

THE ECB PENGUIN

# CS 553

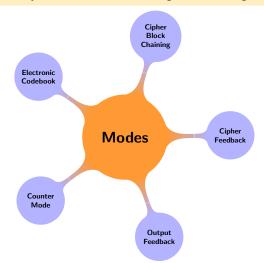
Lecture 15
Modes of Operation

Instructor Dr. Dhiman Saha

# Modes of Operation

# The Domain Extension Algorithm

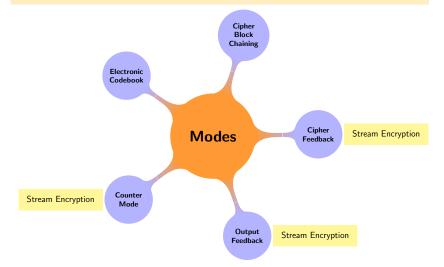
Handling arbitrary amount of data using a fixed length function

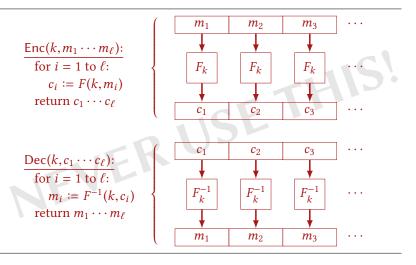


# Modes of Operation

# The Domain Extension Algorithm

Handling arbitrary amount of data using a fixed length function





► Same plaintext always encrypts to same ciphertext

#### Recall

## ECB not IND-CPA Secure

Indistinguishability under Chosen Plaintext Attack 🛆

#### Possible use-cases for ECB

- ► Encrypting only single-block messages
- Using random characters with input blocks
- ► Reduces capacity of each block
- ► Must be undone during decryption

## Advantages

► Parallelizable Encryption

Random Access Decryption 🛆



#### Transmission errors $\implies$ Incorrect Ciphertext

Bit Flip  $(0 \rightarrow 1 \mid 1 \rightarrow 0)$ 

Bit Drop  $(0101 \rightarrow 001)$ 

# Bit Flip $\implies$ Bad plaintext block after decryption

- ► Limited to **one** block only
- ► Other blocks unaffected

# Bit Drop $\implies$ alignment lost

- ► All plaintext will be bad after decryption
- ► Fixed only if alignment recovered

$$\frac{\mathsf{Enc}(k, m_1 \cdots m_\ell):}{c_0 \leftarrow \{0, 1\}^{blen}:}$$

$$\text{for } i = 1 \text{ to } \ell:$$

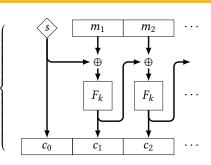
$$c_i := F(k, m_i \oplus c_{i-1})$$

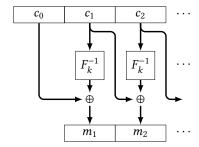
$$\text{return } c_0 c_1 \cdots c_\ell$$

$$\frac{\mathsf{Dec}(k, c_0 \cdots c_\ell):}{\mathsf{for}\ i = 1\ \mathsf{to}\ \ell:}$$

$$m_i \coloneqq F^{-1}(k, c_i) \oplus c_{i-1}$$

$$\mathsf{return}\ m_1 \cdots m_\ell$$





- Encryption cannot be parallelized
- No random access
- Each ciphertext block depends on all the previous blocks

#### IV/

#### The Initialization Vector

Random IV  $\implies$  Randomized encryption  $\implies$  IND-CPA

- ▶ Identical plaintexts will encrypt to **distinct** ciphertexts
- When calling the cipher with distinct IVs

#### Note: IV must be communicated for decryption

▶ Encrypting / blocks under CBC mode results in /+1 blocks



#### What if constant IV is used?

Common prefix leakage

Assume

$$c_i = c_j$$
 for some  $1 \le i, j \le n$  with  $i \ne j$ 

$$c_i = c_j \implies F(k, m_i \oplus c_{i-1}) = F(k, m_j \oplus c_{j-1})$$
  
 $\implies (m_i \oplus c_{i-1}) = (m_j \oplus c_{j-1})$   
 $\implies (m_i \oplus m_j) = (c_{i-1} \oplus c_{j-i}) \leftarrow \text{Info. leakage}$ 

# For b-bit block cipher

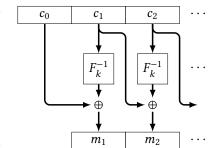
The Birthday Paradox<sup>1</sup>

$$\Pr[c_i = c_j] \approx 2^{\frac{b}{2}}$$

▶ Justifies need for larger block size

<sup>&</sup>lt;sup>1</sup>Will be detailed in hash function lecture

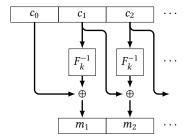
```
\frac{\operatorname{Dec}(k, c_0 \cdots c_{\ell}):}{\text{for } i = 1 \text{ to } \ell:} \\
m_i := F^{-1}(k, c_i) \oplus c_{i-1} \\
\text{return } m_1 \cdots m_{\ell}
```



- $ightharpoonup c_i$  computation depends on  $c_{i-1}$  (Encryption)
- $ightharpoonup m_i$  computation **does not** depends on  $m_{i-1}$  (Decryption)
- ▶ It depends on  $c_i, c_{i-1}$
- Parallel computation **possible** if all previous  $c_i$  available (generally true)

A single-bit transmission error in ciphertext block  $c_i \implies$ 

- ▶ Whole plaintext block *m<sub>i</sub>* corrupted
- ► The same bit in plaintext block  $m_{i+1}$  being corrupted



Self-Recovering/Self-Synchronizing Property



Blocks following  $m_{i+1}$  will not be affected

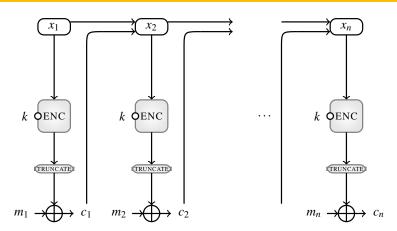
If a bit is added or lost from the cipher-text stream, then all subsequent blocks are garbled.

# Stream Encryption

 $\mathsf{CFB} \cdot \mathsf{OFB} \cdot \mathsf{CTR}$ 

A stream cipher out of a block cipher

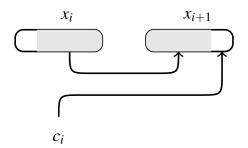
# CFB (Encryption)



ightharpoonup t bits encrypted with each call to the block cipher<sup>2</sup>

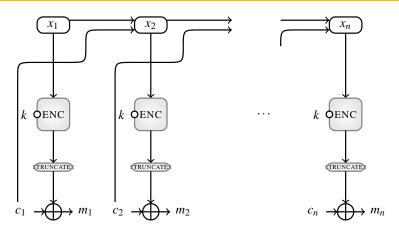
$$c_i = m_i \oplus MSB_t(ENC_k(x_i)), \quad \begin{cases} x_1 = \text{chosen } IV \\ x_{i+1} = LSB_{b-t}(x_i)||c_i| \end{cases}$$

 $<sup>^{2}(1 \</sup>leq t \leq b)$  and  $(1 \leq i \leq n)$ 



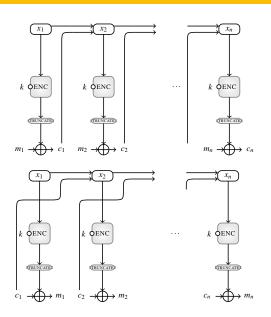
# Update of state register from $x_i$ to $x_{i+1}$

- ▶ First b t bits of  $x_i$  are shifted to the left
- ightharpoonup Then  $c_i$  is used to replace the missing bits on the right



▶ Decryption has a similar form to encryption

$$m_i = c_i \oplus MSB_t(ENC_k(x_i)), \quad \begin{cases} x_1 = IV \text{ used in encryption} \\ x_{i+1} = LSB_{b-t}(x_i)||c_i| \end{cases}$$



- Only forward encryption required
- ► Not parallelizable
- ➤ One call per t—bits of ciphertext

## Block Cipher ⇒ Self-synchronizing stream cipher

- ► An error in some CFB-encrypted ciphertext block  $c_i$  will be inherited by the corresponding plaintext block  $m_i$  that is recovered
- Since  $x_{i+1}$  will contain the incorrect  $c_i$ , the recovery of subsequent message blocks will be garbled until the source register  $x_i$  for some j > i is free from the influence of  $c_i$
- ► This will happen when *c<sub>i</sub>* has been shifted out of the register
- #plaintext-blocks corrupted by single ciphertext error

$$\leq \left\lceil \frac{b}{t} \right\rceil + 1$$

► So, provided sufficiently many ciphertext bits are received without error, correct decryption can be recovered.

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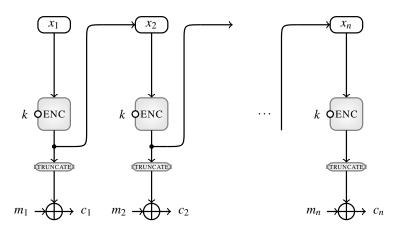
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# OFB (Encryption)

# Output Feedback Mode

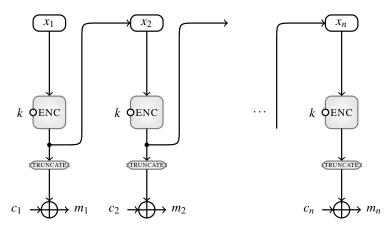


► t bits encrypted with each call to the block cipher<sup>3</sup>

$$c_i = m_i \oplus MSB_t(ENC_k(x_i)), \quad \begin{cases} x_1 = \text{Chosen } IV \\ x_{i+1} = ENC_k(x_i) \end{cases}$$

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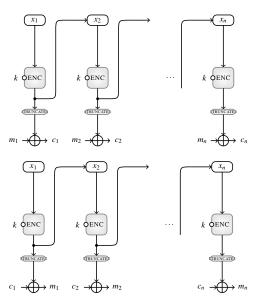
# Output Feedback Mode



▶ Decryption has a similar form to encryption

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# The Whole Picture

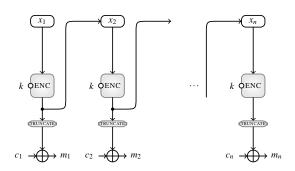


- Only forward encryption required
- ► Not parallelizable
- Stream-cipher mode
- But here encryption does not depend on previous ciphertexts.

Note: (key, IV) pair should not be reused

OFB

Fault Tolerance



#### Bit Errors

- Affect corresponding plaintext
- ► But no error propagation

#### Bit Loss

- ► Leads to alignment loss
- Require external resynchronization

#### **CFB**

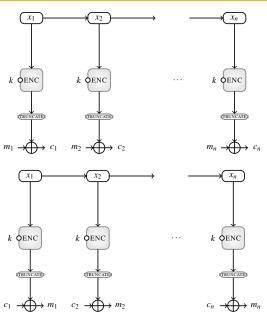
- ► Stream Cipher
- **▶** Self-synchronizing
- Key-stream is dependent on message or ciphertext

#### **OFB**

- Stream Cipher
- Synchronous
- Key-stream is independent of message or ciphertext

# CTR (Parallelizable)

# Counter Mode



- ► Almost similar to OFB w.r.t fault tolerance
- ► Also a Synchronous Stream Cipher Mode

#### Main Difference with OFB

- ► CTR supports random access for decryption
- ► CTR supports parallel encryption

#### OFB and CTR

- ▶ Same (Key,IV) ⇒ Same key-stream!!!
- ▶ IV can be known to some adversary, but used only once
- ► Also referred to as nonce △
- ► Can be a counter

#### CBC and CFB

- ► Require the IV to be unpredictable. Why? (HomeWork)
- ► Notion of Pre-IV
- $ightharpoonup IV = ENC_k(Pre-IV)$
- ightharpoonup IV =  $ENC_{k'}$ (Pre-IV)

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- Require the IV to be unpredictable. Why? (HomeWork)
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# **Padding**

- Not a concern for OFB and CTR modes
  - Generate sufficient keystream
  - Encrypt the message and
  - ► Throw away keystream that is not needed
- ► For CFB. CBC, and ECB modes we might need to pad some input block to a multiple of s bits in the case of CFB mode and a multiple of b bits in the cases of CBC and ECB.
- Variety of padding methods have been proposed
- ► Most popular 10\*
- Many attacks reported due to inapt padding



Padding Oracle Attack (Home Work)