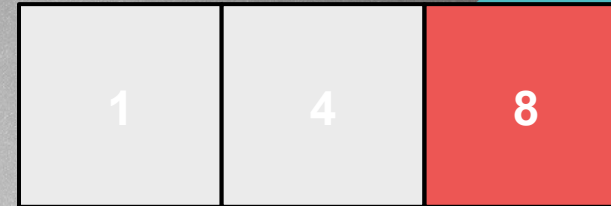
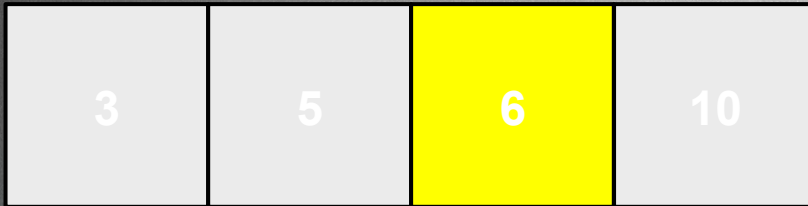


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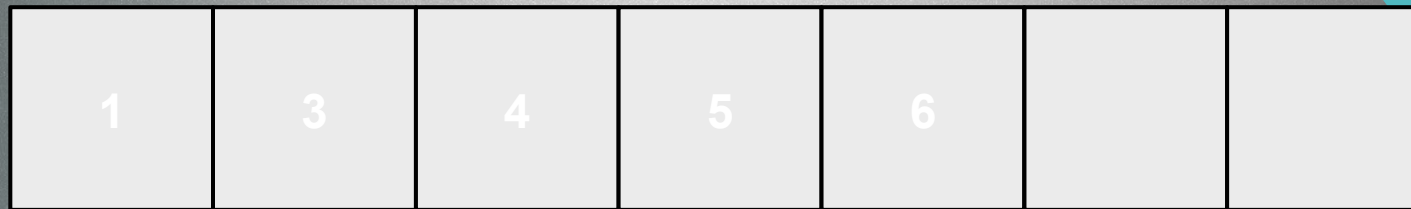
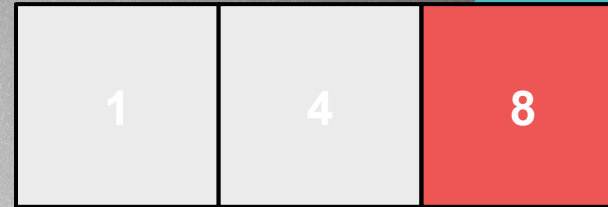
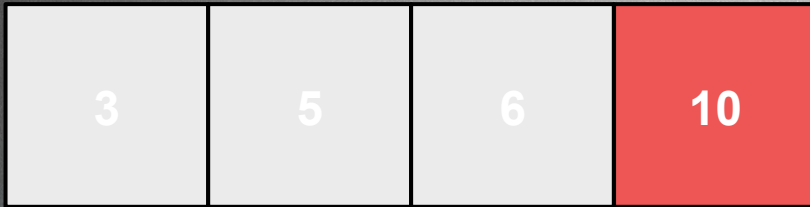


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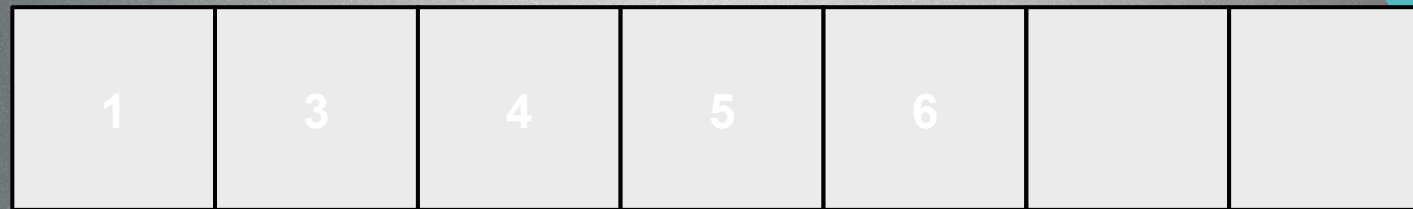
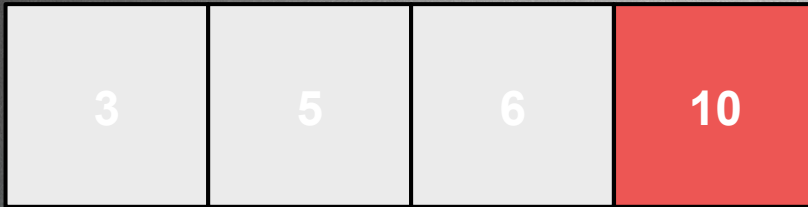


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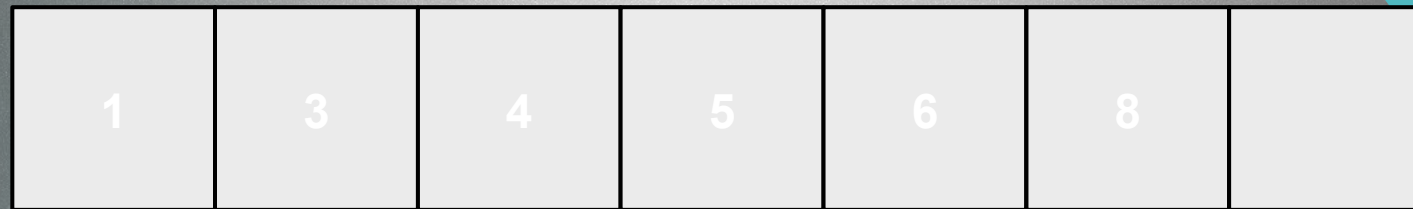
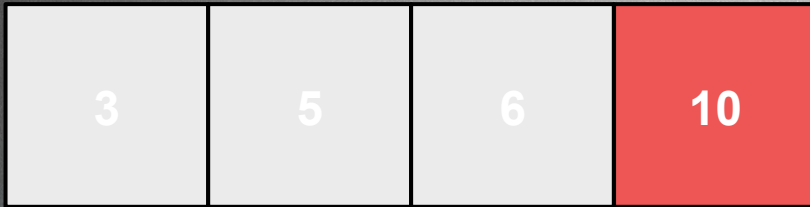


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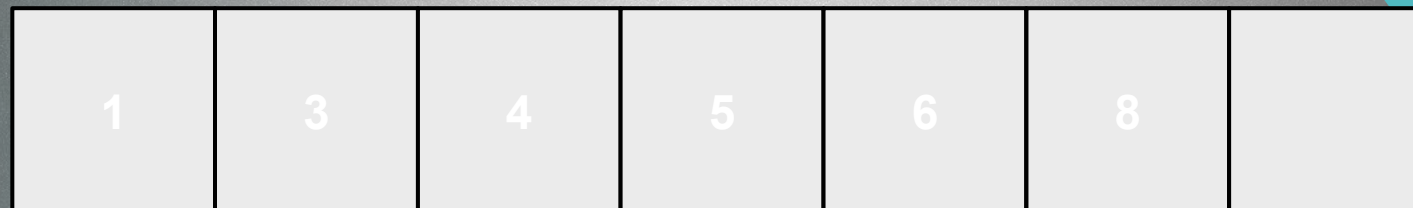
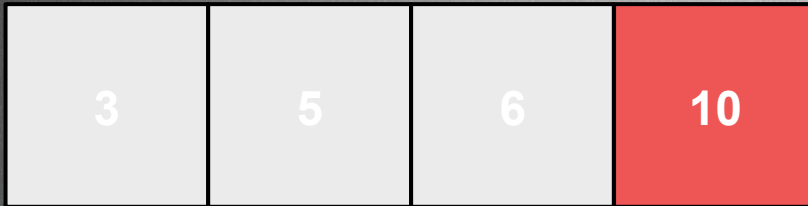


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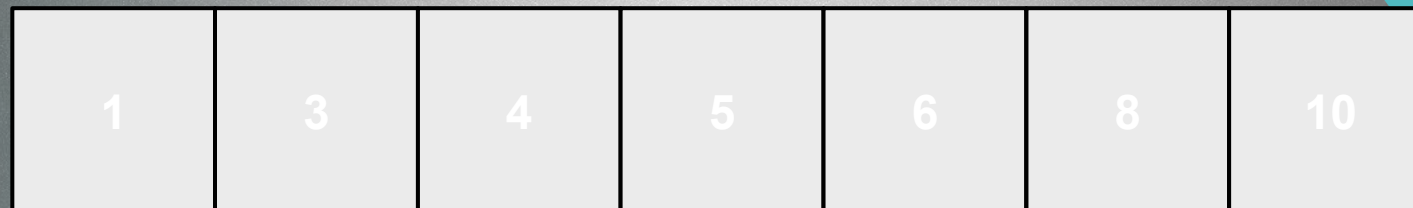
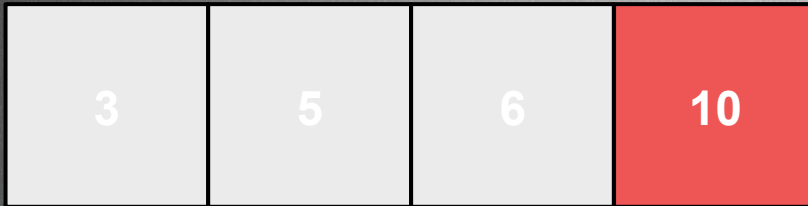


result array

**VERY IMPORTANT:** we have to iterate through the left and right array if there are some more items left → in this case the **10** in the left subarray



# Mergesort „conquer”



result array

**VERY IMPORTANT:** we have to iterate through the left and right array if there are some more items left → in this case the **10** in the left subarray



# Mergesort „conquer”

3	5	6	10
---	---	---	----

1	4	8
---	---	---

1	3	4	5	6	8	10
---	---	---	---	---	---	----

result array

**VERY IMPORTANT:** we have to iterate through the left and right array if there are some more items left → in this case the **10** in the left subarray



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

Base case for recursive method calls,  
in this situation the sort is over

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

```
mergeSort(low,high)
```

```
    if (low >= high) return
```

```
    middle = (low + high) / 2
```

```
    mergeSort(low, middle)
```

```
    mergeSort(middle + 1, high)
```

```
    merge(low, middle, high)
```

```
end
```

We look for the middle index to partition the array into two equal subarrays

```
merge(low, middle, high) {
```

```
    for i=low to high
```

```
        tempArray[i] = nums[i];
```

```
    i = low;
```

```
        j = middle + 1;
```

```
        k = low;
```

```
    while i <= middle && j <= high
```

```
        if tempArray[i] <= tempArray[j]
```

```
            nums[k] = tempArray[i]
```

```
            i++
```

```
        else
```

```
            nums[k] = tempArray[j];
```

```
            j++
```

```
        k++;
```

```
    while i <= middle
```

```
        nums[k] = tempArray[i];
```

```
        k++;
```

```
        i++;
```

```
end
```



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

Call the mergesort method recursively  
on the left subarray

IMPORTANT: because of middle, there  
will be always more items in the left subarray !!!

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

Call mergesort recursively  
on the right subarray

IMPORTANT: because middle+1 there are  
at most as many items in the right subarray

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

The conquer part of the algorithm, we keep merging together the subarrays

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

Create a temporary array: size is equal  
to the size of the input

Thats why mergesort has  $O(N)$  memory  
complexity → we have to use an other array

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

Create the variables to be able to track the indexes

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

While we have items in the left and right subarrays

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

The left subarray item is smaller: we put it to its right / sorted position in the array

Note: we keep merging the items from temp array to the original nums array

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

The right subarray item is smaller: we put it to its right / sorted position in the array

Note: we keep merging the items from temp array to the original nums array

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



## Pseudocode

mergeSort(low,high)

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

end

Sometimes we have items left in the left  
Subarray: so copy it to the final nums array  
~ it is in sorted order so just copy them

IMPORTANT: because we partition our array in a way  
that the left subarray contains more items → we  
just have to consider the left subarray  
~ so we do not have to copy items from  
right subarray too !!!

merge(low, middle, high) {

for i=low to high

tempArray[i] = nums[i];

i = low;

j = middle + 1;

k = low;

while i <= middle && j <= high

if tempArray[i] <= tempArray[j]

nums[k] = tempArray[i]

i++

else

nums[k] = tempArray[j];

j++

k++;

while i <= middle

nums[k] = tempArray[i];

k++;

i++;

end



**mergeSort(low,high)**

if (low >= high) return

middle = (low + high) / 2

mergeSort(low, middle)

mergeSort(middle + 1, high)

merge(low, middle, high)

**end**

