



Lecture 6 – Paging Algorithms

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Administration

- **There WILL be a lecture this Friday**
 - In MFLT, 11:00
- **Lecture Notes**
 - Are available at <http://www.cs.rhul.ac.uk/~karl>
 - Formats
 - Original Powerpoint 2000 slides
 - PDF files, A4 2 slides per page, colour
 - Let me know if you would like other formats

Objectives

- In this class we will discuss:
 - Page replacement algorithms
 - Belady's Anomaly
 - Further memory management
 - Page size optimisation

Page Replacement Algorithms

- When a page fault occurs the operating system has to choose a page to remove from memory to make room for the newly brought in page
- If the page has been modified (while in memory) it has to be rewritten on to the disk (update)
- If the page was not modified it can be just overwritten by the new one (**Modified bit**)
- The algorithm which is used by the OS to choose a page which will leave the memory is called the **Page Replacement Algorithm (PRA)**

The Optimal PRA

- What would an optimal PRA be?
- We want the page to be removed from the memory to be that one which will not be used for longest time
 - Each page (from the set in memory) will be referenced after some number of instructions
 - Assign each page a label, the number of instructions that will be executed before that page is next referenced
- The optimal PRA will remove the page with the highest label
- Unfortunately, the optimal PRA is not realizable

The Not-Recently-Used PRA

- Every page is given two status bits, R and M
 - R bit is set whenever the page is referenced (r/w)
 - M bit is set when the page is modified (w0)
- Can be set by hardware or simulated in software
- The NRU algorithm uses these bits to divide the pages into four categories:
 - Class 0: $R = 0, M = 0$
 - Class 1: $R = 0, M = 1$
 - Class 2: $R = 1, M = 0$
 - Class 3: $R = 1, M = 1$
- The R bit is cleared on every clock interrupt
- Remove a page at random from the lowest numbered non-empty class

The FIFO PRA

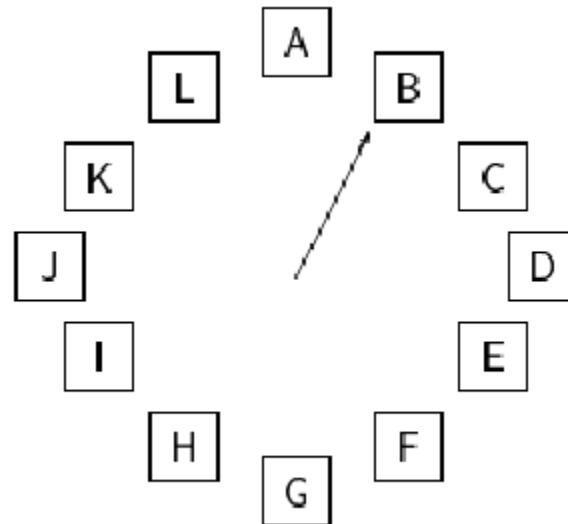
- The OS maintains a list of all pages currently in memory
- The page at the head of the list is the oldest one
- The page at the tail is the most recently arrived
- The first-in, first-out algorithm removes the head and adds the newly loaded page at the tail
- This algorithm is rarely used
 - Tends to swap out commonly used pages

The Second Chance PRA

- This is a simple modification to FIFO, it avoids swapping out heavily used pages
- Pages are kept in a list (as FIFO)
- Before removing the oldest page, the algorithm checks the R bit:
 - If $R = 0$, the page is removed
 - if $R = 1$, the R bit is cleared and the page is moved from the head to the tail of the list and the search continues
- There is a cost in moving pages around

The Clock PRA

- This is further modification of the second chance algorithm
 - No need to move the pages



The Least Recently Used PRA

- **Observation:** Pages that have been heavily used in the last few instructions will probably be heavily used again in the next few
- **Basic idea:** When a page fault occurs, throw out the page that has been unused for the longest time
- This is the *Least Recently Used (LRU)* paging
 - Expensive to implement, need to update timestamp on each access
 - May have hardware support
 - Can be simulated in software: the *Not Frequently Used (NFU)* algorithm

Belady's Anomaly

- One would assume that the more page frames the memory has, the fewer page faults a program will get
- This is not always the case!
- Example, using the FIFO PRA – Belady's anomaly
 - Assume 5 virtual pages: 0 1 2 3 4
 - Referenced in order: 0 1 2 3 0 1 4 0 1 2 3 4
 - Use FIFO PRA with 3 page frames and count page faults
 - Use FIFO PRA with 4 page frames and count page faults
 - Which has the most page faults?

The Reference String

- Assume we have one process running on a machine
 - Each process's memory access can be characterised by an ordered list of page numbers
 - The list is called the *Reference String*
- A paging system can be characterised by three items:
 - The reference string of the executing process
 - The page replacement algorithm
 - The number of page frames available in memory, m

Abstract Interpreter

- **The abstract interpreter works as follows:**
 - To keep track of the memory M , an internal array is maintained
 - It has n elements, $n = \text{number of virtual pages}$
 - The top m elements of M are the pages in memory
 - The bottom part contains pages, that have been referenced once, but which are not in memory now
 - Initially M is empty
- If a page fault occurs (i.e. the page number is not in the top m elements) the page is just added (in the beginning) of the PRA is invoked
- Top and bottom part can be separately rearranged

Try An Example

- 6 virtual pages: 0 1 2 3 4 5
- 3 physical page frames: $m = 3$
- Reference string: 0 2 1 5 4 2 3 1 5 3 5 5 1 4 5
- Page replacement algorithm: LRU

Stack Algorithms

- Let r be an index into the reference string
- $M(m, r)$ is the set of pages in the top part of M after r memory references
- Algorithms that have the property

$$M(m, r) \subseteq M(m + 1, r)$$

are called stack algorithms

- They do not suffer from Belady's anomaly

Distance String

- The distance string is the list of distances ($1..\infty$) for each referenced page
- For each page reference the item in the distance string is the distance of the called page from the top of the array M
 - Note: the distance string depends on the reference string AND the PRA
- How many page frames should a process be given?

Design Issues

- **Some issues to consider when designing paging systems**
 - Demand paging – load pages only when they are referenced
 - Working set model – page references tend to be localised to the working set
 - (Pre)Load all the pages in the working set to avoid future page faults

Design Issue – Page Size

- **Goal: determine the optimum page size**
- **Issues:**
 - A randomly chosen text segment (code) will not fill an integral number of pages
 - Implies small pages are best
 - On average, half of the final page will be empty
 - Many pages mean a large page table
 - Implies large pages are best
- **We will look at this in more detail in the assessment exercise (on Friday)**

Summary

- **Most modern operating systems used paged, virtual memory**
 - To allow processes to have a virtual address space bigger than physical memory
 - To load only part of the memory required by each process
- **Choosing which page to swap out when a page fault occurs can have a significant impact on overall performance**
 - We have looked at various algorithms and an abstract model to examine them
- **Page size is a trade off between variables**

Next Lecture

- On Friday, MFLT
- We will talk about I/O and hardware management
- Lecture Notes: <http://www.cs.rhul.ac.uk/~karl>