Assignment 1: Neural Machine Translation

Welcome to the first assignment of Course 4. Here, you will build an English-to-Portuguese neural machine translation (NMT) model using Long Short-Term Memory (LSTM) networks with attention. Machine translation is an important task in natural language processing and could be useful not only for translating one language to another but also for word sense disambiguation (e.g. determining whether the word "bank" refers to the financial bank, or the land alongside a river). Implementing this using just a Recurrent Neural Network (RNN) with LSTMs can work for short to medium length sentences but can result in vanishing gradients for very long sequences. To help with this, you will be adding an attention mechanism to allow the decoder to access all relevant parts of the input sentence regardless of its length. By completing this assignment, you will:

- Implement an encoder-decoder system with attention
- · Build the NMT model from scratch using Tensorflow
- Generate translations using greedy and Minimum Bayes Risk (MBR) decoding

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1. Data Preparation

In [2]: import w1 unittest

The text pre-processing bits have already been taken care of (if you are interested in this be sure to check the utils.py file). The steps performed can be summarized as:

- · Reading the raw data from the text files
- Cleaning the data (using lowercase, adding space around punctuation, trimming whitespaces, etc)
- · Splitting it into training and validation sets
- Adding the start-of-sentence and end-of-sentence tokens to every sentence
- Tokenizing the sentences
- Creating a Tensorflow dataset out of the tokenized sentences

Take a mamont to increat the row contanges

```
In [3]: portuguese_sentences, english_sentences = sentences
    print(f"English (to translate) sentence:\n\n{english_sentences[-5]}\n")
    print(f"Portuguese (translation) sentence:\n\n{portuguese_sentences[-5]}")
```

English (to translate) sentence:

No matter how much you try to convince people that chocolate is vanilla, i t'll still be chocolate, even though you may manage to convince yourself and a few others that it's vanilla.

Portuguese (translation) sentence:

Não importa o quanto você tenta convencer os outros de que chocolate é bauni lha, ele ainda será chocolate, mesmo que você possa convencer a si mesmo e p oucos outros de que é baunilha.

You don't have much use for the raw sentences so delete them to save memory:

```
In [4]: del portuguese_sentences
    del english_sentences
    del sentences
```

Notice that you imported an english_vectorizer and a portuguese_vectorizer from utils.py . These were created using tf.keras.layers.TextVectorization (https://www.tensorflow.org/api_docs/python/tf/keras/layers/TextVectorization) and they provide interesting features such as ways to visualize the vocabulary and convert text into tokenized ids and vice versa. In fact, you can inspect the first ten words of the vocabularies for both languages:

```
In [5]: print(f"First 10 words of the english vocabulary:\n\n{english_vectorizer.get_v
print(f"First 10 words of the portuguese vocabulary:\n\n{portuguese_vectorizer
```

```
First 10 words of the english vocabulary:

['', '[UNK]', '[SOS]', '[EOS]', '.', 'tom', 'i', 'to', 'you', 'the']

First 10 words of the portuguese vocabulary:

['', '[UNK]', '[SOS]', '[EOS]', '.', 'tom', 'que', 'o', 'nao', 'eu']
```

Notice that the first 4 words are reserved for special words. In order, these are:

- · the empty string
- a special token to represent an unknown word
- a special token to represent the start of a sentence

• a special token to represent the end of a sentence

You can see how many words are in a vocabulary by using the vocabulary_size method:

```
In [6]: # Size of the vocabulary
   vocab_size_por = portuguese_vectorizer.vocabulary_size()
   vocab_size_eng = english_vectorizer.vocabulary_size()

print(f"Portuguese vocabulary is made up of {vocab_size_por} words")
print(f"English vocabulary is made up of {vocab_size_eng} words")
```

Portuguese vocabulary is made up of 12000 words English vocabulary is made up of 12000 words

You can define tf.keras.layers.StringLookup

(https://www.tensorflow.org/api_docs/python/tf/keras/layers/StringLookup) objects that will help you map from words to ids and vice versa. Do this for the portuguese vocabulary since this will be useful later on when you decode the predictions from your model:

```
In [7]: # This helps you convert from words to ids
word_to_id = tf.keras.layers.StringLookup(
    vocabulary=portuguese_vectorizer.get_vocabulary(),
    mask_token="",
    oov_token="[UNK]"
)

# This helps you convert from ids to words
id_to_word = tf.keras.layers.StringLookup(
    vocabulary=portuguese_vectorizer.get_vocabulary(),
    mask_token="",
    oov_token="[UNK]",
    invert=True,
)
```

Try it out for the special tokens and a random word:

```
In [8]: unk_id = word_to_id("[UNK]")
    sos_id = word_to_id("[SOS]")
    eos_id = word_to_id("[EOS]")
    baunilha_id = word_to_id("baunilha")

    print(f"The id for the [UNK] token is {unk_id}")
    print(f"The id for the [SOS] token is {sos_id}")
    print(f"The id for the [EOS] token is {eos_id}")
    print(f"The id for baunilha (vanilla) is {baunilha_id}")

The id for the [UNK] token is 1
    The id for the [SOS] token is 2
    The id for the [EOS] token is 3
    The id for baunilha (vanilla) is 7079
```

Finally take a look at how the data that is going to be fed to the neural network looks like. Both train_data and val_data are of type tf.data.Dataset and are already arranged in batches of 64 examples. To get the first batch out of a tf dataset you can use the take method. To get the first example out of the batch you can slice the tensor and use the numpy method for nicer printing:

```
In [9]:
        for (to_translate, sr_translation), translation in train_data.take(1):
            print(f"Tokenized english sentence:\n{to_translate[0, :].numpy()}\n\n")
            print(f"Tokenized portuguese sentence (shifted to the right):\n{sr_transla
            print(f"Tokenized portuguese sentence:\n{translation[0, :].numpy()}\n\n")
        Tokenized english sentence:
                                                                             0]
            2 210
                      9 146 123
                                    38
                                          9 1672
                                                         3
                                                              0
                                                                        0
                                                                   0
        Tokenized portuguese sentence (shifted to the right):
                      7 128
            2 1085
                               11 389
                                         37 2038
                                                                             0
            01
        Tokenized portuguese sentence:
        [1085
                                                    3
                 7 128 11 389
                                    37 2038
                                                         0
                                                              0
                                                                   0
                                                                             0
            0]
```

There are a couple of important details to notice.

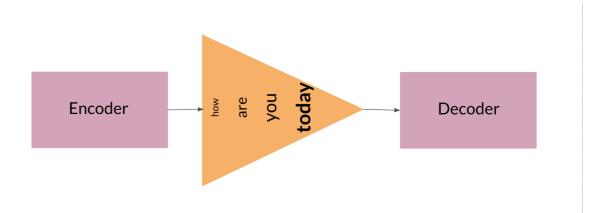
- Padding has already been applied to the tensors and the value used for this is 0
- Each example consists of 3 different tensors:
 - The sentence to translate
 - The shifted-to-the-right translation
 - The translation

The first two can be considered as the features, while the third one as the target. By doing this your model can perform Teacher Forcing as you saw in the lectures.

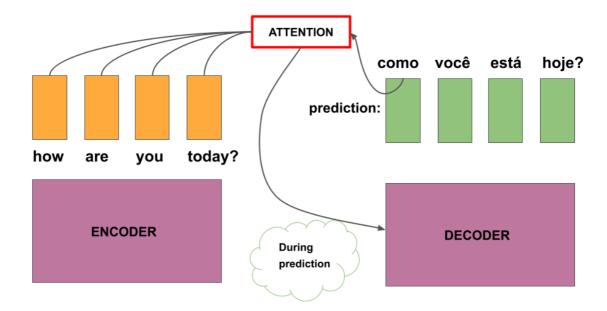
Now it is time to begin coding!

2. NMT model with attention

The model you will build uses an encoder-decoder architecture. This Recurrent Neural Network (RNN) takes in a tokenized version of a sentence in its encoder, then passes it on to the decoder for translation. As mentioned in the lectures, just using a a regular sequence-to-sequence model with LSTMs will work effectively for short to medium sentences but will start to degrade for longer ones. You can picture it like the figure below where all of the context of the input sentence is compressed into one vector that is passed into the decoder block. You can see how this will be an issue for very long sentences (e.g. 100 tokens or more) because the context of the first parts of the input will have very little effect on the final vector passed to the decoder.



Adding an attention layer to this model avoids this problem by giving the decoder access to all parts of the input sentence. To illustrate, let's just use a 4-word input sentence as shown below. Remember that a hidden state is produced at each timestep of the encoder (represented by the orange rectangles). These are all passed to the attention layer and each are given a score given the current activation (i.e. hidden state) of the decoder. For instance, let's consider the figure below where the first prediction "como" is already made. To produce the next prediction, the attention layer will first receive all the encoder hidden states (i.e. orange rectangles) as well as the decoder hidden state when producing the word "como" (i.e. first green rectangle). Given this information, it will score each of the encoder hidden states to know which one the decoder should focus on to produce the next word. As a result of training, the model might have learned that it should align to the second encoder hidden state and subsequently assigns a high probability to the word "você". If we are using greedy decoding, we will output the said word as the next symbol, then restart the process to produce the next word until we reach an end-of-sentence prediction.



There are different ways to implement attention and the one we'll use for this assignment is the Scaled Dot Product Attention which has the form:

$$Attention(Q, K, V) = softmax(\frac{QK^{T}}{\sqrt{d_k}})V$$

You will dive deeper into this equation in the next week but for now, you can think of it as computing scores using queries (Q) and keys (K), followed by a multiplication of values (V) to get a context vector at a particular timestep of the decoder. This context vector is fed to the

decoder RNN to get a set of probabilities for the next predicted word. The division by square root of the keys dimensionality $(\sqrt{d_k})$ is for improving model performance and you'll also learn more about it next week. For our machine translation application, the encoder activations (i.e. encoder hidden states) will be the keys and values, while the decoder activations (i.e. decoder hidden states) will be the queries.

You will see in the upcoming sections that this complex architecture and mechanism can be implemented with just a few lines of code.

First you will define two important global variables:

- The size of the vocabulary
- The number of units in the LSTM layers (the same number will be used for all LSTM layers)

In this assignment the vessbulent sizes for English and Portuguese are the same. Therefore

```
In [10]: VOCAB_SIZE = 12000
UNITS = 256
```

Exercise 1 - Encoder

Your first exercise is to code the encoder part of the neural network. For this, complete the Encoder class below. Notice that in the constructor (the __init__ method) you need to define all of the sublayers of the encoder and then use these sublayers during the forward pass (the call method).

The encoder consists of the following layers:

- Embedding (https://www.tensorflow.org/api_docs/python/tf/keras/layers/Embedding). For
 this layer you need to define the appropriate input_dim and output_dim and let it
 know that you are using '0' as padding, which can be done by using the appropriate value
 for the mask_zero parameter.
- Bidirectional (https://www.tensorflow.org/api_docs/python/tf/keras/layers/Bidirectional).
 LSTM (https://www.tensorflow.org/api_docs/python/tf/keras/layers/LSTM). In TF you can implement bidirectional behaviour for RNN-like layers. This part is already taken care of but you will need to specify the appropriate type of layer as well as its parameters. In particular you need to set the appropriate number of units and make sure that the LSTM returns the full sequence and not only the last output, which can be done by using the appropriate value for the return_sequences parameter.

You need to define the forward pass using the syntax of TF's <u>functional API</u> (https://www.tensorflow.org/guide/keras/functional_api). What this means is that you chain function calls together to define your network like this:

```
encoder_input = keras.Input(shape=(28, 28, 1), name="original_img")
x = layers.Conv2D(16, 3, activation="relu")(encoder_input)
x = layers.MaxPooling2D(3)(x)
x = layers.Conv2D(16, 3, activation="relu")(x)
encoder_output = layers.GlobalMaxPooling2D()(x)
```

```
In [11]:
         # GRADED CLASS: Encoder
         class Encoder(tf.keras.layers.Layer):
             def __init__(self, vocab_size, units):
                 """Initializes an instance of this class
                 Args:
                     vocab_size (int): Size of the vocabulary
                     units (int): Number of units in the LSTM layer
                 super(Encoder, self).__init__()
                 ### START CODE HERE ###
                 self.embedding = tf.keras.layers.Embedding(
                     input_dim=vocab_size,
                     output_dim=units,
                     mask_zero=True
                 )
                 self.rnn = tf.keras.layers.Bidirectional(
                     merge_mode="sum",
                     layer=tf.keras.layers.LSTM(
                         units=units,
                         return_sequences=True
                     ),
                 )
                 ### END CODE HERE ###
             def call(self, context):
                 """Forward pass of this layer
                 Args:
                     context (tf.Tensor): The sentence to translate
                 Returns:
                     tf.Tensor: Encoded sentence to translate
                 ### START CODE HERE ###
                 # Pass the context through the embedding layer
                 x = self.embedding(context)
                 # Pass the output of the embedding through the RNN
                 x = self.rnn(x)
                 ### END CODE HERE ###
                 return x
```

```
In [12]: # Do a quick check of your implementation
    # Create an instance of your class
    encoder = Encoder(VOCAB_SIZE, UNITS)

# Pass a batch of sentences to translate from english to portuguese
    encoder_output = encoder(to_translate)

print(f'Tensor of sentences in english has shape: {to_translate.shape}\n')

print(f'Encoder output has shape: {encoder_output.shape}')

Tensor of sentences in english has shape: (64, 14)

Encoder output has shape: (64, 14, 256)

Expected Output

Tensor of sentences in english has shape: (64, 14)

Encoder output has shape: (64, 14, 256)
In [13]: # Test your code!

w1_unittest.test_encoder(Encoder)
```

Exercise 2 - CrossAttention

All tests passed!

Your next exercise is to code the layer that will perform cross attention between the original sentences and the translations. For this, complete the <code>CrossAttention</code> class below. Notice that in the constructor (the <code>__init__</code> method) you need to define all of the sublayers and then use these sublayers during the forward pass (the <code>call</code> method). For this particular case some of these bits are already taken care of.

The cross attention consists of the following layers:

MultiHeadAttention
 (https://www.tensorflow.org/api_docs/python/tf/keras/layers/MultiHeadAttention). For this layer you need to define the appropriate key_dim, which is the size of the key and query tensors. You will also need to set the number of heads to 1 since you aren't implementing multi head attention but attention between two tensors. The reason why this layer is preferred over https://www.tensorflow.org/api_docs/python/tf/keras/layers/Attention) is that it allows simpler code during the forward pass.

A couple of things to notice:

 You need a way to pass both the output of the attention alongside the shifted-to-the-right translation (since this cross attention happens in the decoder side). For this you will use an <u>Add (https://www.tensorflow.org/api_docs/python/tf/keras/layers/Add)</u> layer so that the original dimension is preserved, which would not happen if you use something like a <u>Concatenate (https://www.tensorflow.org/api_docs/python/tf/keras/layers/Concatenate)</u> layer.

- Layer normalization is also performed for better stability of the network by using a
 <u>LayerNormalization</u>
 (https://www.tensorflow.org/api_docs/python/tf/keras/layers/LayerNormalization) layer.
- You don't need to worry about these last steps as these are already solved.

```
In [14]: # GRADED CLASS: CrossAttention
         class CrossAttention(tf.keras.layers.Layer):
             def __init__(self, units):
                 """Initializes an instance of this class
                    units (int): Number of units in the LSTM layer
                 super().__init__()
                 ### START CODE HERE ###
                 self.mha = tf.keras.layers.MultiHeadAttention(
                     key_dim=units,
                     num_heads=1
                 )
                 ### END CODE HERE ###
                 self.layernorm = tf.keras.layers.LayerNormalization()
                 self.add = tf.keras.layers.Add()
             def call(self, context, target):
                 """Forward pass of this layer
                 Args:
                     context (tf.Tensor): Encoded sentence to translate
                     target (tf.Tensor): The embedded shifted-to-the-right translation
                 Returns:
                     tf.Tensor: Cross attention between context and target
                 ### START CODE HERE ###
                 attn_output = self.mha(
                     query=target,
                     value=context,
                     key=context
                 )
                 ### END CODE HERE ###
                 x = self.add([target, attn_output])
                 x = self.layernorm(x)
                 return x
```

```
In [15]: # Do a quick check of your implementation

# Create an instance of your class
attention_layer = CrossAttention(UNITS)

# The attention Layer expects the embedded sr-translation and the context
# The context (encoder_output) is already embedded so you need to do this for
sr_translation_embed = tf.keras.layers.Embedding(VOCAB_SIZE, output_dim=UNITS,

# Compute the cross attention
attention_result = attention_layer(encoder_output, sr_translation_embed)

print(f'Tensor of contexts has shape: {encoder_output.shape}')
print(f'Tensor of translations has shape: {sr_translation_embed.shape}')
print(f'Tensor of attention scores has shape: {attention_result.shape}')

Tensor of contexts has shape: (64, 14, 256)
Tensor of translations has shape: (64, 15, 256)
Tensor of attention scores has shape: (64, 15, 256)
```

Expected Output

```
Tensor of contexts has shape: (64, 14, 256)
Tensor of translations has shape: (64, 15, 256)
Tensor of attention scores has shape: (64, 15, 256)
```

```
In [16]: # Test your code!
w1_unittest.test_cross_attention(CrossAttention)
```

All tests passed!

Exercise 3 - Decoder

Now you will implement the decoder part of the neural network by completing the Decoder class below. Notice that in the constructor (the __init__ method) you need to define all of the sublayers of the decoder and then use these sublayers during the forward pass (the call method).

The decoder consists of the following layers:

- Embedding (https://www.tensorflow.org/api_docs/python/tf/keras/layers/Embedding). For
 this layer you need to define the appropriate input_dim and output_dim and let it
 know that you are using '0' as padding, which can be done by using the appropriate value
 for the mask_zero parameter.
- Pre-attention <u>LSTM (https://www.tensorflow.org/api_docs/python/tf/keras/layers/LSTM)</u>.
 Unlike in the encoder in which you used a Bidirectional LSTM, here you will use a vanilla LSTM. Don't forget to set the appropriate number of units and make sure that the LSTM returns the full sequence and not only the last output, which can be done by using the appropriate value for the <u>return_sequences</u> parameter. It is very important that this layer returns the state since this will be needed for inference so make sure to set the return state parameter accordingly. Notice that LSTM layers return state as a tuple of

two tensors called memory_state and carry_state, however these names have been changed to better reflect what you have seen in the lectures to hidden_state and cell_state respectively.

- The attention layer that performs cross attention between the sentence to translate and the right-shifted translation. Here you need to use the CrossAttention layer you defined in the previous exercise.
- Post-attention <u>LSTM (https://www.tensorflow.org/api_docs/python/tf/keras/layers/LSTM)</u>.
 Another LSTM layer. For this one you don't need it to return the state.
- Finally a <u>Dense (https://www.tensorflow.org/api_docs/python/tf/keras/layers/Dense)</u> layer. This one should have the same number of units as the size of the vocabulary since you expect it to compute the logits for every possible word in the vocabulary. Make sure to use a logsoftmax activation function for this one, which you can get as <u>tf.nn.log_softmax</u> (https://www.tensorflow.org/api_docs/python/tf/nn/log_softmax).

```
In [17]: # GRADED CLASS: Decoder
         class Decoder(tf.keras.layers.Layer):
                   _init__(self, vocab_size, units):
                 """Initializes an instance of this class
                 Args:
                     vocab_size (int): Size of the vocabulary
                     units (int): Number of units in the LSTM layer
                 super(Decoder, self).__init__()
                 ### START CODE HERE ###
                 # The embedding layer
                 self.embedding = tf.keras.layers.Embedding(
                     input_dim=vocab_size,
                     output_dim=units,
                     mask_zero=True
                 )
                 # The RNN before attention
                 self.pre_attention_rnn = tf.keras.layers.LSTM(
                     units=units,
                     return_sequences=True,
                     return_state=True
                 )
                 # The attention layer
                 self.attention = CrossAttention(units)
                 # The RNN after attention
                 self.post_attention_rnn = tf.keras.layers.LSTM(
                     units=units,
                     return_sequences=True
                 )
                 # The dense layer with logsoftmax activation
                 self.output layer = tf.keras.layers.Dense(
                     units=vocab_size,
                     activation=tf.nn.log_softmax
                 )
                 ### END CODE HERE ###
             def call(self, context, target, state=None, return_state=False):
                 """Forward pass of this layer
                 Args:
                     context (tf.Tensor): Encoded sentence to translate
                     target (tf.Tensor): The shifted-to-the-right translation
                     state (list[tf.Tensor, tf.Tensor], optional): Hidden state of the
                     return_state (bool, optional): If set to true return the hidden st
                 Returns:
                     tf.Tensor: The log_softmax probabilities of predicting a particula
                 ### START CODE HERE ###
                 # Get the embedding of the input
                 x = self.embedding(target)
```

```
if state is not None:
                     x, hidden_state, cell_state = self.pre_attention_rnn(x, initial_st
                 else:
                     x, hidden_state, cell_state = self.pre_attention_rnn(x)
                 # Perform cross attention between the context and the output of the LS
                 x = self.attention(context, x)
                 # Do a pass through the post attention LSTM
                 x = self.post_attention_rnn(x)
                 # Compute the Logits
                 logits = self.output_layer(x)
                 ### END CODE HERE ###
                 if return_state:
                     return logits, [hidden_state, cell_state]
                 return logits
In [18]: # Do a quick check of your implementation
         # Create an instance of your class
         decoder = Decoder(VOCAB_SIZE, UNITS)
         # Notice that you don't need the embedded version of sr_translation since this
         logits = decoder(encoder_output, sr_translation)
         print(f'Tensor of contexts has shape: {encoder_output.shape}')
         print(f'Tensor of right-shifted translations has shape: {sr_translation.shape}
         print(f'Tensor of logits has shape: {logits.shape}')
         Tensor of contexts has shape: (64, 14, 256)
         Tensor of right-shifted translations has shape: (64, 15)
         Tensor of logits has shape: (64, 15, 12000)
         Expected Output
             Tensor of contexts has shape: (64, 14, 256)
             Tensor of right-shifted translations has shape: (64, 15)
             Tensor of logits has shape: (64, 15, 12000)
In [19]: # Test your code!
```

Pass the embedded input into the pre attention LSTM

```
Exercise 4 - Translator
```

All tests passed!

w1_unittest.test_decoder(Decoder, CrossAttention)

Now you have to put together all of the layers you previously coded into an actual model. For this, complete the Translator class below. Notice how unlike the Encoder and Decoder classes inherited from tf.keras.layers.Layer, the Translator class inherits from

```
tf.keras.Model.
```

Remember that train_data will yield a tuple with the sentence to translate and the shifted-to-the-right translation, which are the "features" of the model. This means that the inputs of your network will be tuples containing context and targets.

```
In [20]: # GRADED CLASS: Translator
         class Translator(tf.keras.Model):
             def __init__(self, vocab_size, units):
                 """Initializes an instance of this class
                 Args:
                     vocab_size (int): Size of the vocabulary
                     units (int): Number of units in the LSTM layer
                 super().__init__()
                 ### START CODE HERE ###
                 # Define the encoder with the appropriate vocab_size and number of uni
                 self.encoder = Encoder(vocab_size, units)
                 # Define the decoder with the appropriate vocab_size and number of uni
                 self.decoder = Decoder(vocab_size, units)
                 ### END CODE HERE ###
             def call(self, inputs):
                 """Forward pass of this layer
                 Args:
                     inputs (tuple(tf.Tensor, tf.Tensor)): Tuple containing the context
                 Returns:
                     tf.Tensor: The log_softmax probabilities of predicting a particula
                 ### START CODE HERE ###
                 # Unpack the tuple
                 context, target = inputs
                 # Pass the context through the encoder
                 encoded_context = self.encoder(context)
                 # Pass the encoded context and the target to the decoder
                 logits = self.decoder(encoded_context, target)
                 ### END CODE HERE ###
                 return logits
```

```
# Create an instance of your class
translator = Translator(VOCAB_SIZE, UNITS)

# Compute the Logits for every word in the vocabulary
logits = translator((to_translate, sr_translation))

print(f'Tensor of sentences to translate has shape: {to_translate.shape}')
print(f'Tensor of right-shifted translations has shape: {sr_translation.shape}
print(f'Tensor of logits has shape: {logits.shape}')

Tensor of sentences to translate has shape: (64, 14)
Tensor of right-shifted translations has shape: (64, 15)
Tensor of logits has shape: (64, 15, 12000)

Expected Output

Tensor of sentences to translate has shape: (64, 14)
Tensor of right-shifted translations has shape: (64, 15)
Tensor of logits has shape: (64, 15, 12000)
In [22]: w1_unittest.test_translator(Translator, Encoder, Decoder)
```

3. Training

All tests passed!

In [21]: # Do a quick check of your implementation

Now that you have an untrained instance of the NMT model, it is time to train it. You can use the compile_and_train function below to achieve this:

```
In [23]: def compile_and_train(model, epochs=20, steps_per_epoch=500):
    model.compile(optimizer="adam", loss=masked_loss, metrics=[masked_acc, mas

    history = model.fit(
        train_data.repeat(),
        epochs=epochs,
        steps_per_epoch=steps_per_epoch,
        validation_data=val_data,
        validation_steps=50,
        callbacks=[tf.keras.callbacks.EarlyStopping(patience=3)],
    )

    return model, history
```

In [24]: # Train the translator (this takes some minutes so feel free to take a break)
trained_translator, history = compile_and_train(translator)

```
Epoch 1/20
500/500 [============ ] - 47s 67ms/step - loss: 5.1995 - ma
sked_acc: 0.2118 - masked_loss: 5.2020 - val_loss: 4.4848 - val_masked_acc:
0.3049 - val_masked_loss: 4.4858
Epoch 2/20
500/500 [============= ] - 16s 33ms/step - loss: 3.8296 - ma
sked_acc: 0.4023 - masked_loss: 3.8304 - val_loss: 3.1429 - val_masked_acc:
0.4870 - val_masked_loss: 3.1447
Epoch 3/20
500/500 [============== ] - 16s 32ms/step - loss: 2.8095 - ma
sked_acc: 0.5357 - masked_loss: 2.8111 - val_loss: 2.4550 - val_masked_acc:
0.5768 - val_masked_loss: 2.4553
Epoch 4/20
500/500 [============ ] - 16s 31ms/step - loss: 2.2762 - ma
sked_acc: 0.6095 - masked_loss: 2.2771 - val_loss: 2.0238 - val_masked_acc:
0.6429 - val_masked_loss: 2.0241
Epoch 5/20
500/500 [=========== ] - 15s 31ms/step - loss: 1.9158 - ma
sked_acc: 0.6605 - masked_loss: 1.9170 - val_loss: 1.7541 - val_masked_acc:
0.6801 - val_masked_loss: 1.7546
Epoch 6/20
sked_acc: 0.6951 - masked_loss: 1.6487 - val_loss: 1.6250 - val_masked_acc:
0.6995 - val_masked_loss: 1.6243
Epoch 7/20
500/500 [=========== ] - 15s 30ms/step - loss: 1.5295 - ma
sked_acc: 0.7120 - masked_loss: 1.5303 - val_loss: 1.4910 - val_masked_acc:
0.7122 - val_masked_loss: 1.4914
Epoch 8/20
500/500 [============= ] - 16s 31ms/step - loss: 1.4205 - ma
sked acc: 0.7263 - masked_loss: 1.4213 - val_loss: 1.4092 - val_masked_acc:
0.7221 - val_masked_loss: 1.4110
Epoch 9/20
500/500 [================ ] - 16s 31ms/step - loss: 1.3404 - ma
sked_acc: 0.7354 - masked_loss: 1.3417 - val_loss: 1.3238 - val_masked_acc:
0.7333 - val_masked_loss: 1.3240
Epoch 10/20
sked acc: 0.7518 - masked loss: 1.2090 - val loss: 1.2443 - val masked acc:
0.7480 - val_masked_loss: 1.2449
Epoch 11/20
sked acc: 0.7651 - masked loss: 1.1034 - val loss: 1.1863 - val masked acc:
0.7599 - val masked loss: 1.1869
Epoch 12/20
500/500 [================ ] - 16s 31ms/step - loss: 1.0817 - ma
sked_acc: 0.7683 - masked_loss: 1.0826 - val_loss: 1.1421 - val_masked_acc:
0.7620 - val_masked_loss: 1.1425
Epoch 13/20
500/500 [============= ] - 15s 31ms/step - loss: 1.0541 - ma
sked_acc: 0.7710 - masked_loss: 1.0553 - val_loss: 1.1427 - val_masked_acc:
0.7574 - val_masked_loss: 1.1434
Epoch 14/20
500/500 [=========== ] - 15s 30ms/step - loss: 1.0265 - ma
sked acc: 0.7753 - masked loss: 1.0276 - val loss: 1.0982 - val masked acc:
0.7671 - val_masked_loss: 1.0987
Epoch 15/20
sked_acc: 0.7899 - masked_loss: 0.9225 - val_loss: 1.0819 - val_masked_acc:
0.7696 - val_masked_loss: 1.0831
Epoch 16/20
```

```
500/500 [=============== ] - 15s 30ms/step - loss: 0.8714 - ma
sked_acc: 0.7969 - masked_loss: 0.8721 - val_loss: 1.0803 - val_masked_acc:
0.7716 - val_masked_loss: 1.0818
Epoch 17/20
500/500 [============= ] - 15s 30ms/step - loss: 0.8807 - ma
sked_acc: 0.7948 - masked_loss: 0.8815 - val_loss: 1.0270 - val_masked_acc:
0.7749 - val_masked_loss: 1.0286
Epoch 18/20
500/500 [============= ] - 15s 30ms/step - loss: 0.8794 - ma
sked_acc: 0.7948 - masked_loss: 0.8802 - val_loss: 1.0122 - val_masked_acc:
0.7816 - val masked loss: 1.0135
Epoch 19/20
500/500 [================= ] - 15s 30ms/step - loss: 0.8568 - ma
sked_acc: 0.7991 - masked_loss: 0.8575 - val_loss: 0.9943 - val_masked_acc:
0.7828 - val_masked_loss: 0.9941
Epoch 20/20
500/500 [============= ] - 15s 30ms/step - loss: 0.7518 - ma
sked_acc: 0.8148 - masked_loss: 0.7526 - val_loss: 0.9875 - val_masked_acc:
0.7827 - val_masked_loss: 0.9883
```

4. Using the model for inference

Now that your model is trained you can use it for inference. To help you with this the generate_next_token function is provided. Notice that this function is meant to be used inside a for-loop, so you feed to it the information of the previous step to generate the information of the next step. In particular you need to keep track of the state of the pre-attention LSTM in the decoder and if you are done with the translation. Also notice that a temperature variable is introduced which determines how to select the next token given the predicted logits:

```
In [25]:
         def generate_next_token(decoder, context, next_token, done, state, temperature
             """Generates the next token in the sequence
             Args:
                 decoder (Decoder): The decoder
                 context (tf.Tensor): Encoded sentence to translate
                 next_token (tf.Tensor): The predicted next token
                 done (bool): True if the translation is complete
                 state (list[tf.Tensor, tf.Tensor]): Hidden states of the pre-attention
                 temperature (float, optional): The temperature that controls the rando
             Returns:
                 tuple(tf.Tensor, np.float, list[tf.Tensor, tf.Tensor], bool): The next
             # Get the logits and state from the decoder
             logits, state = decoder(context, next_token, state=state, return_state=Tru
             # Trim the intermediate dimension
             logits = logits[:, -1, :]
             # If temp is 0 then next_token is the argmax of logits
             if temperature == 0.0:
                 next_token = tf.argmax(logits, axis=-1)
             # If temp is not 0 then next_token is sampled out of logits
             else:
                 logits = logits / temperature
                 next_token = tf.random.categorical(logits, num_samples=1)
             # Trim dimensions of size 1
             logits = tf.squeeze(logits)
             next_token = tf.squeeze(next_token)
             # Get the logit of the selected next_token
             logit = logits[next_token].numpy()
             # Reshape to (1,1) since this is the expected shape for text encoded as TF
             next_token = tf.reshape(next_token, shape=(1,1))
             # If next_token is End-of-Sentence token you are done
             if next token == eos id:
                 done = True
             return next_token, logit, state, done
```

See how it works by running the following cell:

```
In [26]: # PROCESS SENTENCE TO TRANSLATE AND ENCODE
         # A sentence you wish to translate
         eng sentence = "I love languages"
         # Convert it to a tensor
         texts = tf.convert_to_tensor(eng_sentence)[tf.newaxis]
         # Vectorize it and pass it through the encoder
         context = english_vectorizer(texts).to_tensor()
         context = encoder(context)
         # SET STATE OF THE DECODER
         # Next token is Start-of-Sentence since you are starting fresh
         next_token = tf.fill((1,1), sos_id)
         # Hidden and Cell states of the LSTM can be mocked using uniform samples
         state = [tf.random.uniform((1, UNITS)), tf.random.uniform((1, UNITS))]
         # You are not done until next token is EOS token
         done = False
         # Generate next token
         next_token, logit, state, done = generate_next_token(decoder, context, next_token)
         print(f"Next token: {next_token}\nLogit: {logit:.4f}\nDone? {done}")
         Next token: [[6188]]
```

Logit: -18.7390 Done? False

Exercise 5 - translate

Now you can put everything together to translate a given sentence. For this, complete the translate function below. This function will take care of the following steps:

- Process the sentence to translate and encode it
- · Set the initial state of the decoder
- Get predictions of the next token (starting with the <SOS> token) for a maximum of iterations (in case the <EOS> token is never returned)
- Return the translated text (as a string), the logit of the last iteration (this helps measure
 how certain was that the sequence was translated in its totality) and the translation in
 token format.

Hints:

- The previous cell provides a lot of insights on how this function should work, so if you get stuck refer to it.
- · Some useful docs:
 - tf.newaxis (https://www.tensorflow.org/api_docs/python/tf#newaxis)
 - tf.fill (https://www.tensorflow.org/api_docs/python/tf/fill)
 - tf.zeros (https://www.tensorflow.org/api_docs/python/tf/zeros)

IMPORTANT NOTE: Due to randomness processes involving tensorflow training and weight initializing, the results below may vary a lot, even if you retrain your model in the same session.

```
In [27]: # GRADED FUNCTION: translate
         def translate(model, text, max_length=50, temperature=0.0):
             """Translate a given sentence from English to Portuguese
             Args:
                 model (tf.keras.Model): The trained translator
                 text (string): The sentence to translate
                 max_length (int, optional): The maximum length of the translation. Def
                 temperature (float, optional): The temperature that controls the rando
             Returns:
                 tuple(str, np.float, tf.Tensor): The translation, logit that predicted
             # Lists to save tokens and Logits
             tokens, logits = [], []
             ### START CODE HERE ###
             # PROCESS THE SENTENCE TO TRANSLATE
             # Convert the original string into a tensor
             text = tf.convert_to_tensor([text])
             # Vectorize the text using the correct vectorizer
             context = english_vectorizer(text).to_tensor()
             # Get the encoded context (pass the context through the encoder)
             context = model.encoder(context)
             # INITIAL STATE OF THE DECODER
             # First token should be SOS token with shape (1,1)
             next_token = tf.fill((1, 1), sos_id)
             # Initial hidden and cell states should be tensors of zeros with shape (1,
             state = [tf.zeros((1, UNITS)), tf.zeros((1, UNITS))]
             # You are done when you draw a EOS token as next token (initial state is A
             done = False
             # Iterate for max_length iterations
             for _ in range(max_length):
                 # Generate the next token
                 try:
                     next_token, logit, state, done = generate_next_token(
                         decoder=model.decoder,
                         context=context,
                         next_token=next_token,
                         done=done,
                         state=state,
                         temperature=temperature
                 except:
                     raise Exception("Problem generating the next token")
                 # If done then break out of the loop
                 if done:
                     break
                 # Add next_token to the list of tokens
                 tokens.append(next token)
```

```
# Add Logit to the List of Logits
logits.append(logit)

### END CODE HERE ###

# Concatenate all tokens into a tensor
tokens = tf.concat(tokens, axis=-1)

# Convert the translated tokens into text
translation = tf.squeeze(tokens_to_text(tokens, id_to_word))
translation = translation.numpy().decode()

return translation, logits[-1], tokens
```

Try your function with temperature of 0, which will yield a deterministic output and is equivalent to a greedy decoding:

Try your function with temperature of 0.7 (stochastic output):

Logit: -0.443

```
In [29]: # Running this cell multiple times should return different outputs since temp
# You can try different temperatures

temp = 0.7
original_sentence = "I love languages"

translation, logit, tokens = translate(trained_translator, original_sentence,
    print(f"Temperature: {temp}\n\nOriginal sentence: {original_sentence}\nTranslat

Temperature: 0.7

Original sentence: I love languages
    Translation: eu adoro idiomas [UNK] .
Translation tokens:[[ 9 564 850  1  4]]
```

5. Minimum Bayes-Risk Decoding

As mentioned in the lectures, getting the most probable token at each step may not necessarily produce the best results. Another approach is to do Minimum Bayes Risk Decoding or MBR. The general steps to implement this are:

- · Take several random samples
- Score each sample against all other samples
- · Select the one with the highest score

You will be building helper functions for these steps in the following sections.

With the ability to generate different translations by setting different temperature values you can do what you saw in the lectures and generate a bunch of translations and then determine which one is the best candidate. You will now do this by using the provided generate_samples function. This function will return any desired number of candidate translations alongside the log-probability for each one:

```
In [31]: def generate_samples(model, text, n_samples=4, temperature=0.6):
    samples, log_probs = [], []

# Iterate for n_samples iterations
    for _ in range(n_samples):

# Save the Logit and the translated tensor
_, logp, sample = translate(model, text, temperature=temperature)

# Save the translated tensors
    samples.append(np.squeeze(sample.numpy()).tolist())

# Save the Logits
    log_probs.append(logp)

return samples, log_probs
```

```
In [32]: samples, log_probs = generate_samples(trained_translator, 'I love languages')
    for s, l in zip(samples, log_probs):
        print(f"Translated tensor: {s} has logit: {1:.3f}")

Translated tensor: [9, 522, 38, 1032, 71, 38, 3879, 4] has logit: -1.182
    Translated tensor: [9, 1, 38, 850, 4] has logit: -1.368
    Translated tensor: [9, 564, 850, 321, 8736, 4] has logit: -0.703
    Translated tensor: [9, 564, 850, 4] has logit: -2.634
```

Comparing overlaps

Now that you can generate multiple translations it is time to come up with a method to measure the goodness of each one. As you saw in the lectures, one way to achieve this is by comparing each sample against the others.

There are several metrics you can use for this purpose, as shown in the lectures and you can try experimenting with any one of these. For this assignment, you will be calculating scores for **unigram overlaps**.

One of these metrics is the widely used yet simple <u>Jaccard similarity</u> (https://en.wikipedia.org/wiki/Jaccard_index) which gets the intersection over union of two sets. The jaccard_similarity function returns this metric for any pair of candidate and reference translations:

```
In [33]: def jaccard_similarity(candidate, reference):
    # Convert the lists to sets to get the unique tokens
    candidate_set = set(candidate)
    reference_set = set(reference)

# Get the set of tokens common to both candidate and reference
    common_tokens = candidate_set.intersection(reference_set)

# Get the set of all tokens found in either candidate or reference
    all_tokens = candidate_set.union(reference_set)

# Compute the percentage of overlap (divide the number of common tokens by
    overlap = len(common_tokens) / len(all_tokens)

return overlap
```

jaccard similarity between lists: [1, 2, 3] and [1, 2, 3, 4] is 0.750

Expected Output

```
jaccard similarity between tensors: [1, 2, 3] and [1, 2, 3, 4] is 0.7 50
```

Exercise 6 - rouge1_similarity

Jaccard similarity is good but a more commonly used metric in machine translation is the ROUGE score. For unigrams, this is called ROUGE-1 and as shown in the lectures, you can output the scores for both precision and recall when comparing two samples. To get the final score, you will want to compute the F1-score as given by:

```
score = 2 * \frac{(precision * recall)}{(precision + recall)}
```

For the implementation of the <code>rouge1_similarity</code> function you want to use the <code>Counter (https://docs.python.org/3/library/collections.html#collections.Counter)</code> class from the Python standard library:

```
In [35]: from collections import Counter
         # GRADED FUNCTION: rouge1 similarity
         def rouge1_similarity(candidate, reference):
             """Computes the ROUGE 1 score between two token lists
             Args:
                 candidate (list[int]): Tokenized candidate translation
                 reference (list[int]): Tokenized reference translation
             Returns:
                 float: Overlap between the two token lists
             ### START CODE HERE ###
             # Make a frequency table of the candidate and reference tokens
             candidate_word_counts = Counter(candidate)
             reference_word_counts = Counter(reference)
             # Initialize overlap at 0
             overlap = 0
             # Iterate over the tokens in the candidate frequency table
             for token in candidate_word_counts.keys():
                 # Get the count of the current token in the candidate frequency table
                 token count candidate = candidate word counts[token]
                 # Get the count of the current token in the reference frequency table
                 token_count_reference = reference_word_counts.get(token, 0)
                 # Update the overlap by getting the minimum between the two token cour
                 overlap += min(token_count_candidate, token_count_reference)
             # Compute the precision
             precision = overlap / sum(candidate_word_counts.values())
             # Compute the recall
             recall = overlap / sum(reference word counts.values())
             if precision + recall != 0:
                 # Compute the Rouge1 Score (F1)
                 f1_score = 2 * (precision * recall) / (precision + recall)
                 return f1 score
             ### END CODE HERE ###
             return 0 # If precision + recall = 0 then return 0
```

Expected Output

rouge 1 similarity between lists: [1, 2, 3] and [1, 2, 3, 4] is 0.857

```
In [37]: w1_unittest.test_rouge1_similarity(rouge1_similarity)
```

All tests passed!

Computing the Overall Score

You will now build a function to generate the overall score for a particular sample. As mentioned in the lectures, you need to compare each sample with all other samples. For instance, if we generated 30 sentences, we will need to compare sentence 1 to sentences 2 through 30. Then, we compare sentence 2 to sentences 1 and 3 through 30, and so forth. At each step, we get the average score of all comparisons to get the overall score for a particular sample. To illustrate, these will be the steps to generate the scores of a 4-sample list.

- Get similarity score between sample 1 and sample 2
- Get similarity score between sample 1 and sample 3
- Get similarity score between sample 1 and sample 4
- Get average score of the first 3 steps. This will be the overall score of sample 1
- Iterate and repeat until samples 1 to 4 have overall scores.

The results will be stored in a dictionary for easy lookups.

Exercise 7 - average_overlap

Complete the average_overlap function below which should implement the process described above:

```
In [38]:
         # GRADED FUNCTION: average_overlap
         def average_overlap(samples, similarity_fn):
             """Computes the arithmetic mean of each candidate sentence in the samples
             Args:
                 samples (list[list[int]]): Tokenized version of translated sentences
                 similarity_fn (Function): Similarity function used to compute the over
             Returns:
                 dict[int, float]: A dictionary mapping the index of each translation t
             # Initialize dictionary
             scores = {}
             # Iterate through all samples (enumerate helps keep track of indexes)
             for index_candidate, candidate in enumerate(samples):
                 ### START CODE HERE ###
                 overlap = 0 # Initially overlap is zero
                 for index_sample, sample in enumerate(samples):
                     # Skip if the candidate index is the same as the sample index
                     if index_candidate == index_sample:
                         continue
                     # Get the overlap between candidate and sample using the similarit
                     sample_overlap = similarity_fn(candidate, sample)
                     # Add the sample overlap to the total overlap
                     overlap += sample_overlap
                 ### END CODE HERE ###
                 # Get the score for the candidate by computing the average
                 score = overlap / (len(samples) - 1)
                 # Only use 3 decimal points
                 score = round(score, 3)
                 # Save the score in the dictionary. use index as the key.
                 scores[index candidate] = score
             return scores
```

```
In [39]: # Test with Jaccard similarity

11 = [1, 2, 3]
12 = [1, 2, 4]
13 = [1, 2, 4, 5]

avg_ovlp = average_overlap([11, 12, 13], jaccard_similarity)

print(f"average overlap between lists: {11}, {12} and {13} using Jaccard simil

average overlap between lists: [1, 2, 3], [1, 2, 4] and [1, 2, 4, 5] using Jaccard similarity is:

{0: 0.45, 1: 0.625, 2: 0.575}
```

Expected Output

```
average overlap between lists: [1, 2, 3], [1, 2, 4] and [1, 2, 4, 5] using Jaccard similarity is: {0: 0.45, 1: 0.625, 2: 0.575}
```

```
In [40]: # Test with Rouge1 similarity

l1 = [1, 2, 3]
l2 = [1, 4]
l3 = [1, 2, 4, 5]
l4 = [5,6]

avg_ovlp = average_overlap([l1, l2, l3, l4], rouge1_similarity)

print(f"average overlap between lists: {l1}, {l2}, {l3} and {l4} using Rouge1

average overlap between lists: [1, 2, 3], [1, 4], [1, 2, 4, 5] and [5, 6] using Rouge1 similarity is:

{0: 0.324, 1: 0.356, 2: 0.524, 3: 0.111}
```

Expected Output

```
average overlap between lists: [1, 2, 3], [1, 4], [1, 2, 4, 5] and [5, 6] using Rouge1 similarity is: {0: 0.324, 1: 0.356, 2: 0.524, 3: 0.111}
```

```
In [41]: w1_unittest.test_average_overlap(average_overlap)
```

All tests passed!

In practice, it is also common to see the weighted mean being used to calculate the overall score instead of just the arithmetic mean. This is implemented in the weighted_avg_overlap function below and you can use it in your experiments to see which one will give better results:

```
In [42]: def weighted_avg_overlap(samples, log_probs, similarity_fn):
             # Scores dictionary
             scores = {}
             # Iterate over the samples
             for index_candidate, candidate in enumerate(samples):
                 # Initialize overlap and weighted sum
                 overlap, weight sum = 0.0, 0.0
                 # Iterate over all samples and log probabilities
                 for index_sample, (sample, logp) in enumerate(zip(samples, log_probs))
                     # Skip if the candidate index is the same as the sample index
                     if index_candidate == index_sample:
                         continue
                     # Convert log probability to linear scale
                     sample_p = float(np.exp(logp))
                     # Update the weighted sum
                     weight sum += sample p
                     # Get the unigram overlap between candidate and sample
                     sample_overlap = similarity_fn(candidate, sample)
                     # Update the overlap
                     overlap += sample_p * sample_overlap
                 # Compute the score for the candidate
                 score = overlap / weight_sum
                 # Only use 3 decimal points
                 score = round(score, 3)
                 # Save the score in the dictionary. use index as the key.
                 scores[index_candidate] = score
             return scores
In [43]: 11 = [1, 2, 3]
         12 = [1, 2, 4]
         13 = [1, 2, 4, 5]
         log_probs = [0.4, 0.2, 0.5]
         w_avg_ovlp = weighted_avg_overlap([11, 12, 13], log_probs, jaccard_similarity)
```

```
weighted average overlap using Jaccard similarity is:
{0: 0.443, 1: 0.631, 2: 0.558}
```

mbr_decode

You will now put everything together in the the mbr_decode function below. This final step is not graded as this function is just a wrapper around all the cool stuff you have coded so far!

print(f"weighted average overlap using Jaccard similarity is:\n\n{w_avg_ovlp}'

You can use it to play around, trying different numbers of samples, temperatures and similarity functions!

```
In [44]: | def mbr_decode(model, text, n_samples=5, temperature=0.6, similarity_fn=jaccar
             # Generate samples
             samples, log_probs = generate_samples(model, text, n_samples=n_samples, text)
             # Compute the overlap scores
             scores = weighted_avg_overlap(samples, log_probs, similarity_fn)
             # Decode samples
             decoded_translations = [tokens_to_text(s, id_to_word).numpy().decode('utf-
             # Find the key with the highest score
             max_score_key = max(scores, key=lambda k: scores[k])
             # Get the translation
             translation = decoded_translations[max_score_key]
             return translation, decoded_translations
In [45]: english_sentence = "I love languages"
         translation, candidates = mbr_decode(trained_translator, english_sentence, n_s
         print("Translation candidates:")
         for c in candidates:
             print(c)
         print(f"\nSelected translation: {translation}")
         Translation candidates:
         eu amo idiomas .
         eu amo idiomas a terra .
         eu adoro idiomas .
         eu amo os linguas .
         eu adoro idiomas .
         eu amo idiomas voces sao figuras .
         eu amo as linguas .
         eu adoro idiomas .
         eu amo idiomas o respeito .
         eu adoro idiomas ?
```

Congratulations! Next week, you'll dive deeper into attention models and study the Transformer architecture. You will build another network but without the recurrent part. It will show that attention is all you need! It should be fun!

Keep up the good work!

Selected translation: eu amo idiomas .

