

## Reminders

Submissions:

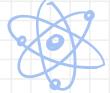
- Homework 8: **Fri 10/25 at noon** – Gradescope

Readings:

- Ch. 5 – Induction and Recursion  
    5.1 Mathematical Induction



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**DOE**

$$E=mc^2$$



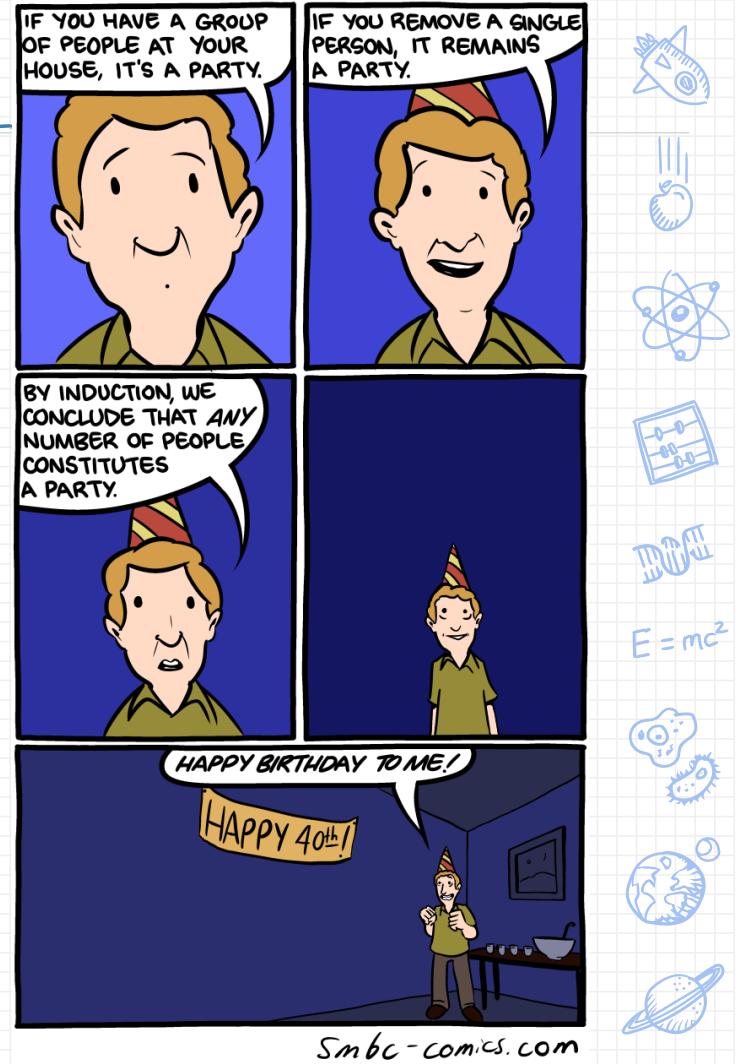
## Last time

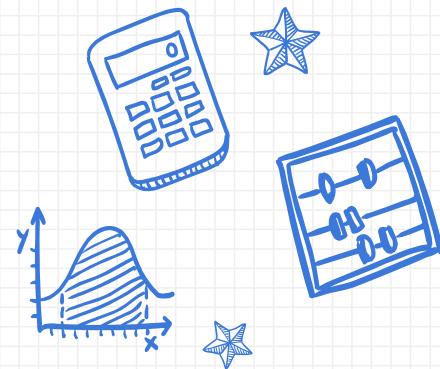
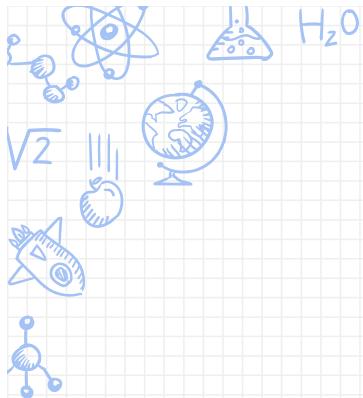
Induction:

- Definition
- Weak Induction
- Examples

Today:

- Strong Induction





# Recursive Relations - recap



## Recursive Relations - recap

**Example:**  $a_0 = 4, a_n = a_{n-1} + 5$

By forward substitution



$$E = mc^2$$



## Recursive Relations - recap

**Example:** Suppose that a person deposits \$10,000 in a savings account at a bank yielding 11% per year with interest compounded annually. How much will be in the account after 30 years?

$$P_n = P_{n-1} + 0.11 * P_{n-1}$$

$$P_0 = 10\ 000$$

By backward substitution:

$$P_1 = (1.11)P_0$$

$$P_2 = (1.11)P_1 = (1.11)^2 P_0$$

$$P_3 = (1.11)P_2 = (1.11)^3 P_0$$

...

$$P_n = (1.11)P_{n-1} = (1.11)^n P_0$$



$$E=mc^2$$



## Recursive Relations - recap

**Example:** Suppose that a person deposits \$10,000 in a savings account at a bank yielding 11% per year with interest compounded annually. **He/she deposits \$1000 at the end of every month.** How much will be in the account after 30 years?

$$P_n = P_{n-1} + 0.11 * P_{n-1} + 1000.$$

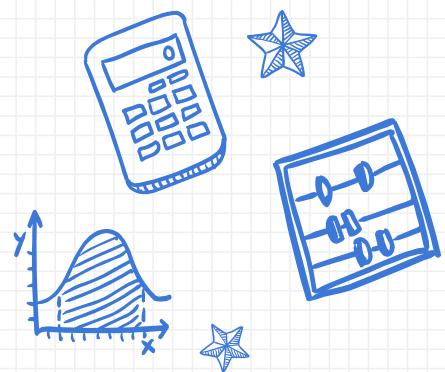
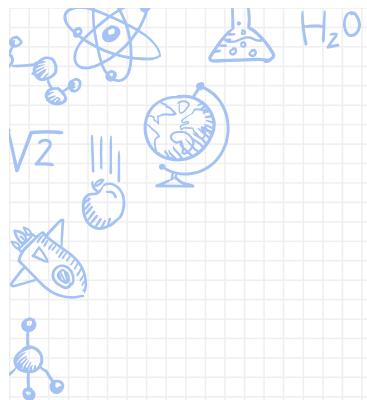
$$P_0 = 10\ 000$$

By backward substitution:

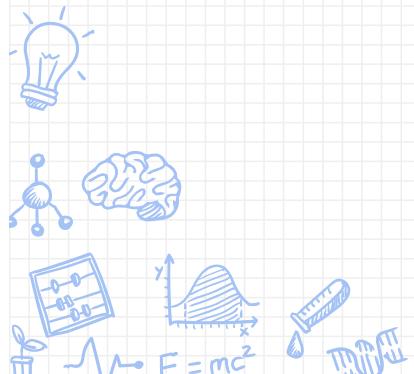


$$E=mc^2$$



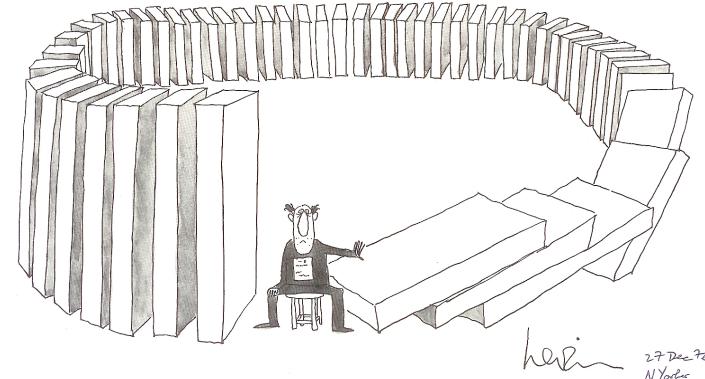


# Induction



# Mathematical induction

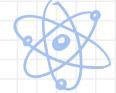
Your argument could go something like this:



**Base case:** The first domino falls (because you knocked it over)

**Inductive Step:** Whenever domino number  $k$  falls, the one after it numbered  $k+1$  also will fall (because domino  $k$  knocks it over).

**Therefore:** We conclude that all of the dominoes will fall over.



$$E=mc^2$$

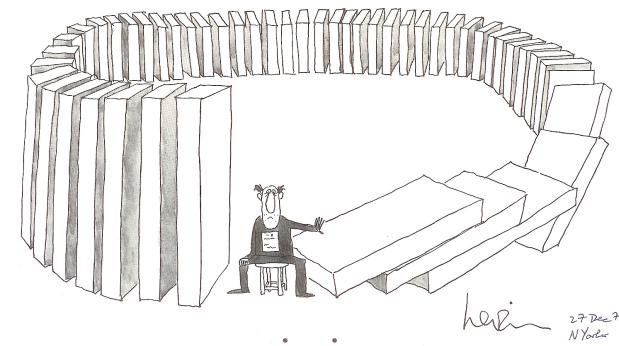


# Mathematical induction

That kind of argument is the crux of **induction**. To prove a property holds for all natural numbers  $k$ , we argue as follows:

1. The property is true for  $k = 0$  (or  $k = 1$ , or some other **base case**)
2. If the property is true for some natural number  $k$ , then it is true for natural number  $k + 1$

(note that this is asking us to prove a conditional: [property true for  $k$ ] → [true for  $k+1$ ])

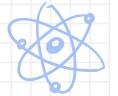


# Mathematical induction

So if we let  $P(n)$  be the property that we're trying to prove, where  $n$  is some natural number, then an inductive argument goes like this:

- 1. Base case:** Verify that  $P(0)$  holds (or  $P(1)$ , or  $P(\text{whatever})$ )
- 2. Induction step:**  $(\forall k \geq 0) \text{ if } P(k) \text{ then } P(k+1)$
- 3. Conclusion:**  $(\forall n \geq 0) P(n)$

There are two slightly different kinds of inductive arguments...



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# Mathematical induction

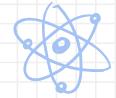
There are two slightly different kinds of inductive arguments...

If the argument is of the form

- Verify that  $P(1)$  is true
- Assume  $P(k)$  is true, and show that  $P(k+1)$  must be true (the proof part)  
then we call it **weak induction**, or **ordinary induction**.

If the argument is of the form

- Verify that  $P(1)$  is true
- Assume  $P(k)$  is true for all  $k = 1, 2, \dots, n$ , and show  $P(n+1)$   
then we call it **strong induction**.



$$E=mc^2$$



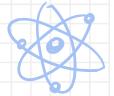
# Mathematical induction

**Example:** Prove that if  $n$  is an integer and  $n \geq 4$ , then  $2^n < n!$

**Base case:**

**Inductive step:**

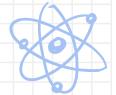
**Conclusion:**



$$E = mc^2$$



# Mathematical induction



$$E=mc^2$$



**Example:** Prove that if  $n$  is an integer and  $n \geq 4$ , then  $2^n < n!$

**Base case:**  $n=4$ , we have  $2^{(4)} = 16$  and  $4! = 24$ , so it is true that  $2^4 < 4!$

**Inductive step:** Suppose the formula holds for  $n=k$  ( $2^k < k!$ )

$$\Rightarrow 2^k < k!$$

$$\Rightarrow 2^k \cdot 2 < k! \cdot 2$$

$$\Rightarrow 2^{k+1} < k! \cdot 2 < k! \cdot (k+1) \quad (k+1 \text{ definitely } > 2, \text{ since } k \geq n \geq 4)$$

$$\Rightarrow 2^{k+1} < (k+1)!$$

**Conclusion:** We've shown that the inequality holds for  $n=k+1$ , thus, by induction, we've proved the inequality.  $\blacksquare$

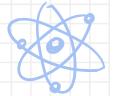
# Mathematical induction

Example: (geometric progressions) Prove that when  $r \neq 1$ ,

$$a + ar + ar^2 + \cdots + ar^n = \frac{ar^{n+1} - a}{r - 1}$$

Base case:

Inductive step:



$$E = mc^2$$



# Mathematical induction



$$E=mc^2$$



**Example: (geometric progressions)** Prove that when  $r \neq 1$ ,

$$a + ar + ar^2 + \cdots + ar^n = \frac{ar^{n+1} - a}{r - 1}$$

**Base case:**  $n=0$ ,  $a = \frac{ar^1 - a}{r - 1} = \frac{a(r - 1)}{r - 1} = a$

**Inductive step:** Suppose the formula holds for  $n=k$ . Then...

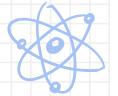
$$\begin{aligned} & \Rightarrow a + ar + ar^2 + \cdots + ar^k + ar^{k+1} = \frac{ar^{k+1} - a}{r - 1} + ar^{k+1} \\ & = \frac{ar^{k+1} - a}{r - 1} + \frac{ar^{k+1}(r - 1)}{r - 1} \\ & = \frac{ar^{k+1} - a + ar^{k+2} - ar^{k+1}}{r - 1} = \frac{ar^{k+2} - a}{r - 1} \end{aligned}$$

**Conclusion:**

Thus, by induction,  
the formula is true  $\blacksquare$

# Mathematical induction

**Example:** Prove that if  $n \geq 1$  is an integer, then  $n^3 - n$  is divisible by 3



$$E = mc^2$$



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# Mathematical induction



$$E=mc^2$$



**Example:** Prove that if  $n \geq 1$  is an integer, then  $n^3 - n$  is divisible by 3

**Base case:**  $n=1 \Rightarrow n^3-n = 1^3-1 = 0$ , and  $0=3(0)$  so  $1^3-1$  is divisible by 3.

**Inductive step:** Suppose  $k^3-k$  is divisible by 3, for some integer  $k > 1$  (**inductive hypothesis**)

**To show:**  $(k+1)^3 - (k+1)$  must also be divisible by 3.

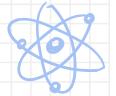
$$\begin{aligned} \Rightarrow (k+1)^3 - (k+1) &= (k^3 + 3k^2 + 3k + 1) - k - 1 \\ &= k^3 - k + 3k^2 + 3k \\ &= 3(\text{some integer}) + 3k^2 + 3k \quad \leftarrow \text{by inductive hypothesis} \\ &= 3(\text{some integer} + k^2 + k) \end{aligned}$$

Therefore,  $(k+1)^3 - (k+1)$  is divisible by 3, and the hypothesis is true by **weak induction** 

# Mathematical induction

**Example:** Let  $F_n$  by the  $n^{\text{th}}$  Fibonacci number. Prove that

$$\sum_{k=0}^n F_k^2 = F_n F_{n+1}$$



$$E = mc^2$$



# Mathematical induction

**Example:** Let  $F_n$  by the  $n^{\text{th}}$  Fibonacci number. Prove that

$$\sum_{k=0}^n F_k^2 = F_n F_{n+1}$$

**Base case:**  $n=0 \Rightarrow \sum_{k=0}^0 F_k^2 = F_0^2 = 1^1 = 1$ , and  $F_0 \cdot F_1 = 1 \cdot 1$

**Inductive step:** Suppose the formula is true for some  $m > 0$ :  
(ind. hypothesis)

$$\sum_{k=0}^m F_k^2 = F_m F_{m+1}$$

**To show:** formula holds for  $m+1$ :

$$\sum_{k=0}^{m+1} F_k^2 = F_{m+1} F_{m+2}$$



$$E=mc^2$$



# Mathematical induction

**Example:** Let  $F_n$  by the  $n^{\text{th}}$  Fibonacci number. Prove that  $\sum_{k=0}^n F_k^2 = F_n F_{n+1}$

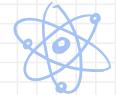
**Base case:**  $n=0 \Rightarrow \sum_{k=0}^0 F_k^2 = F_0^2 = 1^1 = 1$ , and  $F_0 \cdot F_1 = 1 \cdot 1$

**Inductive step:** Suppose the formula is true for some  $m > 0$ :  $\sum_{k=0}^m F_k^2 = F_m F_{m+1}$   
(ind. hypothesis)

**To show:** formula holds for  $m+1$ :  $\sum_{k=0}^{m+1} F_k^2 = F_{m+1} F_{m+2}$

$$\begin{aligned}\sum_{k=0}^{m+1} F_k^2 &= F_{m+1}^2 + \sum_{k=0}^m F_k^2 = F_{m+1}^2 + F_m F_{m+1} && \leftarrow \text{by induction hypothesis} \\ &= F_{m+1}(F_{m+1} + F_m) \\ &= F_{m+1} F_{m+2} && \leftarrow \text{by definition of Fibonacci sequence}\end{aligned}$$

Therefore, the formula holds in general, by **weak induction** **QED**



$$E=mc^2$$



# Mathematical induction

S'pose you have an infinite line of dominos...

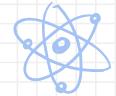


Now, we learn **strong induction**:

- If *all of the previous* dominoes fall, then *this* domino will fall
- **Base case:** Prove  $P(1)$
- **Inductive step:** Prove: [if  $P(l)$  for  $1 \leq l \leq k$ , then  $P(k+1)$ ]

## Strong induction

**Example:** Prove the Fundamental Theorem of Arithmetic. That is, prove that any integer  $n \geq 2$  is either prime or can be written as the product of prime numbers.



$$E = mc^2$$



## Strong induction

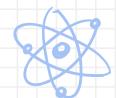
**Example:** Prove the Fundamental Theorem of Arithmetic. That is, prove that any integer  $n \geq 2$  is either prime or can be written as the product of prime numbers.

**Proof:** (by strong induction)

- **Base case:** Let  $n=2$ . Then  $n$  is indeed prime
- **Inductive step:** Assume that for each  $l$  s.t.  $2 \leq l \leq k$ ,  $l$  is either prime or can be written as the product of primes. (this is the **inductive hypothesis**)
- **To show:** That  $k+1$  is either prime or can be written as a product of primes.

Two cases to consider:

- Case 1:  $k+1$  itself is prime
- Case 2:  $k+1$  is composite



$$E=mc^2$$



## Strong induction

**Example:** Prove the Fundamental Theorem of Arithmetic. That is, prove that any integer  $n \geq 2$  is either prime or can be written as the product of prime numbers.

**Inductive step:** Assume that for each  $l$  s.t.  $2 \leq l \leq k$ ,  $l$  is either prime or can be written as the product of primes. (this is the **inductive hypothesis**)

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- **Case 1:**  $k+1$  itself is prime  
⇒ we're done!

## Strong induction

- **To show:** That  $k+1$  is either prime or can be written as a product of primes.
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- **Case 2:**  $k+1$  is composite



BOF

$$E = mc^2$$



## Strong induction

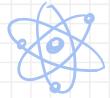
- **To show:** That  $k+1$  is either prime or can be written as a product of primes.
- **Case 1:**  $k+1$  itself is prime  
⇒ we're done!
- **Case 2:**  $k+1$  is composite

If  $k+1$  is not prime then it is composite and (from the definition of composite) can be written as a product of two integers  $a$  and  $b$ , with  $a, b < k$

But by the **induction hypothesis**, since  $a$  and  $b < k$ , they can be written as the product of primes.

Multiply the prime factorization of  $a$  by the prime factorization of  $b$ , and we have the prime factorization of  $k+1$

**Conclusion:** We've shown that  $k+1$  is either prime or can be written as the product of primes. Thus, we've proved the theorem by **strong induction**. QED



## Strong induction

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**Tougher Example:** Prove: If  $n$  is a positive integer, then  $n$  can be written as the sum of distinct Fibonacci numbers.



$$E = mc^2$$



## Strong induction

**Tougher Example:** Prove: If  $n$  is a positive integer, then  $n$  can be written as the sum of distinct Fibonacci numbers.

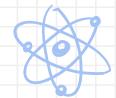
**Proof:** (by strong induction)

- **Base case:** Let  $n=1$ . Then  $n = F_0 + F_1 = 0 + 1 = 1$
- **Inductive step:** Assume that for each  $l$  s.t.  $1 \leq l \leq k$ ,  $l$  can be written as the sum of distinct Fibonacci numbers. (inductive hypothesis)

- **To show:** That  $k+1$  can be written as the sum of distinct Fibonacci numbers.

Two cases to consider:

- Case 1:  $k+1$  itself is a Fibonacci number
- Case 2:  $k+1$  is not a Fibonacci number



## Strong induction

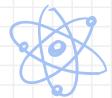
**To show:** That  $k+1$  can be written as the sum of distinct Fibonacci numbers.

- Case 1 If  $k+1$  itself is a Fibonacci number, then for some  $m \in \mathbb{N}$ , we have

$$k+1 = F_m = F_{m-1} + F_{m-2}$$

- Case 2: If  $k+1$  is not a Fibonacci number, then

## Strong induction



DOE

$E=mc^2$



**To show:** That  $k+1$  can be written as the sum of distinct Fibonacci numbers.

- Case 1 If  $k+1$  itself is a Fibonacci number, then for some  $m \in \mathbb{N}$ , we have

$$k+1 = F_m = F_{m-1} + F_{m-2}$$

- Case 2: If  $k+1$  is not a Fibonacci number, then we can let  $F_j$  be the largest Fibonacci number that is less than  $k+1$ .

$$\Rightarrow \text{So we have: } F_j < k+1 < F_{j+1}$$

$$\Rightarrow \text{Subtract } F_j \text{ everywhere to find: } 0 < (k+1) - F_j < F_{j+1} - F_j = F_{j-1} < k+1$$

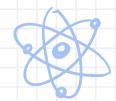
But now  $(k+1) - F_j \leq k$ , so by the **inductive hypothesis**,  $(k+1) - F_j$  can be written as the sum of distinct Fibonacci numbers. Furthermore, since  $(k+1) - F_j < F_{j-1}$ , we know that  $F_j$  is not part of that sum.

Thus, adding  $F_j$  to that sum expresses  $k+1$  as the sum of distinct Fibonacci numbers.

Thus, we've proved the claim by **strong induction**. QED

## Strong induction

**Example:** Consider a game in which two players take turns removing any positive number of matches they want from one of two piles. The player who removes the last match wins the game. Prove that if the two piles contain the same number of matches initially, then the second player can always guarantee a win.



$$E = mc^2$$



## Strong induction

**Example:** Consider a game in which two players take turns removing any positive number of matches they want from one of two piles. The player who removes the last match wins the game. Prove that if the two piles contain the same number of matches initially, then the second player can always guarantee a win.

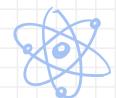
### Solution:

Let  $P(n)$  = proposition that Player 2 can always win if each pile starts out with  $n$  matches

**Base case:** If  $n=1$ , then Player 1 is forced to take 1 match from 1 of the piles. Then Player 2 can take the last match from the other pile

**Inductive step:** Suppose that Player 2 can always win if each pile starts out with  $l$  matches, where  $1 \leq l \leq k$  (inductive hypothesis)

**To show:** Player 2 can always win if the piles start out with  $k+1$  matches



$$E=mc^2$$



## Strong induction

**Example:** Consider a game in which two players take turns removing any positive number of matches they want from one of two piles. The player who removes the last match wins the game. Prove that if the two piles contain the same number of matches initially, then the second player can always guarantee a win.

**To show:** Player 2 can always win if the piles start out with  $k+1$  matches

There are, again, two cases, depending on how many matches Player 1 takes (call it  $r$ ):

**Case 1:** Player 1 takes  $r = k+1$  matches (all) from one pile

**Case 2:** Player 1 takes  $1 \leq r \leq k$  matches from one pile

## Strong induction

**Example:** Consider a game in which two players take turns removing any positive number of matches they want from one of two piles. The player who removes the last match wins the game. Prove that if the two piles contain the same number of matches initially, then the second player can always guarantee a win.

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There are, again, two cases, depending on how many matches Player 1 takes (call it  $r$ ):

**Case 1:** Player 1 takes  $r = k+1$  matches (all) from one pile

⇒ Player 2 can take all of the matches from the other pile and win!

## Strong induction

To show: Player 2 can always win if the piles start out with  $k+1$  matches

**Case 2:** Player 1 takes  $1 \leq r \leq k$  matches from one pile

⇒ There are  $k - r + 1$  matches left in this pile, and  $k+1$  matches in the other pile

⇒ Player 2 should take  $r$  matches out of the other pile, leaving  $k - r + 1$  matches in each pile

⇒ Now there are  $k - r + 1 < k+1$  matches in each pile, and it's Player 1's turn

⇒ By the **inductive hypothesis**, there must be a strategy for Player 2 to guarantee a win

⇒ Thus, we've proved (by **strong induction**) that Player 2 can always win the game if the piles start out with equal integer numbers of matches. ◻

## Induction: bad examples



$$E=mc^2$$



**(Bad) Example:** “Prove” that  $6n = 0$  for all  $n \geq 0$

**Base case:** Let  $n = 0$ . Then  $6n = 6(0) = 0$

**Induction step:** Assume that  $6l = 0$  for all  $l$  s.t.  $0 \leq l \leq k$

**To show:**  $6(k+1) = 0$

⇒ Write  $k+1 = a + b$ , where  $a$  and  $b$  are integers s.t.  $0 \leq a, b \leq k$

⇒ By induction hypothesis,  $6a = 6b = 0$

⇒  $6(k+1) = 6a + 6b = 0$  “ ”

## Induction: bad examples

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⇒ Write  $k+1 = a + b$ , where  $a$  and  $b$  are integers s.t.  $0 \leq a, b \leq k$

⇒ By induction hypothesis,  $6a = 6b = 0$

⇒  $6(k+1) = 6a + 6b = 0$  “ ”

**Mistake:** We relied on our ability to write  $n$  as the sum of two non-negative integers. What happens when  $k=0$ ?

⇒  $0 \leq a, b \leq k = 0$ , so  $a = b = 0$ ... so it turns out we can't write  $k+1 = a+b$

## Induction: *bad* examples

**(Bad) Example:** “Prove” that all Fibonacci numbers are even

**Base case:** Let  $n = 0$ .  $F_0 = 0$ , which is even

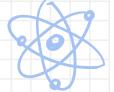
**Induction step:** Assume that  $F_l$  is even for all  $l$  s.t.  $0 \leq l \leq k$

**To show:**  $F_{k+1}$  is even

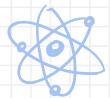
$$\Rightarrow F_{k+1} = F_k + F_{k-1}$$

$\Rightarrow$  By **induction hypothesis**,  $F_k$  and  $F_{k-1}$  are both even

$\Rightarrow F_{k+1}$  is the sum of two evens, and therefore even “ ”



## Induction: bad examples



BOE

$E=mc^2$



**(Bad) Example:** “Prove” that all Fibonacci numbers are even

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## Induction: *bad* examples

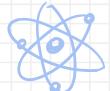
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⇒ If you think of our “proof” as a line of dominoes, each domino falling in this case requires **two** previous ones to fall

⇒ Here, we only did one base case, so we only knocked over **one** domino

Rule of thumb: If your proof requires going back  $s$  steps, then you need  $s$  base cases.



## Strong induction

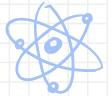
**Example (a real one this time):** Prove that for any integer  $n \geq 1$ , there exist numbers  $a, b \geq 1$  such that  $5^n = a^2 + b^2$



$$E=mc^2$$



## Strong induction



$$E=mc^2$$

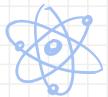


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**Important and sorta philosophical note:** Proofs almost never “just plain work out”.

- Usually: flail around, figure out what you need, ...
- Then go back and put it all together in the right order.

## Strong induction



BOF

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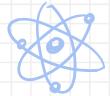
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## Strong induction



$$E=mc^2$$



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**Probable inductive step:** Suppose that for each  $l$  s.t.  $1 \leq l \leq k$ , there exist integers  $a, b \geq 1$  s.t.  $5^l = a^2 + b^2$  ( $\leftarrow$  inductive hypothesis)

**To show:** There exist integers  $a, b \geq 1$  s.t.  $5^{k+1} = a^2 + b^2$

## Mathematical induction



Recap:

- **Strong induction** -- the proof technique that's a proof by cases on **for real steroids**
- **Base case:** show that the hypothesis is true for the first case
- **Inductive step:** name your inductive hypothesis  
 $\Rightarrow S'$ pose true at all stages  $j \leq k$ , **To Show** true at  $k+1$

Next time:

- We talk re-re-re-re-recursively



$$E=mc^2$$

