

# CS 553

## CRYPTOGRAPHY

### Lecture 12

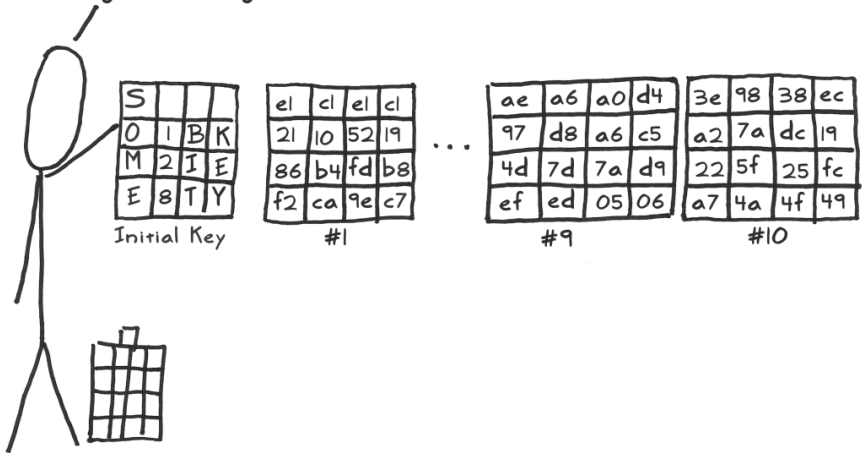
#### The Story of AES Continues

Instructor  
Dr. Dhiman Saha

- ▶ DES and Modern Crypto
- ▶ The issue with DES
- ▶ The need for AES
- ▶ Rijndael: The Winner of AES
- ▶ The Key Expansion
- ▶ The Round Function
- ▶ SubBytes
- ▶ ShiftRows

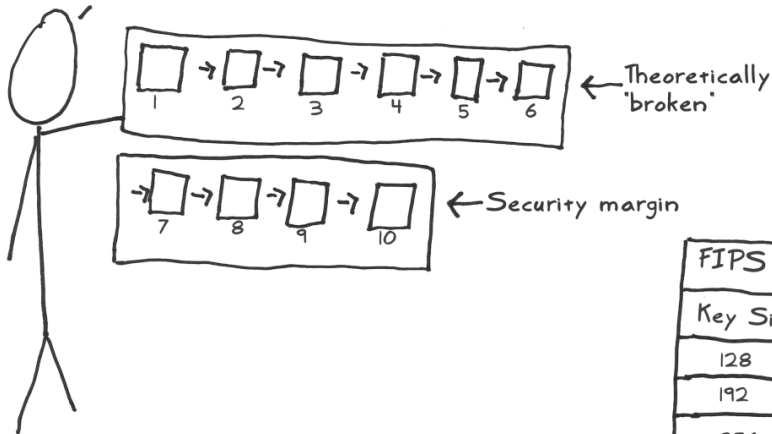
# Key Expansion: Part 1

I need lots of keys for use in later rounds. I derive all of them from the initial key using a simple mixing technique that's really fast. Despite its critics,\* it's good enough.



\* By far, most complaints against AES's design focus on this simplicity.

When I was being developed, a clever guy was able to find a shortcut path through 6 rounds. That's not good! If you look carefully, you'll see that each bit of a round's output depends on every bit from two rounds ago. To increase this diffusion 'avalanche,' I added 4 extra rounds. This is my 'security margin.'



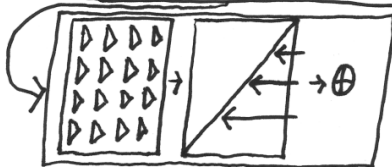
FIPS 197 Spec	
Key Size	Rounds
128	10
192	12
256	14

So in pictures, we have this:

Intermediate Round ↴

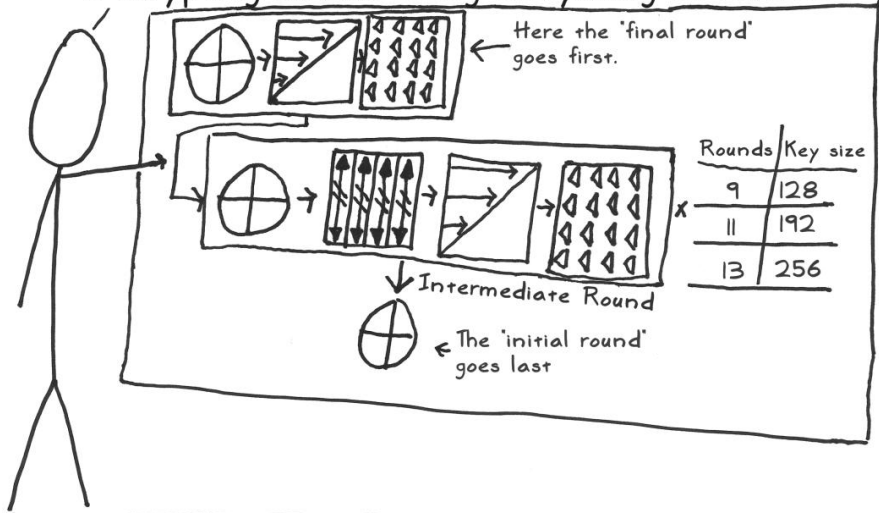


<u>Rounds</u>	<u>Key Size</u>
9	128
11	192
13	256



Final Round ↴

# Decrypting means doing everything in reverse



Add Round Key Inverse



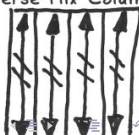
Inverse Substitute Bytes



Inverse Shift Rows

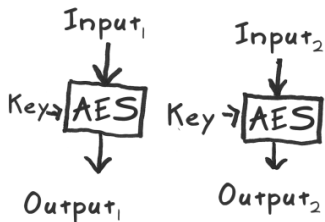


Inverse Mix Columns



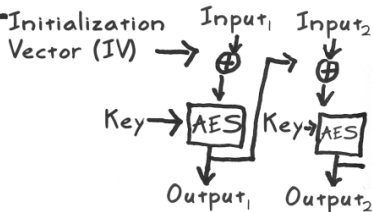
One last tidbit: I shouldn't be used as-is, but rather as a building block to a decent 'mode.'

### Electronic Codebook Mode (ECB)



**BAD!**

### Cipher-block Chaining (CBC)

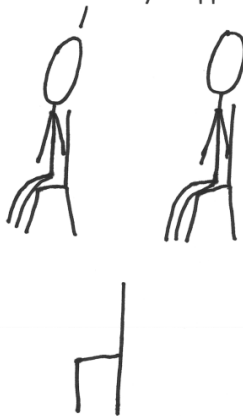


**Better**

Make sense? Did that  
answer your question?

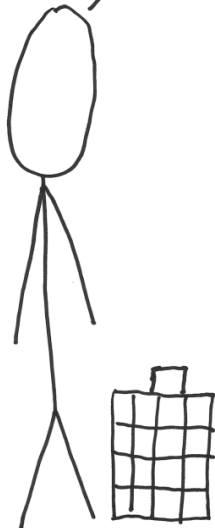


Almost...except you just  
waved your hands and  
used weird analogies.  
What really happens?





Another great question! It's  
not hard, but... it involves  
a little... math.



I'm game.  
Bring it on!!



Math is hard!  
Let's go shopping!



# Act 4: Math!

Let's go back to your algebra class...

Come on  
class, what's  
the answer?

$$X + X = ?$$

I know!  
It's  $2x$

I should  
copy off  
him...

Will Ashley  
go out  
with me?

↑  
You

## Reviewing the Basics...

polynomial

degree

coefficient

addition

the unknown

square

multiplication

$$(x+1)^2 = (x+1) \cdot (x+1) = x^2 + x + x + 1 = x^2 + 2x + 1$$



We'll change things slightly. In the old way, coefficients could get as big as we wanted. In the new way, they can only be 0 or 1:

### Old Way

$$123x^2 + 45x^2 + 678x + 9x + 10$$

$$= 168x^2 + 687x + 10$$

Big coefficients

### New Way

$$x^2 \oplus x^2 \oplus x^2 \oplus x \oplus x \oplus 1$$

$$= x^2 \oplus 1$$

The 'new' add\*

Small coefficients

$$\begin{aligned} x^2 \oplus x^2 \oplus x^2 &= (x^2 \oplus x^2) \oplus x^2 \\ &= 0 \oplus x^2 \\ &= x^2 \end{aligned}$$

\*Nifty Fact: In the new way, addition is the same as subtraction (e.g.  $x \oplus x = x - x = 0$ )

Remember how multiplication could make things grow fast?




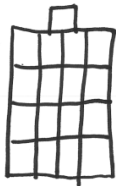
$$\begin{aligned} & (x^7 + x^5 + x^3 + x) \cdot (x^6 + x^4 + x^2 + 1) \\ &= x^{7+6} + x^{7+4} + x^{7+2} + x^{7+0} + x^{5+6} + x^{5+4} + x^{5+2} + x^{5+0} \\ &\quad + x^{3+6} + x^{3+4} + x^{3+2} + x^{3+0} + x^{1+6} + x^{1+4} + x^{1+2} + x^{1+0} \\ &= x^{13} + x^{11} + x^9 + x^7 + x^{11} + x^9 + x^7 + x^5 + x^9 + x^7 + x^5 + x^3 + x^7 + x^5 + x^3 + x \\ &= x^{13} + x^{11} + x^{11} + x^9 + x^9 + x^9 + x^7 + x^7 + x^7 + x^7 + x^5 + x^5 + x^5 + x^3 + x^3 + x \\ &= x^{13} + 2x^{11} + 3x^9 + 4x^7 + 3x^5 + 2x^3 + x \end{aligned}$$

↑  
Big and yucky!

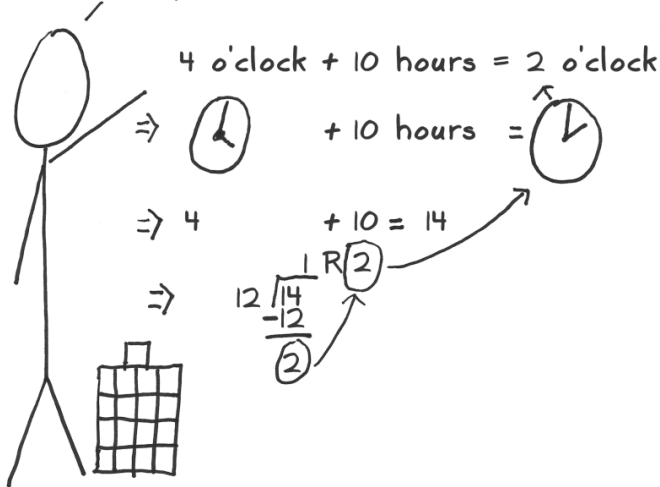


With the 'new' addition, things are simpler,  
but the  $x^{13}$  is still too big. Let's make it so  
we can't go bigger than  $x^7$ . How can we  
do that?


$$\begin{aligned} & x^{13} \oplus 2x^{11} \oplus 3x^9 \oplus 4x^7 \oplus 3x^5 \oplus 2x^3 \oplus x \\ \Rightarrow & x^{13} \oplus 0x^{11} \oplus x^9 \oplus 0x^7 \oplus x^5 \oplus 0x^3 \oplus x \\ = & x^{13} \oplus x^9 \oplus x^5 \oplus x \end{aligned}$$




We use our friend, "clock math\*," to do this.  
Just add things up and do long division.  
Keep a close watch on the remainder:



\*This is also known as "modular addition." Math geeks call this a "group." AES uses a special group called a "finite field."



We can do 'clock' math with polynomials. Instead of dividing by 12 my creators told me to use  $m(x) = x^8 \oplus x^4 \oplus x^3 \oplus x \oplus 1$ . Let's say we wanted to multiply  $x \cdot b(x)$  where  $b(x)$  has coefficients  $b_7 \dots b_0$ :


$$\begin{aligned}x \cdot b(x) &= x \cdot (b_7 x^7 \oplus b_6 x^6 \oplus b_5 x^5 \oplus b_4 x^4 \oplus b_3 x^3 \oplus b_2 x^2 \oplus b_1 x \oplus b_0) \\&= b_7 x^8 \oplus b_6 x^7 \oplus b_5 x^6 \oplus b_4 x^5 \oplus b_3 x^4 \oplus b_2 x^3 \oplus b_1 x^2 \oplus b_0 x\end{aligned}$$

↑ Eeek!  $x^8$  is too big. We must make it smaller.



\* Remember that each  $b_n$  (e.g.  $b_7$ ) is either 0 or 1.

We divide it by  $m(x) = x^8 \oplus x^4 \oplus x^3 \oplus x \oplus 1$  and take the remainder:

$$\begin{array}{r}
 \begin{array}{c} x^8 \oplus x^4 \oplus x^3 \oplus x \oplus 1 \\ \uparrow \\ m(x) \end{array} \oplus \overline{b_7} \begin{array}{l} b_7 x^8 \oplus b_6 x^7 \oplus b_5 x^6 \oplus b_4 x^5 \oplus b_3 x^4 \oplus b_2 x^3 \oplus b_1 x^2 \oplus b_0 x \\ b_7 x^8 \oplus b_7 x^4 \oplus b_7 x^3 \oplus b_7 x \oplus b_7 \end{array} \\
 \hline
 b_6 x^7 \oplus b_5 x^6 \oplus b_4 x^5 \oplus (b_3 \oplus b_7) x^4 \oplus (b_2 \oplus b_7) x^3 \\
 \oplus b_1 x^2 \oplus (b_0 \oplus b_7) x \oplus b_7
 \end{array}$$

Remainder

$$\begin{array}{l}
 \rightarrow b_6 x^7 \oplus b_5 x^6 \oplus b_4 x^5 \oplus b_3 x^4 \oplus b_2 x^3 \oplus b_1 x^2 \oplus b_0 x \\
 \uparrow \\
 \oplus b_7 \cdot (x^4 \oplus x^3 \oplus x \oplus 1)
 \end{array}$$

Note how the b's are shifted left by 1 spot.

This is just  $b_7$  multiplied by a small polynomial.

Now we're ready for the hardest blast from the past: logarithms. After logarithms, everything else is cake! Logarithms let us turn multiplication into addition:


$$\log(x \cdot y) = \log(x) + \log(y)$$

$$\text{So... } \log(10 \cdot 100) = \log(10^1) + \log(10^2) \\ = 1 + 2 = 3$$

In reverse:

$$\log^{-1}(1) = 10^1 = 10$$

$$\log^{-1}(2) = 10^2 = 100$$

$$\log^{-1}(3) = 10^3 = 1,000$$


$$\Rightarrow 10 \cdot 100 = 1,000$$

We can use logarithms in our new world. Instead of using 10 as the base, we can use the simple polynomial of  $x \oplus 1$  and watch the magic unravel.\*



$$(x \oplus 1)^1 = x \oplus 1$$

$$(x \oplus 1)^2 = (x \oplus 1)(x \oplus 1) = x^2 \oplus x \oplus x \oplus 1 = x^2 \oplus 1$$


$$(x \oplus 1)^3 = (x \oplus 1)^2 \cdot (x \oplus 1) = x^3 \oplus x^2 \oplus x \oplus 1$$

So...

$$\log_{x \oplus 1}(x \oplus 1) = 1, \log_{x \oplus 1}(x^2 \oplus 1) = 2, \log_{x \oplus 1}(x^3 \oplus x^2 \oplus x \oplus 1) = 3$$


\*If you keep multiplying by  $(x \oplus 1)$  and then take the remainder after dividing by  $m(x)$ , you'll see that you generate all possible polynomial below  $x^8$ . This is very important!

Why bother with all of this math? \* Encryption deals with bits and bytes, right? Well, there's one last connection: a 7<sup>th</sup> degree polynomial can be represented in exactly 1 byte since the new way uses only 0 or 1 for coefficients:



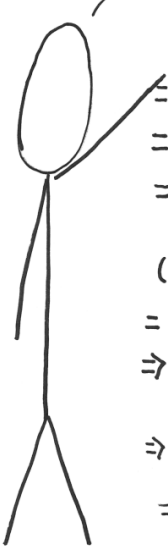
$$\begin{aligned}
 & x^4 \oplus x^3 \oplus x \oplus 1 \\
 &= 0x^7 \oplus 0x^6 \oplus 0x^5 \oplus 1x^4 \oplus 1x^3 \oplus 0x^2 \oplus 1x \oplus 1 \\
 &= \underbrace{0 \quad 0 \quad 0 \quad 1}_{1} \quad \underbrace{1 \quad 0 \quad 1 \quad 1}_{1011_2 = 11_{10} = b_{16} \leftarrow \text{hexadecimal}}
 \end{aligned}$$

$= 1b \leftarrow \text{A single byte!!}$



\* Although we'll work with bytes from now on, the math makes sure everything works out.

With bytes, polynomial addition becomes a simple xor. We can use our logarithm skills to make a table for speedy multiplication.\*




$$\begin{aligned}
 & (x^4 \oplus x^3 \oplus x \oplus 1) \oplus (x^7 \oplus x^5 \oplus x^3 \oplus x) \\
 &= \underset{\downarrow}{1b} \quad \oplus \quad \underset{\downarrow}{aa} \quad \leftarrow \text{byte xor} \\
 &= \underset{\downarrow}{b1} \\
 &= x^7 \oplus x^5 \oplus x^4 \oplus 1
 \end{aligned}$$

$$\begin{aligned}
 & (x^4 \oplus x^3 \oplus x \oplus 1) \cdot (x^7 \oplus x^5 \oplus x^3 \oplus x) \\
 &= \underset{\downarrow}{1b} \cdot \underset{\downarrow}{aa} \quad \text{logarithm table lookup} \\
 &\Rightarrow \log(1b) + \log(aa) = c8 + 1f = e7 \\
 &\Rightarrow \log^{-1}(e7) = 8c \xleftarrow{\text{inverse table lookup}} \Rightarrow 1b \cdot aa \\
 &= x^7 \oplus x^3 \oplus x^2
 \end{aligned}$$

\* We can create the table as we keep multiplying by  $(x \oplus 1)$ .

Since we know how to multiply, we can find the 'inverse' polynomial byte for each byte. This is the byte that will undo/invert the polynomial back to 1. There are only 255\* of them, so we can use brute force to find them:


$$(x^4 \oplus x^3 \oplus x \oplus 1) \cdot ? = 1$$
$$1b \cdot (cc) = 1$$

↑ found using a brute force for-loop

\* There are only 255 instead of 256 because 0 has no inverse.

Now we can understand the mysterious s-box. It takes a byte 'a' and applies two functions. The first is 'g' which just finds the byte inverse. The second is 'f' which intentionally makes the math uglier to foil attackers.

$$g(a) = a^{-1}$$

$$f(a) = \begin{bmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} a_7 \\ a_6 \\ a_5 \\ a_4 \\ a_3 \\ a_2 \\ a_1 \\ a_0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \end{bmatrix}$$

$$\text{sbox}[a] = f(g(a))$$

$$\text{sbox}[58] = f(g(58))$$

$$\text{sbox}[58] = f(18) = 6a$$

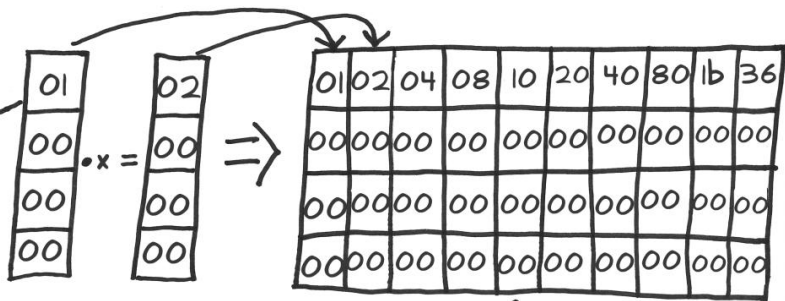
$$\uparrow$$

$$58 \cdot 18 = 01$$





We can also understand those crazy round constants in the key expansion. I get them by starting with "1" and then keep multiplying by "x":



First 10 round constants

Mix Columns is the hardest. I treat each column as a polynomial. I then use our new multiply method to multiply it by a specially crafted polynomial and then take the remainder after dividing by  $x^4+1$ . This all simplifies to a matrix multiply:



$$\begin{bmatrix} a_3 \\ a_2 \\ a_1 \\ a_0 \end{bmatrix}$$

$$b(x) = c(x) \cdot a(x) \mod x^4+1$$

$$= (03x^3 + 01x^2 + 01x + 02) \cdot (a_3x^3 + a_2x^2 + a_1x + a_0) \mod x^4+1$$

special polynomial                      the column

$$03a_3 \cdot x^2 + (3a_2 + a_3)x + (3a_1 + a_2 + a_3)$$

$$x^4+1 \mid \begin{array}{l} 03a_3x^6 + 03a_2x^5 + 03a_1x^4 + 03a_0x^3 + 01a_3x^5 + 01a_2x^4 + 01a_1x^3 + 01a_0x^2 \\ + 01a_3x^4 + 01a_2x^3 + 01a_1x^2 + 01a_0x + 02a_3x^3 + 02a_2x^2 + 02a_1x + 02a_0 \end{array}$$

$$\oplus 03a_3x^6 + 03a_3x^2$$

$$\begin{array}{l} 3a_2x^5 + 3a_1x^4 + 3a_0x^3 + a_3x^5 + a_2x^4 + a_1x^3 + a_0x^2 + a_3x^4 + a_2x^3 + a_1x^2 + a_0x + 2a_3x^3 \\ + 2a_2x^2 + 2a_1x + 2a_0 + 3a_3x^2 \end{array}$$

$$\oplus 3a_2x^5 + a_3x^5 + 3a_2x + a_3x$$

$$\begin{array}{l} 3a_1x^4 + 3a_0x^3 + a_2x^4 + a_1x^3 + a_0x^2 + a_3x^4 + a_2x^3 + a_1x^2 + a_0x + 2a_3x^3 + 2a_2x^2 + 2a_1x + 2a_0 \\ + 3a_3x^2 + 3a_2x + a_3x \end{array}$$

$$\oplus (3a_1 + a_2 + a_3)x^4 + (3a_1 + a_2 + a_3)$$

$$\begin{array}{l} (2a_3 + a_2 + a_1 + 3a_0)x^3 + (3a_3 + 2a_2 + a_1 + a_0)x^2 \\ + (a_3 + 3a_2 + 2a_1 + a_0)x + (a_3 + a_2 + 3a_1 + 2a_0) \end{array} \Rightarrow$$

$$\begin{bmatrix} 2 & 1 & 1 & 3 \\ 3 & 2 & 1 & 1 \\ 1 & 3 & 2 & 1 \\ 1 & 1 & 3 & 2 \end{bmatrix} \cdot \begin{bmatrix} a_3 \\ a_2 \\ a_1 \\ a_0 \end{bmatrix} = \begin{bmatrix} b_3 \\ b_2 \\ b_1 \\ b_0 \end{bmatrix}$$

Plaintext in 4x4 grid



Initial Round

# AES Crib Sheet (Handy for memorizing)



Shift Rows Row Shift  
0 1 2 3

General Math

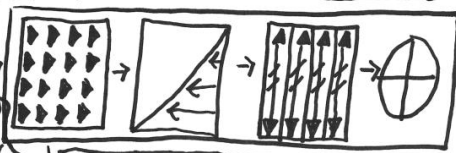
11B = AES Polynomial =  $m(x)$

$x^8 + x^4 + x^3 + x + 1$  Fast Multiply

$x \cdot a(x) = (a < 1) \oplus (a_7 = 1) ? 1B : 00$

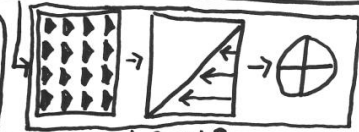
$\log(x \cdot y) = \log(x) + \log(y)$

Use  $(x+1) = 03$  for log base



Intermediate Rounds

#	Key
9	128
11	192
13	256



Final Round

?	?	?	?
?	?	?	?
?	?	?	?
?	?	?	?

Ciphertext

S-Box (SRD)

$SRD[a] = f(g(a))$

$g(a) = a^{-1} \text{ mod } m(x)$

few. Think  $53 \oplus 63^T$

5 is 15 and 3 is 3 [0110 0011]<sup>T</sup>

1	1	1	1	0	0	0	0
0	1	1	1	1	0	0	0
0	0	1	1	1	1	1	0
0	0	0	1	1	1	1	1
1	0	0	0	1	1	1	1
1	1	0	0	0	1	1	1
1	1	0	0	0	1	1	1
1	1	1	0	0	0	1	1

Key Expansion:

S			
0	1	B	X
M	2	I	E
E	B	T	Y

Round Key 0

Other Columns:

T	E1	C1
2	21	10
8	26	B4
	F2	CA

Prev Col  $\oplus$  Col from Previous round Key

First Column: 01 02 04 08...

K	$\Rightarrow$	B3	01	B2
E	$\Rightarrow$	6E	00	6E
Y	$\Rightarrow$	CB	00	CB
	$\Rightarrow$	B7	00	B7

S	B2	E1
0	6E	21
M	CB	86
E	B7	F2



Mix Columns:

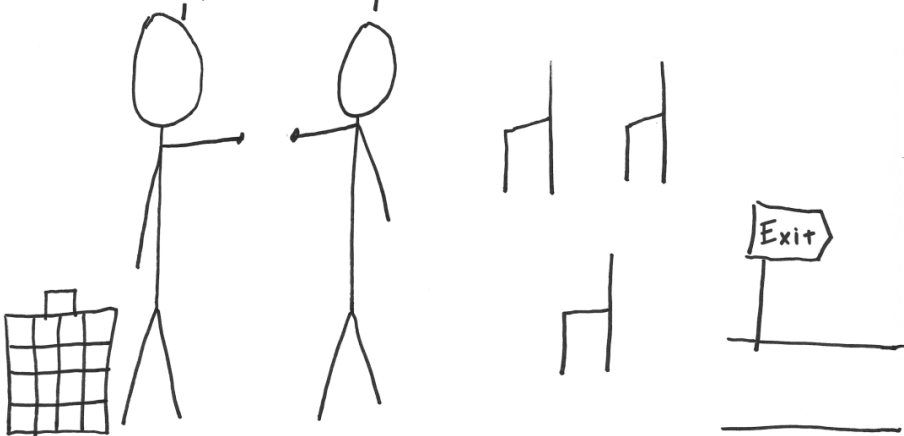
2	1	1	3	2
2	1	1	3	
3	2	1		
1	3	2	1	
1	1	3	2	

Inverse Mix

E	B	D	9
9	E	B	D
D	9	E	B
B	D	9	E

My pleasure.  
Come back anytime!

Whoa... I think I get it now. It's  
relatively simple once you grok the  
pieces. Thanks for explaining it. I  
gotta go now.



But there's so much more to talk about: my resistance to linear and differential cryptanalysis, my Wide Trail Strategy, impractical related-key attacks, and... so much more... but no one is left.



Oh well... there's some boring  
router traffic that needs to  
be encrypted. Gotta go!

