



Lecture 3 – Managing People (Sommerville Ch. 22)

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Objectives

- To describe simple models of human cognition and their relevance for software managers
- To explain the key issues that determine the success or otherwise of team working
- To discuss the problems of selecting and retaining technical staff
- To introduce the people capability maturity model (P-CMM)



Topics covered

- Limits to thinking
- Group working
- Choosing and keeping people
- The people capability maturity model



People in the process

- People are an organisation's most important assets
- The tasks of a manager are essentially people oriented. Unless there is some understanding of people, management will be unsuccessful
- Software engineering is primarily a cognitive activity. Cognitive limitations effectively limit the software process



Management activities

- Problem solving (using available people)
- Motivating (people who work on a project)
- Planning (what people are going to do)
- Estimating (how fast people will work)
- Controlling (people's activities)
- Organising (the way in which people work)

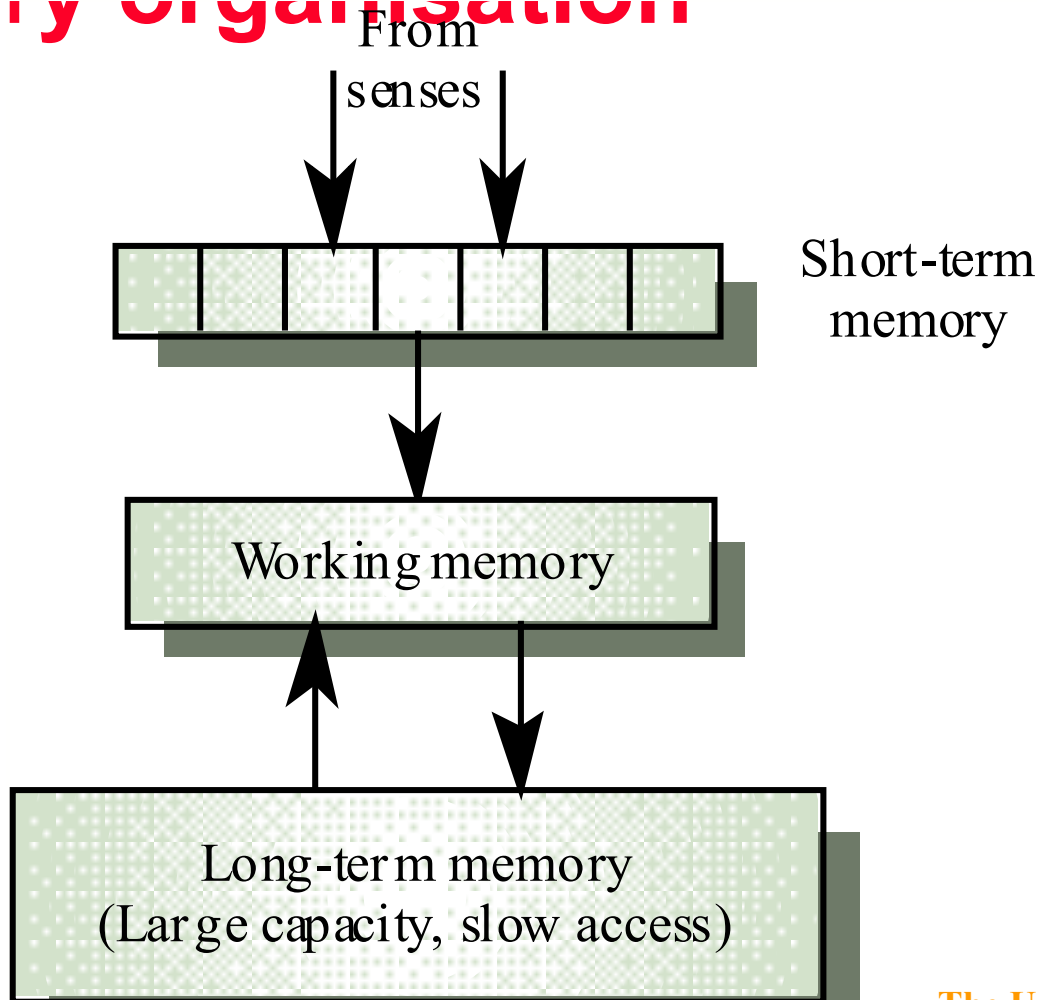


Limits to thinking

- **People don't all think the same way but everyone is subject to some basic constraints on their thinking due to**
 - Memory organisation
 - Knowledge representation
 - Motivation influences
- **If we understand these constraints, we can understand how they affect people participating in the software process**



Memory organisation





Short-term memory

- Fast access, limited capacity
- 5-7 locations
- Holds 'chunks' of information where the size of a chunk may vary depending on its familiarity
- Fast decay time



Working memory

- Larger capacity, longer access time
- Memory area used to integrate information from short-term memory and long-term memory.
- Relatively fast decay time.



Long-term memory

- **Slow access, very large capacity**
- **Unreliable retrieval mechanism**
- **Slow but finite decay time - information needs reinforced**
- **Relatively high threshold - work has to be done to get information into long-term memory.**



Information transfer

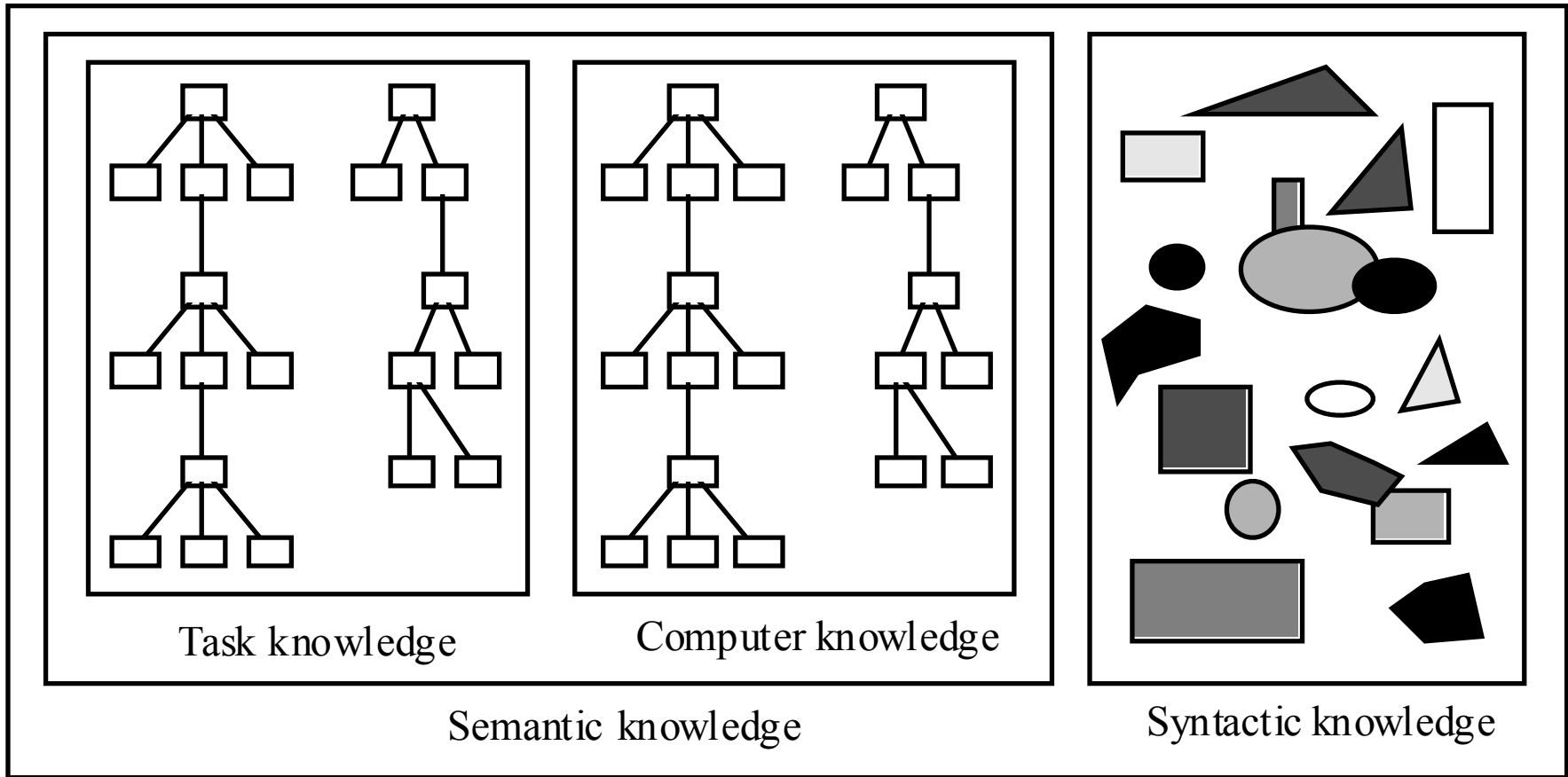
- Problem solving usually requires transfer between short-term memory and working memory
- Information may be lost or corrupted during this transfer
- Information processing occurs in the transfer from short-term to long-term memory



Knowledge modelling

- ***Semantic knowledge*** knowledge of concepts such as the operation of assignment, concept of parameter passing etc.
- ***Syntactic knowledge*** knowledge of details of a representation e.g. an Ada while loop.
- **Semantic knowledge** seems to be stored in a structured, representation independent way.

Syntactic/semantic knowledge





Knowledge acquisition

- Semantic knowledge through experience and active learning - the 'ah' factor
- Syntactic knowledge acquired by memorisation.
- New syntactic knowledge can interfere with existing syntactic knowledge.
 - Problems arise for experienced programmers in mixing up syntax of different programming languages



Semantic knowledge

- ***Computing concepts*** - notion of a writable store, iteration, concept of an object, etc.
- ***Task concepts*** - principally algorithmic - how to tackle a particular task
- **Software development ability** is the ability to integrate new knowledge with existing computer and task knowledge and hence derive creative problem solutions
- **Thus, problem solving is language independent**

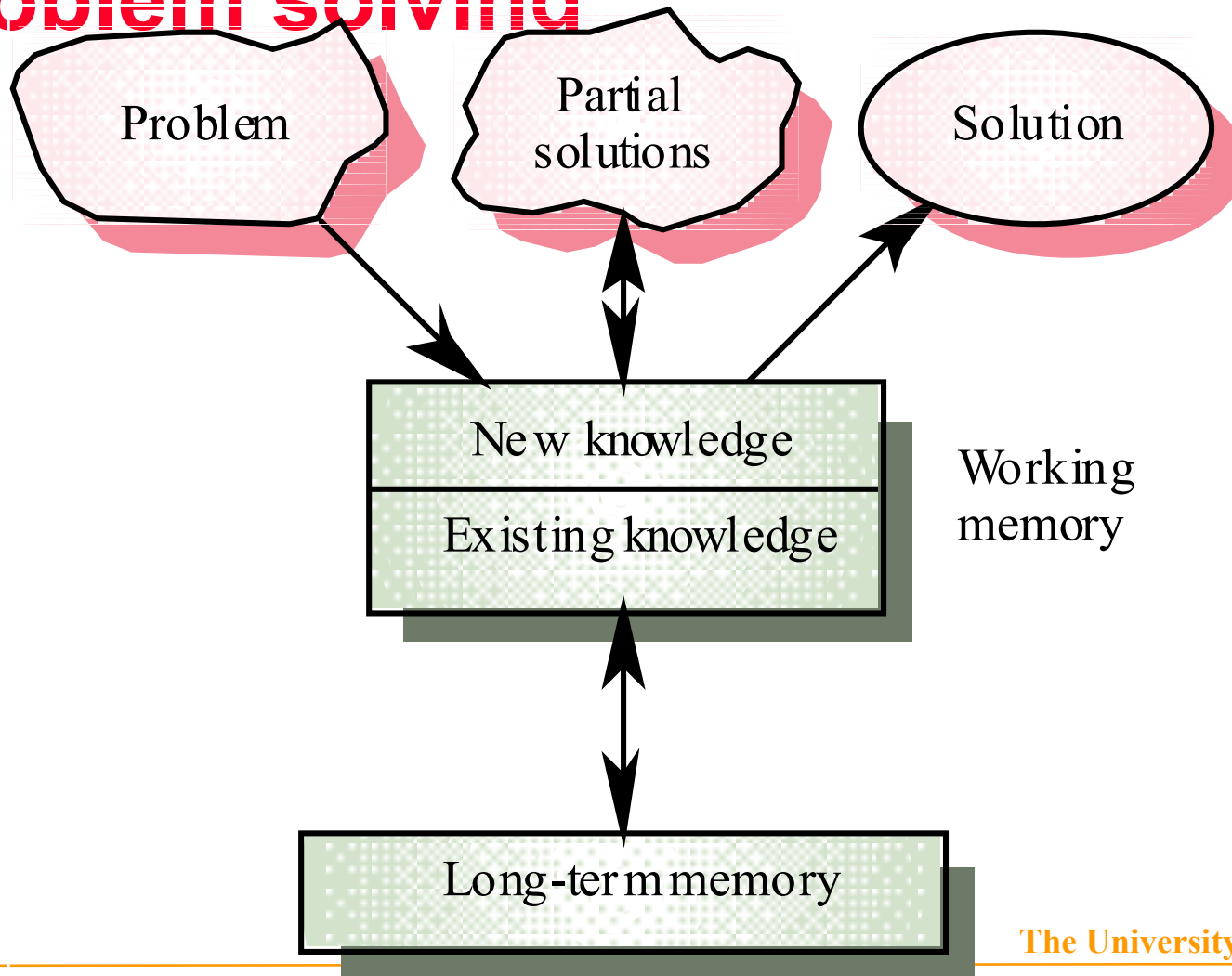


Problem solving

- Requires the integration of different types of knowledge (computer, task, domain, organisation)
- Development of a semantic model of the solution and testing of this model against the problem
- Representation of this model in an appropriate notation or programming language



Problem solving



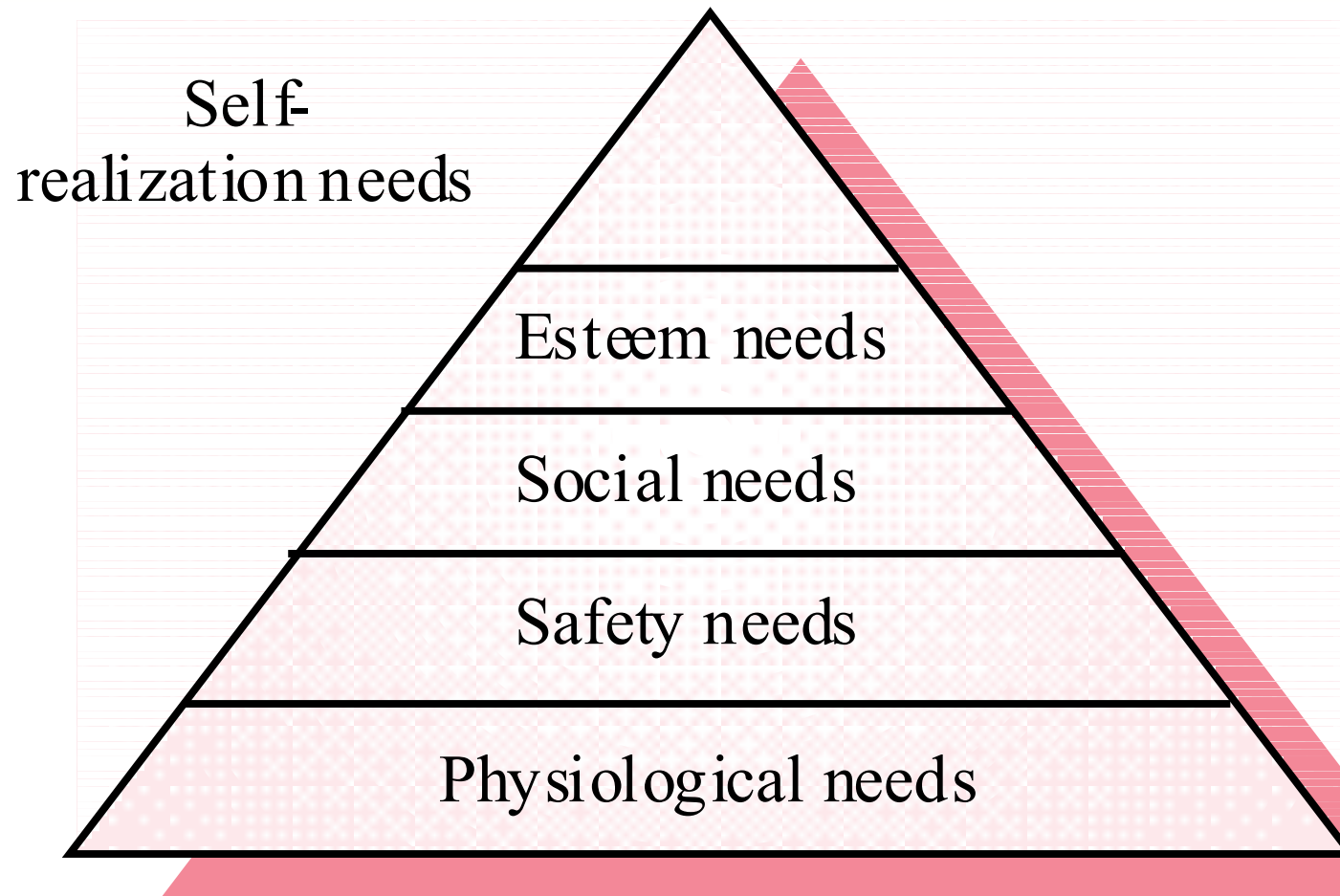


Motivation

- **An important role of a manager is to motivate the people working on a project**
- **Motivation is a complex issue but it appears that there are different types of motivation based on**
 - **Basic needs (e.g. food, sleep, etc.)**
 - **Personal needs (e.g. respect, self-esteem)**
 - **Social needs (e.g. to be accepted as part of a group)**



Human needs hierarchy





Motivating people

- Motivations depend on satisfying needs
- It can be assumed that physiological and safety needs are satisfied
- Social, esteem and self-realization needs are most significant from a managerial viewpoint



Need satisfaction

- **Social**
 - Provide communal facilities
 - Allow informal communications
- **Esteem**
 - Recognition of achievements
 - Appropriate rewards
- **Self-realization**
 - Training - people want to learn more
 - Responsibility



Personality types

- The needs hierarchy is almost certainly an over-simplification
- Motivation should also take into account different personality types:
 - Task-oriented
 - Self-oriented
 - Interaction-oriented



Personality types

- **Task-oriented.**
 - The motivation for doing the work is the work itself
- **Self-oriented.**
 - The work is a means to an end which is the achievement of individual goals - e.g. to get rich, to play tennis, to travel etc.
- **Interaction-oriented**
 - The principal motivation is the presence and actions of co-workers. People go to work because they like to go to work



Motivation balance

- Individual motivations are made up of elements of each class
- Balance can change depending on personal circumstances and external events
- However, people are not just motivated by personal factors but also by being part of a group and culture.
- People go to work because they are motivated by the people that they work with



Group working

- **Most software engineering is a group activity**
 - The development schedule for most non-trivial software projects is such that they cannot be completed by one person working alone
- **Group interaction is a key determinant of group performance**
- **Flexibility in group composition is limited**
 - Managers must do the best they can with available people



Group composition

- **Group composed of members who share the same motivation can be problematic**
 - Task-oriented - everyone wants to do their own thing
 - Self-oriented - everyone wants to be the boss
 - Interaction-oriented - too much chatting, not enough work
- **An effective group has a balance of all types**
- **Can be difficult to achieve because most engineers are task-oriented**
- **Need for all members to be involved in decisions which affect the group**



Group leadership

- **Leadership depends on respect not titular status**
- **There may be both a technical and an administrative leader**
- **Democratic leadership is more effective than autocratic leadership**
- **A career path based on technical competence should be supported**



Group cohesiveness

- In a cohesive group, members consider the group to be more important than any individual in it
- Advantages of a cohesive group are:
 - Group quality standards can be developed
 - Group members work closely together so inhibitions caused by ignorance are reduced
 - Team members learn from each other and get to know each other's work
 - Egoless programming where members strive to improve each other's programs can be practised



Developing cohesiveness

- **Cohesiveness is influenced by factors such as the organisational culture and the personalities in the group**
- **Cohesiveness can be encouraged through**
 - Social events
 - Developing a group identity and territory
 - Explicit team-building activities
- **Openness with information is a simple way of ensuring all group members feel part of the group**



Group loyalties

- **Group members tend to be loyal to cohesive groups**
- **'Groupthink' is preservation of group irrespective of technical or organizational considerations**
- **Management should act positively to avoid groupthink by forcing external involvement with each group**



Group communications

- **Good communications are essential for effective group working**
- **Information must be exchanged on the status of work, design decisions and changes to previous decisions**
- **Good communications also strengthens group cohesion as it promotes understanding**



Group communications

- **Status of group members**
 - Higher status members tend to dominate conversations
- **Personalities in groups**
 - Too many people of the same personality type can be a problem
- **Sexual composition of group**
 - Mixed-sex groups tend to communicate better
- **Communication channels**
 - Communications channelled through a central coordinator tend to be ineffective



Group organisation

- **Software engineering group sizes should be relatively small (< 8 members)**
- **Break big projects down into multiple smaller projects**
- **Small teams may be organised in an informal, democratic way**
- **Chief programmer teams try to make the most effective use of skills and experience**

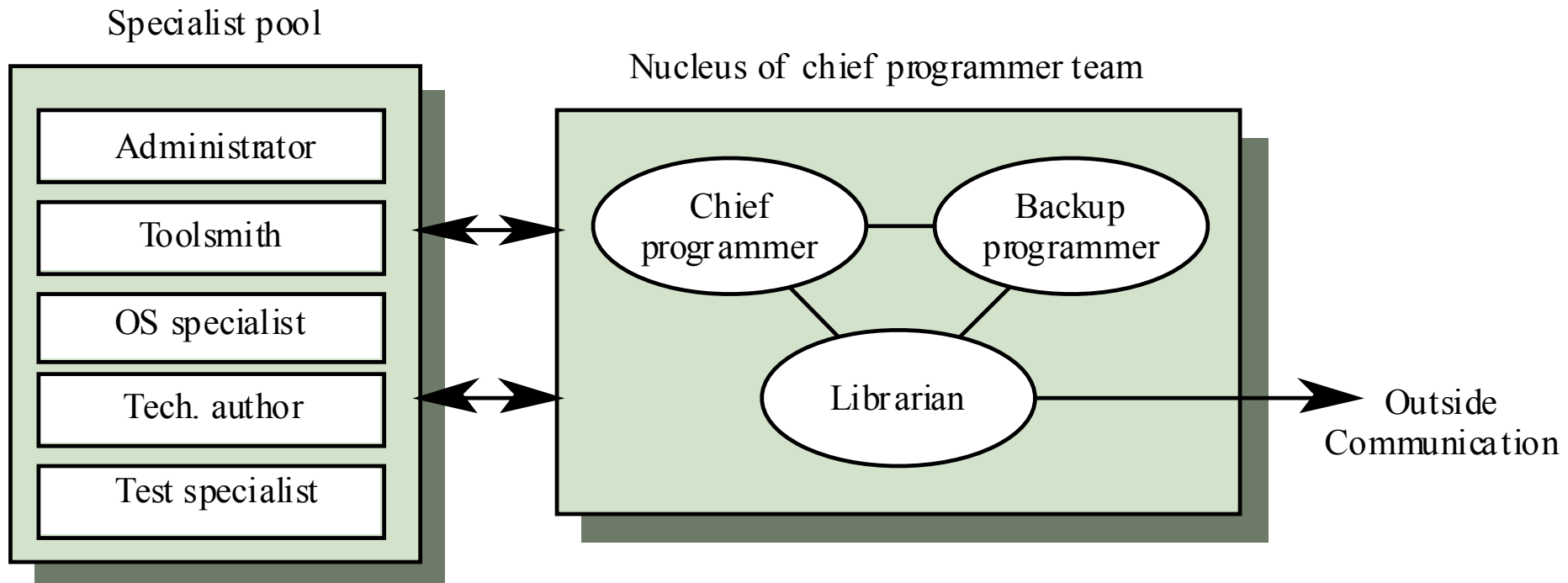


Democratic team organisation

- The group acts as a whole and comes to a consensus on decisions affecting the system
- The group leader serves as the external interface of the group but does not allocate specific work items
- Rather, work is discussed by the group as a whole and tasks are allocated according to ability and experience
- This approach is successful for groups where all members are experienced and competent



Chief programmer teams





Chief programmer teams

- **Consist of a kernel of specialists helped by others added to the project as required**
- **The motivation behind their development is the wide difference in ability in different programmers**
- **Chief programmer teams provide a supporting environment for very able programmers to be responsible for most of the system development**



Problems

- **This chief programmer approach, in different forms, has undoubtedly been successful**
- **However, it suffers from a number of problems**
 - **Talented designers and programmers are hard to find. Without exception people in these roles, the approach will fail**
 - **Other group members may resent the chief programmer taking the credit for success so may deliberately undermine his/her role**
 - **High project risk as the project will fail if both the chief and deputy programmer are unavailable**
 - **Organisational structures and grades may be unable to accommodate this type of group**



Choosing and keeping people

- **Choosing people to work on a project is a major managerial responsibility**
- **Appointment decisions are usually based on**
 - information provided by the candidate (their resumé or CV)
 - information gained at an interview
 - recommendations from other people who know the candidate
- **Some companies use psychological or aptitude tests**
 - There is no agreement on whether or not these tests are actually useful



Working environments

- **Physical workplace provision has an important effect on individual productivity and satisfaction**
 - Comfort
 - Privacy
 - Facilities
- **Health and safety considerations must be taken into account**
 - Lighting
 - Heating
 - Furniture



Environmental factors

- **Privacy** - each engineer requires an area for uninterrupted work
- **Outside awareness** - people prefer to work in natural light
- **Personalization** - individuals adopt different working practices and like to organize their environment in different ways

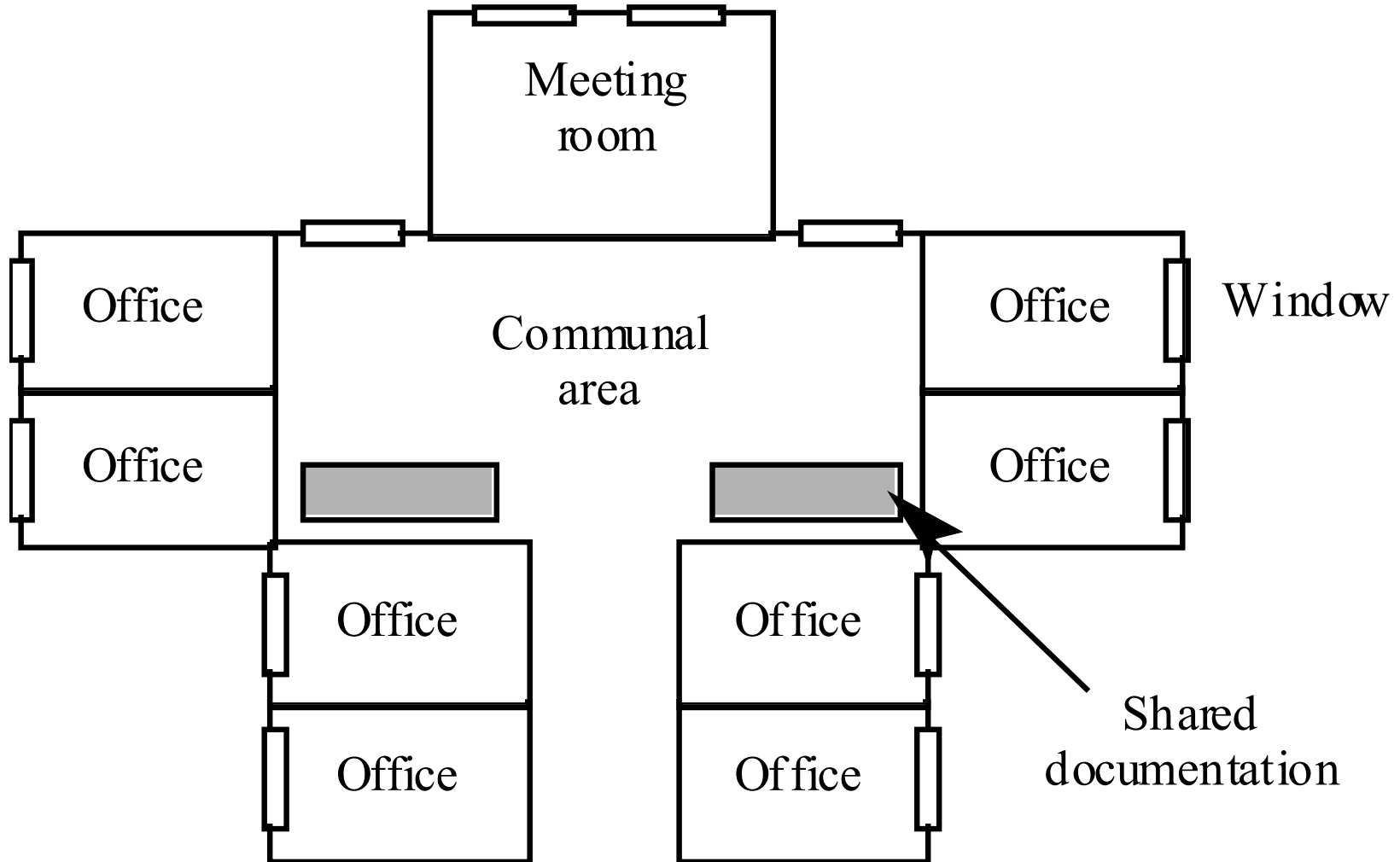


Workspace organisation

- **Workspaces should provide private spaces where people can work without interruption**
 - Providing individual offices for staff has been shown to increase productivity
- **However, teams working together also require spaces where formal and informal meetings can be held**



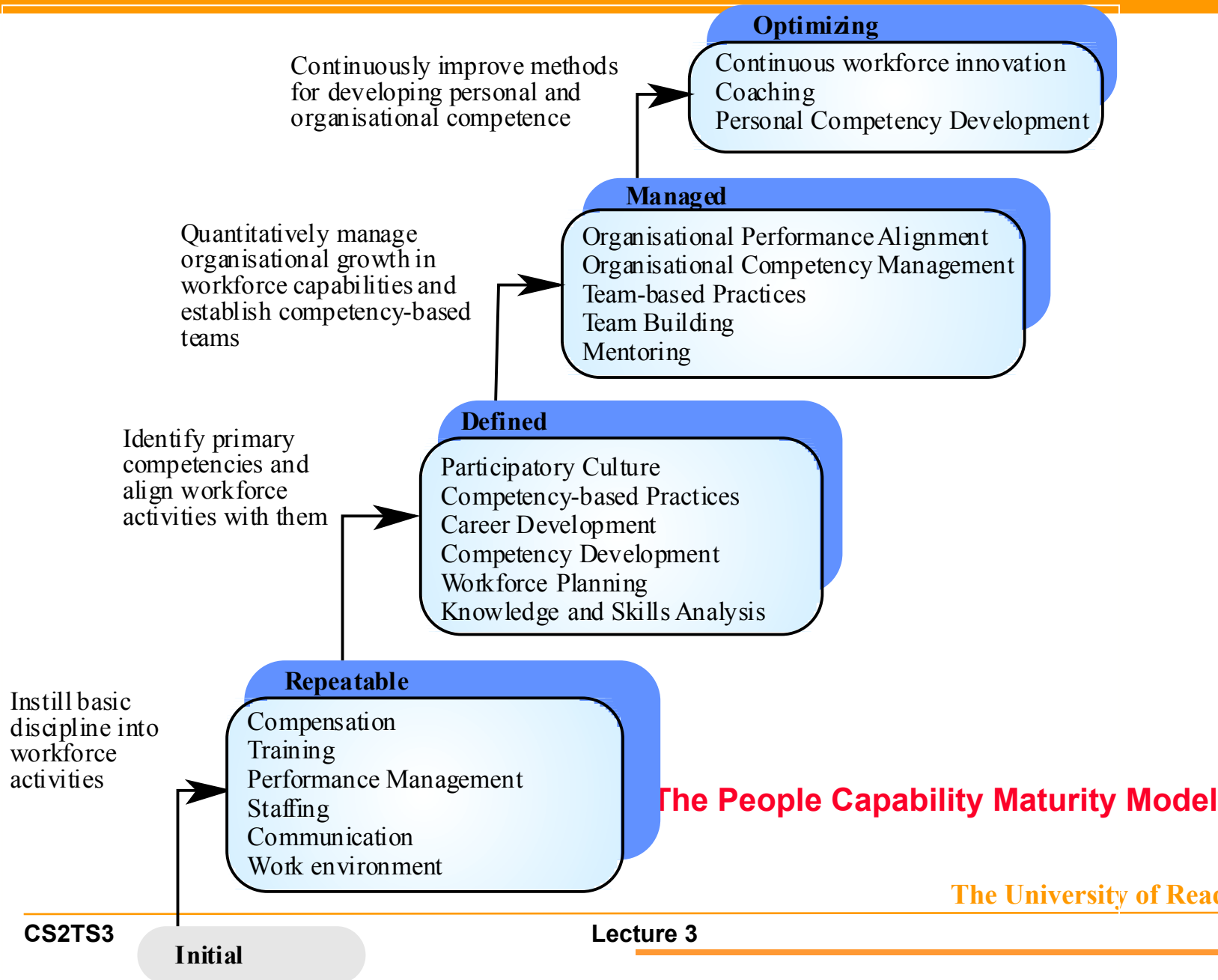
Office layout





The People Capability Maturity Model

- **Intended as a framework for managing the development of people involved in software development**
- **Five stage model**
 - Initial. Ad-hoc people management
 - Repeatable. Policies developed for capability improvement
 - Defined. Standardised people management across the organisation
 - Managed. Quantitative goals for people management in place
 - Optimizing. Continuous focus on improving individual competence and workforce motivation





P-CMM Objectives

- To improve organisational capability by improving workforce capability
- To ensure that software development capability is not reliant on a small number of individuals
- To align the motivation of individuals with that of the organisation
- To help retain people with critical knowledge and skills



Key points

- **Managers must have some understanding of human factors to avoid making unrealistic demands on people**
- **Problem solving involves integrating information from long-term memory with new information from short-term memory**
- **Staff selection factors include education, domain experience, adaptability and personality**



Key points

- **Software development groups should be small and cohesive**
- **Group communications are affected by status, group size, group organisation and the sexual composition of the group**
- **The working environment has a significant effect on productivity**
- **The People Capability Maturity Model is a framework for improving the capabilities of staff in an organisation**