

# Head Mounted Display Optics II



Gordon Wetzstein  
Stanford University

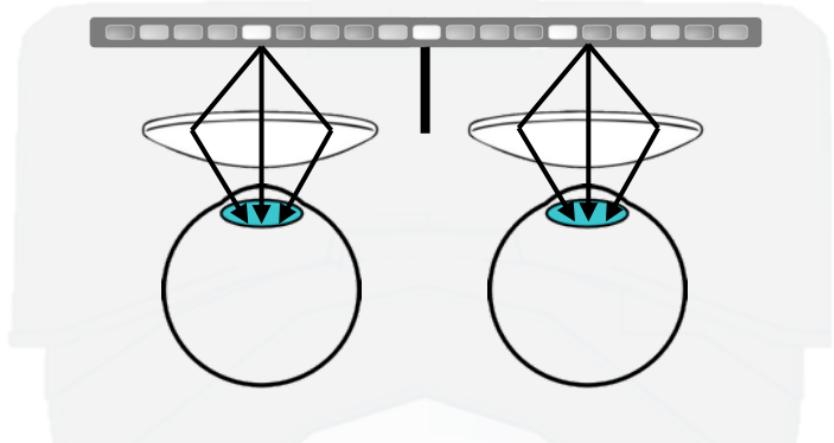
EE 267 Virtual Reality

Lecture 8

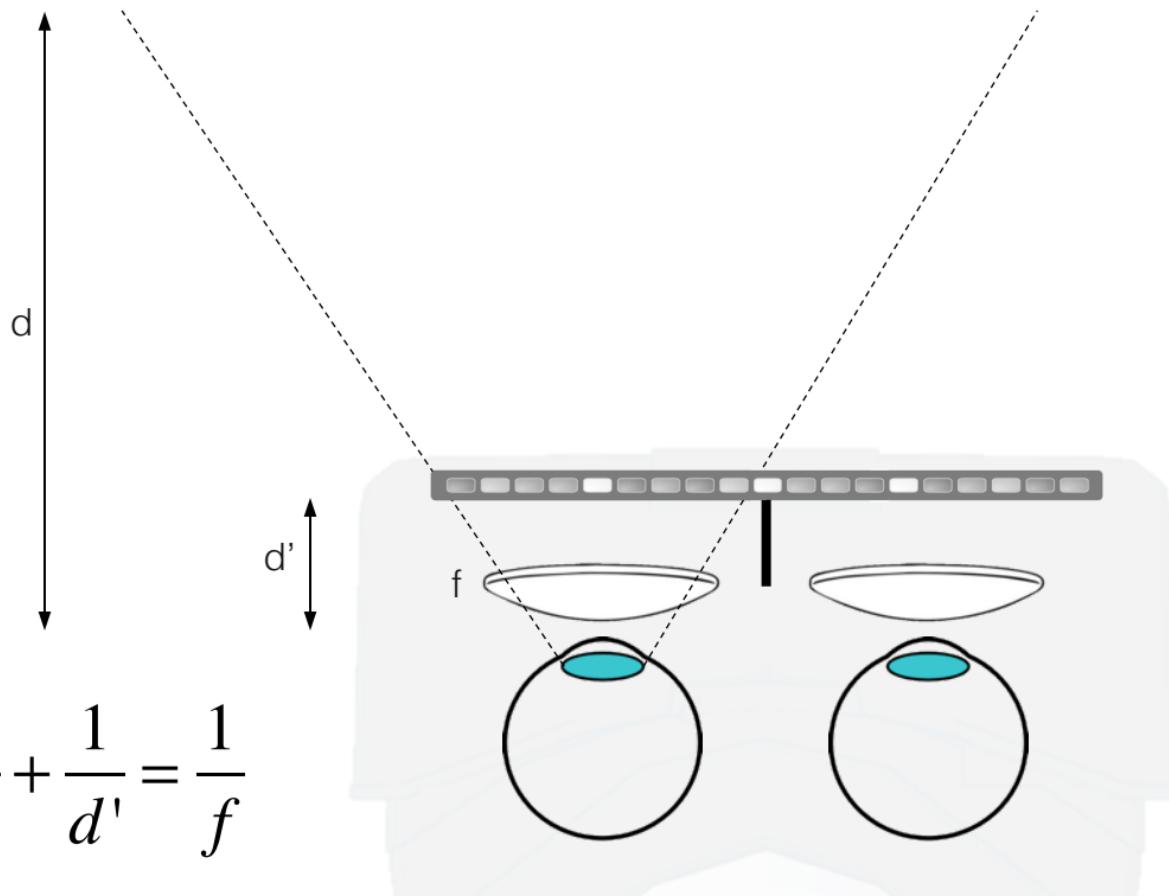
[stanford.edu/class/ee267/](http://stanford.edu/class/ee267/)

# Lecture Overview

- focus cues & the vergence-accommodation conflict
- advanced optics for VR with focus cues:
  - gaze-contingent varifocal displays
  - volumetric and multi-plane displays
  - near-eye light field displays
  - holographic near-eye displays
- AR displays



# Magnified Display

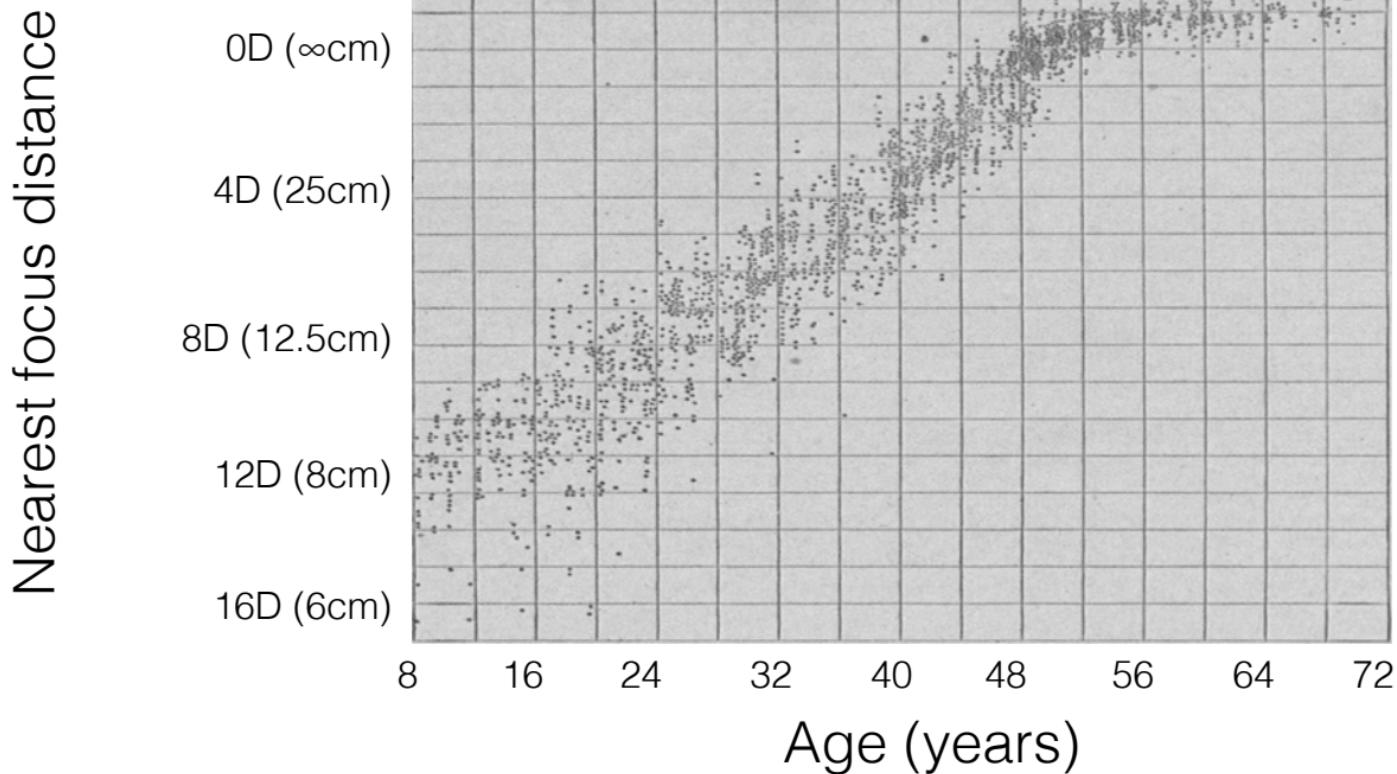


$$\frac{1}{d} + \frac{1}{d'} = \frac{1}{f}$$

- big challenge:  
virtual image  
appears at  
fixed focal  
plane!
- no focus cues



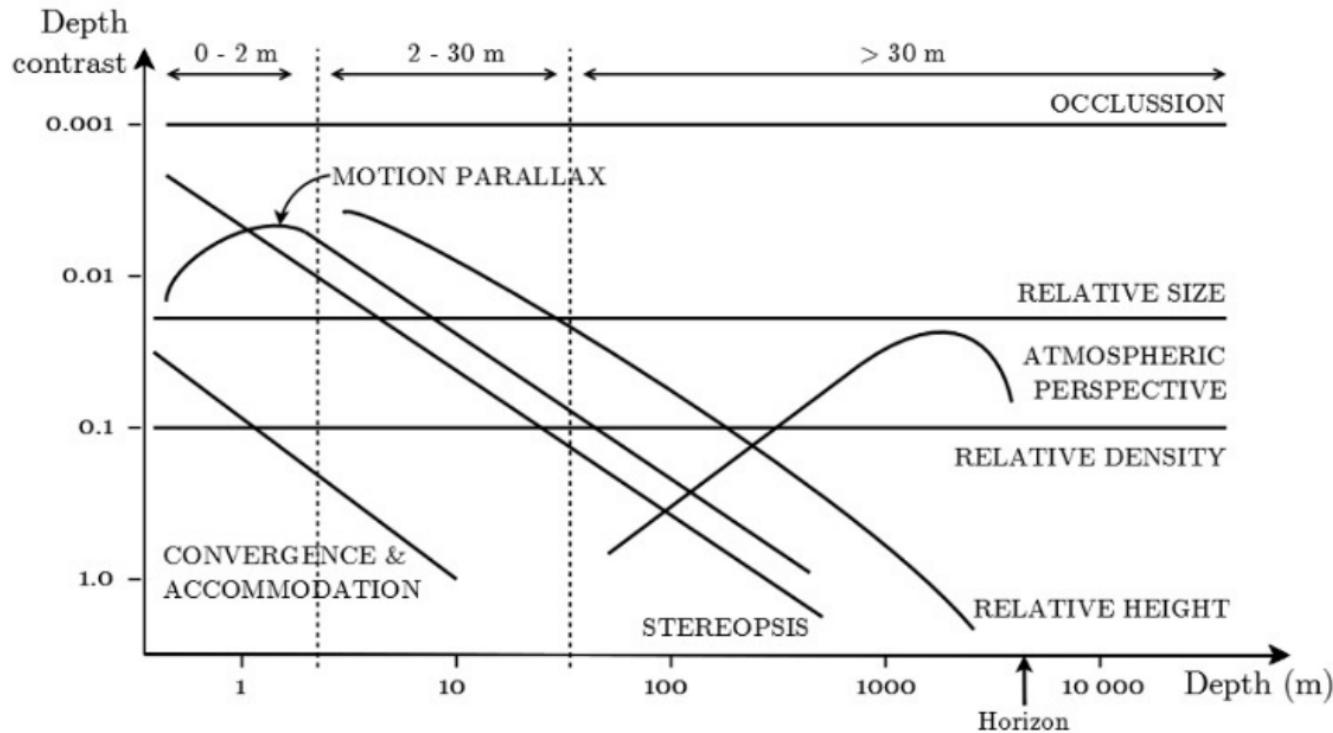
# Importance of Focus Cues Decreases with Age - Presbyopia



Duane, 1912

# Relative Importance of Depth Cues

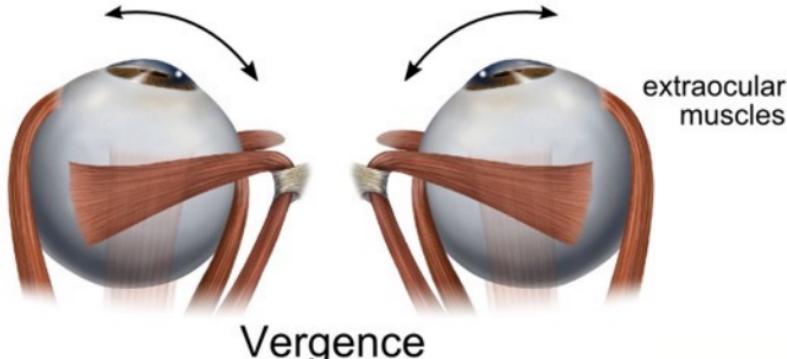
Cutting & Vishton, 1995



# The Vergence-Accommodation Conflict (VAC)

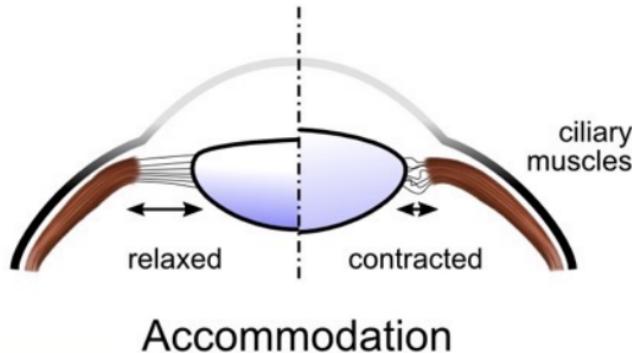
## Oculomotor Cue

### Stereopsis (Binocular)



Binocular Disparity

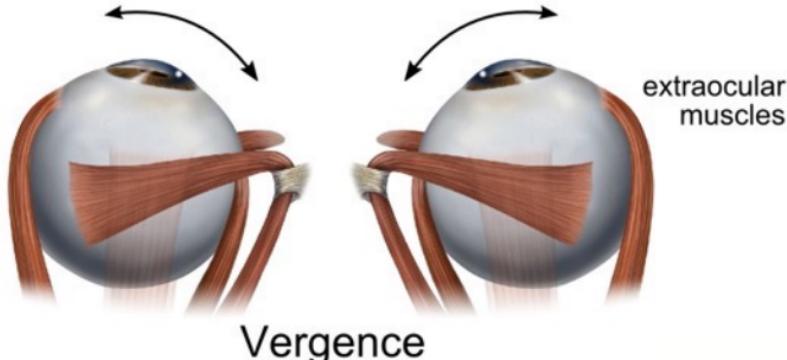
### Focus Cues (Monocular)



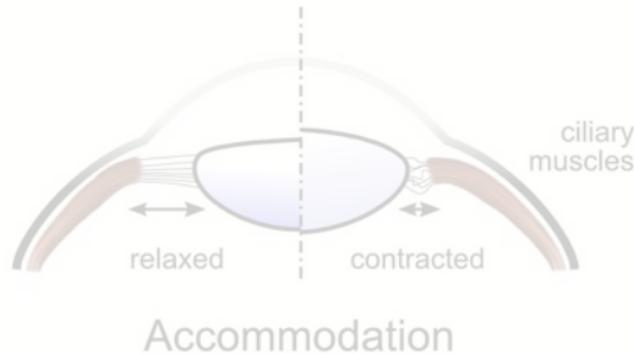
Retinal Blur

## Oculomotor Cue

### Stereopsis (Binocular)



### Focus Cues (Monocular)



## Visual Cue



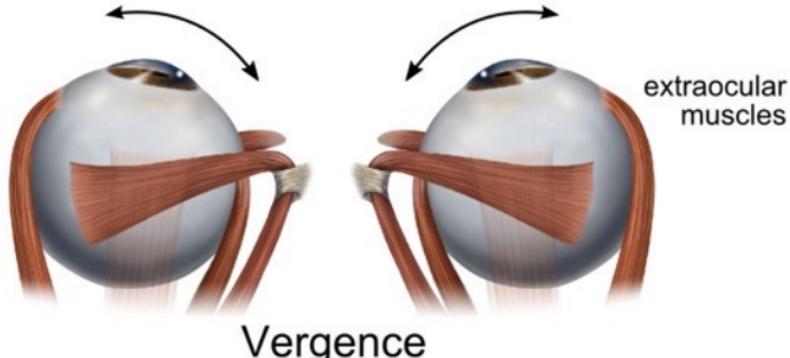
Binocular Disparity



Retinal Blur

## Oculomotor Cue

### Stereopsis (Binocular)

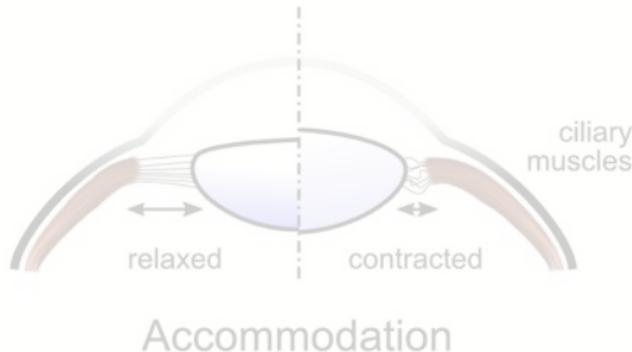


## Visual Cue



Binocular Disparity

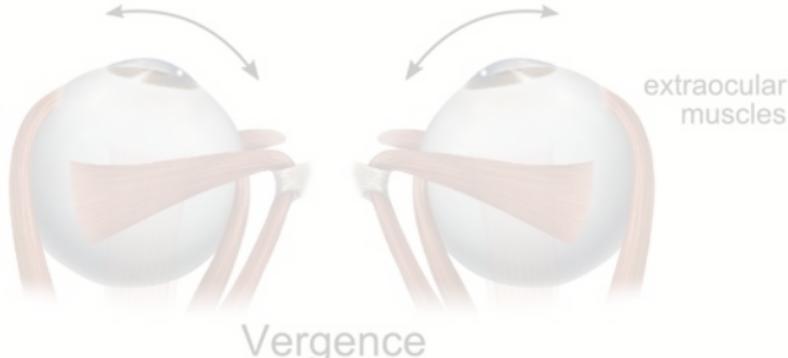
### Focus Cues (Monocular)



Retinal Blur

## Oculomotor Cue

### Stereopsis (Binocular)

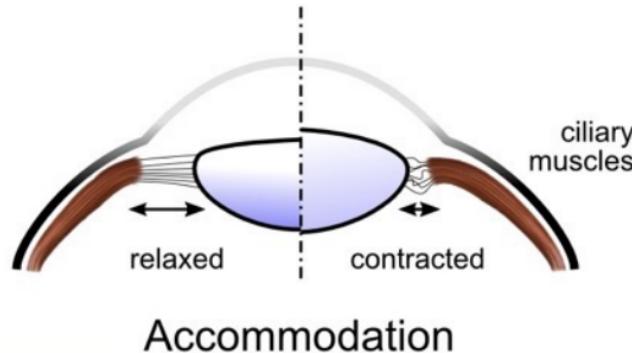


## Visual Cue

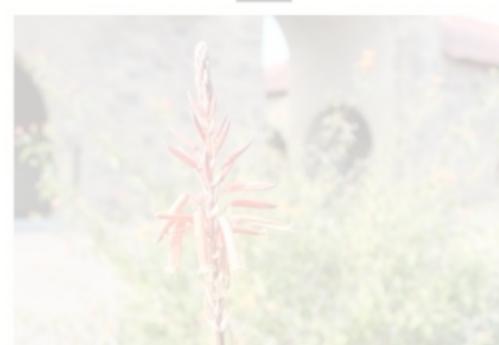
Binocular Disparity



### Focus Cues (Monocular)



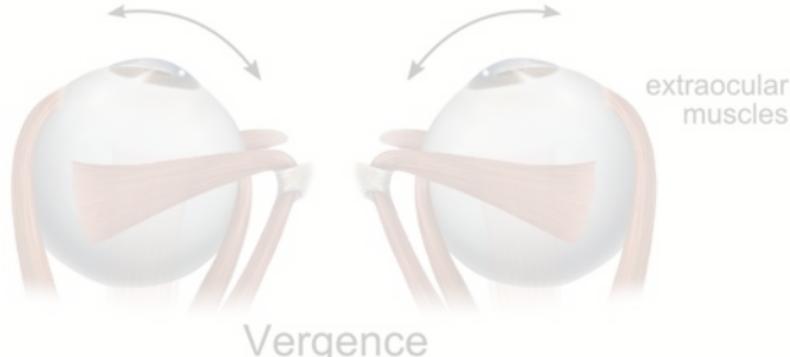
Accommodation



Retinal Blur

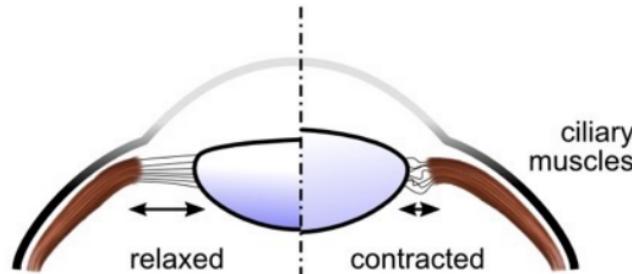
## Oculomotor Cue

### Stereopsis (Binocular)



Binocular Disparity

### Focus Cues (Monocular)



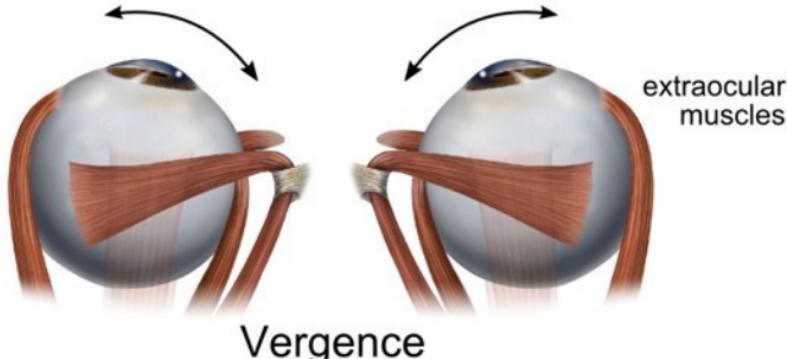
Accommodation



Retinal Blur

## Oculomotor Cue

### Stereopsis (Binocular)

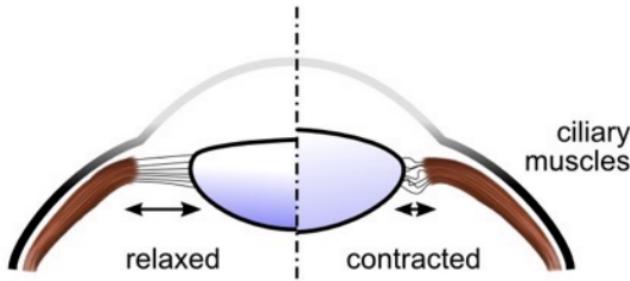


Vergence



Binocular Disparity

### Focus Cues (Monocular)



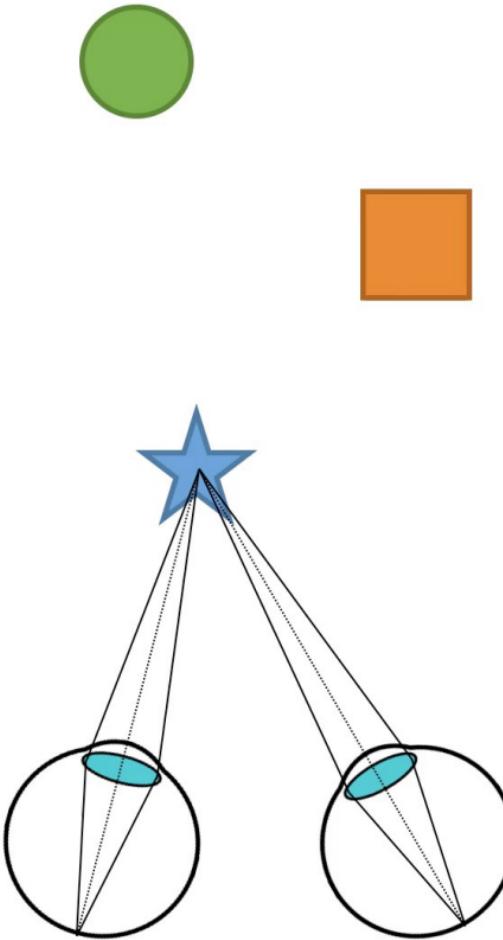
Accommodation



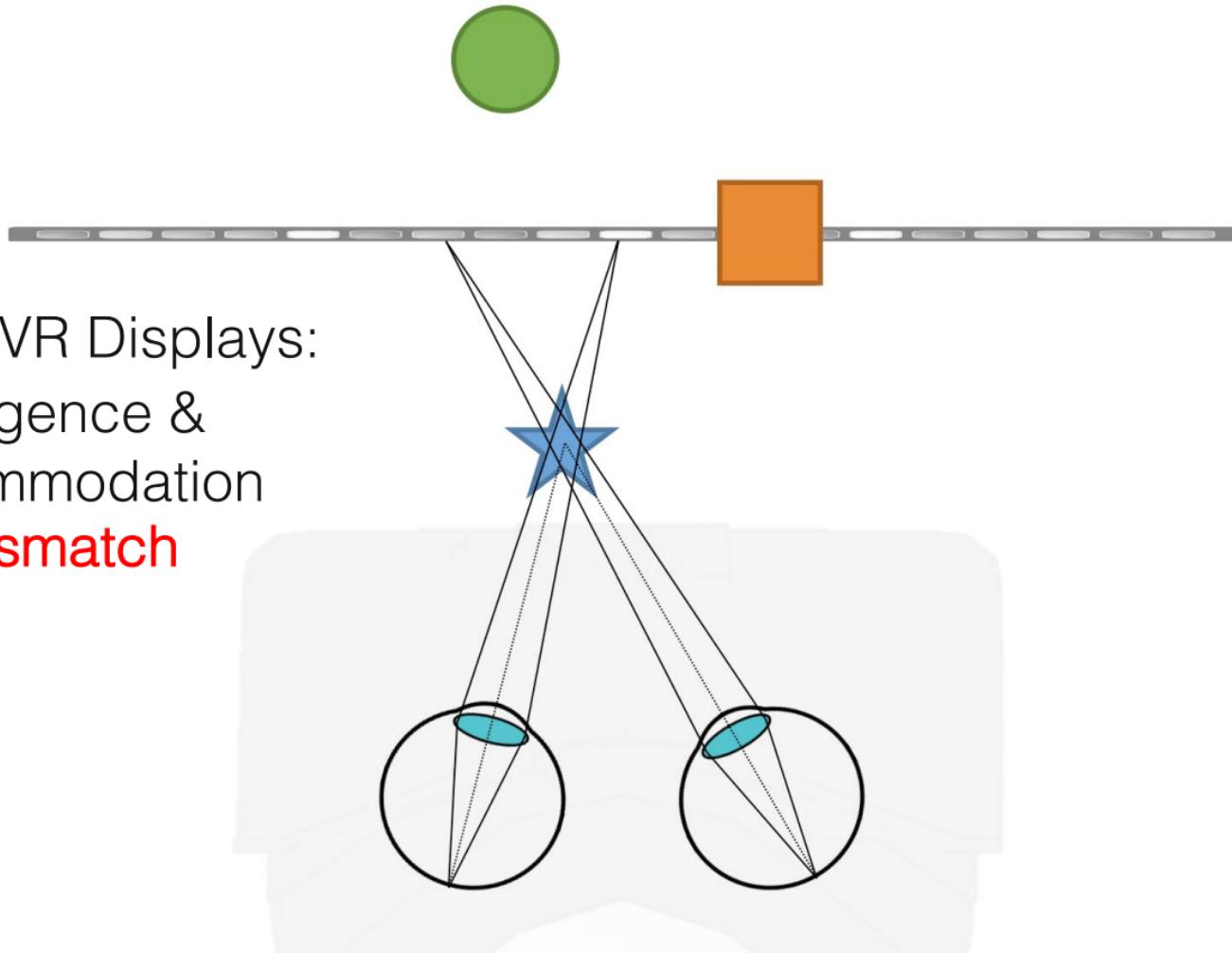
Retinal Blur

## Visual Cue

Real World:  
Vergence &  
Accommodation  
**Match!**

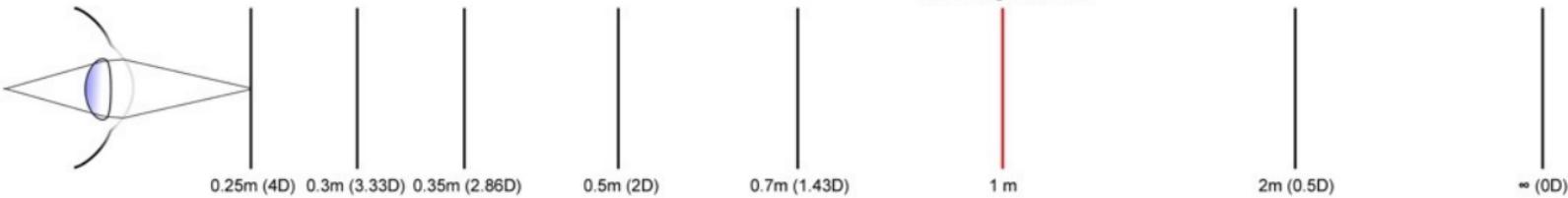
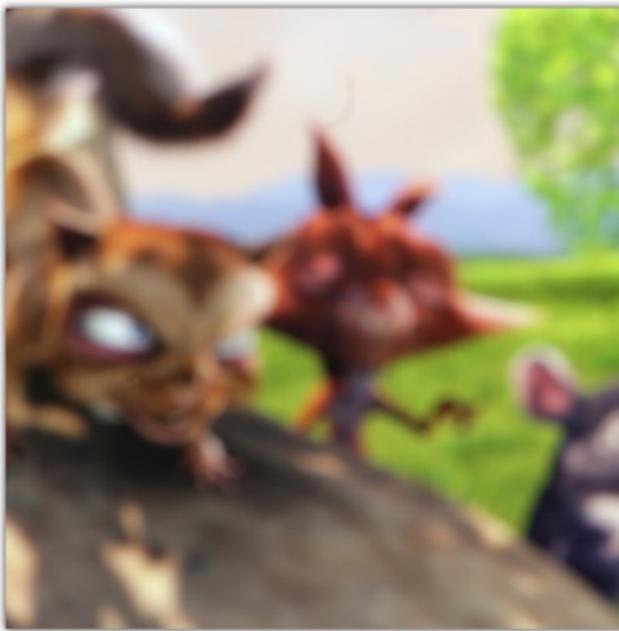


Current VR Displays:  
Vergence &  
Accommodation  
**Mismatch**



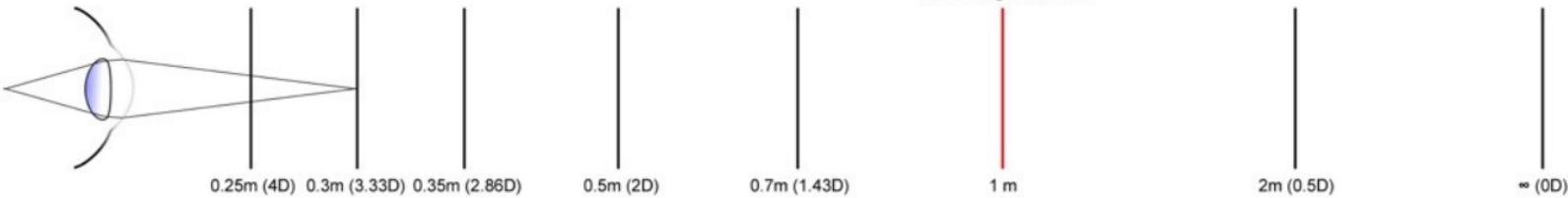
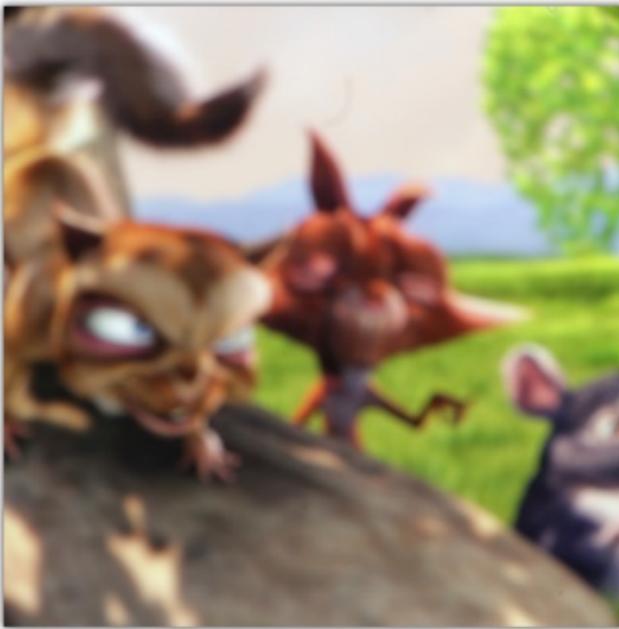
# Accommodation and Retinal Blur

Conventional Display



# Blur Gradient Driven Accommodation

Conventional Display



# Blur Gradient Driven Accommodation

Conventional Display

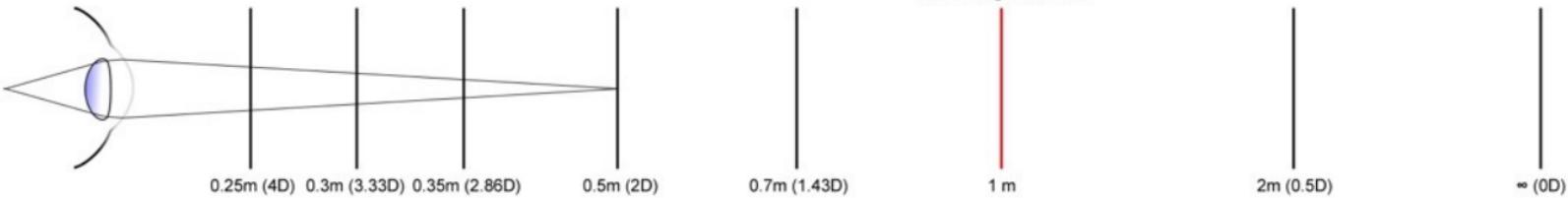


virtual image of screen



# Blur Gradient Driven Accommodation

Conventional Display

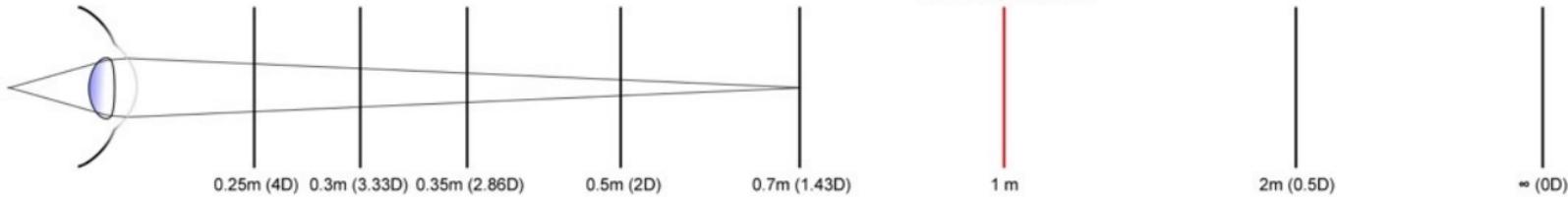


# Blur Gradient Driven Accommodation

Conventional Display

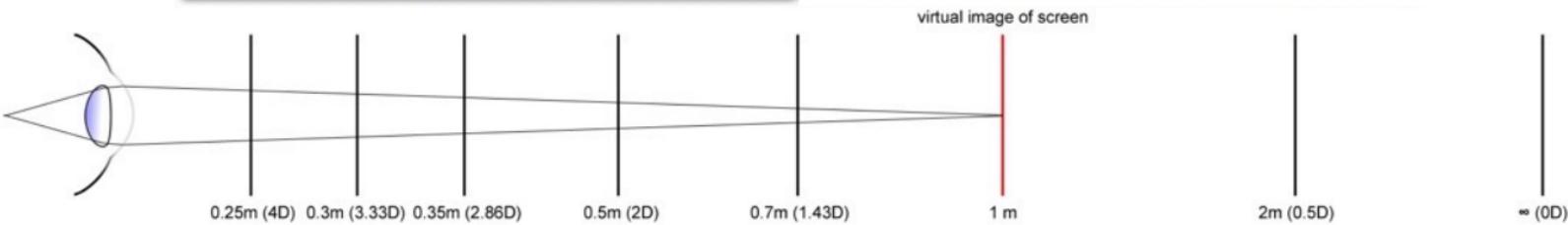


virtual image of screen



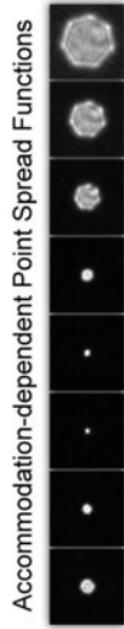
# Blur Gradient Driven Accommodation

Conventional Display

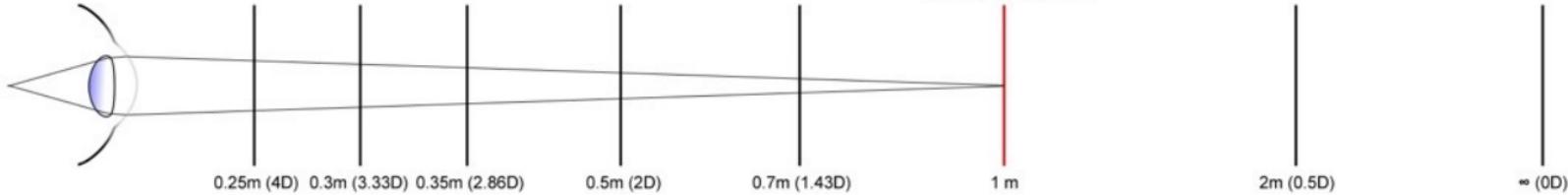


# Blur Gradient Driven Accommodation

Conventional Display



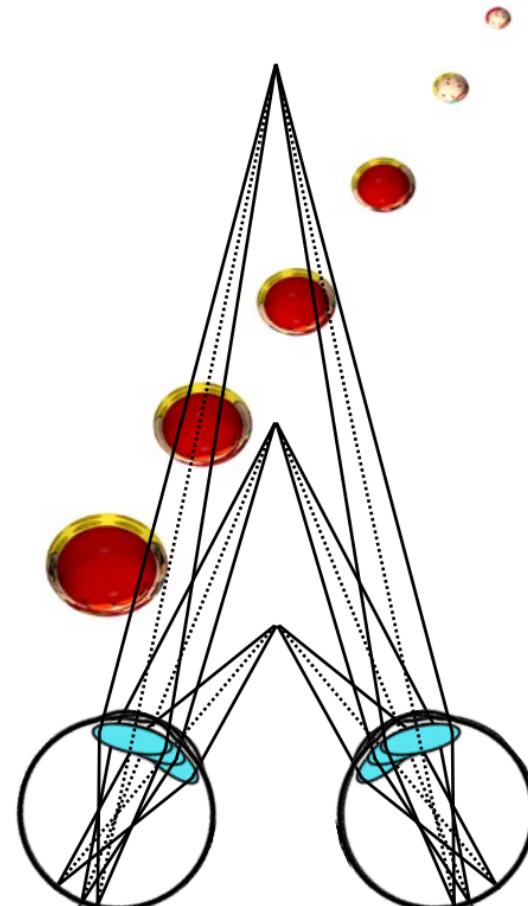
virtual image of screen





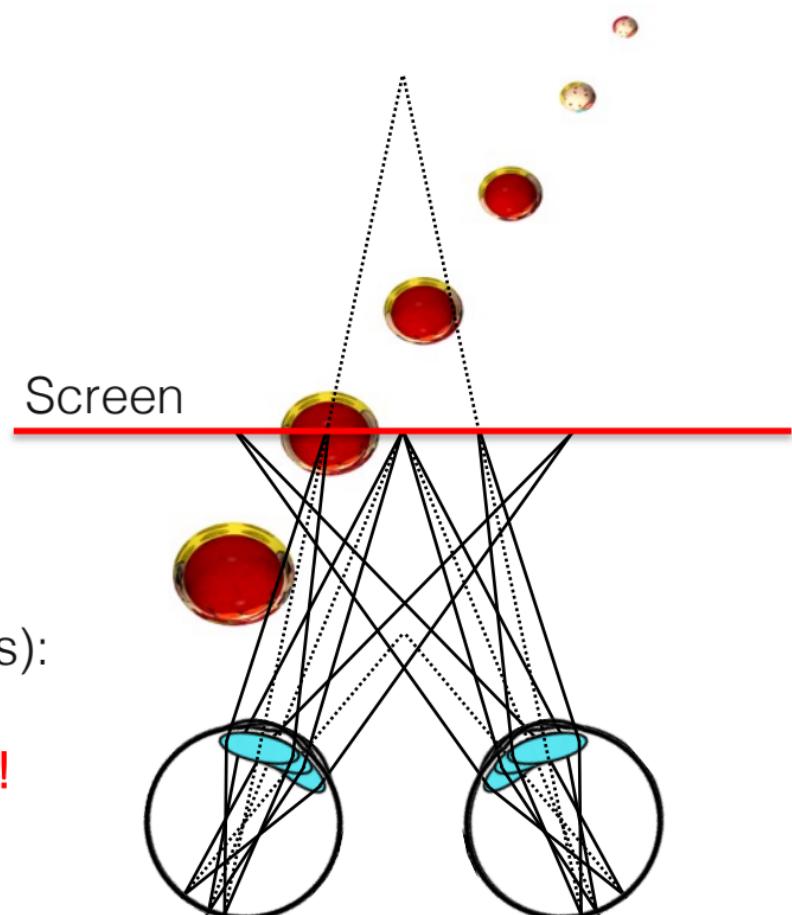
Top View

Real World:  
Vergence & Accommodation **Match!**





Top View



Stereo Displays Today (including HMDs):

Vergence-Accommodation **Mismatch!**

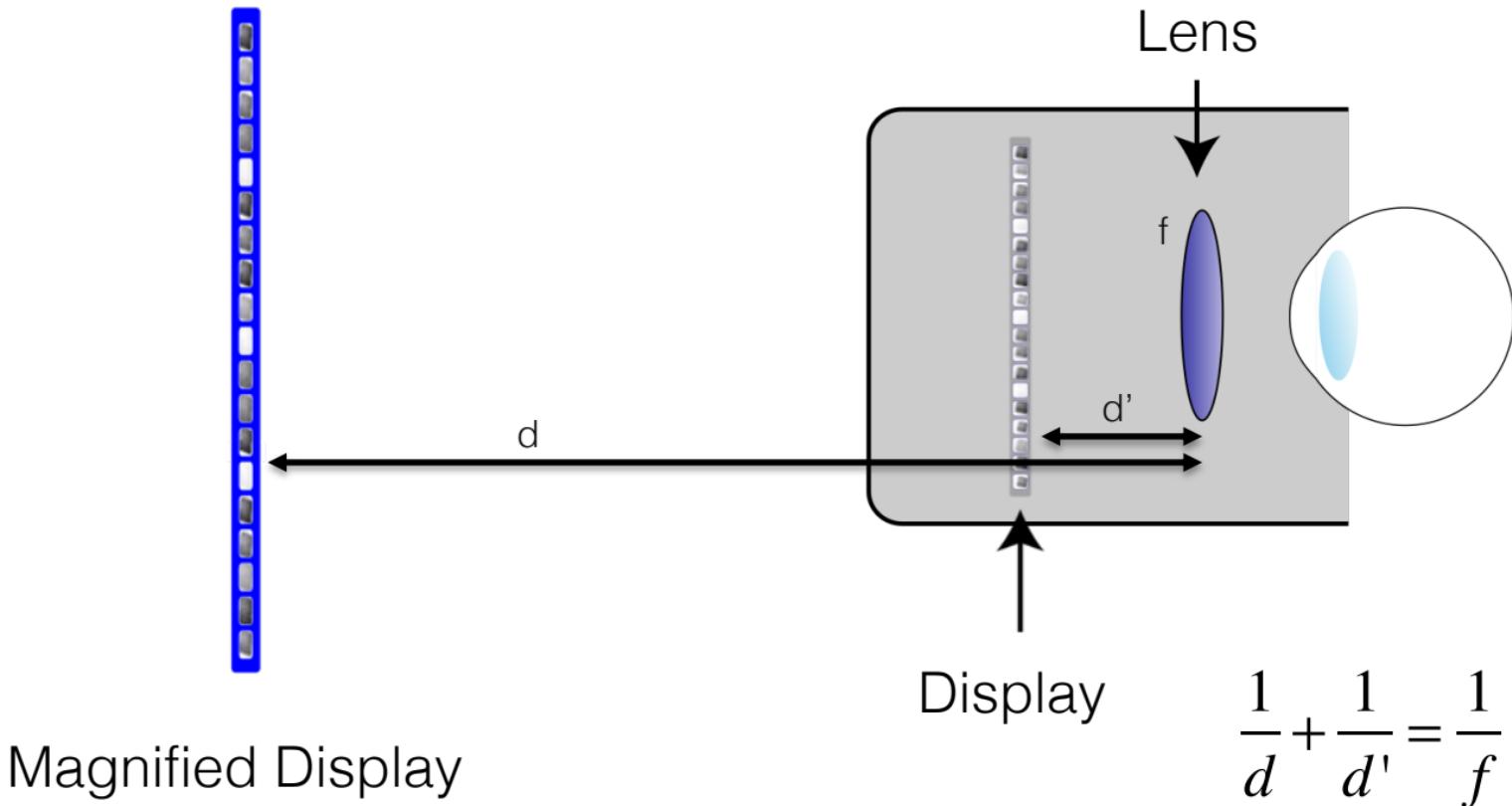
# Consequences of Vergence-Accommodation Conflict

- Visual discomfort (eye tiredness & eyestrain) after ~20 minutes of stereoscopic depth judgments (Hoffman et al. 2008; Shibata et al. 2011)
- Degrades visual performance in terms of reaction times and acuity for stereoscopic vision (Hoffman et al. 2008; Konrad et al. 2016; Johnson et al. 2016)
- Short-term effects: *double vision (diplopia), reduced visual clarity*

# VR Displays with Focus Cues

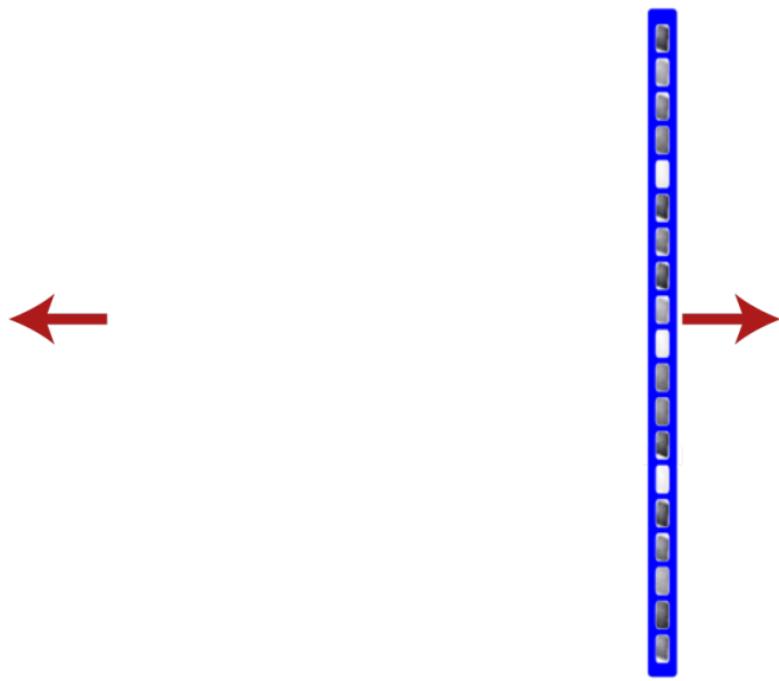
1. Gaze-contingent Varifocal Displays

# Fixed Focus Displays

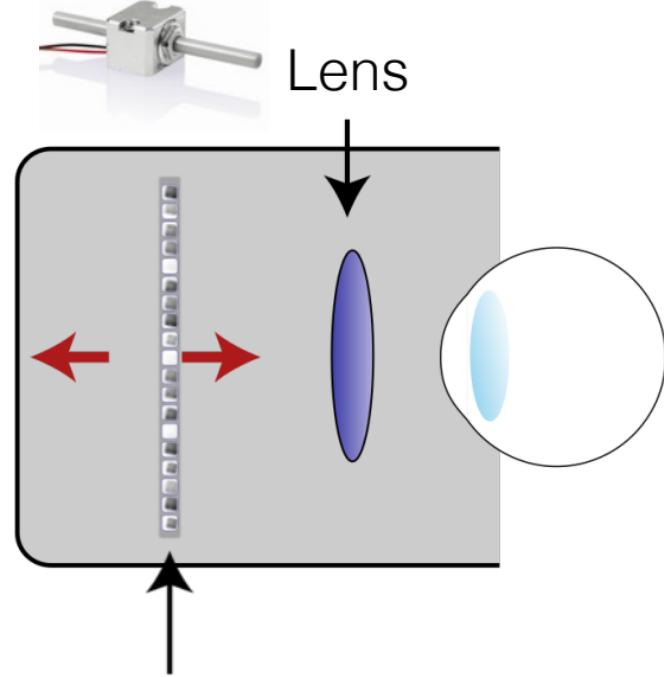


# Varifocal Displays

actuator → vary  $d'$



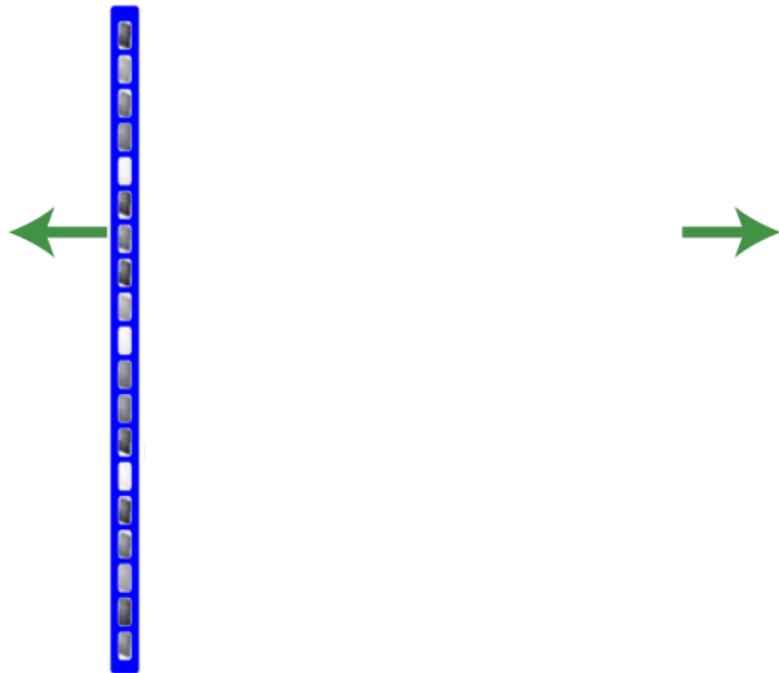
Magnified Display



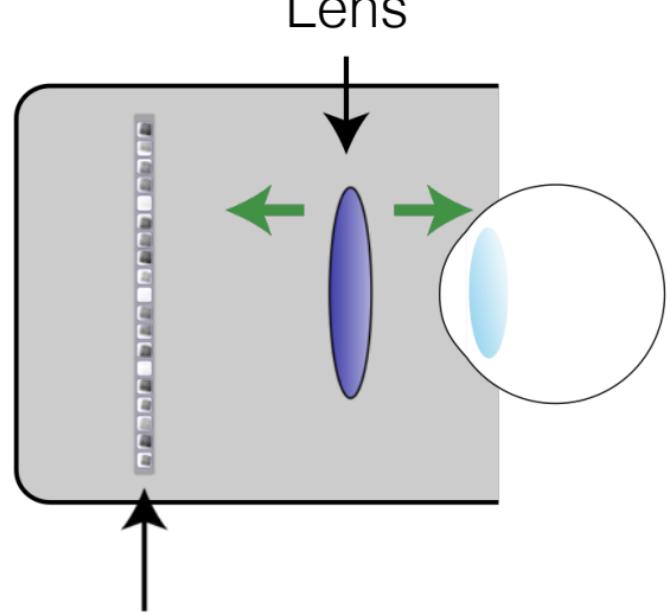
Display

$$\frac{1}{d} + \frac{1}{d'} = \frac{1}{f}$$

# Varifocal Displays



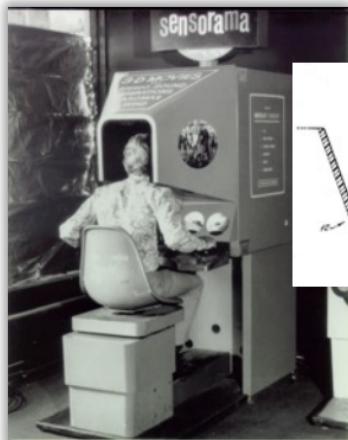
Magnified Display



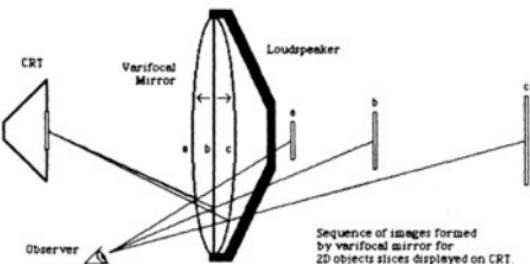
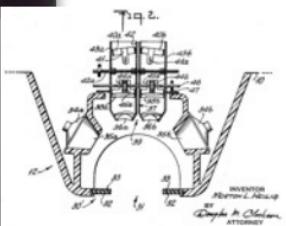
Display

$$\frac{1}{d} + \frac{1}{d'} = \frac{1}{f}$$

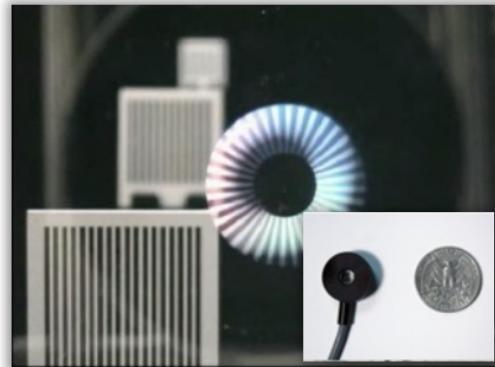
# Varifocal Displays - History



manual focus adjustment  
Heilig 1962

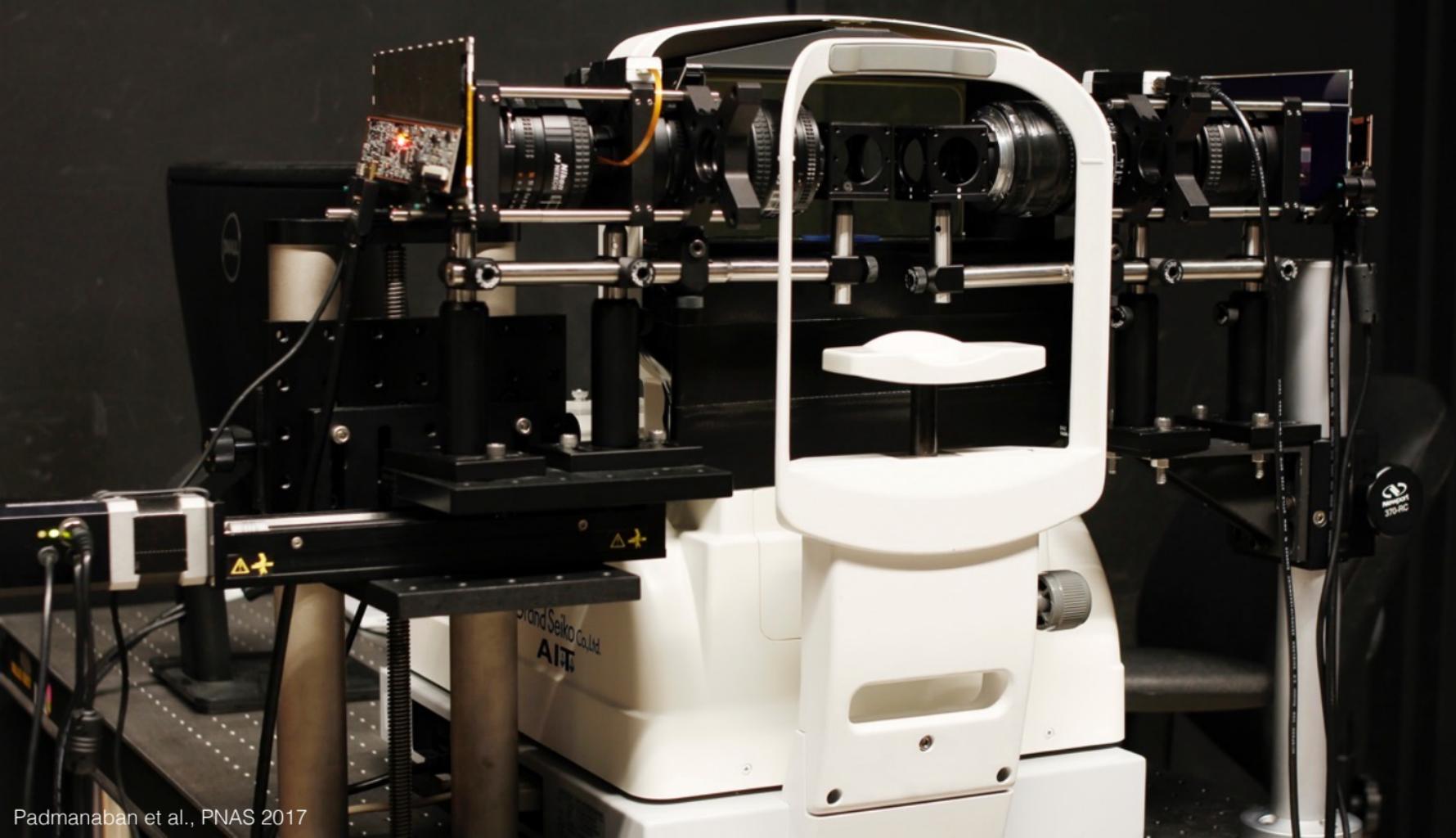


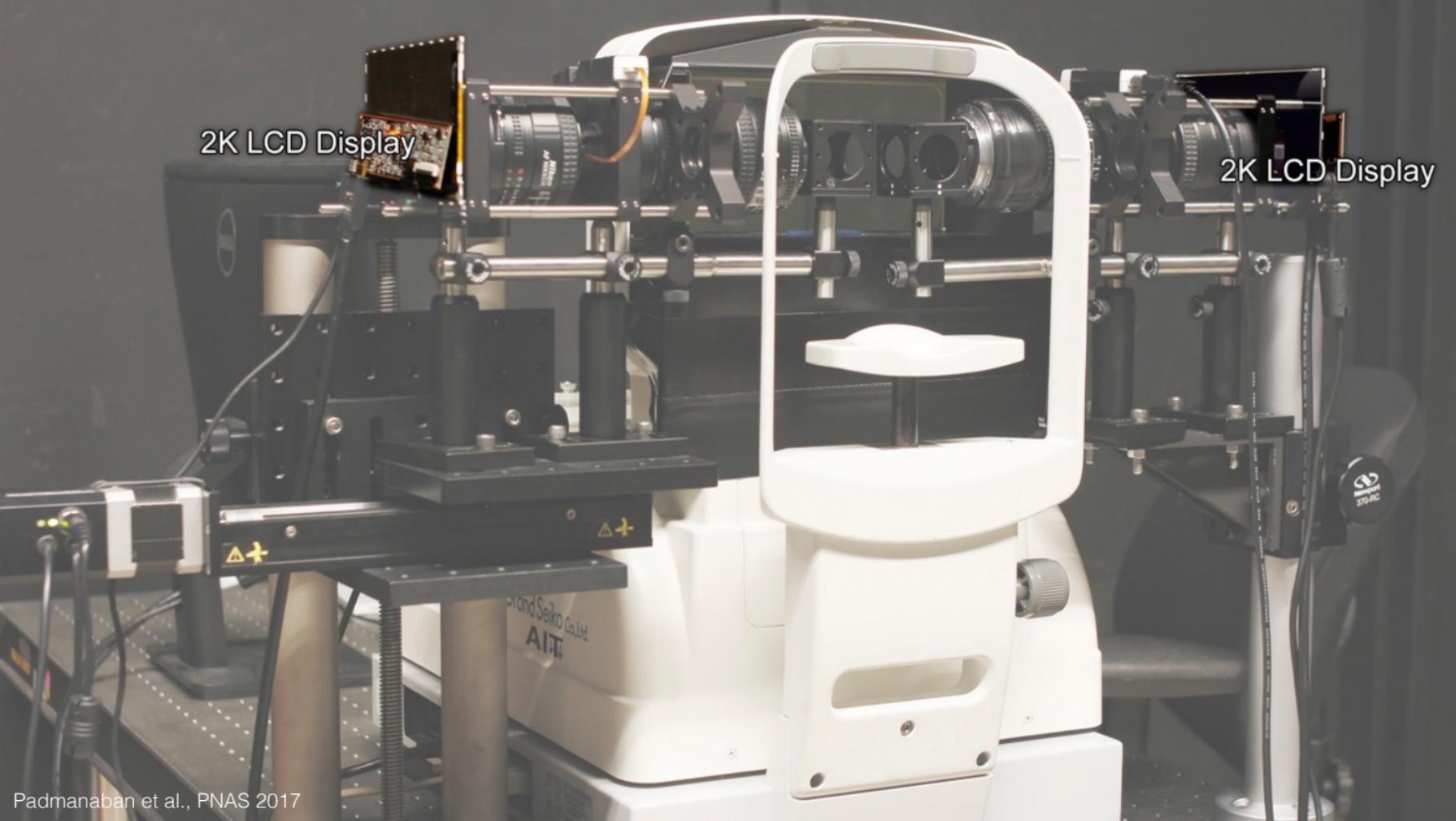
automatic focus adjustment  
Mills 1984



deformable mirrors & lenses  
McQuaide 2003, Liu 2008

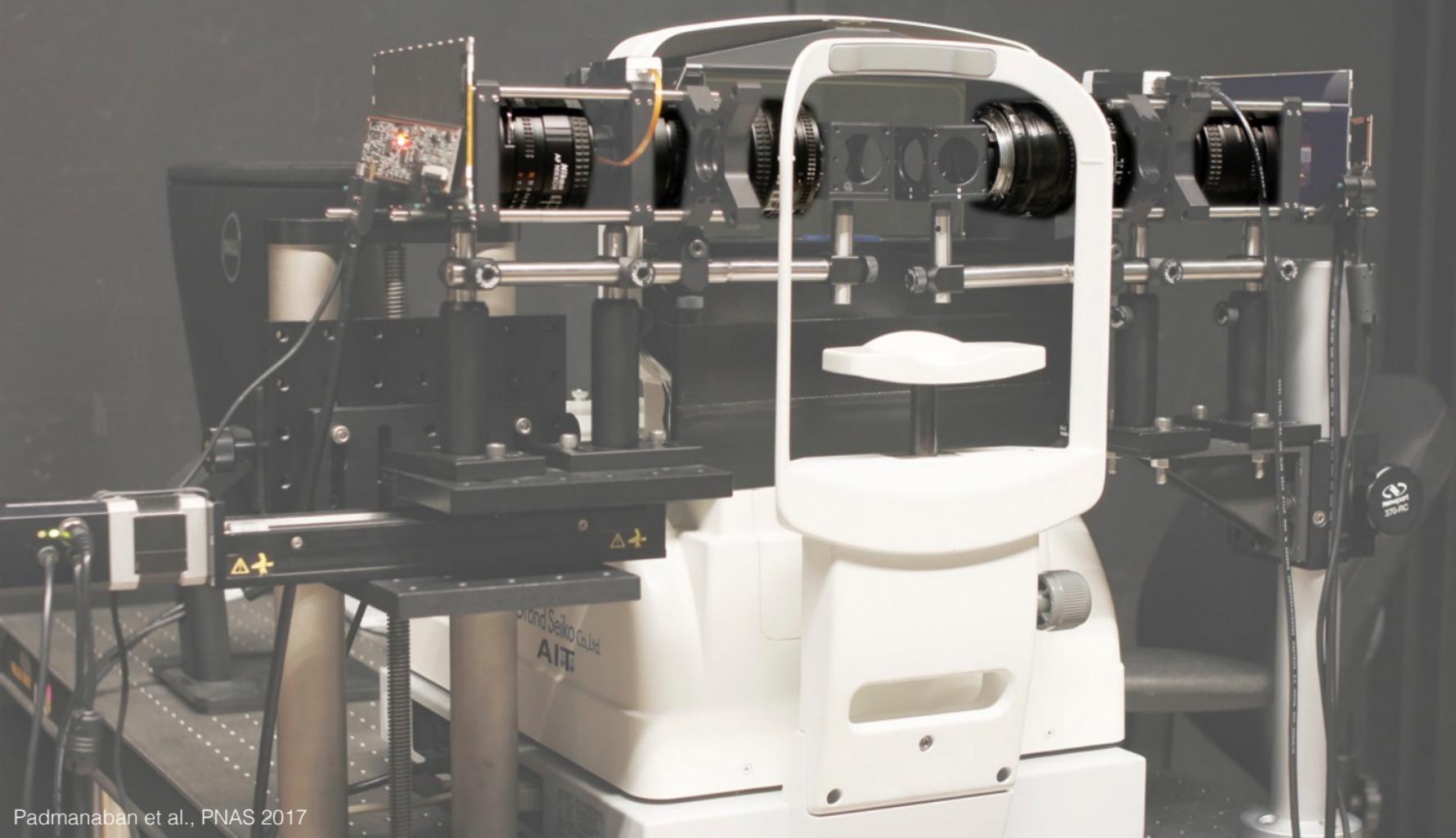
- M. Heilig "Sensorama", 1962 (US Patent #3,050,870)
- P. Mills, H. Fuchs, S. Pizer "High-Speed Interaction On A Vibrating-Mirror 3D Display", SPIE 0507 1984
- S. Shiwa, K. Omura, F. Kishino "Proposal for a 3-D display with accommodative compensation: 3DDAC", JSID 1996
- S. McQuaide, E. Seibel, J. Kelly, B. Schowengerdt, T. Furness "A retinal scanning display system that produces multiple focal planes with a deformable membrane mirror", Displays 2003
- S. Liu, D. Cheng, H. Hua "An optical see-through head mounted display with addressable focal planes", Proc. ISMAR 2008





2K LCD Display

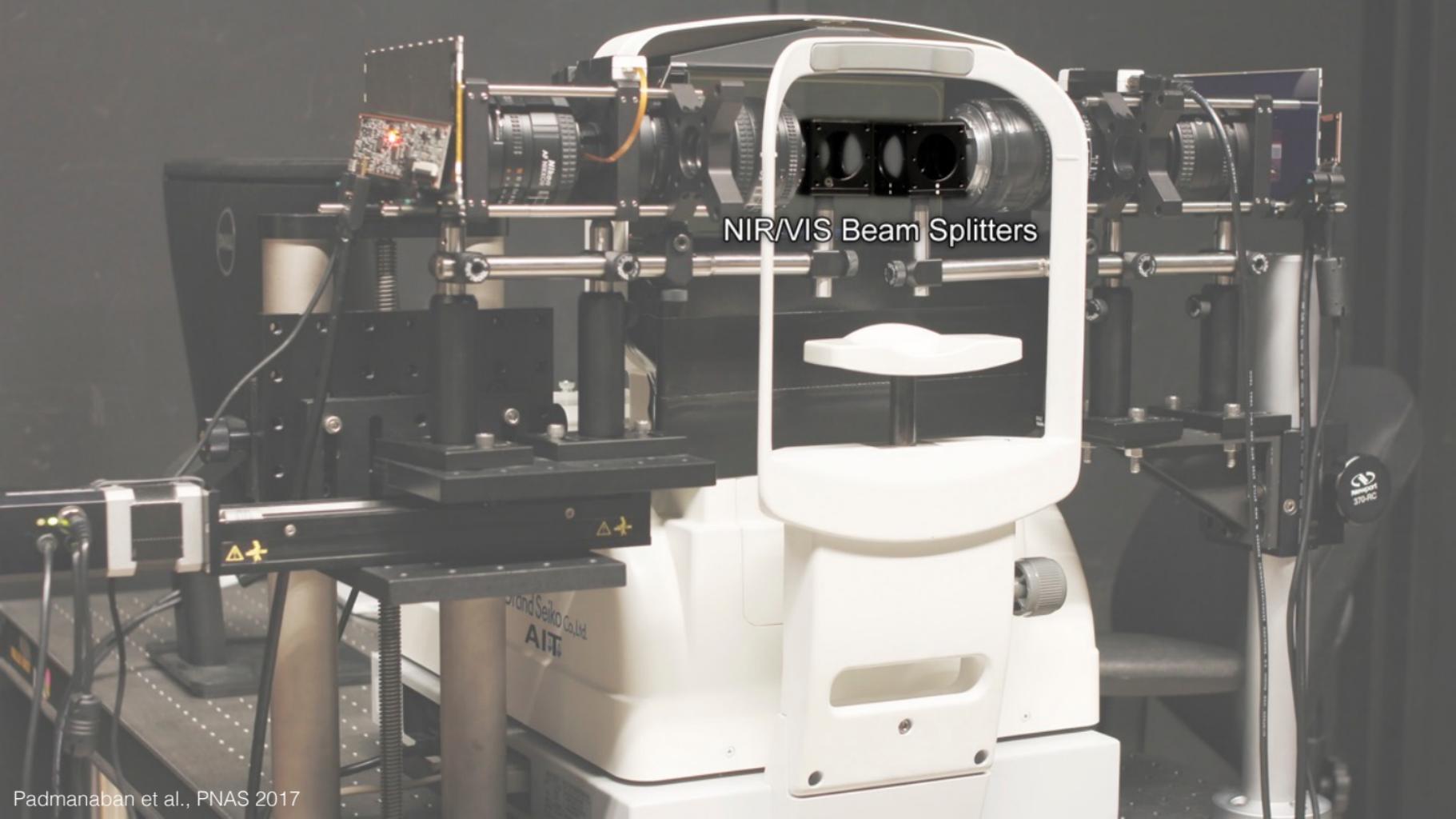
2K LCD Display





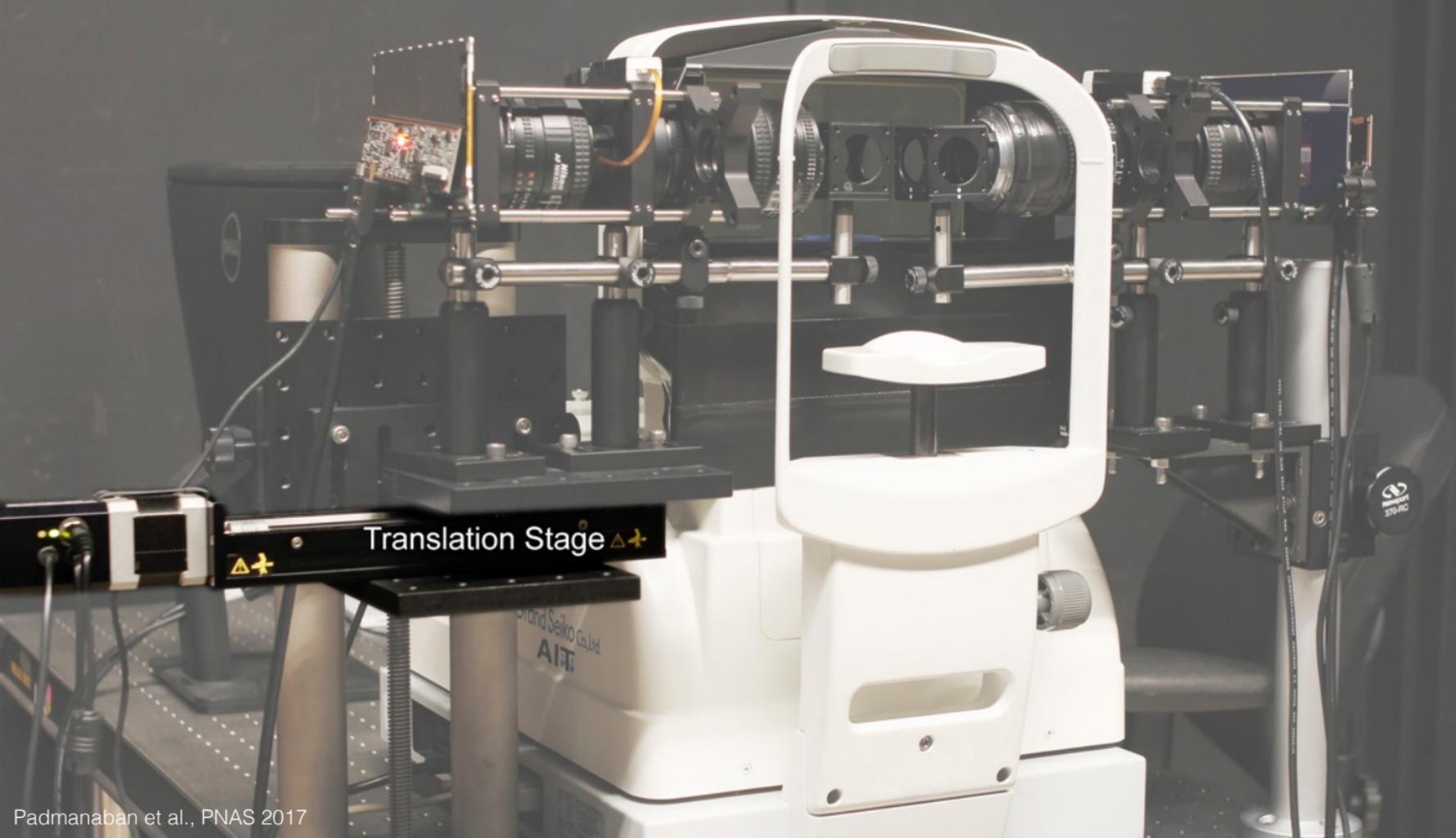
Focus-tunable Lens

Focus-tunable Lens



NIR/VIS Beam Splitters

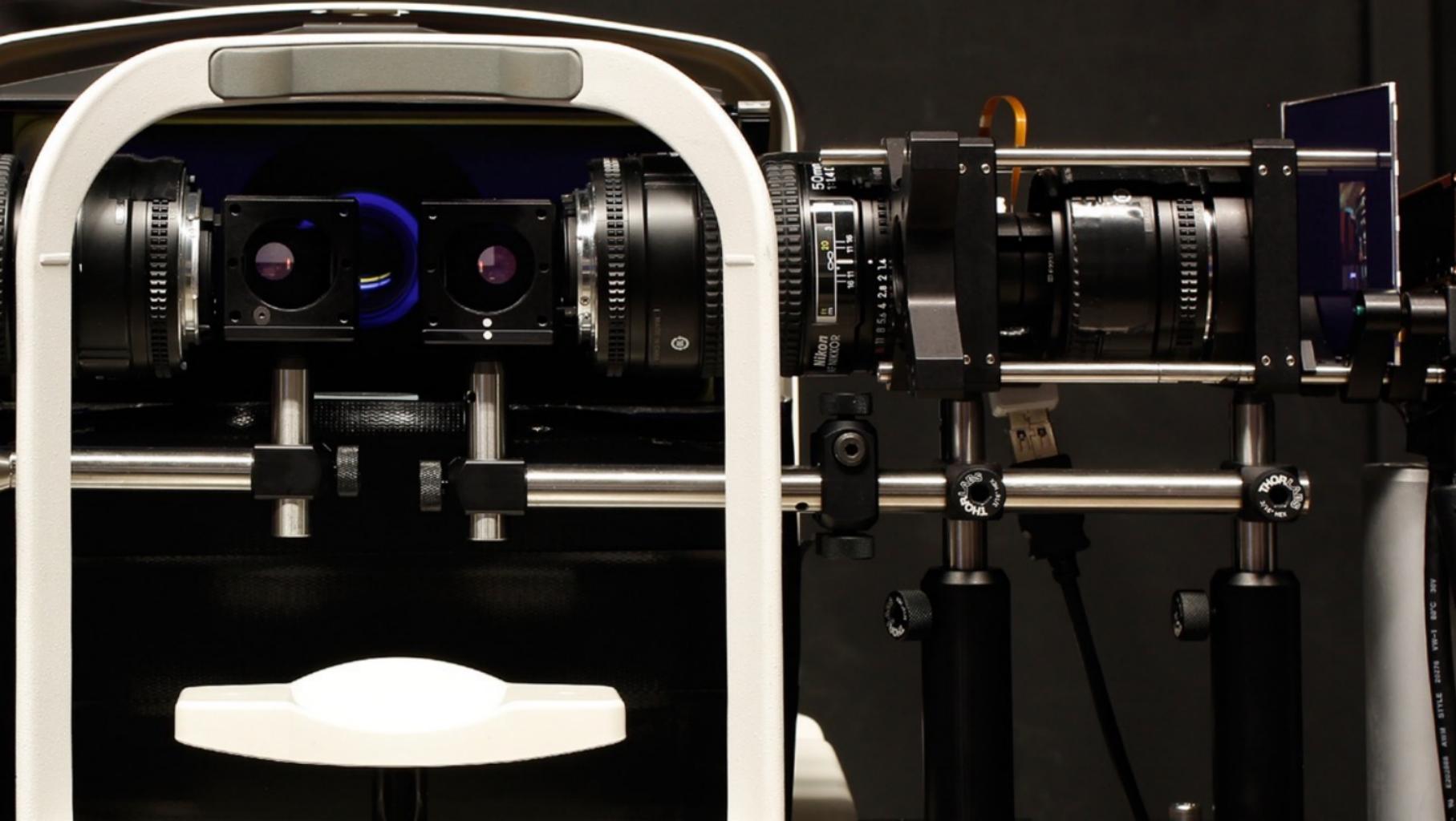
Seiko Co., Ltd.  
AIT



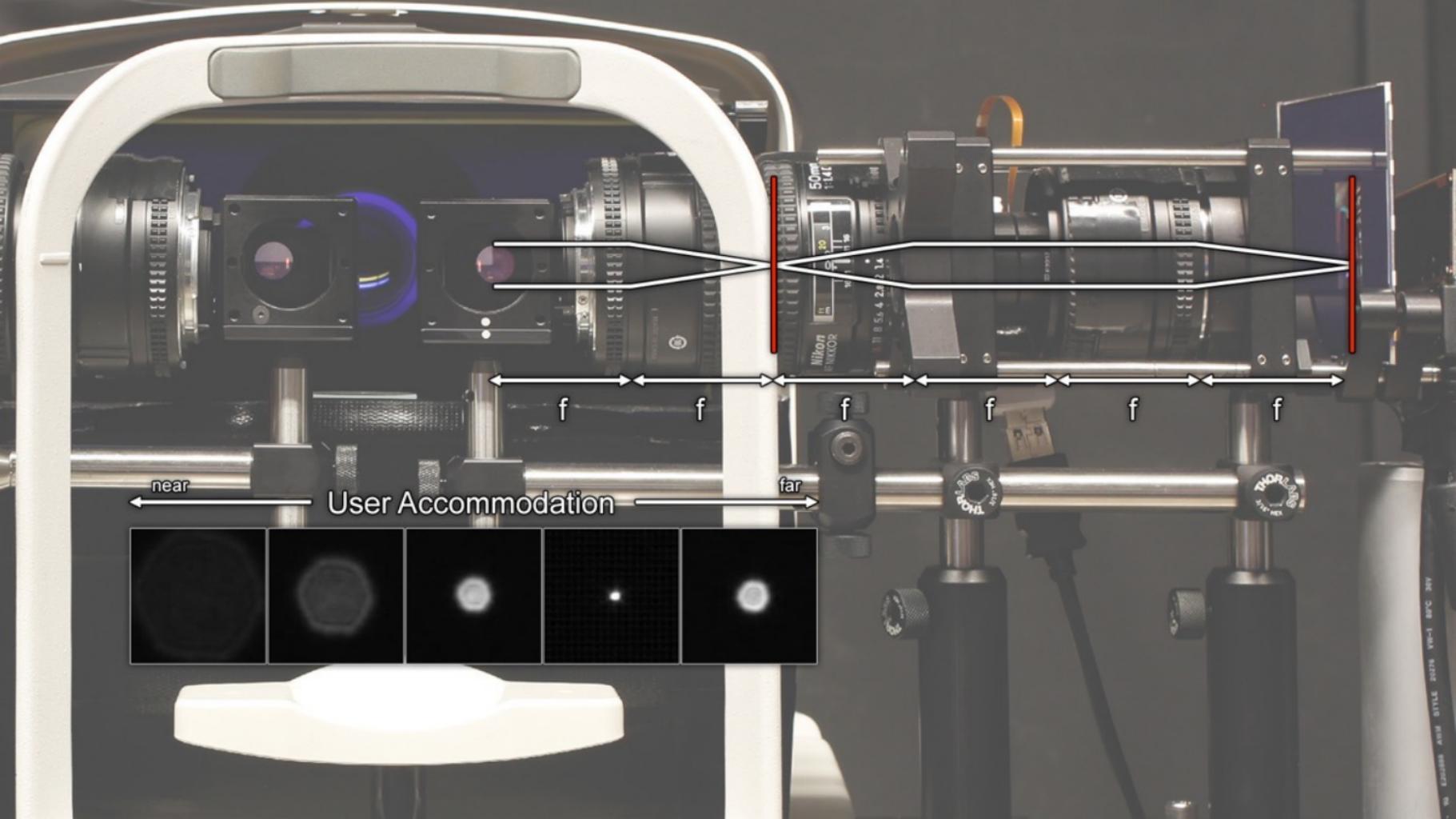
Translation Stage



Autorefractor



NIKON Z6 II 24-70MM VR-NIKKOR 50MM VR-NIKKOR 200-500MM VR-NIKKOR

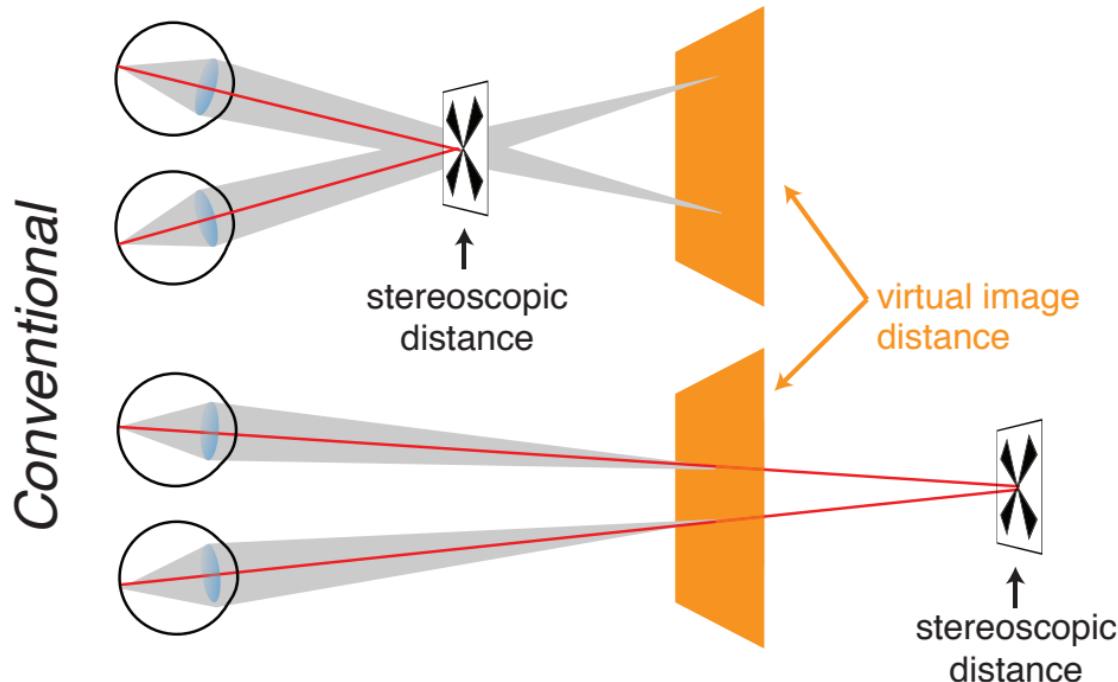




near

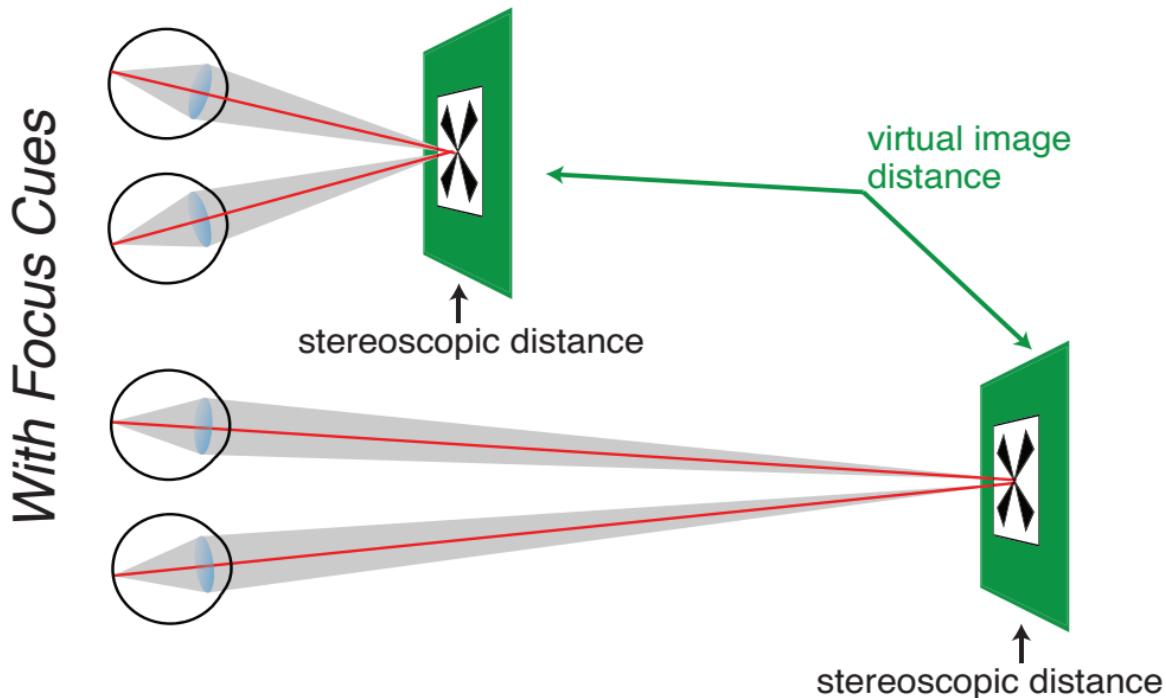
User Accommodation

# Conventional Stereo / VR Display



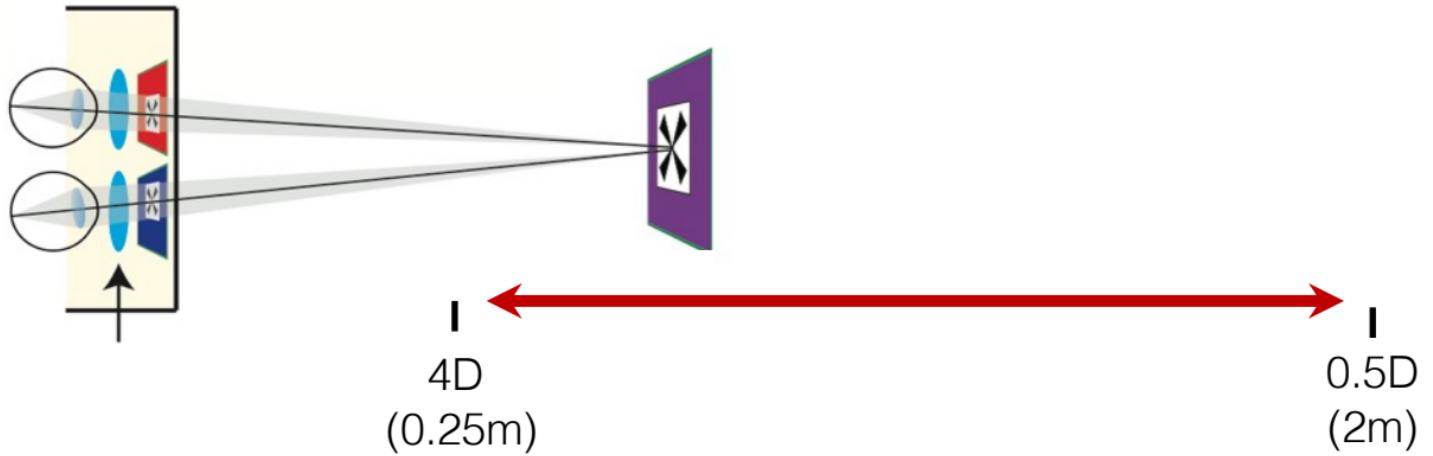
vergence  
accommodation

# Removing VAC with Varifocal Displays



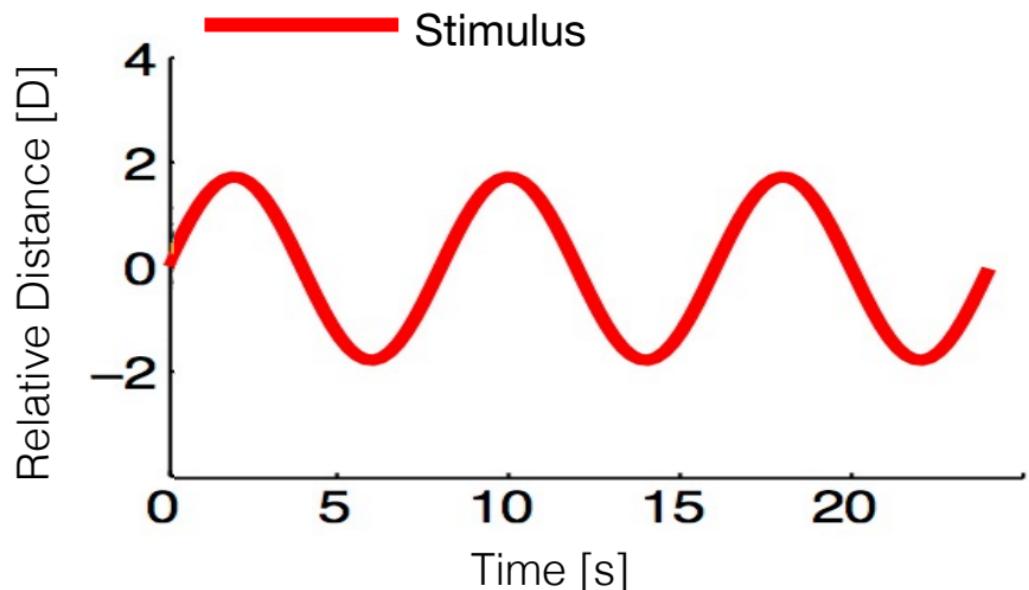
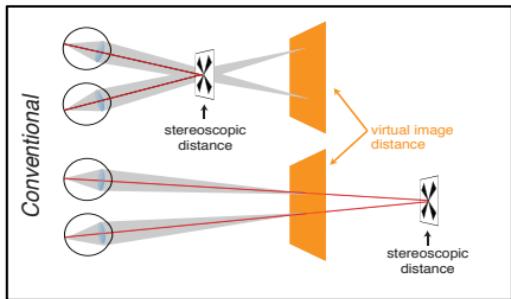
vergence  
accommodation

# Task

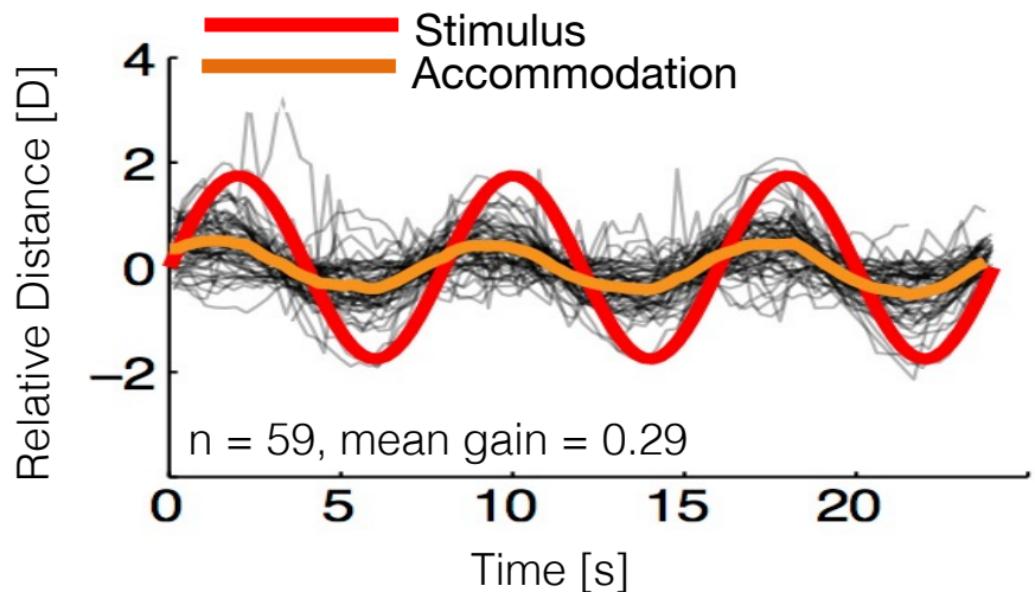
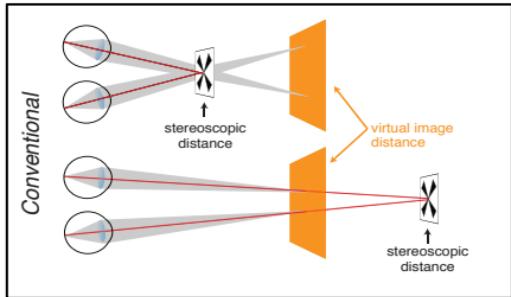


*Follow the target with your eyes*

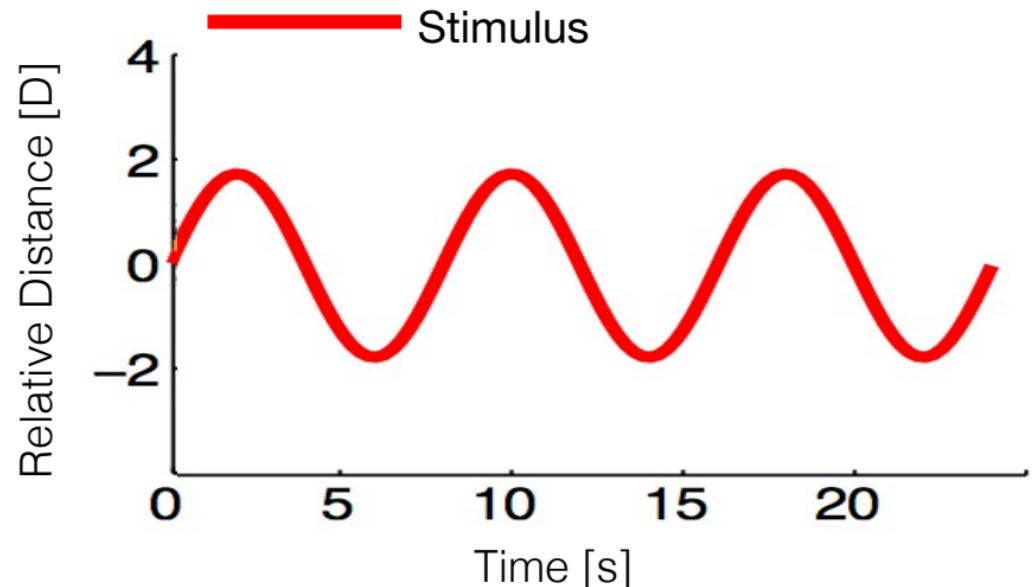
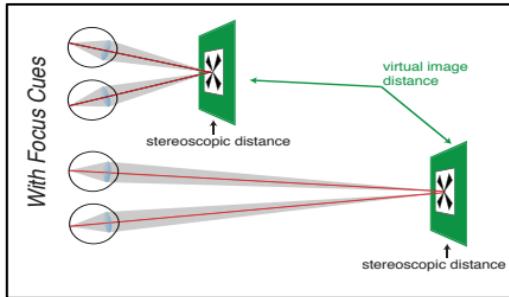
# Accommodative Response



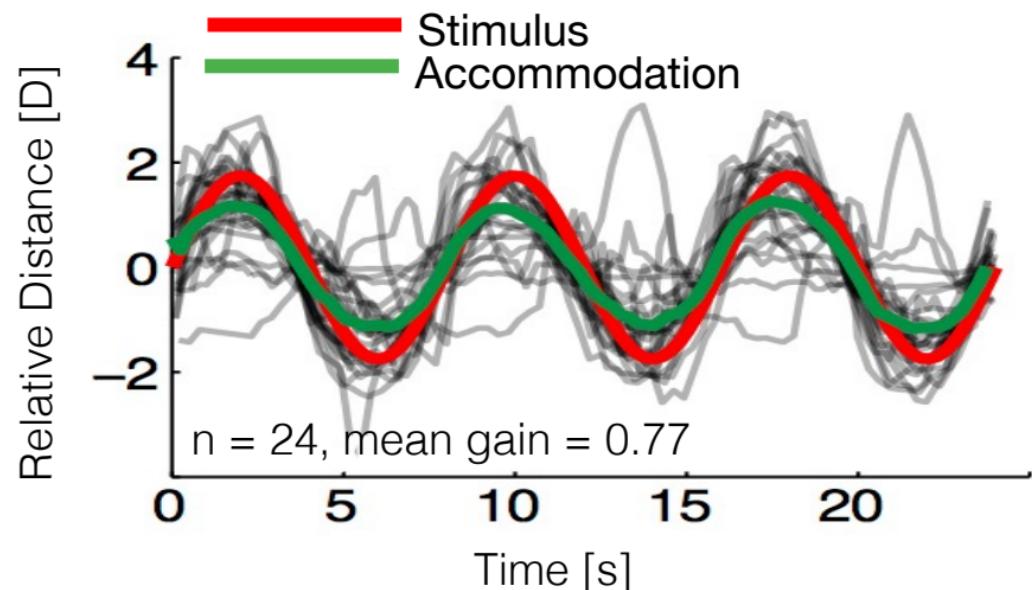
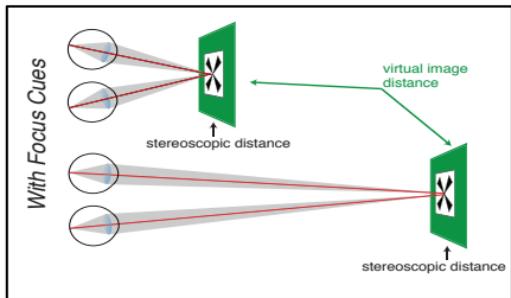
# Accommodative Response



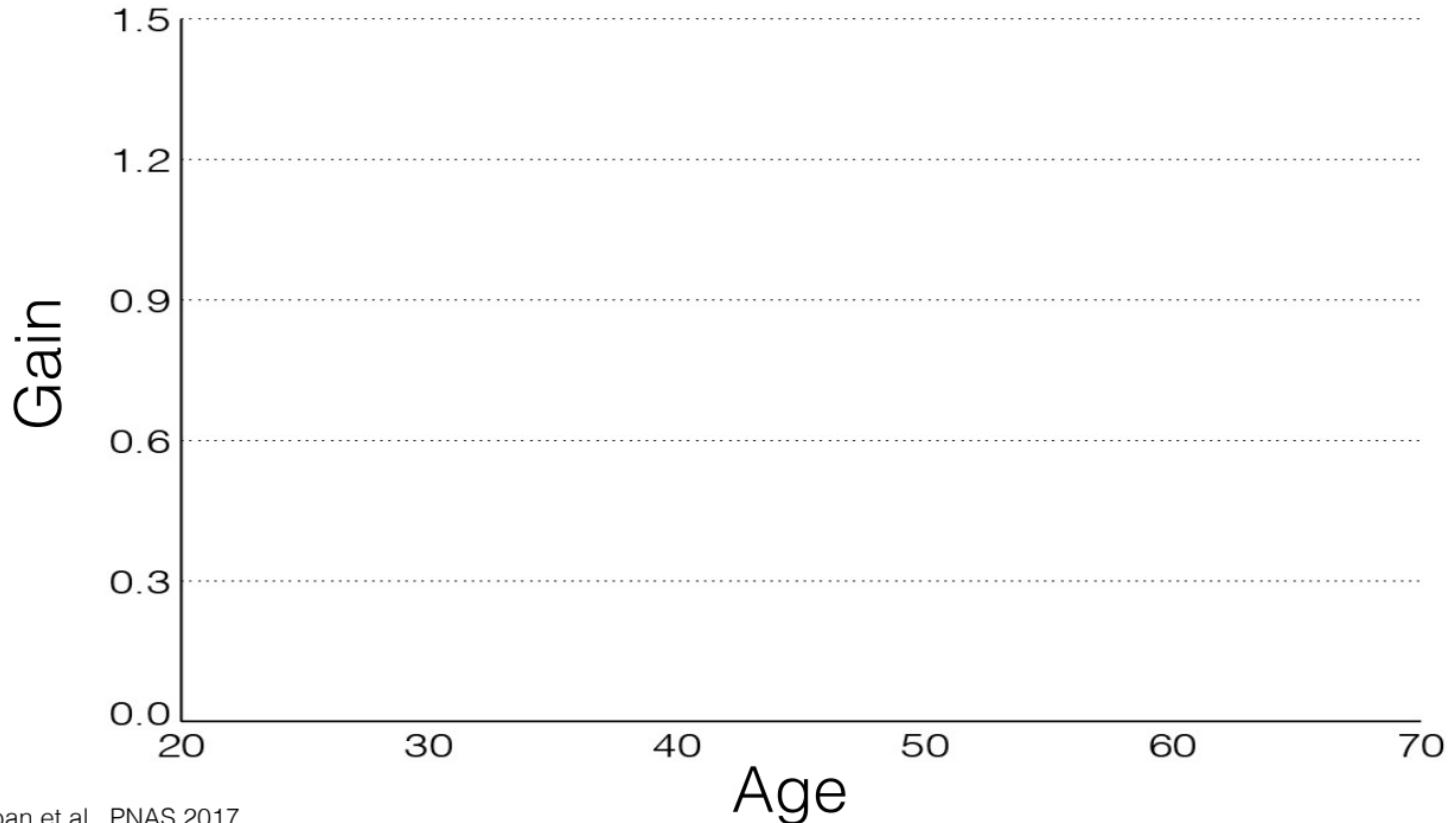
# Accommodative Response



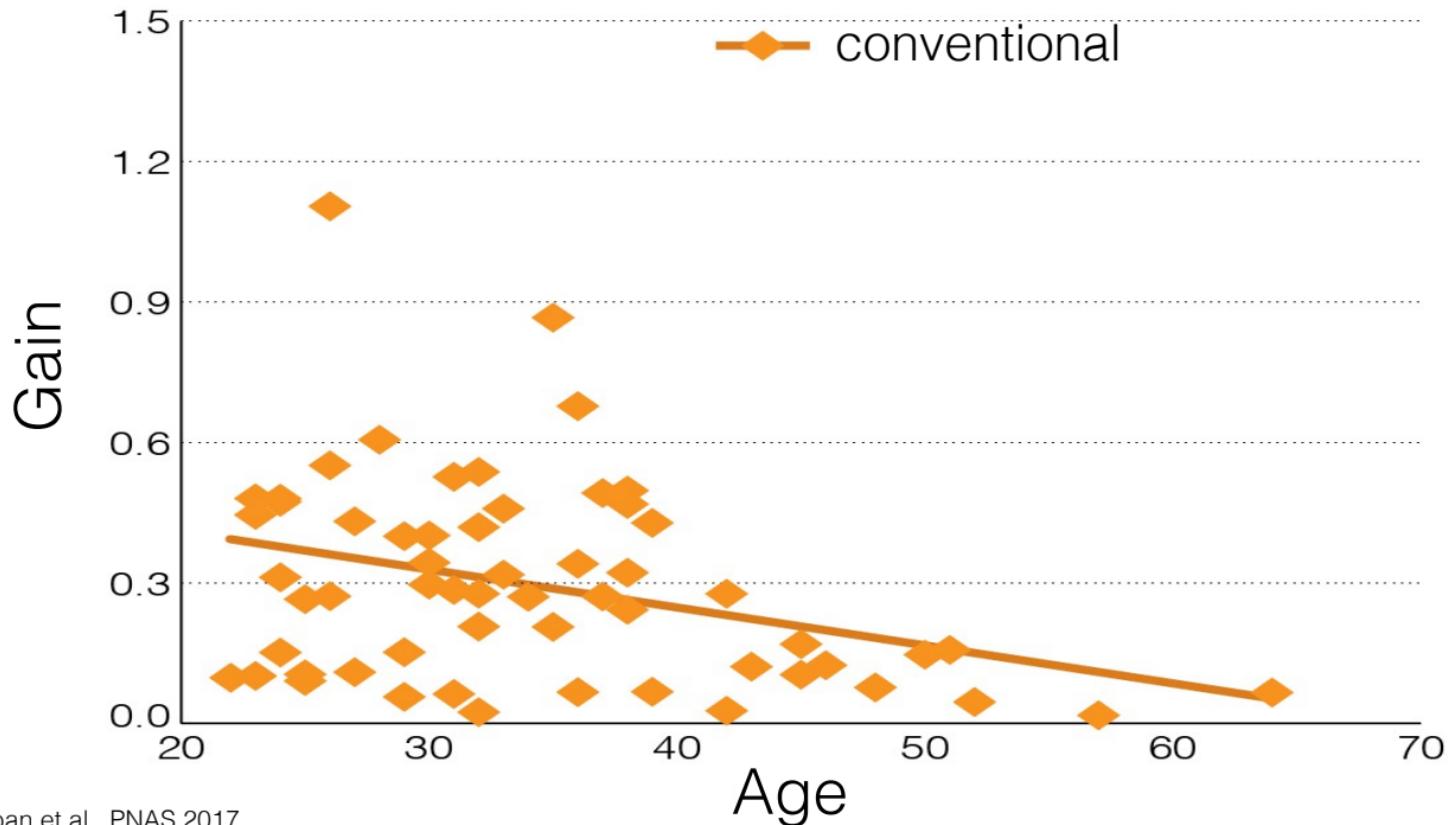
# Accommodative Response



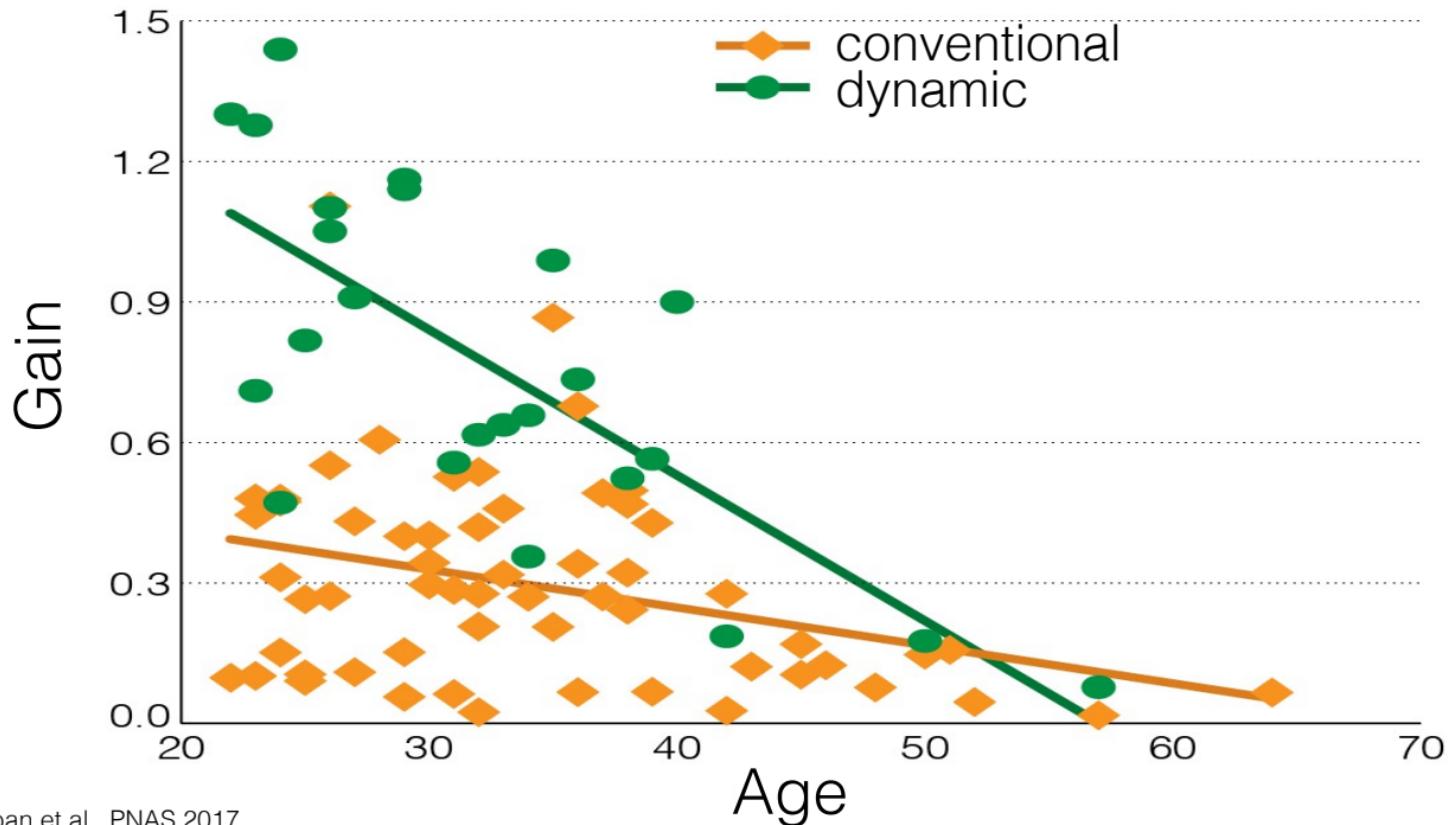
# Do Presbyopes Benefit from Dynamic Focus?



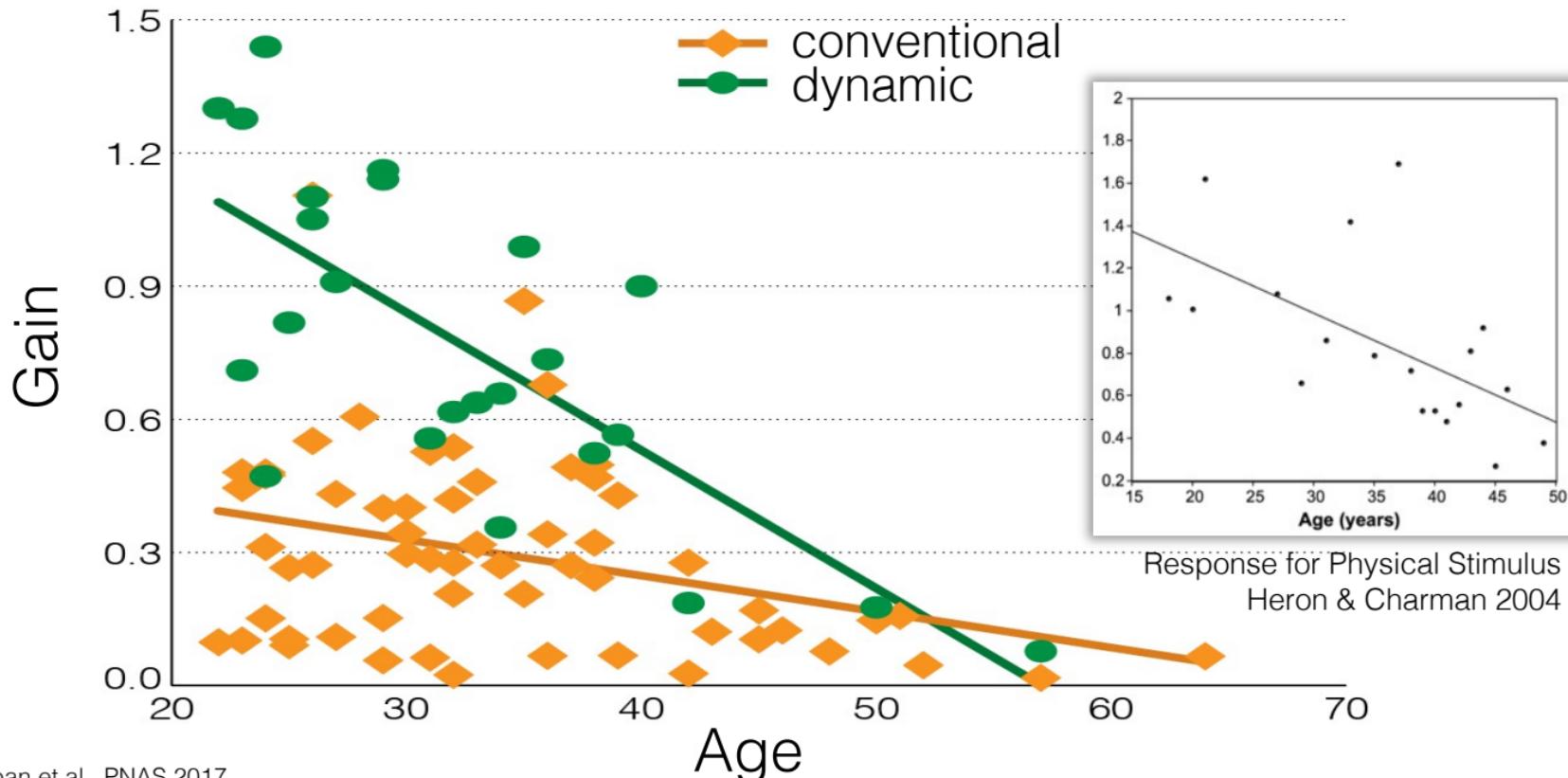
# Do Presbyopes Benefit from Dynamic Focus?



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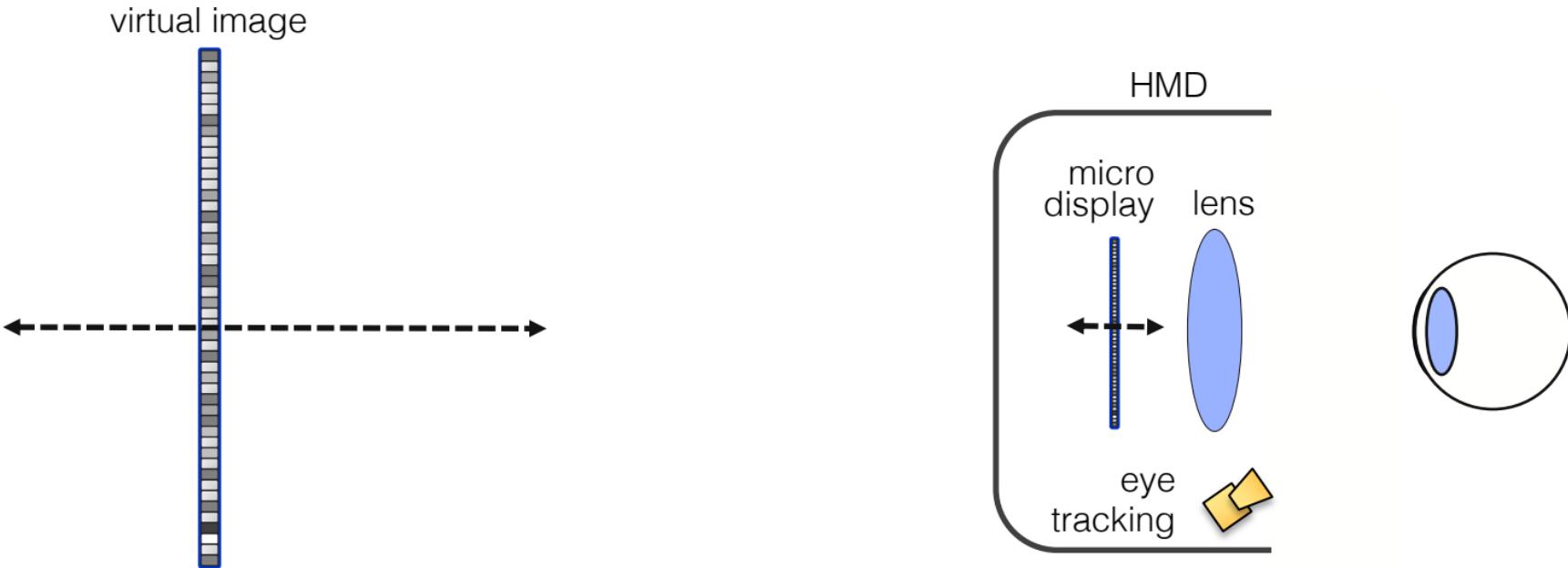


# Do Presbyopes Benefit from Dynamic Focus?

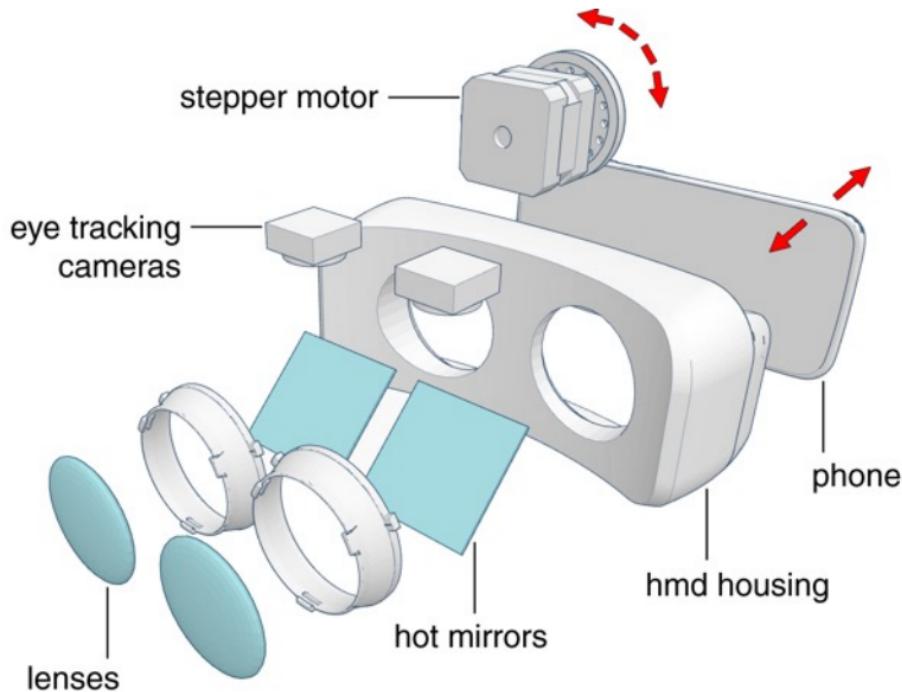


# Gaze-contingent Varifocal Displays

- non-presbyopes: adaptive focus is like real world, but needs eye tracking!



# Gaze-contingent Varifocal Displays



# Gaze-contingent Varifocal Displays



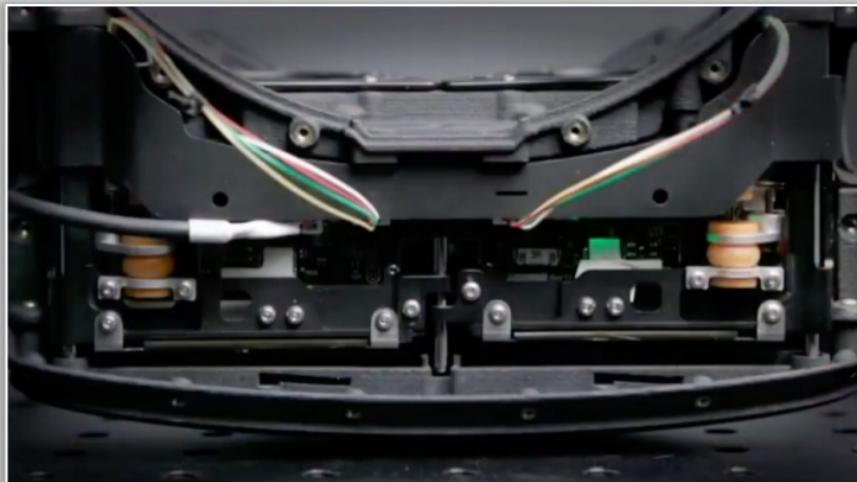
# Gaze-contingent Varifocal Displays





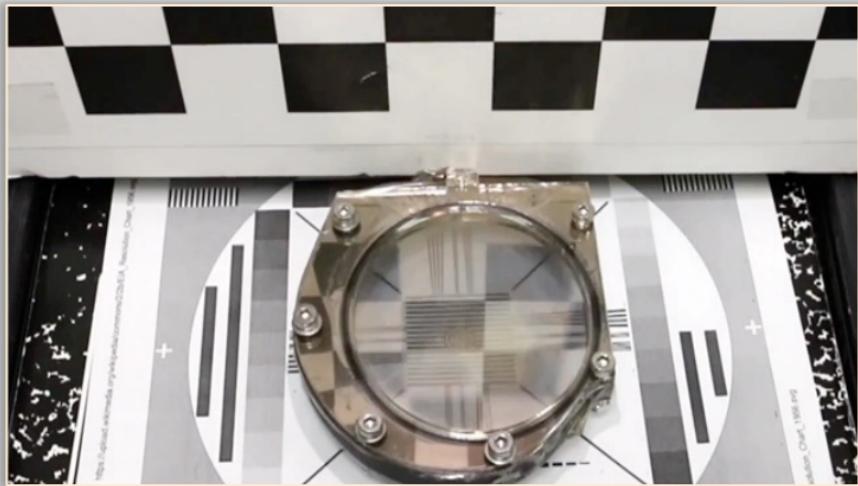
at ACM SIGGRAPH 2016

# Oculus Half Dome Prototype



Oculus announces gaze-contingent varifocal display at F8, 05/2018

# Varifocal AR Displays



Dunn et al. “Wide Field of View Varifocal Near-Eye Display using See-through Deformable Membrane Mirrors”, IEEE TVCG 2017

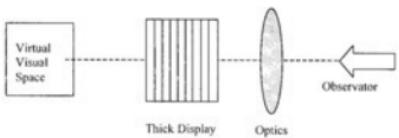
# Summary

- adaptive focus drives accommodation and can also correct for refractive errors (myopia, hyperopia)
- gaze-contingent focus gives natural focus cues for non-presbyopes, but require eyes tracking
- presbyopes require fixed focal plane with correction

# VR Displays with Focus Cues

## 2. Multiplane Displays

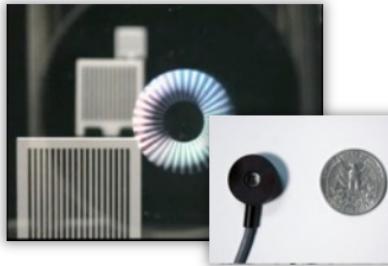
# Multiplane VR Displays



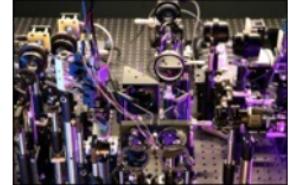
idea introduced  
Rolland et al. 2000



benchtop prototype  
Akeley 2004



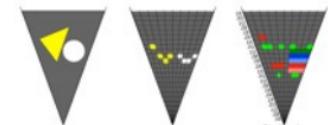
near-eye display prototype  
Liu 2008, Love 2009



Mercier et al. 2017



Chang et al. 2018



Rathinavel et al. 2018

- Rolland J, Krueger M, Goon A (2000) Multifocal planes head-mounted displays. *Applied Optics* 39
- Akeley K, Watt S, Girshick A, Banks M (2004) A stereo display prototype with multiple focal distances. *ACM Trans. Graph. (SIGGRAPH)*
- Waldkirch M, Lukowicz P, Tröster G (2004) Multiple imaging technique for extending depth of focus in retinal displays. *Optics Express*
- Schowengerdt B, Seibel E (2006) True 3-d scanned voxel displays using single or multiple light sources. *JSID*
- Liu S, Cheng D, Hua H (2008) An optical see-through head mounted display with addressable focal planes in *Proc. ISMAR*
- Love GD et al. (2009) High-speed switchable lens enables the development of a volumetric stereoscopic display. *Optics Express*
- ... many more ...

# Challenges of Multiplane VR Displays

- when implemented with focus-tunable optics & time-multiplexing in VR: *flicker*
- when implemented with multiple optically overlaid microdisplays in VR or multiple waveguides in AR: *system complexity and calibration*
- multifocal plane displays require image focal plane decomposition – computationally expensive
- decompositions are sensitive to eye position – also need eye tracking, so why not just do varifocal?

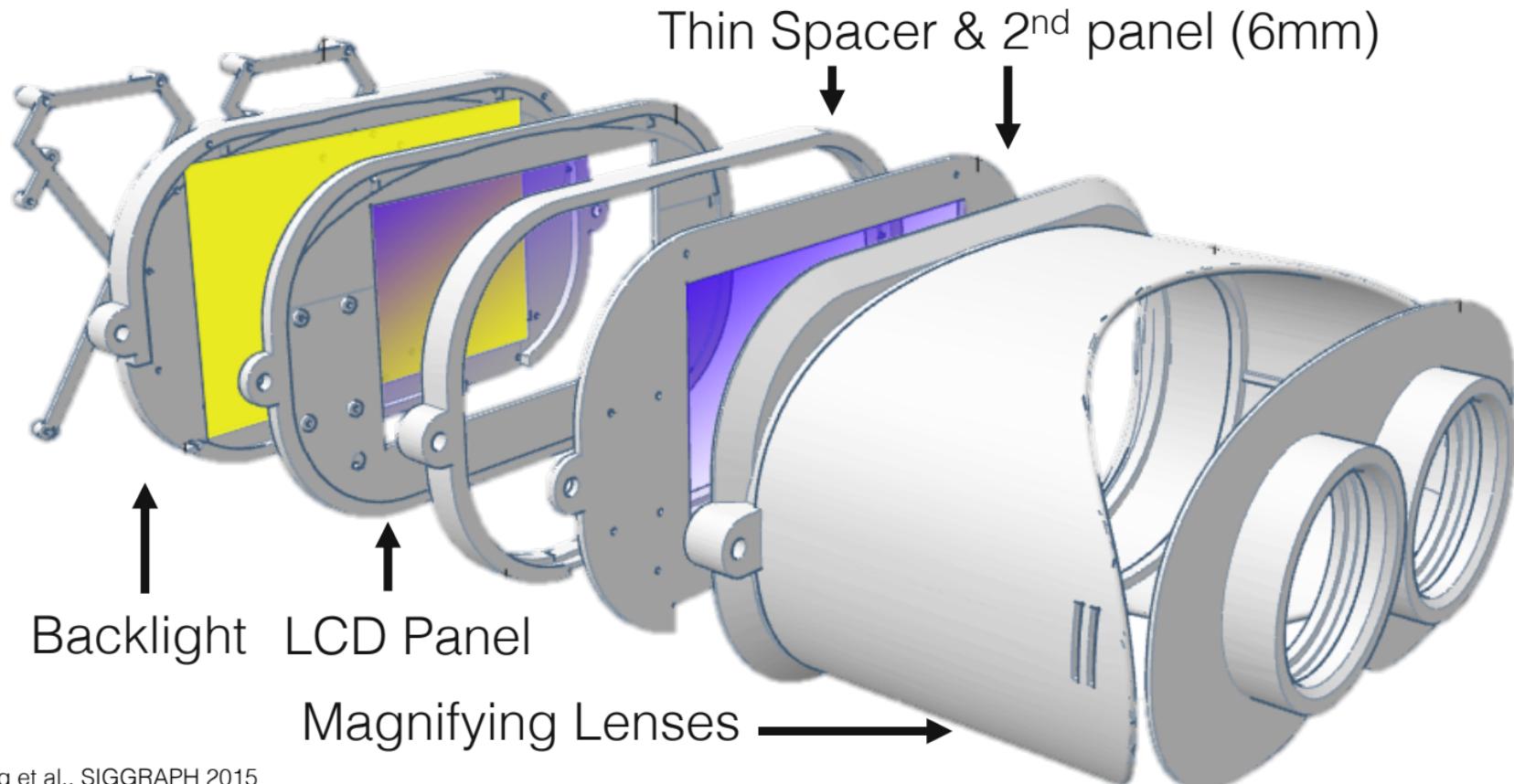
# VR Displays with Focus Cues

## 3. Light Field Displays

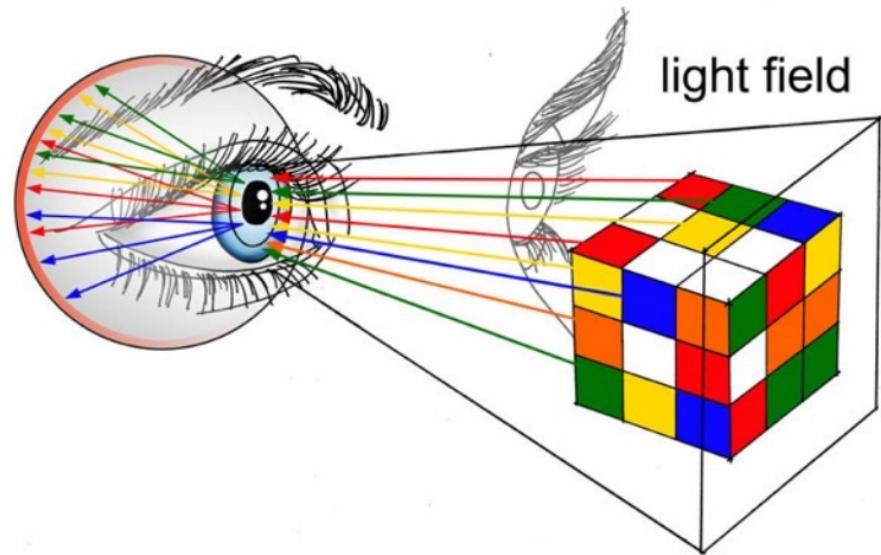
# Light Field Stereoscope



# Light Field Stereoscope



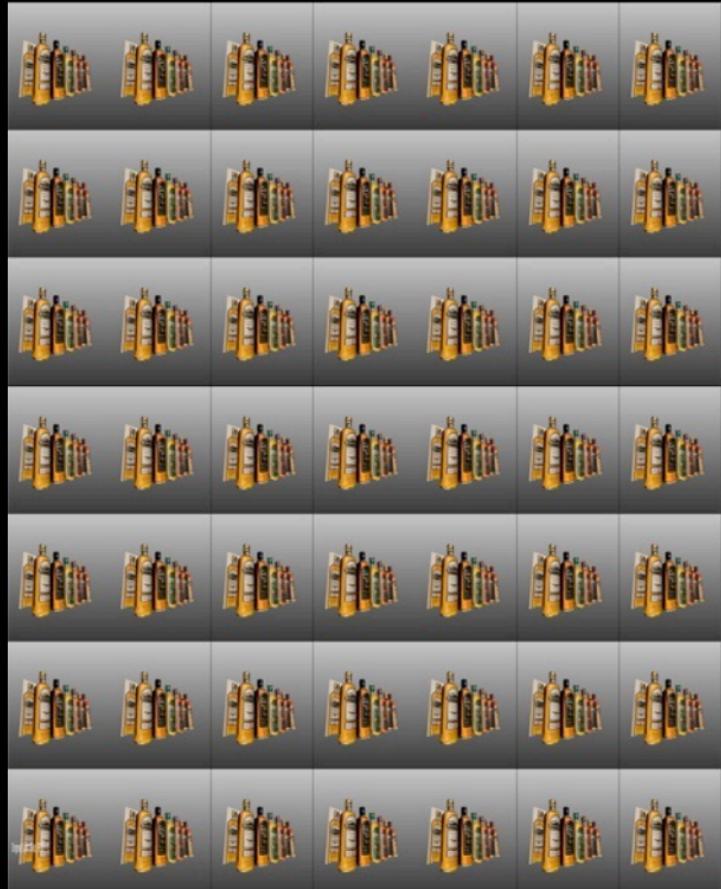
# Near-eye Light Field Displays



Idea: project multiple different perspectives into different parts of the pupil!

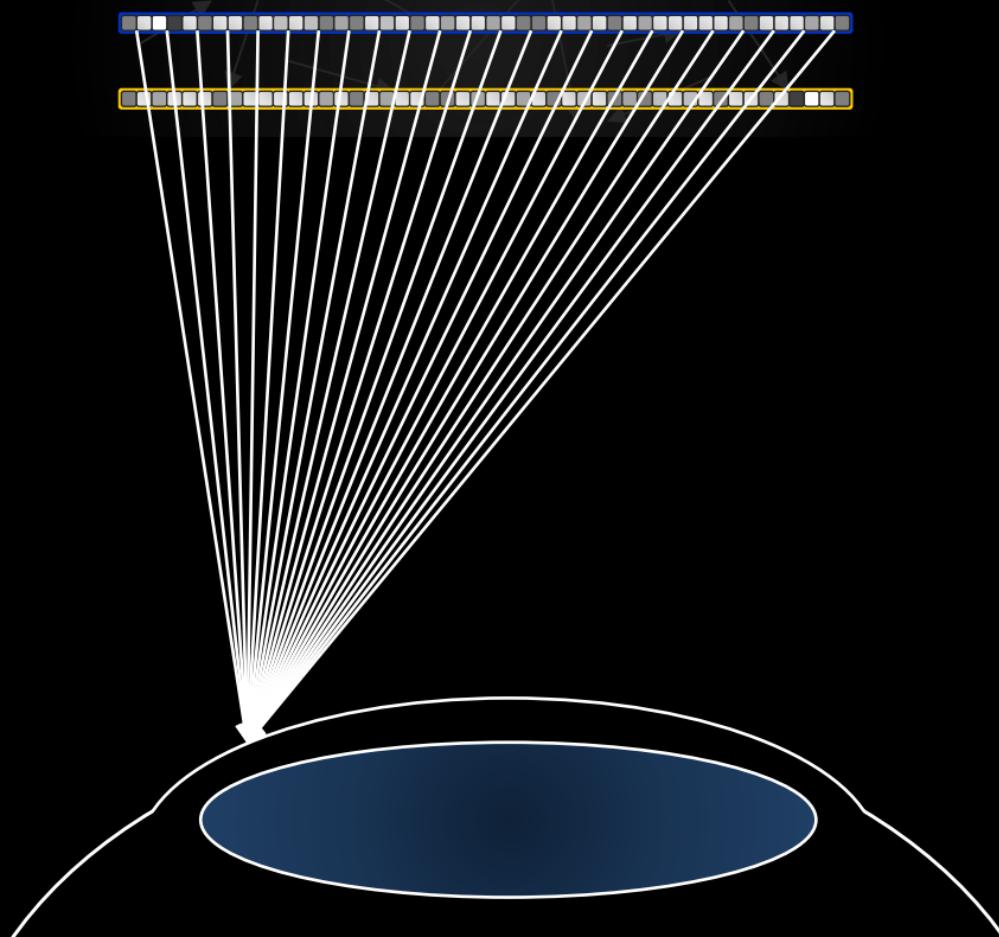
Target Light Field

Input: 4D light field for each eye

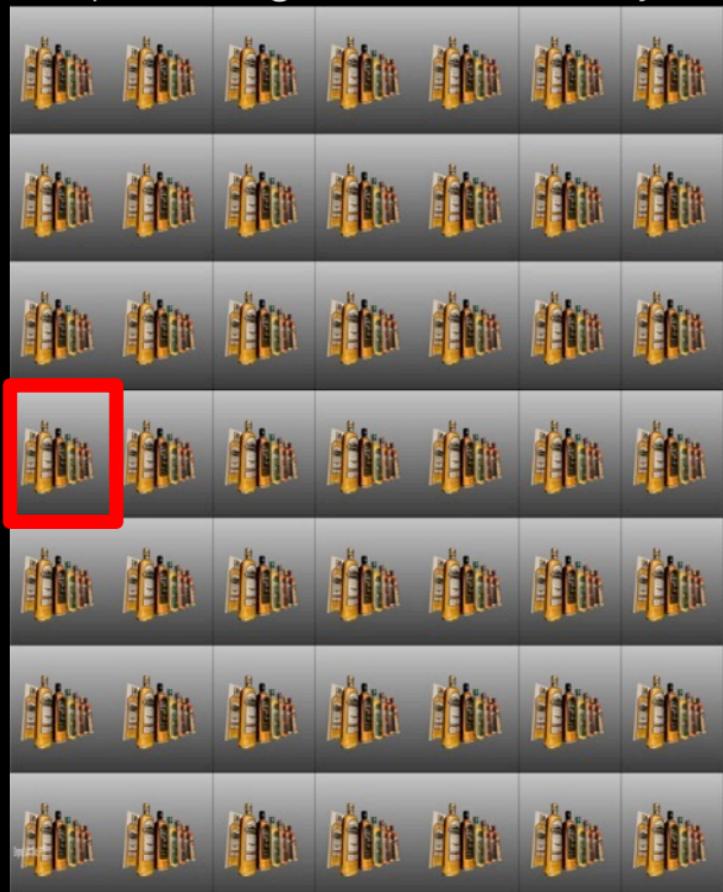


Model Courtesy of Bushmills Irish Whiskey

## Multiplicative Two-layer Modulation

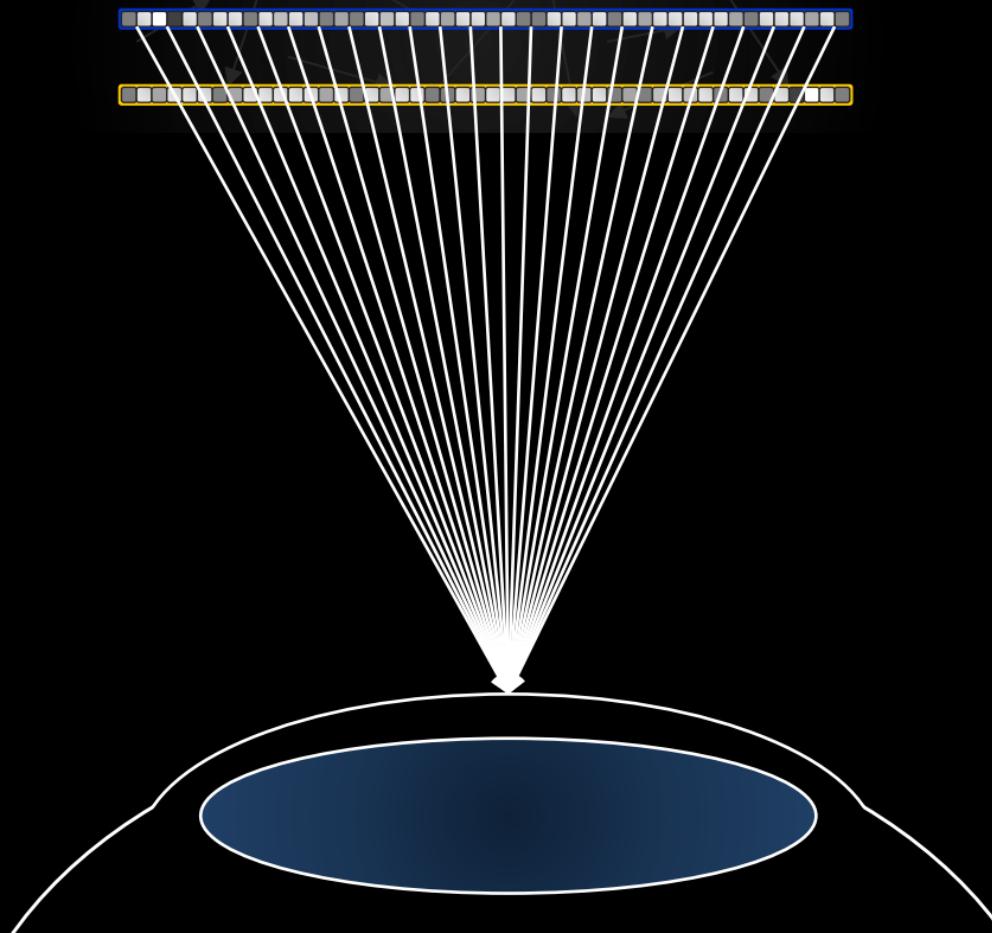


Input: 4D light field for each eye

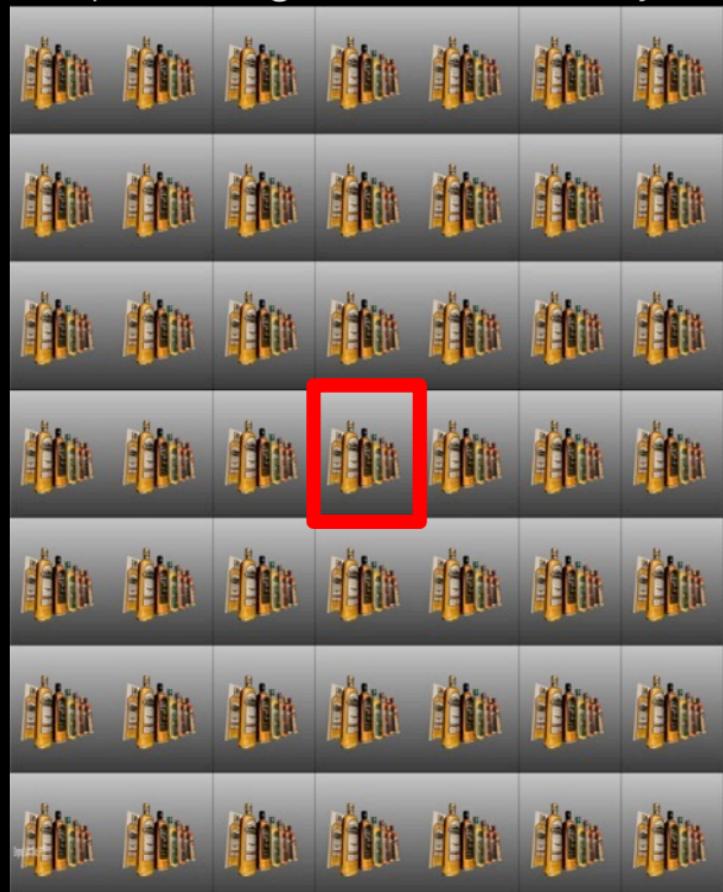


Model Courtesy of Bushmills Irish Whiskey

Multiplicative Two-layer Modulation

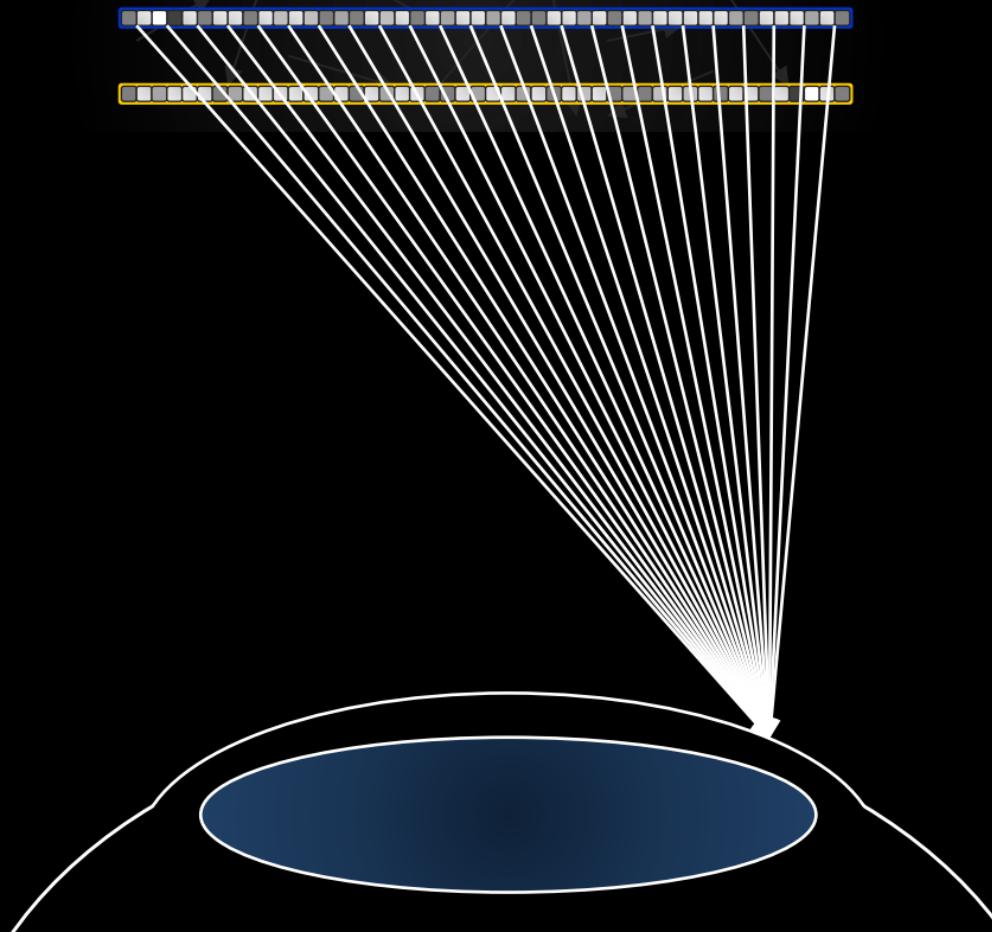


Input: 4D light field for each eye

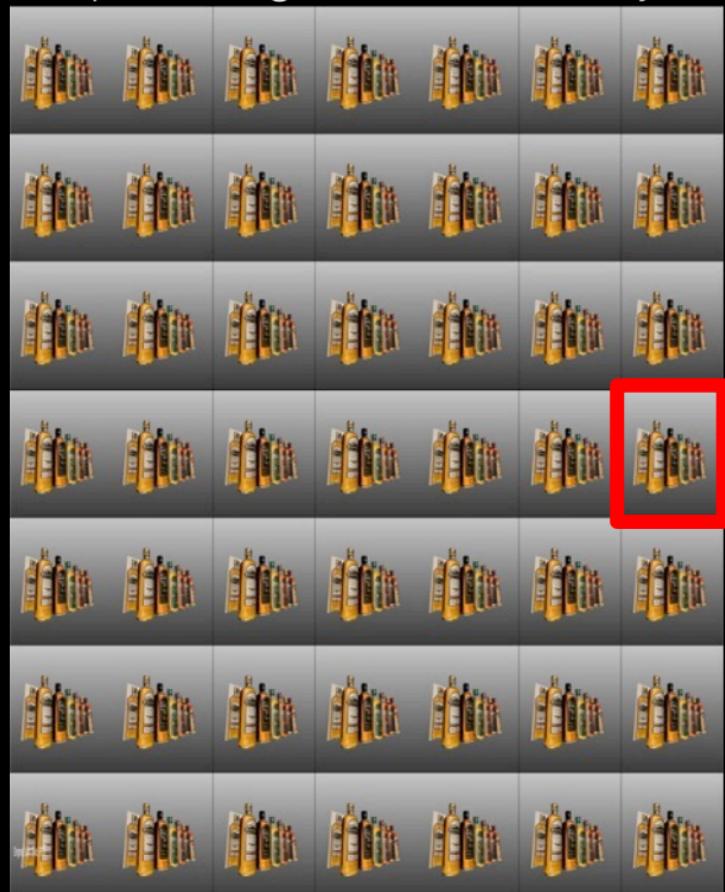


Model Courtesy of Bushmills Irish Whiskey

## Multiplicative Two-layer Modulation

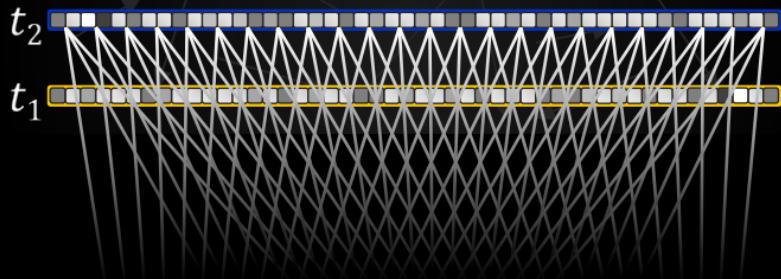


Input: 4D light field for each eye



Model Courtesy of Bushmills Irish Whiskey

## Multiplicative Two-layer Modulation

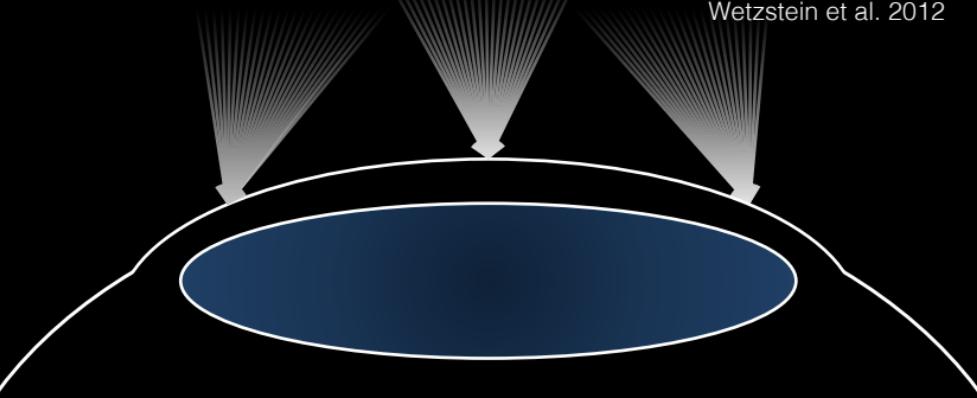


$$\underset{\{t_1, t_2\}}{\text{minimize}} \|\beta l - (\phi_1 t_1) o (\phi_2 t_2)\|^2 \\ \text{s.t. } 0 \leq t_1, t_2 \leq 1$$

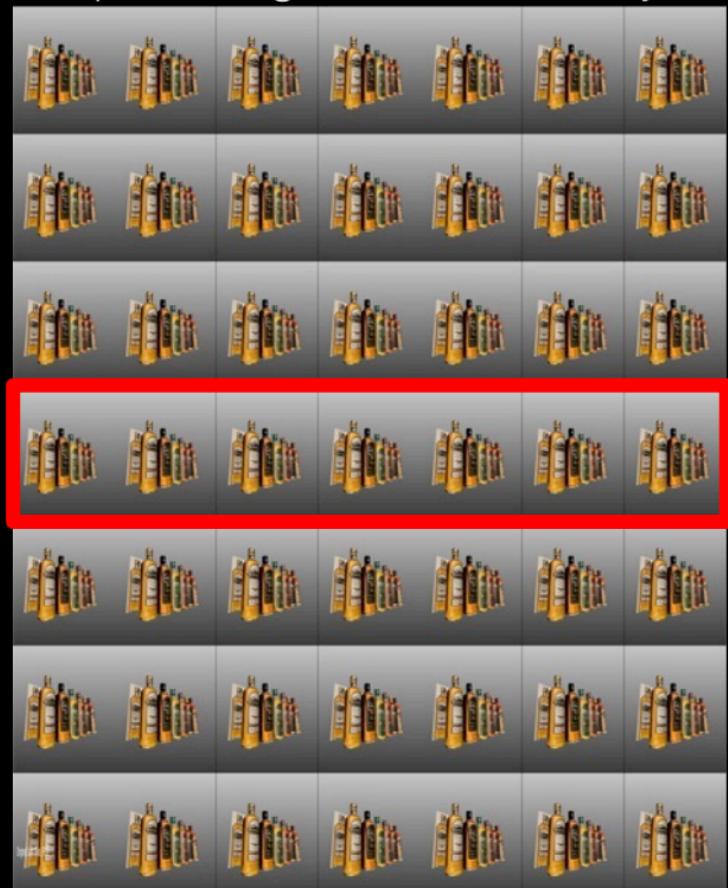
Reconstruction:

$$t_1 \leftarrow t_1 o \frac{\phi_1^T (\beta l o (\phi_2 t_2))}{\phi_1^T (\tilde{l} o (\phi_2 t_2)) + \epsilon} \quad \text{for layer } t_1$$

Tensor Displays,  
Wetzstein et al. 2012

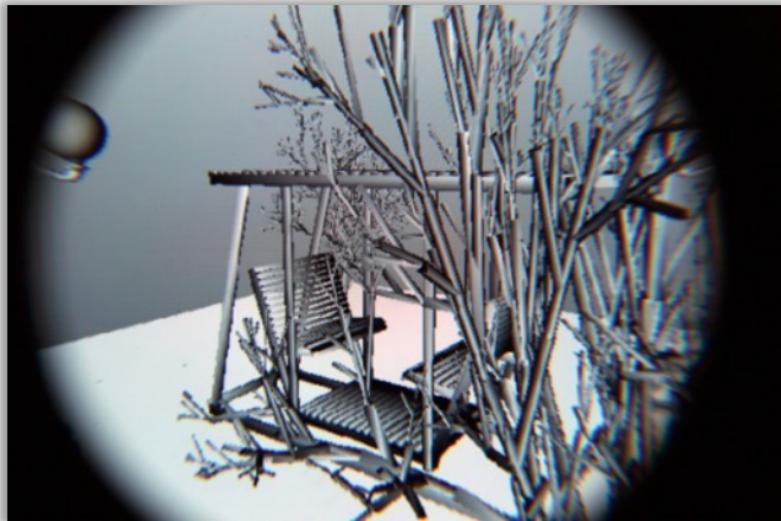


Input: 4D light field for each eye



Model Courtesy of Bushmills Irish Whiskey

# Light Field Stereoscope

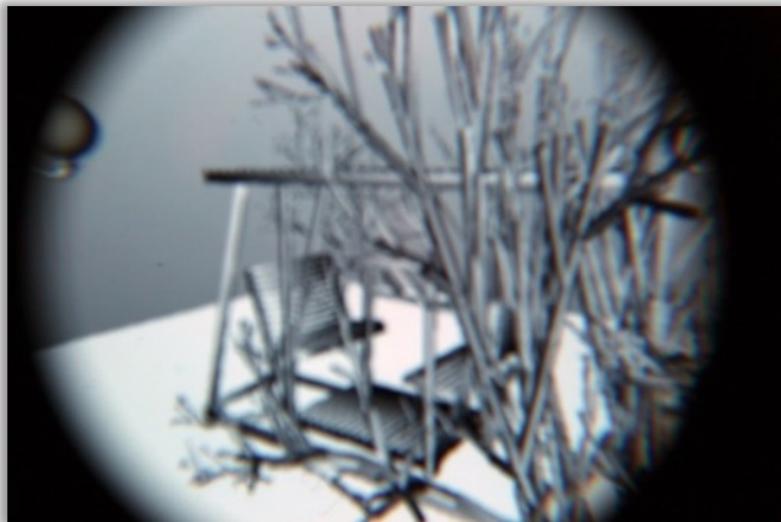


Traditional HMDs  
- No Focus Cues

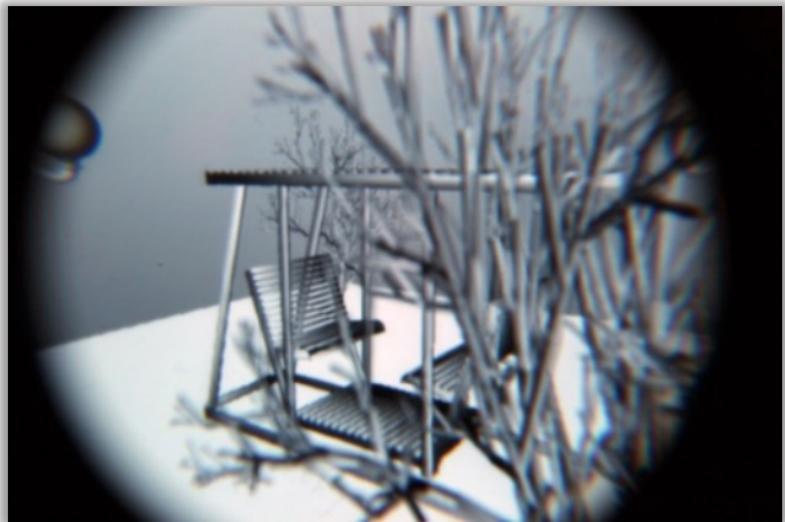


The Light Field HMD  
Stereoscope

# Light Field Stereoscope



Traditional HMDs  
- No Focus Cues

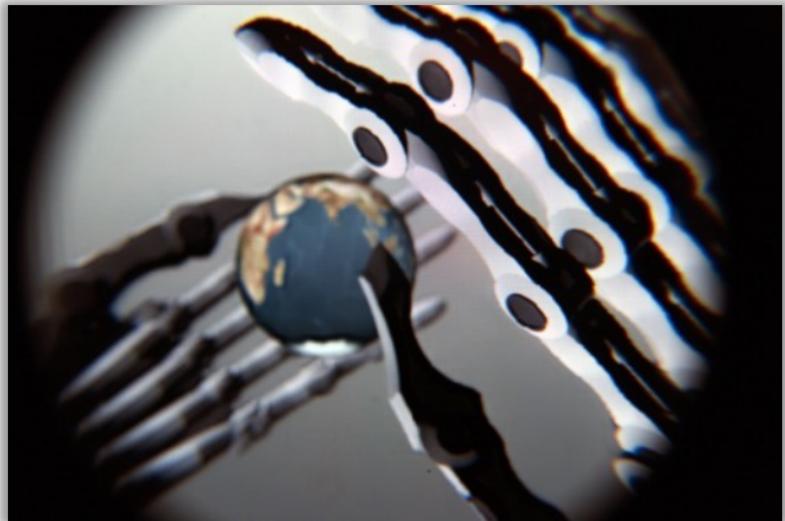


The Light Field HMD  
Stereoscope

# Light Field Stereoscope



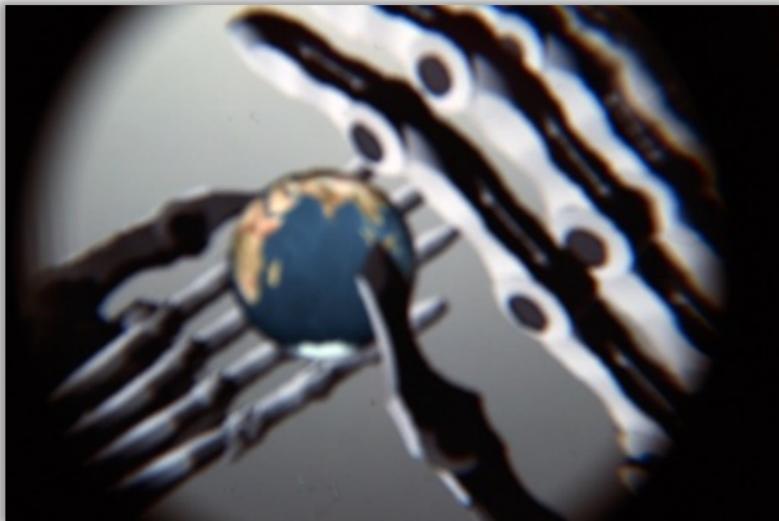
Traditional HMDs  
- No Focus Cues



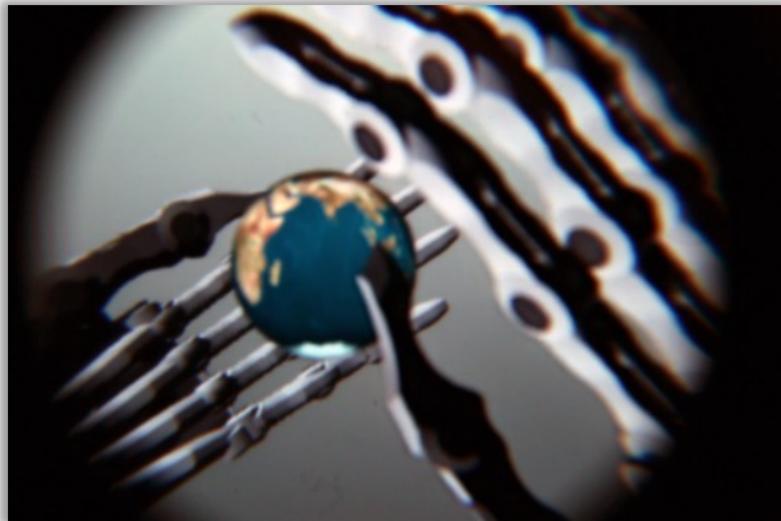
The Light Field HMD  
Stereoscope

Model Courtesy of Paul H. Manning

# Light Field Stereoscope



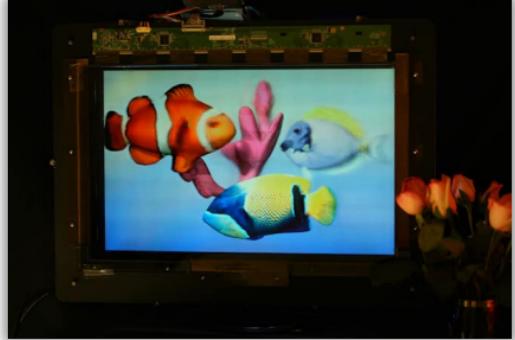
Traditional HMDs  
- No Focus Cues



The Light Field HMD  
Stereoscope

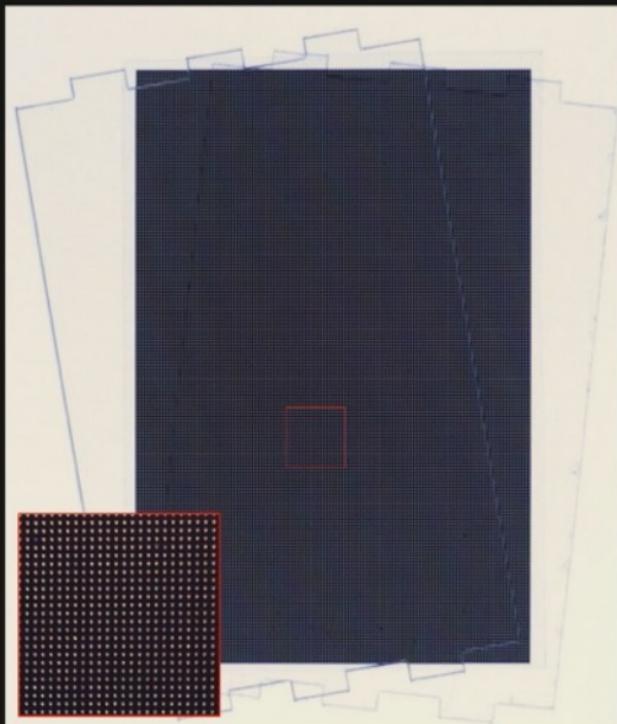
Model Courtesy of Paul H. Manning

# Tensor Displays

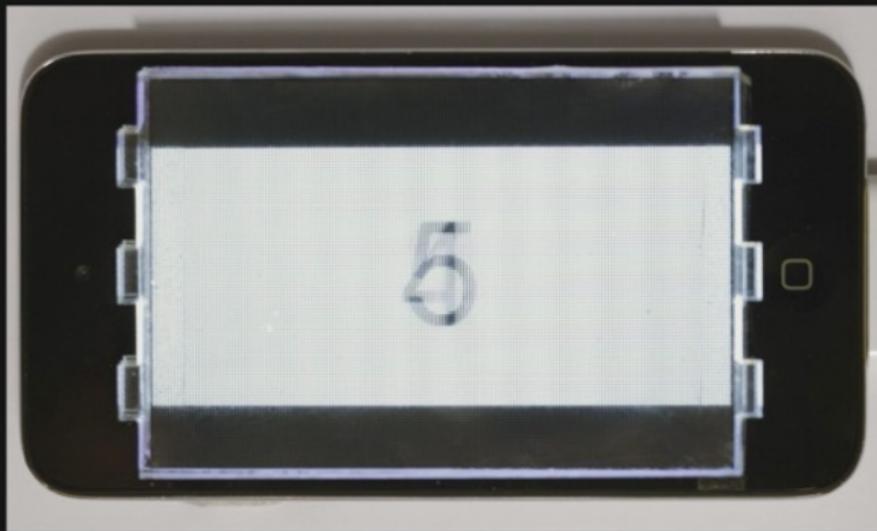


Wetzstein et al., SIGGRAPH 2012

# Vision-correcting Display



printed transparency



iPod Touch prototype



prototype



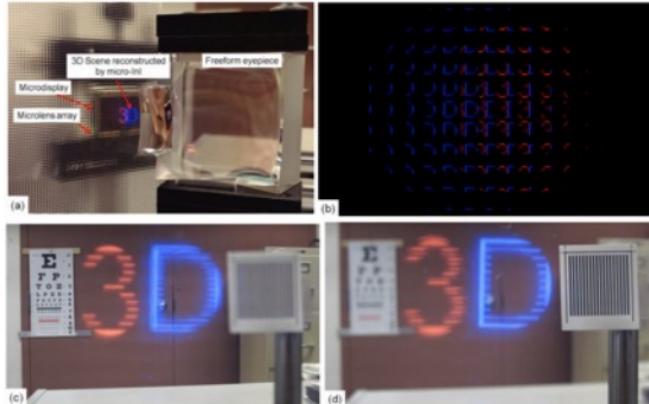
300 dpi or higher



# Microlens-based Near-eye Light Field Displays



Thin VR version:  
Lanman and Luebke, 2013



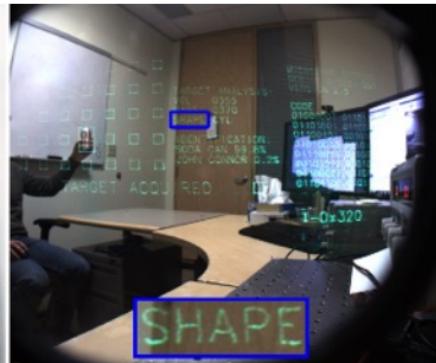
Optical see-through AR version:  
Hua and Javidi, 2014

- biggest downside: usually low resolution
- limited by spatio-angular resolution tradeoff and, more fundamentally, also diffraction

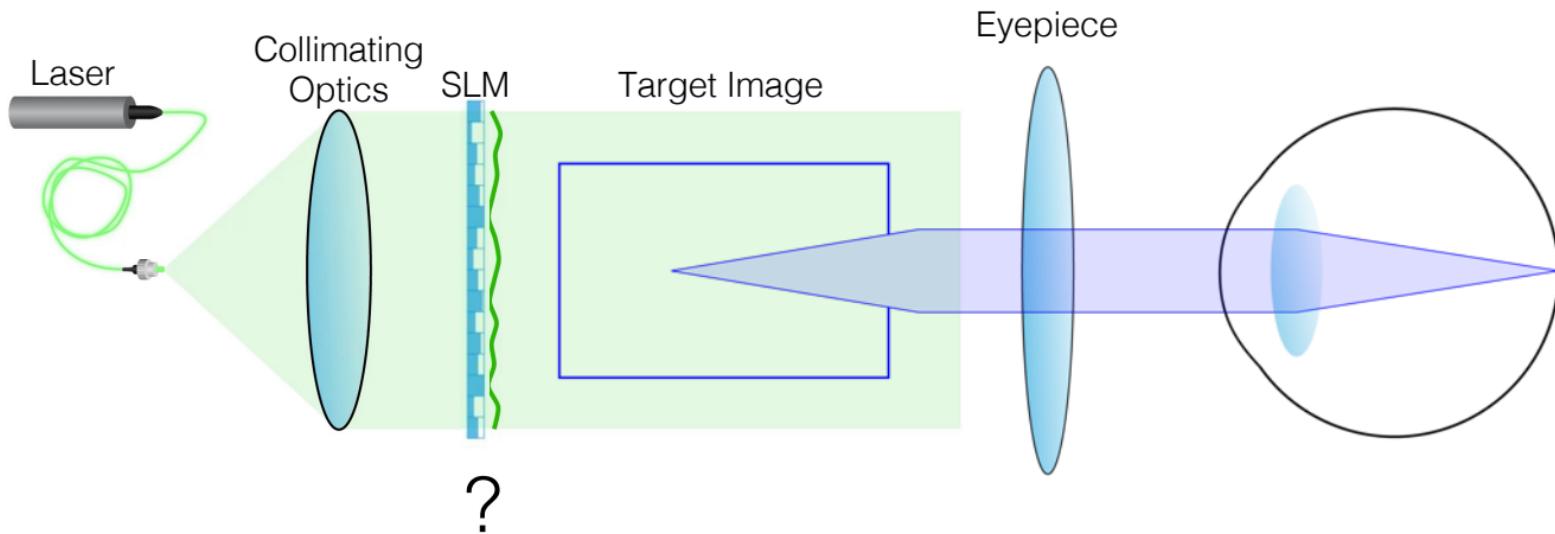
## 4. Holographic Near-eye Displays

# Holographic Near-eye Displays

- recently great image quality demonstrated!
- limited by space-bandwidth product: either small field of view + “large-ish” eyebox or vice versa, but not both
- interference in users’ eyes may be a problem



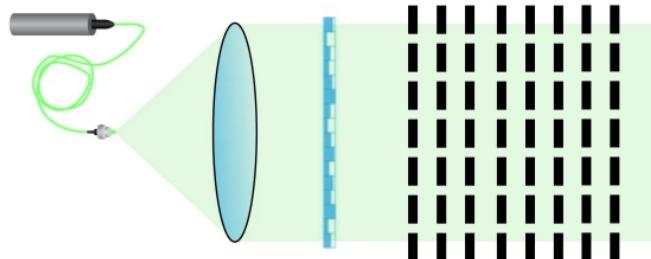
# Holographic Near-eye Displays



**Challenge:** low image quality due to mismatch between physical optics and simulation

# Neural Holography

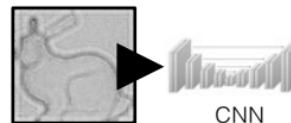
Physical Optics



Input: RGBD



Output:  
SLM phase



CNN



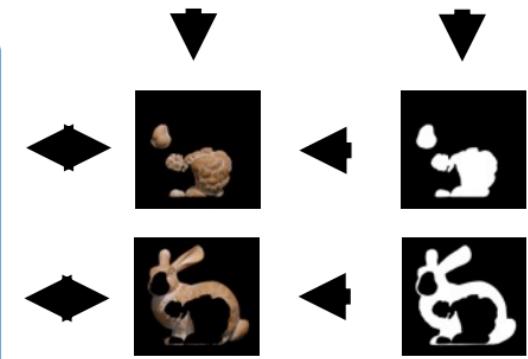
CNN



CNN



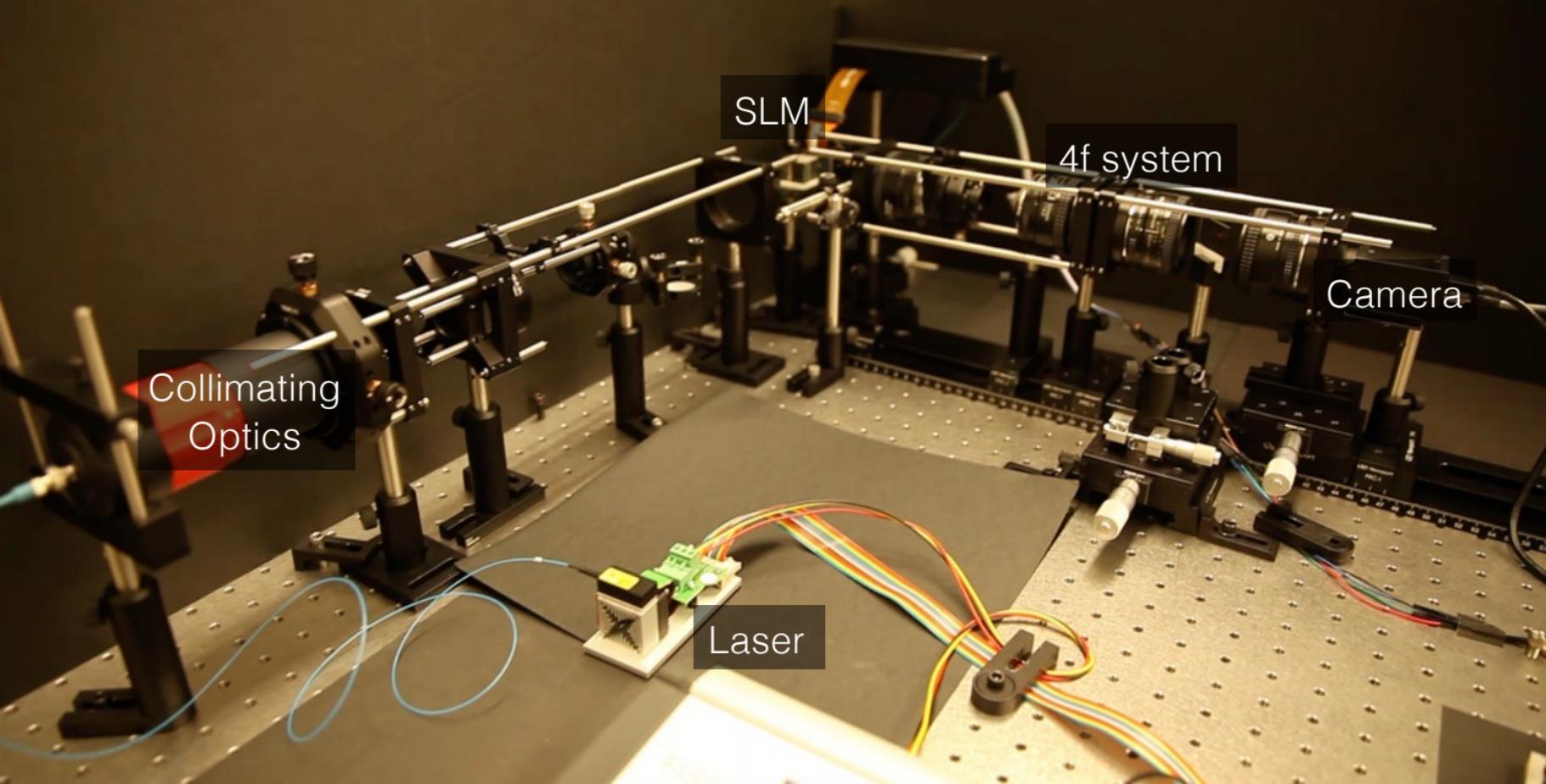
⋮



Camera-calibrated Wave Propagation Model

Masked  
Image

Layer  
Masks



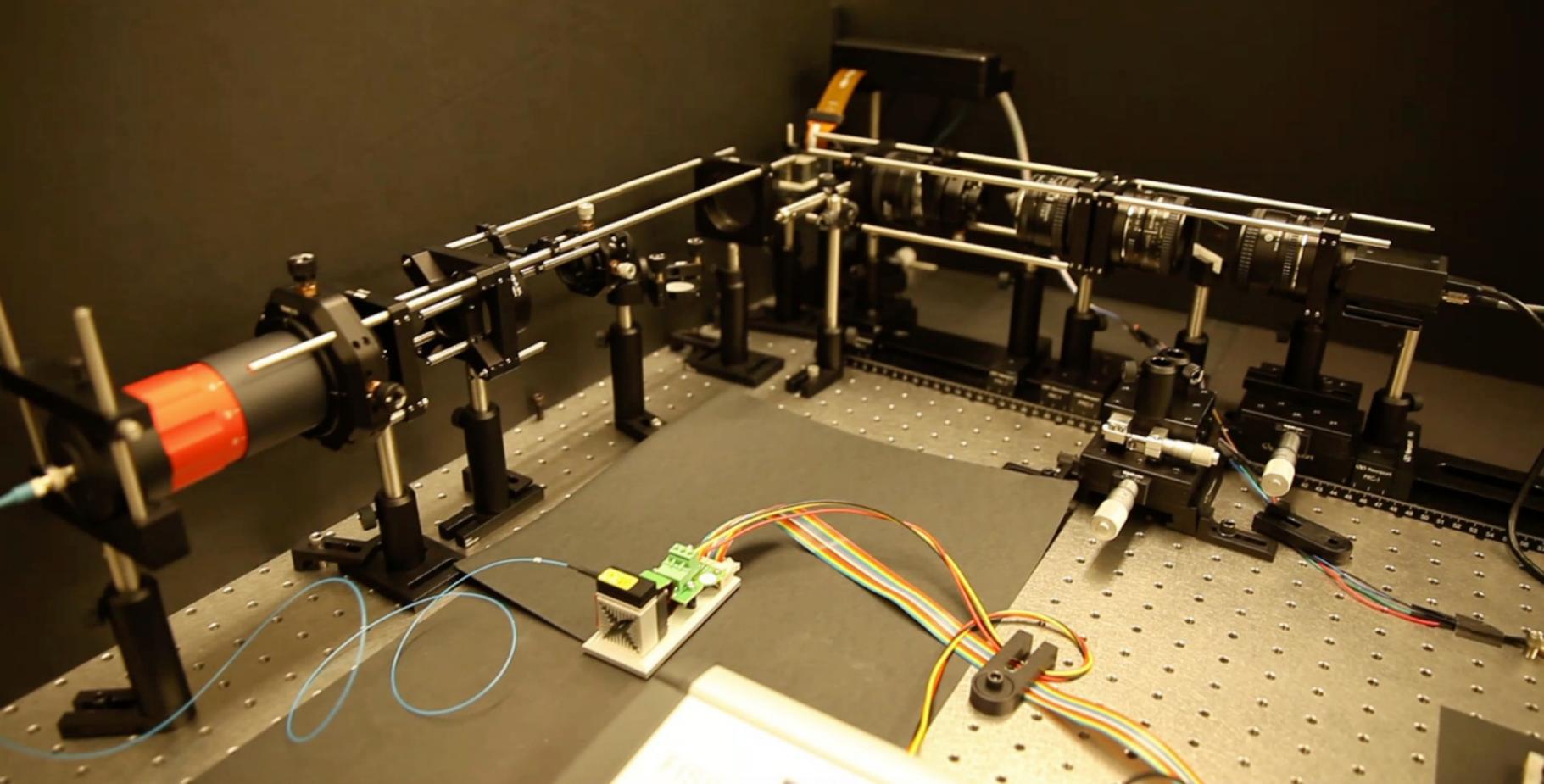
Collimating  
Optics

SLM

4f system

Camera

Laser



# Gerchberg–Saxton



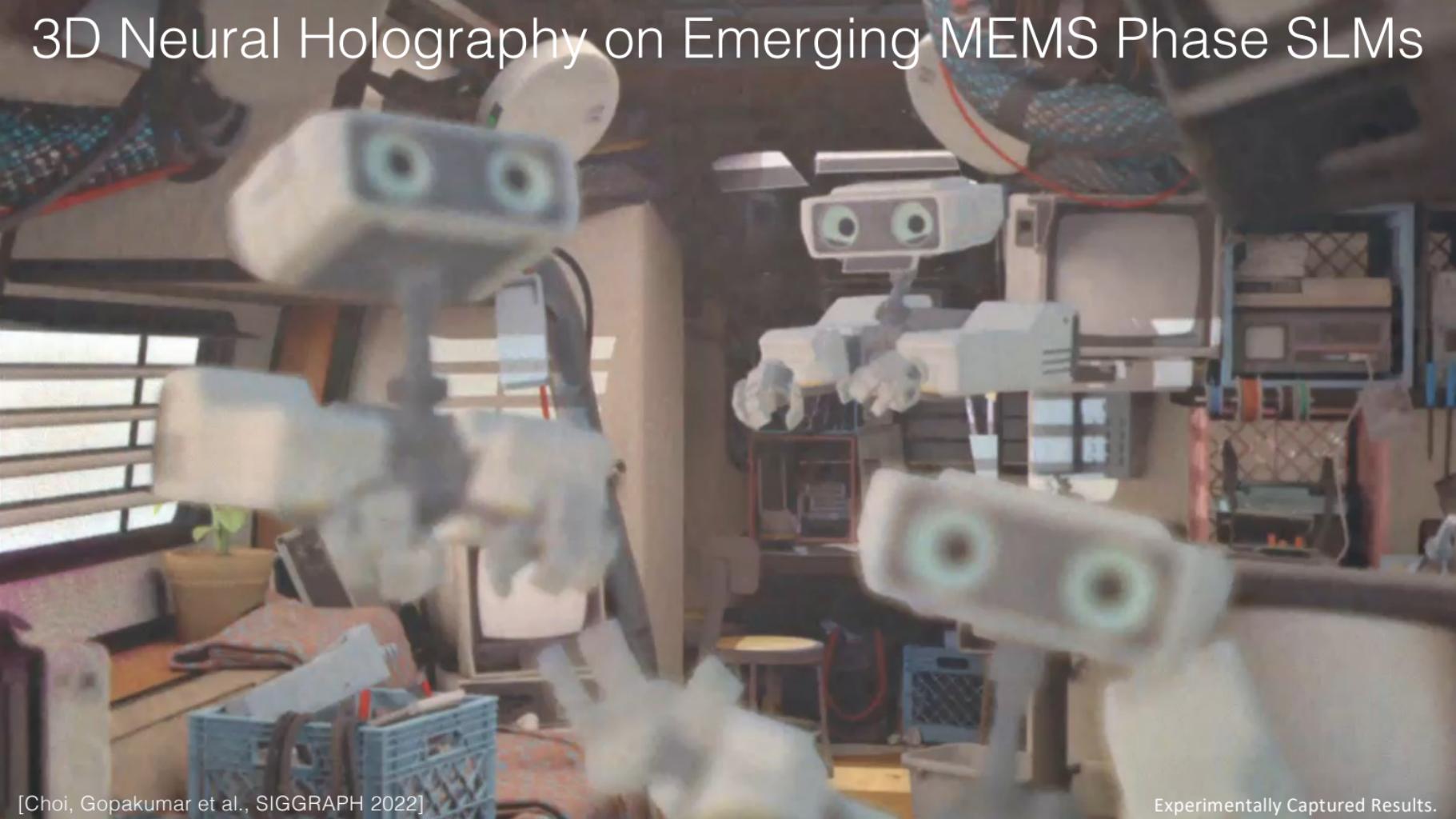
# Neural Holography 2020 Results



# 3D Neural Holography on Emerging MEMS Phase SLMs



# 3D Neural Holography on Emerging MEMS Phase SLMs



# Additional Benefits of Holographic Near-eye Displays

## Thin VR Display Form Factors



Maimone et al., SIGGRAPH 2020



Kim et al., SIGGRAPH 2022

## Other:

- Light-efficient AR Displays
- Prescription correction (including astigmatism and higher-orders)
- Correcting optical aberrations

...

# Summary of AR/VR Displays with Focus Cues

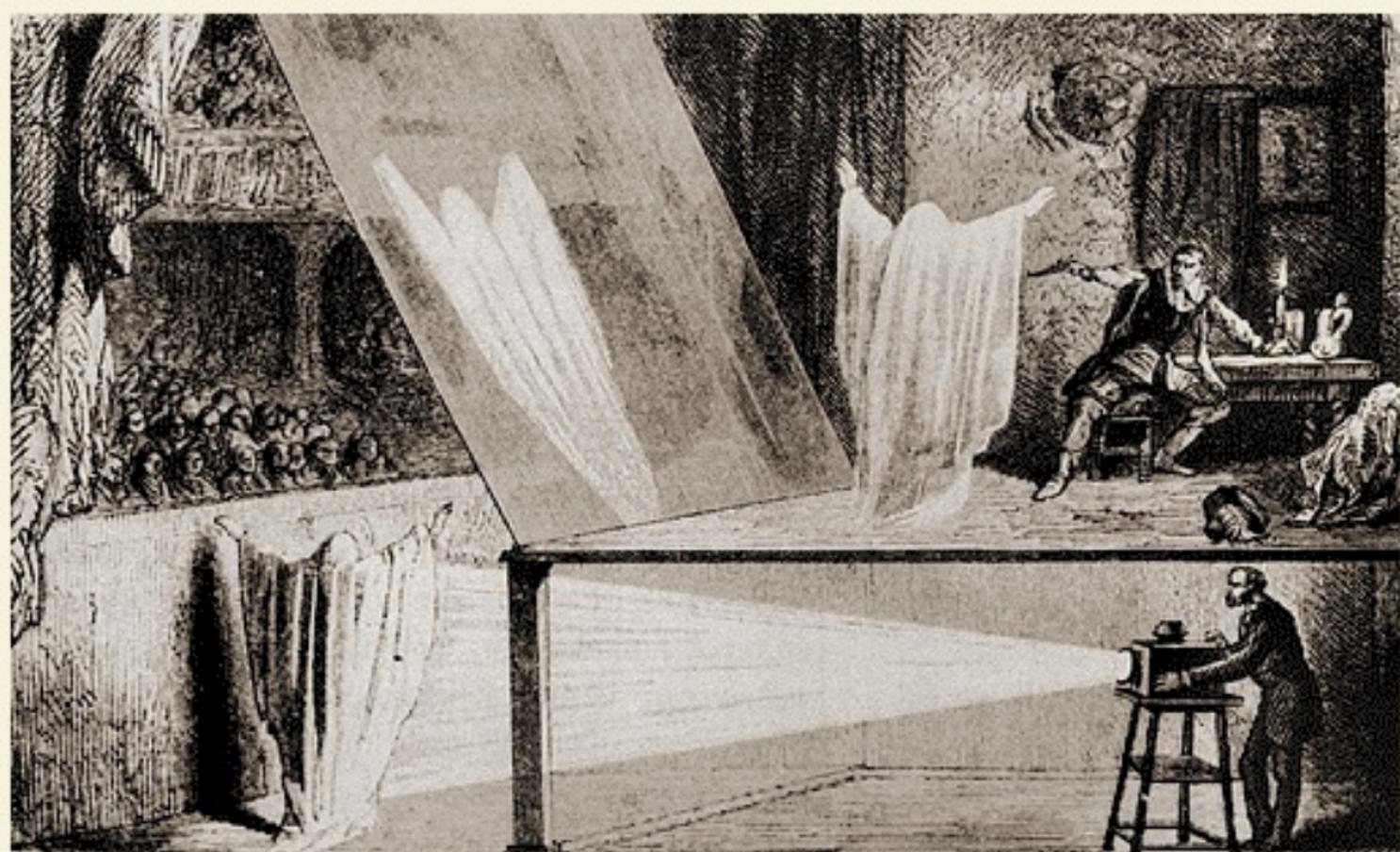
- focus cues in VR/AR are challenging
- adaptive focus can correct for refractive errors (myopia, hyperopia)
- gaze-contingent focus gives natural focus cues for non-presbyopes, but require eyes tracking
- presbyopes require fixed focal plane with correction
- multiplane displays require very high speed microdisplays or multiple optically overlaid displays
- light field and holographic displays may be “ultimate” displays in the longer-run → need to solve a few “issues” first

# Overview of Optical See-through AR Displays

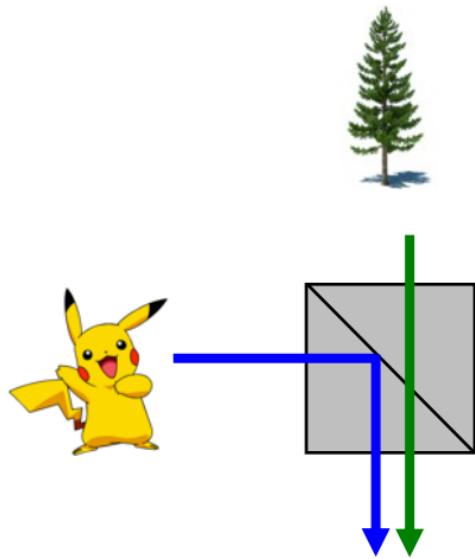


Ray Ban

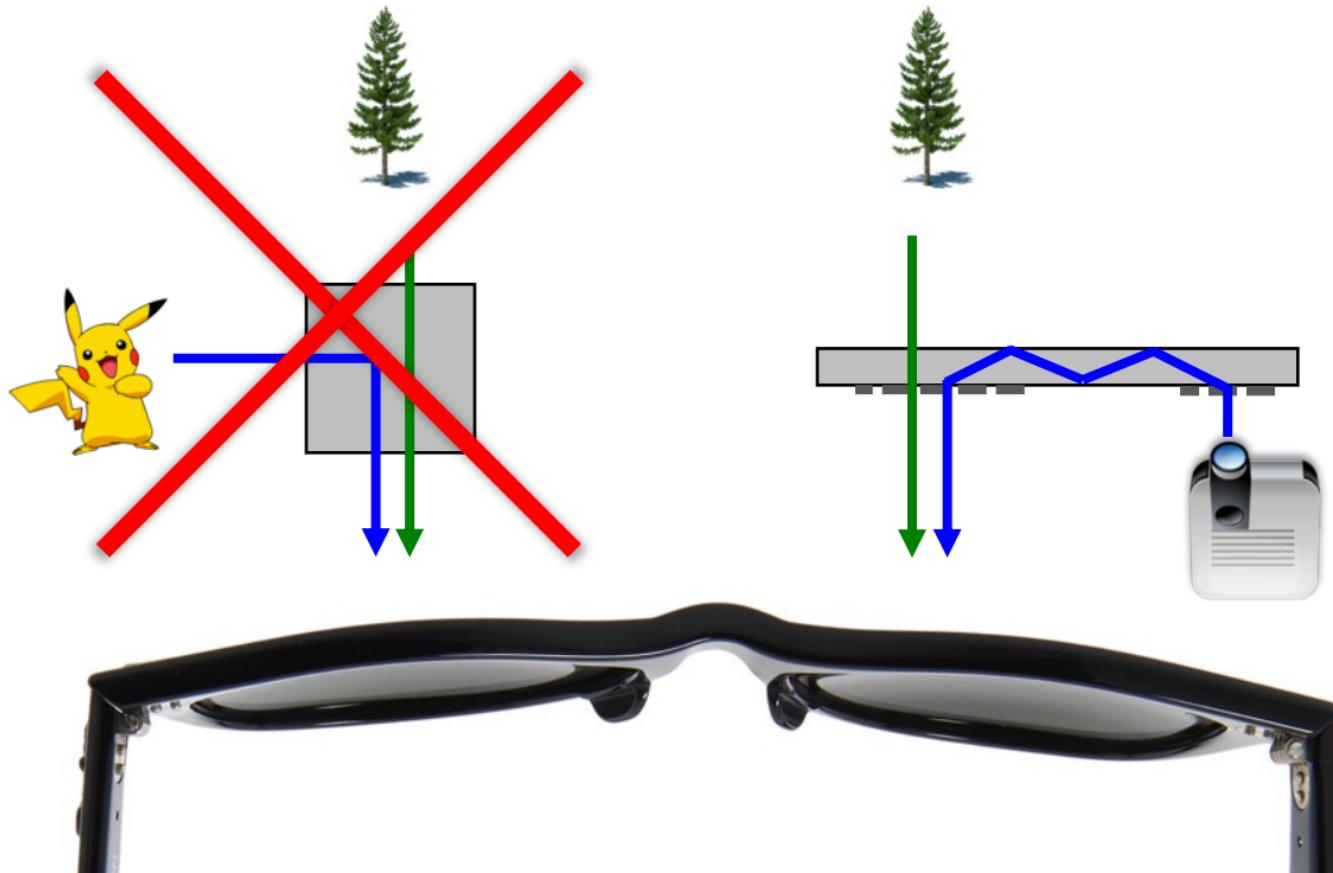
# Pepper's Ghost 1862



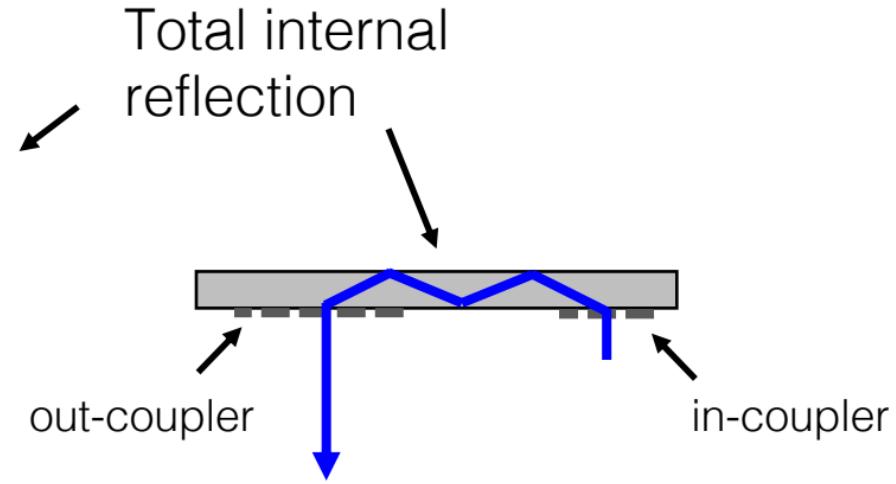
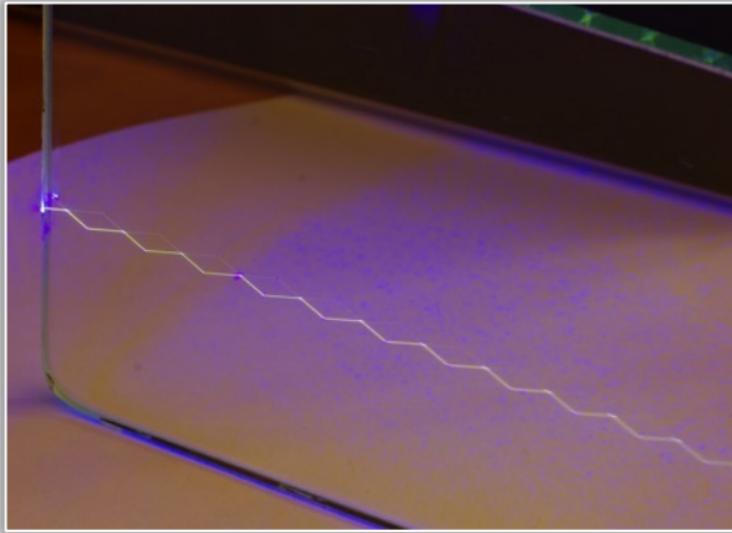
# Thin Beam Combiner?



# Thin Beam Combiner!



# Thin Beam Combiner!

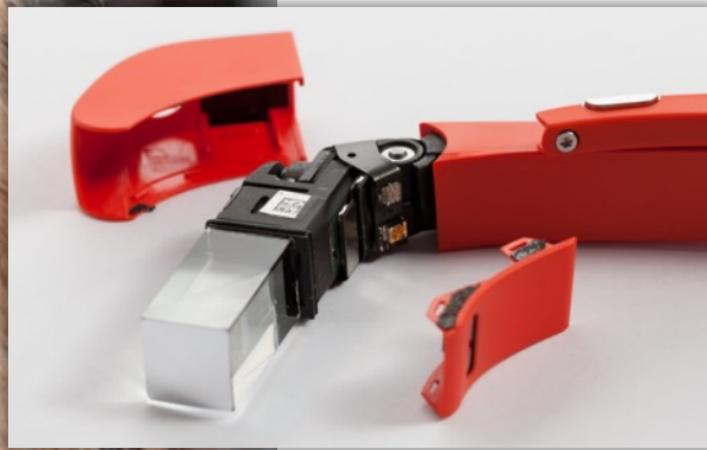


Critical angle  $\theta_c$  : smallest angle of incidence that yields total reflection

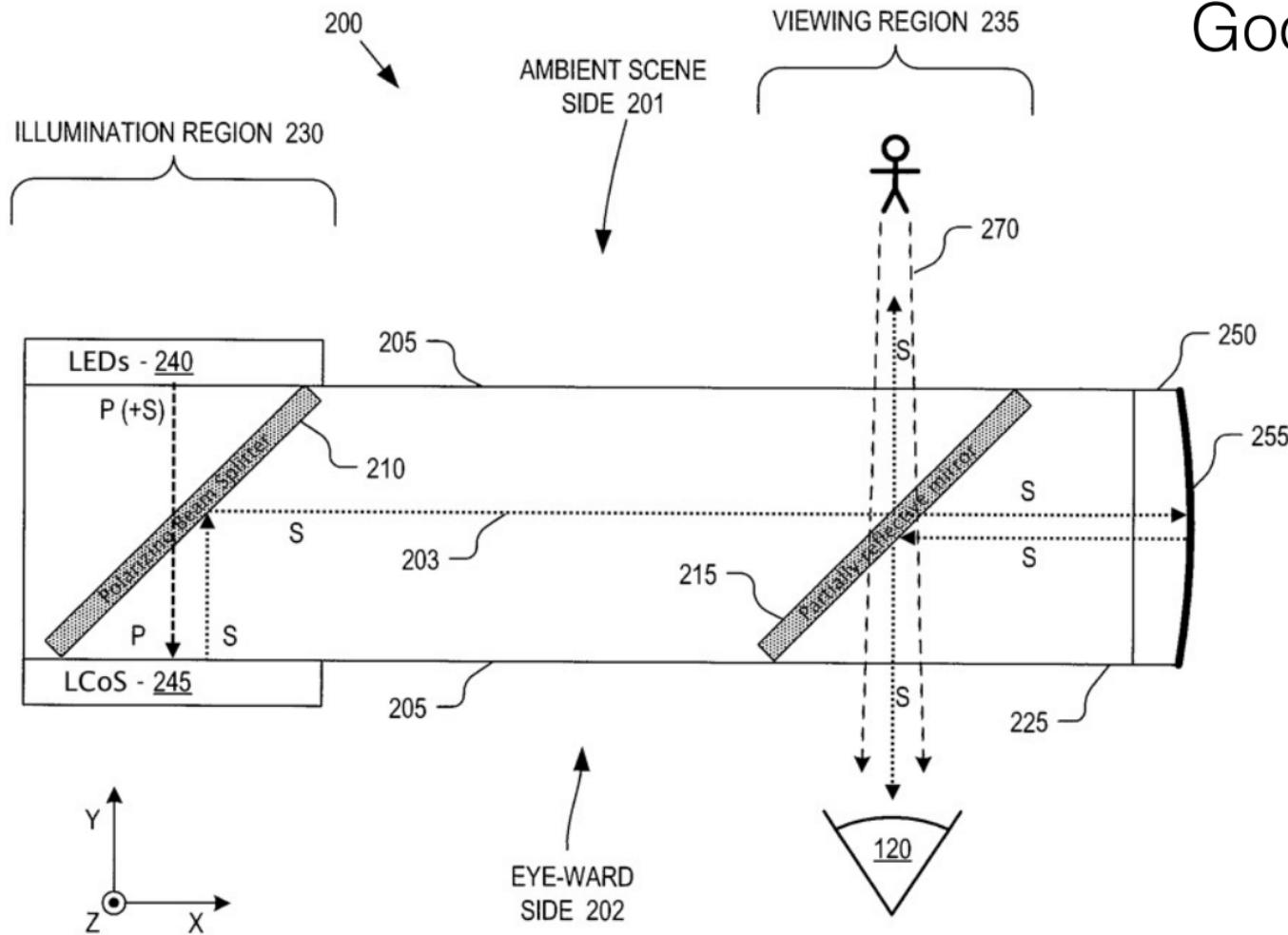
Snell's laws of refraction:  $n_1 \sin \theta_1 = n_2 \sin \theta_2 \rightarrow \theta_c = \sin^{-1}(n_2/n_1)$

# OST AR - Case Studies

# Google Glass



# Google Glass



# Meta 2

- larger field of view (90 deg) than Glass
- also larger device form factor

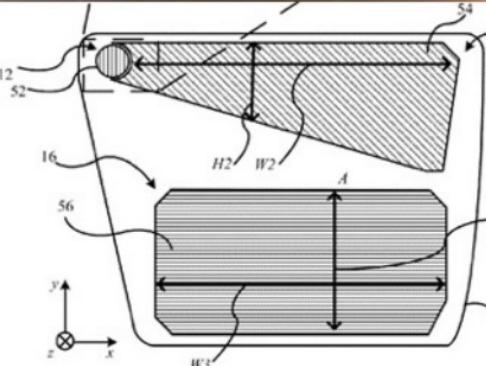
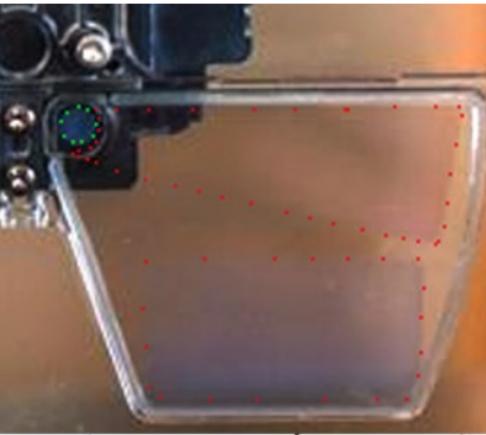


# Microsoft HoloLens



# Microsoft HoloLens

- diffraction grating
- small FOV (30x17), but very good image quality



US 2016/0231568

Fig. 3B

(16) United States

(21) Patent Application Publication

(30) Pub. No.: US 2016/0231568 A1

(41) Pub. Date: Aug. 11, 2016

(54) WAVEGUIDE

(71) Applicant: Microsoft Technology Licensing, LLC,

Redmond, WA (US)

(72) Inventor: Paul Saarikko; Tapio (T1); Paul

Kostamo; Tapio (T2)

(21) Appl. No.: 14/865,697

(22) Filed: Feb. 9, 2015

Publication Classification

(51) Int.Cl.

G02B 27/00

(2006.01)

G02B 1/04

(2006.01)

F21Y 4/00

(2006.01)

(52) U.S. Cl.

CPC

G02B 27/0072 (2013.01); G02B 6/40

(2013.01); G02B 1/042 (2013.01); G02B 26/74

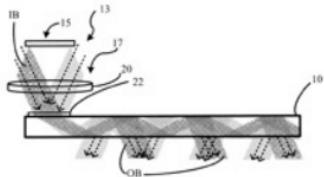
(2013.01); G02B 1/044 (2013.01); G02B 27/0078

(2013.01); G02B 1/046 (2013.01); G02B 27/0079

(2013.01)

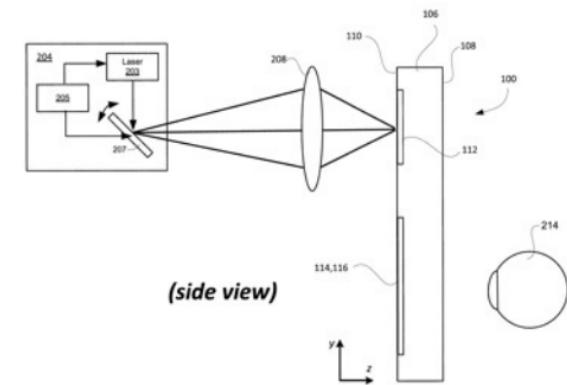
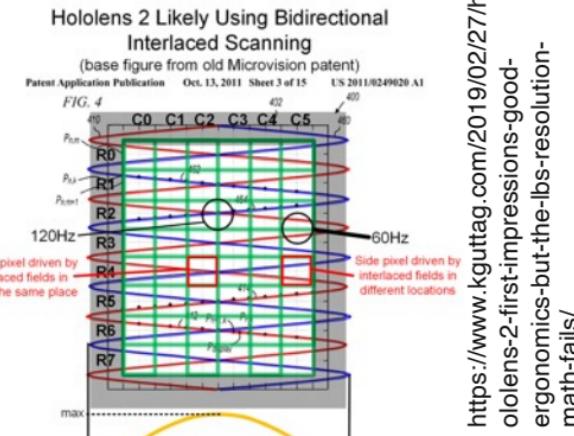
(57) ABSTRACT

A waveguide has a front surface and a rear surface. The waveguide is a display system and arranged to guide light from a light engine onto an eye of a user to make an image visible to the user. The waveguide includes a first portion of the front or rear surface and a structure which causes light to change phase upon reflection from the first portion. A second portion of the same surface has a different structure which causes light to change phase upon reflection from the second portion by a second amount different from the first amount. The first portion is offset from the second portion by a distance which substantially matches the difference between the second amount and the first amount.



# Microsoft HoloLens 2

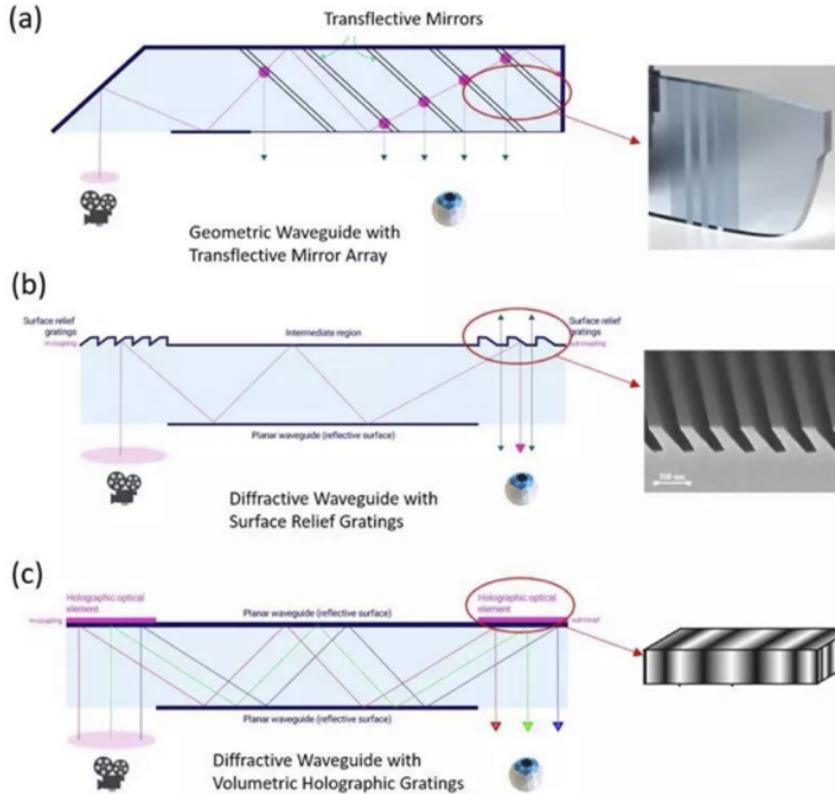
- laser-scanned waveguide display
- claimed 2K resolution per eye (2560x1440), probably via “interlaced” scanning
- field of view: 52° diagonally (3:2 aspect, 47 pixels per visual degree)



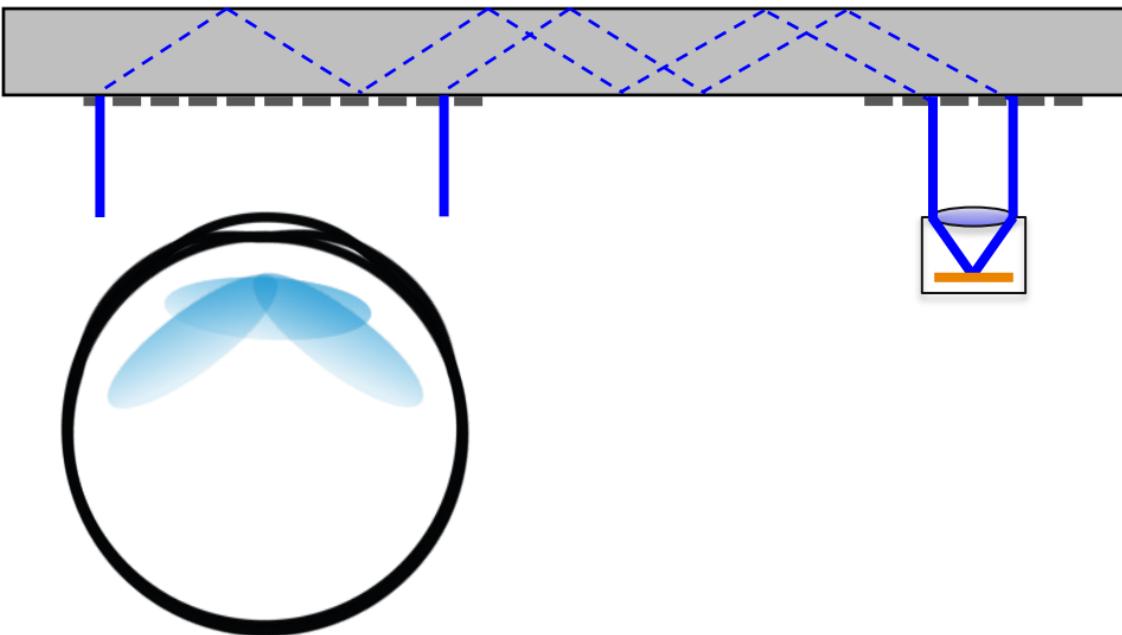
Wall et al. US 10,025,093 2018

<https://www.kguttag.com/2019/02/27/hololens-2-first-impressions-good-ergonomics-but-the-lbs-resolution-math-fails/>

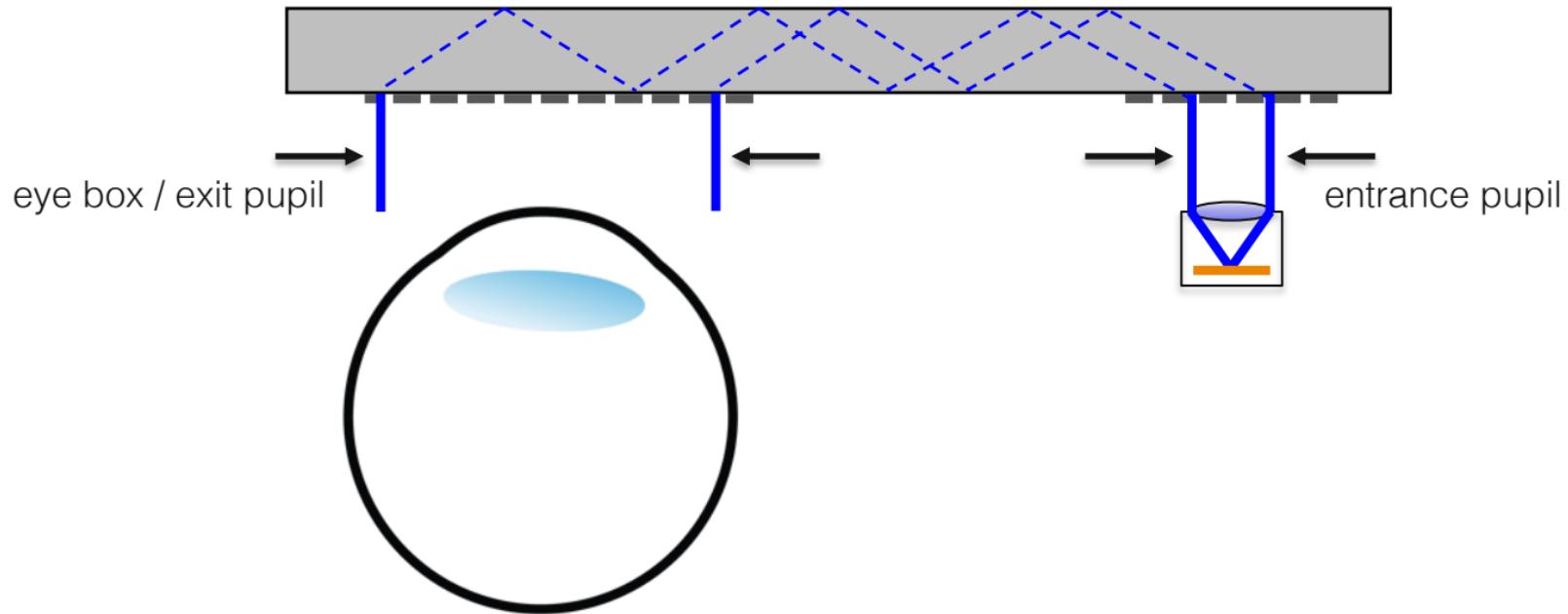
# AR Lightguides and Waveguides



# Challenges: Eye Box vs Field of View

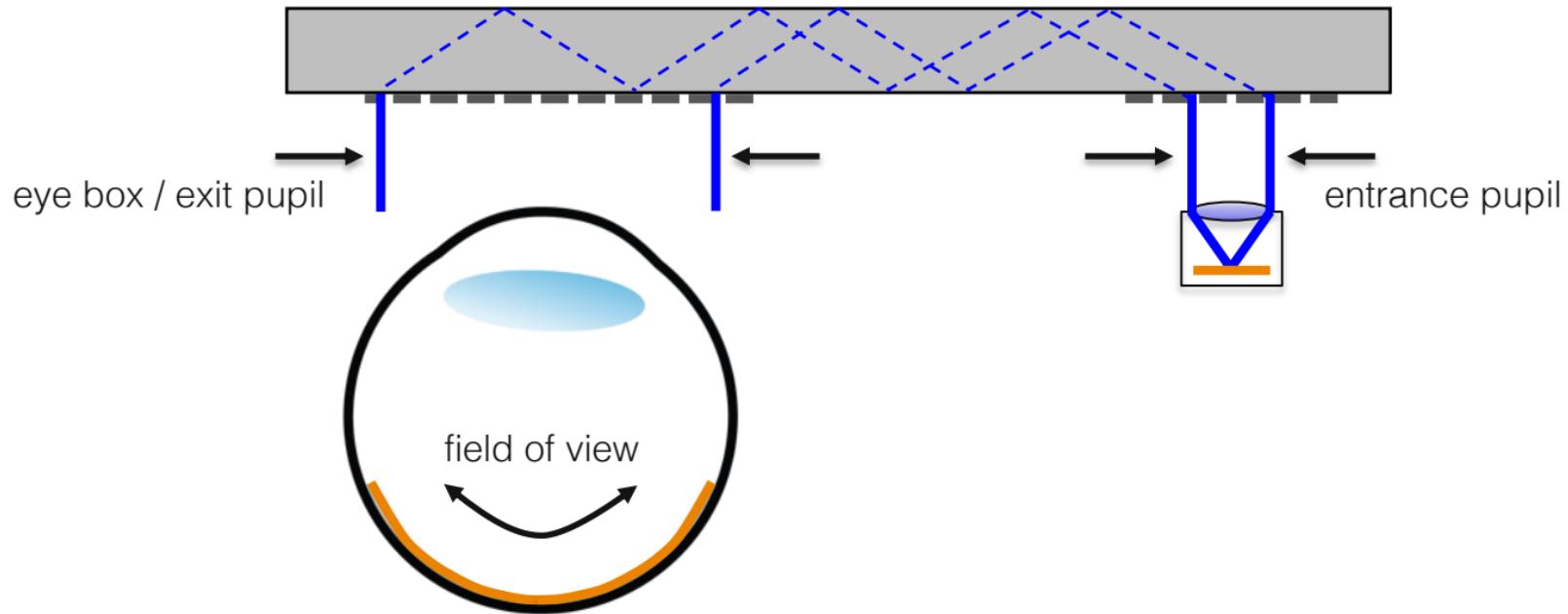


# Challenges: Eye Box vs Field of View



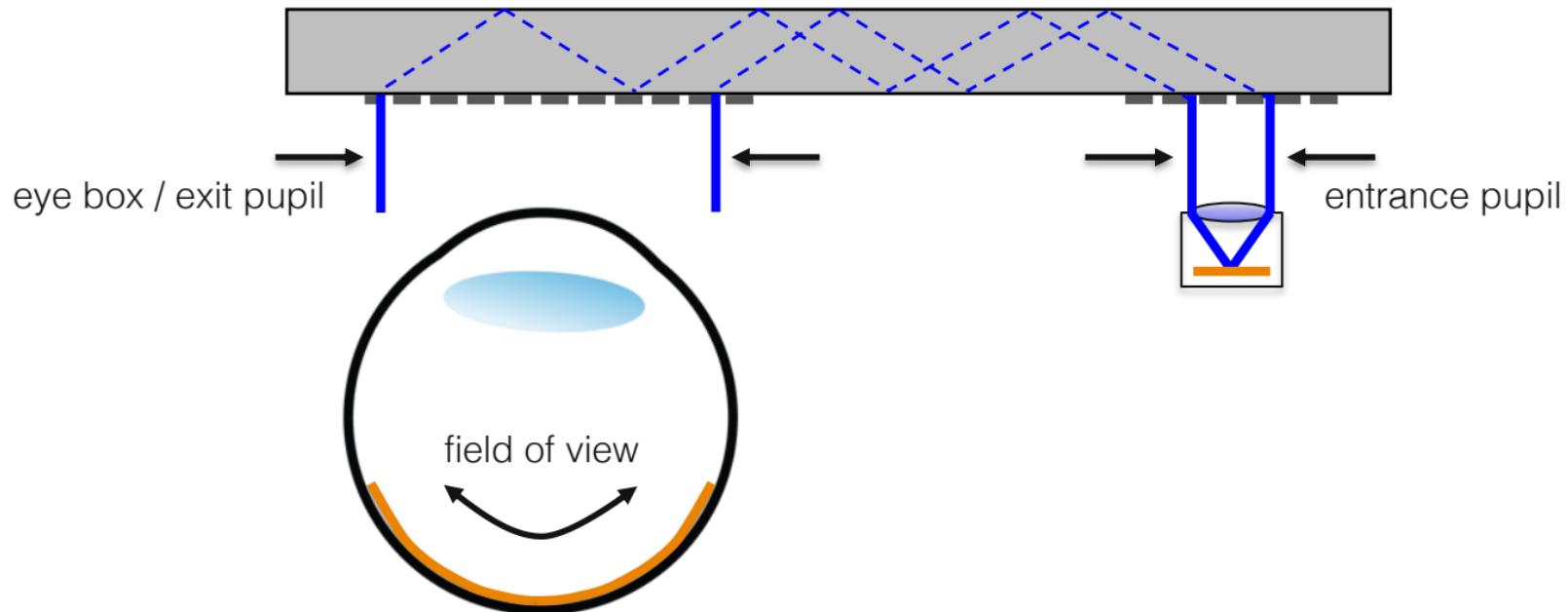
- need small entrance pupil (small device) and large exit pupil (large eye box) - pupil needs to be magnified

# Challenges: Eye Box vs Field of View



- need small display (small device) but large field of view – image needs to be magnified

# Challenges: Eye Box vs Field of View

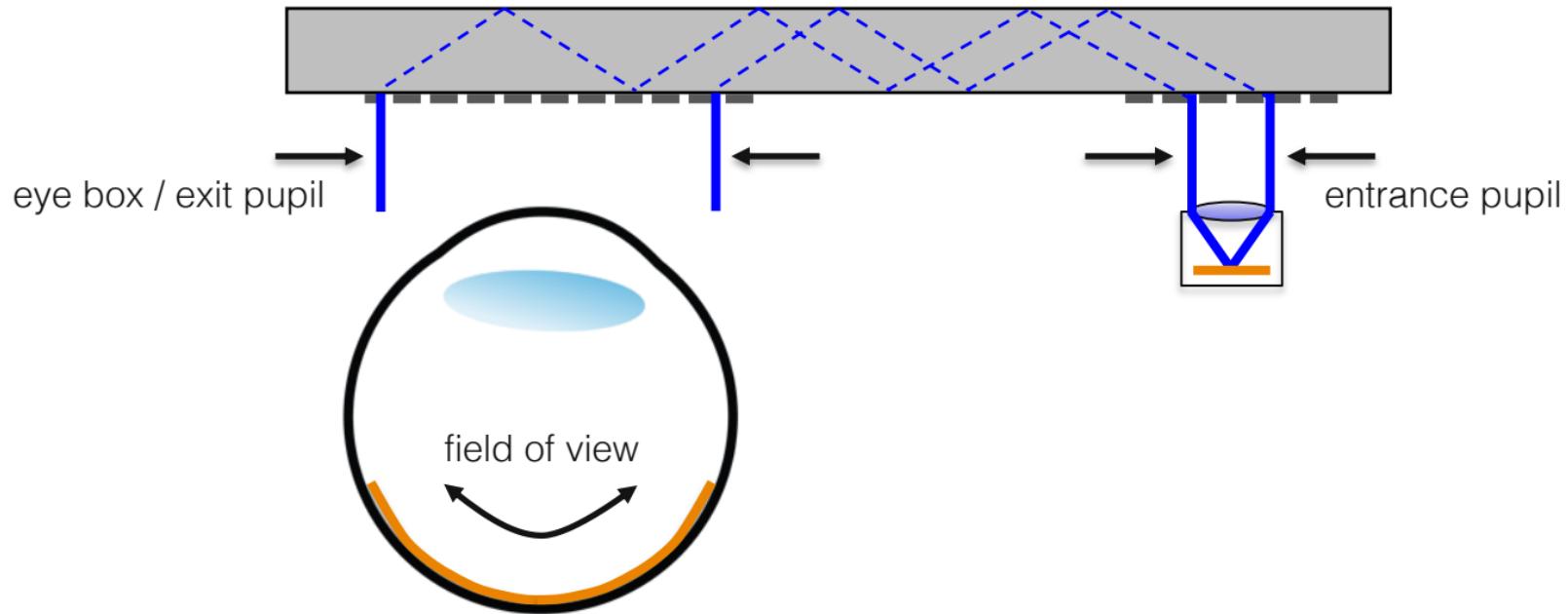


- pupil needs to be magnified
- image needs to be magnified



can't get both at the same time – etendue!

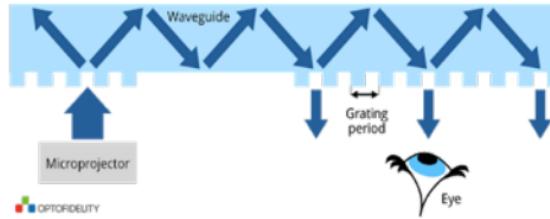
# Challenges: Eye Box vs Field of View



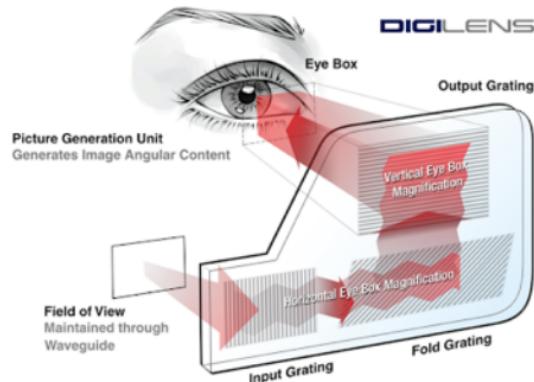
- possible solutions: exit pupil replication (loss of light), live with small FOV (not great), dynamically steer eye box (mechanically difficult), ..

# Exit Pupil Expansion

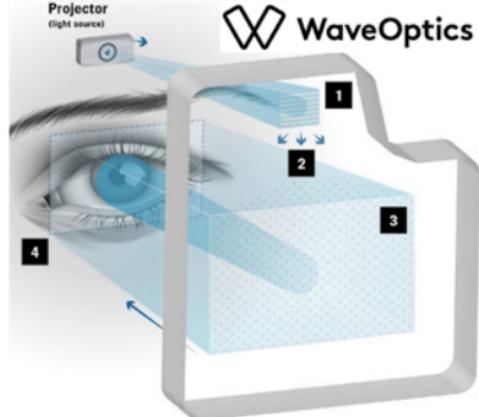
(a) 1D Pupil Expansion



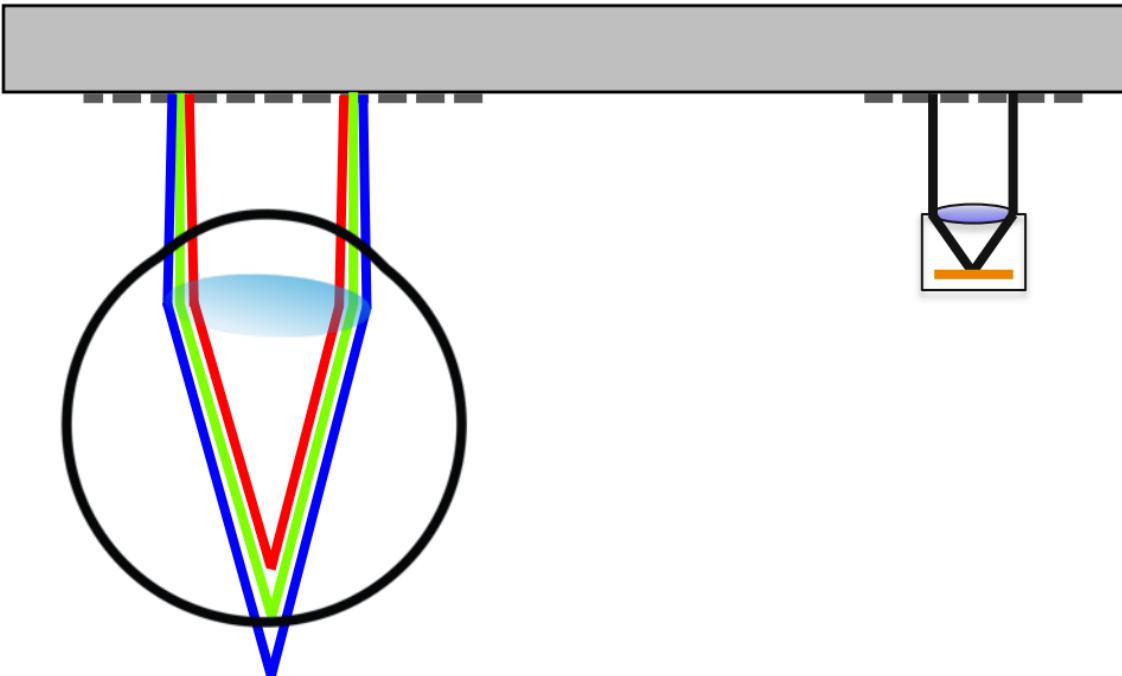
(b) 2D Pupil Expansion with Turn Grating



(c) 2D Pupil Expansion with 2D Grating



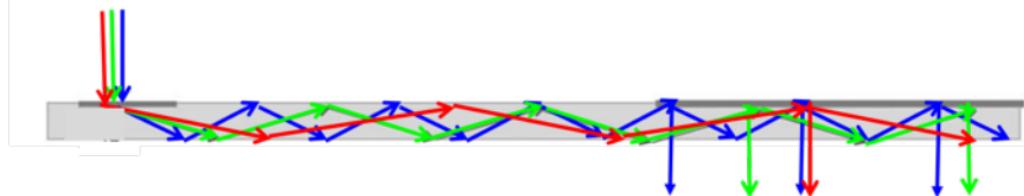
# Challenges: Chromatic Aberrations



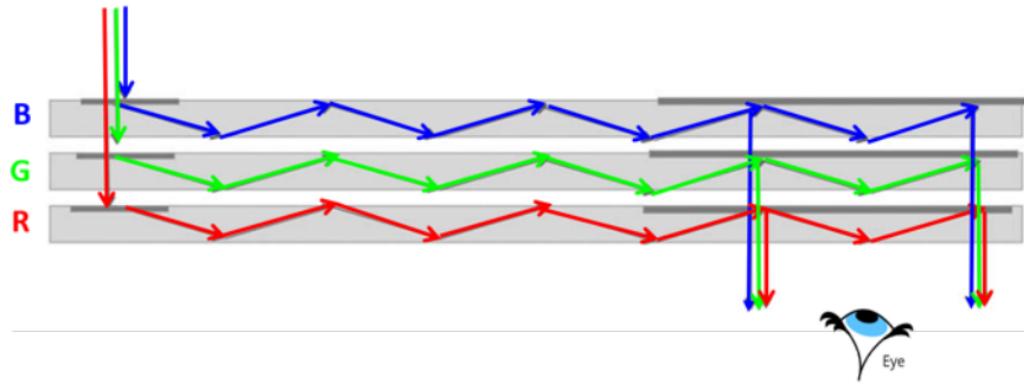
- thin grating couplers create chromatic aberrations

# Challenges: Chromatic Aberrations

(a) Single-layer Waveguide

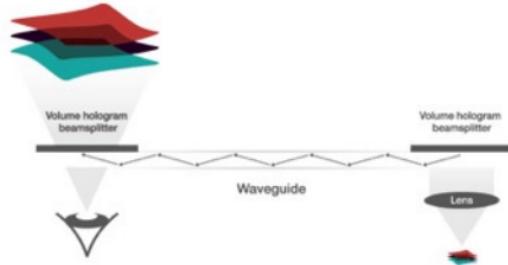


(b) Multi-layer Waveguide

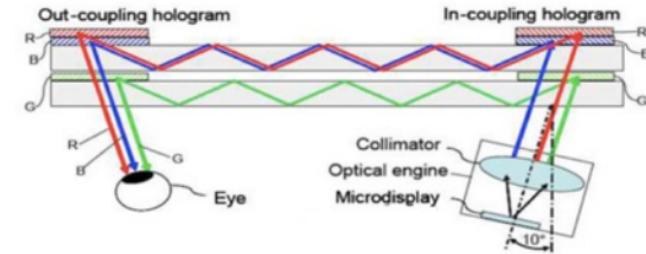


# Challenges: Chromatic Aberrations

volume holographic couplers,  
e.g. TruLife Optics

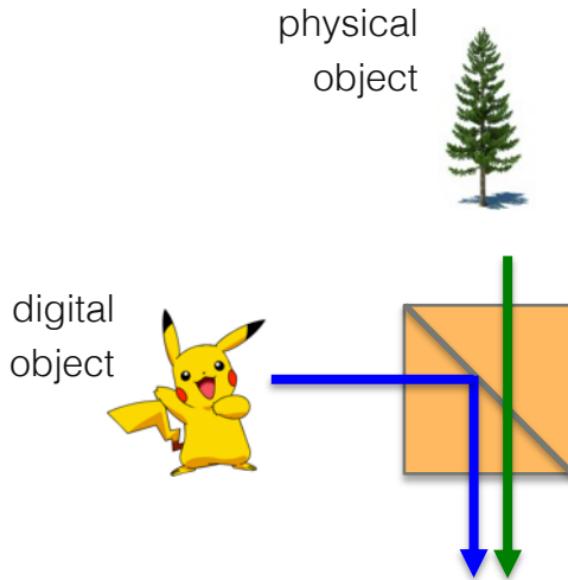


stacked waveguides



- all solutions have their own problems: ease of manufacturing, yield, robustness, cost, ...

# Occlusions



Case 1:  
digital in front of physical



Case 2:  
physical in front of digital

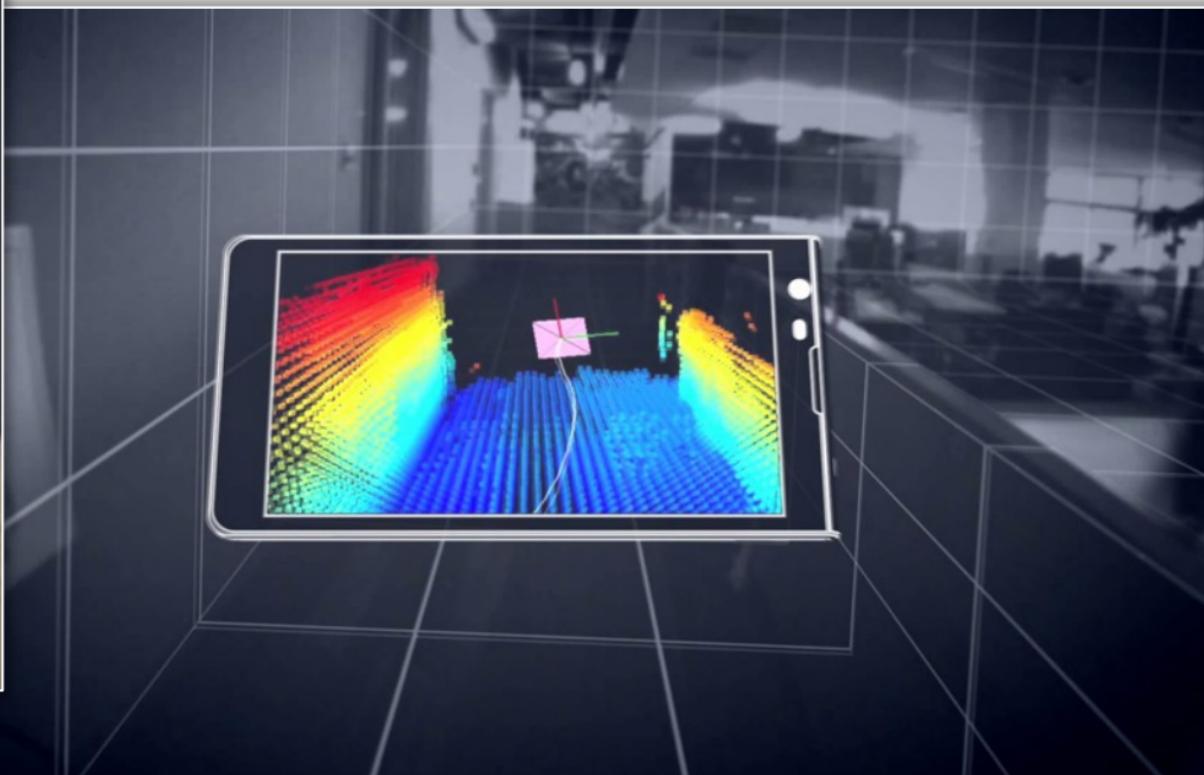
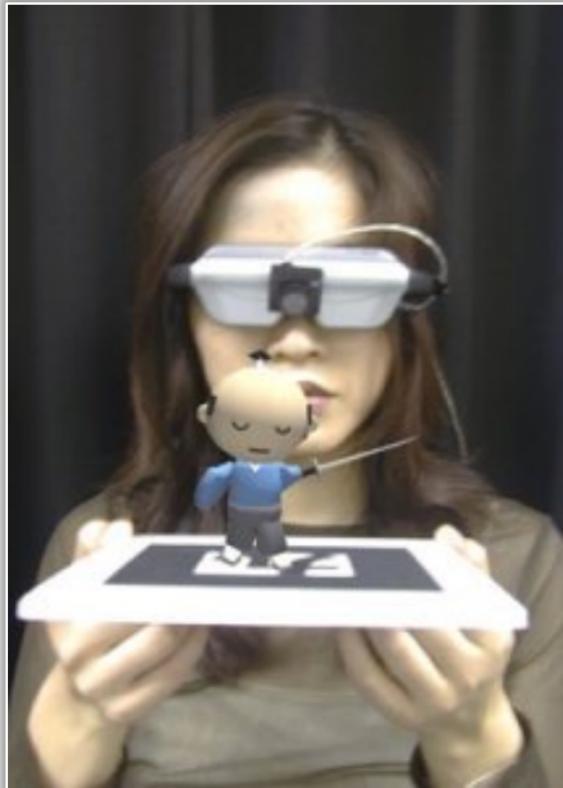


→ difficult: need  
to block real light!

→ easy: don't render  
digital object everywhere



# Video-based AR: ARCore, ARKit, ARToolKit, ...



# Next Lecture: Inertial Measurement Units I

- accelerometers, gyros, magnetometers
- sensor fusion
- head orientation tracking

