Homework 3 COSE212, Fall 2018

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Problem 1 (100pts) Let us design and implement a programming language called ML⁻. ML⁻ is a small yet Turing-complete functional language that supports built-in lists and (mutually) recursive procedures.

Language Design The syntax of ML⁻ is defined inductively as follows:

```
P
E \rightarrow
           ()
                                                                                      unit
           true | false
                                                                                booleans
                                                                                 integers
                                                                                variables
           E + E \mid E - E \mid E * E \mid E / E
                                                                              arithmetic
           E = E \mid E < E
                                                                             comparison
          \mathtt{not}\ E
                                                                                negation
          nil
                                                                              empty list
           E :: E
                                                                                 list cons
           E \ \mathbf{0} \ E
                                                                             list append
           \mathtt{head}\ E
                                                                                list head
           \mathtt{tail}\ E
                                                                                  list tail
           isnil E
                                                                   checking empty list
           \mathtt{if}\ E\ \mathtt{then}\ E\ \mathtt{else}\ E
                                                                                         if
           \mathtt{let}\ x = E\ \mathtt{in}\ E
                                                                                        let
           letrec f(x) = E in E
                                                                                recursion
           letrec f(x_1) = E_1 and g(x_2) = E_2 in E
                                                                     mutual recursion
           \mathtt{proc}\;x\;E
                                                                    function definition
           E E
                                                                  function application
           \mathtt{print}\ E
                                                                                     print
           E; E
                                                                                sequence
```

The semantics of the language is similar to that of OCaml. The set of values the language manipulate includes unit (\cdot) , integers (\mathbb{Z}) , booleans (Bool), lists (List), non-recursive procedures (Procedure), recursive procedures (RecProcedure),

and mutually recursive procedures (MRecProcedure):

$$v \in Val = \{\cdot\} + \mathbb{Z} + Bool + List + Procedure + RecProcedure + MRecProcedure$$

$$n \in \mathbb{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$$

$$b \in Bool = \{true, false\}$$

$$s \in List = Val^*$$

$$Procedure = Var \times E \times Env$$

$$RecProcedure = Var \times Var \times E \times Env$$

$$MRecProcedure = Var \times Var \times E \times Env$$

$$MRecProcedure = Var \times Var \times E \times Env$$

$$Complete this for yourself$$

Notations for list values need explanation. We write Val^* for the set of ordered sequences of values. We write [] for the empty sequence. Given a value v and a sequence s, v :: s denotes the sequence that is obtained by inserting v into the front of s. Given two sequences s_1 and s_2 , we write $s_1@s_2$ for the concatenation of s_1 and s_2 .

Environments (Env) map program variables (Var) to values.

$$\rho \in Env = Var \rightarrow Val$$

The semantics rules are defined inductively as inference rules. Rules for constant expressions:

$$\overline{\rho \vdash () \Rightarrow \cdot} \qquad \overline{\rho \vdash \mathtt{true} \Rightarrow \mathit{true}} \qquad \overline{\rho \vdash \mathtt{false} \Rightarrow \mathit{false}} \qquad \overline{\rho \vdash n \Rightarrow n}$$

The value of a variable can be found from the current environment:

$$\overline{\rho \vdash x \Rightarrow \rho(x)}$$

Arithmetic operations produce integers:

$$\frac{\rho \vdash E_1 \Rightarrow n_1 \quad \rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 + E_2 \Rightarrow n_1 + n_2} \qquad \frac{\rho \vdash E_1 \Rightarrow n_1 \quad \rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 - E_2 \Rightarrow n_1 - n_2}$$

$$\frac{\rho \vdash E_1 \Rightarrow n_1 \quad \rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 * E_2 \Rightarrow n_1 * n_2} \qquad \frac{\rho \vdash E_1 \Rightarrow n_1 \quad \rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 / E_2 \Rightarrow n_1 / n_2} \quad n_2 \neq 0$$

Note that the semantics is defined only when E_1 and E_2 evaluate to integers and that E_1 / E_2 is undefined when the value of E_2 is 0 (division-by-zero).

Comparison operators and negation produce boolean values:

$$\begin{array}{lll} \rho \vdash E_1 \Rightarrow n_1 & \rho \vdash E_2 \Rightarrow n_2 \\ \hline \rho \vdash E_1 = E_2 \Rightarrow true & n_1 = n_2 & \frac{\rho \vdash E_1 \Rightarrow n_1}{\rho \vdash E_1 = E_2 \Rightarrow false} & n_1 \neq n_2 \\ \hline \\ \frac{\rho \vdash E_1 \Rightarrow b_1}{\rho \vdash E_1 = E_2 \Rightarrow true} & b_1 = b_2 & \frac{\rho \vdash E_1 \Rightarrow b_1}{\rho \vdash E_1 = E_2 \Rightarrow false} & b_1 \neq b_2 \\ \hline \\ \frac{\rho \vdash E_1 \Rightarrow n_1}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_1 \Rightarrow n_1}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_1} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_1 \Rightarrow n_1}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_1 \Rightarrow n_1}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_1 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_1 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_1 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_1 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2} & \frac{\rho \vdash E_2 \Rightarrow n_2}{\rho \vdash E_1 \Rightarrow n_2}$$

$$\frac{\rho \vdash E \Rightarrow true}{\rho \vdash \text{not } E \Rightarrow false} \qquad \frac{\rho \vdash E \Rightarrow false}{\rho \vdash \text{not } E \Rightarrow true}$$

Note that equality $(E_1 = E_2)$ is undefined for list and function values. We deliberately choose to disallow list values; this is our choice for simplicity of the language semantics. However, comparing functional values is an undecidable problem and cannot be implemented in programming languages.

Lists can be constructed in three ways:

$$\frac{\rho \vdash E_1 \Rightarrow v \qquad \rho \vdash E_2 \Rightarrow s}{\rho \vdash E_1 :: E_2 \Rightarrow v :: s} \qquad \frac{\rho \vdash E_1 \Rightarrow s_1 \qquad \rho \vdash E_2 \Rightarrow s_2}{\rho \vdash E_1 @ E_2 \Rightarrow s_1 @ s_2}$$

where v and s denote an arbitrary value and a list value, respectively. Other list operations are defined as follows:

$$\begin{array}{ll} \frac{\rho \vdash E \Rightarrow v :: s}{\rho \vdash \text{head } E \Rightarrow v} & \frac{\rho \vdash E \Rightarrow v :: s}{\rho \vdash \text{tail } E \Rightarrow s} \\ \\ \frac{\rho \vdash E \Rightarrow []}{\rho \vdash \text{isnil } E \Rightarrow \textit{true}} & \frac{\rho \vdash E \Rightarrow v :: s}{\rho \vdash \text{isnil } E \Rightarrow \textit{false}} \end{array}$$

We defined the semantics of conditional, let, letrec, proc, and call expressions in class as follows:

$$\frac{\rho \vdash E_1 \Rightarrow true \qquad \rho \vdash E_2 \Rightarrow v}{\rho \vdash \text{ if } E_1 \text{ then } E_2 \text{ else } E_3 \Rightarrow v} \qquad \frac{\rho \vdash E_1 \Rightarrow false \qquad \rho \vdash E_3 \Rightarrow v}{\rho \vdash \text{ if } E_1 \text{ then } E_2 \text{ else } E_3 \Rightarrow v}$$

$$\frac{\rho \vdash E_1 \Rightarrow v_1 \qquad [x \mapsto v_1]\rho \vdash E_2 \Rightarrow v}{\rho \vdash \text{ let } x = E_1 \text{ in } E_2 \Rightarrow v} \qquad \frac{[f \mapsto (f, x, E_1, \rho)]\rho \vdash E_2 \Rightarrow v}{\rho \vdash \text{ letrec } f(x) = E_1 \text{ in } E_2 \Rightarrow v}$$

$$\frac{\rho \vdash proc \ x \ E \Rightarrow (x, E, \rho)}{\rho \vdash E_1 \Rightarrow (x, E, \rho')} \qquad \frac{\rho \vdash E_2 \Rightarrow v \qquad [x \mapsto v]\rho' \vdash E \Rightarrow v'}{\rho \vdash E_1 \ E_2 \Rightarrow v'}$$

$$\frac{\rho \vdash E_1 \Rightarrow (f, x, E, \rho') \quad \rho \vdash E_2 \Rightarrow v \quad [x \mapsto v, f \mapsto (f, x, E, \rho')]\rho' \vdash E \Rightarrow v'}{\rho \vdash E_1 \ E_2 \Rightarrow v'}$$

$$\frac{\rho \vdash E_1 \ E_2 \Rightarrow v'}{\rho \vdash \text{ letrec } f(x) = E_1 \text{ and } g(y) = E_2 \text{ in } E_3 \Rightarrow v'}$$

Complete this definition (when E_1 evaluates to mutually recursive functions)

$$\rho \vdash E_1 E_2 \Rightarrow$$

The expression print E prints the value of E and then produces a unit value:

$$\overline{\rho \vdash \mathtt{print} \ E \Rightarrow \cdot}$$

The sequence expression E_1 ; E_2 evaluates E_1 and E_2 while ignoring the value of E_1 :

 $\frac{\rho \vdash E_1 \Rightarrow v_1 \qquad \rho \vdash E_2 \Rightarrow v_2}{\rho \vdash E_1; E_2 \Rightarrow v_2}$

Language Implementation Now, let us implement ML⁻. In OCaml, the syntax is defined as datatype as follows:

```
type program = exp
and exp =
  | UNIT
  | TRUE
  | FALSE
  | CONST of int
  | VAR of var
  | ADD of exp * exp
  | SUB of exp * exp
  | MUL of exp * exp
  | DIV of exp * exp
  | EQUAL of exp * exp
  | LESS of exp * exp
  | NOT of exp
  | NIL
  | CONS of exp * exp
  | APPEND of exp * exp
  | HEAD of exp
  | TAIL of exp
  | ISNIL of exp
  | IF of exp * exp * exp
  | LET of var * exp * exp
  | LETREC of var * var * exp * exp
  | LETMREC of (var * var * exp) * (var * var * exp) * exp
  | PROC of var * exp
  | CALL of exp * exp
  | PRINT of exp
  | SEQ of exp * exp
and var = string
The type of values and environments are defined as follows:
type value =
  | Unit
  | Int of int
  | Bool of bool
  | List of value list
  | Procedure of var * exp * env
  | RecProcedure of var * var * exp * env
```

```
| MRecProcedure of (* complete for yourself *)
and env = (var * value) list
```

Implement the function runml:

```
runml : program -> value
```

which takes a program, evaluates it, and produces its value. Whenever the semantics is undefined, raise exception UndefinedSemantics.

Examples Check your implementation by running the following example programs.

1. Evaluating the program

```
let x = 1
  in let f = proc(y)(x + y)
    in let x = 2
       in let g = proc(y)(x + y)
          in (f 1) + (g 1)
  represented by
    LET ("x", CONST 1,
     LET ("f", PROC ("y", ADD (VAR "x", VAR "y")),
      LET ("x", CONST 2,
       LET ("g", PROC ("y", ADD (VAR "x", VAR "y")),
        ADD (CALL (VAR "f", CONST 1), CALL (VAR "g", CONST 1)))))
  should produce the value Int 5.
2. Evaluating the program
  letrec double(x) = if (x = 0) then 0 else (double (x-1) + 2
```

```
in (double 6)
represented by
 LETREC ("double", "x",
  IF (EQUAL (VAR "x", CONST 0), CONST 0,
   ADD (CALL (VAR "double", SUB (VAR "x", CONST 1)), CONST 2)),
   CALL (VAR "double", CONST 6))
```

should produce Int 12.

3. Evaluating the program

```
letrec even(x) = if (x = 0) then true else odd(x-1)
            odd(x) = if (x = 0) then false else even(x-1)
    in (even 13)
  represented by
    LETMREC
     (("even", "x",
       IF (EQUAL (VAR "x", CONST 0), TRUE,
        CALL (VAR "odd", SUB (VAR "x", CONST 1)))),
     ("odd", "x",
      IF (EQUAL (VAR "x", CONST 0), FALSE,
       CALL (VAR "even", SUB (VAR "x", CONST 1)))),
     CALL (VAR "odd", CONST 13))
  should produce Bool true.
4. Evaluating the program
  letrec factorial(x) =
           if (x = 0) then 1
           else factorial(x-1) * x
  in letrec loop n =
       if (n = 0) then ()
       else (print (factorial n); loop (n-1))
     in (loop 10)
  represented by
    LETREC ("factorial", "x",
     IF (EQUAL (VAR "x", CONST 0), CONST 1,
      MUL (CALL (VAR "factorial", SUB (VAR "x", CONST 1)), VAR "x")),
     LETREC ("loop", "n",
      IF (EQUAL (VAR "n", CONST 0), UNIT,
       SEQ (PRINT (CALL (VAR "factorial", VAR "n")),
        CALL (VAR "loop", SUB (VAR "n", CONST 1)))),
      CALL (VAR "loop", CONST 10)))
  should produce Unit after printing out the following lines:
  3628800
  362880
  40320
  5040
  720
  120
```

```
24
  6
  2
  1
5. Evaluating the program
  letrec range(n) =
         if (n = 1) then (cons 1 nil)
         else n::(range (n-1))
  in (range 10)
  represented by
    LETREC ("range", "n",
     IF (EQUAL (VAR "n", CONST 1), CONS (CONST 1, NIL),
      CONS (VAR "n", CALL (VAR "range", SUB (VAR "n", CONST 1)))),
     CALL (VAR "range", CONST 10))
  should produce List [Int 10; Int 9; Int 8; Int 7; Int 6; Int 5;
  Int 4; Int 3; Int 2; Int 1].
6. Evaluating the program
  letrec reverse(1) =
    if (isnil 1) then []
    else (reverse (tl 1)) @ (cons hd 1)
  in (reverse (cons (1, cons (2, cons (3, nil)))))
  represented by
    LETREC ("reverse", "1",
     IF (ISNIL (VAR "1"), NIL,
      APPEND (CALL (VAR "reverse", TAIL (VAR "1")), CONS (HEAD (VAR "1"), NIL))),
     CALL (VAR "reverse", CONS (CONST 1, CONS (CONST 2, CONS (CONST 3, NIL)))))
  should produce List [Int 3; Int 2; Int 1].
7. An interesting fact in programming languages is that any recursive func-
  tion can be defined in terms of non-recursive functions (i.e., letrec is
  syntactic sugar<sup>1</sup> in ML<sup>-</sup>). Consider the following function:
  let fix = proc (f) ((proc (x) f (proc (y) ((x x) y)))
                        (proc (x) f (proc (y) ((x x) y))))
```

¹https://en.wikipedia.org/wiki/Syntactic_sugar

which is called fixed-point-combinator (or Z-combinator).² Note that fix is a non-recursive function, although its structure is complex and repetitive. Any recursive function definition of the form:

```
letrec f(x) = \langle body \ of \ f \rangle \ in \dots
can be defined as follows using fix:
let f = fix (proc (f) (proc (x) (<body of f>))) in ...
For example, the factorial program
letrec f(x) = if(x = 0) then 1 else f(x-1) * x
in (f 10)
can be defined using fix:
let fix = proc (f) ((proc (x) f (proc (y) ((x x) y)))
                      (proc (x) f (proc (y) ((x x) y))))
  in let f = fix (proc (f) (proc (x) (if (x = 0) then 1 else <math>f(x-1) * x)))
     in (f 10)
which is represented in our implementation as follows:
  LET ("fix",
   PROC ("f",
    CALL
     (PROC ("x",
       CALL (VAR "f", PROC ("y", CALL (CALL (VAR "x", VAR "x"), VAR "y")))),
     PROC ("x",
      CALL (VAR "f", PROC ("y", CALL (CALL (VAR "x", VAR "x"), VAR "y")))))),
   LET ("f",
    CALL (VAR "fix",
     PROC ("f",
      PROC ("x",
       IF (EQUAL (VAR "x", CONST 0), CONST 1,
        MUL (CALL (VAR "f", SUB (VAR "x", CONST 1)), VAR "x"))))),
    CALL (VAR "f", CONST 10)))
Evaluating this program with your interpreter should produce Int 3628800.
For another example, consider the function range defined above:
in letrec range(n) = if (n = 1) then (cons 1 nil)
                       else n::(range (n-1))
in (range 10)
```

 $^{^2 \}verb|https://en.wikipedia.org/wiki/Fixed-point_combinator|$

We can translate it to a non-recursive version as follows:

```
let fix = proc (f) ((proc (x) f (proc (y) ((x x) y)))
                    (proc (x) f (proc (y) ((x x) y))))
  in let f = fix (proc (range)
                   (proc (n)
                     (if (n = 1) then (cons 1 nil)
                      else n::(range (n-1)))))
     in (f 10)
In OCaml:
  LET ("fix",
   PROC ("f",
    CALL
     (PROC ("x",
       CALL (VAR "f", PROC ("y", CALL (CALL (VAR "x", VAR "x"), VAR "y")))),
      CALL (VAR "f", PROC ("y", CALL (CALL (VAR "x", VAR "x"), VAR "y")))))),
   LET ("f",
    CALL (VAR "fix",
    PROC ("range",
      PROC ("n",
       IF (EQUAL (VAR "n", CONST 1), CONS (CONST 1, NIL),
        CONS (VAR "n", CALL (VAR "range", SUB (VAR "n", CONST 1)))))),
    CALL (VAR "f", CONST 10)))
Evaluating this program should produce List [Int 10; Int 9; Int 8;
Int 7; Int 6; Int 5; Int 4; Int 3; Int 2; Int 1].
```