



# **Advanced Topics in Machine Learning**

## Winter Semester 2024/2025

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Prof. Dr.-Ing. Christian Bergler | OTH Amberg-Weiden

## Overview

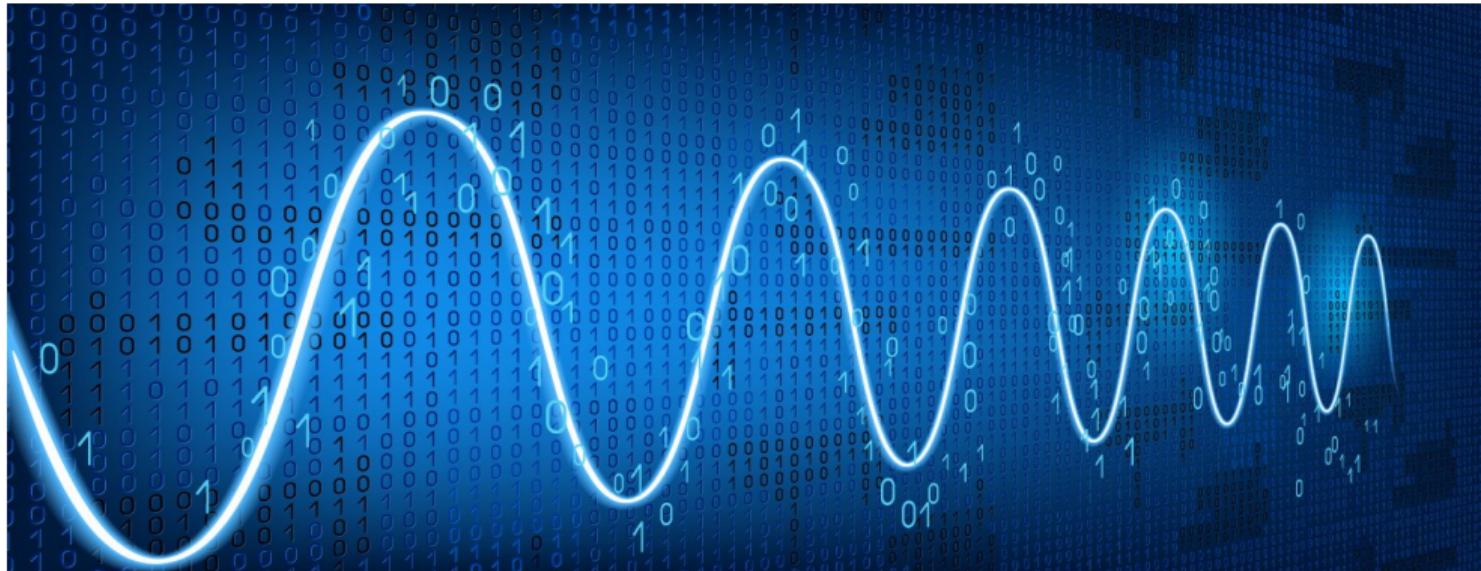
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### Topics From Last Time: Introduction & Deep Learning Recap

- Recurrent Neural Networks (Forward/Backward Propagation)
- Long Short-Term Memory (LSTM) & Gated Recurrent Unit (GRU) Models
- Attention Mechanism
- Transformer Model

### Topics of Today: Acoustic Signal Processing and Multimodal Learning

- Signals and Signal Types
- Audio Signals in Deep Learning
- Analog/Digital (A/D) Conversion (Sampling, Quantization)
- Discrete Fourier Transform (DFT)
- Short-Time Fourier Transform (STFT)
- Spectrogram
- Multimodal Learning



- **Signal:** a real-world signal refers to any physical or abstract quantity, as part of different fields, considered as a function  $f$ , which conveys information about the behavior or respective state in a physical system

Source: Image taken from <https://www.enclustra.com/en/design-services/digital-signal-processing/>

- **Electronics & Communication:** a signal refers to an electrical or electromagnetic representation of data, which can vary over time and can be classified into analog (continuous) & digital signals (discrete)

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- **Natural Language Processing:** signal describes patterns or features in text data which helps to identify relevant information, such as keywords, sentiment indicators, or linguistic structures

- **Image Signals:** Represented as a matrix of pixel values that vary in intensity and color, forming a visual representation. Images are typically considered two-dimensional signals

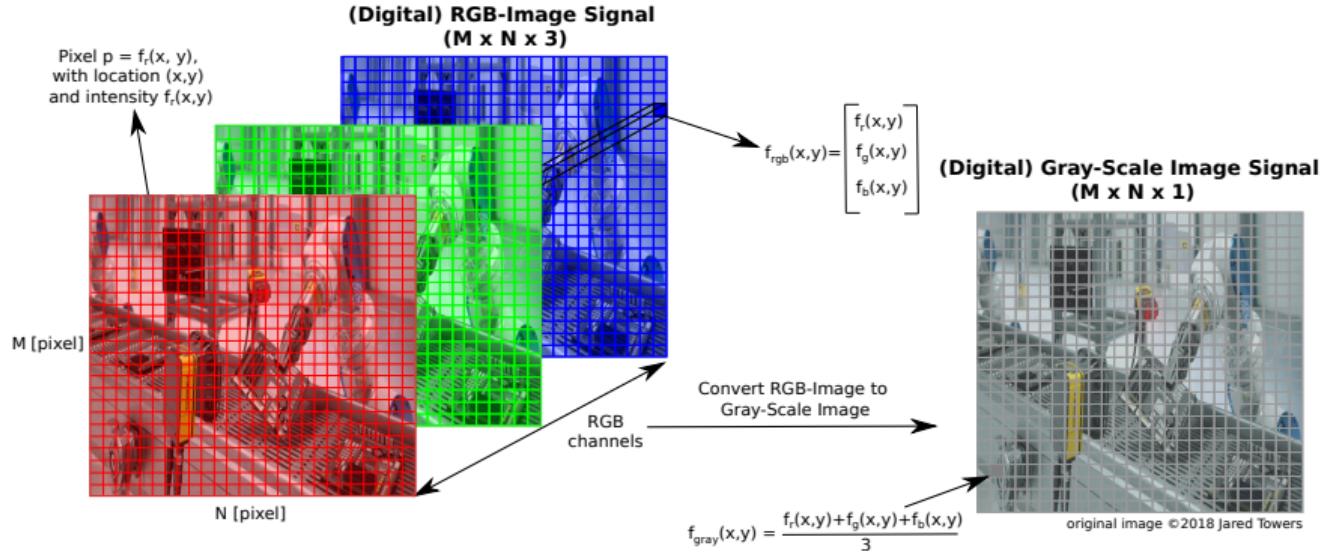
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- **Audio Signals:** Represented as waveforms that vary over time, capturing sound. They are continuous signals (analog) or can be digitized (digital), such as in speech or music

# Signal Processing

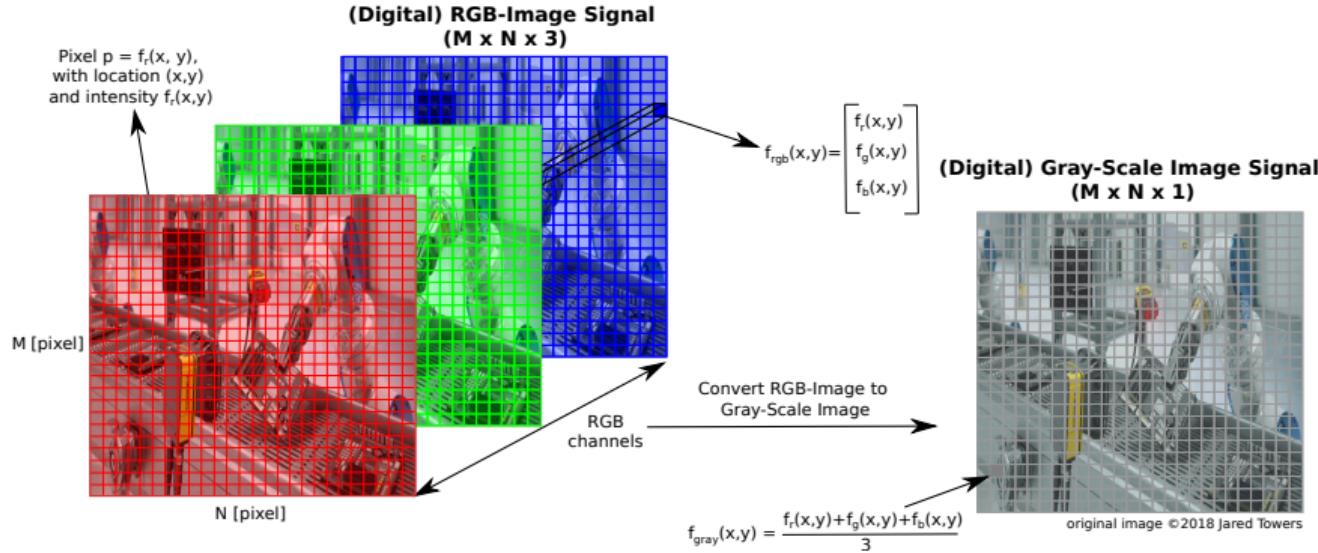
## Signals – Different Types – (Digital) Image



- 2D-Representation: spatial coordinates  $(x, y)$ , intensity value  $f(x, y)$  (=pixel)

# Signal Processing

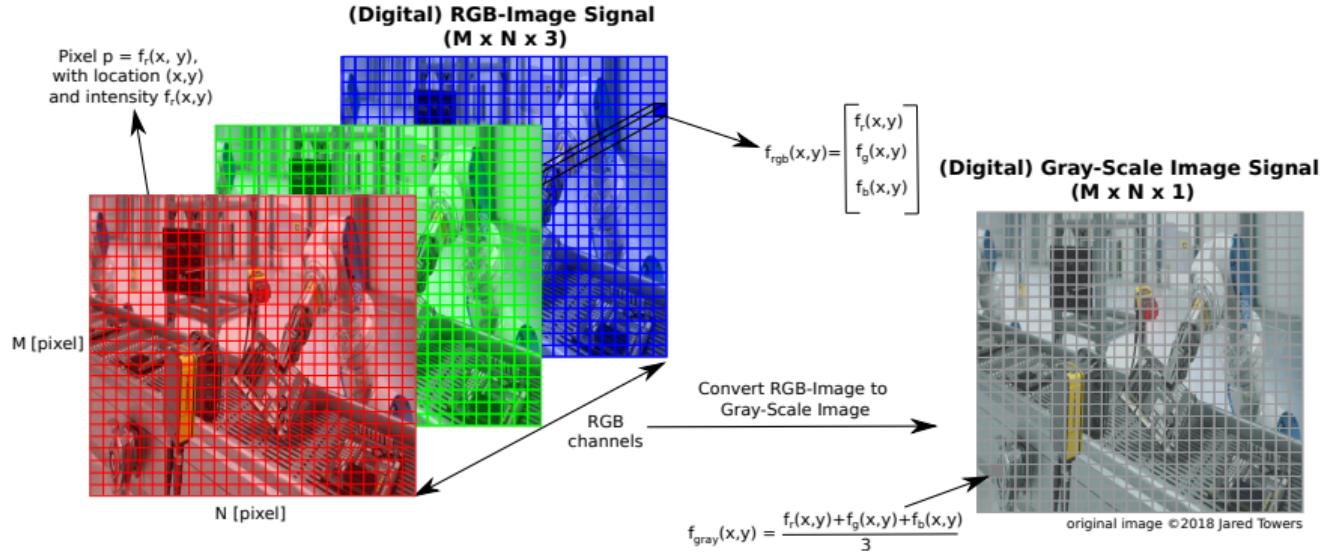
## Signals – Different Types – (Digital) Image



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- $x, y \in \mathbb{Z}^2$ , Gray-Scale  $f(x, y) = \vec{y}_{1 \times 1}$  (scalar!)  $= [f_g(x, y)]$ , Color-Scale  $f(x, y) = \vec{y}_{3 \times 1} = [f_r(x, y), f_g(x, y), f_b(x, y)]^T$

# Signal Processing

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- Digital RGB-Image ( $M \times N \times 3$ ), Gray-Scale Image ( $M \times N \times 1$ )



- Sensor signals measure physical quantities like temperature, distance, light, and others

Source: Image from <https://www.volersystems.com/blog/understanding-sensor-signal-conditioning-for-precise-data-acquisition>



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- Mathematically it describes a function over time  $s(t)$ , with time  $t$  and amplitude  $s(t)$

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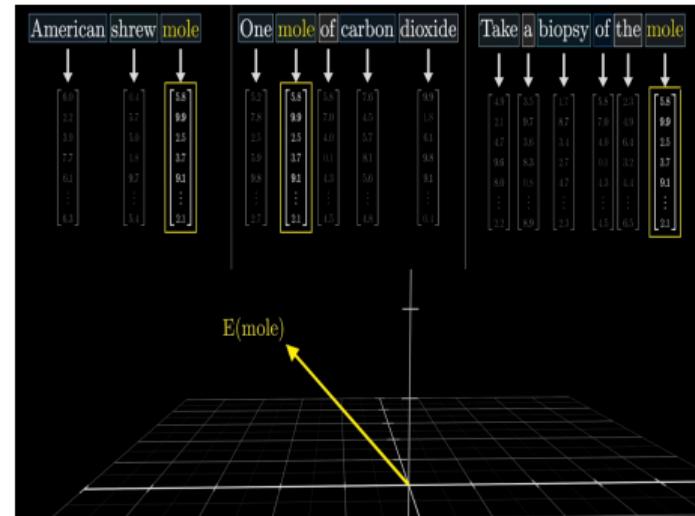
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- Mathematically it describes a function over time  $s(t)$ , with time  $t$  and amplitude  $s(t)$
- In machine (deep) learning it is also known as **Time Series** (data) or a continuous function comprising readings from a sensor (digitized via sampling & quantization)

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# Signal Processing

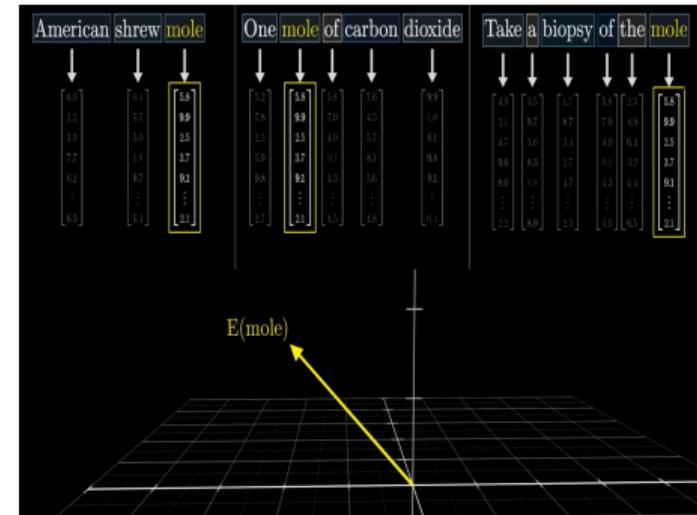
## Signals – Different Types – Text

- Text as String (sequence of words & characters)



Source: Images taken from YouTube 3Brown1Blue – <https://www.youtube.com/watch?v=eMlx5fFNoYc>

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- Word Embedding describes words as numerical vectors in a continuous and multi-dimensional space

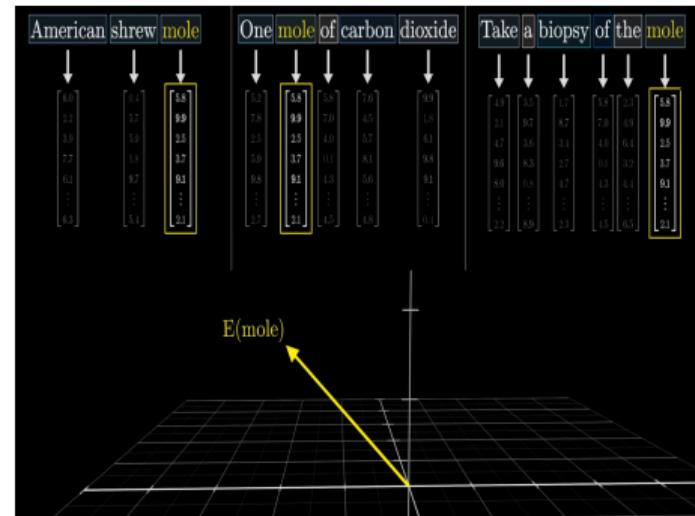


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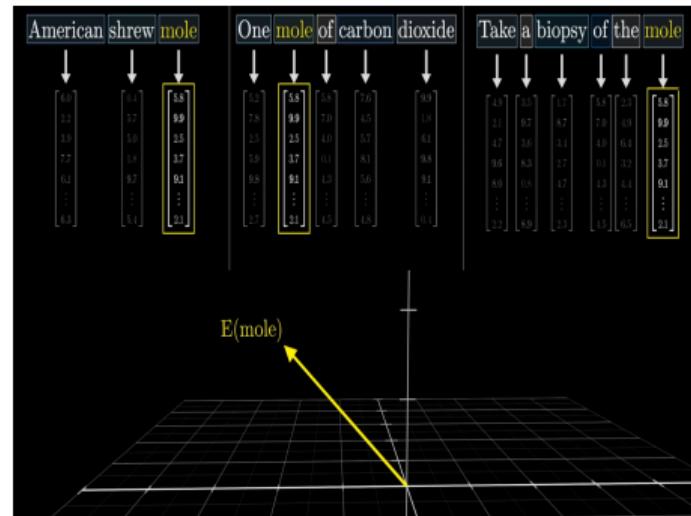
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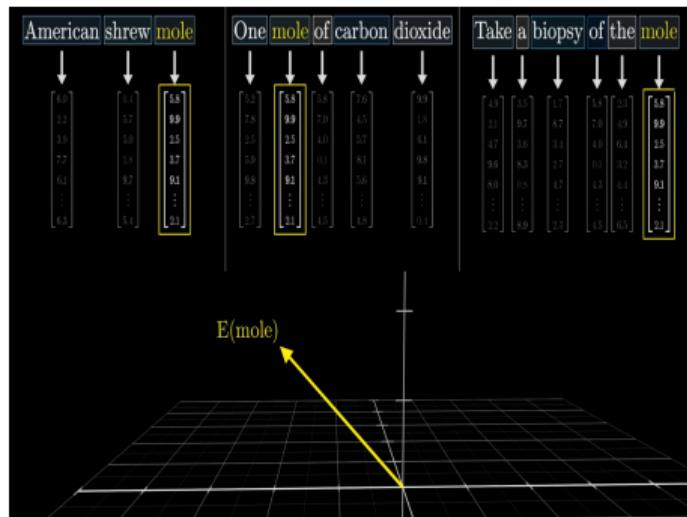
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$$\begin{aligned} \blacktriangleright \cos(\Theta) &= \cos(\vec{u}, \vec{v}) = \frac{\vec{u} \cdot \vec{v}}{\|\vec{u}\| \|\vec{v}\|} = \frac{\sum_{i=1}^N u_i v_i}{\sqrt{\sum_{i=1}^N u_i^2} \sqrt{\sum_{i=1}^N v_i^2}} \\ \blacktriangleright \cos(\Theta) &= \cos(\vec{u}, \vec{v}) = 1 \rightarrow \text{same direction!} \\ \blacktriangleright \cos(\Theta) &= \cos(\vec{u}, \vec{v}) = 0 \rightarrow \text{orthogonal!} \\ \blacktriangleright \cos(\Theta) &= \cos(\vec{u}, \vec{v}) = -1 \rightarrow \text{opposite direction!} \end{aligned}$$

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- Acoustic signals (audio)  $f(t)$  describe sound waves, which are pressure variations in the air over time  $t$  (time Series), leading to a pressure-time graph, also known as waveform

Source: Image from <https://www.lafilm.edu/blog/the-importance-of-sound/>



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  - ▶ **Analog:** raw and unprocessed waveforms as they appear in reality

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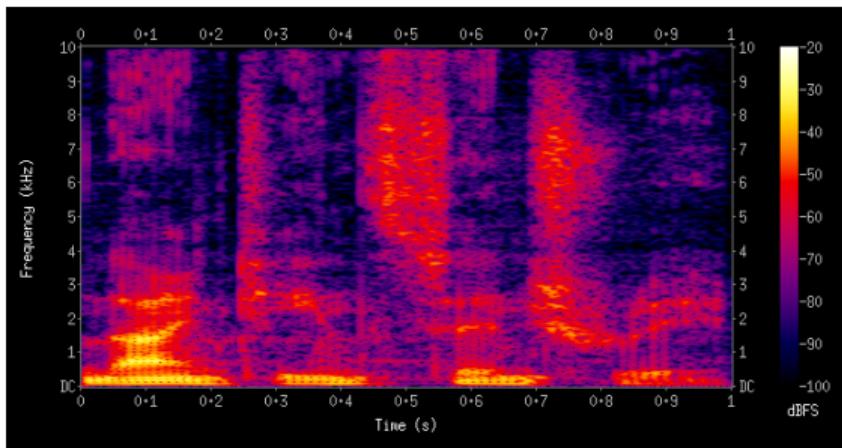


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  - ▶ **Analog:** raw and unprocessed waveforms as they appear in reality
  - ▶ **Digital:** transformed and preprocessed signals for machine interpretation

Source: Image from <https://www.lafilm.edu/blog/the-importance-of-sound/>

# Acoustic Signal Processing

## Audio Signals (Waveform, Spectrogram)



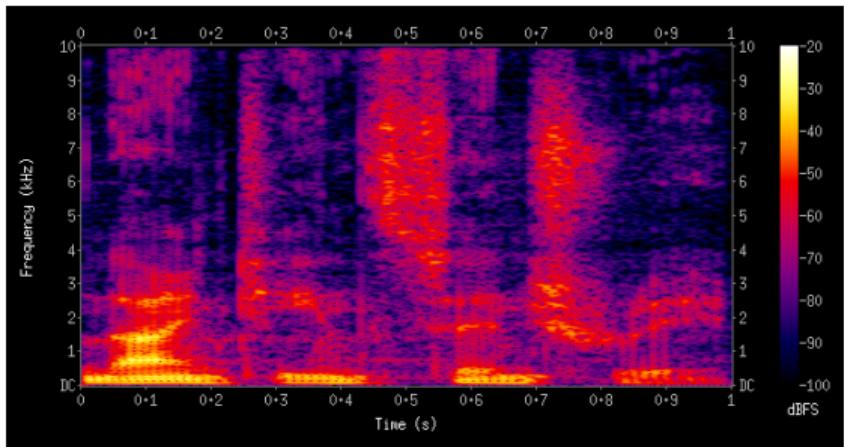
- Acoustic signal in the format of a waveform (time-domain representation of the acoustic signal with the amplitude  $f(t)$  change over time  $t$ )  $\rightarrow 1 \times N$  ( $N$  = sampling points)

Source: <https://www.levelsmusicproduction.com/blog/unleashing-the-power-of-sound-9-characteristics-of-a-sound-wave>

Source: <https://en.wikipedia.org/wiki/Spectrogram>

# Acoustic Signal Processing

## Audio Signals (Waveform, Spectrogram)



- Acoustic signal in the format of a waveform (time-domain representation of the acoustic signal with the amplitude  $f(t)$  change over time  $t$ )  $\rightarrow 1 \times N$  ( $N$  = sampling points)
- Acoustic signal in the format of a spectrogram (time-frequency representation of the acoustic signal with the amplitude/power of the frequency  $f(\omega)$  as coloring)  $\rightarrow T \times F \times 1$  ( $T$  = time,  $F$  = frequency) or complex variant ( $T \times F \times 2$  (Re, Im))

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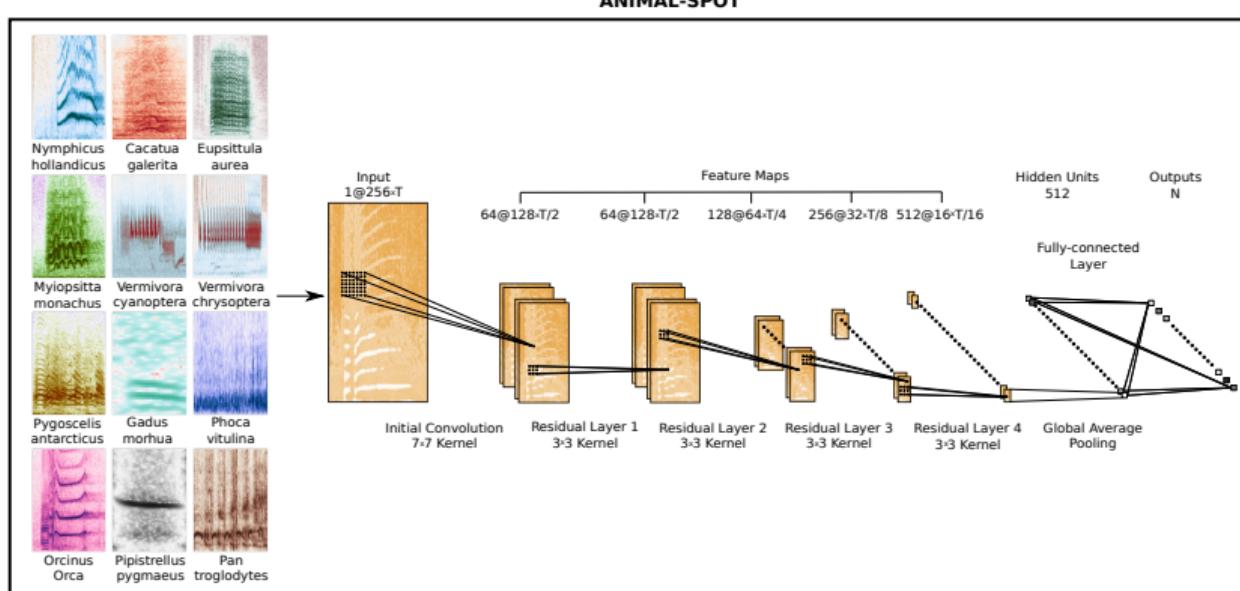
### Automatic Speech Recognition (ASR)



- $P(W|A) = \frac{P(A|W) P(W)}{P(A)}$ , with  $P(A|W)$ =Acoustic Model,  $P(W)$ =Language Model

Source: Image taken from <https://www.iosb.fraunhofer.de/en/competences/image-exploitation/interactive-analysis-diagnosis/explainable-ai.html>

### Audio Signal Classification

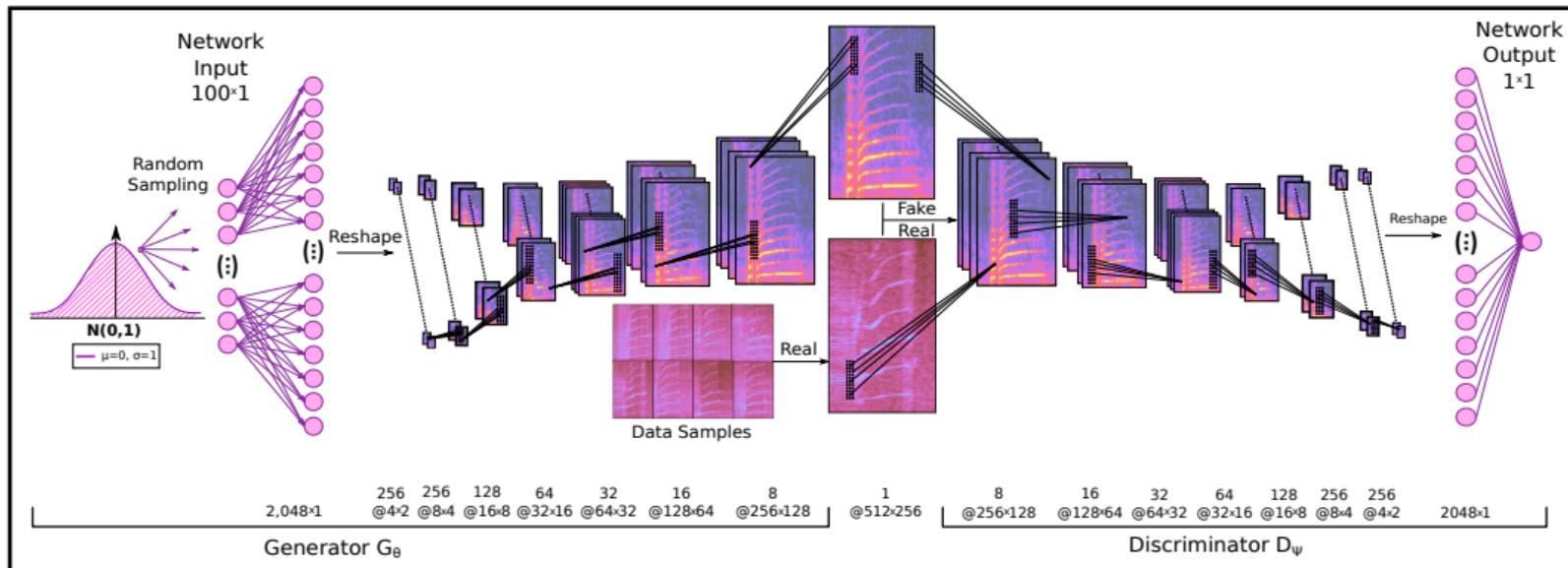


- Acoustic event classification (speaker, emotions, sentiments, vocalization paradigms, pathology, voice activity,...)

Source: Image taken from "Dissertation Christian Bergler"

### Speech Synthesis

ORCA-WHISPER

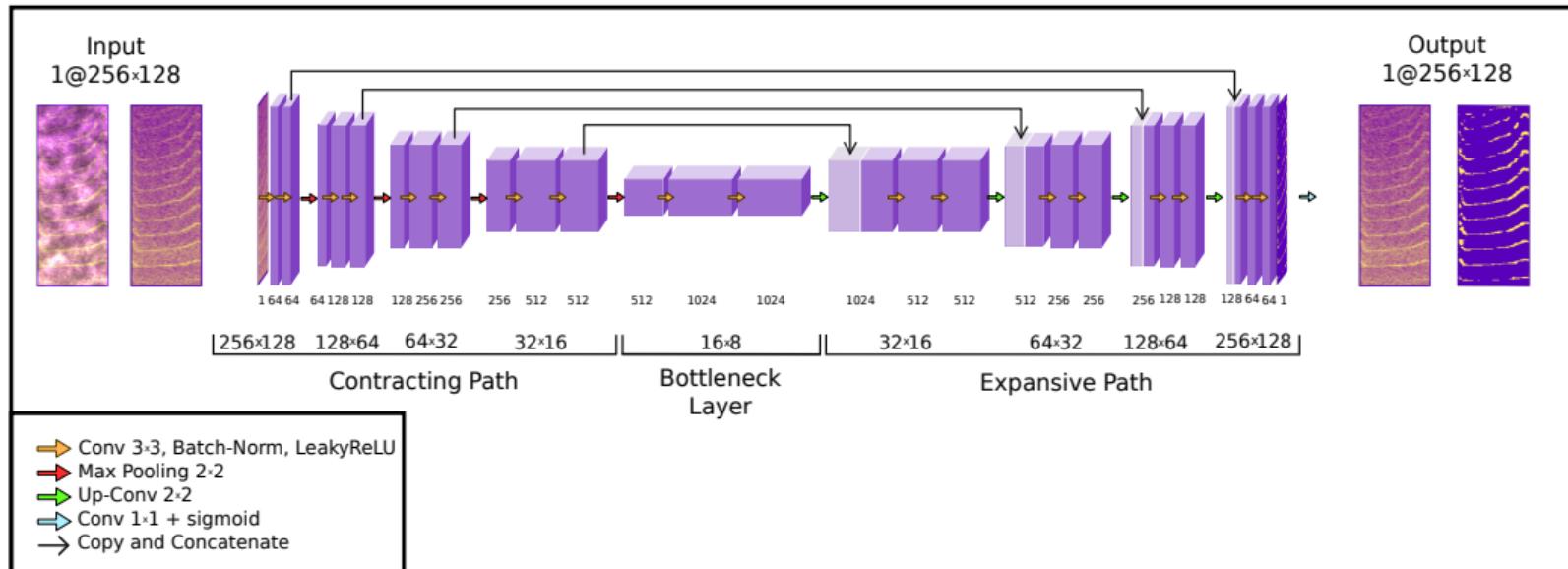


- Text-To-Speech (TTS) synthesis, voice generation (deep fakes), speech translation, ...

Source: Image taken from <https://medium.com/@globalbizoutlook/ai-voice-generators-what-are-they-and-how-do-they-work-60e6c8067e4c>

### Acoustic Enhancement and Noise Reduction

ORCA-CLEAN

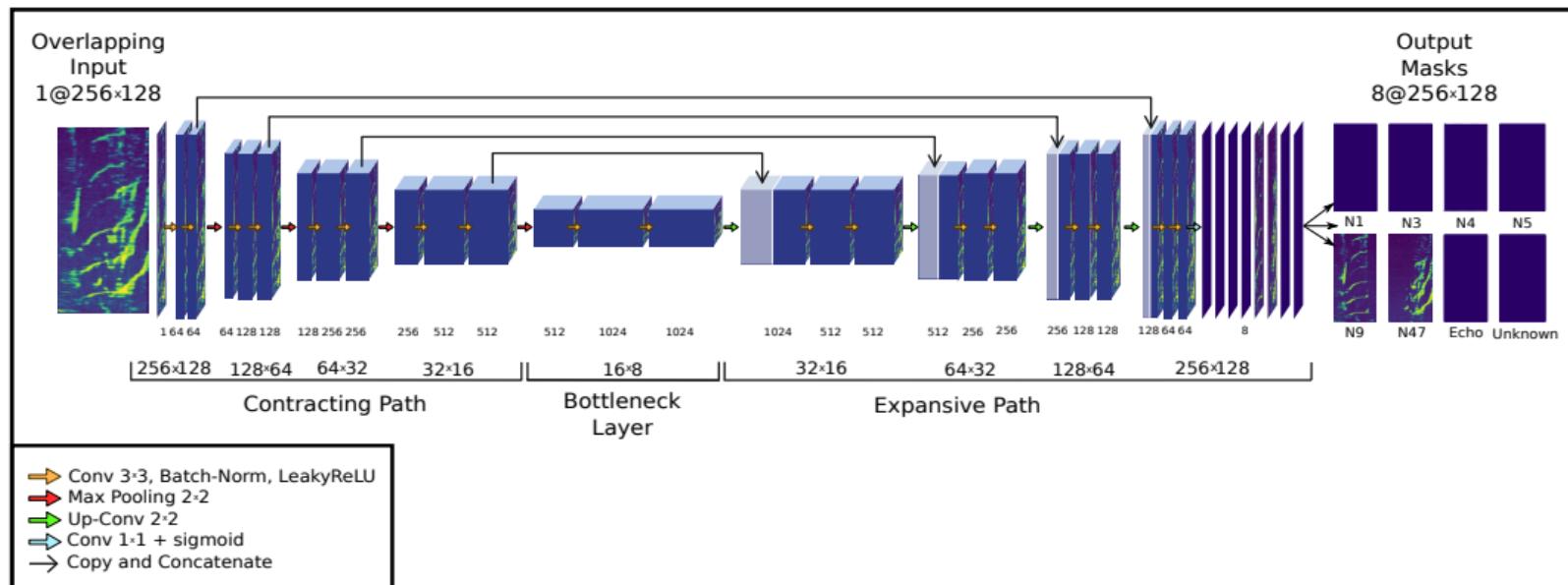


- Denoising (Noise2Noise concept, binary masking, noisy vs. clean)

Source: Image taken from "Dissertation Christian Bergler"

### Sound Source Separation

ORCA-PARTY



- Source separation, speaker separation, patter separation (“Cocktail Party Effect”)

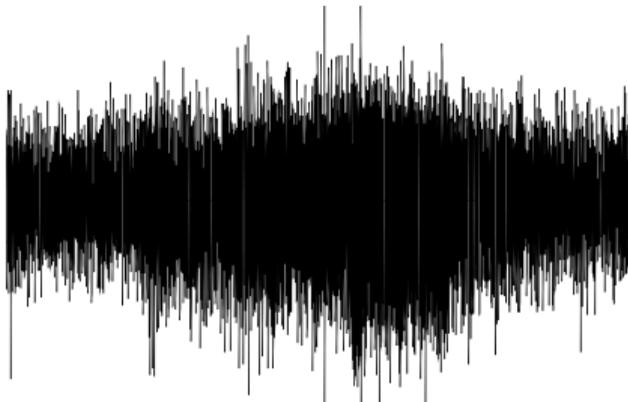
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### Soundwave

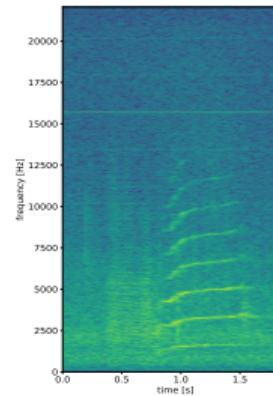


- Pure waveform (amplitude  $f(t)$  over time  $t$ ) is difficult to interpret!
- What are the individual components of an audio signal and how do I find patterns?

- **Goal:** Analysis of analog audio signals (waveform) by investigating the spectral envelope (spectrum) in order to derive the characteristic of various signals (e.g. human speech, animal sounds, etc.)



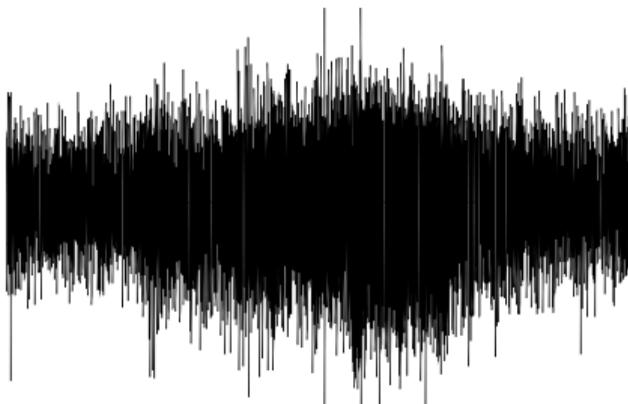
Waveform



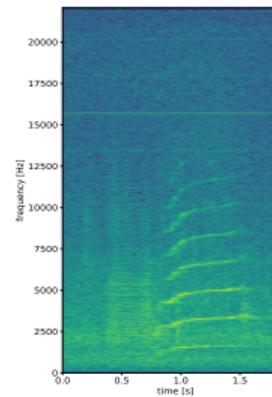
Spectrogram

Source: Image taken from FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

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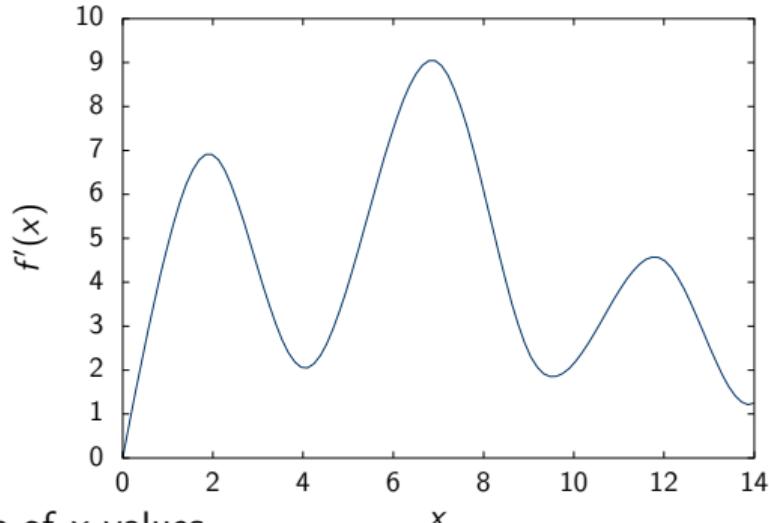
Waveform



Spectrogram

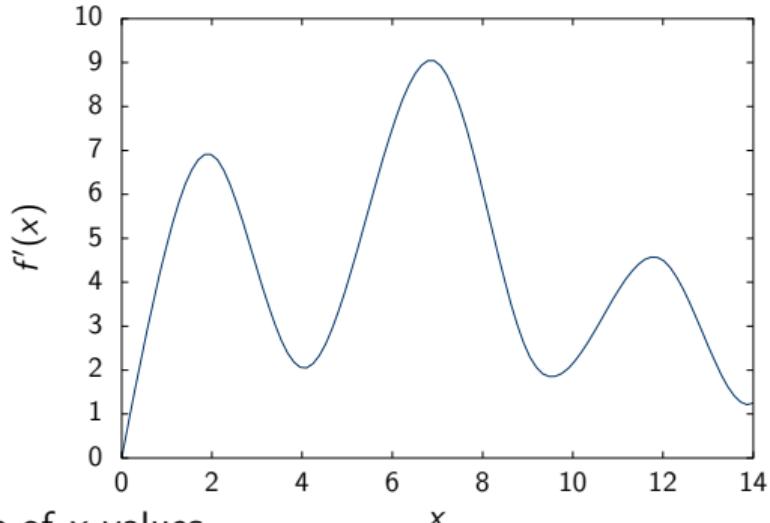
- **Approach:** Sampling and Quantization (Digitization), Short Time Fourier Transform (STFT), Spectrogram (time and spectral visualization)

Source: Image taken from FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)



- **Analog signals:**
  - ▶ Continuous range of  $x$  values
  - ▶ Continuous range of amplitude/function values  $f'(x)$

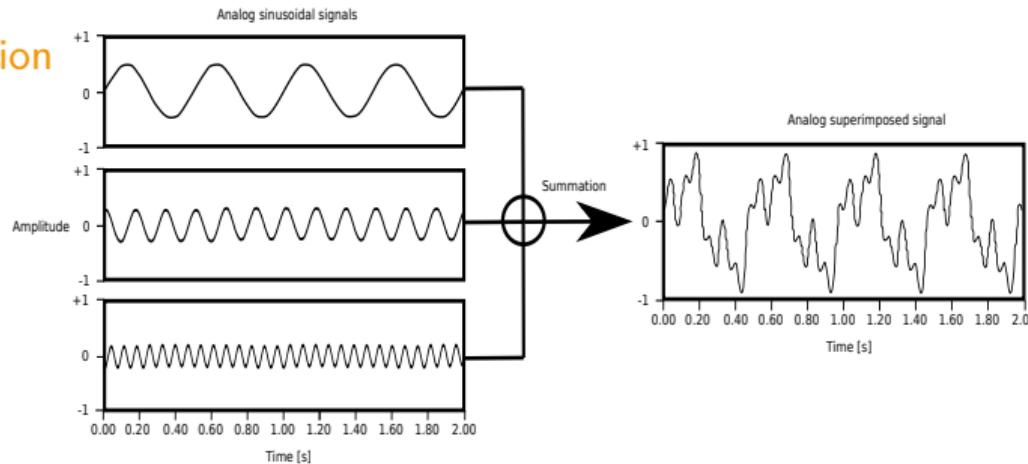
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- **Analog signals:**
  - ▶ Continuous range of  $x$  values
  - ▶ Continuous range of amplitude/function values  $f'(x)$
- **Digital signals:**
  - ▶ Only a finite amount of values can be stored
  - ▶ Finite number of bits (discrete values)

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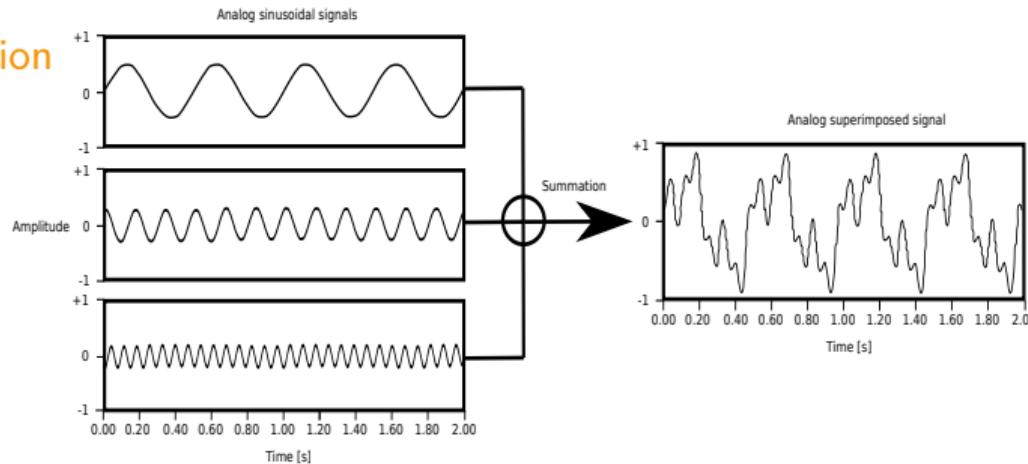
### (Analog) Signal Superposition



- A given signal  $f$  is considered as periodic using a period  $\lambda \in \mathbb{R}_{>0}$  if  $f(t) = f(t + \alpha\lambda)$ , where  $\alpha \in \mathbb{Z}$  for every given  $t \in \mathbb{R}$

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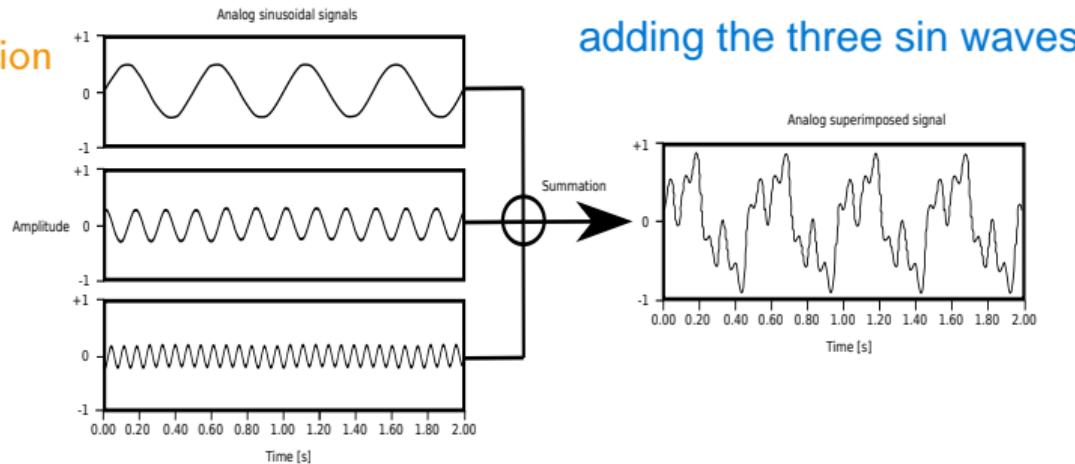
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- $f(t) := A \cdot \sin(2\pi(\omega t - \phi))$ , with  $A$  = Amplitude (loudness),  $\omega$  = frequency (pitch),  $\lambda = \frac{1}{\omega}$  = period (repeating!), and  $\phi$  = phase

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- Superposition:  $(f + g)(t) := f(t) + g(t) \rightarrow$  Still periodic signal!

Source: Image taken from "Dissertation Christian Bergler"

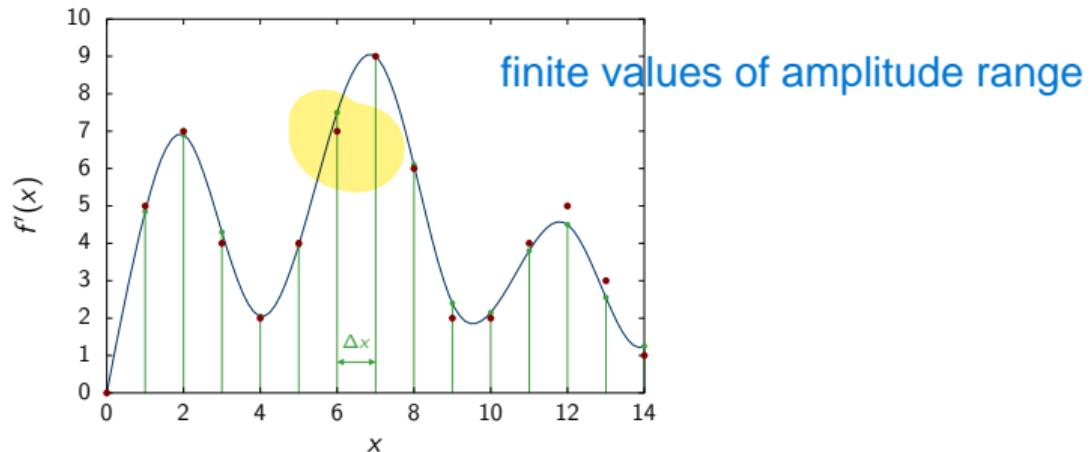
A/D Conversion (Coding) involves:

1. Sampling:

Measuring the amplitude/function values at a **finite** number of positions

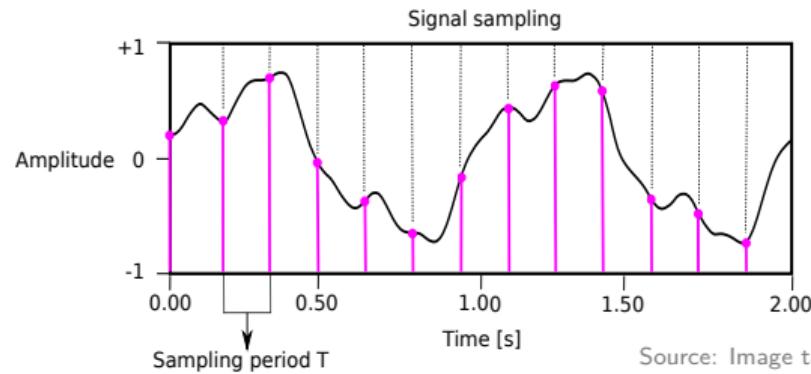
2. Quantization:

Representing the amplitude values by a **finite** number of natural numbers



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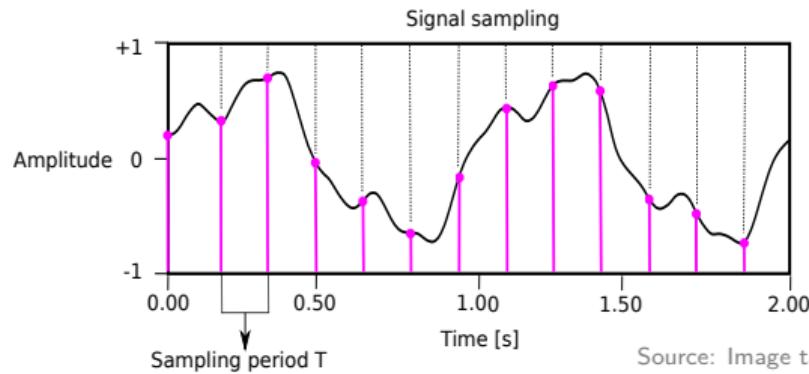
- Transforming a continuous-time signal  $f : \mathbb{R} \rightarrow \mathbb{R}$  to a discrete-time signal  $x : \mathbb{Z} \rightarrow \mathbb{R}$



Source: Image taken from "Dissertation Christian Bergler"

## Analog/Digital (A/D) Conversion – Sampling

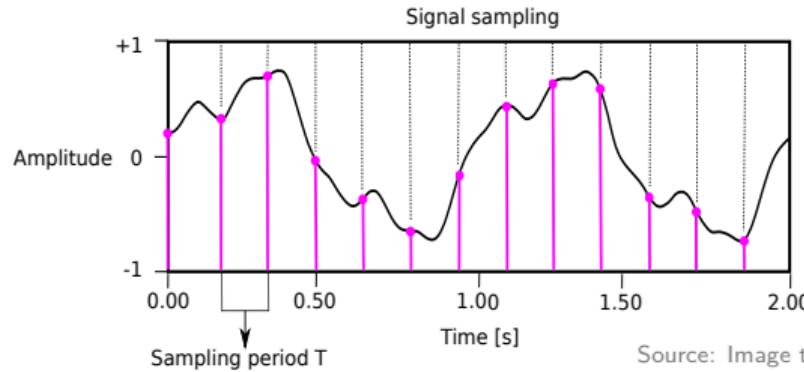
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- Equidistant sampling:  $x(n) := f(n \cdot T) \rightarrow x(n) = \text{sample}$



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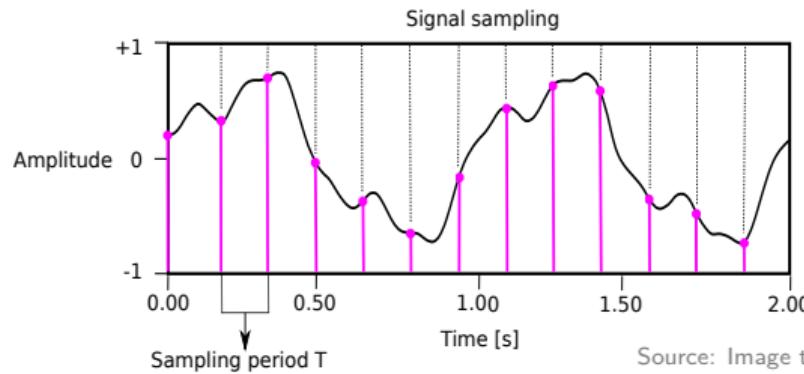
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- Default: lossy conversion  $\rightarrow$  discrete  $x(n)$  to reconstruct continuous  $f(t)$  (**Aliasing!**)



Source: Image taken from "Dissertation Christian Bergler"

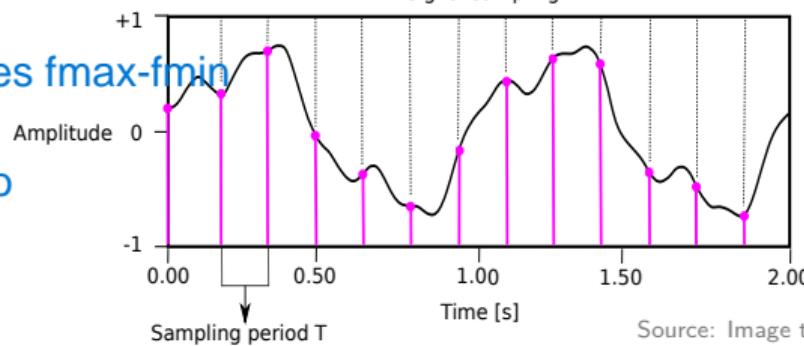
## Analog/Digital (A/D) Conversion – Sampling

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- Equidistant sampling:  $x(n) := f(n \cdot T) \rightarrow x(n) = \text{sample}$     n replaces time
- Sampling period  $T$ , with  $F_s = 1/T$  as the sampling rate
- Default: lossy conversion  $\rightarrow$  discrete  $x(n)$  to reconstruct continuous  $f(t)$  (**Aliasing!**)
- Solution: **Nyquist-Shannon Sampling Theorem**, facilitates a perfect and lossless signal reconstruction, using:  $F_s > 2 \cdot (f_{\max} - f_{\min})$  (**Nyquist-Frequency  $\Omega = \frac{F_s}{2}$** )

sampling rate in 2 times  $f_{\max}-f_{\min}$

usually  $f_{\min}$  is zero

Signal sampling



Source: Image taken from "Dissertation Christian Bergler"

## Analog/Digital (A/D) Conversion – Nyquist-Shannon Sampling Theorem

- Let  $f(x)$  be a **band-limited** function in the frequency range  $[-B_x, B_x]$ .
- Then  $f(x)$  is determined completely by the samples

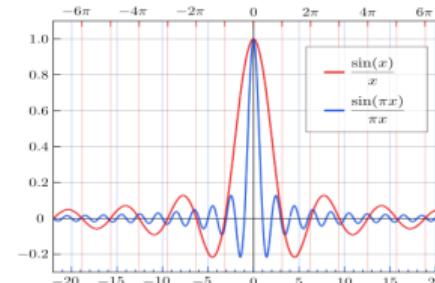
$$f_k = f(k \cdot \Delta x), \quad k = 0, \pm 1, \pm 2, \dots$$

**if** the following constraint holds for the sampling interval  $\Delta x$ :

$$\Delta x \leq \frac{1}{2B_x} = \frac{1}{f_{sample}}, \text{ with: } f_{sample} > 2 \cdot B_x$$

- The original signal  $f(x)$  can be reconstructed precisely using the following interpolation:

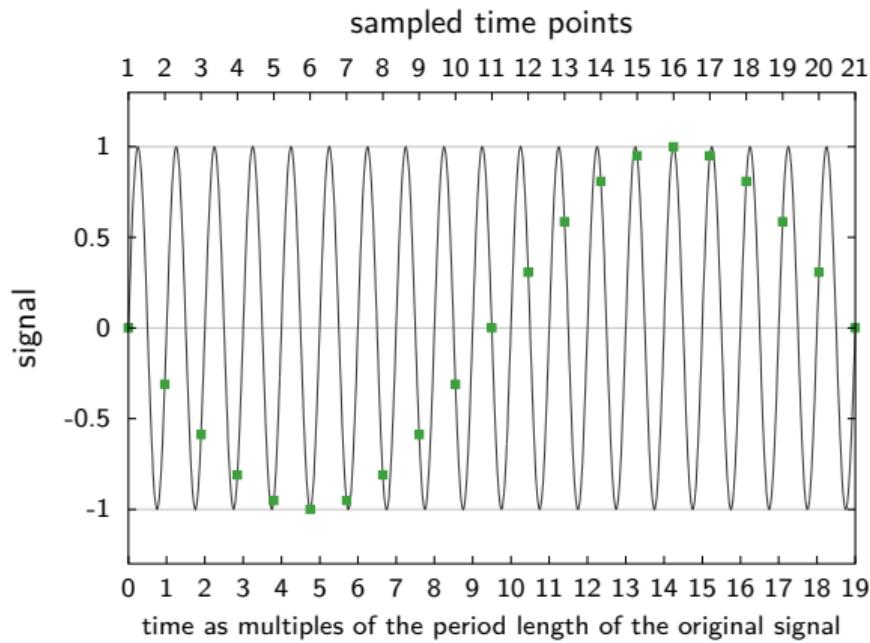
$$f(x) = \sum_{k=-\infty}^{\infty} f_k \cdot \text{sinc}(2\pi B_x(x - k\Delta x))$$



Source: Image taken from [https://en.wikipedia.org/wiki/Sinc\\_function](https://en.wikipedia.org/wiki/Sinc_function), FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

## Analog/Digital (A/D) Conversion – Nyquist-Shannon Sampling Theorem

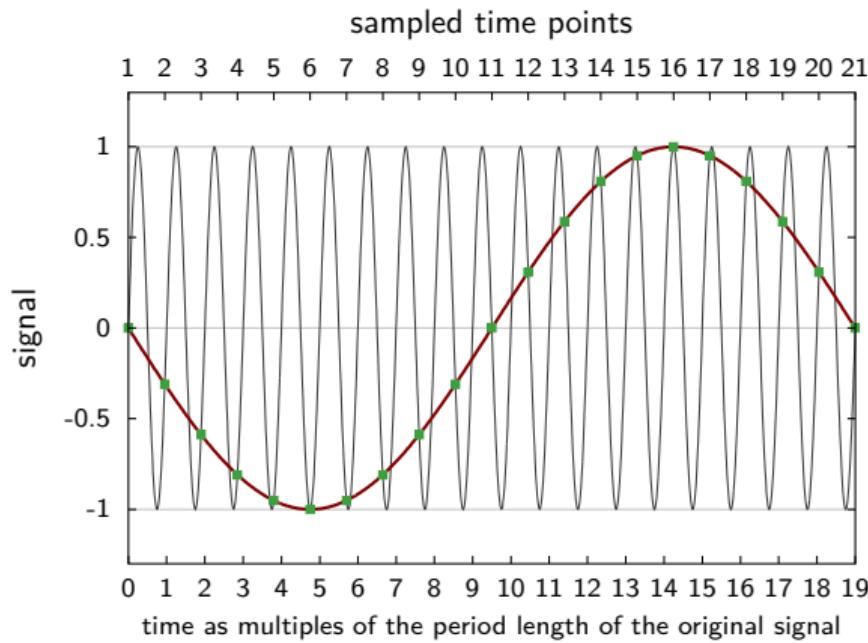
### Impact of the Sampling Theorem – Undersampling (Aliasing!)



Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

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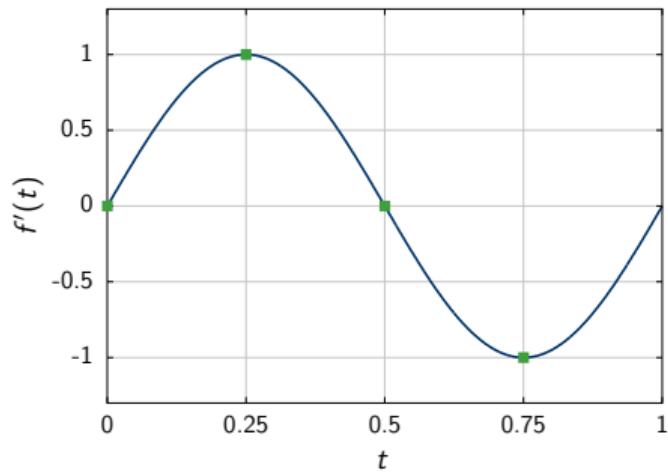


Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

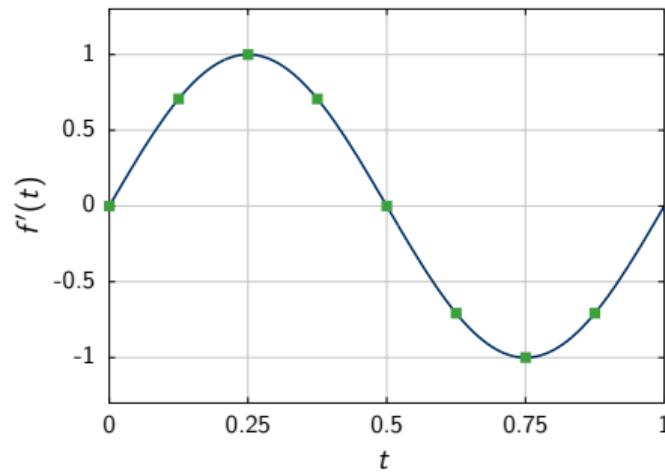
## Analog/Digital (A/D) Conversion – Nyquist-Shannon Sampling Theorem

### Impact of the Sampling Theorem – Oversampling

- Avoids aliasing, improves resolution, reduces noise
- Higher sampling rates lead to larger amounts of data!



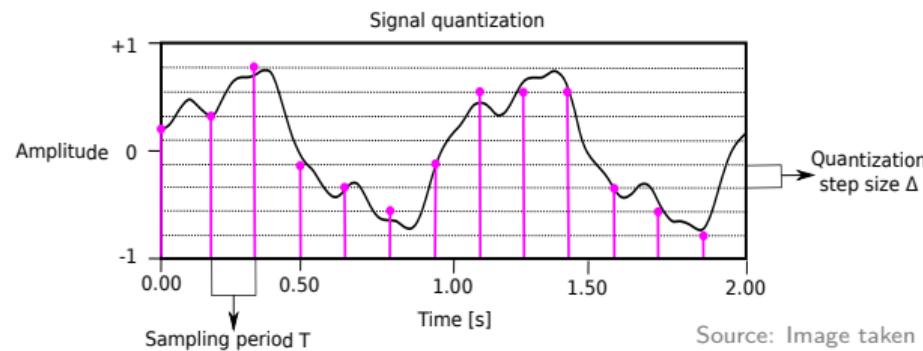
(a)  $f_s = 4 \cdot f_{\max}$



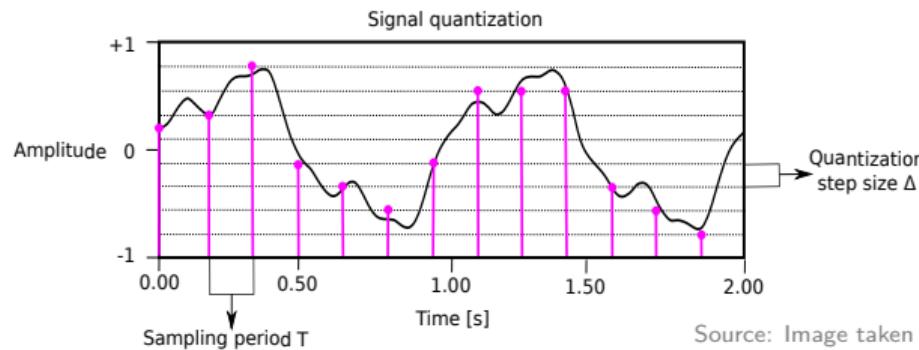
(b)  $f_s = 8 \cdot f_{\max}$

Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

- Sampling is the discretization in time ( $f : \mathbb{R} \rightarrow \mathbb{R}$  to  $x : \mathbb{Z} \rightarrow \mathbb{R}$ )

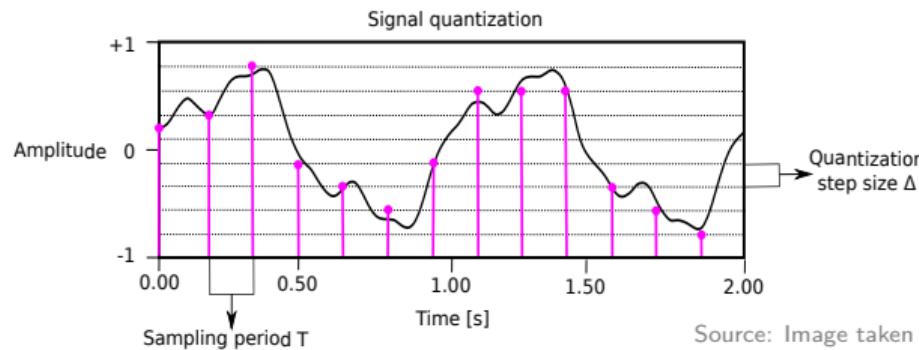


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- Quantization is the discretization process of the continuous amplitude values  $a \in \mathbb{R}$  converted via  $Q: \mathbb{R} \rightarrow \Gamma$ , with the discrete set  $\Gamma \subset \mathbb{R}$



## Analog/Digital (A/D) Conversion – Quantization

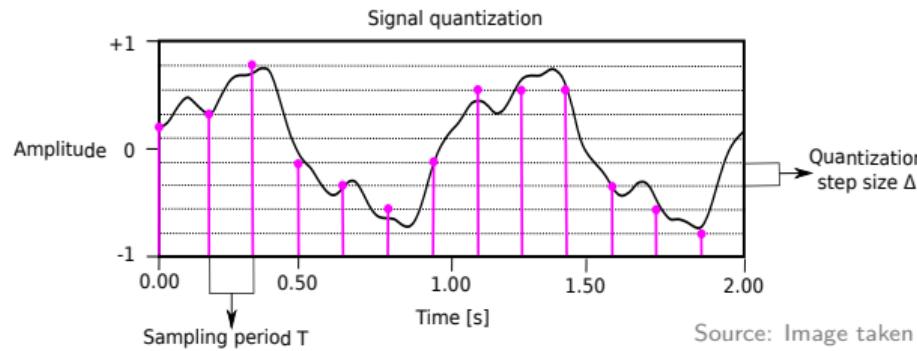
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- $Q(a) \in \Gamma$ , with  $Q(a) := sgn(a) \cdot \Delta \cdot \lfloor \frac{|a|}{\Delta} + \frac{1}{2} \rfloor$ , with  $a \in \mathbb{R}$ , quantization step-size  $\Delta$ ,  $sgn(\cdot)$  as the signum function,  $\lfloor \cdot \rfloor$  as real number truncation



Source: Image taken from "Dissertation Christian Bergler"

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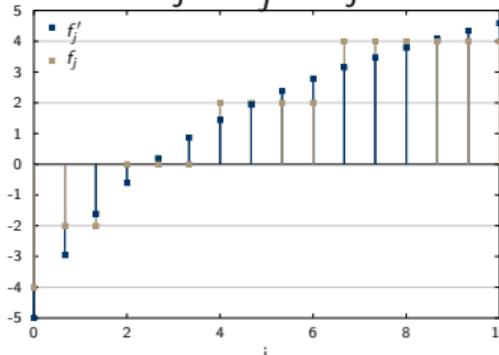
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- Lossy operation: different amplitudes  $a \in \mathbb{R}$  are mapped to the same discrete value  $Q(a)$ , known as **Quantization Error!**



Source: Image taken from "Dissertation Christian Bergler"

- Quantization error  $n_j$  between real value  $f'_j$  and discretized value  $f_j$ :

$$n_j = f'_j - f_j$$

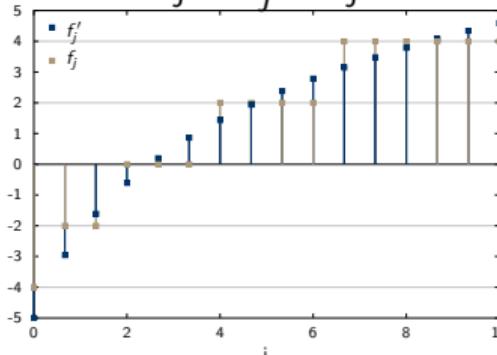


- Smaller quantization steps  $\Delta$  lead to an increase in resolution, less errors, and significantly higher number of required bits for encoding

Source: Image taken from FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

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- Smaller quantization steps  $\Delta$  lead to an increase in resolution, less errors, and significantly higher number of required bits for encoding
- Usually impossible to reconstruct the original analog waveform, however, fulfilling the Nyquist-Shannon Theorem with adequate sampling rates, together with a sufficiently high quantization resolution, reconstructs the original perceptually free!

Source: Image taken from FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

## Analog/Digital (A/D) Conversion – Discrete Fourier Transform

- The Fourier analysis can be considered as the inverse process of decomposing an analog and periodic (stationary) audio signal  $f(t)$  into its weighted components of superimposed elementary and periodic sinusoidal functions:  $f(t) \rightarrow DFT \rightarrow \hat{f}(\omega)$

Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein) & "Dissertation Christian Bergler"

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$$\hat{f}(\omega) = \int_{t \in \mathbb{R}} f(t) e^{-2\pi i \omega t} dt = \int_{t \in \mathbb{R}} f(t) \cos(-2\pi \omega t) dt + i \int_{t \in \mathbb{R}} f(t) \sin(-2\pi \omega t) dt$$

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$$X[k] \stackrel{\text{def}}{=} \sum_{n=0}^{N-1} x[n] \cdot e^{-\frac{2\pi i n k}{N}} = \sum_{n=0}^{N-1} x[n] \cdot W_N^{nk}, \text{ with } W_N = e^{-\frac{2\pi i}{N}}$$

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with  $X[k] \in \mathbb{C}$  (Re/Im),  $n$  = sample index,  $K \in \mathbb{N}$  = fixed number of frequency bins,  $k \in \mathbb{N}$  = frequency index, typical  $K = N$ , frequency resolution  $\omega = \frac{k}{N-K}$

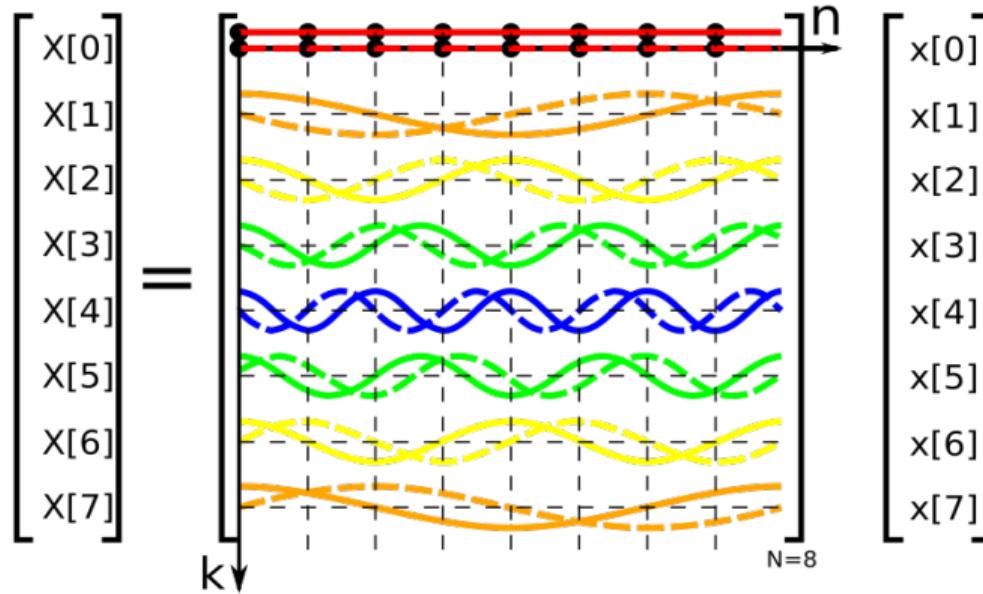
Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein) & "Dissertation Christian Bergler"

### DFT – Matrix-Vector Product

$$X[k] \stackrel{\text{def}}{=} \sum_{n=0}^{N-1} x[n] \cdot e^{-\frac{2\pi i n k}{N}} = \sum_{n=0}^{N-1} x[n] \cdot W_N^{nk}, \text{ with } W_N = e^{-\frac{2\pi i}{N}}$$

$$\begin{bmatrix} X[0] \\ X[1] \\ X[2] \\ X[3] \\ \vdots \\ X[N-1] \end{bmatrix}_{N \times 1} = \begin{bmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & W_N^1 & W_N^2 & W_N^3 & \dots & W_N^{N-1} \\ 1 & W_N^2 & W_N^4 & W_N^6 & \dots & W_N^{2(N-1)} \\ 1 & W_N^3 & W_N^6 & W_N^9 & \dots & W_N^{3(N-1)} \\ \vdots & \vdots & & & & \\ 1 & W_N^{(N-1)} & W_N^{2(N-1)} & W_N^{3(N-1)} & \dots & W_N^{(N-1)(N-1)} \end{bmatrix}_{N \times N} \begin{bmatrix} x[0] \\ x[1] \\ x[2] \\ x[3] \\ \vdots \\ x[N-1] \end{bmatrix}_{N \times 1}$$

Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein) & "Dissertation Christian Bergler"



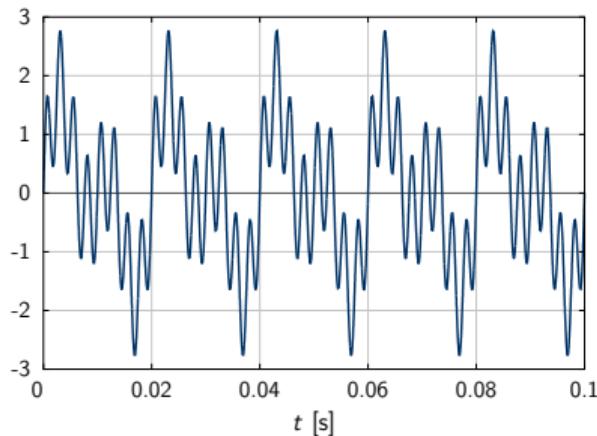
- Each row refers to a particular frequency component  $W_N^{nk} = e^{-\frac{2\pi i nk}{N}} = e^{-2\pi i \omega_n k}$
- Diagonal symmetric due to complex conjugate elements (negative frequencies), which are discarded, so only the first  $\lfloor N/2 + 1 \rfloor$  frequency bins are required

Source: Image taken from [https://en.wikipedia.org/wiki/DFT\\_matrix](https://en.wikipedia.org/wiki/DFT_matrix)

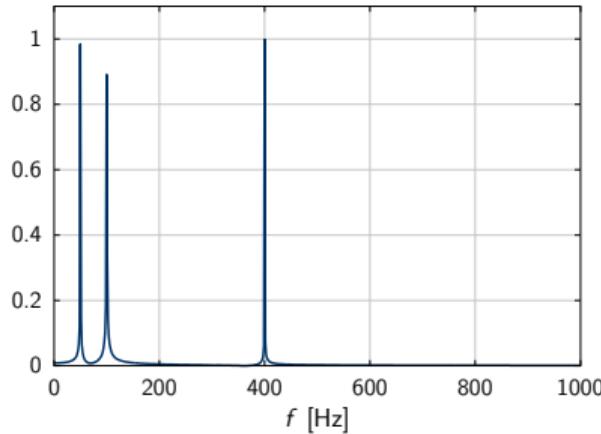
## Analog/Digital (A/D) Conversion – Discrete Fourier Transform

### DFT – Periodic Signal

- Example: Summation of three different sinusoidal function frequencies
- $f_1 = 50 \text{ Hz}, f_2 = 100 \text{ Hz}, f_3 = 400 \text{ Hz}$
- $f(t) = \sin(2\pi \cdot 50 \cdot t) + \sin(2\pi \cdot 100 \cdot t) + \sin(2\pi \cdot 400 \cdot t)$



(a) waveform

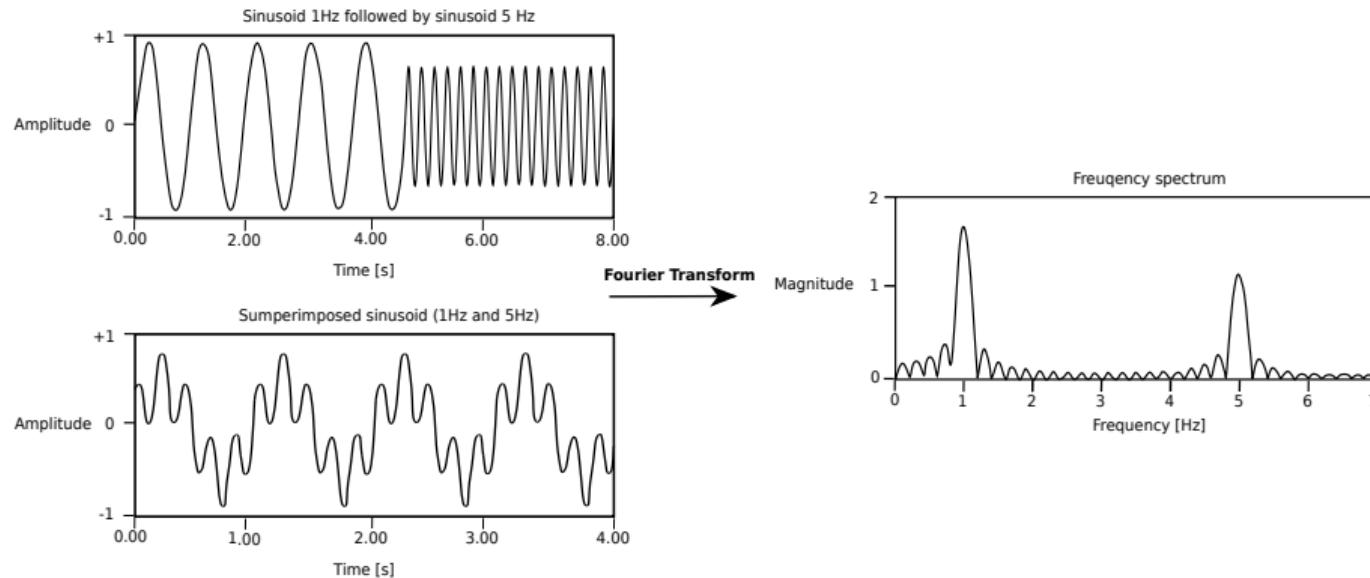


(b) Fourier spectrum (amplitudes)

Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

## Analog/Digital (A/D) Conversion – DFT – Periodic VS. Non-Periodic Signal

### DFT – Non-Periodic Signal



- Same DFT-output for both input signals ( $\rightarrow$  DFT loses information about the temporal occurrence of a certain frequency)  $\rightarrow$  How to handle real-world signals???

Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein) & "Dissertation Christian Bergler"

## Analog/Digital (A/D) Conversion – DFT – Periodic VS. Non-Periodic Signal

- DFT is only meaningful/reasonable for periodic (stationary) signals

Source: "Dissertation Christian Bergler"

## Analog/Digital (A/D) Conversion – DFT – Periodic VS. Non-Periodic Signal

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- Consider very short time segments (windows) and step-by-step compute DFT

Source: "Dissertation Christian Bergler"

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- Algorithmen: **Short-Time Fourier Transform (STFT)**

Source: "Dissertation Christian Bergler"

### The STFT Algorithm

1. Extraction of a short-time audio excerpt from the original input signal according to the chosen FFT window-size  $N$

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$$w(n) := \begin{cases} \epsilon - (1 - \epsilon) \cdot \cos\left(\frac{2\pi n}{N}\right) & \text{with } 0 \leq n \leq N - 1 \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

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$$\mathcal{X}(m, k) := \sum_{n=0}^{N-1} x(n + mH) w(n) e^{\frac{-2\pi i k n}{N}} \quad (2)$$

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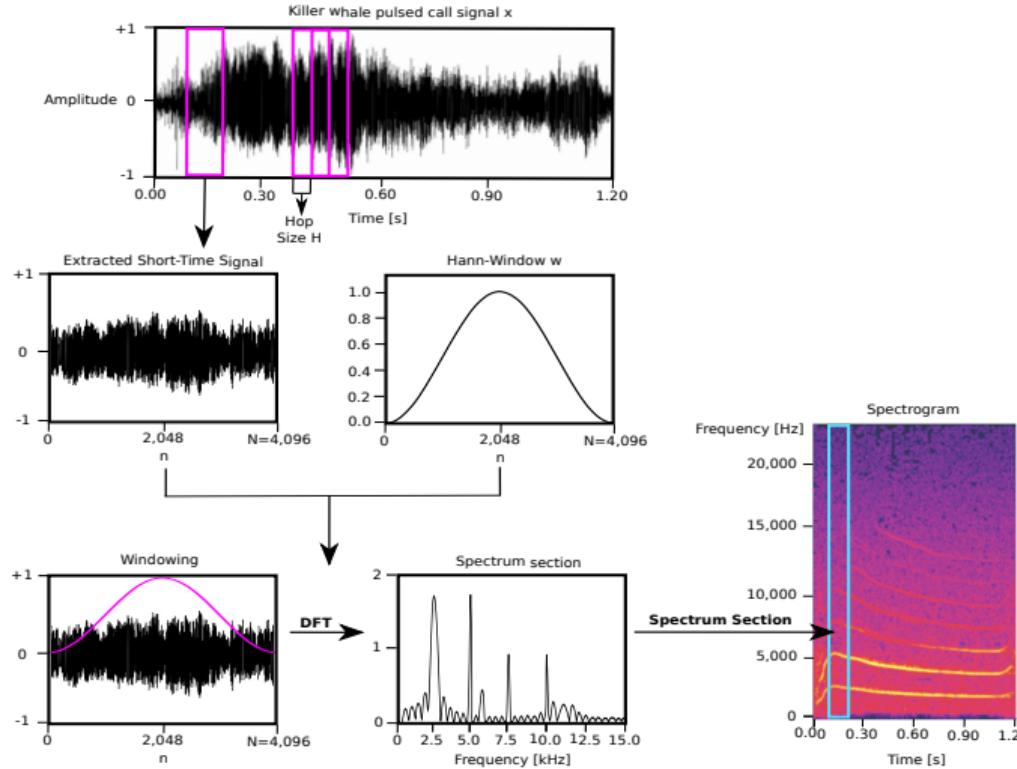
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5. Move the analysis window by the chosen hop-size  $H$  and repeat the entire procedure

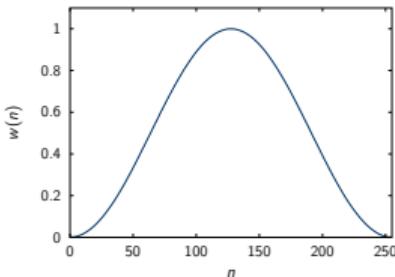
# Acoustic Signal Processing

## Analog/Digital (A/D) Conversion – Short-Time Fourier Transform (STFT)

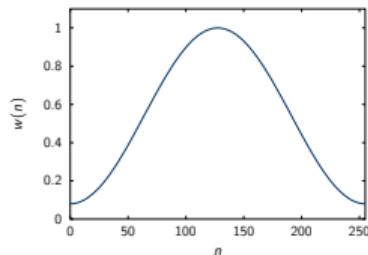


Source: "Dissertation Christian Bergler"

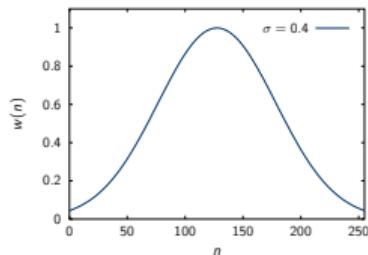
### Window Function $w$



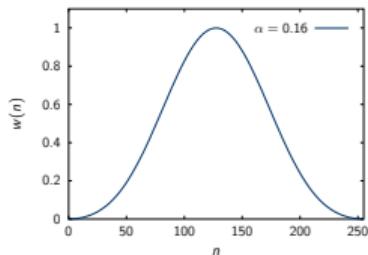
(a) Hann



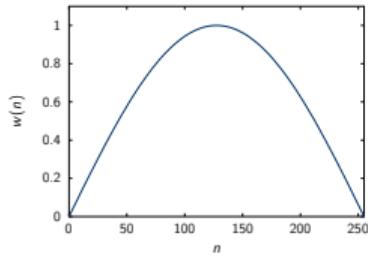
(b) Hamming



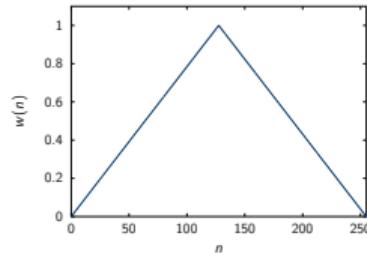
(c) Gauss



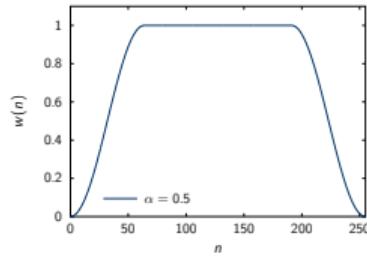
(d) Blackman



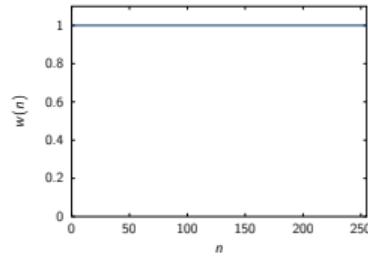
(e) Cosine



(f) Bartlett



(g) Tukey



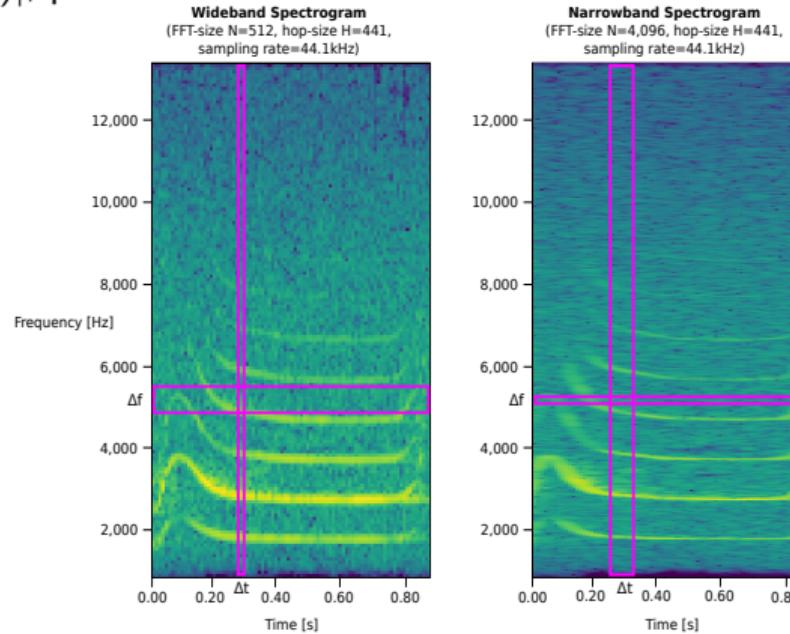
(h) Rectangle

Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein)

# Acoustic Signal Processing

## Analog/Digital (A/D) Conversion – Spectrogram (Narrow vs. Wide)

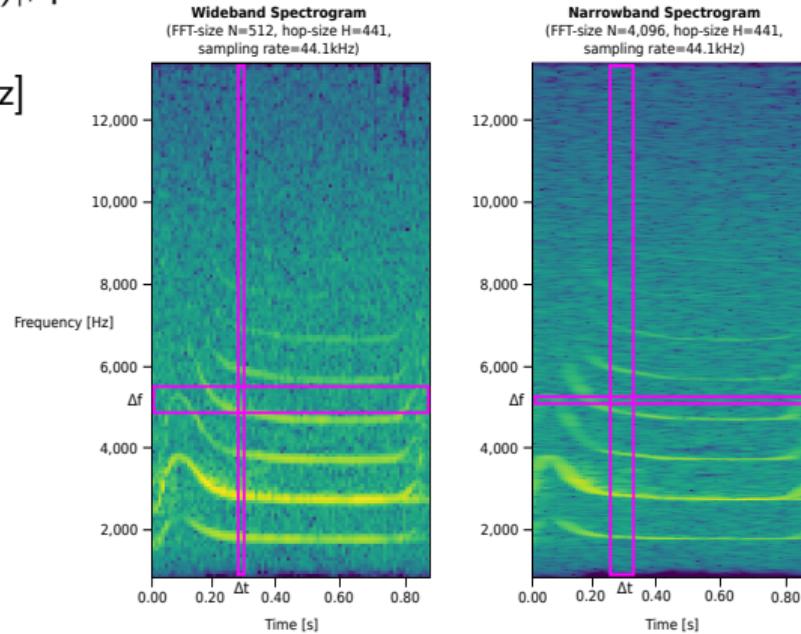
- Spectrogram: complex  $X(k, m)$ , magnitude  $|X(k, m)|$ , power  $|X(k, m)|^2$ , log-scaled, with specific filter-banks



Source: FAU-Lecture Slides “Praktikum Representation Learning” (Bergler, Christlein) & “Dissertation Christian Bergler”

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- Spectrogram: complex  $X(k, m)$ , magnitude  $|X(k, m)|$ , power  $|X(k, m)|^2$ , log-scaled, with specific filter-banks
- Max-Frequency (Nyquist-Shannon):  $f_{max} = f_{sr}/2$  [Hz]

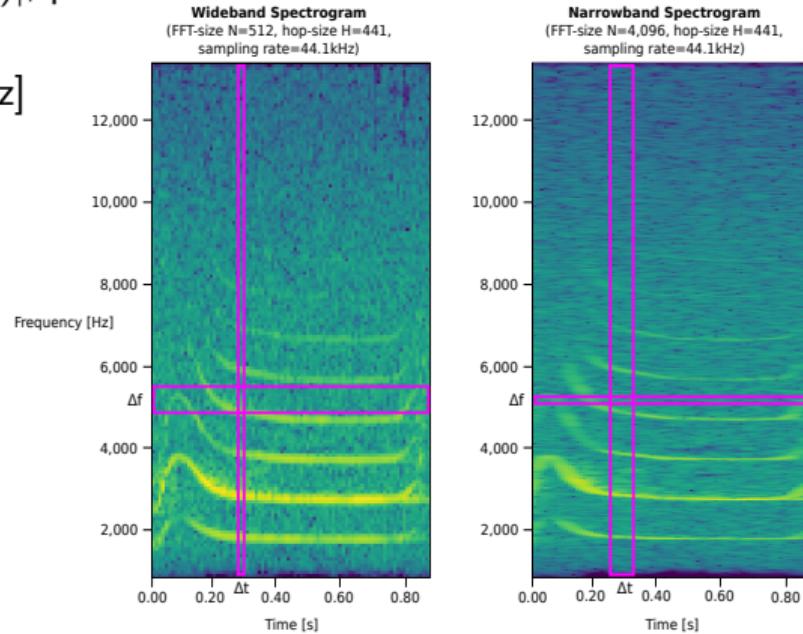


Source: FAU-Lecture Slides “Praktikum Representation Learning” (Bergler, Christlein) & “Dissertation Christian Bergler”

# Acoustic Signal Processing

## Analog/Digital (A/D) Conversion – Spectrogram (Narrow vs. Wide)

- Spectrogram: complex  $X(k, m)$ , magnitude  $|X(k, m)|$ , power  $|X(k, m)|^2$ , log-scaled, with specific filter-banks
- Max-Frequency (Nyquist-Shannon):  $f_{max} = f_{sr}/2$  [Hz]
- Frequency resolution ( $f_{bins}$  = fft-size):  
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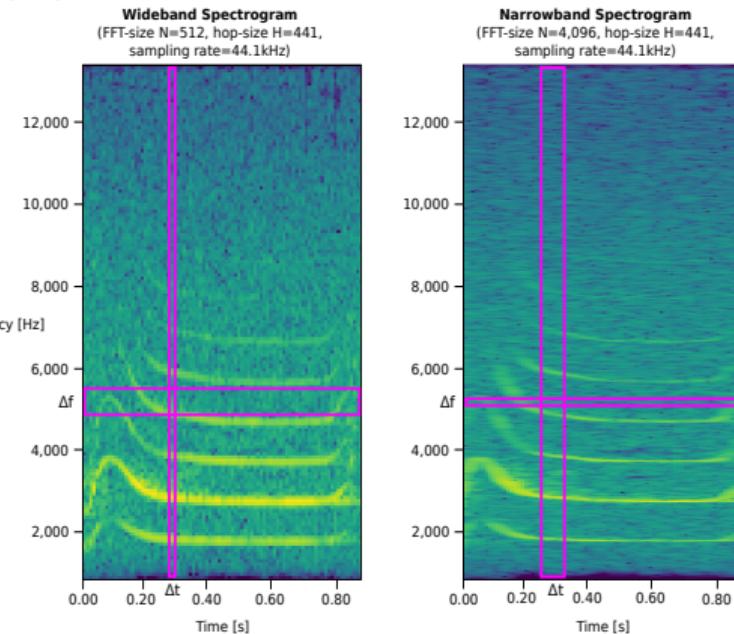


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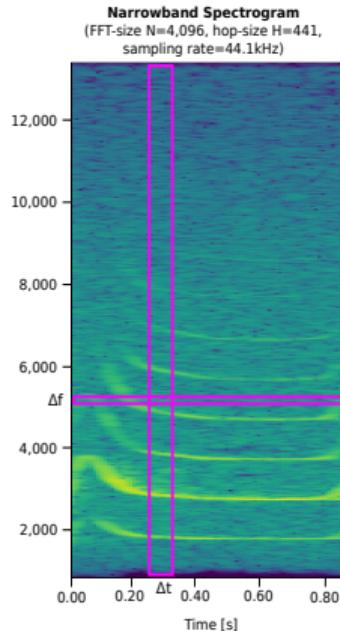
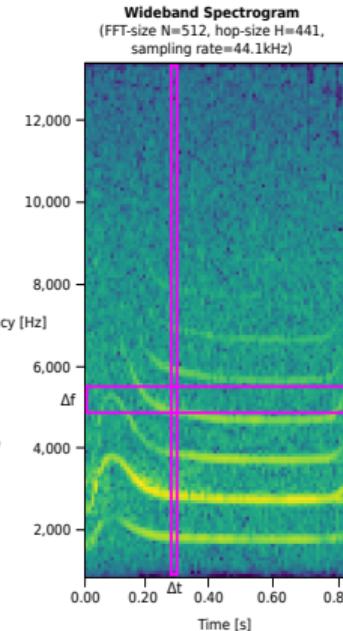
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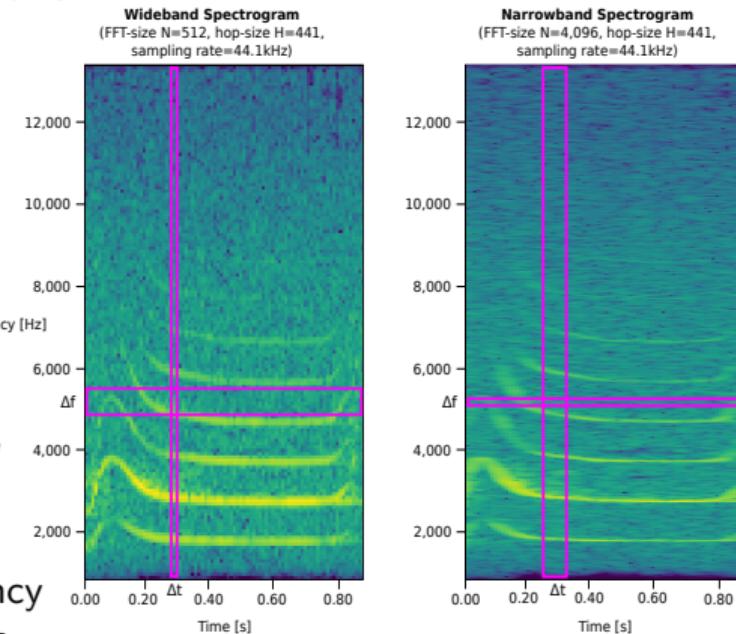
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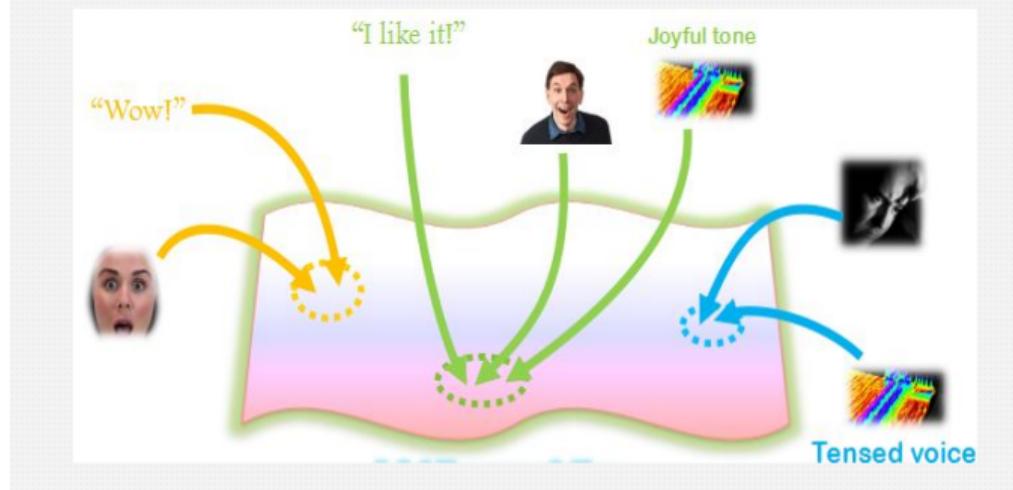
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- **Narrowband:** larger time windows  $N$ , increase in frequency bins, narrows the spectral content represented in one bin, improves frequency resolution deteriorates time resolution



Source: FAU-Lecture Slides "Praktikum Representation Learning" (Bergler, Christlein) & "Dissertation Christian Bergler"

### Multimodal Representation



- **Multimodal Learning** is a learning paradigm in the field of deep learning, using various data modalities, such as text, audio, sensor signals, and images/videos within a single learning concept at the same time!

Source: Image taken from <https://vinija.ai/multimodal/challenges/>

## 5 SENSES



- The core idea of deep learning was to design algorithms trying to mimic the human brain (neurons, layers, deep structures, ...)

Source: Image taken from <https://www.kdnuggets.com/2023/03/multimodal-models-explained.html>

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- Humans have access to five senses – sight, hearing, touch, taste, and smell – not just to collect information, but also to understand and interpret the environment around us
- Making use of diverse information sources at the same time it is possible to derive a better and more complete understanding of the underlying purpose/data/task, unlock new and deeper insights

Source: Image taken from <https://www.kdnuggets.com/2023/03/multimodal-models-explained.html>

# Multimodal Learning

Transfer to Deep Learning!



- The integration of multiple modalities allows a model to leverage complementary information, handle missing data from one source by relying on another, provide more comprehensive insights, and improves model generalization!

Source: Image taken from <https://618media.com/en/blog/the-multimodal-capabilities-of-chatgpt-4/>

# Multimodal Learning

Transfer to Deep Learning!



- The integration of multiple modalities allows a model to leverage complementary information, handle missing data from one source by relying on another, provide more comprehensive insights, and improves model generalization!
- Real-world data and applications are very often multimodal!

Source: Image taken from <https://618media.com/en/blog/the-multimodal-capabilities-of-chatgpt-4/>

### Text-Image VS. Image-Text Retrieval

Query: A hamburger sitting on top of a wooden cutting board.



Query: A train is pulling up to people waiting and a crossing guard getting off his bike.



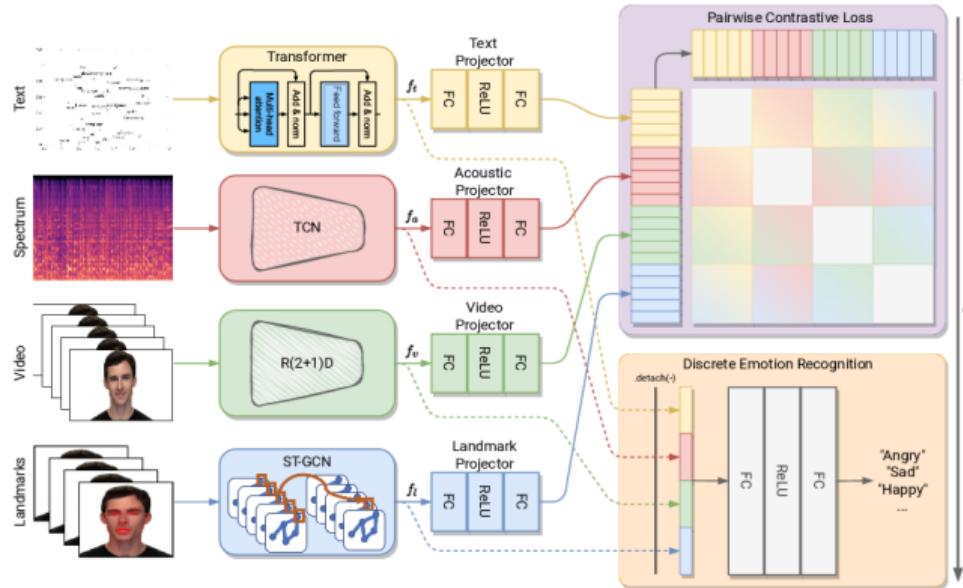
Query: An iphone, pen and soda can on a table.



- For a given image, find related text, or vice versa, which is particularly useful in any kind of search engines

Source: Image from Gao et al. "SoftCLIP: Softer Cross-modal Alignment Makes CLIP Stronger"

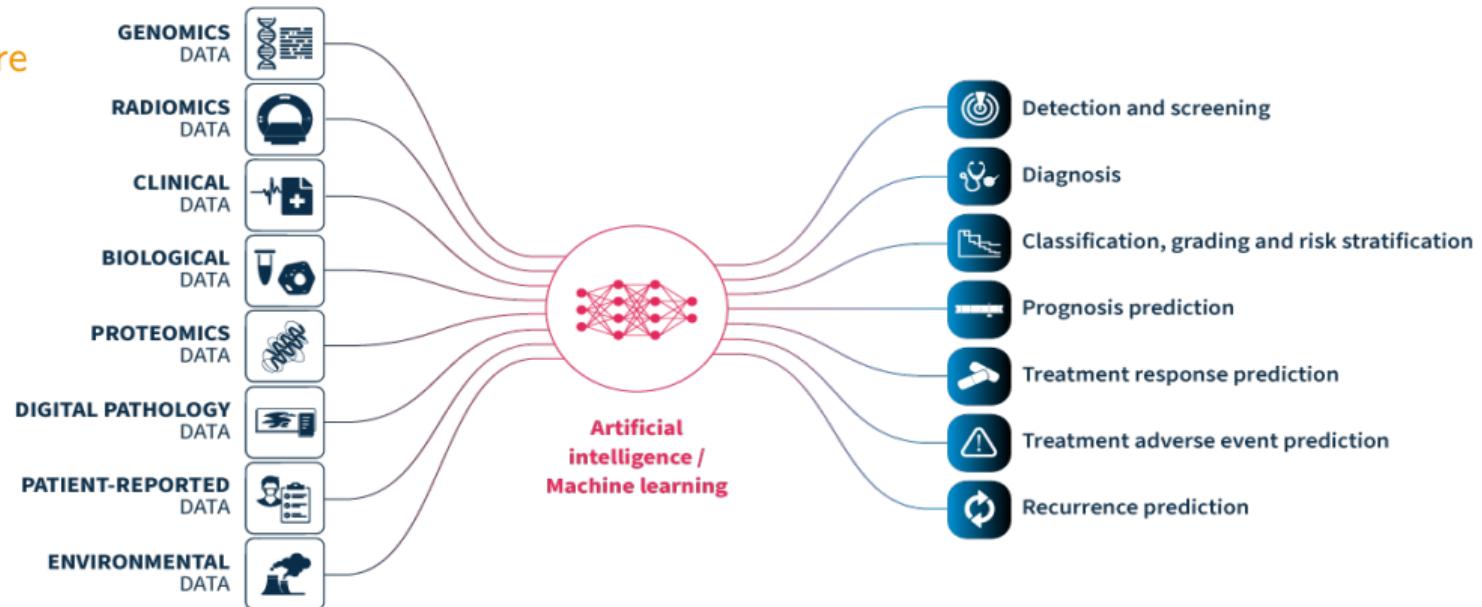
### Emotion Recognition



- Modern systems use video (facial expressions), audio (voice tone), and textual data (spoken words) to specify emotions of a person more accurately
- Further: LipSync and textual models in ASR Systems (Vision + Speech + Text)

Source: Image from Franceschini et al., "Multimodal Emotion Recognition with Modality-Pairwise Unsupervised Contrastive Loss"

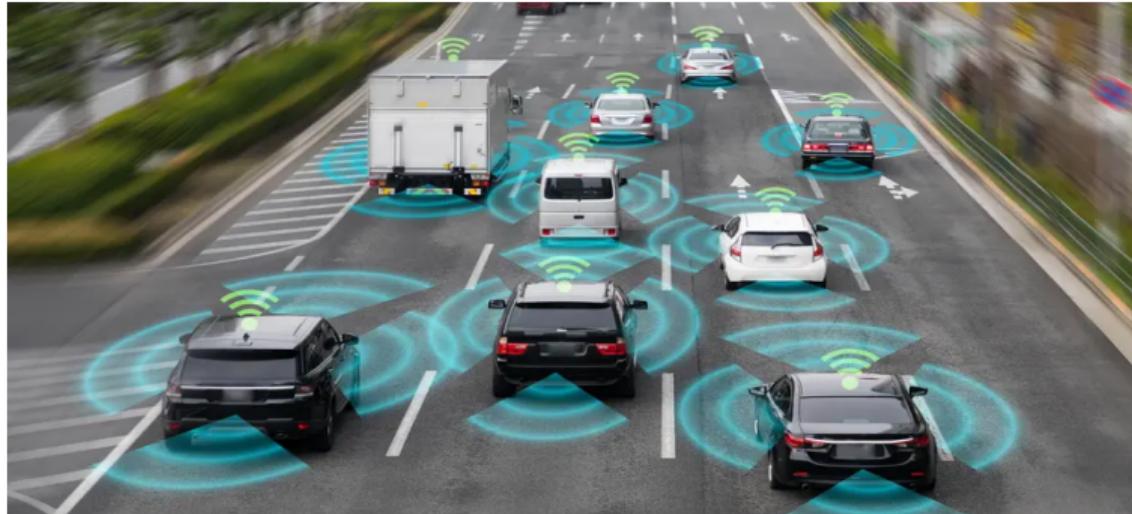
### Healthcare



- Using multimodal data such as clinical & patient data, radiomics, biological data, environmental data, etc., in order to gain much deeper insights to the human biology & medical conditions

Source: Image from <https://www.sophiagenetics.com/science-hub/the-power-of-multimodal-data-driven-medicine/>

### Autonomous Driving



- Multiple sensor data (camera, radar, LIDAR, GPS, ...), together with audio cues (alerts, navigation, status updates, ...), to better understand the environment & to handle the respective traffic situations accordingly

Source: Image from <https://www.topgear.com/car%20news/what-are-sae-levels-autonomous-driving-uk>

### Human-Robot Interaction (Humanoid Systems)



- Intelligent Humanoid Systems: robots can interpret speech, facial expressions, gestures, and body posture to understand and interact with a human being in a very natural way

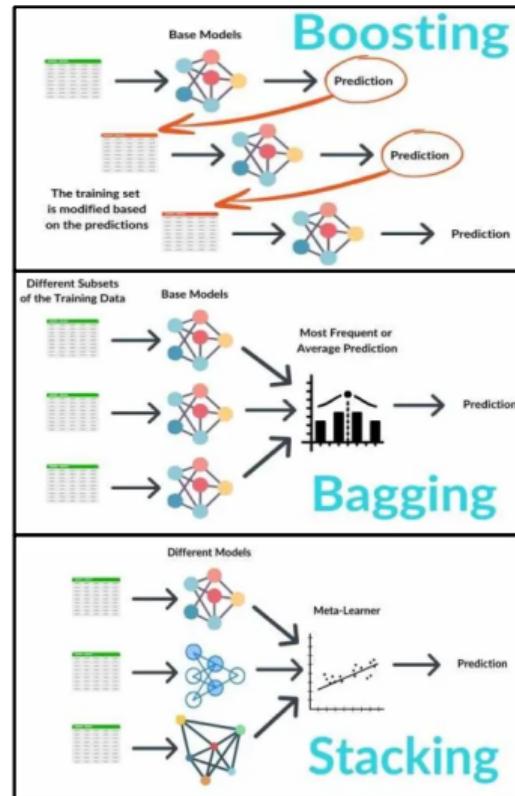
Source: Image from <https://squoraishee.medium.com/multimodal-machine-learning-a-deep-dive-bc4f25f6a63b>

# Multimodal Learning

## Combining Models VS. Multimodal Learning

### Combining Models

- Combination of several individual (basic) models to improve performance & robustness, following the principle “together we are stronger”
- Boosting** – Sequence of models, while each subsequent model corrects the mistakes made by the previous ones (Adaptive Boosting, Gradient Boosting Machines, ...)



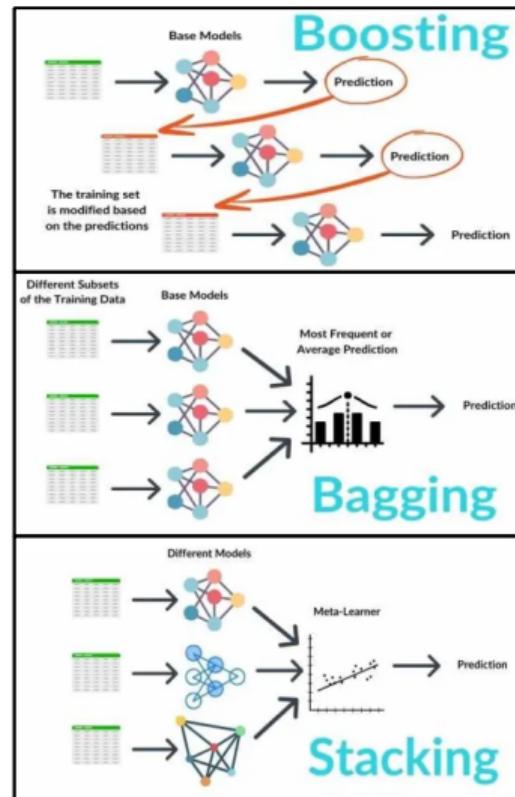
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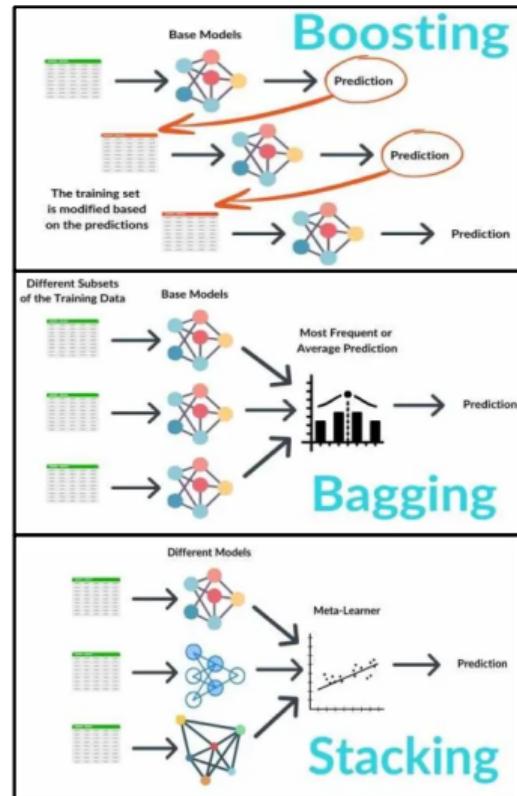
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- Stacking** – Training multiple diverse base models and combine the predictions using another meta-model, weighting the base-model output for final prediction



Source: <https://spotintelligence.com/2024/03/18/bagging-boosting-stacking/>

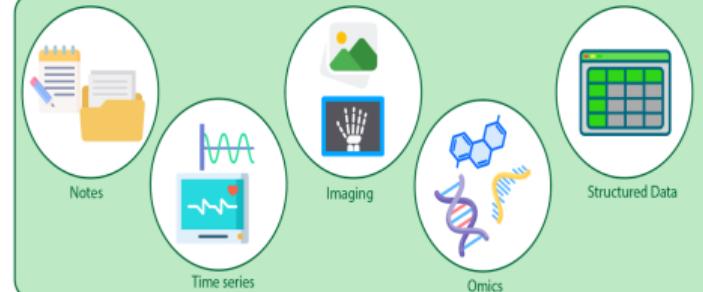
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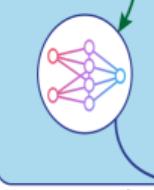
- **Combining models:** trained independently, while the final prediction is made by combining the outputs, especially helpful when individual models have complementary strengths and weaknesses

Disparate Data



Fusion Model

early



Decision/Prediction



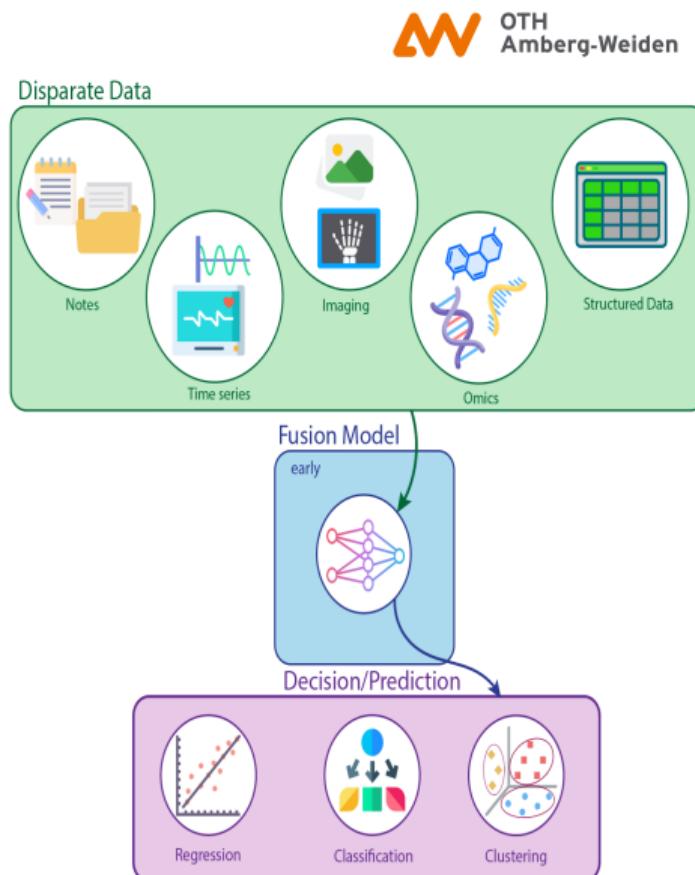
Source: <https://pub.towardsai.net/multimodal-machine-learning-data-fusion-d1d8776e2cb0>

# Multimodal Learning

## Combining Models VS. Multimodal Learning

### Multimodal Learning

- **Combining models:** trained independently, while the final prediction is made by combining the outputs, especially helpful when individual models have complementary strengths and weaknesses
- **Multimodal:** goal is to combine & merge information from various data modalities to improve the performance on a given task
  - ▶ Early Fusion (Feature-Level Fusion)
  - ▶ Late Fusion (Decision-Level Fusion)
  - ▶ Hybrid Fusion (Mixture between Early & Late)
  - ▶ Cross-Modal Learning
  - ▶ Joint Representation Learning



Source: <https://pub.towardsai.net/multimodal-machine-learning-data-fusion-d1d8776e2cb0>

**General:** Fusion-based approaches perform an encoding of different modalities into a common representation space, where individual feature embeddings are fused to create a single modality-invariant feature representation, capturing all the semantic knowledge

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- Pro: Model learns similarities, correlations, interactions between the multimodal features
- Con: High-dimensional feature data → Computational complexity

## Fusion and Learning Principles

---

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### Late Fusion

- Each data modality is processed separately using stand-alone models, while the final predictions are combined at decision level (averaging, voting, weighted combination, ...)
- Pro: data modality-specific models, easier to handle different data characteristics
- Con: do not learn how data modality-specific features interact

### Hybrid Fusion

- Combines concepts of both – early and late fusion approaches – by merging data at intermediate stages
- Separate feature learning/extraction and downstream fusion at an intermediate layer
- aiming to combine the strengths of both feature- and decision-level fusion (modality-specific features plus cross-modality feature interactions)
- Pro: balance between computational efficiency and ability to learn feature connections
- Con: hybrid fusion strategy requires a careful selection of the fusion point

### Hybrid Fusion

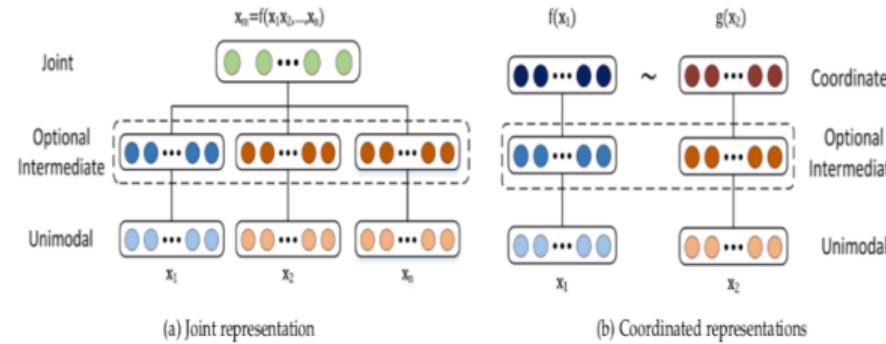
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### Cross-Modal Learning

- Learning and transferring information from one data modality to another (e.g. image captioning, text-to-image synthesis, ...)
- Goal: identifying and understanding the connection and correlation between various data modalities (→ Know-How Transfer!)

### Joint Representation Learning

- Unified feature space for all the different modalities
- Fuse information, while capturing & understanding complementary modality information
- Better generalization due to significantly larger knowledge access of multiple modalities



- **Coordinated representations** projects all the modalities (usually severe differences in characteristics) to its own space, while being coordinated over a constraint

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- **Interpretability:** challenges in understanding how decisions are made when combining multiple modalities

# Further Questions?



<https://www.oth-aw.de/hochschule/ueber-uns/personen/bergler-christian/>

Source: <https://emekaboris.medium.com/the-intuition-behind-100-days-of-data-science-code-c98402cdc92c>