



University of
Pittsburgh

Algorithms and Data Structures 2

CS 1501



Fall 2022

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(Slides are adapted from Dr. Ramirez's and Dr. Farnan's CS1501 slides.)

Announcements

- Upcoming Deadlines
 - Lab 9 and Homework 9: next Monday 11/21 @ 11:59 pm
 - Assignment 3: ~~Monday 11/28~~ Friday 12/9 @ 11:59 pm
 - Assignment 4: Friday 12/9 @ 11:59 pm

Recap ...

- Greedy algorithms
 - elegant but hardly correct
 - optimal substructure
 - greedy choice property
- Without the greedy choice property
 - have to solve all subproblems
 - can be done recursively
- Memoization
 - still recursive
 - avoid solving the same subproblem twice

Recap ...

- Dynamic Programming
 - avoid solving the same subproblem twice
 - iterative:
 - start with smaller subproblems then larger subproblems, ...
 - sometimes possible to optimize space needed

Recap ...

- Fibonacci
 - inefficient recursive solution
 - memorization solution
 - dynamic programming
 - with space optimization

Solving Dynamic Programming Problems

- Can you solve the problem using subproblems?
 - What is the first decision to make to solve the problem?
 - What subproblem(s) emerge out of the that first decision?
- Can you make the first decision without having to wait for the solution of the subproblems?
 - If yes, that's a greedy algorithm! Congratulations!

Solving Dynamic Programming Problems

- If you have to wait for subproblem solutions to make the first decision, try the following steps
- start with a recursive solution
- if inefficient, do you have overlapping subproblems?
- identify the unique subproblems
- solve them from smaller to larger
- This is dynamic programming!
- Optimize space if possible

This Lecture

- Dynamic Programming Problems
 - Unbounded Knapsack
 - 0/1 Knapsack
 - Subset Sum
 - Edit Distance
 - Longest Common Subsequence

The unbounded knapsack problem

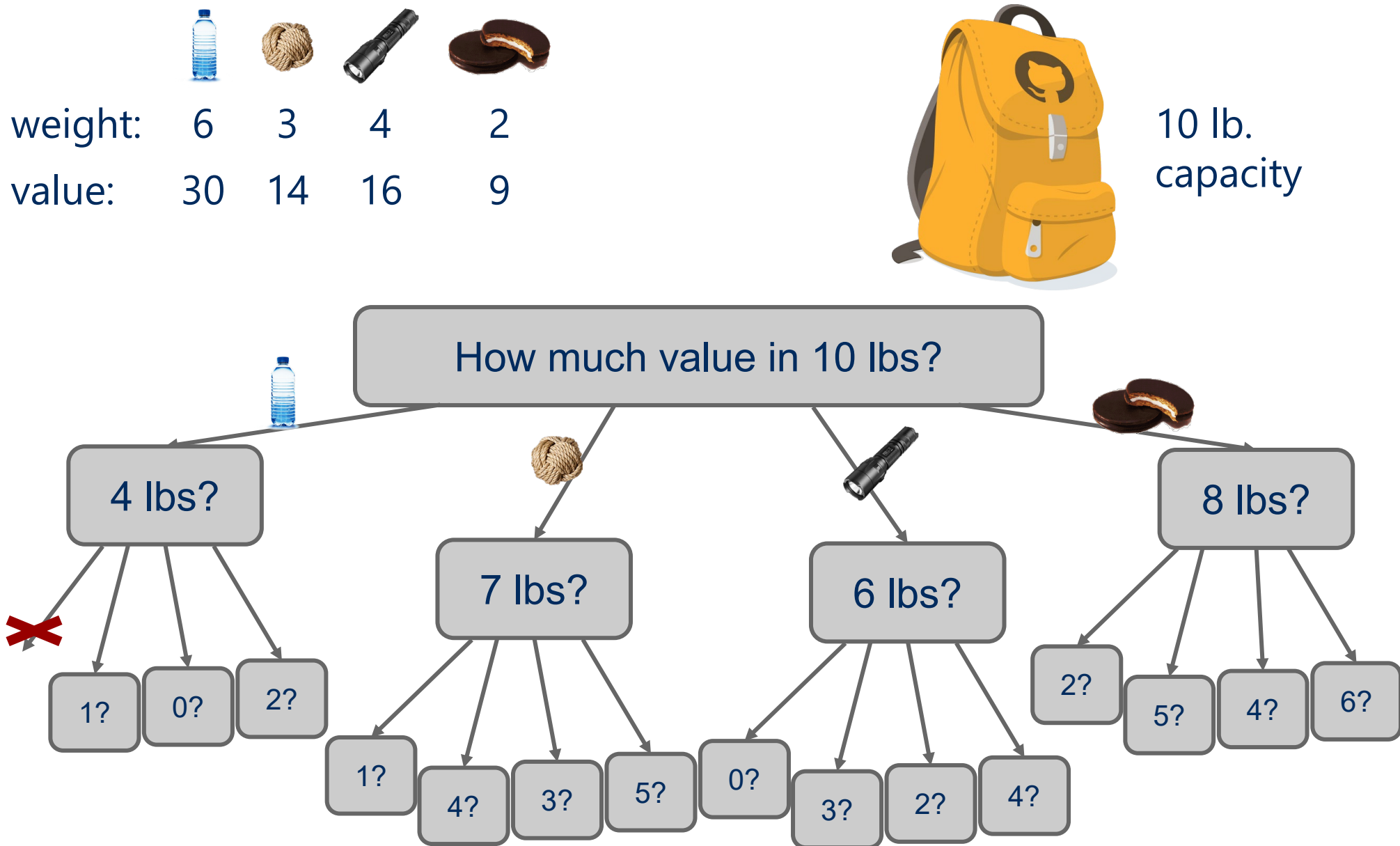
Given a knapsack that can hold a weight limit L , and a set of n types items that each has a weight (w_i) and value (v_i), what is the maximum value we can fit in the knapsack if we assume we have unbounded copies of each item?

				
weight:	6	3	4	2
value:	30	14	16	9

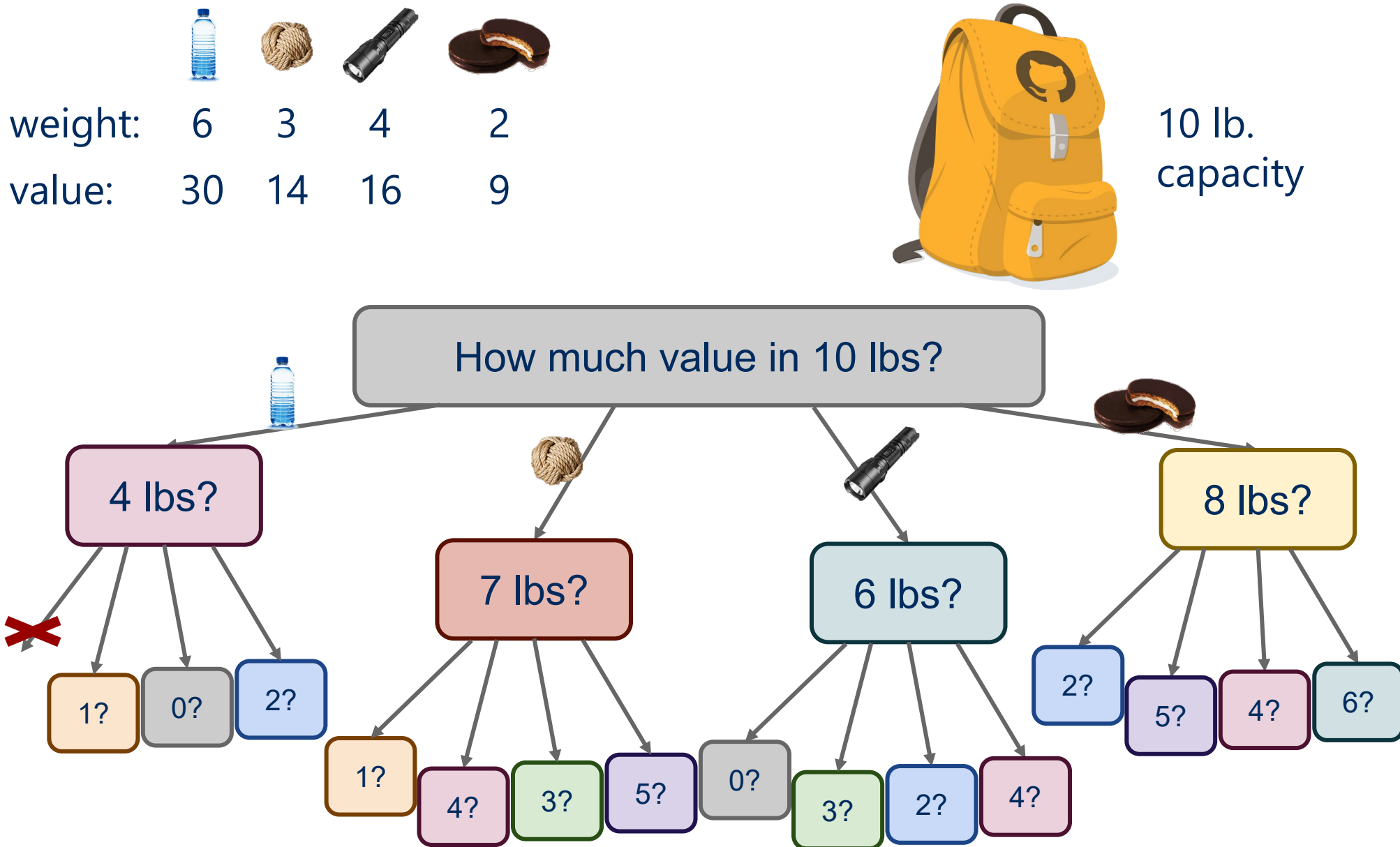


10 lb.
capacity

Recursive Solution



Overlapping Subproblems!



Bottom-up Solution



weight: 6 3 4 2

value: 30 14 16 9

Size:	0	1	2	3	4	5	6	7	8	9	10
Max val:	0	0	9	14	18	23	30	32	39	44	48

Bottom-up solution

```
K[0] = 0  
for (l = 1; l <= L; l++) {  
    int max = 0;  
    for (i = 0; i < n; i++) {  
        if (wi <= l && vi + K[l - wi]) > max) {  
            max = vi + K[l - wi];  
        }  
    }  
    K[l] = max;  
}
```

A greedy algorithm

- Try adding as many copies of highest value per pound item as possible:
 - Water: $30/6 = 5$
 - Rope: $14/3 = 4.66$
 - Flashlight: $16/4 = 4$
 - Moonpie: $9/2 = 4.5$
- Highest value per pound item? Water
 - Can fit 1 with 4 space left over
- Next highest value per pound item? Rope
 - Can fit 1 with 1 space left over
- No room for anything else
- Total value in the 10 lb knapsack?
 - 44
 - Bogus!

But why doesn't the greedy algorithm work for this problem?

The greedy choice property is missing!

The 0/1 knapsack problem

- What if we have a finite set of items that each has a weight and value?
 - Two choices for each item:
 - Goes in the knapsack
 - Is left out
- What would be our first decision?
- What subproblems emerge?

Recursive solution

weight:	6	3	4	2
value:	30	14	16	9



How much value in 10 lbs?



10 lbs?



4lbs?



10 lbs?



7 lbs?



4 lbs?



1 lbs?



10 lbs?



7 lbs?



4 lbs?



1 lbs?



6 lbs?



3 lbs?



0 lbs?



Recursive solution

```
int knapSack(int[] wt, int[] val, int L, int n) {  
    if (n == 0 || L == 0) { return 0 };  
  
    //try placing the n-1 item  
  
    if (wt[n-1] > L) {  
        return knapSack(wt, val, L, n-1)  
    }  
  
    else {  
        return max( val[n-1] + knapSack(wt, val, L-wt[n-1], n-1),  
                    knapSack(wt, val, L, n-1)  
                );  
    }  
}
```

Recursive solution

weight:	6	3	4	2
value:	30	14	16	9



How much value in 10 lbs?



10 lbs?



4lbs?



10 lbs?



7 lbs?



4 lbs?



1 lbs?



10 lbs?



7 lbs?



4 lbs?



1 lbs?



6 lbs?



3 lbs?

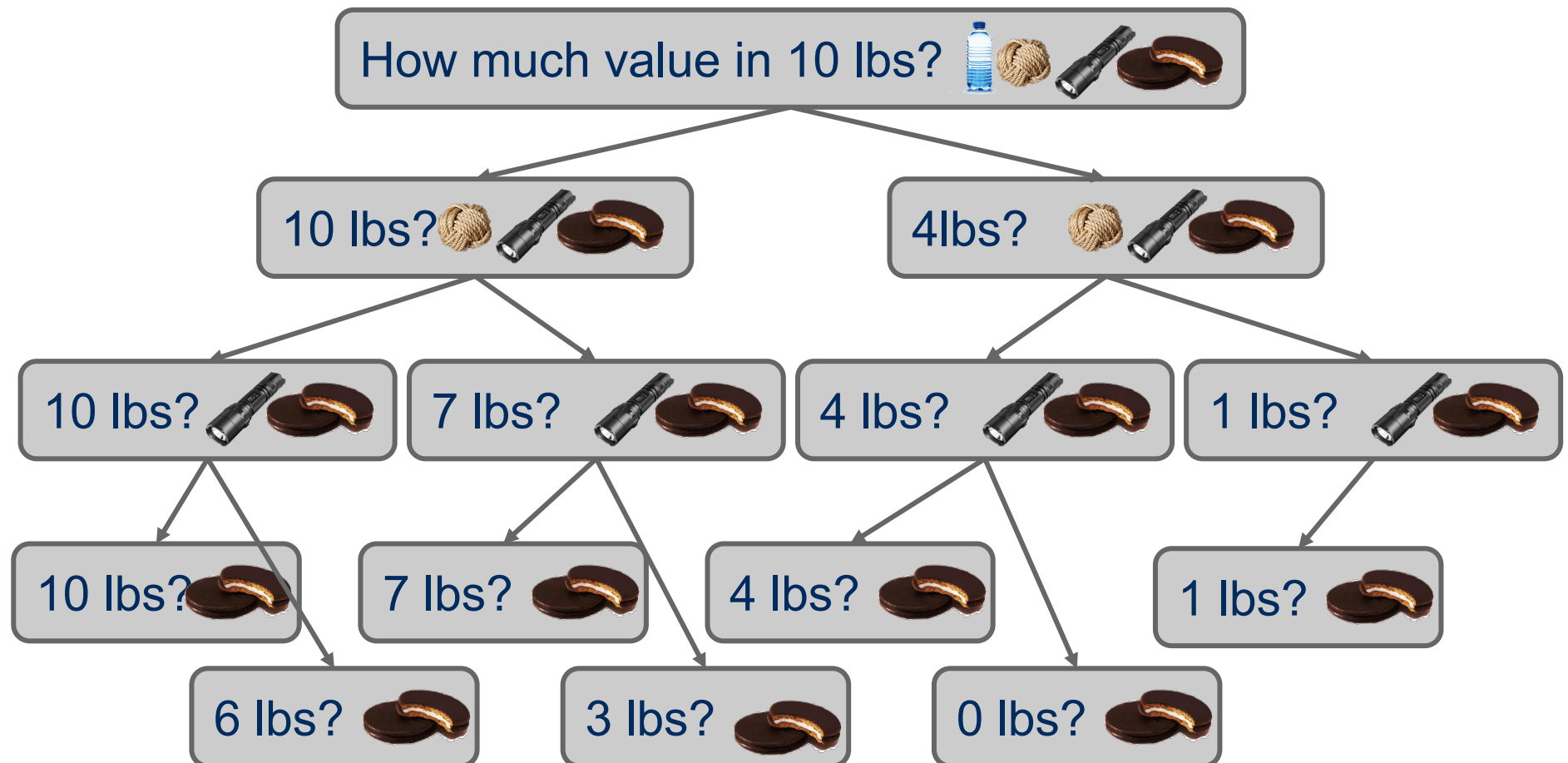


0 lbs?



Subproblems

- What are the unique subproblems?



The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]

val = [30, 14, 16, 9]

$K[n+1][L+1]$

$i \backslash l$	0	1	2	3	4	5	6	7	8	9	10
0											
1											
2											
3											
4											

$K[i][l]$ is the best (max) value when only the first i items are available and only l lbs remain in the knapsack

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i \ l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0										
2	0										
3	0										
4	0										

$K[i][l]$ is the best (max) value when only the first i items are available and only l lbs remain in the knapsack

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0					
2	0										
3	0										
4	0										

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	30	30	30	30	30
2	0										
3	0										
4	0										

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	30	30	30	30	30
2	0	0	0								
3	0										
4	0										

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	30	30	30	30	30
2	0	0	0	14	14	14	30	30	30	44	44
3	0										
4	0										

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	30	30	30	30	30
2	0	0	0	14	14	14	30	30	30	44	44
3	0	0	0	14	16						
4	0										

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	30	30	30	30	30
2	0	0	0	14	14	14	30	30	30	44	44
3	0	0	0	14	16	16	30	30	30	44	46
4	0										

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	30	30	30	30	30
2	0	0	0	14	14	14	30	30	30	44	44
3	0	0	0	14	16	16	30	30	30	44	46
4	0	0									

The 0/1 knapsack dynamic programming solution

wt = [6, 3, 4, 2]
val = [30, 14, 16, 9]

i\l	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	30	30	30	30	30
2	0	0	0	14	14	14	30	30	30	44	44
3	0	0	0	14	16	16	30	30	30	44	46
4	0	0	9	14	16	16	30	30	39	44	46

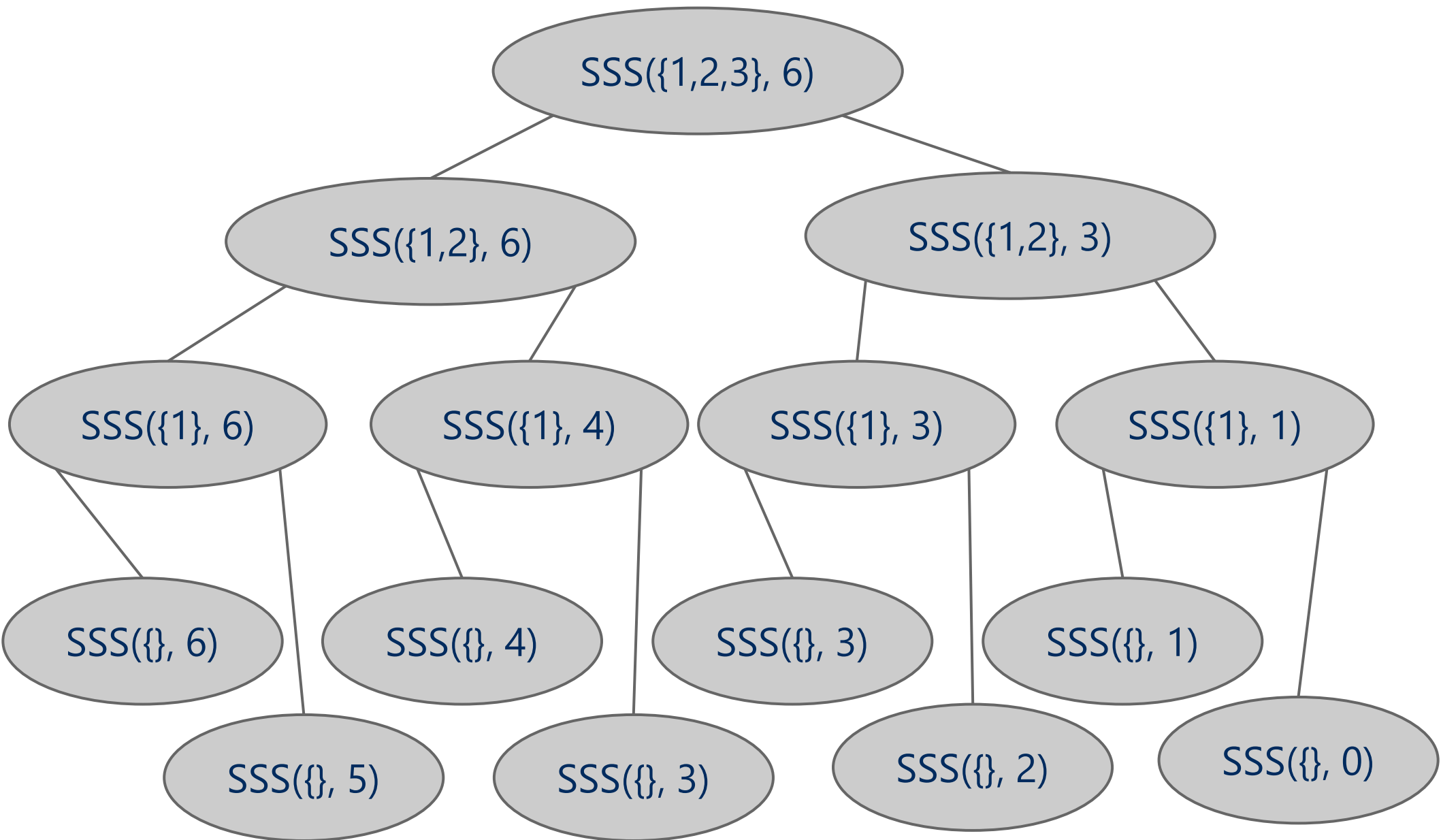
The 0/1 knapsack dynamic programming solution

```
int knapSack(int wt[], int val[], int L, int n) {  
    int[][] K = new int[n+1][L+1];  
    for (int i = 0; i <= n; i++) {  
        for (int l = 0; l <= L; l++) {  
            if (i==0 || l==0){ K[i][l] = 0 };  
            //try to add item i-1  
            else if (wt[i-1] > l){ K[i][l] = K[i-1][l] };  
            else {  
                K[i][l] = max(val[i-1] + K[i-1][l-wt[i-1]],  
                             K[i-1][l]);  
            }  
        }  
    }  
    return K[n][L];  
}
```

Subset sum

- Given a set of non-negative integers S and a value k , is there a subset of S that sums to exactly k ?

Subset sum calls



Subset sum recursive solution

```
boolean SSS(int set[], int sum, int n) {  
    if (sum == 0)  
        return true;  
    if (sum != 0 && n == 0)  
        return false;  
    //try adding item n-1  
    if (set[n-1] > sum)  
        return SSS(set, sum, n-1);  
    return SSS(set, sum, n-1)  
        || SSS(set, sum-set[n-1], n-1);  
}
```

- What would a dynamic programming table look like?

Subset sum bottom-up dynamic programming

```
boolean SSS(int set[], int sum, int n) {  
    boolean[][] subset = new boolean[sum+1][n+1];  
    for (int i = 0; i <= n; i++) subset[0][i] = true;  
    for (int i = 1; i <= sum; i++) subset[i][0] = false;  
    for (int i = 1; i <= sum; i++) {  
        for (int j = 1; j <= n; j++) {  
            subset[i][j] = subset[i][j-1];  
            //try adding item j-1  
            if (i >= set[j-1])  
                subset[i][j] ||= subset[i - set[j-1]][j-1];  
        }  
    }  
    return subset[sum][n];  
}
```

Edit Distance

- Given a string S of length n
- Given a string T of length m
- We want to find the minimum number of character changes to convert one to the other
 - called Levenshtein Distance (LD)
- Consider changes to be one of the following:
 - Change a character in a string to a different char
 - Delete a character from one string
 - Insert a character into one string

Edit Distance

- For example:
 $LD(\text{"WEASEL"}, \text{"SEASHELL"}) = 3$
 - Why? Consider "WEASEL":
 - Change the W in position 1 to an S
 - Add an H in position 5
 - Add an L in position 8
 - Result is SEASHELL
 - We could also do the changes from the point of view of SEASHELL if we prefer
- How can we determine this?
 - We can define it in a recursive way initially
 - Then we will use dynamic programming to improve the run-time

Edit Distance

- We want to calculate $D[n, m]$ where n is the length of S and m is the length of T
 - From this point of view we want to determine the distance from S to T
 - If we reverse the arguments, we get the (same) distance from T to S (but the edits may be different)
- If $n = 0$ **// BASE CASES**
 return m (m appends will create T from S)
- else if $m = 0$
 return n (n deletes will create T from S)
- else
 Consider character n of S and character m of T
 - Now we have some possibilities

Edit Distance

- If characters **match**
 - **return $D[n-1, m-1]$**
 - Result is the same as the strings with the last character removed (since it matches)
 - Recursively solve the same problem with both strings one character smaller
- If characters **do not match** -- more poss. here
 - We could have a **mismatch** at that char:
 - **return $D[n-1, m-1] + 1$**
 - Example:
 - S = -----X
 - T = -----Y
 - Change X to Y, then recursively solve the same problem but with both strings one character smaller

Edit Distance

- S could have an **extra** character
 - return $D[n-1, m] + 1$
 - Example:
 - S = -----XY
 - T = -----X
 - Delete Y, then recursively solve the same problem, with S one char smaller but with T the same size
- S could be **missing** a character there
 - return $D[n, m-1] + 1$
 - Example:
 - S = -----Y
 - T = -----YX
 - Add X onto S, then recursively solve the same problem with S the original size and T one char smaller

Edit Distance

- Unfortunately, we don't know which of these is correct until we try them all!
- So to solve this problem we must try them all and choose the one that gives the **minimum** result
 - This yields 3 recursive calls for each original call (in which a mismatch occurs) and thus can give a worst-case run-time of $\Theta(3^n)$
- How can we do this more efficiently?
 - Let's build a table of all possible values for n and m using a two-dimensional array
 - Basically we are calculating the same $D[i][j]$ values but from the bottom up rather than from the top down

Edit Distance

- For each new cell $D[i, j]$ when we have a mismatch we are taking the minimum of the cells
 - $D[i-1, j] + 1$
 - Append a char to S
 - $D[i, j-1] + 1$
 - Delete a char from S
 - $D[i-1, j-1] + 1$
 - Change char at this point in S if necessary
- For each new cell $D[i, j] = D[i-1, j-1]$ if we have a match

Edit Distance

- At the end the value in the **bottom right corner** is our edit distance
- Example:
 - We are starting with **PROTEIN**
 - We want to generate **ROTTEN**
 - Note the initialization of the first row and column
 - Let's fill in the remaining squares

Edit Distance

		P	R	O	T	E	I	N
R								
O								
T								
T								
E								
N								

Edit Distance

		P	R	O	T	E	I	N
R		1	1	2	3	4	5	6
O		2	2	1	2	3	4	5
T		3	3	2	1	2	3	4
T		4	4	3	2	2	3	4
E		5	5	4	3	2	3	4
N		6	6	5	4	3	3	3

Edit Distance

- Why is this cool?
 - Run-time is $\Theta(MN)$
 - As opposed to the 3^n of the recursive version
 - Unlike the pseudo-polynomial subset sum and knapsack solutions, this solution does not have any anomalous worst-case scenarios
 - There is a price, which is the space required for the matrix
 - Optimized versions can reduce this from $\Theta(MN)$ space to $\Theta(M+N)$ space

Longest Common Subsequence

- Given two sequences, return the longest common subsequence
 - A **Q** S R **J** K **V** B **I**
Q B W F **J** **V** **I** T U
- We'll consider a relaxation of the problem and only look for the *length* of the longest common subsequence

LCS dynamic programming example

x = A Q S R J B I

y = Q B I J T U T

i\j	0	Q	B	I	J	T	U	T
0								
A								
Q								
S								
R								
J								
B								
I								

LCS dynamic programming solution

```
int LCSLength(String x, String y) {  
    int[][] m = new int[x.length + 1][y.length + 1];  
    for (int i=0; i <= x.length; i++) {  
        for (int j=0; j <= y.length; j++) {  
            if (i == 0 || j == 0) m[i][j] = 0;  
            if (x.charAt(i) == y.charAt(j))  
                m[i][j] = m[i-1][j-1] + 1;  
            else  
                m[i][j] = max(m[i][j-1], m[i-1][j]);  
        }  
    }  
    return m[x.length][y.length];  
}
```

Change making problem

Consider a currency with n different denominations of coins d_1, d_2, \dots, d_n . What is the minimum number of coins needed to make up a given value k ?

So, how can we solve the change making problem optimally?

We will see a dynamic programming algorithm in the recitations