

DATA & DATA PREPROCESSING

Outline

- General data characteristics
- Own Why preprocess the data?
- Data cleaning
- Data integration
- Data transformation
- Data reduction
- Summary

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Types of Data Sets

Record

- Relational records
- Data matrix, e.g., numerical matrix, crosstabs
- Document data: text documents: termfrequency vector
- Transaction data

Graph

- World Wide Web
- Social or information networks
- Molecular Structures

Ordered

- Spatial data: maps
- Temporal data: time-series
- Sequential Data: transaction sequences
- Genetic sequence data

	:eam	coach	olay	oall	Score	game	vin	ost	imeout	eason
Document I	3	0	5	0	2	6	0	2	0	2
Documents 2	0	7	0	2	Ι	0	0	3	0	0
Document 3	0	I	0	0	ı	2	2	0	3	0

TID	ITEMS
1	Bread, Coke, Milk
2	Beer, Bread
3	Beer, Coke, Diaper, Milk
4	Beer, Bread, Diaper, Milk
5	Coke, Diaper, Milk

Transaction Data

- Also known as "Market Basket Data"
- Each transaction involves a set of items



Of transactions that included milk:

- · 71% included bread
- 43% included eggs
- 29% included toilet paper

Document Data

- Each document becomes a 'term' vector,
 - each term is an attribute of the vector (document),
 - the value of each component is the number of times the corresponding term occurs in the document.

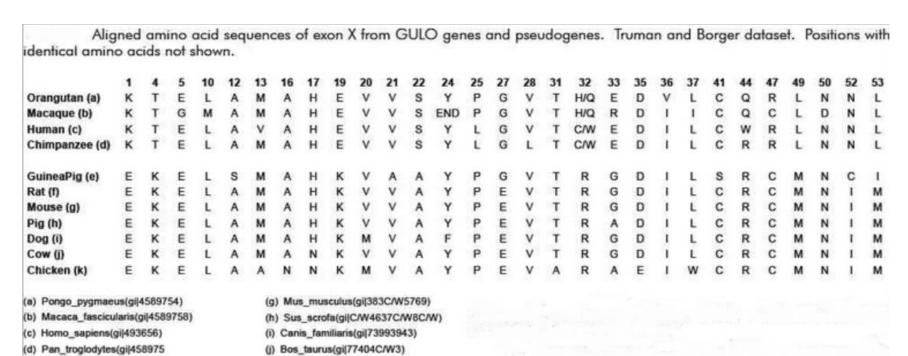
	team	coach	pla y	ball	score	game	wi n	lost	timeout	season
Document 1	3	0	5	0	2	6	0	2	0	2
Document 2	0	7	0	2	1	0	0	3	0	0
Document 3	0	1	0	0	1	2	2	0	3	0

Sequence Data

Data set that is a sequence of individual entities/objects

(k) Gallus_gallus(gi|464C/W5804)

 For example: genetic information of plants and animals can be represented in the form of nucleotides (genes) which is in a specific sequence

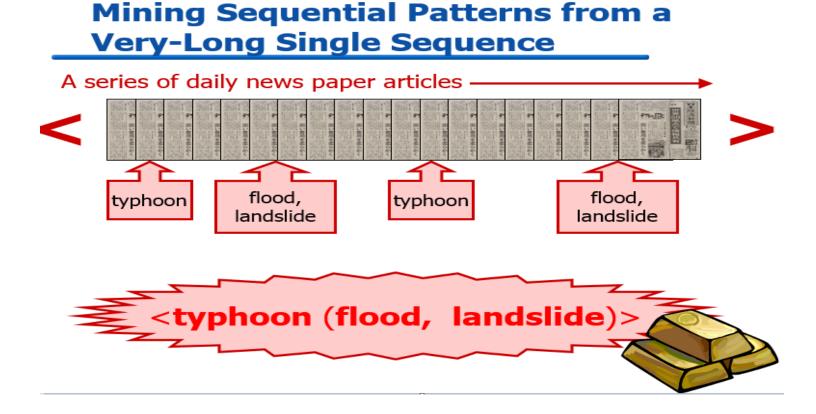


(e) Cavia_porcellus(gi[6C/W899630)

(f) Rattus_norvegicus(gi[606838C/W6))

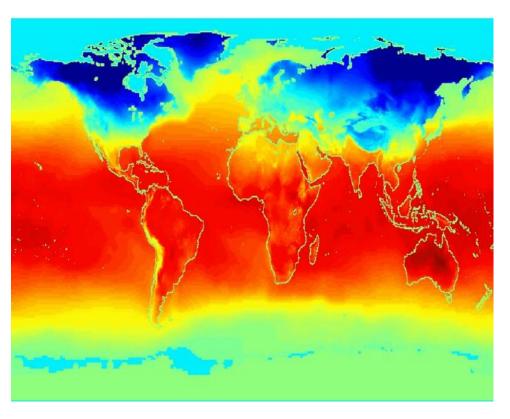
Sequential Data

 Also known as temporal data. It is a data with time associated with it and it happens in a sequence



Spatial Data

- Data that have a spatial component, it means that data are connected to a place in the Earth.
- Data set that is based on geographical locations
- Diagram shows "Average Monthly Temperature of Land and Ocean"



What is Data?

- Information about objects with attributes
- Attribute = characteristics or descriptions
- Data = Data Set = Record = Entity
- Data can be qualitative or quantitative
- Data can be structured or unstructured

Cheat **Status** Income 125K Yes No Single Married 100K No No 70K No Single No Yes Married 120K No Divorced 95K Yes No Married 60K Nο No Divorced 220K Yes No 85K Single Yes No 75K Married No No

Single

Nο

90K

Yes

Attributes

Marital

Taxable

Refund

Objects

Source of Data

Structured

- Database information stored in company repository
- E.g., HR, Finance, Product Inventory, Sales Record

Unstructured

- Documents process, ISO documents, emails etc. stored in company repository
- Web Pages / Sites
- Online Social Media

What is an Attribute?

- Description about the data
- An attribute will have a value (attribute value) assigned to it
- Attribute value has its own properties:
 - Data Type: Integer, Real, Character
 - Limit: Upper & lower values. Some no limit.
 - Measurement scale: Meter or Feet
 - Numerical or Symbolic (black, while, brown)
- It may vary from one object to another person's eye colour
- It may vary from time to another for the same object – person's weight
- Attribute can have discrete or continuous values

To analyze objects. We need to assign numbers or symbols to an attribute

Discrete vs. Continuous Attributes

Discrete Attribute

- Has only a finite or countable infinite set of values
- Examples: zip codes, counts, or the set of words in a collection of documents
- Often represented as integer variables.
- Note: binary attributes are a special case of discrete attributes

Continuous Attribute

- Has real numbers as attribute values
- Examples: temperature, height, or weight.
- Practically, real values can only be measured and represented using a finite number of digits.
- Continuous attributes are typically represented as floating-point variables.

Types of Attribute Values

- Nominal
 - E.g., profession, ID numbers, eye color, zip codes
- Ordinal
 - E.g., rankings (e.g., army, professions), grades, height in {tall, medium, short}
- Binary
 - E.g., medical test (positive vs. negative)
- Interval
 - E.g., calendar dates, body temperatures
- Ratio
 - E.g., temperature in Kelvin, length, time, counts

Nominal

Categorical / Qualitative

- Nominal values provide only enough information to distinguish one object from another
- Examples are eye colour, NRIC number, Postal codes, marital status
- The attribute value has distinctiveness. It means that you can check whether an attribute is equal or not equal to a value.
- Operators are: =, <>
- Any transformation done must have a one to one mapping and result with a permutation of values

Binary

- Categorical/Qualitative
- Nominal attribute with only 2 states (0 and 1)
- 2 types of binary attribute
 - * Symmetric binary: both outcomes equally important
 - E.g., gender
 - * Asymmetric binary: outcomes not equally important.
 - E.g., medical test (positive vs. negative)
 - Convention: assign 1 to most important outcome (e.g., HIV positive)

Ordinal

Categorical/Qualitative

- Ordinal values provide only enough information to distinguish one object from another and order the objects
- E.g., length = {short, medium, long}, exam grades
- The attribute value has distinctiveness and order. It means that you can check whether an attribute is equal to, not equal to, greater than or smaller than a value.
- Operators are: =, <>, > , <
- Any transformation done must ensure the order is preserved
- Transformation formula : new_value = f(old_value). Must be a monotonic function.
 - {short, medium, long}
 {1,2,3}

Interval

- Numeric/Quantitative
- Measured on a scale of equal-sized units
- Differences between attribute values are meaningful
- E.g., calendar dates, temperature in Fahrenheit /Celsius
- The attribute value can be added or subtracted from a value
- Operators are: =, <>, > , < , +, -</p>
- Transformation formula : new_value = a * old_value + b
 - F = (9/5) C + 32; F = Fahrenheit, C = Celsius

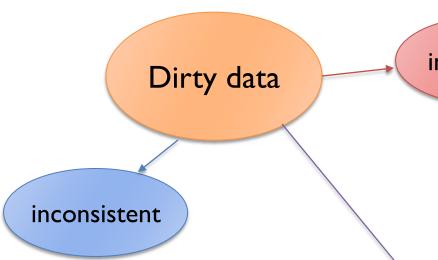
Ratio

- Numeric/Quantitative
- Both differences and ratio between attribute values are meaningful
- Values as being an order of magnitude larger than the unit of measurement
- E.g., age, temperature in Kelvin, mass, length
- The attribute value can be added, subtracted or multiplied from a value
- Operators are: =, <>, > , < , +, -, * ,/
- Transformation formula : new_value = a * old_value
 - I hour = 3600 seconds
 - 2 hours is always twice of I hour
 - 10 K° is twice as high as 5 K°

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Why preprocess data?



- containing discrepancies in codes or names, e.g.,
- Age="42" Birthday="03/07/1997"
- Was rating "1,2,3", now rating "A, B, C"
- discrepancy between duplicate records

incomplete

- lacking attribute values, lacking certain attributes of interest, or containing only aggregate data
- e.g., occupation=" " (missing data)

noise

- containing noise, errors, or outliers
- e.g., Salary="-10" (an error)

Why Is Data Dirty?

- Incomplete data may come from
 - "Not applicable" data value when collected
 - Different considerations between the time when the data was collected and when it is analyzed.
 - Human/hardware/software problems
- Noisy data (incorrect values) may come from
 - Faulty data collection instruments
 - Human or computer error at data entry
 - Errors in data transmission
- Inconsistent data may come from
 - Different data sources
 - Functional dependency violation (e.g., modify some linked data)
- Duplicate records also need data cleaning

Why Preprocessing is Important?

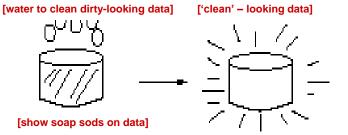
- No quality data, no quality mining results!
 - Quality decisions must be based on quality data
 - e.g., duplicate or missing data may cause incorrect or even misleading statistics.
 - Data warehouse needs consistent integration of quality data
- Data extraction, cleaning, and transformation comprises the majority of the work of building a data warehouse.
 - Bill Inmon

Major Tasks in Data Preprocessing

- Data cleaning
 - Fill in missing values, smooth noisy data, identify or remove outliers, and resolve inconsistencies
- Data integration
 - Integration of multiple databases, data cubes, or files
- Data transformation
 - Normalization and aggregation
- Data reduction
 - Obtains reduced representation in volume but produces the same or similar analytical results
 - Data discretization: part of data reduction, of particular importance for numerical data

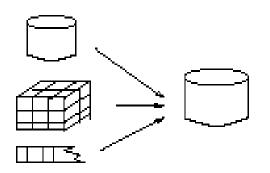
Forms of Data Preprocessing

Data Cleaning



Fill in missing values, smooth noisy data, identify or remove outliers, and resolve inconsistencies.

Data Integration



Integration of multiple databases, data cubes, or files.

Data Transformation

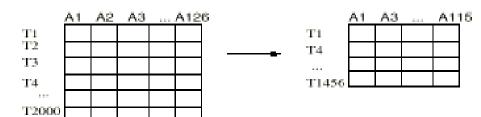
-2, 32, 100, 59, 48

-0.02, 0.32, 1.00, 0.59, 0.48

Normalization and aggregation

Data Reduction & /
Data discretization
(with particular
importance, esp for

numerical values)



Obtains reduced representation in volume but produces the same or similar analytical results.

Multi-Dimensional Measure of Data Quality

- A well-accepted multidimensional view:
 - Accuracy
 - Completeness
 - Consistency
 - Timeliness
 - Believability
 - Value added
 - Interpretability
 - Accessibility
- Broad categories:
 - Intrinsic, contextual, representational, and accessibility

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Data Cleaning

- To clean data from:
 - Incomplete /missing data
 - Noisy data
 - Inconsistent /outliers
- Importance
 - "Data cleaning is one of the three biggest problems in data warehousing"—Ralph Kimball
 - "Data cleaning is the number one problem in data warehousing"—DCI survey
- Data cleaning tasks
 - Fill in missing values
 - Identify outliers and smooth out noisy data
 - Correct inconsistent data
 - Resolve redundancy caused by data integration

Missing Data

- Data is not always available
 - E.g., many tuples have no recorded value for several attributes, such as customer income in sales data
- Missing data may be due to
 - equipment malfunction
 - inconsistent with other recorded data and thus deleted
 - data not entered due to misunderstanding
 - certain data may not be considered important at the time of entry
 - not register history or changes of the data
- Missing data may need to be inferred

How to Handle Missing Data?

- Ignore the tuple: usually done when class label is missing (when doing classification)—not effective when the % of missing values per attribute varies considerably
- o Fill in the missing value manually: tedious + infeasible?
- Fill in it automatically with
 - a global constant : e.g., "unknown", a new class?!
 - the attribute mean
 - the attribute mean for all samples belonging to the same class: smarter
 - the most probable value: inference-based such as Bayesian formula or decision tree

Noisy Data

- Noise: random error or variance in a measured variable
- Incorrect attribute values may due to
 - faulty data collection instruments
 - data entry problems
 - data transmission problems
 - technology limitation
 - inconsistency in naming convention
- Other data problems which requires data cleaning
 - duplicate records
 - incomplete data
 - inconsistent data

How to Handle Noisy Data?

- Binning
 - first sort data and partition into (equal-frequency) bins
 - then one can smooth by bin means, smooth by bin median, smooth by bin boundaries, etc.
- Regression
 - smooth by fitting the data into regression functions
- Clustering
 - detect and remove outliers
- Combined computer and human inspection
 - detect suspicious values and check by human (e.g., deal with possible outliers)

Simple Discretization Methods - Binning

- Equal-width (distance) partitioning
 - Divides the range into N intervals of equal size: uniform grid
 - if A and B are the lowest and highest values of the attribute, the width of intervals will be: W = (B A)/N.
 - The most straightforward, but outliers may dominate presentation
 - Skewed data is not handled well
- Equal-depth (frequency) partitioning
 - Divides the range into N intervals, each containing approximately same number of samples
 - Good data scaling
 - Managing categorical attributes can be tricky

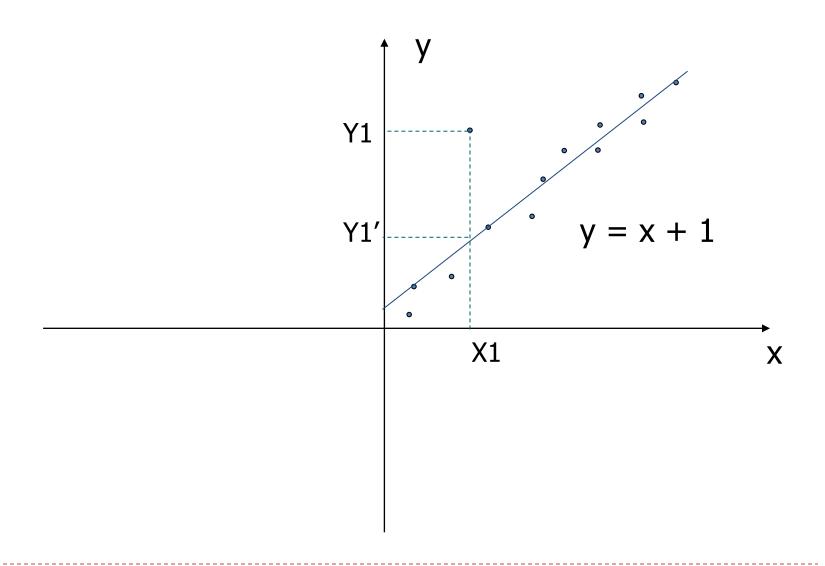
Binning Methods for Data Smoothing

Sorted data for price (in dollars):

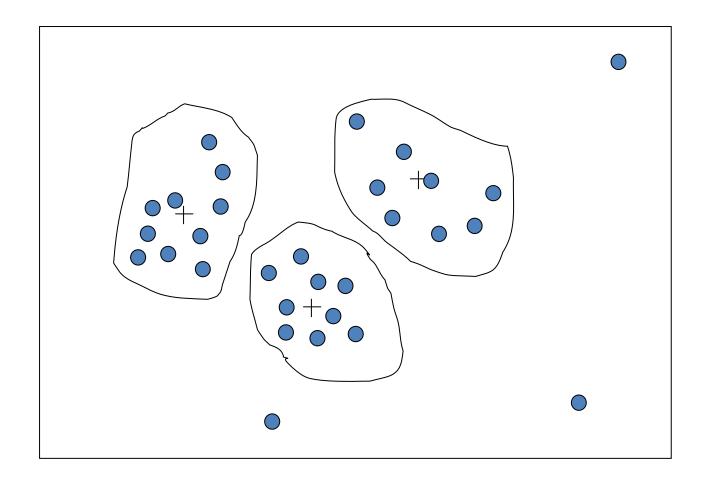
```
4, 8, 9, 15, 21, 21, 24, 25, 26, 28, 29, 34
```

- Partition into (equi-depth) 4 bins:
 - Bin I: 4, 8, 9, 15
 - Bin 2: 21, 21, 24, 25
 - Bin 3: 26, 28, 29, 34
- Smoothing by bin means:
 - Bin I: 9, 9, 9, 9
 - Bin 2: 23, 23, 23, 23
 - Bin 3: 29, 29, 29, 29
- Smoothing by bin boundaries:
 - Bin 1: 4, 4, 4, 15
 - Bin 2: 21, 21, 25, 25
 - Bin 3: 26, 26, 26, 34

Regression



Cluster Analysis



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Data Integration

- Data integration:
 - Combines data from multiple sources into a coherent store
- Schema integration: e.g., A.cust-id = B.cust-#
 - Integrate metadata from different sources
- Entity identification problem:
 - Identify real world entities from multiple data sources, e.g., Bill Clinton =
 William Clinton
- Detecting and resolving data value conflicts
 - For the same real world entity, attribute values from different sources are different
 - Possible reasons: different representations, different scales, e.g., metric vs.
 British units

Handling Redundancy in Data Integration

- Redundant data occur often when integration of multiple databases
 - Object identification: The same attribute or object may have different names in different databases
 - Derivable data: One attribute may be a "derived" attribute in another table, e.g., annual revenue
- Redundant attributes may be able to be detected by correlation analysis
- Careful integration of the data from multiple sources may help reduce/avoid redundancies and inconsistencies and improve mining speed and quality

Correlation Analysis (Numerical Data)

Correlation coefficient (also called Pearson's product moment coefficient)

$$r_{p,q} = \frac{\sum (p - \overline{p})(q - \overline{q})}{(n - 1)\sigma_p \sigma_q} = \frac{\sum (pq) - n\overline{pq}}{(n - 1)\sigma_p \sigma_q}$$

- where n is the number of tuples, p and q are the respective means of p and q, σ_p and σ_q are the respective standard deviation of p and q, and $\Sigma(pq)$ is the sum of the pq cross-product.
- o If $r_{p,q} > 0$, p and q are positively correlated (p's values increase as q's). The higher, the stronger correlation.
- $r_{p,q} = 0$: independent
- $_{\circ}$ r_{pq} < 0: negatively correlated

Correlation (viewed as linear relationship)

- Correlation measures the linear relationship between objects
- To compute correlation, we standardize data objects, p and q, and then take their dot product

$$p_k' = (p_k - mean(p)) / std(p)$$
 $q_k' = (q_k - mean(q)) / std(q)$
 $correlation(p,q) = p' \bullet q'$

- Visually Evaluating Correlation
- Scatter plots showing the similarity from –1 to 1.

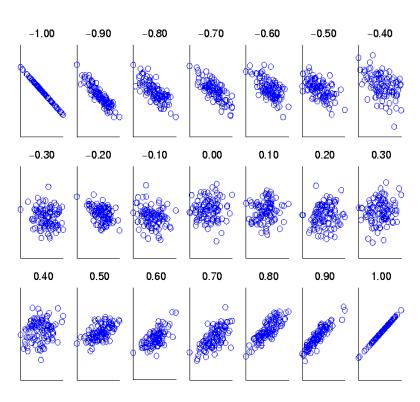


Figure 5.11. Scatter plots illustrating correlations from -1 to 1.

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Data Transformation

- A function that maps the entire set of values of a given attribute to a new set of replacement values s.t. each old value can be identified with one of the new values
- Methods
 - Smoothing: Remove noise from data
 - Aggregation: Summarization, data cube construction
 - Generalization: Concept hierarchy climbing
 - Normalization: Scaled to fall within a small, specified range
 - min-max normalization
 - z-score normalization
 - normalization by decimal scaling
 - Attribute/feature construction
 - New attributes constructed from the given ones

Data Transformation: Normalization

Min-max normalization: to [new_minA, new_maxA]

$$v' = \frac{v - min_A}{max_A - min_A} (new_max_A - new_min_A) + new_min_A$$

- Ex. Let income range \$12,000 to \$98,000 normalized to [0.0, 1.0]. Then \$73,000 is mapped to $\frac{73,600-12,000}{98,000-12,000}(1.0-0)+0=0.716$
- \circ Z-score normalization (μ : mean, σ : standard deviation):

$$v' = \frac{v - \mu_A}{\sigma_A}$$

• Ex. Let μ = 54,000, σ = 16,000. Then $\frac{73,600-54,000}{16,000}$ = 1.225

- Normalization by decimal scaling
 - $v' = \frac{v}{10^{j}}$ Where j is the smallest integer such that Max(|v'|) < 1

Z-Score (Example)

V	v'			v	v'		
0.18	-0.84	Avg	0.68	20	26	Avg	34.3
0.60	-0.14	sdev	0.59	40	.11	sdev	55.9
0.52	-0.27			5	.55		
0.25	-0.72			70	4		
0.80	0.20			32	05		
0.55	-0.22			8	48		
0.92	0.40			5	53		
0.21	-0.79			15	35		
0.64	-0.07			250	3.87		
0.20	-0.80			32	05		
0.63	-0.09			18	30		
0.70	0.04			10	44		
0.67	-0.02			-14	87		
0.58	-0.17			22	23		
0.98	0.50			45	.20		
0.81	0.22			60	.47		
0.10	-0.97			-5	71		
0.82	0.24			7	49		
0.50	-0.30			2	58		
3.00	3.87			4	55		

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Data Reduction Strategies

- Warehouse may store terabytes of data
 - Complex data analysis/mining may take a very long time to run on the complete data set

Data reduction

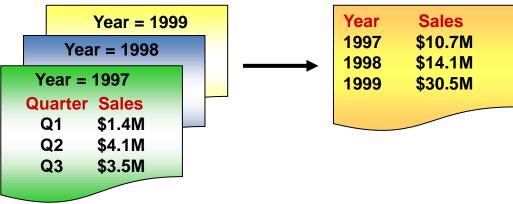
 Obtains a reduced representation of the data set that is much smaller in volume but yet produces the same (or almost the same) analytical results

Data reduction strategies

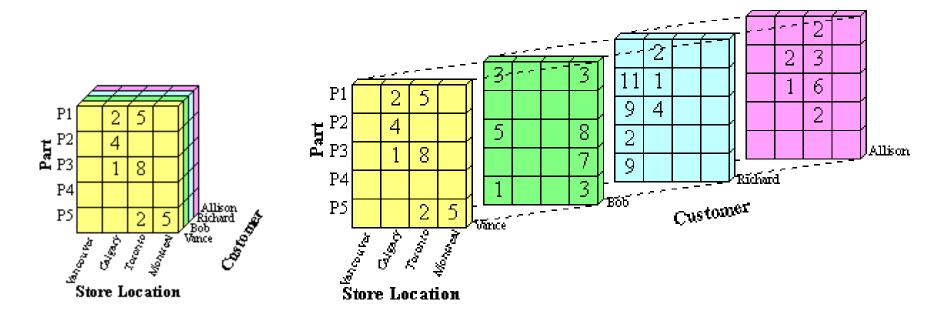
- Data cube aggregation
- Dimensionality reduction remove unimportant attributes
- Data compression
- Numerosity reduction
- Discretization and concept hierarchy generation

Data Cube Aggregation

- The lowest level of a data cube
 - the aggregated data for an individual entity of interest
 - e.g., a customer in a phone calling data warehouse.
- Multiple levels of aggregation in data cubes
 - Further reduce the size of data to deal with
- Reference appropriate levels
 - Use the smallest representation which is enough to solve the task
- Queries regarding aggregated information should be answered using data cube, when possible



Data reduction: Data Cube Aggregation



Front View of Sample Data Cube

Entire View of Sample Data Cube

Attribute Reduction

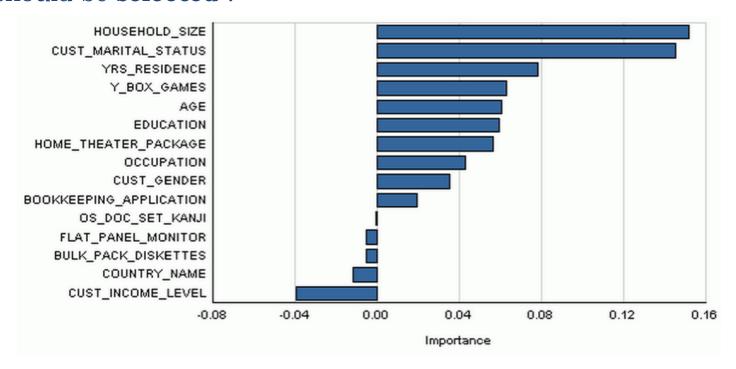
- When there are a large number of variables in the database.
- It is very likely that subsets of variables are highly correlated with each other.
- The accuracy and reliability of a classification or prediction model will suffer if we include highly correlated variables or variables that are unrelated to the outcome of interest because of over fitting. In model deployment, it can increase costs due to collection and processing of these variables.
- The dimensionality of a model is the number of independent or input variables used by the model. One of the key steps in data mining is therefore finding ways to reduce dimensionality without sacrificing accuracy.

Attribute Reduction - Dimensionality Reduction

- Feature Selection
- Feature Extraction

** Feature is another word for "attribute" or "variable".

Which attribute or attributes can be considered **important** and should be **selected**?



Attribute Reduction – Feature Selection

- Also known as variable selection or attribute selection
- Selection of optimal subset of attributes for better performance and accuracy
- One common method in feature subset selection is called **StepWise** regression.
- StepWise regression has 2 strategies:-
 - Forward Selection (FS)
 - Backward Elimination (BE)

Attribute Reduction – Feature Selection (Stepwise)

o Forward selection:

• involves **starting with no attributes** in the model, testing the **addition of each attribute** using a chosen model comparison criterion, adding the attribute (if any) that improves the model the most, and **repeating** this process **until none improves** the model.

Backward elimination:

• involves starting with all candidate attribute, testing the deletion of each attribute using a chosen model comparison criterion, deleting the attribute (if any) that improves the model the most by being deleted, and repeating this process until no further improvement is possible.

Dimensionality Reduction: Example of Heuristic Method

Forward selection

Initial attribute set:

{AI,A2,A3,A4,A5,A6}

Initial reduced set:

- {}
- → {AI}
- → {AI,A4}
- Reduced attribute set:

 $\{AI,A4,A6\}$

Backward selection

Initial attribute set:

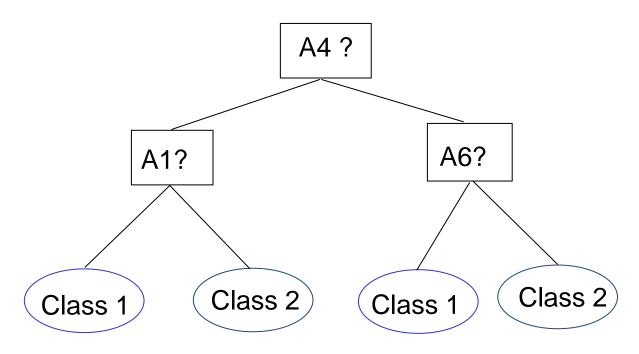
{AI,A2,A3,A4,A5,A6}

- **→** {A1,A3,A4,A5,A6}
- → {AI,A4,A5,A6}
- → Reduced attribute set:

 $\{AI,A4,A6\}$

Dimensionality Reduction: Example of Decision Tree Induction

Initial attribute set: {A1, A2, A3, A4, A5, A6}



==> Reduced attribute set: {A1, A4, A6}

Numerosity reduction

"Can we reduce the data volume by choosing alternative, smaller' forms of data representation?"

Parametric methods

- A model is used to estimate the data, so that typically only the data parameters need to be stored, instead of the actual data (outliers may also be stored)
- e.g: Log-linear models: estimate discrete multidimensional probability distributions

Non-parametric methods

- Do not assume models; storing reduced representations of data
- Major methods: histograms, clustering, & sampling

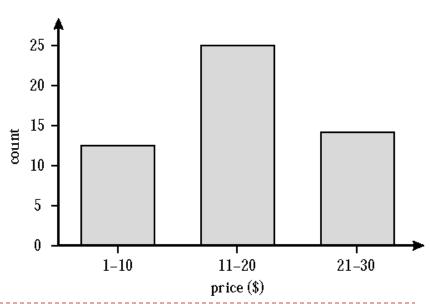
Numerosity Reduction: Histograms

- Divide data into buckets and store average (sum) for each bucket
- Partitioning rules:
 - Equal-width: equal bucket range
 - Equal-frequency (or equal-depth)
 - V-optimal: with the least histogram variance (weighted sum of the original values that each bucket represents)
 - MaxDiff: set bucket boundary between each pair for pairs have the $\beta-1$ largest differences

Example:

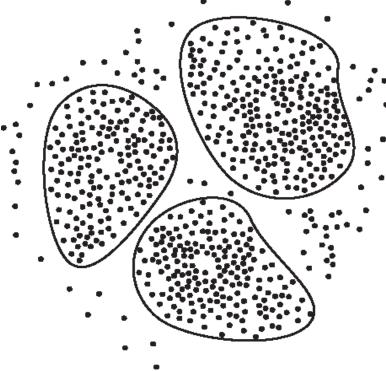
list of prices of sold items:

1,1,5,5,5,5,5,8,8,10,10,10,10,12,14,14, 14,15,15,15,15,15,15,18,18,18,18,18, 18,18,18,20,20,20,20,20,20,20,21,21, 21,21,25,25,25,25,25,28,28,30,30,30.

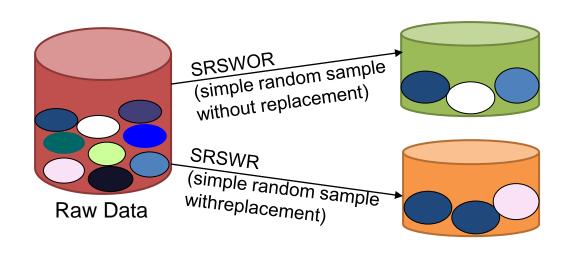


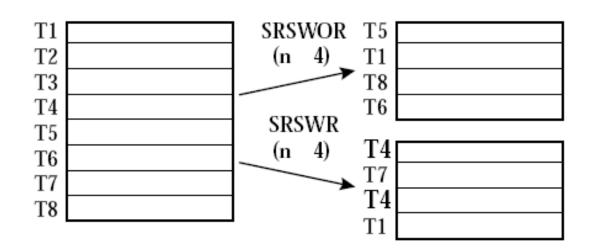
Numerosity Reduction: Clustering

- Partition data set into clusters based on similarity, and store cluster representation (e.g., centroid and diameter) only
- Can be very effective if data is clustered but not if data is "smeared"
- Can have hierarchical clustering and be stored in multi-dimensional index
 tree structures
- There are many choices of clustering definitions and clustering algorithms (Chapter 8)

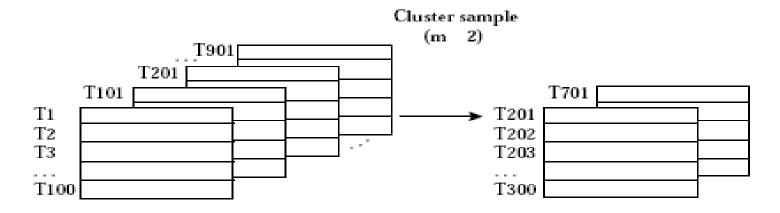


Numerosity Reduction: Sampling (Sampling: With or without Replacement)





Numerosity Reduction: Sampling (Cluster or Stratified Sampling)



Stratified sample (according to age)

T38	young
T256	young
T307	young
T391	young
T96	middle-aged
T117	middle-aged
T138	middle-aged
T263	middle-aged
T290	middle-aged
T308	middle-aged
T326	middle-aged
T387	middle-aged
T69	senior
T284	senior

T38	young
T391	young
T117	middle-aged
T138	middle-aged
T290	middle-aged
	middle-aged
T326	~
T69	senior

Data Reduction: Discretization

Three types of attributes:

- Nominal values from an unordered set, e.g., color, profession
- Ordinal values from an ordered set, e.g., military or academic rank
- Continuous real numbers, e.g., integer or real numbers

Discretization:

- Divide the range of a continuous attribute into intervals
- Some classification algorithms only accept categorical attributes.
- Reduce data size by discretization
- Prepare for further analysis

Discretization and Concept Hierarchy

Discretization

- Reduce the number of values for a given continuous attribute by dividing the range of the attribute into intervals
- Interval labels can then be used to replace actual data values
- Supervised vs. unsupervised
- Split (top-down) vs. merge (bottom-up)
- Discretization can be performed recursively on an attribute

Concept hierarchy formation

 Recursively reduce the data by collecting and replacing low level concepts (such as numeric values for age) by higher level concepts (such as young, middle-aged, or senior)

Discretization and Concept Hierarchy Generation for Numeric Data

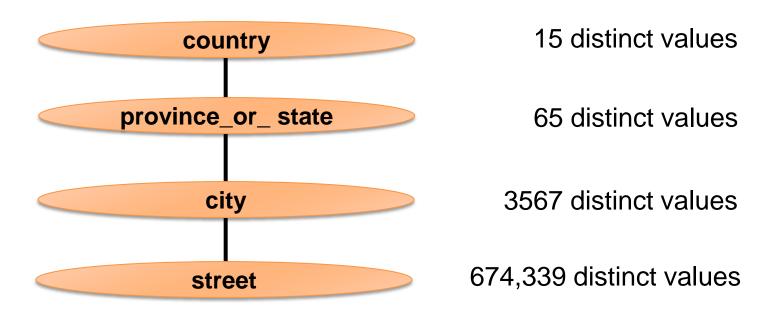
- Typical methods: All the methods can be applied recursively
 - Binning (covered above)
 - Top-down split, unsupervised,
 - Histogram analysis (covered above)
 - Top-down split, unsupervised
 - Clustering analysis (covered above)
 - Either top-down split or bottom-up merge, unsupervised
 - Entropy-based discretization: supervised, top-down split
 - Segmentation by natural partitioning: top-down split, unsupervised

Discretization and Concept Hierarchy Generation: Segmentation by Natural Partitioning

- A simply 3-4-5 rule can be used to segment numeric data into relatively uniform, "natural" intervals.
 - If an interval covers 3, 6, 7 or 9 distinct values at the most significant digit, partition the range into 3 equi-width intervals
 - If it covers 2, 4, or 8 distinct values at the most significant digit, partition the range into 4 intervals
 - If it covers 1, 5, or 10 distinct values at the most significant digit, partition the range into 5 intervals

Automatic Concept Hierarchy Generation

- Some hierarchies can be automatically generated based on the analysis of the number of distinct values per attribute in the data set
 - The attribute with the most distinct values is placed at the lowest level of the hierarchy
 - Exceptions, e.g., weekday, month, quarter, year



Outline

- General data characteristics
- Own Why preprocess the data?
- Data cleaning
- Data integration
- Data transformation
- Data reduction
- ✓ Summary

Missing / incomplete

Data cleaning

- I. Ignore the tuple
- 2. Fill in it manually
- 3. Fill in it automatically
- I. Binning
- 2. Regression
- 3. Clustering
- 4. Combined computer with human inspection

- 5. Attribute / feature construction
- I. Data cube aggregation
- 2. Attribute Reduction Dimensionality reduction
- 3. Numerosity reduction
- 5. Discretization and concept hierarchy generation

Summary

- Data preparation/preprocessing: A big issue for data mining
- Data description, data exploration, and measure data similarity set the base for quality data preprocessing
- Data preparation includes
 - Data cleaning
 - Data integration and data transformation
 - Data reduction (dimensionality and numerosity reduction)
- A lot a methods have been developed but data preprocessing still an active area of research