MATH 109 - HOMEWORK 7

Due Friday, March 1st. Handwritten submissions only.

The exercises in this homework are worth 16 points.

Problem 1

Consider three sets X, Y, Z and two functions

$$f: X \to Y, \quad q: Y \to Z.$$

- (1) Show that $g \circ f$ is injective if f and g are injective. Does the converse impliciation hold?
- (2) Show that $g \circ f$ is surjective if f and g are surjective. Does the converse impliciation hold?
- (3) Show that $g \circ f$ is bijective if f and g are bijective. Does the converse impliciation hold?
- (4) Give an example of surjective f and injective g such that $g \circ f$ is not bijective.
- **Solution 1** (1) Let $x, x' \in X$ and suppose $g \circ f(x) = g \circ f(x')$, i.e. g(f(x)) = g(f(x')). Then by injectivity of g, we must have f(x) = f(x'), which by injectivity of f implies x = x'. Hence $g \circ f$ is injective.

Counterexample for the converse: $f:\{0\} \to \{0,1\}: 0 \mapsto 0$, and $g:\{0,1\} \to \{0\}: 0 \mapsto 0, 1 \mapsto 0$.

(2) Take $z \in Z$. By surjectivity of g, there exists $y \in Y$ such that g(y) = z. Also, by surjectivity of f, there exists $x \in X$ such that f(x) = y. But then g(f(x)) = g(y) = z, hence $g \circ f$ is surjective.

The same counterexample as in (1) works to disprove the converse.

- (3) Follows immediately from (1) and (2). Also the same counterexample works to disprove the converse.
- (4) $f: \{0,1\} \to \{0\}: 0 \mapsto 0, 1 \mapsto 0, g: \{0\} \to \{0,1\}: 0 \mapsto 0.$

Problem 2

Let X and Y be sets and let $f: X \to Y$ be a function.

(1) Prove the monomorphism property of the injective functions: f is injective if and only if for all sets Z and functions

$$g_1: Z \to X, \quad g_2: Z \to X$$

such that $f \circ g_1 = f \circ g_2$ we have already $g_1 = g_2$

(2) Prove the *epimorphism property* of the surjective functions: f is surjective if and only if for all sets Z and functions

$$g_1: Y \to Z, \quad g_2: Y \to Z$$

such that $g_1 \circ f = g_2 \circ f$ we have already $g_1 = g_2$.

Solution 2

(1) (\Rightarrow). Suppose f is injective and let g_1, g_2 be any two functions from Z to X such that $f \circ g_1 = f \circ g_2$. This means that

$$\forall z \in Z: \quad f(g_1(z)) = f(g_2(z)).$$

Since f is injective, this implies

$$\forall z \in Z: \quad g_1(z) = g_2(z),$$

hence $g_1 = g_2$.

(\Leftarrow) Suppose the monomorphism property holds for f. We want to show f is injective. So take any $x_1, x_2 \in X$ such that $f(x_1) = f(x_2)$. We choose $Z = \{z_0\}$ and define the functions $g_1, g_2 : Z \to X$ by $g_1(z_0) = x_1$ and $g_2(z_0) = x_2$. By our assumption, it follows that

$$f(g_1(z_0)) = f(x_1) = f(x_2) = f(g_2(z_0)),$$

i.e. $f \circ g_1 = f \circ g_2$. By the monomorphism property, it follows that $g_1 = g_2$, which by definition means $x_1 = x_2$. Hence f is injective.

(2) (\Rightarrow) Suppose f is surjective and let g_1, g_2 be any two functions from Y to Z such that $g_1 \circ f = g_2 \circ f$. Take any $y \in Y$. By surjectivity of f, there exists $x \in X$ such that f(x) = y. But then by assumption

$$g_1(y) = g_1(f(x)) = g_2(f(x)) = g_2(y).$$

Since $y \in Y$ was arbitrary, this means $g_1 = g_2$.

(\Leftarrow) Suppose the epimorphism property holds for f. We want to show f is surjective. Assume by contradiction f is not surjective, i.e. there exists $y_0 \in Y$ such that for all $x \in X : f(x) \neq y_0$. Choose $Z = \{0,1\}$ and define $g_1, g_2 : Y \to Z$ by $g_1(y) = 0$ for all $y \in Y$, $g_2(y) = 0$ for all $y \in Y$ such that $y \neq y_0$, and $g_2(y_0) = 1$. Then since $f(x) \neq y_0$ for all $x \in X$, and y_0 is the only element of Y that can be mapped to 1, it follows that $g_1(f(x)) = 0 = g_2(f(x))$ for all $x \in X$. This means $g_1 \circ f = g_2 \circ f$, and by the epimorphism property, it would follow that $g_1 = g_2$. However $g_1(y_0) = 0 \neq 1 = g_2(y_0)$. Contradiction. Hence f is surjective.

Problem 3

The Fibonacci numbers $f_0, f_1, f_2, ...$ are a sequence of numbers that are defined as follows: we set $f_0 := 0$ and $f_1 := 1$, and for $k \in \mathbb{N}$ with $k \geq 2$ we have

$$f_k := f_{k-1} + f_{k-2}$$
.

• Prove the following matrix idenity: for all $n \in \mathbb{N}$ we have

$$\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^n.$$

• Prove the following identity: for all $n \in \mathbb{N}$ we have

$$(-1)^n = f_{n+1}f_{n-1} - f_n^2.$$

• Prove that for all $n \in \mathbb{N}_0$ we have $f_{2n+1} = f_n^2 + f_{n+1}^2$.

Solution 3

• We prove this by induction.

- Base case:
$$n = 1$$
: $\begin{pmatrix} f_2 & f_1 \\ f_1 & f_0 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^1$. Hence the base case holds.

- Induction hypothesis: Assume that for some $k \ge 1$, $\begin{pmatrix} f_{k+1} & f_k \\ f_k & f_{k-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^k$.

- Induction step: We want to prove the statement for k+1. For this we can calculate:

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{k+1} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{k} \cdot \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$$
$$= \begin{pmatrix} f_{k+1} & f_k \\ f_k & f_{k-1} \end{pmatrix} \cdot \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$$
$$= \begin{pmatrix} f_{k+1} + f_k & f_{k+1} \\ f_k + f_{k-1} & f_k \end{pmatrix}$$
$$= \begin{pmatrix} f_{k+2} & f_{k+1} \\ f_{k+1} & f_k \end{pmatrix}.$$

Here we used the induction hypothesis to get the second equality.

• Note that

$$\det\begin{pmatrix} f_{n+1} & f_n \\ f_n & f_{n-1} \end{pmatrix} = f_{n+1}f_{n-1} - f_n^2,$$

and

$$\det\begin{pmatrix}1&1\\1&0\end{pmatrix}^n = \left(\det\begin{pmatrix}1&1\\1&0\end{pmatrix}\right)^n = (-1)^n.$$

By the first part of the problem, the equality follows.

• We can calculate

$$\begin{pmatrix} f_{2n+1} & f_{2n} \\ f_{2n} & f_{2n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{2n}$$

$$= \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n} \cdot \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n}$$

$$= \begin{pmatrix} f_{n+1} & f_{n} \\ f_{n} & f_{n-1} \end{pmatrix} \cdot \begin{pmatrix} f_{n+1} & f_{n} \\ f_{n} & f_{n-1} \end{pmatrix}$$

$$= \begin{pmatrix} f_{n+1}^{2} + f_{n}^{2} & f_{n+1}f_{n} + f_{n}f_{n-1} \\ f_{n}f_{n+1} + f_{n-1}f_{n} & f_{n}^{2} + f_{n-1}^{2} \end{pmatrix},$$

where we used part 1 of the problem for the first and third equalities. Comparing the top left entries of the matrices, the required formula follows.

Problem 4

Let $n \in \mathbb{N}$ and let $A \subseteq \mathbb{R}^n$ be a set.

• We call A star-shaped with respect to $x_0 \in A$ if there exists $x_0 \in A$ such that for all $x \in A$ the line segment from x_0 to x is contained in A, i.e.,

$$\forall x \in A : \forall \lambda \in [0,1] : \lambda x_0 + (1-\lambda)x \in A.$$

 \bullet We call X convex if

$$\forall x, y \in A : \forall \lambda \in [0, 1] : \lambda x + (1 - \lambda)y \in A.$$

Prove the following:

- (1) If A is convex then A is star-shaped with respect to some point $x_0 \in A$.
- (2) There exists a star-shaped set $B \subseteq \mathbb{R}^n$ that is not convex.
- (3) If $A, A' \subseteq \mathbb{R}^n$ be convex. Then $A \cap A'$ is convex.
- (4) Let $M \in \mathbb{R}^{n \times n}$ be an $n \times n$ matrix. If A is convex, then the following set is convex too:

$$M(A):=\left\{\;y\in\mathbb{R}^n\mid\exists x\in A:Mx=y\right\}.$$

Solution 4

- (1) Let A be a convex shape. Assume A is not star shaped with respect to any point x_0 . Then for all $x_0 \in A$, there exists $y \in A$ and $\lambda \in [0,1]$ such that $\lambda x_0 + (1-\lambda)y \notin A$. But this contradicts A being a convex shape, hence A must be star shaped.
- (2) Consider the set A of n coordinate axes in \mathbb{R}^n . I claim these form a star shaped set that is not convex. Let x_0 be the origin in \mathbb{R}^n , $x = (0, \dots, 0, x_k, 0, \dots 0)$ a point on an axis, and $\lambda \in [0, 1]$. Then

$$\lambda x_0 + (1 - \lambda)x = (0, \dots, 0, (1 - \lambda)x_k, \dots, 0),$$

which lies on the same axis as x. Hence A is star-shaped. To see that A is not convex, consider $x = (x_1, 0, ..., 0) \in A$ and $y = (0, y_2, 0, ..., 0) \in A$. Then for $\lambda \in [0, 1]$ we have

$$x\lambda + (1 - \lambda)y = (\lambda x_1, (1 - \lambda)y_2, 0, \dots, 0) \notin A$$

So A cannot be convex.

- (3) Let $A, A' \subset \mathbb{R}^n$ be convex. Consider $x, y \in A \cap A'$ and $\lambda \in [0, 1]$. Then $x, y \in A$ and $x, y \in A'$. So by convexity, $\lambda x + (1 \lambda)y \in A$ and $\lambda x + (1 \lambda)y \in A'$. Therefore $\lambda x + (1 \lambda)y \in A \cap A'$. It follows that $A \cap A'$ is convex.
- (4) Let $M \in \mathbb{R}^{n \times n}$ be an $n \times n$ matrix and A a convex set. We want to show

$$M(A) := \{ y \in \mathbb{R}^n | \exists x \in A : Mx = y \}$$

is also convex. Let $x, y \in M(A)$ and $\lambda \in [0, 1]$. By the definition of M(A), $Mx_0 = x$ and $My_0 = y$ for some $x_0, y_0 \in A$. Since A is convex, we have $\lambda x_0 + (1 - \lambda)y_0 \in A$, and thus

$$M(\lambda x_0 + (1 - \lambda)y_0) = \lambda(Mx_0) + (1 - \lambda)(My_0) = \lambda x + (1 - \lambda)y \in M(A).$$

Thus M(A) is convex.

Problem 5

Prove that there is no surjective function $f: \mathbb{N} \to \mathbb{R}$.

Hint: assuming that there exists such a function f, construct a real number x that is different from $f(0), f(1), f(2), \dots$

Solution 5

Given any function $f: \mathbb{N} \to \mathbb{R}$, we will construct an $\tilde{x} \in \mathbb{R}$ such that for all $n \in \mathbb{N}$, $f(n) \neq \tilde{x}$. First we can enumerate the natural numbers and write the decimal expansion of the values of our function:

$$f(1) = a_1.b_{11}b_{12}b_{13}b_{14}...$$

$$f(2) = a_2.b_{21}b_{22}b_{23}b_{24}...$$

$$f(3) = a_3.b_{31}b_{32}b_{33}b_{34}...$$

$$f(4) = a_4.b_{41}b_{42}b_{43}b_{44}...$$

$$\vdots$$

Then, let $x=0.b_{11}b_{22}b_{33}b_{44}\dots$ and define a new map such that $\widetilde{b_{kk}}=b_{kk}+1$ if $b_{kk}\leq 8$ and $\widetilde{b_{kk}}=0$ if $b_{kk}=9$. We can then construct $\widetilde{x}=0.\widetilde{b_{11}b_{22}b_{33}b_{44}}\dots$. Then by construction, for all $n\in\mathbb{N},\,\widetilde{x}$ differs from f(n) at b_{nn} . Therefore for all $n\in\mathbb{N},\,f(n)\neq\widetilde{x}\in\mathbb{R}$, so f is not surjective.