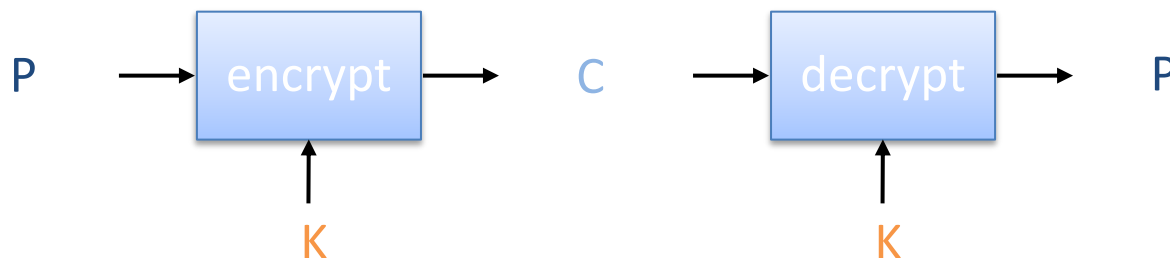


# Cryptography

# Symmetric Cryptosystem

- Scenario
  - Alice wants to send a message (plaintext  $P$ ) to Bob.
  - The communication channel is insecure and can be eavesdropped
  - If Alice and Bob have previously agreed on a symmetric encryption scheme and a secret key  $K$ , the message can be sent encrypted (ciphertext  $C$ )
- Issues
  - What is a good symmetric encryption scheme?
  - What is the complexity of encrypting/decrypting?
  - What is the size of the ciphertext, relative to the plaintext?

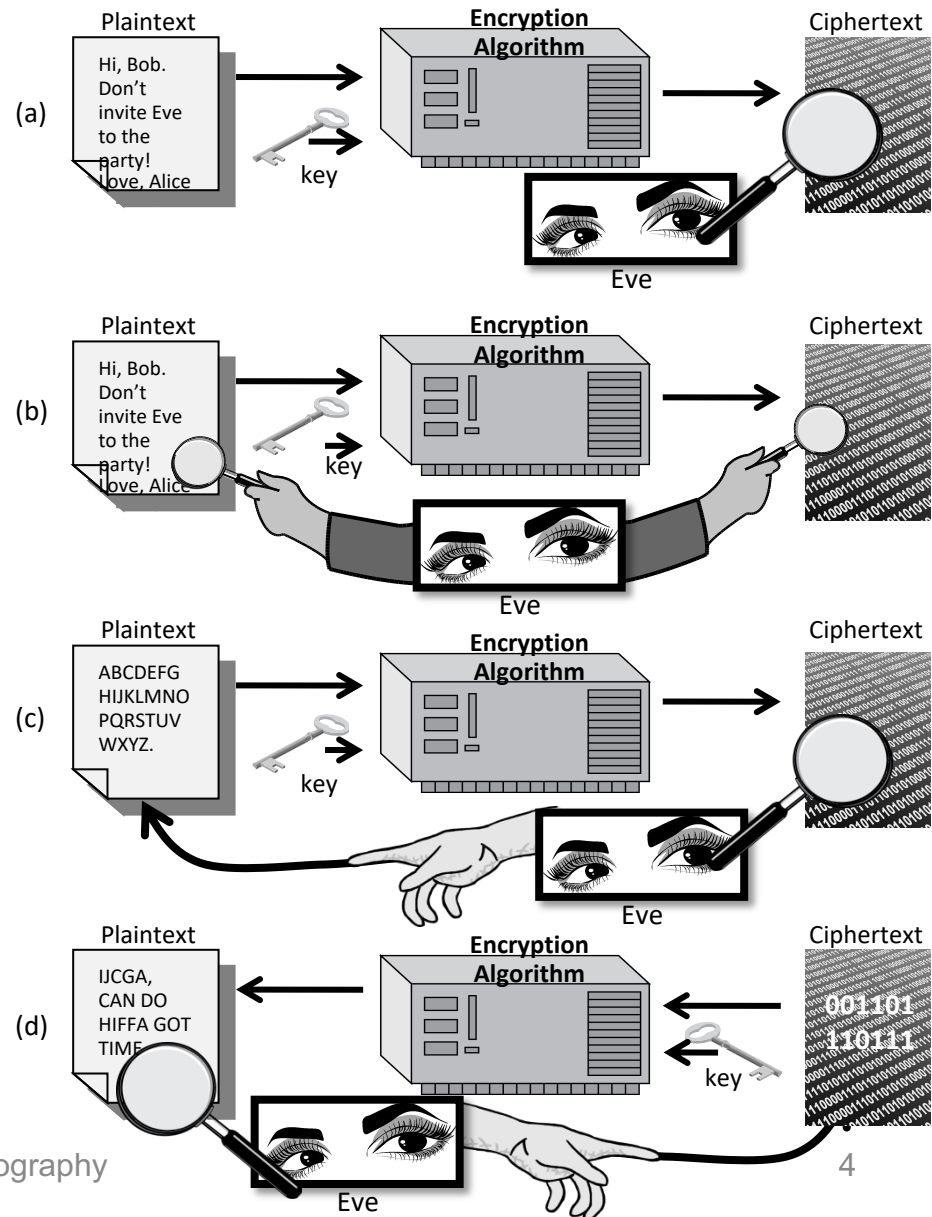


# Basics

- Notation
  - Secret key  $K$
  - Encryption function  $E_K(P)$
  - Decryption function  $D_K(C)$
  - Plaintext length typically the same as ciphertext length
  - Encryption and decryption are **permutation functions (bijections)** on the set of all  $n$ -bit arrays
- Efficiency
  - functions  $E_K$  and  $D_K$  should have efficient algorithms
- Consistency
  - Decrypting the ciphertext yields the plaintext
  - $D_K(E_K(P)) = P$

# Attacks

- Attacker may have
  - collection of ciphertexts (**ciphertext only attack**)
  - collection of plaintext/ciphertext pairs (**known plaintext attack**)
  - collection of plaintext/ciphertext pairs for plaintexts selected by the attacker (**chosen plaintext attack**)
  - collection of plaintext/ciphertext pairs for ciphertexts selected by the attacker (**chosen ciphertext attack**)



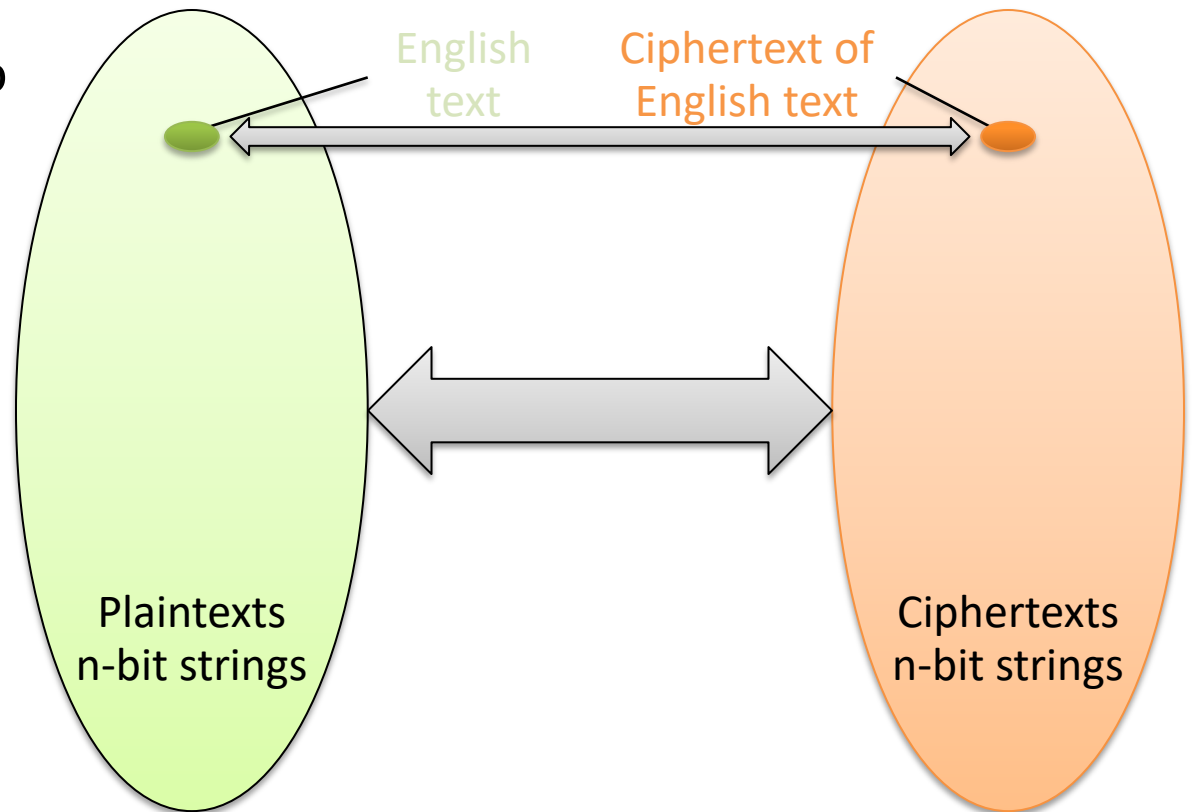
# Brute-Force Attack

- Try all possible keys  $K$  and determine if  $D_K(C)$  is a likely plaintext
  - Requires some knowledge of the structure of the plaintext (e.g., PDF file or email message)
- Key should be a sufficiently long random value to make exhaustive search attacks unfeasible



# Encrypting English Text

- English text typically represented with 8-bit ASCII encoding
- A message with  $t$  characters corresponds to an  $n$ -bit array, with  $n = 8t$
- Redundancy due to repeated words and patterns
  - E.g., “th”, “ing”
- English plaintexts are a very small subset of all  $n$ -bit arrays



# Entropy of Natural Language

- Information content (**entropy**) of English: 1.25 bits per character
- $t$ -character arrays that are English text:

$$(2^{1.25})^t = 2^{1.25 t}$$

- $n$ -bit arrays that are English text:

$$2^{1.25 n/8} \approx 2^{0.16 n}$$

- For a natural language, constant  $\alpha < 1$  such that there are  $2^{\alpha n}$  messages among all  $n$ -bit arrays
- Fraction (probability) of valid messages

$$2^{\alpha n} / 2^n = 1 / 2^{(1-\alpha)n}$$

- Brute-force decryption
  - Try all possible  $2^k$  decryption keys
  - Stop when valid plaintext recognized
- Given a ciphertext, there are  $2^k$  possible plaintexts
- Expected number of valid plaintexts

$$2^k / 2^{(1-\alpha)n}$$

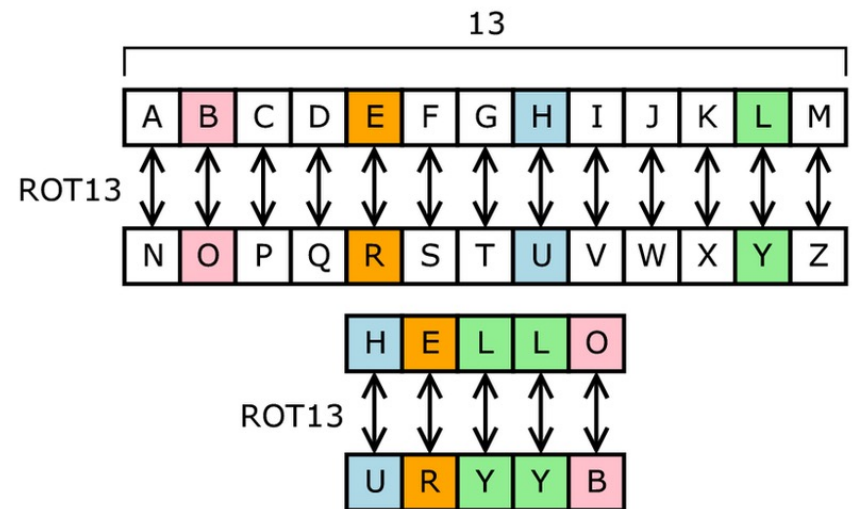
- Expected unique valid plaintext, (no spurious keys) achieved at **unicity distance**

$$n = k / (1-\alpha)$$

- For English text and 256-bit keys, unicity distance is 304 bits

# Substitution Ciphers

- Each letter is uniquely replaced by another.
- There are 26! possible substitution ciphers.
- There are more than  $4.03 \times 10^{26}$  such ciphers.
- One popular substitution “cipher” for some Internet posts is ROT13.



Public domain image from <http://en.wikipedia.org/wiki/File:ROT13.png>



# Frequency Analysis

- Letters in a natural language, like English, are not uniformly distributed.
- Knowledge of letter frequencies, including pairs and triples can be used in cryptologic attacks against substitution ciphers.

a: 8.05%	b: 1.67%	c: 2.23%	d: 5.10%
e: 12.22%	f: 2.14%	g: 2.30%	h: 6.62%
i: 6.28%	j: 0.19%	k: 0.95%	l: 4.08%
m: 2.33%	n: 6.95%	o: 7.63%	p: 1.66%
q: 0.06%	r: 5.29%	s: 6.02%	t: 9.67%
u: 2.92%	v: 0.82%	w: 2.60%	x: 0.11%
y: 2.04%	z: 0.06%		

Letter frequencies in the book *The Adventures of Tom Sawyer*, by Twain.

# Substitution Boxes

- Substitution can also be done on binary numbers.
- Such substitutions are usually described by substitution boxes, or S-boxes.

	00	01	10	11		0	1	2	3
00	0011	0100	1111	0001	0	3	8	15	1
01	1010	0110	0101	1011	1	10	6	5	11
10	1110	1101	0100	0010	2	14	13	4	2
11	0111	0000	1001	1100	3	7	0	9	12
(a)					(b)				

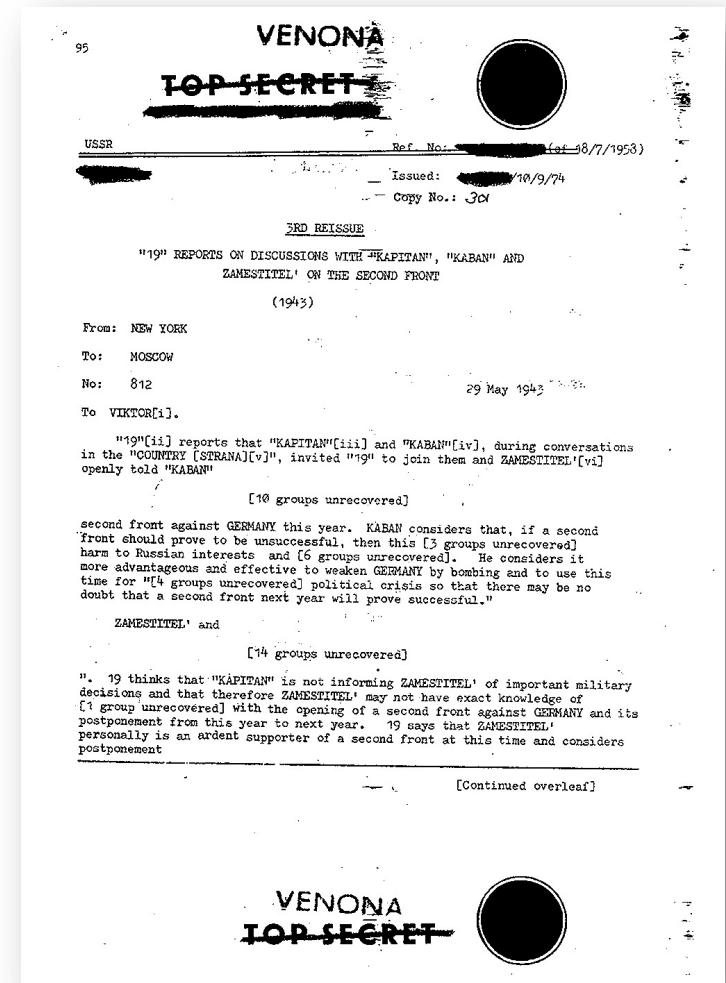
**Figure 8.3:** A 4-bit S-box (a) An S-box in binary. (b) The same S-box in decimal.

# One-Time Pads

- There is one type of substitution cipher that is absolutely unbreakable.
  - The **one-time pad** was invented in 1917 by Joseph Mauborgne and Gilbert Vernam
  - We use a block of shift keys,  $(k_1, k_2, \dots, k_n)$ , to encrypt a plaintext,  $M$ , of length  $n$ , with each shift key being chosen uniformly at random.
- Since each shift is random, every ciphertext is equally likely for any plaintext.

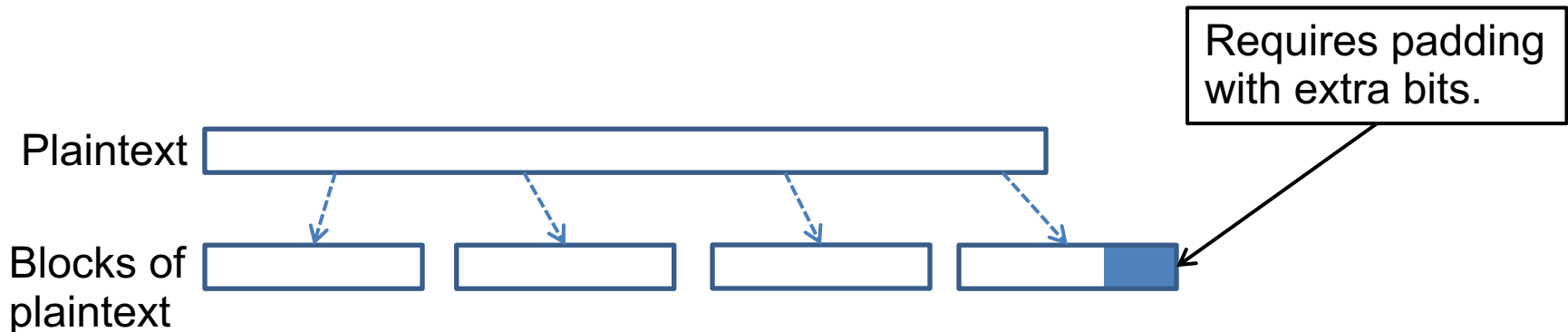
# Weaknesses of the One-Time Pad

- In spite of their perfect security, one-time pads have some weaknesses
- The key has to be as long as the plaintext
- Keys can never be reused
  - Repeated use of one-time pads allowed the U.S. to break some of the communications of Soviet spies during the Cold War.



# Block Ciphers

- In a **block cipher**:
  - Plaintext and ciphertext have fixed length  $b$  (e.g., 128 bits)
  - A plaintext of length  $n$  is partitioned into a sequence of  $m$  **blocks**,  $P[0], \dots, P[m-1]$ , where  $n \leq bm < n + b$
- Each message is divided into a sequence of blocks and encrypted or decrypted in terms of its blocks.



# Padding

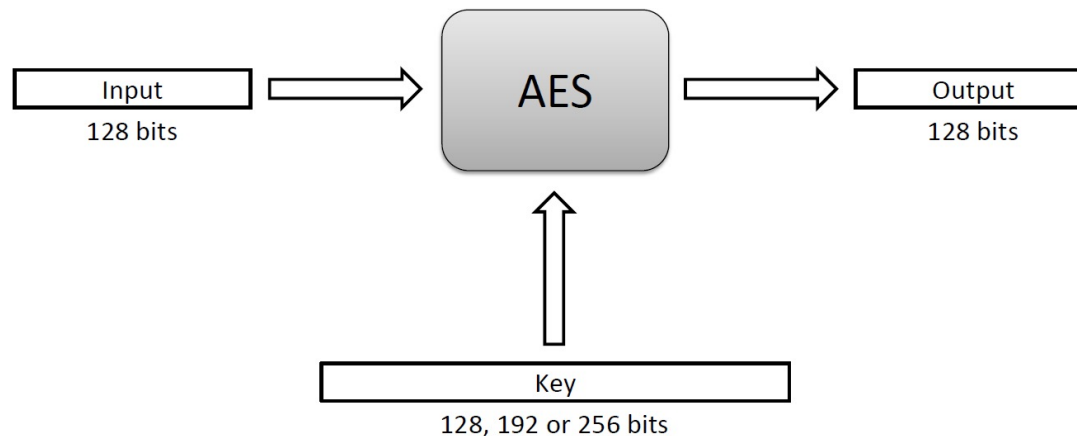
- Block ciphers require the length  $n$  of the plaintext to be a multiple of the block size  $b$
- Padding the last block needs to be unambiguous (cannot just add zeroes)
- When the block size and plaintext length are a multiple of 8, a common padding method (PKCS5) is a sequence of identical bytes, each indicating the length (in bytes) of the padding
- Example for  $b = 128$  (16 bytes)
  - Plaintext: “Roberto” (7 bytes)
  - Padded plaintext: “Roberto999999999” (16 bytes), where 9 denotes the number and not the character
- We need to always pad the last block, which may consist only of padding

# Block Ciphers in Practice

- Data Encryption Standard (DES)
  - Developed by IBM and adopted by NIST in 1977
  - 64-bit blocks and 56-bit keys
  - Small key space makes exhaustive search attack feasible since late 90s
- Triple DES (3DES)
  - Nested application of DES with three different keys  $K_A$ ,  $K_B$ , and  $K_C$
  - Effective key length is 168 bits, making exhaustive search attacks unfeasible
  - $C = E_{K_C}(D_{K_B}(E_{K_A}(P)))$ ;  $P = D_{K_A}(E_{K_B}(D_{K_C}(C)))$
  - Equivalent to DES when  $K_A=K_B=K_C$  (backward compatible)
- Advanced Encryption Standard (AES)
  - Selected by NIST in 2001 through open international competition and public discussion
  - 128-bit blocks and several possible key lengths: 128, 192 and 256 bits
  - Exhaustive search attack not currently possible
  - AES-256 is the symmetric encryption algorithm of choice

# The Advanced Encryption Standard (AES)

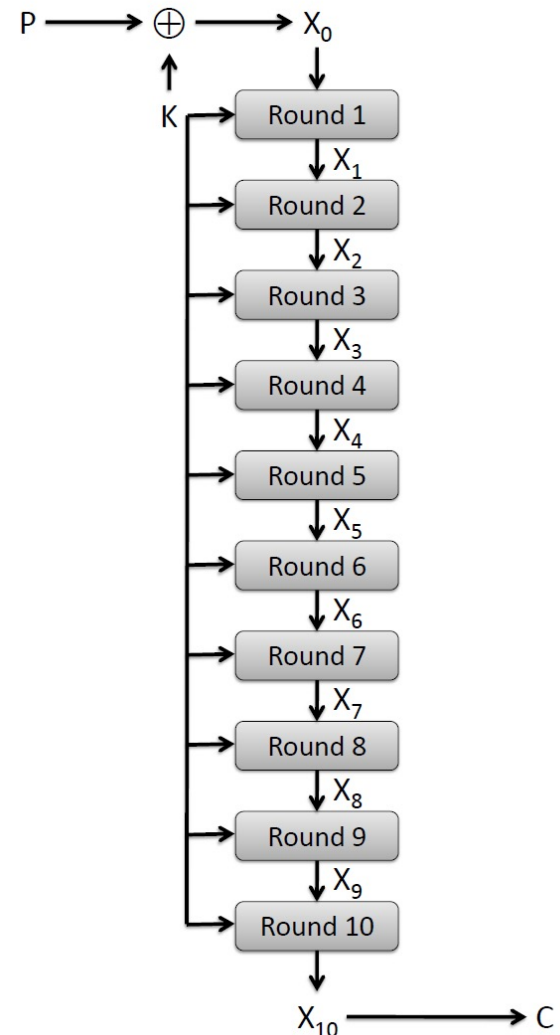
- In 1997, the U.S. National Institute for Standards and Technology (NIST) put out a public call for a replacement to DES.
- It narrowed down the list of submissions to five finalists, and ultimately chose an algorithm that is now known as the **Advanced Encryption Standard (AES)**.
- AES is a block cipher that operates on 128-bit blocks. It is designed to be used with keys that are 128, 192, or 256 bits long, yielding ciphers known as AES-128, AES-192, and AES-256.





# AES Round Structure

- The 128-bit version of the AES encryption algorithm proceeds in ten rounds.
- Each round performs an invertible transformation on a 128-bit array, called **state**.
- The initial state  $X_0$  is the XOR of the plaintext  $P$  with the key  $K$ :
  - $X_0 = P \text{ XOR } K$ .
- Round  $i$  ( $i = 1, \dots, 10$ ) receives state  $X_{i-1}$  as input and produces state  $X_i$ .
- The ciphertext  $C$  is the output of the final round:  $C = X_{10}$ .

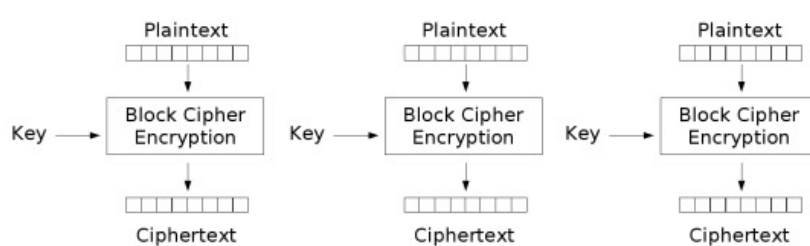


# AES Rounds

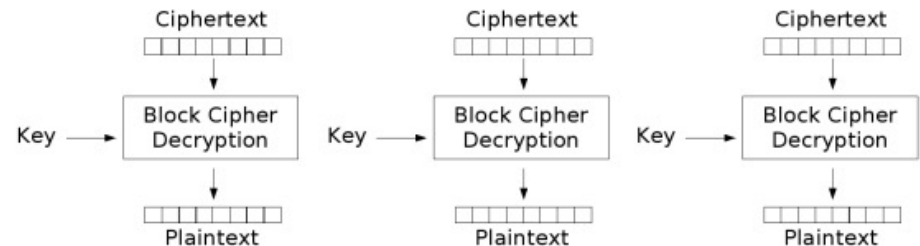
- Each round is built from four basic steps:
  1. **SubBytes step**: an S-box substitution step
  2. **ShiftRows step**: a permutation step
  3. **MixColumns step**: a matrix multiplication step
  4. **AddRoundKey step**: an XOR step with a **round key** derived from the 128-bit encryption key

# Block Cipher Modes

- A block cipher mode describes the way a block cipher encrypts and decrypts a sequence of message blocks.
- Electronic Code Book (ECB) Mode (is the simplest):
  - Block  $P[i]$  encrypted into ciphertext block  $C[i] = E_K(P[i])$
  - Block  $C[i]$  decrypted into plaintext block  $M[i] = D_K(C[i])$



Electronic Codebook (ECB) mode encryption



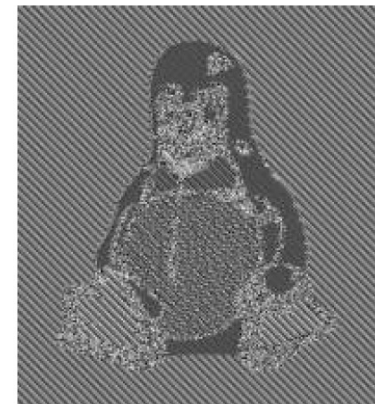
Electronic Codebook (ECB) mode decryption

# Strengths and Weaknesses of ECB

- Strengths:
  - Is very simple
  - Allows for parallel encryptions of the blocks of a plaintext
  - Can tolerate the loss or damage of a block
- Weakness:
  - Documents and images are not suitable for ECB encryption since patterns in the plaintext are repeated in the ciphertext:



(a)

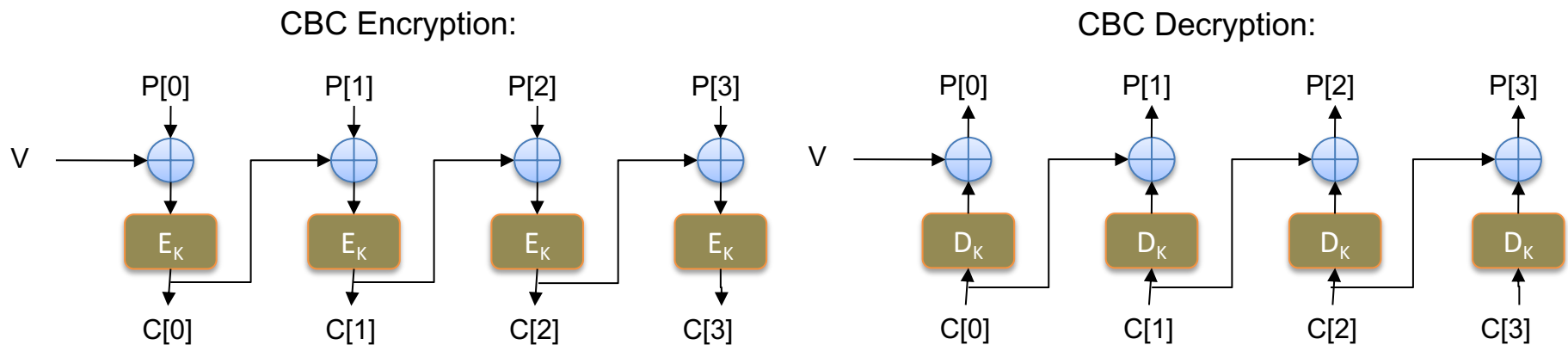


(b)

**Figure 8.6:** How ECB mode can leave identifiable patterns in a sequence of blocks: (a) An image of Tux the penguin, the Linux mascot. (b) An encryption of the Tux image using ECB mode. (The image in (a) is by Larry Ewing, lewing@isc.tamu.edu, using The Gimp; the image in (b) is by Dr. Juzam. Both are used with permission via attribution.)

# Cipher Block Chaining (CBC) Mode

- In Cipher Block Chaining (CBC) Mode
  - The previous ciphertext block is combined with the current plaintext block  $C[i] = E_K(C[i-1] \oplus P[i])$
  - $C[-1] = V$ , a random block separately transmitted encrypted (known as the initialization vector)
  - Decryption:  $P[i] = C[i-1] \oplus D_K(C[i])$



# Strengths and Weaknesses of CBC

- Strengths:
  - Doesn't show patterns in the plaintext
  - Is the most common mode
  - Is fast and relatively simple
- Weaknesses:
  - CBC requires the reliable transmission of all the blocks sequentially
  - CBC is not suitable for applications that allow packet losses (e.g., music and video streaming)

# Java AES Encryption Example

- Source

<http://java.sun.com/javase/6/docs/technotes/guides/security/crypto/CryptoSpec.html>

- Generate an AES key

```
KeyGenerator keygen = KeyGenerator.getInstance("AES");  
SecretKey aesKey = keygen.generateKey();
```

- Create a cipher object for AES in ECB mode and PKCS5 padding

```
Cipher aesCipher;  
aesCipher = Cipher.getInstance("AES/ECB/PKCS5Padding");
```

- Encrypt

```
aesCipher.init(Cipher.ENCRYPT_MODE, aesKey);  
byte[] plaintext = "My secret message".getBytes();  
byte[] ciphertext = aesCipher.doFinal(plaintext);
```

- Decrypt

```
aesCipher.init(Cipher.DECRYPT_MODE, aesKey);  
byte[] plaintext1 = aesCipher.doFinal(ciphertext);
```

# Stream Cipher

- Key stream
  - Pseudo-random sequence of bits  $S = S[0], S[1], S[2], \dots$
  - Can be generated on-line one bit (or byte) at the time
- Stream cipher
  - XOR the plaintext with the key stream  $C[i] = S[i] \oplus P[i]$
  - Suitable for plaintext of arbitrary length generated on the fly, e.g., media stream
- Synchronous stream cipher
  - Key stream obtained only from the secret key  $K$
  - Works for unreliable channels if plaintext has packets with sequence numbers
- Self-synchronizing stream cipher
  - Key stream obtained from the secret key and  $q$  previous ciphertexts
  - Lost packets cause a delay of  $q$  steps before decryption resumes



# Key Stream Generation

- RC4
  - Designed in 1987 by Ron Rivest for RSA Security
  - Trade secret until 1994
  - Uses keys with up to 2,048 bits
  - Simple algorithm
- Block cipher in counter mode (CTR)
  - Use a block cipher with block size  $b$
  - The secret key is a pair  $(K, t)$ , where  $K$  is key and  $t$  (counter) is a  $b$ -bit value
  - The key stream is the concatenation of ciphertexts
$$E_K(t), E_K(t + 1), E_K(t + 2), \dots$$
  - Can use a shorter counter concatenated with a random value
  - Synchronous stream cipher

# Attacks on Stream Ciphers

- Repetition attack
  - if key stream reused, attacker obtains XOR of two plaintexts
- Insertion attack [Bayer Metzger, TODS 1976]
  - retransmission of the plaintext with
    - a chosen byte inserted by attacker
    - using the same key stream
  - e.g., email message resent with new message number

Original

P	P[i]	P[i+1]	P[i+2]	P[i+3]
S	S[i]	S[i+1]	S[i+2]	S[i+3]
C	C[i]	C[i+1]	C[i+2]	C[i+3]

Retransmission

P	P[i]	X	P[i+1]	P[i+2]
S	S[i]	S[i+1]	S[i+2]	S[i+3]
C	C[i]	C'[i+1]	C'[i+2]	C'[i+3]

# Public Key Encryption

# Facts About Numbers

- Prime number  $p$ :
  - $p$  is an integer
  - $p \geq 2$
  - The only divisors of  $p$  are 1 and  $p$
- Examples
  - 2, 7, 19 are primes
  - -3, 0, 1, 6 are not primes
- Prime decomposition of a positive integer  $n$ :
$$n = p_1^{e_1} \times \dots \times p_k^{e_k}$$
- Example:
  - $200 = 2^3 \times 5^2$

## Fundamental Theorem of Arithmetic

The prime decomposition of a positive integer is unique

# Greatest Common Divisor

- The **greatest common divisor** (GCD) of two positive integers  $a$  and  $b$ , denoted  $\gcd(a, b)$ , is the largest positive integer that divides both  $a$  and  $b$
- The above definition is extended to arbitrary integers

- Examples:

$$\gcd(18, 30) = 6$$

$$\gcd(0, 20) = 20$$

$$\gcd(-21, 49) = 7$$

- Two integers  $a$  and  $b$  are said to be relatively prime if

$$\gcd(a, b) = 1$$

- Example:

- Integers 15 and 28 are relatively prime

# Modular Arithmetic

- Modulo operator for a positive integer  $n$

$$r = a \bmod n$$

equivalent to

$$a = r + kn$$

and

$$r = a - \lfloor a/n \rfloor n$$

- Example:

$$\begin{array}{lll} 29 \bmod 13 = 3 & 13 \bmod 13 = 0 & -1 \bmod 13 = 12 \\ 29 = 3 + 2 \times 13 & 13 = 0 + 1 \times 13 & 12 = -1 + 1 \times 13 \end{array}$$

- Modulo and GCD:

$$\gcd(a, b) = \gcd(b, a \bmod b)$$

- Example:

$$\gcd(21, 12) = 3 \quad \gcd(12, 21 \bmod 12) = \gcd(12, 9) = 3$$

# Euclid's GCD Algorithm

- Euclid's algorithm for computing the GCD repeatedly applies the formula

$$\gcd(a, b) = \gcd(b, a \bmod b)$$

- Example

$$-\gcd(412, 260) = 4$$

**Algorithm** *EuclidGCD*( $a, b$ )

**Input** integers  $a$  and  $b$

**Output**  $\gcd(a, b)$

**if**  $b = 0$

**return**  $a$

**else**

**return** *EuclidGCD*( $b, a \bmod b$ )

a	412	260	152	108	44	20	4
b	260	152	108	44	20	4	0

# Analysis

- Let  $a_i$  and  $b_i$  be the arguments of the  $i$ -th recursive call of algorithm *EuclidGCD*
- We have

$$a_{i+2} = b_{i+1} = a_i \bmod a_{i+1} < a_{i+1}$$

- Sequence  $a_1, a_2, \dots, a_n$  decreases exponentially, namely

$$a_{i+2} \leq \frac{1}{2} a_i \text{ for } i > 1$$

$$\text{Case 1 } a_{i+1} \leq \frac{1}{2} a_i \quad a_{i+2} < a_{i+1} \leq \frac{1}{2} a_i$$

$$\text{Case 2 } a_{i+1} > \frac{1}{2} a_i \quad a_{i+2} = a_i \bmod a_{i+1} = a_i - a_{i+1} \leq \frac{1}{2} a_i$$

- Thus, the maximum number of recursive calls of algorithm *EuclidGCD(a, b)* is

$$1 + 2 \log \max(a, b)$$

- Algorithm *EuclidGCD(a, b)* executes  $O(\log \max(a, b))$  arithmetic operations
- The running time can also be expressed as  $O(\log \min(a, b))$



# Multiplicative Inverses (1)

- The **residues** modulo a positive integer  $n$  are the set

$$\mathbb{Z}_n = \{0, 1, 2, \dots, (n - 1)\}$$

- Let  $x$  and  $y$  be two elements of  $\mathbb{Z}_n$  such that

$$xy \bmod n = 1$$

We say that  $y$  is the **multiplicative inverse** of  $x$  in  $\mathbb{Z}_n$  and we write  $y = x^{-1}$

- Example:
  - Multiplicative inverses of the residues modulo 11

$x$	0	1	2	3	4	5	6	7	8	9	10
$x^{-1}$		1	6	4	3	9	2	8	7	5	10

# Multiplicative Inverses (2)

## Theorem

An element  $x$  of  $\mathbb{Z}_n$  has a multiplicative inverse if and only if  $x$  and  $n$  are relatively prime

- Example
  - The elements of  $\mathbb{Z}_{10}$  with a multiplicative inverse are 1, 3, 7, 9

## Corollary

If  $p$  is prime, every nonzero residue in  $\mathbb{Z}_p$  has a multiplicative inverse

## Theorem

A variation of Euclid's GCD algorithm computes the multiplicative inverse of an element  $x$  of  $\mathbb{Z}_n$  or determines that it does not exist

$x$	0	1	2	3	4	5	6	7	8	9
$x^{-1}$		1		7				3		9

# Example: Measuring Lengths

- Consider a stick of length  $a$  and a stick of length  $b$  such that  $a$  and  $b$  are relatively prime
- Given two integers  $i$  and  $j$ , we can measure length
$$n = ia + jb$$
- We show that any integer  $n$  can be written as  $n = ia + jb$  for some integers  $i$  and  $j$ 
  - Let  $s$  be the inverse of  $a$  in  $\mathbb{Z}_b$ . We have  $sa \bmod b = 1$
  - There exists integer  $t$  such that  $sa + tb = 1$
  - Pick  $i = ns$  and  $j = nt$
- Thus, given two sticks of relatively prime integer lengths, we can measure any integer length
- Example, measure length 2 with sticks of length 3 and 7



# Example: Double Hashing

- Consider a hash table whose size  $n$  is a prime
- In open addressing with double hashing, an operation on key  $x$  probes the following locations modulo  $n$

$$i, i + d, i + 2d, i + 3d, \dots, i + (n - 1)d$$

where  $i = h_1(x)$  and  $d = h_2(x)$

- We show that each table location is probed by this sequence once
  - Suppose  $(i + jd) \bmod n = (i + kd) \bmod n$  for some integers  $j$  and  $k$  in the range  $[0, n - 1]$
  - We have  $(j - k)d \bmod n = 0$
  - Since  $n$  is prime, we have that  $n$  and  $d$  are relatively prime
  - Thus,  $d$  has an inverse  $d^{-1}$  in  $\mathbb{Z}_n$
  - Multiplying each side by  $d^{-1}$ , we obtain  $(j - k) \bmod n = 0$
  - We conclude that  $j = k$

# Powers

- Let  $p$  be a prime
- The sequences of successive powers of the elements of  $\mathbb{Z}_p$  exhibit repeating subsequences
- The sizes of the repeating subsequences and the number of their repetitions are the divisors of  $p - 1$
- Example ( $p = 7$ )

$x$	$x^2$	$x^3$	$x^4$	$x^5$	$x^6$
1	1	1	1	1	1
2	4	1	2	4	1
3	2	6	4	5	1
4	2	1	4	2	1
5	4	6	2	3	1
6	1	6	1	6	1

# Fermat's Little Theorem

## Theorem

Let  $p$  be a prime. For each nonzero residue  $x$  of  $\mathbb{Z}_p$ , we have  $x^{p-1} \bmod p = 1$

- Example ( $p = 5$ ):

$$1^4 \bmod 5 = 1$$

$$2^4 \bmod 5 = 16 \bmod 5 = 1$$

$$3^4 \bmod 5 = 81 \bmod 5 = 1$$

$$4^4 \bmod 5 = 256 \bmod 5 = 1$$

## Corollary

Let  $p$  be a prime. For each nonzero residue  $x$  of  $\mathbb{Z}_p$ , the multiplicative inverse of  $x$  is  $x^{p-2} \bmod p$

Proof

$$x(x^{p-2} \bmod p) \bmod p = xx^{p-2} \bmod p = x^{p-1} \bmod p = 1$$

# Euler's Theorem

- The multiplicative group for  $\mathbb{Z}_n$ , denoted with  $\mathbb{Z}_n^*$ , is the subset of elements of  $\mathbb{Z}_n$  relatively prime with  $n$
- The totient function of  $n$ , denoted with  $\phi(n)$ , is the size of  $\mathbb{Z}_n^*$

- Example

$$\mathbb{Z}_{10}^* = \{ 1, 3, 7, 9 \} \quad \phi(10) = 4$$

- If  $p$  is prime, we have

$$\mathbb{Z}_p^* = \{ 1, 2, \dots, (p-1) \} \quad \phi(p) = p-1$$

## Euler's Theorem

For each element  $x$  of  $\mathbb{Z}_n^*$ , we have  $x^{\phi(n)} \bmod n = 1$

- Example ( $n = 10$ )

$$3^{\phi(10)} \bmod 10 = 3^4 \bmod 10 = 81 \bmod 10 = 1$$

$$7^{\phi(10)} \bmod 10 = 7^4 \bmod 10 = 2401 \bmod 10 = 1$$

$$9^{\phi(10)} \bmod 10 = 9^4 \bmod 10 = 6561 \bmod 10 = 1$$

# RSA Cryptosystem

- Setup:
  - $n = pq$ , with  $p$  and  $q$  primes
  - $e$  relatively prime to  $\phi(n) = (p - 1)(q - 1)$
  - $d$  inverse of  $e$  in  $\mathbb{Z}_{\phi(n)}$
- Keys:
  - Public key:  $K_E = (n, e)$
  - Private key:  $K_D = d$
- Encryption:
  - Plaintext  $M$  in  $\mathbb{Z}_n$
  - $C = M^e \bmod n$
- Decryption:
  - $M = C^d \bmod n$

## • Example

- Setup:
  - ♦  $p = 7, q = 17$
  - ♦  $n = 7 \cdot 17 = 119$
  - ♦  $\phi(n) = 6 \cdot 16 = 96$
  - ♦  $e = 5$
  - ♦  $d = 77$
- Keys:
  - ♦ public key:  $(119, 5)$
  - ♦ private key:  $77$
- Encryption:
  - ♦  $M = 19$
  - ♦  $C = 19^5 \bmod 119 = 66$
- Decryption:
  - ♦  $C = 66^{77} \bmod 119 = 19$



# Complete RSA Example

- Setup:

- $p = 5, q = 11$

- $n = 5 \cdot 11 = 55$

- $\phi(n) = 4 \cdot 10 = 40$

- $e = 3$

- $d = 27$  ( $3 \cdot 27 = 81 = 2 \cdot 40 + 1$ )

- Encryption

- $C = M^3 \bmod 55$

- Decryption

- $M = C^{27} \bmod 55$

$M$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$C$	1	8	27	9	15	51	13	17	14	10	11	23	52	49	20	26	18	2
$M$	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36
$C$	39	25	21	33	12	19	5	31	48	7	24	50	36	43	22	34	30	16
$M$	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54
$C$	53	37	29	35	6	3	32	44	45	41	38	42	4	40	46	28	47	54

# Security

- Security of RSA based on difficulty of factoring
  - Widely believed
  - Best known algorithm takes exponential time
- RSA Security factoring challenge (discontinued)
- In 1999, 512-bit challenge factored in 4 months using 35.7 CPU-years
  - 160 175-400 MHz SGI and Sun
  - 8 250 MHz SGI Origin
  - 120 300-450 MHz Pentium II
  - 4 500 MHz Digital/Compaq
- In 2005, a team of researchers factored the RSA-640 challenge number using 30 2.2GHz CPU years
- In 2004, the prize for factoring RSA-2048 was \$200,000
- Current practice is 2,048-bit keys
- Estimated resources needed to factor a number within one year

Length (bits)	PCs	Memory
430	1	128MB
760	215,000	4GB
1,020	$342 \times 10^6$	170GB
1,620	$1.6 \times 10^{15}$	120TB

# Correctness

- We show the correctness of the RSA cryptosystem for the case when the plaintext  $M$  does not divide  $n$

- Namely, we show that

$$(M^e)^d \bmod n = M$$

- Since  $ed \bmod \phi(n) = 1$ , there is an integer  $k$  such that

$$ed = k\phi(n) + 1$$

- Since  $M$  does not divide  $n$ , by Euler's theorem we have

$$M^{\phi(n)} \bmod n = 1$$

- Thus, we obtain

$$(M^e)^d \bmod n =$$

$$M^{ed} \bmod n =$$

$$M^{k\phi(n) + 1} \bmod n =$$

$$MM^{k\phi(n)} \bmod n =$$

$$M (M^{\phi(n)})^k \bmod n =$$

$$M (M^{\phi(n)} \bmod n)^k \bmod n =$$

$$M (1)^k \bmod n =$$

$$M \bmod n =$$

$$M$$

- Proof of correctness can be extended to the case when the plaintext  $M$  divides  $n$

# Algorithmic Issues

- The implementation of the RSA cryptosystem requires various algorithms
- Overall
  - Representation of integers of arbitrarily large size and arithmetic operations on them
- Encryption
  - Modular power
- Decryption
  - Modular power
- Setup
  - Generation of **random numbers** with a given number of bits (to generate candidates  $p$  and  $q$ )
  - Primality testing** (to check that candidates  $p$  and  $q$  are prime)
  - Computation of the **GCD** (to verify that  $e$  and  $\phi(n)$  are relatively prime)
  - Computation of the **multiplicative inverse** (to compute  $d$  from  $e$ )

# Modular Power

- The repeated squaring algorithm speeds up the computation of a modular power  $a^p \bmod n$

- Write the exponent  $p$  in binary

$$p = p_{b-1}p_{b-2} \cdots p_1p_0$$

- Start with

$$Q_1 = a^{p_{b-1}} \bmod n$$

- Repeatedly compute

$$Q_i = ((Q_{i-1})^2 \bmod n) a^{p_{b-i}} \bmod n$$

- We obtain

$$Q_b = a^p \bmod n$$

- The repeated squaring algorithm performs  $O(\log p)$  arithmetic operations

- Example

$$-3^{18} \bmod 19 \quad (18 = 10010)$$

$$-Q_1 = 3^1 \bmod 19 = 3$$

$$-Q_2 = (3^2 \bmod 19)3^0 \bmod 19 = 9$$

$$-Q_3 = (9^2 \bmod 19)3^0 \bmod 19 = 81 \bmod 19 = 5$$

$$-Q_4 = (5^2 \bmod 19)3^1 \bmod 19 = (25 \bmod 19)3 \bmod 19 = 18 \bmod 19 = 18$$

$$-Q_5 = (18^2 \bmod 19)3^0 \bmod 19 = (324 \bmod 19) \bmod 19 = 17 \cdot 19 + 1 \bmod 19 = 1$$

$p_{5-i}$	1	0	0	1	0
$2^{p_{5-i}}$	3	1	1	3	1
$Q_i$	3	9	5	18	1

# Modular Inverse

## Theorem

Given positive integers  $a$  and  $b$ , let  $d$  be the smallest positive integer such that

$$d = ia + jb$$

for some integers  $i$  and  $j$ .

We have

$$d = \gcd(a, b)$$

- Example

- $a = 21$
- $b = 15$
- $d = 3$
- $i = 3, j = -4$
- $3 = 3 \cdot 21 + (-4) \cdot 15 = 63 - 60 = 3$

- Given positive integers  $a$  and  $b$ , the extended Euclid's algorithm computes a triplet  $(d, i, j)$  such that
  - $d = \gcd(a, b)$
  - $d = ia + jb$
- To test the existence of and compute the inverse of  $x \in \mathbb{Z}_n$ , we execute the extended Euclid's algorithm on the input pair  $(x, n)$
- Let  $(d, i, j)$  be the triplet returned
  - $d = ix + jn$
- Case 1:  $d = 1$ 
  - $i$  is the inverse of  $x$  in  $\mathbb{Z}_n$
- Case 2:  $d > 1$ 
  - $x$  has no inverse in  $\mathbb{Z}_n$

# Pseudoprimality Testing

- The number of primes less than or equal to  $n$  is about  $n / \ln n$
- Thus, we expect to find a prime among  $O(b)$  randomly generated numbers with  $b$  bits each
- Testing whether a number is prime (primality testing) is a difficult problem, though polynomial-time algorithms exist
- An integer  $n \geq 2$  is said to be a base- $x$  pseudoprime if
  - $x^{n-1} \bmod n = 1$  (Fermat's little theorem)
- Composite base- $x$  pseudoprimes are rare:
  - A random 100-bit integer is a composite base-2 pseudoprime with probability less than  $10^{-13}$
  - The smallest composite base-2 pseudoprime is 341
- Base- $x$  pseudoprimality testing for an integer  $n$ :
  - Check whether  $x^{n-1} \bmod n = 1$
  - Can be performed efficiently with the repeated squaring algorithm

# Randomized Primality Testing

- Compositeness witness function  $witness(x, n)$  with error probability  $q$  for a random variable  $x$ 
  - Case 1:  $n$  is prime  
 $witness(x, n) = false$  always
  - Case 2:  $n$  is composite  
 $witness(x, n) = true$  in most cases,  $false$  with small probability  $q < 1$
- Algorithm *RandPrimeTest* tests whether  $n$  is prime by repeatedly evaluating  $witness(x, n)$
- A variation of base- $x$  pseudoprimality provides a suitable compositeness witness function for randomized primality testing (Rabin-Miller algorithm)

## Algorithm *RandPrimeTest*( $n, k$ )

**Input** integer  $n$ , confidence parameter  $k$  and composite witness function  $witness(x, n)$  with error probability  $q$

**Output** an indication of whether  $n$  is composite or prime with probability  $2^{-k}$

$t \leftarrow k / \log_2(1/q)$

**for**  $i \leftarrow 1$  **to**  $t$

$x \leftarrow random()$

**if**  $witness(x, n) = true$

**return** “ $n$  is composite”

**return** “ $n$  is prime”



# Cryptographic Hash Functions

# Hash Functions

- A **hash function**  $h$  maps a plaintext  $x$  to a fixed-length value  $x = h(P)$  called hash value or digest of  $P$ 
  - A **collision** is a pair of plaintexts  $P$  and  $Q$  that map to the same hash value,  $h(P) = h(Q)$
  - Collisions are unavoidable
  - For efficiency, the computation of the hash function should take time proportional to the length of the input plaintext
- Hash table
  - Search data structure based on storing items in locations associated with their hash value
  - Chaining or open addressing deal with collisions
  - Domain of hash values proportional to the expected number of items to be stored
  - The hash function should spread plaintexts uniformly over the possible hash values to achieve constant expected search time

# Cryptographic Hash Functions

- A **cryptographic hash function** satisfies additional properties
  - Preimage resistance (aka one-way)
    - Given a hash value  $x$ , it is hard to find a plaintext  $P$  such that  $h(P) = x$
  - Second preimage resistance (aka weak collision resistance)
    - Given a plaintext  $P$ , it is hard to find a plaintext  $Q$  such that  $h(Q) = h(P)$
  - Collision resistance (aka strong collision resistance)
    - It is hard to find a pair of plaintexts  $P$  and  $Q$  such that  $h(Q) = h(P)$
- Collision resistance implies second preimage resistance
- Hash values of at least 256 bits recommended to defend against brute-force attacks
- A **random oracle** is a theoretical model for a cryptographic hash function from a finite input domain  $\mathcal{P}$  to a finite output domain  $\mathcal{X}$ 
  - Pick randomly and uniformly a function  $h: \mathcal{P} \rightarrow \mathcal{X}$  over all possible such functions
  - Provide only oracle access to  $h$ : one can obtain hash values for given plaintexts, but no other information about the function  $h$  itself

# Birthday Attack

- The brute-force **birthday attack** aims at finding a collision for a hash function  $h$ 
  - Randomly generate a sequence of plaintexts  $X_1, X_2, X_3, \dots$
  - For each  $X_i$  compute  $y_i = h(X_i)$  and test whether  $y_i = y_j$  for some  $j < i$
  - Stop as soon as a collision has been found
- If there are  $m$  possible hash values, the probability that the  $i$ -th plaintext does not collide with any of the previous  $i - 1$  plaintexts is  $1 - (i - 1)/m$
- The probability  $F_k$  that the attack fails (no collisions) after  $k$  plaintexts is
$$F_k = (1 - 1/m) (1 - 2/m) (1 - 3/m) \dots (1 - (k - 1)/m)$$
- Using the standard approximation  $1 - x \approx e^{-x}$ 
$$F_k \approx e^{-(1/m + 2/m + 3/m + \dots + (k-1)/m)} = e^{-k(k-1)/2m}$$
- The attack succeeds/fails with probability  $1/2$  when  $F_k = 1/2$ , that is,
$$e^{-k(k-1)/2m} = 1/2$$
$$k \approx 1.17 m^{1/2}$$
- We conclude that a hash function with  $b$ -bit values provides about  $b/2$  bits of security

# Message-Digest Algorithm 5 (MD5)

- Developed by Ron Rivest in 1991
- Uses 128-bit hash values
- Still widely used in legacy applications although considered insecure
- Various severe vulnerabilities discovered
- [Chosen-prefix collisions attacks](#) found by Marc Stevens, Arjen Lenstra and Benne de Weger
  - Start with two arbitrary plaintexts P and Q
  - One can compute suffixes S1 and S2 such that P || S1 and Q || S2 collide under MD5 by making 250 hash evaluations
  - Using this approach, a pair of different executable files or PDF documents with the same MD5 hash can be computed

# Secure Hash Algorithm (SHA)

- Developed by NSA and approved as a federal standard by NIST
- SHA-0 and SHA-1 (1993)
  - 160-bits
  - Considered insecure
  - Still found in legacy applications
  - Vulnerabilities less severe than those of MD5
- SHA-2 family (2002)
  - 256 bits (SHA-256) or 512 bits (SHA-512)
  - Still considered secure despite published attack techniques
- Public competition for SHA-3 announced in 2007

# Iterated Hash Function

- A **compression function** works on input values of fixed length
- An **iterated hash function** extends a compression function to inputs of arbitrary length
  - padding, initialization vector, and chain of compression functions
  - inherits collision resistance of compression function
- MD5 and SHA are iterated hash functions

