#### A tutorial for DNN classifier.

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## What is this script?

- This script provides a simple classifier using Deep Neural Networks (DNNs).
  - Based on KALDI and PDNN.
  - In this script, MNIST database is used.
    - MNIST: Hand written digit recognition task. <a href="http://yann.lecun.com/exdb/mnist/">http://yann.lecun.com/exdb/mnist/</a>





#### What is KALDI?

- A toolkit for speech recognition.
  - http://www.danielpovey.com/kaldi-docs/index.html
  - written by Dan Povey's team.
  - licensed under the Apache License v2.0.

You can use KALDI on elf or valkyrie machine.

#### What is PDNN?

- A python toolkit for deep learning.
  - https://www.cs.cmu.edu/~ymiao/pdnntk.html
  - written by Yajie Miao.
  - licensed under the Apache License v2.0.

PDNN is not installed in elf and valkyrie.

#### How to use?

Get the scripts and type "./run.sh"!

```
$ git clone /home/kashiwagi/share/classifier
```

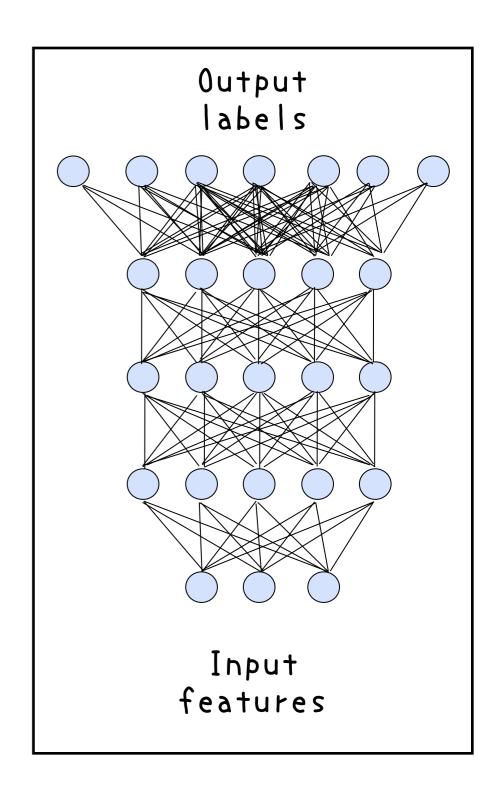
- \$ cd classifier
- \$./run.sh

# Finish!

#### A brief introduction of DNN

- Proposed by Hinton in 2006.
  - Multi-layer network.
  - "Pre-training" is the key idea.
    - Mitigate serious problems (local minimum and over-fitting)

Marked the best performance in many challenges.



## DNN for speech recognition

- DNN also achieved the best performance in speech recognition tasks.
  - Hybrid model (DNN + Hidden Markov Model)

$$\hat{W} = \operatorname{argmax} \log P(\mathbf{O}|W)P(W)$$

$$P(\mathbf{O}|W) \stackrel{\text{def}}{=} \sum_{\mathbf{s}} \left\{ \prod_{t} Q(s_{t}|\mathbf{o}_{t}, \mathbf{\Lambda}) \right\} P(\mathbf{O})P(\mathbf{s}|W)$$

$$Q(s_{t}|\mathbf{o}_{t}, \mathbf{\Lambda}) = \frac{p(s_{t}|\mathbf{o}_{t}, \mathbf{\Lambda})}{P(s_{t})}$$

This scripts do not use HMMs.

## Keywords for recent DNNs

- Activation function
  - ReLU
  - Maxout
- Network topology
  - Convolutional Neural Network
  - Recurrent Neural Network
    - LSTM

Please Google!

## Step 0 : Setup

- Setup KALDI, PDNN and original MNIST database.
  - Download and install. Maybe, this step takes a long time :(
    - tools/
      - kaldi-maxout/: contains kaldi scripts.
        (nnet-forward is modified to use maxout)
      - pdnn/: contains PDNN scripts
      - quicknet/, pfile\_utils/: for using PFile (data format)

## Step 1: Data preparation

- Prepare the database for training.
  - Convert the binary data to text data.
    - features: 28x28=784 dim. (0 ~ 255)
    - labels: 1 dim. (0, 1, 2, 3, 4, 5, 6, 7, 8, 9)
      - \$ head data/train/train-images.txt
      - \$ head data/train/train-labels.txt
      - \$ head data/test/test-images.txt
      - \$ head data/test/test-labels.txt

#### Step 2: Make PFile

- Make PFile for DNN training.
  - Input data format ( data/train\_tr90/train\_tr90.data )
    - 1 dim. : Sample ID
    - 2 dim. : Frame ID (Dummy in this task.)
    - ≥ 3~786 dim. : Features
    - 787 dim. : Label

\$ tools/pfile\_utils-v0\_51/bin/pfile\_create -i data/train\_tr90/train\_tr90.data -o data/train\_tr90/train\_tr90.pfile -f 784 -l 1

"train\_cv10" is a set of subdata for cross-validation

## Step 3: Train DNN

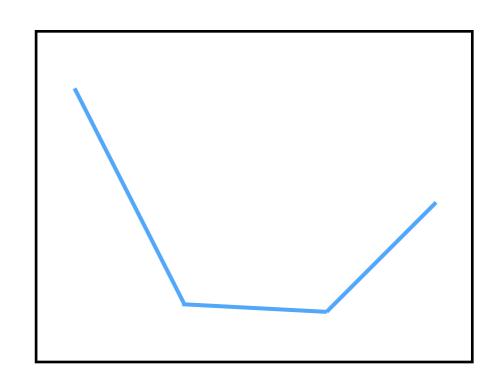
#### Train DNN.

```
$ python tools/pdnn/cmds/run_DNN.py --train-data data/train_tr90/train_tr90.pfile,partition=10m,random=true,stream=true \
--valid-data data/train_cv10/train_cv10.pfile,partition=10m,random=true,stream=true \
--nnet-spec 784:500:500:10 --activation maxout:2 --lrate D:0.0008:0.5:0.01,0.01:8 \
--wdir dnn/ --kaldi-output-file dnn/nnet
```

- DNN topology ( —nnet-spec )
  - 784:500:500:10 (input feats: hidden layer nodes 1: hidden layer nodes 2: output labels)
- Activation function (—activation)
  - maxout:2 (maxout function, 2 represent the # of linear components)
- Learning rate (—Irate)
  - D:0.0008 : 0.5 : 0.001,0.001 : 8 (Init rate : halving factor : halving start, halving end : minimum epoch)

#### Step 3: Train DNN

- Using maxout activation functions . . .
  - DNNs can be trained well without pre-training.
  - Fast!
  - But they take a large memory.



Of course, the final layer is softmax function.

## Step 4: Calculate posterior probability

- Calculate posterior probability.
  - To use **nnet-forward**, we must modify the test data.
    - data/test/test-images.feats

```
DataID [ feats ... ]
:
```

Data ID must be unique!

## Step 4: Calculate posterior probability

- Posterior probability
  - data/test/test-images.posterior

```
1 [
0 2.532356e-14 1.248106e-13 3.016724e-08 2.409788e-16 1.380036e-15 1.44216e-23 1 1.094789e-14 1.118839e-11 ]
2 [
0 1.76089e-14 1 2.268198e-14 1.964306e-25 7.076257e-15 2.157866e-14 9.311794e-29 1.154093e-22 1.335993e-33 ]
3 [
0 0.99999992 2.298187e-07 3.534052e-10 2.745042e-07 7.564024e-13 1.853817e-07 1.404711e-07 7.574138e-11 1.100623e-13 ]
4 [
1 5.902696e-31 3.358515e-21 2.345248e-28 8.341328e-32 5.443353e-26 2.393071e-23 1.087154e-25 3.199901e-31 1.130879e-26 ]
```

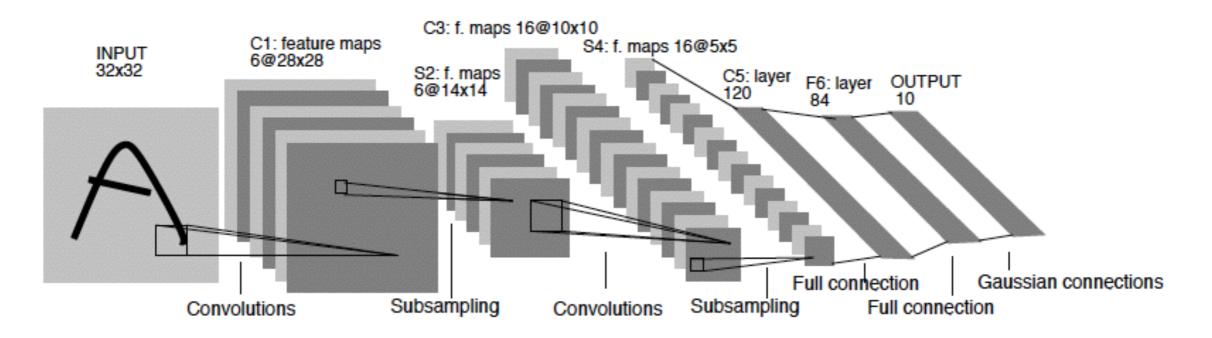
#### DataID must be unique!

#### Step 5 : Calculate scores

- Calculate scores.
  - Compare the estimated labels and the correct labels.
    - append-feats
      - : joint the feats (or labels), like a "paste" in bash
    - calc\_score.py
      - : calculates the correct rate using the joint input of the posterior probabilities and the correct labels.

#### Step 6: Train CNN

Train a Convolutional Neural Network (CNN).



[LeCun, 1998]

Complex deep architecture (feature maps, convolution filter, pooling layer)

#### Step 6: Train CNN

Train a Convolutional Neural Network (CNN).

```
$ python tools/pdnn/cmds/run_CNN.py --train-data data/train_tr90/train_tr90.pfile,partition=10m,random=true,stream=true \
--valid-data data/train_cv10/train_cv10.pfile,partition=10m,random=true,stream=true \
--conv-nnet-spec "1x28x28:256,9x9,p2x2,f" \
--nnet-spec "300:10" \
--lrate "D:0.08:0.5:0.2,0.2:4" --momentum 0.9 \
--wdir cnn/ --param-output-file cnn/nnet.param \
--cfg-output-file cnn/nnet.cfg --kaldi-output-file cnn/cnn.nnet
```

- convolution network topology ( —conv-nnet-spec )
  - 1x28x28:256,9x9,p2x2,f (input feats: hidden layer nodes, filter size, pooling layers)
- momentum (—momentum)
  - control the training speed

## Step 7: Calculate scores (CNN)

- Calculate scores (CNN)
  - Almost same as DNNs.
  - KALDI does not support CNN.
    - First, forward through the convolutional layer and create the converted feature files.

\$ python tools/pdnn/cmds2/run\_CnnFeat.py --in-scp-file data/test/test-images.scp --out-ark-file data/test/test-images.conv.forward --cnn-param-file cnn/nnet.param --cnn-cfg-file cnn/nnet.cfg

#### To increase the accuracy …

- The simplest way is to use more powerful networks.
  - ▶ CNN, RNN, ···
- Features
  - context expansion, law features,
  - how to set the feature maps in CNNs
- Activation function
  - sigmoid ? maxout ? . . . or Gaussian ?