

# Chapter 6. Geometric Algorithms

Topics include:

- Determine for a set of  $n$  line segments if 2 of them intersect.
- Determine whether a point is inside a polygon.
- Construct simple polygons
- Construct convex hull of  $n$  points:  
Graham's scan & Gift-wrapping alg.
- Find the closest pair of points in a set of  $n$  points

## 6.1. Properties of Line Segments

A point is a pair  $p = (x, y) \in \mathbb{R}^2$

Let  $p_1 = (x_1, y_1)$ ,  $p_2 = (x_2, y_2)$  be 2 pts.

The line segment  $\overline{p_1 p_2}$  is the set

$$\begin{aligned}\overline{p_1 p_2} &= \{ \lambda p_1 + (1-\lambda) p_2 \mid 0 \leq \lambda \leq 1 \} \\ &= \{ \text{convex combinations of } p_1, p_2 \} \\ \overrightarrow{p_1 p_2} &\text{ denotes a } \underline{\text{directed}} \text{ segment}\end{aligned}$$

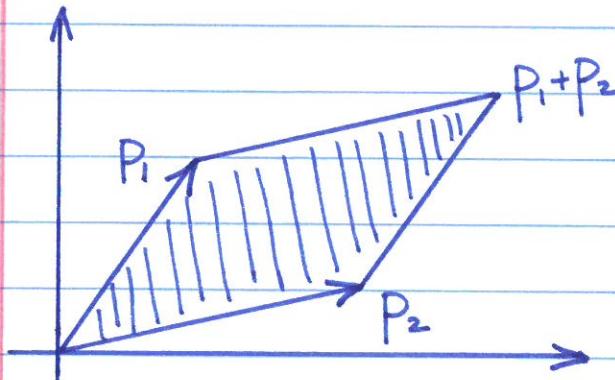
For  $\vec{P_1 P_2}$ , if  $P_1 = (0,0)$ , we write  $\vec{P_2}$

Some basic questions concerning line segments:

- (1) Given  $\vec{P_0 P_1}$ ,  $\vec{P_0 P_2}$ , is  $\vec{P_0 P_1}$  clockwise from  $\vec{P_0 P_2}$  w.r.t.  $P_0$  ?
- (2) Given  $\vec{P_1 P_2}$ ,  $\vec{P_2 P_3}$ , do we make a left/right turn when traversing from  $P_1$  to  $P_2$  and then  $P_3$  ?
- (3) Do 2 line segments  $\vec{P_1 P_2}$  and  $\vec{P_3 P_4}$  intersect ?

Claim. The above questions (1), (2), and (3) can be answered in  $O(1)$  time using only  $+, -, *, \text{ and comparisons.}$

### Cross Product



$$P_1 = (x_1, y_1) \quad P_2 = (x_2, y_2)$$

$$\begin{aligned} P_1 \times P_2 &= \det \begin{pmatrix} x_1 & x_2 \\ y_1 & y_2 \end{pmatrix} \\ &= x_1 y_2 - x_2 y_1 \\ &= -P_2 \times P_1 \end{aligned}$$

(= Area of parallelogram spanned by  $\vec{P_1}, \vec{P_2}$ )

$P_1 \times P_2 > 0 \Rightarrow P_1$  is clockwise from  $P_2$

$< 0 \Rightarrow P_1$  is counterclockwise fr.  $P_2$

$= 0 \Rightarrow \vec{P_1}, \vec{P_2}$  are collinear

(pointing same/opposite direction)

To determine whether  $\vec{P_0P_1}$  is clockwise from  $\vec{P_0P_2}$ :

- Translate  $P_0$  to origin  $(0,0)$  by:

$$P'_1 = (x_1 - x_0, y_1 - y_0)$$

$$P'_2 = (x_2 - x_0, y_2 - y_0)$$

- If  $P'_1 \times P'_2 > 0$ , then  $\vec{P_0P_1}$  is clockwise from  $\vec{P_0P_2}$

To determine whether consecutive segments turn left/right:

Consider  $\overline{P_0P_1}$ ,  $\overline{P_1P_2}$

Left turn if  $\vec{P_0P_2}$  is counterclockwise from  $\vec{P_0P_1}$

To determine whether 2 segments intersect:

Def. The bounding box of a figure is the smallest rectangle containing the figure s.t. its sides are parallel to x- and y-axes.

A bounding box is represented by lower left and upper right points.

Ex. A line segment  $\overline{P_1 P_2}$  has bounding box  $(\hat{P}_1, \hat{P}_2)$  with lower left point  $\hat{P}_1$  and upper right point  $\hat{P}_2$  where  $\hat{x}_1 = \min(x_1, x_2)$   $\hat{y}_1 = \min(y_1, y_2)$   $\hat{x}_2 = \max(x_1, x_2)$   $\hat{y}_2 = \max(y_1, y_2)$   $\square$

Fact. Two rectangles  $(\hat{P}_1, \hat{P}_2)$  and  $(\hat{P}_3, \hat{P}_4)$  intersect iff  $\hat{x}_2 \geq \hat{x}_3, \hat{x}_4 \geq \hat{x}_1,$   $\hat{y}_2 \geq \hat{y}_3, \hat{y}_4 \geq \hat{y}_1$   $\square$

Fact. If the bounding boxes of 2 line segments do not intersect, then neither do the segments.  $\square$

Def. A segment straddles a line if  $P_1$  is on one side and  $P_2$  is on the other side of the line.

Fact. Two line segments intersect iff

- (1) Their bounding boxes intersect, and
- (2) Each segment straddles line containing the other.  $\square$

## 6.2. Is a point inside a polygon ?

Def. A path is a sequence of points  $(P_0, P_1, \dots, P_n)$  and line segments

$$\overline{P_0 P_1}, \overline{P_1 P_2}, \dots, \overline{P_{n-1} P_n}$$

It's closed if  $P_n = P_0$

A polygon is a closed path

A polygon is simple if none of their segments intersect.

A polygon (simple) encloses a region in the plane: the inside of the poly.

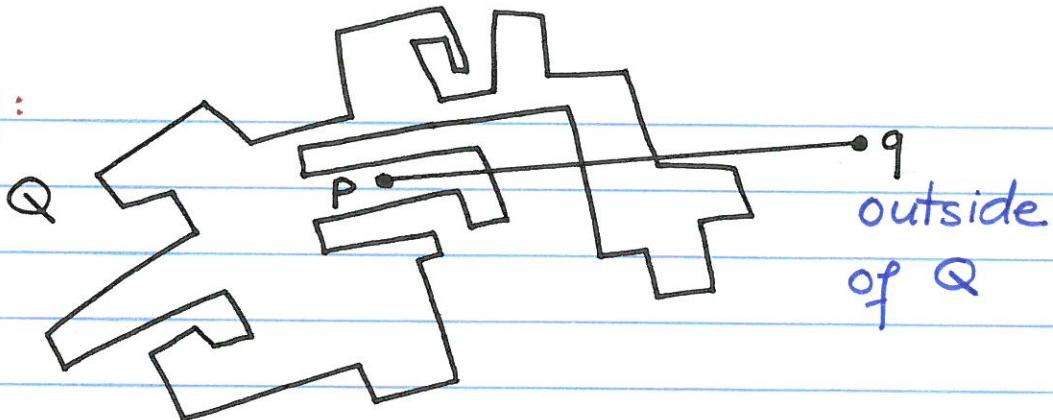
A simple polygon is a convex poly. if every line segment connecting 2 points inside the polygon lies entirely inside the polygon.

Consider the following problem:

Input. A simple polygon  $Q$  and a point  $p$ .

Question. Is  $p$  inside  $Q$  ?

Idea:



Count the number of times  $\overline{pq}$  intersect edges of  $Q$ :

if odd,  $p$  is inside

otherwise  $p$  is outside

INSIDE-OUTSIDE ( $Q = \langle P_0, \dots, P_n \rangle$ ,  $P = \langle x, y \rangle$ )

Let  $P_i = \langle x_i, y_i \rangle$ ,  $i = 0, \dots, n$

Choose  $\hat{y}$  s.t.  $\hat{y} > \text{Max}\{y, y_0, \dots, y_n\}$

Let  $q = \langle x, \hat{y} \rangle$

Let  $\delta$  be # of times  $\overline{pq}$

intersects edges of  $Q$

if  $\delta$  is odd then  $p$  is inside  $Q$

else  $p$  is outside  $Q$

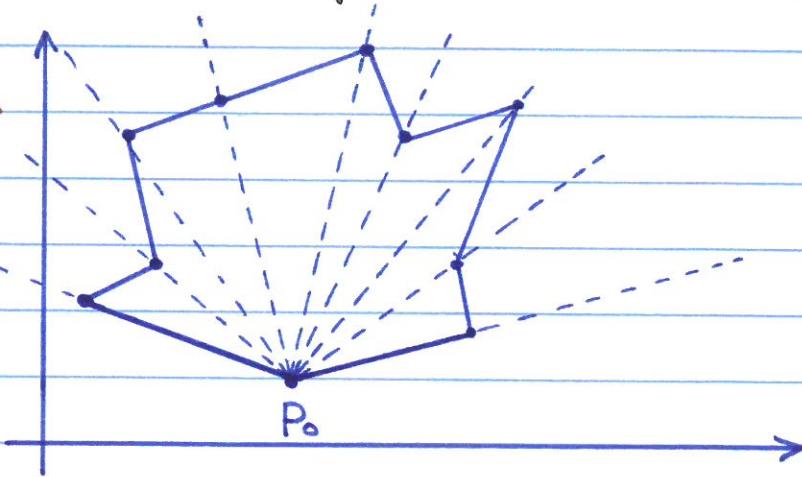
Complexity  $O(n)$  time.

### 6.3. Constructing Simple Polygons.

Input. A set  $\{P_0, P_1, \dots, P_{n-1}\}$  of  $n$  points

Output. A simple polygon connecting these points.

Idea.



SIMPLE - POLYGON ( $\langle P_0, P_1, \dots, P_{n-1} \rangle$ )

- Let  $P_0$  be the point with least y.coord  
(if several exist, choose one with least x.coord.)
- For  $i = 1$  to  $n-1$  do  
compute slope  $s_i$  of  $\overline{P_0 P_i}$
- Sort  $s_i$  in nondecreasing order  
(i.e., counterclockwise)  
starting with positive slopes  
(if same slope, sort by distances)
- $\langle P_0, P_1, \dots, P_{n-1} \rangle$  is the simple polygon

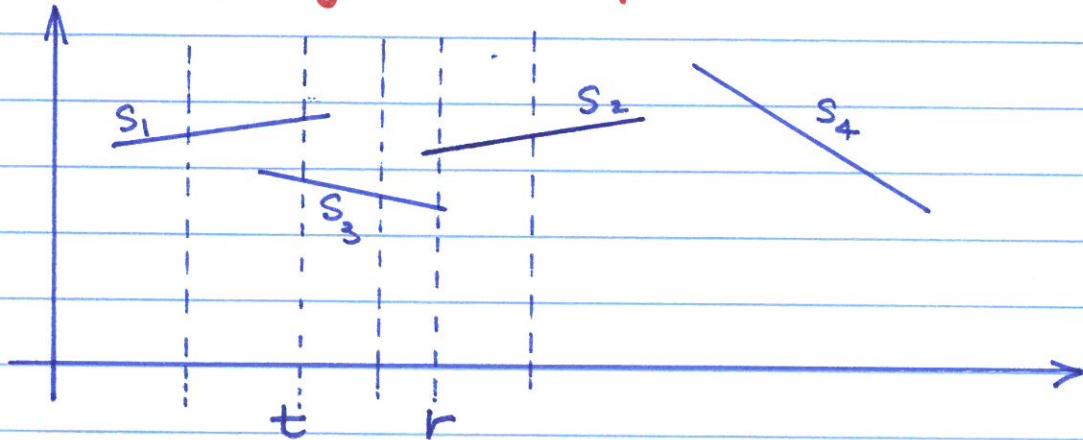
## 6.4. Determining whether any pair of segments intersect

Input. n line segments

Question.  $\exists s_i, s_j : s_i, s_j \text{ intersect?}$

Assumptions: (1) No vertical line segments.  
 (2) No 3 segments intersect at 1 point.

### The Sweeping Technique



Segments can be ordered w.r.t.

an imaginary vertical sweep line

w.r.t. sweep line  $t$ :  $s_1$  is above  $s_3$

$$s_1 \gtrsim_t s_3$$

Similarly,  $s_2 \gtrsim_r s_3$

$s_4$  is incomparable with  $s_1, s_2, s_3$

w.r.t.  $\gtrsim_r, \gtrsim_t$

Fact. Given any sweep line  $x$ , the relation  $\geq_x$  is a total order on those segments that intersect  $x$ .

Observation. When sweeping line  $x$  from left to right :

- A segment enters the ordering  $\geq_x$  when its left endpoint is encountered
- It leaves  $\geq_x$  when its right endpoint is encountered by  $x$ .

Question. What happens when the sweep line passes through intersection of 2 segments?

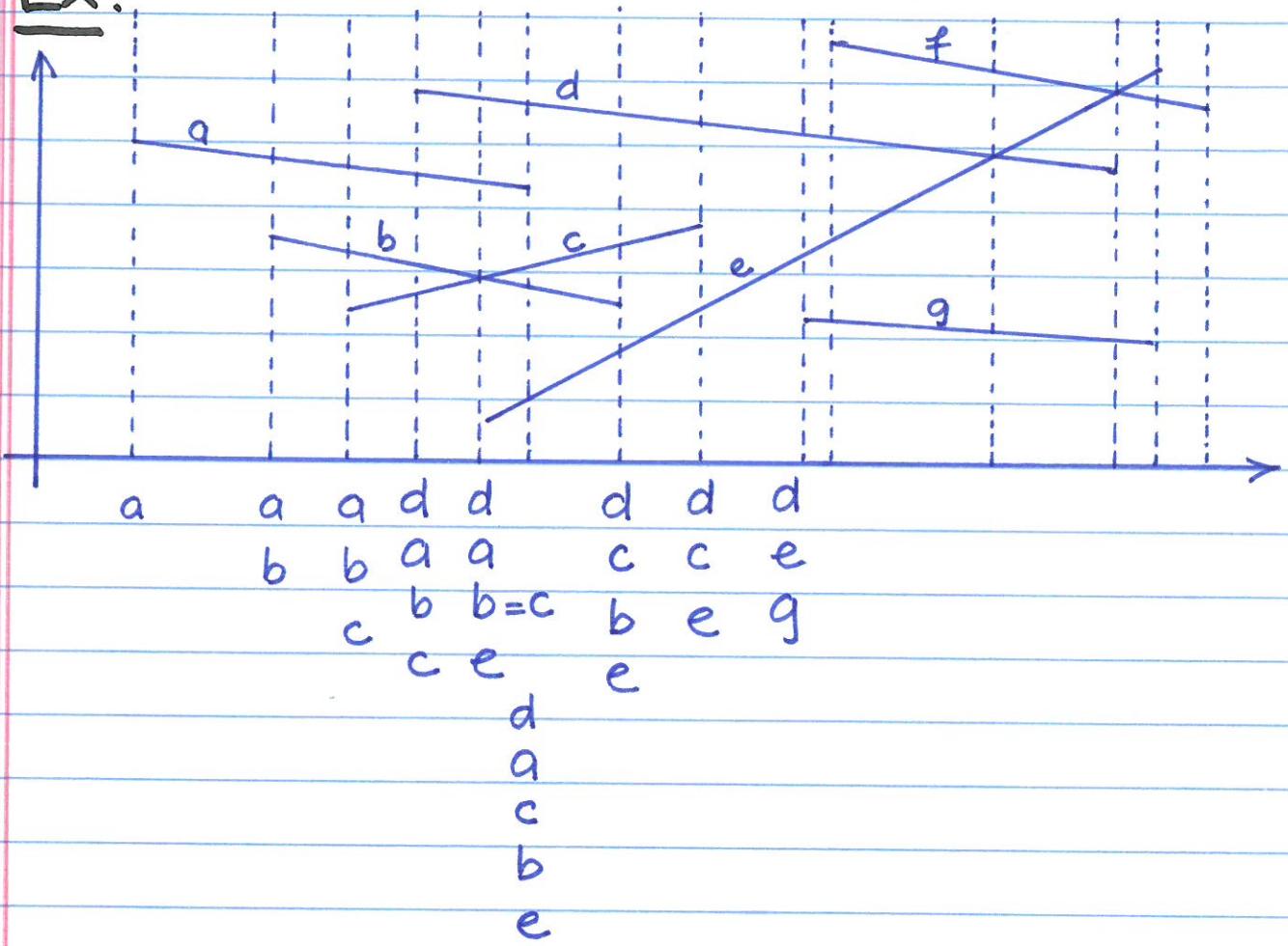
$\Rightarrow$  Their positions in the order change !  
(reverse)

We maintain 2 sets of data :

(1) Sweep line status: current ordering of segments

(2) Halting positions: where ordering changes.

Ex.



Sweep line status is a total order  $T$ .

To maintain  $T$  we need these operations:

- $\text{INSERT}(T, s)$  : insert segment  $s$  to  $T$
- $\text{DELETE}(T, s)$  : remove  $s$  from  $T$
- $\text{ABOVE}(T, s)$  : return segment in  $T$  immediately above  $s$
- $\text{ BELOW}(T, s)$  : return segment in  $T$  immediately below  $s$ .

Remark. Using red-black trees each of these operations can be performed in  $O(\lg n)$  time, where  $n = \#$  of input segments

### SEGMENT-INTERSECTION ( $\langle s_1, \dots, s_n \rangle$ )

- $T = \emptyset$

- Sort endpoints of  $s_i$ 's by x-coordin.

(Ties broken by putting points with smaller y-coord. first)

- for each point  $p$  on the above list do

if  $p$  is left endpoint of segments  $s$

then  $\text{INSERT}(T, s)$

if  $\exists u = \text{ABOVE}(T, s)$  and  $s, u$  intersect, or

$\exists v = \text{BELOW}(T, s)$  and  $s, v$  intersect

then return true

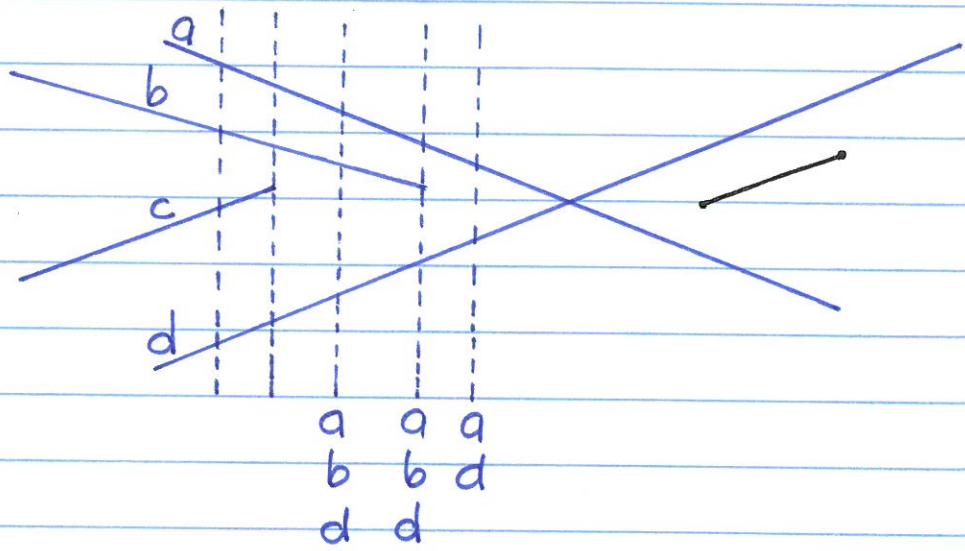
if  $p$  is right endpoint of segments  $s$

then if  $\exists u = \text{ABOVE}(T, s)$  and  $v = \text{BELOW}(T, s)$  s.t  
 $u, v$  intersect  
then return true

## DELETE( $T, s$ )

- return false

Observation. If 2 segments intersect,  
they are consecutive in  $T$  at some point:



Complexity :  $O(n \lg n)$

## 6.5. Computing Convex Hull

Def. The convex hull of a set  $S$  of points is the smallest convex polygon  $P$  for which each point in  $S$  is

- either on boundary of  $P$
- or it's inside  $P$

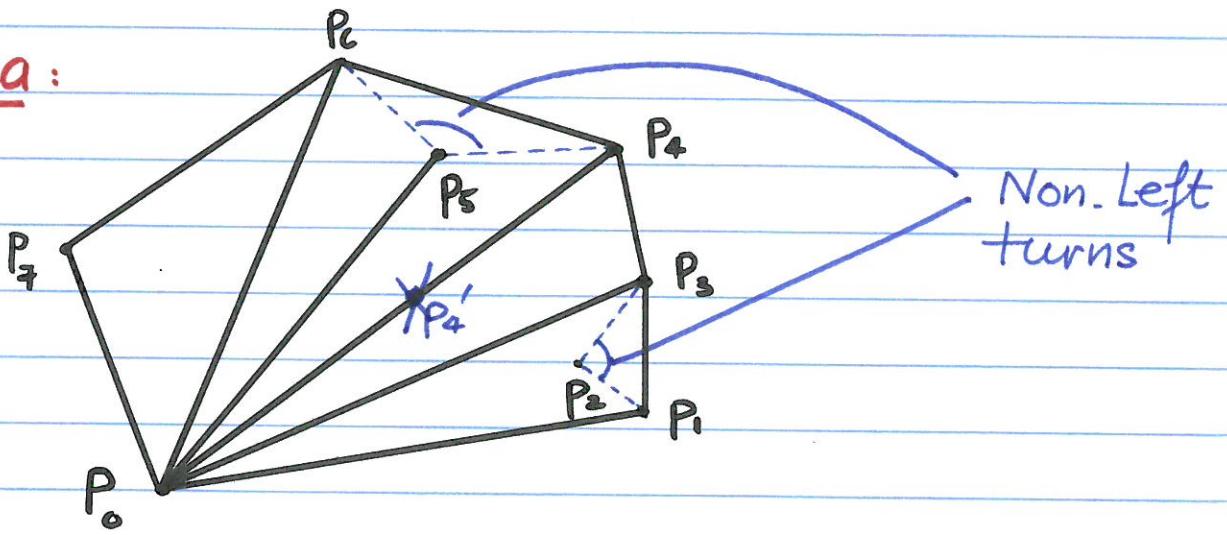
We write : **CH(S)**

We present 2 methods :

- Graham's scan
- Gift-wrapping algor.

### Graham's Scan.

Idea :



## GRAHAM-SCAN ( $S = \langle p_0, p_1, \dots, p_{n-1} \rangle$ )

- . Let  $p_0 \in S$  be with smallest y-coord.  
/\* If several, choose  $p_0$  with least x-coord. \*/
  - . Let  $\langle p_1, p_2, \dots, p_{n-1} \rangle$  be remaining points sorted by slopes in counterclockwise order relative to  $p_0$ .  
/\* If there are several points with same slope, then remove all but the farthest point from  $p_0$ . \*/
  - . Push  $p_0, p_1, p_2$  on stack  
/\* with  $p_2$  on top of stack \*/
  - . for  $i = 3$  to  $n-1$  do  
while traversing  $\overrightarrow{uv}, \overrightarrow{vp_i}$  makes a non. left turn, where  
 $u = \text{RIGHT.BELOW.TOP}(S)$   
 $v = \text{TOP}(S)$   
do  $\text{POP}(S)$
- PUSH( $p_i, S$ )
- . return  $S$

Complexity :  $O(n \lg n)$  time

## Gift-Wrapping algor.

Gift-WRAPPING ( $S = \langle p_0, p_1, \dots, p_{n-1} \rangle$ )

- Let  $p \in S$  be point with largest x-coord.  
/\* If several exist, choose one with largest y-coord. \*/

- $P = \{p\}$

- repeat

- Let  $q$  be point  $\notin P$  s.t. slope of  $q$  relative to  $p$  is minimal (counter-clockwise)

- Add  $q$  to  $P$

- $P = q$

- until no such point  $q$  can be found

- return  $P$

Complexity : If  $CH(S)$  has  $h$  vertices, then gift-wrapping takes  $O(n \cdot h)$  steps.

## 6.6. Closest Pair of Points.

Input. A set  $Q = \{P_1, \dots, P_n\}$  of  $n$  points

Output.  $1 \leq i < j \leq n$ :

$$d(P_i, P_j) = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$$

is minimal.

### The Divide-and-Conquer approach

We want to find closest pair of  $P \subseteq Q$

Let array  $X$  be  $P$  sorted by x-coord.

array  $Y$  be  $P$  sorted by y-coord.

If  $|P| \leq 3$ , find closest pair directly

Divide Step Let  $|P| = m$

Find a vertical line  $l$  that bisects

$P$  into subsets  $P_L, P_R$  s.t.

$$|P_L| = \lceil \frac{m}{2} \rceil, |P_R| = \lfloor \frac{m}{2} \rfloor$$

All points in  $P_R$  ( $P_L$ ) are to the right (left) or on line  $l$

Let  $X_L (X_R)$ ,  $Y_L (Y_R)$  be arrays associated with  $P_L$  ( $P_R$ )

## Conquer Step

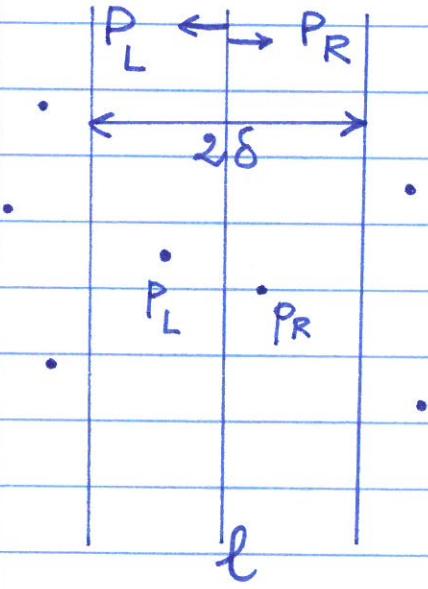
Recursively obtain distance

$\delta_L$  ( $\delta_R$ ) from  $P_L$  ( $P_R$ )

Let  $\delta = \text{Min}(\delta_L, \delta_R)$

A problem. The closest pair may lie in the  $2\delta$ -wide vertical strip centered at line  $l$ .

Question. How to find out if this occurs?



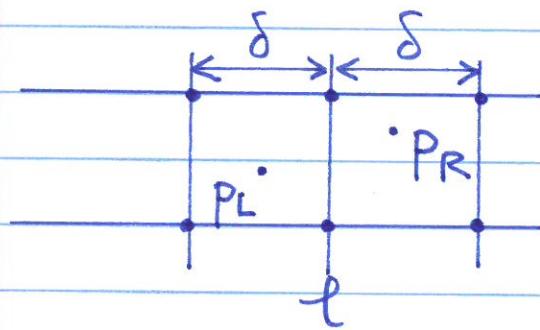
- Let  $P'$  be set of points within vertical strip
- Let  $Y'$  be  $P'$  sorted according to  $y$ -coord.
- For each point  $p \in Y'$  find points  $p' \in Y'$  within distance  $\delta$  from  $p$

Question. Given  $p$ , how many points in  $Y'$  following  $p$  do we need to check?

Claim 1. For each  $p \in Y'$  we need only check 7 points following  $p$  in  $Y'$  to see if  $d(p, p') < \delta$ .

Consider a closest pair  $(p_L, p_R) \in P_L \times P_R$  s.t.  $\delta' = d(p_L, p_R) < \delta$ .

Clearly,  $p_L, p_R$  are within a  $\delta \times 2\delta$  rectangle centered at line  $l$ :



Claim 2. At most 8 pts of  $P$  can reside within the  $\delta \times 2\delta$  rectangle.

There can be at most 4 pts of  $P_L$  in the left square since they are at distance  $\geq \delta$  from each other.

Similarly there can be at most 4 pts of  $P_R$  in the right square.

$\Rightarrow$  Claim 1.

## CLOSEST-PAIR ( $\langle P_1, P_2, \dots, P_n \rangle = Q$ )

- . Sort  $Q$  by x. coord. to obtain  $X$
- . Sort  $Q$  by y. coord. to obtain  $Y$
- . Using  $X$  divide  $Q$  into  $P_L, P_R$ 
  - Obtain  $X_L, X_R$  from  $X$  by cutting  $X$  at middle
  - Obtain  $Y_L, Y_R$  by "unmerging"  $Y$
- . Recursively compute closest pairs & shortest distances  $\delta_L, \delta_R$  in  $P_L, P_R$
- . Let  $\delta = \min(\delta_L, \delta_R)$
- . Let  $P'$  be points of  $Q$  within  $2\delta$ -wide strip centered at line  $l$
- . Compute  $Y'$  for  $P'$  by "unmerging"  $Y$
- . for each  $p \in P'$  in increasing order do
  - search for closest pair among  $p$  and the next 7 neighbors in  $Y'$
  - Update if new closest pair found

Complexity:

Since "unmerging" takes  $O(n)$  time,  
the recurrence is

$$T(n) = \begin{cases} O(1) & \text{if } n \leq 3 \\ 2T\left(\frac{n}{2}\right) + O(n) & \text{if } n > 3 \end{cases}$$

$$\Rightarrow T(n) = O(n \lg n)$$