

# PLP - 39

## TOPIC 39 — CARDINALITY OF FINITE SETS

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# FINITE SETS AND PIGEONS

# SIZE OF A FINITE SET

We defined  $|A|$  to be the number of elements in  $A$



When we count the elements in  $A$  we count off “one, two, three, ... six”

We build a function  $f : A \rightarrow \{1, 2, \dots, 6\}$  so that

- Injective — *different* objects counted by *different* numbers
- Surjective — each number is used to count an object

So that function is a *bijection*.

# EQUAL CARDINALITIES AND BIJECTIONS

## DEFINITION:

Let  $A, B$  be sets. They have the same **cardinality** if  $A = B = \emptyset$  or if there is a bijection from  $A$  to  $B$ .

In this case we write  $|A| = |B|$  and say the sets are **equinumerous**.

If  $A$  and  $B$  are not equinumerous, so no bijection between them, then we write  $|A| \neq |B|$

- Special case:  $A = \emptyset$  we write  $|A| = 0$ . No bijection between empty sets.
- Finite:  $|A| = n \in \mathbb{N}$  then have a bijection  $f : A \rightarrow \{1, 2, \dots, n\}$ .
- If  $|A| = n = |B|$  then we have

$$f : A \rightarrow \{1, \dots, n\} \quad g : B \rightarrow \{1, \dots, n\} \quad \text{so} \quad (g^{-1} \circ f) : A \rightarrow B$$

- Definition in terms of bijection allows us to handle infinite sets.

# FINITE NON-EQUINUMEROUS SETS

Consider  $A = \{a_1, a_2, a_3, a_4, a_5\}$  and  $B = \{b_1, b_2, b_3\}$



- Since  $5 = |A| \neq |B| = 3$ , so no bijection between them
- Easy to build a surjection  $f : A \rightarrow B$
- But cannot build an injection  $g : A \rightarrow B$ :

$$g(a_1) = b_1 \quad g(a_2) = b_2 \quad g(a_3) = b_3 \quad g(a_4) = ? \quad g(a_5) = ?$$

- Use the pigeonhole principle to formalise this.

# PIGEONHOLE PRINCIPLE

## THEOREM: (DIRICHLET).

If  $n$  objects are placed in  $k$  boxes then

- If  $n < k$  then at least one box has zero objects in it
- If  $n > k$  then at least one box has at least two objects in it

Can refine  $n > k$  case: at least one box has at least  $\lceil n/k \rceil$  objects in it.

## PROOF.

Prove contrapositive of each:

- Assume each box contains at least one object, then total number of objects  $n \geq k$ .
- Assume each box contains at most one object, then the total number of objects  $n \leq k$ .

# FINITE NON-EQUINUMEROUS SETS — CONTINUED

## COROLLARY:

Let  $A, B$  be *finite* sets and let  $f : A \rightarrow B$ . Then

- If  $|A| > |B|$  then  $f$  is not an injection
- If  $|A| < |B|$  then  $f$  is not a surjection

If  $f$  is an injection then  $|A| \leq |B|$

If  $f$  is a surjection then  $|A| \geq |B|$

## PROOF.

We prove each point in turn.

- Assume that  $|A| > |B|$ . Then, by PHP, when the images of elements of  $A$  are placed into  $B$  by the function, at least one element of  $B$  is the image of two elements of  $A$ . Hence there are  $a_1, a_2 \in A$  so that  $f(a_1) = f(a_2)$ , and so  $f$  is not injective.
- Now assume that  $|A| < |B|$ . Then, by PHP, when the images of elements of  $A$  are placed into  $B$  by the function, at least one element of  $B$  is not the image of any element of  $A$ . That is, there is  $b \in B$  so that for every  $a \in A$ ,  $f(a) \neq b$ , and so  $f$  is not surjective.

# PIGEON FLAVOURED EXAMPLE

## PROPOSITION:

There exist two powers of 3 whose difference is divisible by 220.

## PROOF.

- Consider the sequence of 221 numbers

$$3^0, 3^1, 3^2, 3^3, \dots, 3^{219}, 3^{220}$$

and compute their remainders when divided by 220.

- There are at most 220 possible remainders, but 221 numbers in the sequence
- Hence two numbers have the same remainder:  $3^i = 220k + r, 3^j = 220\ell + r$
- So their difference is a multiple of 220 as required

Checking remainders modulo 220 you can quickly find that  $220 \mid (3^{20} - 3^0)$



## PIGEON FLAVOURED EXAMPLE #2

### PROPOSITION:

Place 5 points in an equilateral triangle of side-length 1. There is a pair at distance no greater than 0.5

### PROOF.

- Split the triangle into 4 sub-triangles as shown
- The subtriangle side-length is  $\frac{1}{2}$
- One sub-triangle must contain 2 points
- So those points are at distance  $\leq \frac{1}{2}$



# BIG DATA $\implies$ SPURIOUS CORRELATIONS

Consider

- How many function “shapes” you can draw
- How many time-series data sets exist
- By PHP, each “shape” will fit many data-sets
- Those data-sets appear correlated



Search-engine your way to “Spurious Correlations” by Tyler Vigen