Network Programming

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Outline

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- I/O Multiplexing (cont..)
  - Client using select()
  - shutdown()
  - Concurrent server using select()
- Non-blocking sockets
  - Nonblocking read(), write()
  - Nonblocking connect()

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I/O Multiplexing

T1: Ch6

I/O Multiplexing

- I/O multiplexing allows us to simultaneously monitor multiple file descriptors to see if I/O is possible on any of them.
- select(), appeared along with the sockets API in BSD. This was historically the more widespread of the two system calls. The other system call, poll(), appeared in System V.
- We can use select() and poll() to monitor file descriptors for regular files, terminals, pseudoterminals, pipes, FIFOs, sockets, and some types of character devices.
- Both system calls allow a process either to block indefinitely waiting for file descriptors to become ready or to specify a timeout on the call.

select()

- The select() system call blocks until one or more of a set of file descriptors becomes ready.

```
1  #include <sys/time.h>   /* For portability */
2  #include <sys/select.h>
3  int select(int  nfds , fd_set * readfds , fd_set * writefds,
4             fd_set * exceptfds, struct timeval * timeout );
5  //Returns number of ready file descriptors, 0 on timeout, or -1 on error
```

  - *nfds*: highest number assigned to a descriptor +1.
  - *readfds*: set of descriptors we want to read from.
  - *writefds*: set of descriptors we want to write to.
  - *exceptfds*: set of descriptors to watch for exceptions.
  - *timeout*: maximum time select should wait

```
7  struct timeval {
8      long tv_usec;    /* seconds */
9      long tv_usec;   /* microseconds */
10 }
```

select()

- timeval==NULL
  - Wait forever : return only when descriptor is ready
- timeval != NULL: wait up to a fixed amount of time
  - timeval = 0
    - Do not wait at all : return immediately after checking the descriptors
  - Timeval>0
    - Return only if descriptor is ready or timeval expires.

File descriptor sets

- The readfds, writefds, and exceptfds arguments are pointers to file descriptor sets, represented using the data type *fd_set*.
- the fd_set data type is implemented as a bit mask.

```
1  #include <sys/select.h>
2  void FD_ZERO(fd_set *fdset);
3   /* clear all bits in fdset */
4  void FD_SET(int fd, fd_set *fdset);
5   /* turn on the bit for fd in fdset */
6  void FD_CLR(int fd, fd_set *fdset);
7   /* turn off the bit for fd in fdset */
8  int FD_ISSET(int fd, fd_set *fdset);
9   /* is the bit for fd on in fdset ? */
10  //Returns true (1) if fd is in fdset, or false (0) otherwise
```

- A file descriptor set has a maximum size, defined by the constant FD_SETSIZE . On Linux, this constant has the value 1024.

select()

- *nfds*
  - Its value is the maximum descriptor to be tested, plus one
    - example: fds 1,2,5 => nfds: 6
- *readset*
  - descriptor set for checking readable
- *writeset*
  - descriptor set for checking writable
- *exceptset*
  - descriptor set for checking two exception conditions
    - arrival of out of band data for a socket
    - he presence of control status information to be read from the master side of a pseudo terminal
- When select returns value>1, these sets have been modified by kernel. Now they contain the fds which are ready.

When is the descriptor ready for reading?

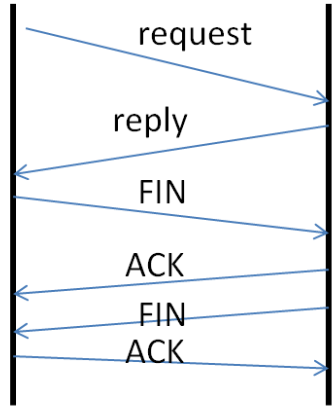
- The number of bytes of data in the socket receive buffer is greater than or equal to the current size of the low-water mark for the socket receive buffer. SO_RCVLOWAT socket option. It defaults to 1 for TCP and UDP sockets
- The read half of the connection is closed (i.e., a TCP connection that has received a FIN)
- The socket is a listening socket and the number of completed connections is nonzero.
- A socket error is pending. A read operation on the socket will not block and will return an error (–1) with errno set to the specific error condition.

When the socket is ready for writing?

- The number of bytes of available space in the socket send buffer is greater than or equal to the current size of the low-water mark for the socket send buffer. 2048 bytes.
- The write half of the connection is closed. A write operation on the socket will generate SIGPIPE.
- A socket using a non-blocking connect has completed the connection, or the connect has failed
- A socket error is pending. A write operation on the socket will not block and will return an error (–1) with errno set to the specific error condition.
- These pending errors can also be fetched and cleared by calling getsockopt with the SO_ERROR socket option.

Client Handling Multiple Descriptors

- A client is handling two descriptors.
  - *stdin*
  - *socket*
- Sequential handling:
  - First wait on *stdin.*
  - Write to *socket*
  - Read from *socket*.
  - Write to *stdout*.

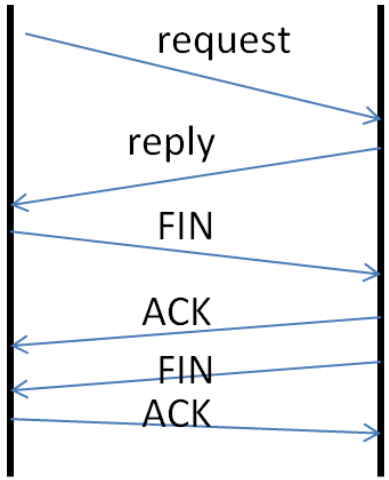


Normal course of actions

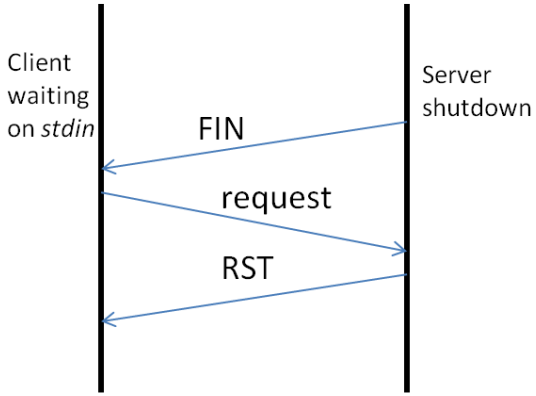
```
1   void str_cli(FILE *fp, int sockfd)
2   {
3   char sendline[MAXLINE], recvline[MAXLINE];
4    while (Fgets(sendline, MAXLINE, fp) != NULL) {
5        Writen(sockfd, sendline, strlen (sendline));
6        if (Readline(sockfd, recvline, MAXLINE) == 0)
7           err_quit("str_cli: server terminated prematurely");
8        Fputs(recvline, stdout);
9    }
10  }
```

Client Handling Multiple Descriptors

- read() call on both stdin and socket will block until data is available.
- Consider a case:
  - If client is blocked in waiting for user to enter data, meanwhile TCP receives FIN from server.
    - Server is down. So sending request is meaningless.
- How to handle uncertainty of availability of data on descriptors?



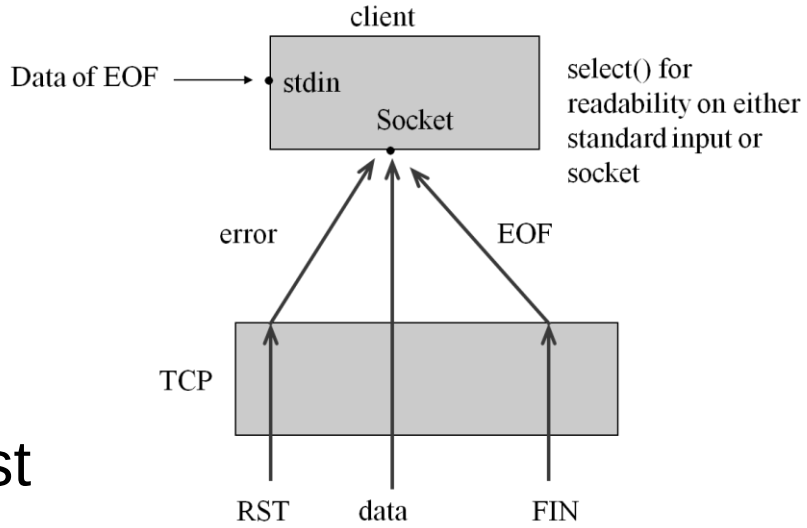
Normal course of actions



Unexpected Server shutdown

read() on socket

- Peer TCP sends data, the socket becomes readable and *read* returns greater than 0.
- Peer TCP send a FIN(peer process terminates), the socket become readable and *read* returns 0(end-of-file)
- Peer TCP send a RST(peer host has crashed and rebooted), the socket become readable and returns -1 and *errno* contains the specific error code



Client Handling Multiple Descriptors

- To avoid a situation where data has arrived from socket but client is unable to take note of it, use I/O Multiplexing.
- Client can wait on select().
  - Add stdin, socket to fd_set.
  - Call select () with fd_set for readabliity
  - When select() returns, find out which descriptor is ready with data.
  - Call read() on that fd.
- This will enable client to give timely response and avoid error situations.

Client Handling Multiple Descriptors

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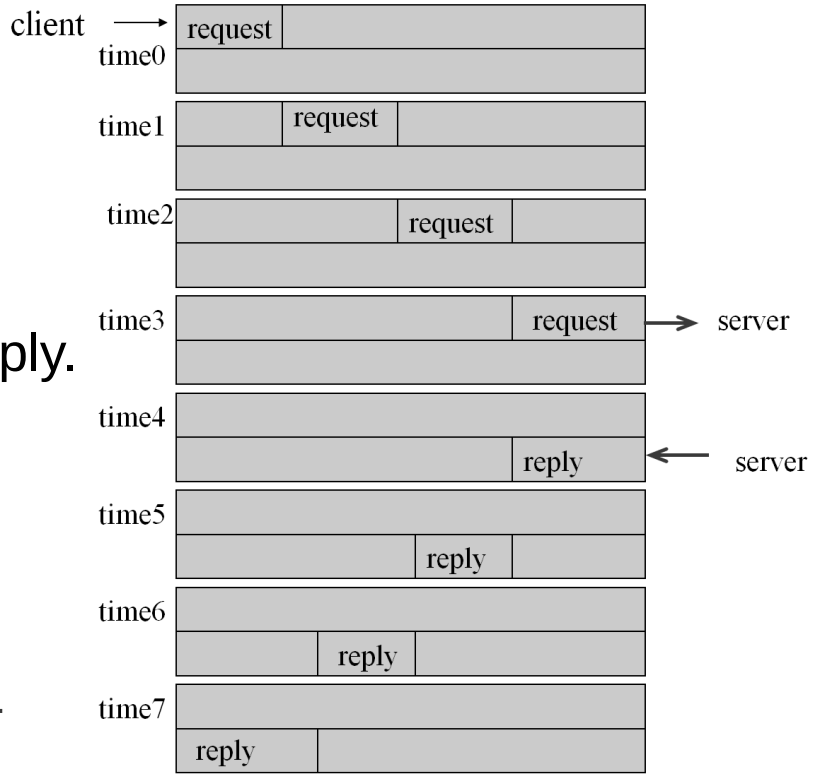
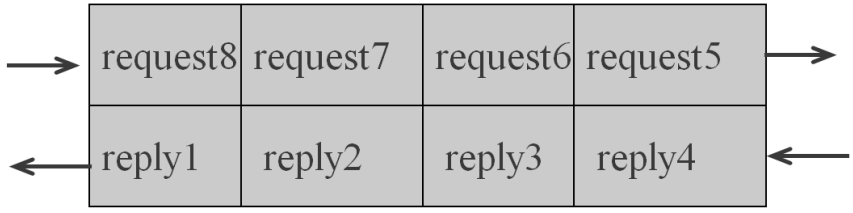
```
1   void  str_cli(FILE *fp, int sockfd)
2   {
3   int    maxfdp1;
4   fd_set  rset;
5   char    sendline[MAXLINE], recvline[MAXLINE];
6   FD_ZERO(&rset);
7   for ( ; ; ) {
8       FD_SET(fileno(fp), &rset);
9       FD_SET(sockfd, &rset);
10      maxfdp1 = max(fileno(fp), sockfd) + 1;
11      select(maxfdp1, &rset, NULL, NULL, NULL);
12      if (FD_ISSET(sockfd, &rset)) {    /* socket is readable */
13      if (Readline(sockfd, recvline, MAXLINE) == 0)
14      err_quit("str_cli: server terminated prematurely");
15      Fputs(recvline, stdout);
16      }
17      if (FD_ISSET(fileno(fp), &rset)) {  /* input is readable */
18      if (Fgets(sendline, MAXLINE, fp) == NULL)
19      return;      /* all done */
20      Writen(sockfd, sendline, strlen(sendline));
21      }
22  }//for
23  }//str_cli
```

Batch Mode Client

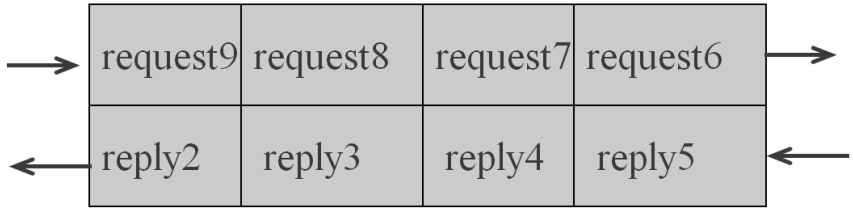
- Stop and Wait client
  - Send one request and wait for reply.
  - Usual in interactive mode.
- Batch Mode clients
  - Send requests without waiting for reply.
  - Better bandwidth utilization.



Time 7:



Time 8:



Batch Mode Client

- Need for closing a socket partially:
  - We tell server that we have sent all requests by closing socket.
    - It will send FIN to server.
  - But in batch mode, by closing socket, we send FIN but we can't read replies which are yet to reach the client.
- close() vs shutdown() sys calls
  - closes the socket partially (either read end or write end) unlike close() sys call.
    - close() closes completely.
  - Irrespective of reference count it closes the socket.
    - close() will initiate FIN only if reference count for the fd reaches 0.

shutdown() sys call

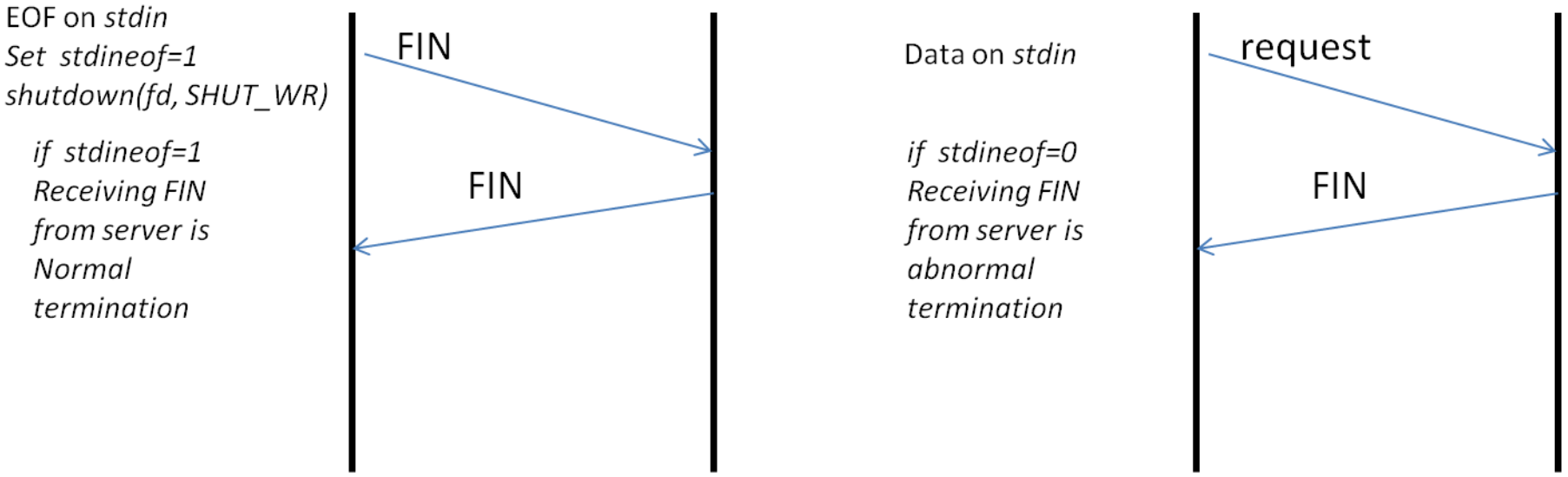
- Sometimes, it is useful to close one half of the connection, so that data can be transmitted in just one direction through the socket.

```
1  #include <sys/socket.h>
2  int shutdown(int  sockfd , int  how );
3  //Returns 0 on success, or -1 on error
```

  - SHUT_RD : read-half of the connection closed. Subsequent reads will return end-of-file (0).
    - SHUT_RD can't be used meaningfully for TCP sockets.
  - SHUT_WR : write-half of the connection closed. Also called *socket half-close*. Buffered data will be sent followed by termination sequence.
    - Common use of shutdown()
    - Subsequent writes to the local socket yield the SIGPIPE signal and an EPIPE error.
  - SHUT_RDWR : both closed
    - Note that shutdown() doesn't close the file descriptor, even if how is specified as SHUT_RDWR . To close the file descriptor, we must additionally call close().

Batch Mode Client

- After user presses, Ctrl-D (EOF), close write half of the socket.
- Also set *stdineof* variable to 1.
  - This will help in inferring the FIN received from server as normal or abnormal termination.
  - In case of normal termination, we received all the replies.



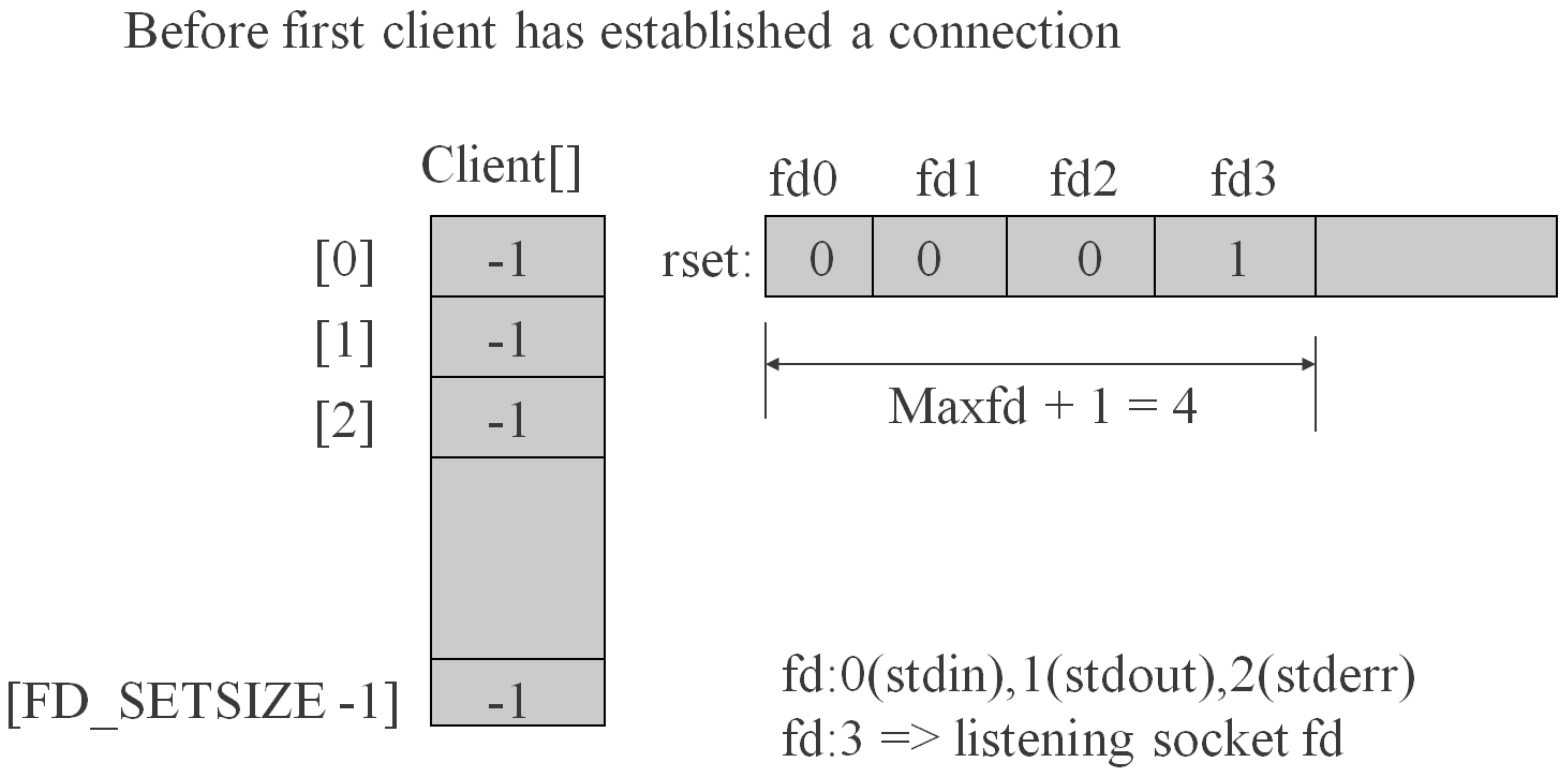
```
1  str_cli(FILE *fp, int sockfd)
2  {
3   int     maxfdp1, stdineof;
4   fd_set  rset;
5   stdineof = 0;
6   FD_ZERO(&rset);
7   for ( ; ; ) {
8      if (stdineof == 0)
9          FD_SET(fileno(fp), &rset);
10     FD_SET(sockfd, &rset);
11     maxfdp1 = max(fileno(fp), sockfd) + 1;
12     select(maxfdp1, &rset, NULL, NULL, NULL);
13     if (FD_ISSET(sockfd, &rset)) {  /* socket is readable */
14     if ( (n = Read(sockfd, buf, MAXLINE)) == 0) {
15         if (stdineof == 1)
16             return;         /* normal termination */
17         else
18             err_quit("str_cli: server terminated prematurely");
19             }
20         Write(fileno(stdout), buf, n);
21     }
22     if (FD_ISSET(fileno(fp), &rset)) {  /* input is readable */
23     if ( (n = Read(fileno(fp), buf, MAXLINE)) == 0) {
24         stdineof = 1;
25         shutdown(sockfd, SHUT_WR);  /* send FIN */
26         FD_CLR(fileno(fp), &rset);
27             continue;
28             }
29         Writen(sockfd, buf, n);
30         }
31     } }
```

TCP Server Using select()

- Single process server that uses select to handle any number of clients, instead of forking one child per client.
- Protocol: echo
- Two data structures:
  - Client array
    - Keeps list of client sockets connected currently
  - fd_set *allset*
    - Keeps list of fds for checking against readability.

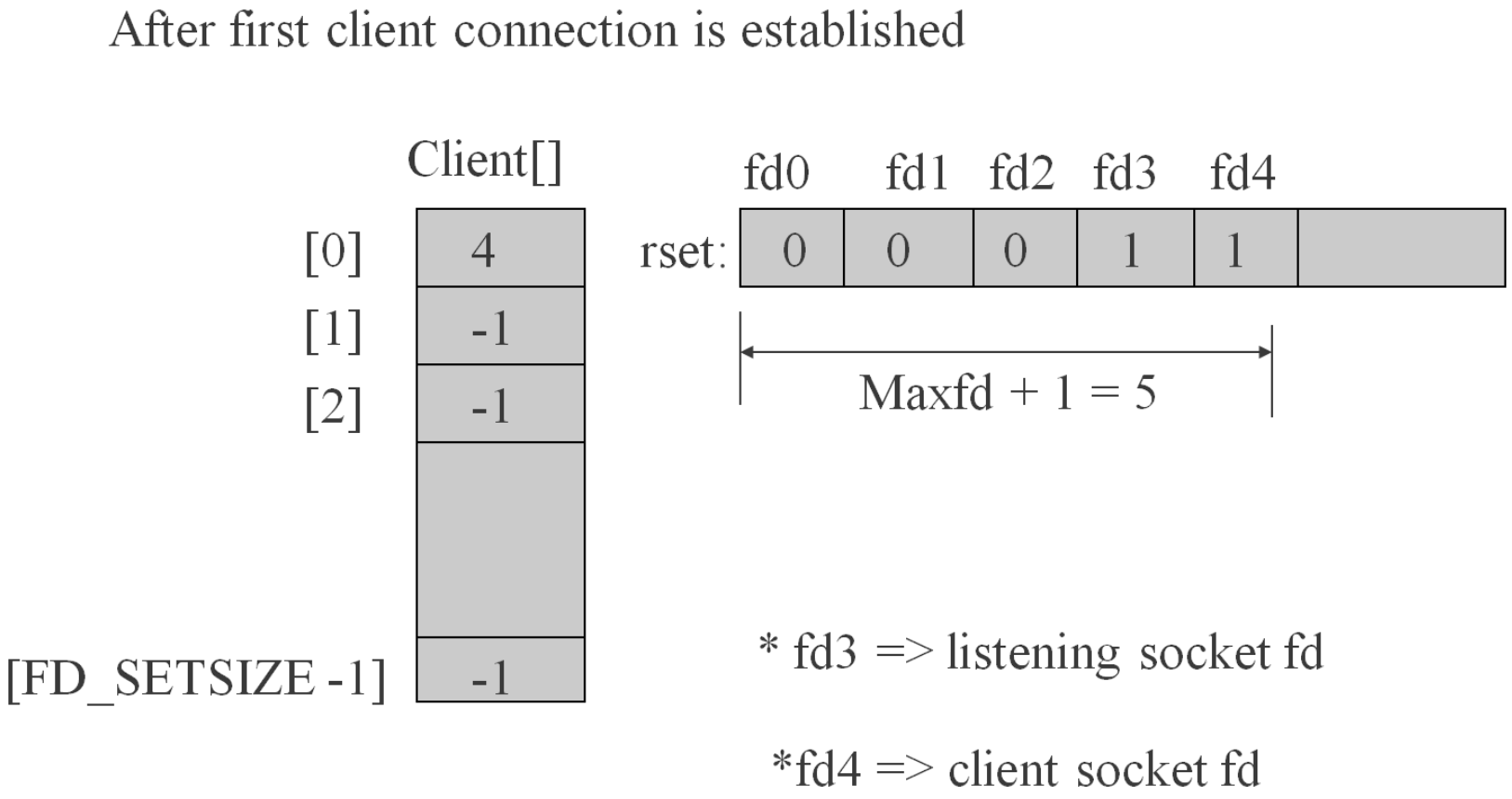
TCP Server Using select()

- There are three fds: 0,1,2
- One more fd after creating listening socket.



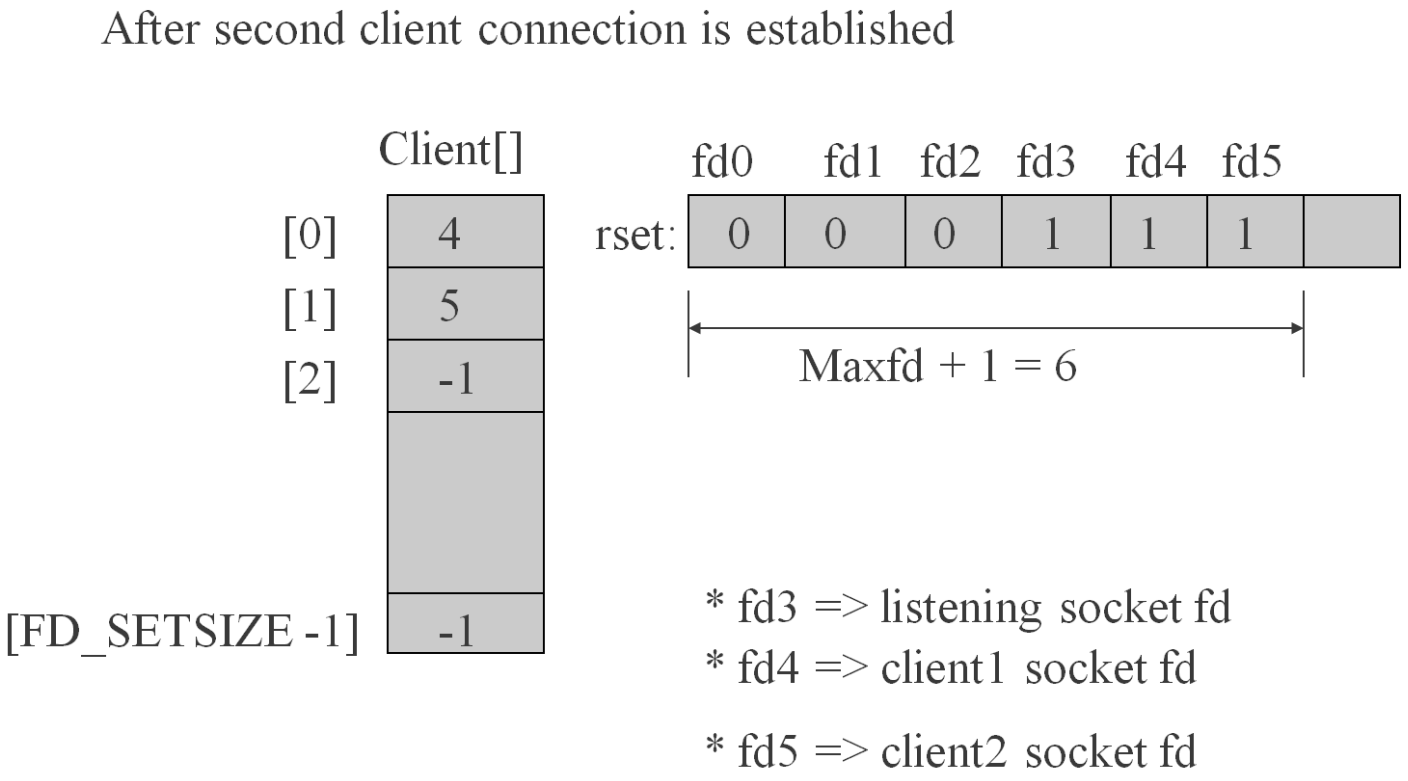
TCP Server Using select()

- When a new client is accepted through accept()
  - A connected socket is added



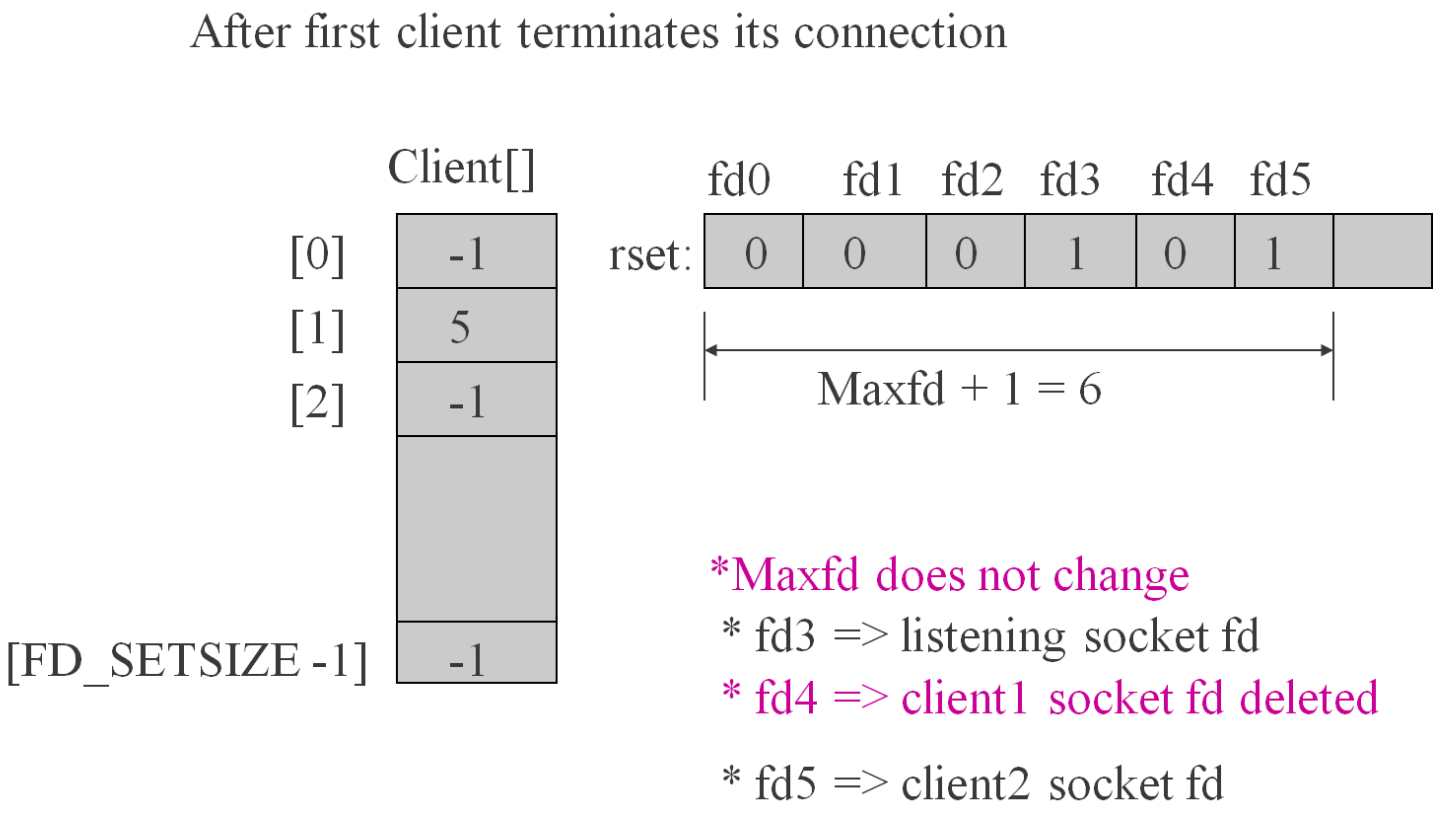
TCP Server Using select()

- When second client is accepted



TCP Server Using select()

- When the first client terminates connection
  - This is known when read() returns zero.



TCP Server Using select()

- Create a passive socket.

```
 1  int main(int argc, char **argv)
 2  {
 3      int         i, maxi, maxfd, listenfd, connfd, sockfd;
 4      int         nready, client[FD_SETSIZE];
 5      fd_set          rset, allset;
 6      struct sockaddr_in  cliaddr, servaddr;
 7      listenfd = socket(AF_INET, SOCK_STREAM, 0);
 8      bzero(&servaddr, sizeof(servaddr));
 9      servaddr.sin_family      = AF_INET;
10      servaddr.sin_addr.s_addr = htonl(INADDR_ANY);
11      servaddr.sin_port        = htons(SERV_PORT);
12      bind(listenfd, (SA *) &servaddr, sizeof(servaddr));
13      listen(listenfd, LISTENQ);
```

TCP Server Using select()

- Handling when listening socket is readable

```
1   maxfd = listenfd;           /* initialize */
2   maxi = -1;                  /* index into client[] array */
3   for (i = 0; i < FD_SETSIZE; i++)
4   client[i] = -1;             /* -1 indicates available entry */
5   FD_ZERO(&allset);
6   FD_SET(listenfd, &allset);
7   for ( ; ; ) {
8       rset = allset;          /* structure assignment */
9       nready = select(maxfd+1, &rset, NULL, NULL, NULL);
```

```
10      if (FD_ISSET(listenfd, &rset)) {/* new client connection */
11          clilen = sizeof(cliaddr);
12          connfd = accept(listenfd, (SA *) &cliaddr, &clilen);
13          for (i = 0; i < FD_SETSIZE; i++)
14              if (client[i] < 0) {
15                  client[i] = connfd;     /* save descriptor */
16              break;}
17          if (i == FD_SETSIZE) err_quit("too many clients");
18          FD_SET(connfd, &allset);    /* add new descriptor to set */
19          if (connfd > maxfd)
20              maxfd = connfd;         /* maxfd for select */
21          if (i > maxi)
22              maxi = i;   /* max index in client[] array */
23          if (--nready <= 0)
24              continue;   /* no more readable descriptors */
25      }
```

TCP Server Using select()

- When a connected socket is readable

```
1  for (i = 0; i <= maxi; i++) {/* check all clients for data */
2      if ((sockfd = client[i]) < 0)
3          continue;
4      if (FD_ISSET(sockfd, &rset)) {
5          if ( (n = Readline(sockfd, line, MAXLINE)) == 0) {
6              /*connection closed by client */
7              close(sockfd);
8              FD_CLR(sockfd, &allset);
9              client[i] = -1;
10         }
11         else
12             Writen(sockfd, line, n);
13         if (--nready <= 0)
14         break;  /* no more readable descriptors */
15     }
16 }
```

- This code looks complicated when compared to fork-per-client model. But this design avoids overhead of fork().

Denial-of-Service Attacks

- If malicious client connect to the server, send 1 byte of data (other than a newline), and then goes to sleep.
  - in readline(), server is blocked.
- Solution
  - use nonblocking I/O
  - have each client serviced by a separate thread of control (spawn a process or a thread to service each client)
  - place a timeout on the I/O operation

pselect()

```
1  struct timespec{
2        time_t  tv_sec;   /*seconds*/
3        long     tv_nsec; /* nanoseconds */
4  };
```


- pselect contains two changes from the normal select function:
  - pselect uses the timespec structure instead of the timeval structure.
  - Accepts signal mask.

```
1  #define _XOPEN_SOURCE 600
2  #include <sys/select.h>
3  int pselect(int  nfds , fd_set * readfds , fd_set * writefds ,
4      fd_set * exceptfds,struct timespec * timeout , const sigset_t * sigmask );
5  //Returns number of ready file descriptors, 0 on timeout, or -1 on error
```

```
2  ready = pselect(nfds, &readfds, &writefds, &exceptfds, timeout, &sigmask);
```

  - This call is equivalent to

```
1  sigset_t origmask;
2  sigprocmask(SIG_SETMASK, &sigmask, &origmask);
3  ready = select(nfds, &readfds, &writefds, &exceptfds, timeout);
4  sigprocmask(SIG_SETMASK, &origmask, NULL);        /* Restore signal mask */
```

Problems with select() and poll()

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- The select() and poll() system calls are the portable, long-standing, and widely used methods of monitoring multiple file descriptors for readiness.
- Suffer from some probems
  - Kernel must check all the fds to check if they are ready.
  - Each time select() passes data structures which kernel modifies and returns.
  - Once select() returns, the program must inspect the data structure to see which fds are ready.
- Select() scales poorly with the increase of *fds*.
- Signal driven I/O or *epoll* (event poll) provide a scalable solution.

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Non-blocking I/O on Sockets

Socket Operations

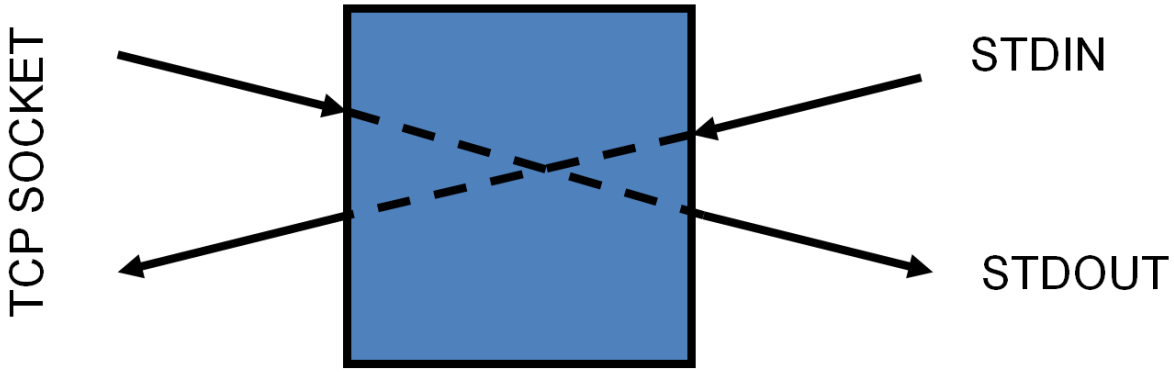
- Input operations: read, recv, readv, recvfrom, recvmsg
  - Blocking operations
  - TCP: until a byte arrives.
  - UDP: until a datagram arrives.
  - With non-blocking socket, if no data, return with EWOULDBLOCK error.
- Output Operations: write, send, writev, sendto, sendmsg
  - Blocks if there is no room in socket send buffer.
  - TCP: until all the data is written.
  - UDP: no send buffer present.
  - With non-blocking socket, TCP write will write whatever it can and returns no. of bytes written. If no room at all, it returns with error EWOULDBLOCK.

Socket Operations

- Accepting incoming connections: accept
  - Blocks if no incoming connection.
  - With non-blocking socket, it would return with an error.
- Initiating Connections: connect
  - Blocks until client TCP receives ACK.
  - With non-blocking socket, it returns *errno* EINPROGRESS, and continues to establish connection.

Client Handling a socket, stdin, stdout

- A client usually deals with
  - Stdin
  - Stdout
  - Socket



Client Handling a socket, stdin, stdout

- We looked at
  - Stop and wait client
  - Batch mode client - select with blocking I/O
    - Once select() returns, and if socket is readable, read() is called on socket.
    - readline() call gets blocked on socket till it gets required data.
    - During this time, other clients have to wait.
- Now we look at select with non-blocking I/O
  - In this, read() will be a non-blocking operation. It will read whatever data available on socket. It returns.
  - When this fd is readable next time, further data is read.
    - This requires that we track the number of bytes read and the pointers in the buffer.

```
1  str_cli(FILE *fp, int sockfd)
2  {
3   int     maxfdp1, stdineof;
4   fd_set  rset;
5   stdineof = 0;
6   FD_ZERO(&rset);
7   for ( ; ; ) {
8      if (stdineof == 0)
9          FD_SET(fileno(fp), &rset);
10     FD_SET(sockfd, &rset);
11     maxfdp1 = max(fileno(fp), sockfd) + 1;
12     select(maxfdp1, &rset, NULL, NULL, NULL);
13     if (FD_ISSET(sockfd, &rset)) {  /* socket is readable */
14     if ( (n = Read(sockfd, buf, MAXLINE)) == 0) {
15         if (stdineof == 1)
16             return;         /* normal termination */
17         else
18             err_quit("str_cli: server terminated prematurely");
19             }
20         Write(fileno(stdout), buf, n);
21     }
22     if (FD_ISSET(fileno(fp), &rset)) {  /* input is readable */
23     if ( (n = Read(fileno(fp), buf, MAXLINE)) == 0) {
24         stdineof = 1;
25         shutdown(sockfd, SHUT_WR);  /* send FIN */
26         FD_CLR(fileno(fp), &rset);
27             continue;
28             }
29         Writen(sockfd, buf, n);
30         }
31     } }
```

Select with Non-Blocking IO

- Non-blocking IO complicates buffer management.
  - We have to keep track of how much is read and how much is written.
- Two buffers:
  - *to*: reading from standard input and write to socket.
  - *from*: read from socket and write to stdout.

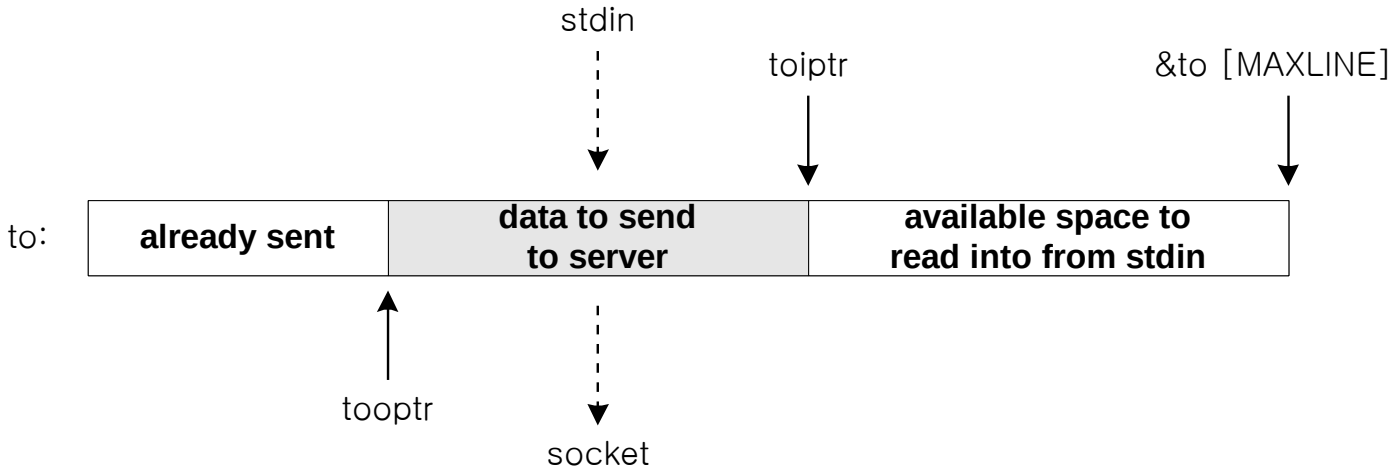


Figure 15.1 Buffer containing data from standard input going to the socket.

Select with Non-Blocking IO

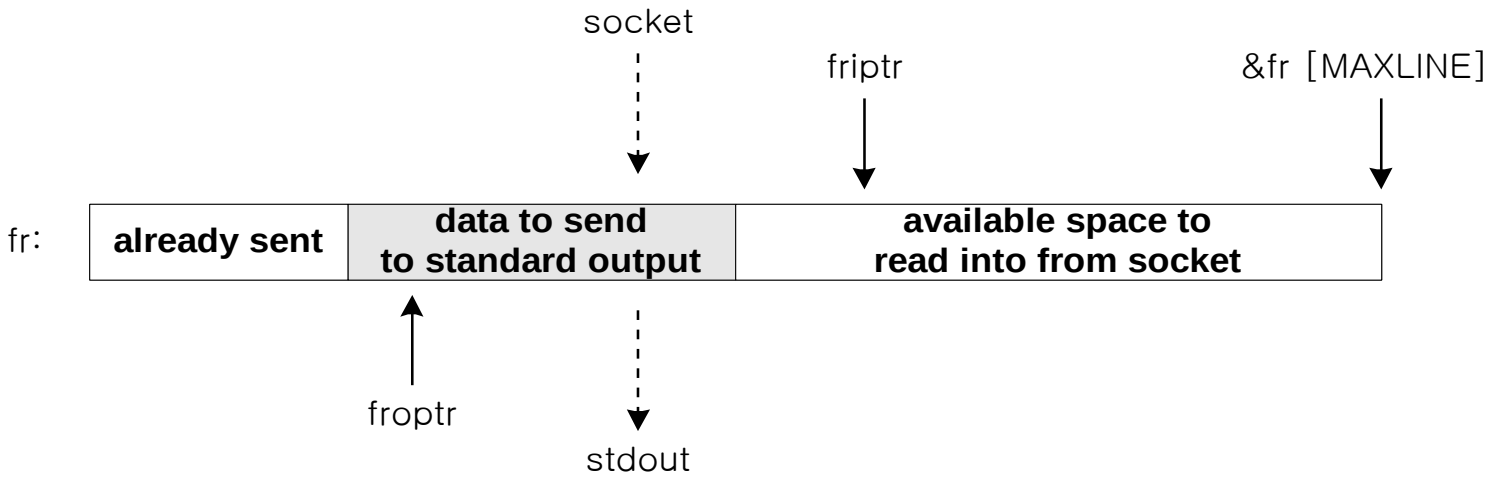


Figure 15.2 Buffer containing data from the socket going to standard output.

- *froptr*: points to the next byte to be sent to stdout.
- *froiptr*: points to the next byte into which next byte can be read..

Select with Non-Blocking IO

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```
1  void str_cli(FILE *fp, int sockfd)
2   {
3       int     maxfdp1, val, stdineof;
4       ssize_t n, nwritten;
5       fd_set  rset, wset;
6       char    to[MAXLINE], fr[MAXLINE];
7       char    *toiptr, *tooptr, *friptr, *froptr;
8       val = fcntl(sockfd, F_GETFL, 0);
9       fcntl(sockfd, F_SETFL, val | O_NONBLOCK);
10      val = Fcntl(STDIN_FILENO, F_GETFL, 0);
11      fcntl(STDIN_FILENO, F_SETFL, val | O_NONBLOCK);
12      val = Fcntl(STDOUT_FILENO, F_GETFL, 0);
13      fcntl(STDOUT_FILENO, F_SETFL, val | O_NONBLOCK);
14      toiptr = tooptr = to;       /* initialize buffer pointers */
15      friptr = froptr = fr;
16      stdineof = 0;
```

```
18      maxfdp1 = max(max(STDIN_FILENO, STDOUT_FILENO), sockfd) + 1;
19      for ( ; ; ) {
20          FD_ZERO(&rset);
21          FD_ZERO(&wset);
22          if (stdineof == 0 && toiptr < &to[MAXLINE])
23              FD_SET(STDIN_FILENO, &rset);     /* read from stdin */
24          if (friptr < &fr[MAXLINE])
25              FD_SET(sockfd, &rset);  /* read from socket */
26          if (tooptr != toiptr)
27              FD_SET(sockfd, &wset);  /* data to write to socket */
28          if (froptr != friptr)
29              FD_SET(STDOUT_FILENO, &wset);   /* data to write to stdout */
30          select(maxfdp1, &rset, &wset, NULL, NULL);
```

reads from standard input


```
30      select(maxfdp1, &rset, &wset, NULL, NULL);
31       if (FD_ISSET(STDIN_FILENO, &rset)) {
32          if((n = read(STDIN_FILENO, toiptr, &to[MAXLINE] - toiptr)) < 0) {
33              if (errno != EWOULDBLOCK)
34                  err_sys("read error on stdin");
35          }else if (n == 0) {
36              fprintf(stderr, "%s: EOF on stdin\n", gf_time());
37              stdineof = 1;   /* all done with stdin */
38              if (tooptr == toiptr)
39                  shutdown(sockfd, SHUT_WR);   /* send FIN */
40          } else {
41          fprintf(stderr, "%s: read %d bytes from stdin\n", gf_time(),
42                          n);
43              toiptr += n;     /* # just read */
44              FD_SET(sockfd, &wset); /* try and write to socket below */
45          }
46       }
```

Amt of space available in to buffer

If user has pressed Ctrl-D, set stdineof=1
If no outstanding data on buffer, close the write end.

Increment to pointer
set socket in wset for writability

reads from socket


```
47  if (FD_ISSET(sockfd, &rset)) {
48      if ( (n = read(sockfd, friptr, &fr[MAXLINE] - friptr)) < 0) {
49          if (errno != EWOULDBLOCK)
50              err_sys("read error on socket");
51      } else if (n == 0) {
52          fprintf(stderr, "%s: EOF on socket\n", gf_time());
53          if (stdineof)
54              return;     /* normal termination */
55          else
56              err_quit("str_cli: server terminated prematurely");
57      } else {
58          fprintf(stderr, "%s: read %d bytes from socket\n",
59                  gf_time(), n);
60          friptr += n;     /* # just read */
61          FD_SET(STDOUT_FILENO, &wset);     /* try and write below */
62      }
63  }
```

Amt of space available in from buffer

Increment friptr.
Add stdout to wset to test for writability.

writes to standard output

No of bytes to write >0

```
65  if (FD_ISSET(STDOUT_FILENO, &wset) && ((n = friptr - froptr) > 0)) {
66      if ( (nwritten = write(STDOUT_FILENO, froptr, n)) < 0) {
67          if (errno != EWOULDBLOCK)
68              err_sys("write error to stdout");
69      } else {
70          fprintf(stderr, "%s: wrote %d bytes to stdout\n",
71                  gf_time(), nwritten);
72          froptr += nwritten; /* # just written */
73          if (froptr == friptr)
74              froptr = friptr = fr;   /* back to beginning of buffer */
75      }
76  }
```

If the write is successful, froptr is incremented by the number of bytes written

Writes to socket

No of bytes to write >0

```
78    if (FD_ISSET(sockfd, &wset) && ((n = toiptr - tooptr) > 0)) {
79        if ( (nwritten = write(sockfd, tooptr, n)) < 0) {
80            if (errno != EWOULDBLOCK)
81                err_sys("write error to socket");
82        } else {
83        fprintf(stderr, "%s: wrote %d bytes to socket\n",
84                gf_time(), nwritten);
85        tooptr += nwritten; /* # just written */
86            if (tooptr == toiptr) {
87                toiptr = tooptr = to;   /* back to beginning of buffer */
88            if (stdineof)
89                shutdown(sockfd, SHUT_WR);  /* send FIN */
90            }
91        }
92    }
93  }
94  }
```

If the write is successful, tooptr is incremented by the number of bytes written

if we encountered an EOF on standard input, the FIN can be sent to the server

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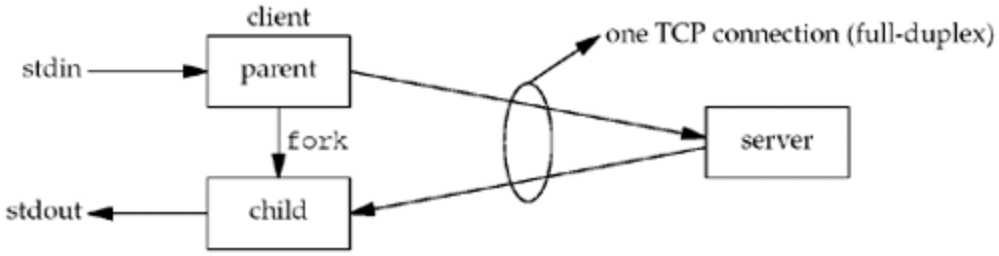
Client using Multiple Processes

Client using Multiple Processes

- Whenever we find the need to use nonblocking I/O, it will usually be simpler to split the application into either processes (using fork) or threads.
  - Parent reads from stdin and writes to socket
  - Child reads from socket and writes to stdout.



  - Normal termination occurs when the EOF on standard input is encountered. The parent reads this EOF and calls shutdown to send a FIN.
  - If abnormal occurs, the child will read an EOF on the socket. If this happens, the child must tell the parent to stop copying from the standard input to the socket
    - the child sends a signal (e.g. SIGTERM) to the parent.

Client using Multiple Processes

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```
1  void str_cli(FILE *fp, int sockfd)
2   {
3       pid_t     pid;
4       char      sendline[MAXLINE], recvline[MAXLINE];
5       if ( (pid = fork()) == 0) {   /* child: server -> stdout */
6          while (Readline(sockfd, recvline, MAXLINE) > 0)
7              fputs(recvline, stdout);
8          kill(getppid(), SIGTERM);    /* in case parent still running */
9          exit(0);
10      }
11      /* parent: stdin -> server */
12      while (fgets(sendline, MAXLINE, fp) != NULL)
13          Writen(sockfd, sendline, strlen(sendline));
14      shutdown(sockfd, SHUT_WR);  /* EOF on stdin, send FIN */
15      pause();
16      return;
17  }
```

Comparing Cleint Designs

- when copying 2,000 lines from a client to a server with an RTT of 175 ms:

Client	Time taken for sending and receiving
stop-and-wait	354.0 sec
select and blocking I/O	12.3 sec
nonblocking I/O	6.9 sec
fork	8.7 sec
threaded version	8.5 sec

  - nonblocking I/O version is almost twice as fast as version using blocking I/O with select.
  - Version using fork is slower than nonblocking I/O version.
  - Nevertheless, given the complexity of the nonblocking I/O code versus the fork code, fork version is simple approach.

Non-blocking Connect

Nonblocking connect()

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- TCP socket nonblocking connect
  - return: an error of EINPROGRESS
  - TCP three-way handshake continues
  - check the connection establishment using select
- There are three uses for a nonblocking connect.
  - We can overlap other processing with the three-way handshake.
  - We can establish multiple connections at the same time using this technique.
    - popular with Web browsers
  - Since we wait for the connection establishment to complete using select, we can specify time limit for select, allowing us to shorten the timeout for the connect.

Nonblocking connect()

- Set the socket to non-blocking.
- Call connect(). It will return immediately with error EINPROGRESS.
- We use *select*() to check what has happened to connect().
- If the descriptor is readable or writable, we call getsockopt() to fetch the socket's pending error (SO_ERROR). If the connection completed successfully, this value will be 0.

Nonblocking connect()

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```
1  int connect_nonb(int sockfd, const SA *saptr, socklen_t salen, int nsec)
2  {
3      int     flags, n, error;
4      socklen_t len;
5      fd_set rset, wset;
6      struct timeval tval;
7      flags = fcntl(sockfd, F_GETFL, 0);
8      fcntl(sockfd, F_SETFL, flags | O_NONBLOCK);
9      error = 0;
10     if ( (n = connect(sockfd, saptr, salen)) < 0)
11         if (errno != EINPROGRESS)
12             return (-1);
13     /* Do whatever we want while the connect is taking place. */
14     if (n == 0)
15         goto done;              /* connect completed immediately */
16     FD_ZERO(&rset);
17     FD_SET(sockfd, &rset);
18     wset = rset;
19     tval.tv_sec = nsec;
20     tval.tv_usec = 0;
```

Nonblocking connect()

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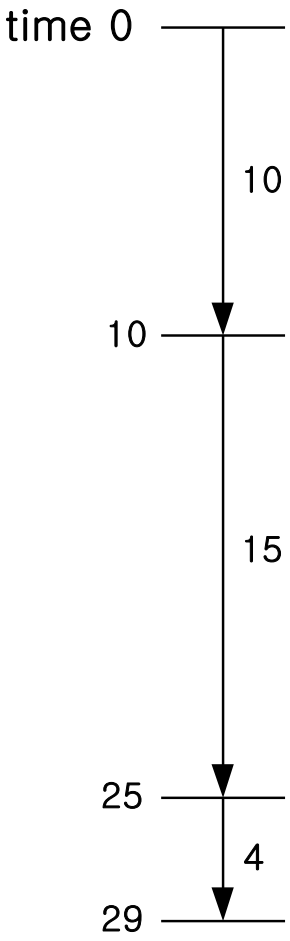
```
22          if ( (n = select(sockfd + 1, &rset, &wset, NULL,
23                           nsec ? &tval : NULL)) == 0) {
24              close(sockfd);          /* timeout */
25              errno = ETIMEDOUT;
26              return (-1);
27          }
28          if (FD_ISSET(sockfd, &rset) || FD_ISSET(sockfd, &wset)) {
29              len = sizeof(error);
30              if (getsockopt(sockfd, SOL_SOCKET, SO_ERROR, &error, &len) < 0)
31                  return (-1);     /* Solaris pending error */
32          } else
33              err_quit("select error: sockfd not set");
34      done:
35          Fcntl(sockfd, F_SETFL, flags);  /* restore file status flags */
36          if (error) {
37              close(sockfd);          /* just in case */
38              errno = error;
39              return (-1);
40          }
41          return (0);
42      }
```

Web client: Non-blocking Connect

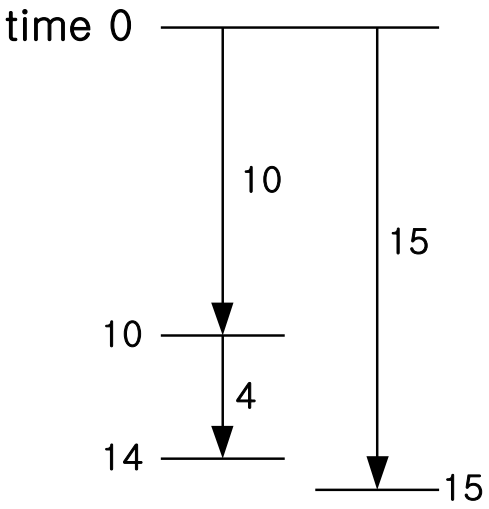
nonblocking `connect`: web client

- A real-world example of nonblocking connects started with Netscape Web Client
- The client establishes an HTTP connection with a Web server and fetches a home page.
- On that page are often numerous references to other Web pages.
- Instead of fetching these other pages serially, one at a time, the client can fetch more than one at the same time, using nonblocking connects.

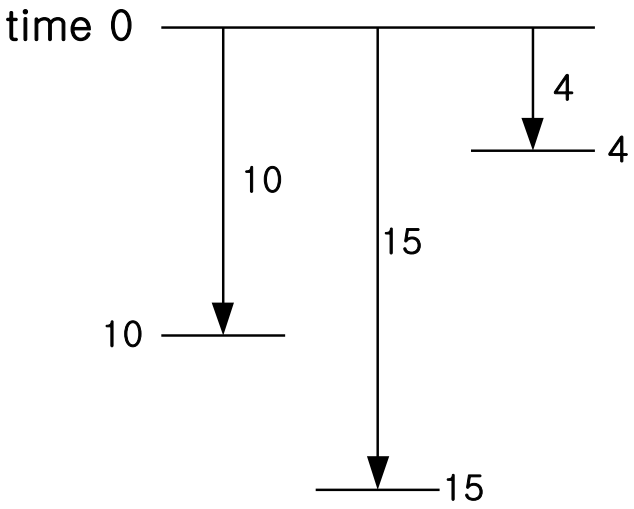
nonblocking connect: web client (cont.)



three connections done serially



three connections done in parallel; maximum of two connections at a time



three connections done in parallel; maximum of three connections at a time

Non-blocking Connect

- This program will read up to 20 files from a Web server.
- We specify as command-line arguments
  - the maximum number of parallel connections,
  - the server's hostname, and
  - each of the filenames to fetch from the server.

```
bash$ web 3 www.foobar.com image1.gif image2.gif image3.gif image4.gif
    image5.gif image6.gif image7.gif
```

  - It means
    - three simultaneous connection
    - server’s hostname
    - filename for the home page
    - the files to be read

nonblock/web.h

```
1  #define MAXFILES     20
2  #define SERV         "80"         /* port number or service name */
3  struct file {
4   char   *f_name;               /* filename */
5   char   *f_host;               /* hostname or IPv4/IPv6 address */
6   int     f_fd;                 /* descriptor */
7   int     f_flags;              /* F_xxx below */
8  } file[MAXFILES];
9  #define F_CONNECTING     1        /* connect() in progress */
10 #define F_READING        2        /* connect() complete; now reading */
11 #define F_DONE           4        /* all done */
12 #define GET_CMD      "GET %s HTTP/1.0\r\n\r\n"
13       /* globals */
14 int     nconn,  nfiles, nlefttoconn, nlefttoread, maxfd;
15 fd_set  rset, wset;
16       /* function prototypes */
17 void   home_page(const char *, const char *);
18 void   start_connect(struct file *);
19 void   write_get_cmd(struct file *);
```

- Each file has a state and fd.

nonblock/web.c

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```
1  main(int argc, char **argv)
2  {
3   int     i, fd, n, maxnconn, flags, error;
4   char    buf[MAXLINE];
5   fd_set  rs, ws;
6   if (argc < 5)
7       err_quit("usage: web <#conns> <hostname> <homepage> <file1> ...");
8   maxnconn = atoi(argv[1]);
9   nfiles = min(argc - 4, MAXFILES);
10  for (i = 0; i < nfiles; i++) {
11      file[i].f_name = argv[i + 4];
12      file[i].f_host = argv[2];
13      file[i].f_flags = 0;
14  }
15  printf("nfiles = %d\n", nfiles);
16  home_page(argv[2], argv[3]);
17  FD_ZERO(&rset);
18  FD_ZERO(&wset);
19  maxfd = -1;
20  nlefttoread = nlefttoconn = nfiles;
21  nconn = 0;
```

- Process command-line arguments
- Read home page
- Initialize globals
  - Fd sets, nconn is current number of connections.

nonblock/start_connect.c

```
1  void start_connect(struct file *fptr)
2   {
3       int     fd, flags, n;
4       ai = Host_serv(fptr->f_host, SERV, 0, SOCK_STREAM);
5       fd = Socket(ai->ai_family, ai->ai_socktype, ai->ai_protocol);
6       fptr->f_fd = fd;
7       printf("start_connect for %s, fd %d\n", fptr->f_name, fd);
8           /* Set socket nonblocking */
9       flags = Fcntl(fd, F_GETFL, 0);
10      Fcntl(fd, F_SETFL, flags | O_NONBLOCK);
11          /* Initiate nonblocking connect to the server. */
12      if ( (n = connect(fd, ai->ai_addr, ai->ai_addrlen)) < 0) {
13          if (errno != EINPROGRESS)
14              err_sys("nonblocking connect error");
15          fptr->f_flags = F_CONNECTING;
16          FD_SET(fd, &rset);      /* select for reading and writing */
17          FD_SET(fd, &wset);
18          if (fd > maxfd)
19              maxfd = fd;
20       } else if (n >= 0)             /* connect is already done */
21           write_get_cmd(fptr);      /* write() the GET command */
22  }
```

- Initiate nonblocking connect
- Handle connection complete
- If connect returns successfully, the connection is already complete and the function write_get_cmd ends a command to the server.

nonblock/write_get_cmd.c


```
1  #include     "web.h"
2   void write_get_cmd(struct file *fptr)
3   {
4       int      n;
5       char     line[MAXLINE];
6       n = snprintf(line, sizeof(line), GET_CMD, fptr->f_name);
7       Writen(fptr->f_fd, line, n);
8       printf("wrote %d bytes for %s\n", n, fptr->f_name);
9       fptr->f_flags = F_READING;  /* clears F_CONNECTING */
10      FD_SET(fptr->f_fd, &rset);  /* will read server's reply */
11      if (fptr->f_fd > maxfd)
12          maxfd = fptr->f_fd;
13  }
```

- Build command and send it
- Set flags

Main function: web.c

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```
1  while (nlefttoread > 0) {
2    while (nconn < maxnconn && nlefttoconn > 0) {
3            /* find a file to read */
4        for (i = 0; i < nfiles; i++)
5            if (file[i].f_flags == 0)
6                break;
7        if  (i == nfiles)
8            err_quit("nlefttoconn = %d but nothing found", nlefttoconn);
9        start_connect(&file[i]);
10       nconn++;
11       nlefttoconn--;
12   }
```

- Initiate another connection, if possible

Main function: web.c

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```
13    rs = rset;
14    ws = wset;
15    n = select(maxfd + 1, &rs, &ws, NULL, NULL);
16    for (i = 0; i < nfiles; i++) {
17        flags = file[i].f_flags;
18        if (flags == 0 || flags & F_DONE)
19            continue;
20        fd = file[i].f_fd;
21        if (flags & F_CONNECTING &&
22            (FD_ISSET(fd, &rs) || FD_ISSET(fd, &ws))) {
23            n = sizeof(error);
24            if (getsockopt(fd, SOL_SOCKET, SO_ERROR, &error, &n) < 0 ||
25            error != 0) {
26            err_ret("nonblocking connect failed for %s",
27                    file[i].f_name);
28        }
29            /* connection established */
30        printf("connection established for %s\n", file[i].f_name);
31        FD_CLR(fd, &wset); /* no more writeability test */
32        write_get_cmd(&file[i]);    /* write() the GET command */
```

- select waits for either readability or writability.
  - Descriptors that have a nonblocking connect in progress will be enabled in both sets, while descriptors with a completed connection that are waiting for data from the server will be enabled in just the read set.

Main function: web.c

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```
29          /* connection established */
30        printf("connection established for %s\n", file[i].f_name);
31        FD_CLR(fd, &wset); /* no more writeability test */
32        write_get_cmd(&file[i]);   /* write() the GET command */
33    } else if (flags & F_READING && FD_ISSET(fd, &rs)) {
34        if ( (n = Read(fd, buf, sizeof(buf))) == 0) {
35            printf("end-of-file on %s\n", file[i].f_name);
36            Close(fd);
37            file[i].f_flags = F_DONE;     /* clears F_READING */
38            FD_CLR(fd, &rset);
39            nconn--;
40            nlefttoread--;
41        } else {
42            printf("read %d bytes from %s\n", n, file[i].f_name);
43        }
44     }
45    }
46    }
47    exit(0);
48    }
```

- If the F_READING flag is set and the descriptor is ready for reading, we call read.

Performance of Nonblocking Connect

- Table shows the clock time required to fetch a Web server's home page, followed by nine image files from that server.
  - The RTT to the server is about 150 ms.
  - The home page size was 4,017 bytes and the average size of the 9 image files was 1,621 bytes.
  - TCP's segment size was 512 bytes.
- Most of the improvement is obtained with three simultaneous connections.

# simultaneous connections	Clock time (seconds), non blocking	Clock time(secs) Threads
1	6.0	6.3
2	4.1	4.2
3	3.0	3.1
4	2.8	3.0
5	2.5	2.7
6	2.4	2.5
7	2.3	2.3
8	2.2	2.3
9	2.0	2.2

Acknowledgements

Q&A

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Thank You