

15-312 Assignment 1

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1 Syntax

Type	$\tau ::=$		
	nat	nat	naturals
	unit	unit	unit
	bool	bool	boolean
	prod ($\tau_1; \tau_2$)	$\tau_1 \times \tau_2$	product
	arr ($\tau_1; \tau_2$)	$\tau_1 \rightarrow \tau_2$	function
	list (τ)	τ list	list
Exp	$e ::=$		
	x	x	variable
	nat [n]	\overline{n}	number
	unit	()	unit
	T	T	true
	F	F	false
	if ($e; e_1; e_2$)	if e then e_1 else e_2	if
	lam ($x : \tau.e$)	$\lambda x : \tau.e$	abstraction
	ap ($e_1; e_2$)	$e_1(e_2)$	application
	tpl ($e_1; e_2$)	$\langle e_1, e_2 \rangle$	tuple
	fst (e)	$e \cdot l$	first projection
	snd (e)	$e \cdot r$	second projection
	nil	\square	nil
	cons ($e_1; e_2$)	$e_1 :: e_2$	cons
	case { e }($e_1; x, xs.e_2$)	case e { nil $\hookrightarrow e_1$ cons ($x; xs$) $\hookrightarrow e_2$ }	match list
Val	$v ::=$		
	val [l](n)	n^l	numeric value
	val [l](T)	\mathbf{T}^l	true value
	val [l](F)	\mathbf{F}^l	false value
	val [l](Null)	\mathbf{Null}^l	null value
	val [l](cl ($V; x.e$))	$(V, x.e)^l$	function value
	val [l_2](l_1)	$l_1^{l_2}$	loc value
	val [l](pair ($v_1; v_2$))	$\langle v_1, v_2 \rangle^l$	pair value
Loc	$l ::=$		
	loc (l)	l	location

2 Heap semantics

Model dynamics using judgement of the form:

$$\boxed{V, H, R \vdash e \Downarrow^s v, H'}$$

Where $V : VID \rightarrow Val$, $H : Loc \rightarrow Val$, and $R : \{Loc\}$. This can be read as: under stack V , heap H , and roots R , the expression e evaluates to v using maximum heap space s , and engenders

a new heap H' .

Roots represents the set of locations required to compute the continuation *excluding* the current expression. We can think of roots as the heap allocations necessary to compute the context with a hole that will be filled by the current expression.

Below defines the size of reachable values and space for roots:

$$\begin{aligned}
reach_H(n^l) &= \{l\} \\
reach_H(\mathbf{T}^l) &= \{l\} \\
reach_H(\mathbf{F}^l) &= \{l\} \\
reach_H(\mathbf{Null}^l) &= \{l\} \\
reach_H((V, x.e)^l) &= \{l\} \cup \left(\bigcup_{y \in FV(e) \setminus x} reach_H(V(y)) \right) \\
reach_H(l_1^{l_2}) &= \{l_2\} \cup loc_H(H(l_1)) \\
reach_H(\langle v_1, v_2 \rangle^l) &= \{l\} \cup reach_H(v_1) \cup reach_H(v_2) \\
loc_H(l) &= \{l\} \cup reach_H(H(l)) \\
space_H(R) &= \left| \bigcup_{l \in R} loc_H(l) \right| \\
locs_{V,H}(e) &= \bigcup_{x \in FV(e)} reach_H(V(x))
\end{aligned}$$

$$\frac{x \in \text{dom}(V)}{V, H, R \vdash x \Downarrow^{\text{space}_H(R \cup (\text{reach}_H(V(x))))} V(x), H} (\text{S}_1) \quad \frac{(l \text{ fresh}) \quad H' = H[l \mapsto n^l]}{V, H, R \vdash \bar{n} \Downarrow^{\text{space}_{H'}(R \cup \{\bar{l}\})} n^l, H'} (\text{S}_2)$$

$$\frac{(l \text{ fresh}) \quad H' = H[l \mapsto \mathbf{T}^l]}{V, H, R \vdash \mathbf{T} \Downarrow^{\text{space}_{H'}(R \cup \{\bar{l}\})} \mathbf{T}^l, H'} (\text{S}_3) \quad \frac{(l \text{ fresh}) \quad H' = H[l \mapsto \mathbf{F}^l]}{V, H, R \vdash \mathbf{F} \Downarrow^{\text{space}_{H'}(R \cup \{\bar{l}\})} \mathbf{F}^l, H'} (\text{S}_4)$$

$$\frac{(l \text{ fresh}) \quad H' = H[l \mapsto \mathbf{Null}^l]}{V, H, R \vdash () \Downarrow^{\text{space}_{H'}(R \cup \{\bar{l}\})} \mathbf{Null}^l, H'} (\text{S}_5)$$

$$\frac{V, H, R \cup \text{locs}_{V,H}(e_1) \cup \text{locs}_{V,H}(e_2) \vdash e \Downarrow^s \mathbf{T}^l, H' \quad V, H', R \vdash e_1 \Downarrow^{s_1} v_1, H_1}{V, H, R \vdash \text{if}(e; e_1; e_2) \Downarrow^{\max(s, s_1)} v_1, H_1} (\text{S}_6)$$

$$\frac{V, H, R \cup \text{locs}_{V,H}(e_1) \cup \text{locs}_{V,H}(e_2) \vdash e \Downarrow^s \mathbf{F}^l, H' \quad V, H', R \vdash e_2 \Downarrow^{s_2} v_2, H_2}{V, H, R \vdash \text{if}(e; e_1; e_2) \Downarrow^{\max(s, s_2)} v_2, H_2} (\text{S}_7)$$

$$\frac{(l \text{ fresh}) \quad H' = H[l \mapsto (V, x.e)^l]}{V, H, R \vdash \text{lam}(x : \tau.e) \Downarrow^{\text{space}_{H'}(R \cup \{\bar{l}\})} (V, x.e)^l, H'} (\text{S}_8)$$

$$\frac{V, H, R \cup \text{locs}_{V,H}(e_1) \vdash e_2 \Downarrow^{s_2} v_2, H_2 \quad V, H_2, R \cup \text{reach}_{H_2}(v_2) \vdash e_1 \Downarrow^{s_1} v_1, H_1 \quad v_1 = (V_1, x.e)^{l_1} \quad V_1[x \mapsto v_2], H_1, R \vdash e \Downarrow^s v, H'}{V, H, R \vdash e_1(e_2) \Downarrow^{\max(s_2, s_1, s)} v, H'} (\text{S}_9)$$

$$\frac{V, H, R \cup \text{locs}_{V,H}(e_2) \vdash e_1 \Downarrow^{s_1} v_1, H_1 \quad V, H_1, R \cup \text{reach}_{H_1}(v_1) \vdash e_2 \Downarrow^{s_2} v_2, H_2 \quad (l \text{ fresh}) \quad H' = H_2[l \mapsto \langle v_1, v_2 \rangle^l]}{V, H, R \vdash \langle e_1, e_2 \rangle \Downarrow^{\max(s_1, s_2)+1} \langle v_1, v_2 \rangle^l, H'} (\text{S}_{10})$$

$$\frac{V, H, R \vdash e \Downarrow^s \langle v_1, v_2 \rangle^l, H'}{V, H, R \vdash e \cdot \mathbf{l} \Downarrow^s v_1, H'} (\text{S}_{11}) \quad \frac{V, H, R \vdash e \Downarrow^s \langle v_1, v_2 \rangle^l, H'}{V, H, R \vdash e \cdot \mathbf{r} \Downarrow^s v_2, H'} (\text{S}_{12})$$

$$\frac{(l \text{ fresh}) \quad H' = H[l \mapsto \mathbf{Null}^l]}{V, H, R \vdash \mathbf{nil} \Downarrow^{\text{space}_{H'}(R \cup \{\bar{l}\})} \mathbf{Null}^l, H'} (\text{S}_{13})$$

$$\frac{V, H, R \cup \text{locs}_{V,H}(e_2) \vdash e_1 \Downarrow^{s_1} v_1, H_1 \quad V, H_1, R \cup \text{reach}_{H_1}(v_1) \vdash e_2 \Downarrow^{s_2} v_2, H_2 \quad (l \text{ fresh}) \quad H' = H_2[l \mapsto \langle v_1, v_2 \rangle^l]}{V, H, R \vdash \mathbf{cons}(e_1; e_2) \Downarrow^{\max(s_1, s_2)+1} \langle v_1, v_2 \rangle^l, H'} (\text{S}_{14})$$

$$\frac{V, H, R \cup \text{locs}_{V,H}(e_1) \cup \text{locs}_{V,H}(e_2) \vdash e \Downarrow^s \mathbf{Null}^l, H' \quad V, H', R \vdash e_1 \Downarrow^{s_1} v_1, H_1}{V, H, R \vdash \mathbf{case } e \{ \mathbf{nil} \hookrightarrow e_1 \mid \mathbf{cons}(x; xs) \hookrightarrow e_2 \} \Downarrow^{\max(s, s_1)} v_1, H_1} (\text{S}_{15})$$

$$\frac{V, H, R \cup \text{locs}_{V,H}(e_1) \cup \text{locs}_{V,H}(e_2) \vdash e \Downarrow^s \langle v_h, v_t \rangle^l, H' \quad V[x \mapsto v_h, xs \mapsto v_t], H', R \vdash e_2 \Downarrow^{s_2} v_2, H_2}{V, H, R \vdash \mathbf{case } e \{ \mathbf{nil} \hookrightarrow e_1 \mid \mathbf{cons}(x; xs) \hookrightarrow e_2 \} \Downarrow^{\max(s, s_2)} v_2, H_2} (\text{S}_{16})$$