

# Foundations of Mathematics and the Foundational Crisis

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June 10, 2017

Technical University of Munich

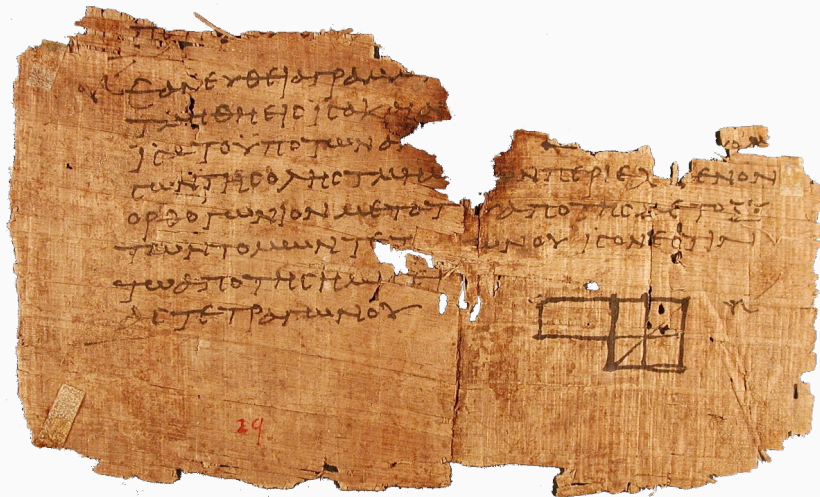
# Overview

1. Causes of the Crisis
2. The Foundational Crisis
  - 2.1 Logicism
  - 2.2 Intuitionism
  - 2.3 Formalism
  - 2.4 Peak and End
3. Aftermath and Prospects

## Causes of the Crisis

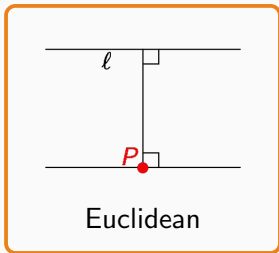
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# Euclid's Elements – A Work of Timeless Certainty



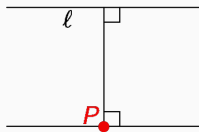
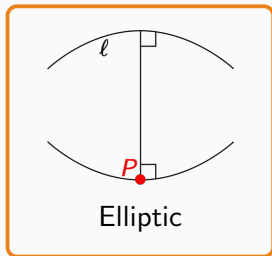
# Euclid's Elements – A Work of Timeless Certainty

Given a line  $\ell$  and a point  $P$ , there exists  
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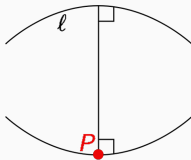
Given a line  $\ell$  and a point  $P$ , there exists  
no line through  $P$  parallel to  $\ell$ .



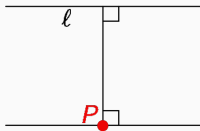
Euclidean

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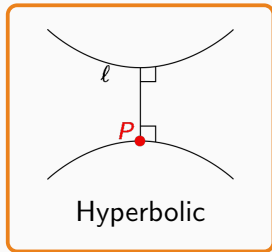
Given a line  $\ell$  and a point  $P$ , there exist  
at least two lines through  $P$  parallel to  $\ell$ .



Elliptic



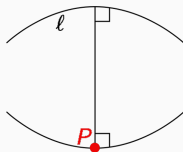
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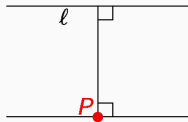
Hyperbolic

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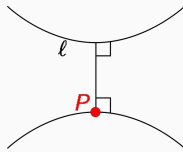
Given a line  $\ell$  and a point  $P$ , there exist  
? many lines through  $P$  parallel to  $\ell$ .



Elliptic



Euclidean

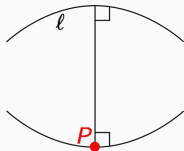


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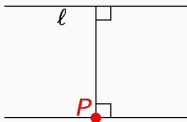


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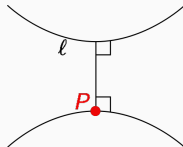
Which axioms represent the truth?



Elliptic



Euclidean



Hyperbolic

# A Search for Foundations

Axiomatisation of systems in the late 19th century

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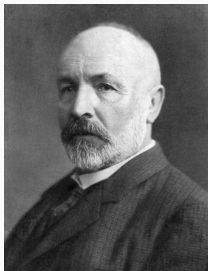
- Arithmetic of natural numbers by Peano
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Desire for a universal and consistent system

# Cantor's Set Theory

*"A set is a gathering together into a whole of definite, distinct objects of our perception or of our thought – which are called elements of the set."*

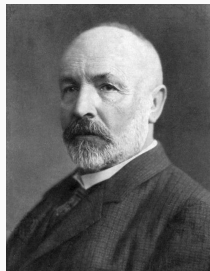
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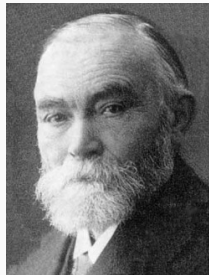


Certainly universal, but fairly naive.



# Frege's Logic System

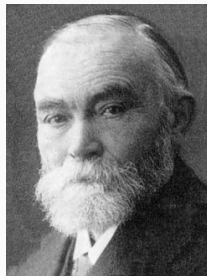
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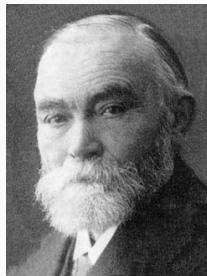
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# Frege's Logic System

Frege tried to build a consistent foundation by reducing mathematics to logic.

- Sophisticated work, but not well received



Then one day, just before finishing his work, he received a letter from Russell. . .

# Russell's Paradox

Consider *the set of all sets that are not members of themselves*:

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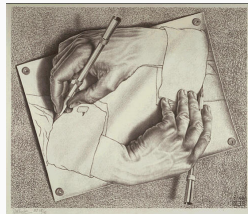
$$R := \{X \mid X \notin X\}$$

Question: Is  $R$  a member of itself? That is, does  $R \in R$  hold?

Answer:  $R \in R \iff R \notin R$ , a contradiction!

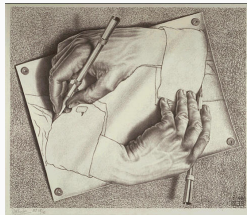
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Self-referentiality broke Cantor's and Frege's system.



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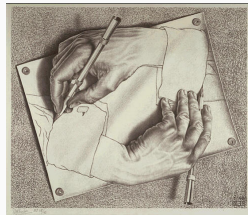


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A new foundation of mathematics had to be found.

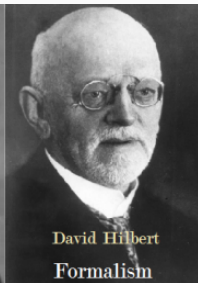
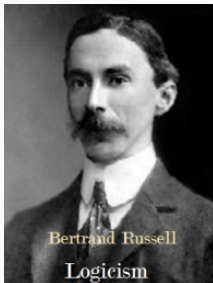
# The Foundational Crisis

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# The Three Schools of Thought

Three schools of thought tried to establish a new foundation.

- Logicism
- Intuitionism
- Formalism



# The Foundational Crisis

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Logicism

# A Foundation Made of Logic

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  - Justifications that used axioms are self-evident truths





- Type theory to avoid antinomies

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# Principia Mathematica

- Type theory to avoid antinomies
- Difficulties in explaining some axioms
  - Axiom of reducibility
  - Axiom of infinity
- Regarded as “the outstanding example of an unreadable masterpiece”

# Principia Mathematica

Principia Mathematica's infamous proof of  $1 + 1 = 2$

\*54.43.  $\vdash :: \alpha, \beta \in 1 . \supset : \alpha \cap \beta = \Lambda . \equiv . \alpha \cup \beta \in 2$

*Dem.*

$\vdash . *54.26 . \supset \vdash :: \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . x \neq y .$

[\*51.231]  $\equiv . \iota'x \cap \iota'y = \Lambda .$

[\*13.12]  $\equiv . \alpha \cap \beta = \Lambda$  (1)

$\vdash . (1) . *11.11.35 . \supset$

$\vdash :: (\exists x, y) . \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . \alpha \cap \beta = \Lambda$  (2)

$\vdash . (2) . *11.54 . *52.1 . \supset \vdash . \text{Prop}$

From this proposition it will follow, when arithmetical addition has been defined, that  $1 + 1 = 2$ .

# The Foundational Crisis

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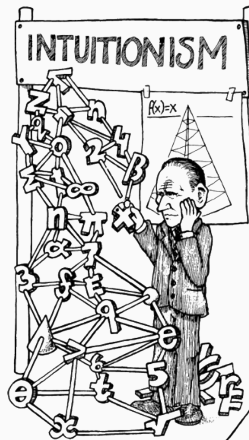
**Intuitionism**

# Proofs with Real Evidence

- Mathematics is a constructive process conducted by humans.

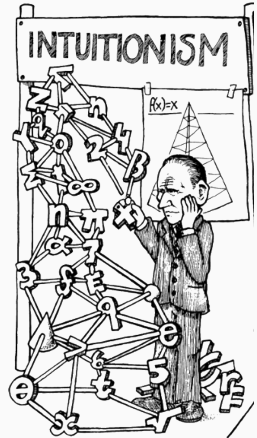
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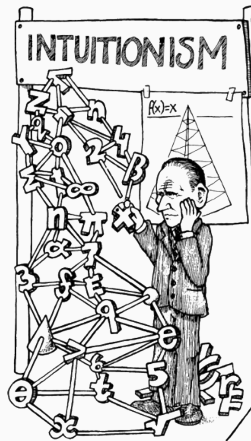
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# Proofs with Real Evidence

- Mathematics is a constructive process conducted by humans.
- The existence of an object is equivalent to the possibility of its construction.
- Consequently, some assumptions of classical logic must be rejected.



$$P \vee \neg P \equiv ?$$

Intuitionists reject the *law of excluded middle*:

*For any proposition  $P$ , either  $P$  or its negation is true.*

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Only a few scholars adhered to  
intuitionism.



# The Foundational Crisis

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**Formalism**

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- Mathematics shall be based on symbols and axioms that describe syntactic operations on symbols.
- Mathematics does not need to justify the existence of its objects since its objects are just meaningless shapes.

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  1. Formalise a system that is able to derive all of mathematics using syntactical operations
  2. Prove the system's consistency with metamathematical reasoning
- The dream of a complete and consistent mathematical system

# **The Foundational Crisis**

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**Peak and End**

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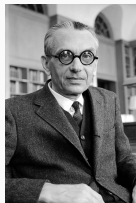
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- Optimism for a complete and consistent formal system grew. . .



# The End of the Crisis

- ...but then came Gödel.



Source: [newyorker.com/tech/elements/  
waiting-for-godel](https://www.newyorker.com/tech/elements/waiting-for-godel)

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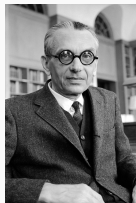
- ...but then came Gödel.
- In 1931, he proved that there is no sufficiently strong, complete, and consistent formal system.



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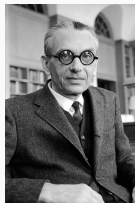
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## Theorem (First Incompleteness Theorem)

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## Theorem (First Incompleteness Theorem)

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## Theorem (Second Incompleteness Theorem)

*Any consistent formal system rich enough to contain a formalisation of recursive arithmetic cannot prove its own consistency.*

## **Aftermath and Prospects**

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# Modern Mathematics

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- To this day, formalism poses the foundation of mathematics.
  - Zermelo-Fraenkel set theory (ZFC) as established foundation
- Most modern mathematicians do not deal with foundational research but try to extend a specific branch of mathematics.
- The justification of foundations is often regarded philosophical work.



# The Next Crisis?

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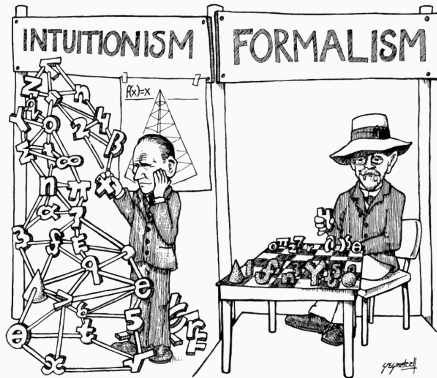
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- Digitisation of mathematics
- Can we trust proofs by computers?
- Some see it as an inevitable enrichment; others face it with distrust.
- Are we part of the next mathematical crisis?

Thanks for your attention! Any questions?



# References I



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