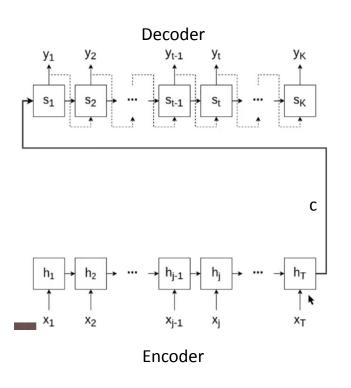
# Introduction to transformers

Chang Bi

#### Sequence to Sequence Models



$$h_{t} = f(x_{t}, h_{t-1})$$

$$c = q(\{h_{1}, \dots, h_{T_{x}}\})$$

$$p(\mathbf{y}) = \prod_{t=1}^{T} p(y_{t} | \{y_{1}, \dots, y_{t-1}\}, c)$$

$$p(y_{t} | \{y_{1}, \dots, y_{t-1}\}, c) = g(y_{t-1}, s_{t}, c)$$

The network summarize the input,  $x_{\{1,\dots,T\}}$ , into a unique context vector c and infer output conditioned on c and previous sequence  $y_{\{1,\dots,t-1\}}$ .

#### **Bahdanau Attention**

# Decoder

Encoder

$$p(y_i|y_1,\ldots,y_{i-1},\mathbf{x}) = g(y_{i-1},s_i,q_0)$$

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j.$$

$$lpha_{t,i} = rac{\exp\left(\operatorname{score}(\mathbf{s}_{t-1}, \mathbf{h}_i)
ight)}{\sum_{i'=1}^n \exp\left(\operatorname{score}(\mathbf{s}_{t-1}, \mathbf{h}_{i'})
ight)}$$

Aside the bidirectional structure in the encoder part, this network has multiple context vectors that "tailor" for each output. Each  $c_i$  has a set of weights,  $a_{ij}$ , to guide it focusing on the most relevant part of the input to the target.

#### Attention mechanism of human



What color is the flower, and what color are the leaves?

Two ways to answer the question with the image:

- 1. The notes on the image before look at the image, then answer the question based on the notes.
- 2. Look at the image and question at the same time and only pay attention to the relevant parts of the image to the question.

#### What about JUST use the attention?

#### Attention Is All You Need

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#### Abstract

The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 English-to-German translation task, improving over the existing best results, including ensembles, by over 2 BLEU. On the WMT 2014 English-to-French translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.8 after training for 3.5 days on eight GPUs, a small fraction of the training costs of the best models from the literature. We show that the Transformer generalizes well to other tasks by applying it successfully to English constituency parsing both with large and limited training data.

Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

Model	BLEU		Training Cost (FLOPs)	
	EN-DE	EN-FR	EN-DE	EN-FR
ByteNet [18]	23.75	2000		1.
Deep-Att + PosUnk [39]		39.2		$1.0 \cdot 10^{20}$
GNMT + RL [38]	24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$
ConvS2S [9]	25.16	40.46	$9.6 \cdot 10^{18}$	$1.5 \cdot 10^{20}$
MoE [32]	26.03	40.56	$2.0 \cdot 10^{19}$	$1.2 \cdot 10^{20}$
Deep-Att + PosUnk Ensemble [39]		40.4		$8.0 \cdot 10^{20}$
GNMT + RL Ensemble [38]	26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$
ConvS2S Ensemble [9]	26.36	41.29	$7.7\cdot 10^{19}$	$1.2\cdot 10^{21}$
Transformer (base model)	27.3	38.1	$3.3\cdot 10^{18}$	
Transformer (big)	28.4	41.8	$2.3 \cdot 10^{19}$	

#### Decoder **Transformers** Output **Multi-head attention Probabilities** Softmax Linear Linear Concat Encoder Add & Norm Feed Scaled Dot-Product Forward Scaled dot-product attention Attention Add & Norm Add & Norm Multi-Head Linear Linear Linear Feed MatMul Attention Forward SoftMax Add & Norm N× Add & Norm K Masked Mask (opt.) Multi-Head Attention Attention Zoom-In! Scale Positional C MatMul Posit onal **Encoding** Enco ding Output Input Embedding Embedding Zoom-In!

look:

Outputs

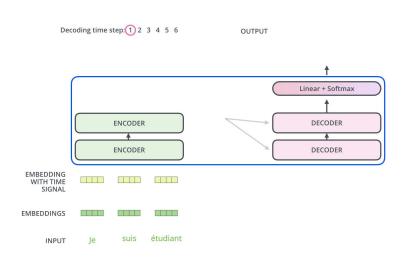
(shifted right)

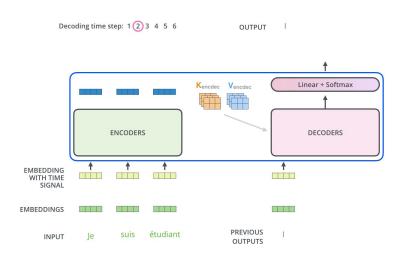
Inputs

https://paperswithcode.com/method/respet

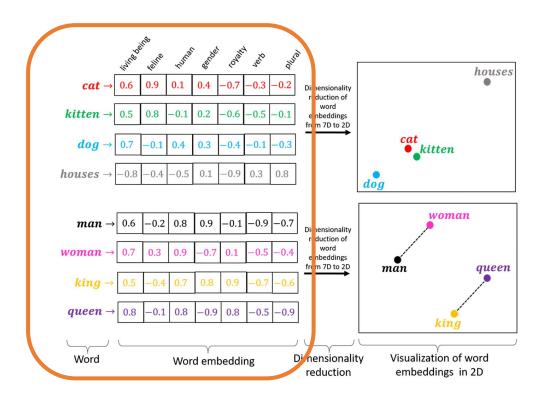
For skip-connections, please have a

# Inputs, output and an high-level overview

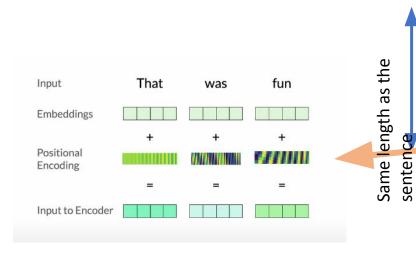




# Background – word embedding



#### 1. Positional encoding



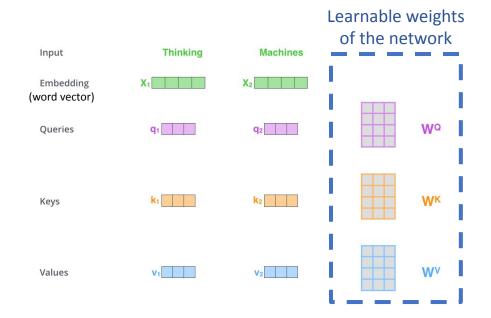
Same length as the word vector

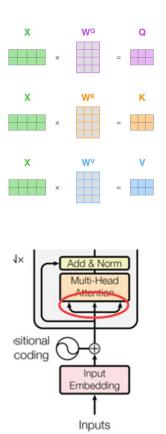
100
-0.75
-0.50
-0.25
-0.00
-0.25
-0.50
-0.75
-0.50
-0.75

$$\begin{split} PE_{(pos,2i)} &= sin(pos/10000^{2i/d_{\rm model}}) \\ PE_{(pos,2i+1)} &= cos(pos/10000^{2i/d_{\rm model}}) \end{split}$$

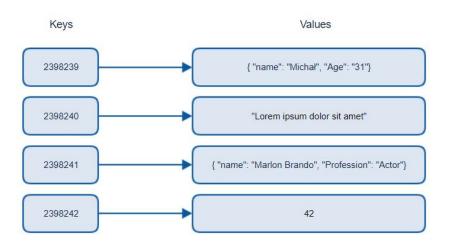
Who is that girl who is wearing a scarf?

# 2. Input embedding





# Key, value, and query in a data system



**Keys**: the location of the data in the

database

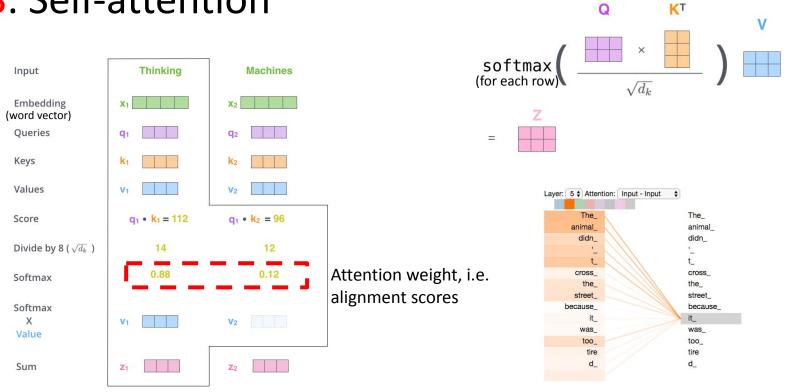
Values: the content of the data

Queries: the request to retrieve data from

the database

When a user send a query to a data system, the system compares the query with the key and return the corresponding value.

#### 3. Self-attention



Encoding – context vector: abstract representations of the context

#### Exercise 1

Input: [0,1,1,2,3,4,2,3,1]

Embedding method: one-hot

 $W_q = 100*identity$ 

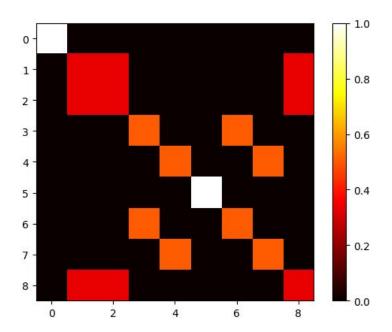
W\_k = 100\*identity

W v = identity

$$d_k = 5$$

What does the attention weight matrix look like?

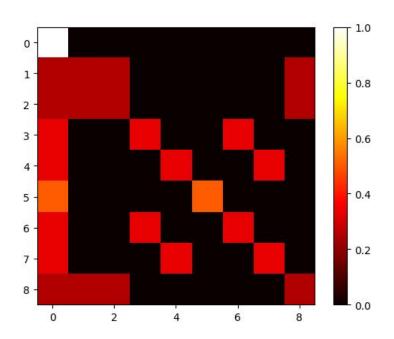
What is the context vector?



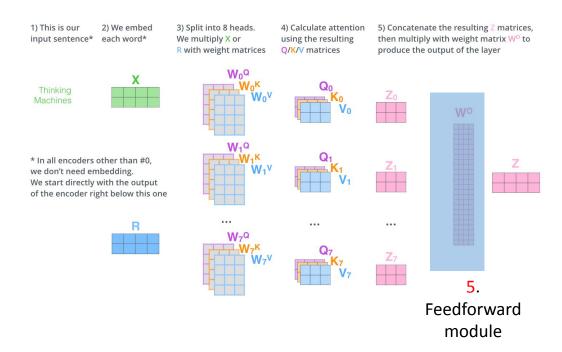
#### Exercise 2

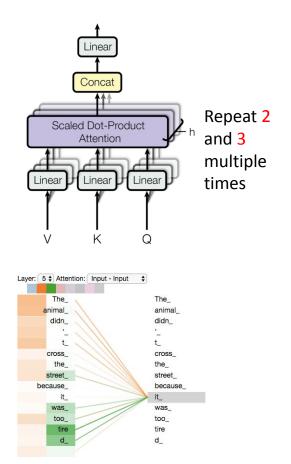
```
Input: [0,1,1,2,3,4,2,3,1]
Embedding method:
     0 \Rightarrow (1,0,0,0,0)
      others => one-hot +(1,0,0,0,0)
        • E.g. 1 => (1,1,0,0,0) 2=> (1,0,1,0,0,0)...
W q = 100*identity
W k = 100*identity
W v = identity
d k = 5
```

What does the attention weight matrix look like?

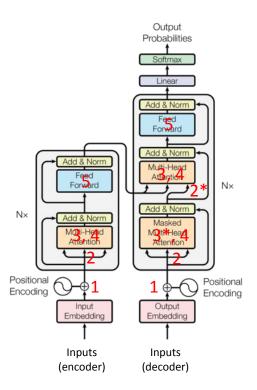


#### 4. Multi-head attention





#### **Transformers**



The numbers in the plot are corresponding to the mechanisms in the last few slides.

For a translation model:

*Input(encoder):* the **whole** sentence of language 1.

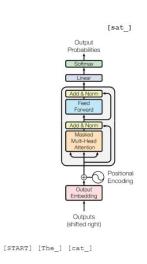
*Input(decoder):* the **partial** sequence of language 2.

Output: the probability distribution of the next word for the sequence of Input(decoder).

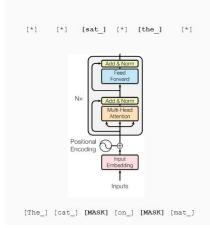
- 3\*. a mask is applied to the later parts of the sentence for training efficiency.
- 2\*. the key-value pair is learned from the encoding of *Input*(*encoder*), while the query is learned from the encoding of *Input*(*decoder*). Therefore, this is *cross-attention* instead of self-attention.

#### Other architectures

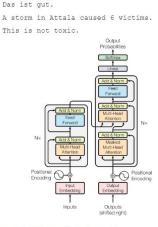
# **GPT**



#### Decoder-only Encoder-only **BERT**



# Enc-Dec



Translate EN-DE: This is good. Summarize: state authorities dispatched... Is this toxic: You look beautiful today!

#### Not limited to text!



# Astronomy application – light curve encoding

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#### Paying Attention to Astronomical Transients: Introducing the Time-series Transformer for Photometric Classification

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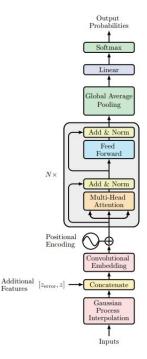
Accepted XXX. Received YYY; in original form ZZZ

#### ARSTRAC

202

Future surveys such as the Legacy Survey of Space and Time (LSST) of the Vera C. Rubin Observatory will observe an order of magnitude more astrophysical transient events than any previous survey before. With this deluge of photometric data, it will be impossible for all such events to be classified by humans alone. Recent efforts have sought to leverage machine learning methods to tackle the challenge of astronomical transient classification, with ever improving success. Transformers are a recently developed deep learning architecture, first proposed for natural language processing, that have shown a great deal of recent success. In this work we develop a new transformer architecture, which uses multi-head self attention at its core, for general multi-variate time-series data. Furthermore, the proposed time-series transformer architecture supports the inclusion of an arbitrary number of additional features, while also offering interpretability. We apply the time-series transformer to the task of photometric classification, minimising the reliance of expert domain knowledge for feature selection, while achieving results comparable to state-of-the-art photometric classification methods. We achieve a logarithmic-loss of 0.507 on imbalanced data in a representative setting using data from the Photometric LSST Astronomical Time-Series Classification Challenge (PLAsTiCC). Moreover, we achieve a micro-averaged receiver operating characteristic area under curve of 0.98 and micro-averaged precision-recall area under curve of 0.87.

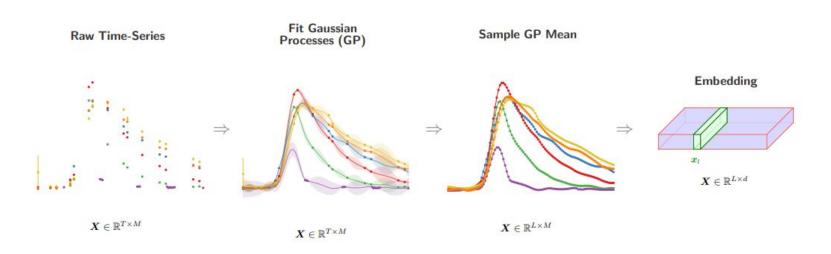
Key words: machine learning - software - data methods - time-series - transients - supernovae



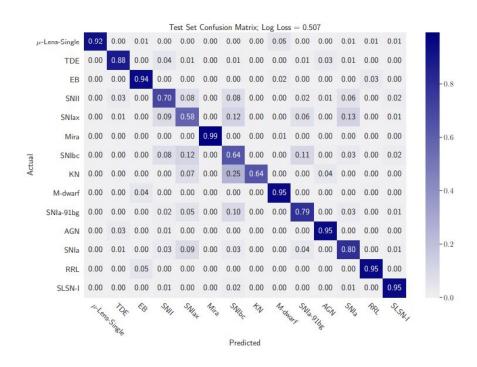
#### Time series transformer

#### Analog

- Magnitudes in different channels at a given timestep => word vector
- Multi-channel light curves => sentences



#### Performance in PLAsTiCC dataset



#### Runnable example

Toy encoder model(no positional embedding, exercises 1&2 and more): <a href="https://colab.research.google.com/drive/1Z21lq1X">https://colab.research.google.com/drive/1Z21lq1X</a> KwTr-O640k0V4IL8d47C <a href="https://colab.research.google.com/drive/1Z21lq1X">VffL?usp=sharing</a>

Detail transformer model:

https://github.com/harvardnlp/annotated-transformer/