

Foundations of Mathematics and the Foundational Crisis

Kevin Kappelmann

Technical University of Munich

June 3, 2017

Overview

- 1 Causes of the Crisis
- 2 The Foundational Crisis
 - Logicism
 - Intuitionism
 - Formalism
 - Peak and End
- 3 Aftermath and Prospects

Search for Foundations

- For over 2000 years, Euclid's Elements had been regarded as a work of timeless certainty.

Search for Foundations

- For over 2000 years, Euclid's Elements had been regarded as a work of timeless certainty.
- But in 1813, Gauß proved the independence of Euclid's parallel postulate.

Search for Foundations

- For over 2000 years, Euclid's Elements had been regarded as a work of timeless certainty.
- But in 1813, Gauß proved the independence of Euclid's parallel postulate.
 - ⇒ Scepticism towards used systems

Search for Foundations

- For over 2000 years, Euclid's Elements had been regarded as a work of timeless certainty.
- But in 1813, Gauß proved the independence of Euclid's parallel postulate.
 - ⇒ Scepticism towards used systems
- Rigorous axiomatisation of mathematical branches in the late 19th century
 - Arithmetic of natural numbers by Peano
 - Geometry by Hilbert and Pasch
 - Predicate logic by Frege

Search for Foundations

- For over 2000 years, Euclid's Elements had been regarded as a work of timeless certainty.
- But in 1813, Gauß proved the independence of Euclid's parallel postulate.
 - ⇒ Scepticism towards used systems
- Rigorous axiomatisation of mathematical branches in the late 19th century
 - Arithmetic of natural numbers by Peano
 - Geometry by Hilbert and Pasch
 - Predicate logic by Frege
- Desire for a universal and consistent system

Universal Systems

- Cantor's set theory

Universal Systems

- Cantor's set theory
 - *"A set is a gathering together into a whole of definite, distinct objects of our perception or of our thought – which are called elements of the set."*
– Georg Cantor

Universal Systems

- Cantor's set theory
 - *"A set is a gathering together into a whole of definite, distinct objects of our perception or of our thought – which are called elements of the set."* – Georg Cantor
 - Certainly universal, but informal and thus not adequate for a study of consistency

Universal Systems

- Cantor's set theory
 - *"A set is a gathering together into a whole of definite, distinct objects of our perception or of our thought – which are called elements of the set."* – Georg Cantor
 - Certainly universal, but informal and thus not adequate for a study of consistency
 - Nonetheless, it was widely accepted.

Universal Systems

- Cantor's set theory
 - *"A set is a gathering together into a whole of definite, distinct objects of our perception or of our thought – which are called elements of the set."* – Georg Cantor
 - Certainly universal, but informal and thus not adequate for a study of consistency
 - Nonetheless, it was widely accepted.
- Frege tried to build a consistent foundation by reducing mathematics to logic.
 - Sophisticated work, but not well received

Universal Systems

- Cantor's set theory
 - *"A set is a gathering together into a whole of definite, distinct objects of our perception or of our thought – which are called elements of the set."* – Georg Cantor
 - Certainly universal, but informal and thus not adequate for a study of consistency
 - Nonetheless, it was widely accepted.
- Frege tried to build a consistent foundation by reducing mathematics to logic.
 - Sophisticated work, but not well received
- And then came Russell...

Russell's Paradox

Consider *the set of all sets that are not members of themselves*:

$$R := \{X \mid X \notin X\}$$

Russell's Paradox

Consider *the set of all sets that are not members of themselves*:

$$R := \{X \mid X \notin X\}$$

Question: Is R a member of itself? That is, does $R \in R$ hold?

Russell's Paradox

Consider *the set of all sets that are not members of themselves*:

$$R := \{X \mid X \notin X\}$$

Question: Is R a member of itself? That is, does $R \in R$ hold?

Answer: $R \in R \iff R \notin R$, a contradiction!

The Begin of the Crisis

- Cantor's as well as Frege's system were victims of this paradox.

The Begin of the Crisis

- Cantor's as well as Frege's system were victims of this paradox.
- *"Hardly anything more unfortunate can befall a scientific writer than to have one of the foundations of his edifice shaken after the work is finished."* – Gottlob Frege

The Begin of the Crisis

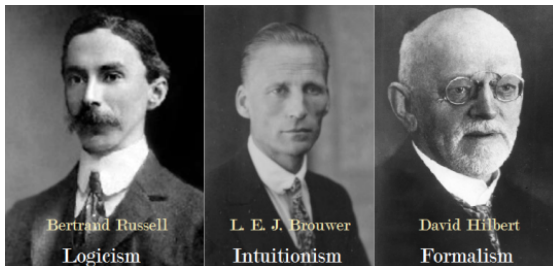
- Cantor's as well as Frege's system were victims of this paradox.
- *"Hardly anything more unfortunate can befall a scientific writer than to have one of the foundations of his edifice shaken after the work is finished."* – Gottlob Frege
- Systems founded on Cantor's set theory were on shaky ground.

The Begin of the Crisis

- Cantor's as well as Frege's system were victims of this paradox.
- *"Hardly anything more unfortunate can befall a scientific writer than to have one of the foundations of his edifice shaken after the work is finished."* – Gottlob Frege
- Systems founded on Cantor's set theory were on shaky ground.
- A new foundation of mathematics had to be found.

The Three Schools of Thought

- Three schools of thought tried to establish a new foundation.
 - Logicism
 - Intuitionism
 - Formalism



Source: geopolicraticus.tumblr.com/post/142561195372

A Foundation Made of Logic

- Russell and Whitehead revisited Frege's idea of reducing mathematics to logic.

A Foundation Made of Logic

- Russell and Whitehead revisited Frege's idea of reducing mathematics to logic.
- Mathematics is regarded as an extension of logic.

A Foundation Made of Logic

- Russell and Whitehead revisited Frege's idea of reducing mathematics to logic.
- Mathematics is regarded as an extension of logic.
- Only fundamentally logical principles are used as axioms.

A Foundation Made of Logic

- Russell and Whitehead revisited Frege's idea of reducing mathematics to logic.
- Mathematics is regarded as an extension of logic.
- Only fundamentally logical principles are used as axioms.
 - Justifications that used axioms are self-evident truths

A Foundation Made of Logic

- Russell and Whitehead revisited Frege's idea of reducing mathematics to logic.
- Mathematics is regarded as an extension of logic.
- Only fundamentally logical principles are used as axioms.
 - Justifications that used axioms are self-evident truths
- Chief work: Principia Mathematica

Principia Mathematica

- Type theory to avoid antinomies

Principia Mathematica

- Type theory to avoid antinomies
- Difficulties in explaining some axioms
 - Axiom of reducibility
 - Axiom of infinity

Principia Mathematica

- Type theory to avoid antinomies
- Difficulties in explaining some axioms
 - Axiom of reducibility
 - Axiom of infinity
- Regarded as “the outstanding example of an unreadable masterpiece”

Principia Mathematica

- Type theory to avoid antinomies
- Difficulties in explaining some axioms
 - Axiom of reducibility
 - Axiom of infinity
- Regarded as “the outstanding example of an unreadable masterpiece”
- Nonetheless, many adopted it as a new foundation.

Principia Mathematica

*54.43. $\vdash : . \alpha, \beta \in 1 . \supset : \alpha \cap \beta = \Lambda . \equiv . \alpha \cup \beta \in 2$

Dem.

$\vdash . *54.26 . \supset \vdash : . \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . x \neq y .$

$[*51.231] \quad \equiv . \iota'x \cap \iota'y = \Lambda .$

$[*13.12] \quad \equiv . \alpha \cap \beta = \Lambda \quad (1)$

$\vdash . (1) . *11.11.35 . \supset$

$\vdash : . (\exists x, y) . \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . \alpha \cap \beta = \Lambda \quad (2)$

$\vdash . (2) . *11.54 . *52.1 . \supset \vdash . \text{Prop}$

From this proposition it will follow, when arithmetical addition has been defined, that $1 + 1 = 2$.

Principia Mathematica's infamous proof of $1 + 1 = 2$. It was not until page 379 that this proof was possible.

Proofs with Real Evidence

- Mathematics is **not** reducible to some formal system.

Proofs with Real Evidence

- Mathematics is **not** reducible to some formal system.
- Mathematics is a constructive process conducted by humans.

Proofs with Real Evidence

- Mathematics is **not** reducible to some formal system.
- Mathematics is a constructive process conducted by humans.
- The existence of any mathematical object is equivalent to the possibility of its construction, according to Brouwer.

Proofs with Real Evidence

- Mathematics is **not** reducible to some formal system.
- Mathematics is a constructive process conducted by humans.
- The existence of any mathematical object is equivalent to the possibility of its construction, according to Brouwer.
 - ⇒ No antinomies since paradoxical sets cannot be constructed

Proofs with Real Evidence

- Mathematics is **not** reducible to some formal system.
- Mathematics is a constructive process conducted by humans.
- The existence of any mathematical object is equivalent to the possibility of its construction, according to Brouwer.
 - ⇒ No antinomies since paradoxical sets cannot be constructed
- Consequently, some assumptions of classical logic must be rejected.

$$P \vee \neg P \equiv ?$$

- Intuitionists reject the *law of excluded middle*: $\vdash P \vee \neg P$
“For any proposition P, either P or its negation is true.”

$$P \vee \neg P \equiv ?$$

- Intuitionists reject the *law of excluded middle*: $\vdash P \vee \neg P$
“For any proposition P , either P or its negation is true.”

Proposition: There exist two irrational numbers a and b such that a^b is rational.

$$P \vee \neg P \equiv ?$$

- Intuitionists reject the *law of excluded middle*: $\vdash P \vee \neg P$
“For any proposition P , either P or its negation is true.”

Proposition: There exist two irrational numbers a and b such that a^b is rational.

Proof. It is known that $\sqrt{2}$ is irrational. Let us consider the number $\sqrt{2}^{\sqrt{2}}$.

$$P \vee \neg P \equiv ?$$

- Intuitionists reject the *law of excluded middle*: $\vdash P \vee \neg P$
“For any proposition P , either P or its negation is true.”

Proposition: There exist two irrational numbers a and b such that a^b is rational.

Proof. It is known that $\sqrt{2}$ is irrational. Let us consider the number $\sqrt{2}^{\sqrt{2}}$. If it is rational, our statement is proved.

$$P \vee \neg P \equiv ?$$

- Intuitionists reject the *law of excluded middle*: $\vdash P \vee \neg P$
“For any proposition P , either P or its negation is true.”

Proposition: There exist two irrational numbers a and b such that a^b is rational.

Proof. It is known that $\sqrt{2}$ is irrational. Let us consider the number $\sqrt{2}^{\sqrt{2}}$. If it is rational, our statement is proved. If it is irrational, $(\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} = 2$ proves our statement. □

The Price to Pay

- Intuitionistic logic complicates many proofs.

The Price to Pay

- Intuitionistic logic complicates many proofs.
- *“Taking this tertium non datur (law of excluded middle) from the mathematician would be the same as, say, denying the astronomer his telescope and the boxer the use of his fists.”*
– David Hilbert

The Price to Pay

- Intuitionistic logic complicates many proofs.
- *“Taking this tertium non datur (law of excluded middle) from the mathematician would be the same as, say, denying the astronomer his telescope and the boxer the use of his fists.”*
– David Hilbert
- Consequently, only a few scholars adhered to intuitionism.

Mathematics as a Symbolic Game

- Formalists support the autonomy of mathematics.

Mathematics as a Symbolic Game

- Formalists support the autonomy of mathematics.
- Mathematics shall be based on symbols and axioms that describe syntactical operations on symbols.

Mathematics as a Symbolic Game

- Formalists support the autonomy of mathematics.
- Mathematics shall be based on symbols and axioms that describe syntactical operations on symbols.
- Mathematics does not need to justify the existence of its objects since its objects are just meaningless shapes.

Hilbert's Dream

- Hilbert feared the crippling effect of intuitionistic logic.

Hilbert's Dream

- Hilbert feared the crippling effect of intuitionistic logic.
- To protect mathematics, he initiated a research programme called *Hilbert's program* that consists of two steps:

Hilbert's Dream

- Hilbert feared the crippling effect of intuitionistic logic.
- To protect mathematics, he initiated a research programme called *Hilbert's program* that consists of two steps:
 - 1 Formalise a system that is able to derive all of mathematics using syntactical operations

Hilbert's Dream

- Hilbert feared the crippling effect of intuitionistic logic.
- To protect mathematics, he initiated a research programme called *Hilbert's program* that consists of two steps:
 - 1 Formalise a system that is able to derive all of mathematics using syntactical operations
 - 2 Prove the system's consistency with metamathematical reasoning

Hilbert's Dream

- Hilbert feared the crippling effect of intuitionistic logic.
- To protect mathematics, he initiated a research programme called *Hilbert's program* that consists of two steps:
 - 1 Formalise a system that is able to derive all of mathematics using syntactical operations
 - 2 Prove the system's consistency with metamathematical reasoning
- The dream of a complete and consistent mathematical system

The Peak of the Crisis

- In 1928, Brouwer boycotted the International Congress of Mathematicians.

The Peak of the Crisis

- In 1928, Brouwer boycotted the International Congress of Mathematicians.
- Hilbert presented his programme, without Brouwer being able to discredit his ideas.

The Peak of the Crisis

- In 1928, Brouwer boycotted the International Congress of Mathematicians.
- Hilbert presented his programme, without Brouwer being able to discredit his ideas.
- A few days after, Hilbert excluded Brouwer as a co-publisher from the journal “Mathematischen Annalen”.

The Peak of the Crisis

- In 1928, Brouwer boycotted the International Congress of Mathematicians.
- Hilbert presented his programme, without Brouwer being able to discredit his ideas.
- A few days after, Hilbert excluded Brouwer as a co-publisher from the journal “Mathematischen Annalen”.
- Brouwer, in a state of frustration and despair, subsequently stopped publishing intuitionistic articles.

The Peak of the Crisis

- In 1928, Brouwer boycotted the International Congress of Mathematicians.
- Hilbert presented his programme, without Brouwer being able to discredit his ideas.
- A few days after, Hilbert excluded Brouwer as a co-publisher from the journal “Mathematischen Annalen”.
- Brouwer, in a state of frustration and despair, subsequently stopped publishing intuitionistic articles.
- Optimism for a complete and consistent formal system grew. . .

The End of the Crisis

- ...but then came Gödel.



Source: [newyorker.com/tech/elements/
waiting-for-godel](https://www.newyorker.com/tech/elements/waiting-for-godel)

The End of the Crisis

- ... but then came Gödel.
- In 1931, he proved that there is no sufficiently strong, complete, and consistent formal system.



Source: [newyorker.com/tech/elements/waiting-for-godel](https://www.newyorker.com/tech/elements/waiting-for-godel)

The End of the Crisis

- ... but then came Gödel.
- In 1931, he proved that there is no sufficiently strong, complete, and consistent formal system.



Source: [newyorker.com/tech/elements/waiting-for-godel](https://www.newyorker.com/tech/elements/waiting-for-godel)

Theorem (First Incompleteness Theorem)

Any consistent formal system rich enough to contain a formalisation of recursive arithmetic is incomplete.

The End of the Crisis

- ... but then came Gödel.
- In 1931, he proved that there is no sufficiently strong, complete, and consistent formal system.



Source: [newyorker.com/tech/elements/waiting-for-godel](https://www.newyorker.com/tech/elements/waiting-for-godel)

Theorem (First Incompleteness Theorem)

Any consistent formal system rich enough to contain a formalisation of recursive arithmetic is incomplete.

Theorem (Second Incompleteness Theorem)

Any consistent formal system rich enough to contain a formalisation of recursive arithmetic cannot prove its own consistency.

Modern Mathematics

- To this day, formalism poses the foundation of mathematics.
 - Zermelo-Fraenkel set theory (ZFC) as established foundation

Modern Mathematics

- To this day, formalism poses the foundation of mathematics.
 - Zermelo-Fraenkel set theory (ZFC) as established foundation
- Most modern mathematicians do not deal with foundational research but try to extend a specific branch of mathematics.

Modern Mathematics

- To this day, formalism poses the foundation of mathematics.
 - Zermelo-Fraenkel set theory (ZFC) as established foundation
- Most modern mathematicians do not deal with foundational research but try to extend a specific branch of mathematics.
- The justification of foundations is often regarded philosophical work.

The Next Crisis?

- Digitisation of mathematics

The Next Crisis?

- Digitisation of mathematics
- Can we trust proofs by computers?

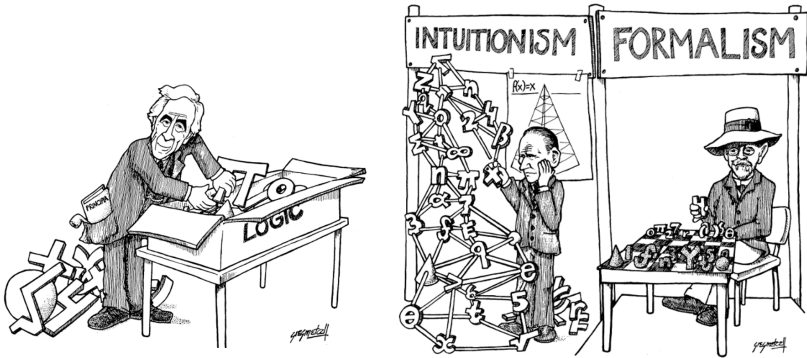
The Next Crisis?

- Digitisation of mathematics
- Can we trust proofs by computers?
- Some see it as an inevitable enrichment; others face it with distrust.

The Next Crisis?

- Digitisation of mathematics
- Can we trust proofs by computers?
- Some see it as an inevitable enrichment; others face it with distrust.
- Are we part of the next mathematical crisis?

Thanks for your attention! Any questions?



Source: maa.org/sites/default/files/pdf/upload_library/22/Allendoerfer/1980/0025570x.di021111.02p0048m.pdf