# **CSC 365**

Introduction to Database Systems

#### Relational Algebra

#### CAL POLY

- Operands in Relational Algebra are relations (or expressions that yield relations)
- A few additional derived operators are not listed: additional join types (Equijoin, Semijoin, Antijoin) and Division

(derived operators are marked with \*)

Operator Name	Symbol
Selection	σ
Projection	π
Rename	ρ
Union	U
Difference	-
Intersection *	n
Cartesian Product	×
Natural Join *	м
Theta Join *	θ

#### Relational Algebra - Joins

- Several types of join operations
  - Natural join
  - o Theta join
  - Equijoin
  - Semijoin
  - Antijoin
- Selectively pair tuples from two relations.
- Joins can be expressed in terms of other basic operators we have seen (cartesian product, selection, projection)

A theta join where the  $\theta$  condition is a conjunction of equality comparisons

FACULTY(<u>ID</u>, Name, Dept, Salary) CHAIR(<u>Dept</u>, FacultyID)

Find salaries of department chairs:

$$\pi_{FACULTY.Dept, FACULTY.Salary}$$
 (FACULTY  $\bowtie_{FACULTY.ID = CHAIR.FacultyID}$  CHAIR)

#### Relational Algebra - Semijoin (×)

A specialized form of natural join. The result includes attributes from only one of the relations (in the case of left semijoin:  $R \ltimes S$ , attributes from R) Given:

EMPLOYEE(EmpID, Name, DeptID)
DEPARTMENT(DeptID, DeptName)

 ${\tt EMPLOYEE} \ltimes {\tt DEPARTMENT} \ has the same schema as {\tt EMPLOYEE}, \ lists \ all \ employees$  who are assigned to a department.

$$R \bowtie S = \pi_{R.A1, R.A2, \dots, R.An} (R \bowtie S)$$

#### Relational Algebra - Antijoin (>)

The result of  $R \triangleright S$  (sometimes written as  $R \ltimes S$ ) includes only those tuples from R for which there is **no tuple in S** that is equal on the common attribute names. Antijoin is the complement of Semijoin:

$$R \triangleright S = R - R \times S$$

Consider EMPLOYEE(Name, Dept) and CUSTOMER(Name, Address). EMPLOYEE > CUSTOMER would list all employees who *don't share* a name with any customers.

### Relational Algebra - Semijoin & Antijoin - Why?

- Useful shorthand, sometimes seen when discussing distributed databases, where one key goal is to minimize network transfer
- Note: Semijoin is not the same as SQL's OUTER JOIN
- Semijoin appears in SQL as EXISTS or IN
- Antijoin appears in SQL as NOT EXISTS or NOT IN

Division allows us to compactly represent queries such as:

- Find the names of people who have visited <u>every</u> State Park in California
- Find the names of Netflix customers who have watched <u>every</u> film which features your favorite movie star.

#### **Relational Algebra Operators**

- Five fundamental operators
  - Selection
  - Projection
  - Union
  - Difference
  - Cartesian Product
- Derived operators
  - Intersection
  - o Joins: natural, theta, semi, anti
  - Division
- Utility operator
  - Rename

Relational Algebra - Algebraic Laws

$$R \cap S = R - (R - S) = S - (S - R) = R \bowtie S$$

$$R \bowtie_{\theta} S = \sigma_{\theta} (R \times S)$$

$$\sigma_{\theta_1 \wedge \theta_2}(R) = \sigma_{\theta_1}(\sigma_{\theta_2}(R)) = \sigma_{\theta_2}(\sigma_{\theta_1}(R))$$

$$\sigma_{\theta_1 \vee \theta_2}(R) = \sigma_{\theta_1}(R) \cup \sigma_{\theta_2}(R)$$

### Relational Algebra - Algebraic Laws (Set Semantics)

Selection distributes over the union, intersection, and set difference operations	$\sigma_{\theta}$ (R U S) = $\sigma_{\theta}$ (R) U $\sigma_{\theta}$ (S)
	$\sigma_{\theta}(R - S) = \sigma_{\theta}(R) - S = \sigma_{\theta}(R) - \sigma_{\theta}(S)$
Multiple applications of the same select have no effect (selection is idempotent)	$\sigma_{\theta} (\sigma_{\theta} (R)) = \sigma_{\theta} (R)$
Selection is commutative (order of selections does not matter)	$\sigma_{\theta_1}(\sigma_{\theta_2}(R)) = \sigma_{\theta_2}(\sigma_{\theta_1}(R))$
Projection is distributive over set union	$\pi_{L}(R \cup S) = \pi_{L}(R) \cup \pi_{L}(S)$
Projection <i>does not</i> distribute over intersection or difference	$\pi_{\pm} \frac{(R \cap S) = \pi_{\pm} \frac{(R)}{(R)} \cap \pi_{\pm} \frac{(S)}{(S)}}{\pi_{\pm} \frac{(R \cap S)}{(R)} = \pi_{\pm} \frac{(R)}{(R)} \cap \pi_{\pm} \frac{(S)}{(S)}}$

### Relational Algebra - Algebraic Laws & Optimization

- Laws that highlight equivalent expressions are useful during query optimization (an important task of the DBMS)
- Two equivalent expressions may have very different computational costs (when considering I/O & CPU)

#### **Relational Algebra - Other Notations**

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Three ways to represent relational algebra expressions:

- One long expression with parentheses
- Tree representation
- Sequence of assignment statements (AKA "linear notation")

### **Relational Algebra - Tree Representation**

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We can represent a relational algebra expression as a tree:

- Internal nodes are operators
- Leaf nodes are relations

### **Sample Relation Instances**

#### **AIRPLANE**

TailNum	Make	Model	MaxSpeed
C97W	Boeing	797	null
R53Q	Cessna	FG	220
Т80Н	Airbus	A380	634
G59K	Airbus	A320	450
P88T	Piper	Arrow	180
K30W	Boeing	707	450

#### **FLIGHT**

<u>TailNum</u>	PilotID	CopilotID	Runway	<u>Date</u>
R53Q	K407	D342	S-2	9/1/17
Т80Н	K407	null	W-2	9/21/17
C97W	D342	null	W-2	8/9/21
Т80Н	D342	K407	W-3	9/9/17

#### **PILOT**

PilotID	Name
D342	Charlie
K407	Juliett
Н452	Piper

### **Relational Algebra - Tree Representation**

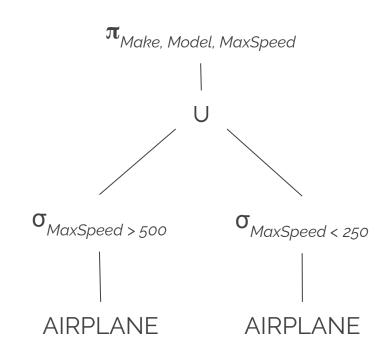
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 $\pi_{Make, Model, MaxSpeed}(\sigma_{MaxSpeed > 500}(AIRPLANE)$ 

 $\sigma_{MaxSpeed < 250}$ (AIRPLANE))

Line breaks have no significance. This could be shown on one long line.

Equivalent expression?



#### Relational Algebra - Assignment / Linear Notation

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$$\pi_{Make, Model, MaxSpeed}$$
 ( $\sigma_{MaxSpeed > 500}$  (AIRPLANE) U  $\sigma_{MaxSpeed < 250}$  (AIRPLANE))

#### **Linear Notation:**

R(TailNum, Make, Model, MaxSpeed) :=  $\sigma_{MaxSpeed > 500}$ (AIRPLANE)

S(TailNum, Make, Model, MaxSpeed) :=  $\sigma_{MaxSpeed < 250}$ (AIRPLANE)

T(TailNum, Make, Model, MaxSpeed) := R U S

Answer(Make, Model, MaxSpeed) :=  $\pi_{Make, Model, MaxSpeed}$ (T)

Attribute names may be omitted for brevity.

### **Relational Algebra - Examples**

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Given the database schema:

Relation	Sample Tuple
PRODUCT(maker, <u>model</u> , type)	(Dell, 1001, pc)
PC( <u>model</u> , speed, ram, hd, price)	(1001, 3.1, 8192, 512, 2114)
LAPTOP( <u>model</u> , speed, ram, hd, screen, price)	(2001, 1.7, 4096, 256, 13,3, 1699)
PRINTER( <u>model</u> , color, type, price)	(3001, true, laser, 239)

### **Relational Algebra - Examples**

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#### **PRODUCT**

maker	model	type
Dell	1001	рс
Dell	2001	laptop
Orange	1002	рс
HP	1003	рс
HP	2002	laptop

#### PC

model	speed	ram	hd	price
1001	3.1	8	512	1200
1002	2.8	16	512	1300
1003	2.9	8	1024	1500

#### **LAPTOP**

model	speed	ram	hd	screen	price
2001	1.7	8	512	15	1599
2002	2.0	16	1024	13.3	999

(1) What PC models have a speed of at least 3.00?

(2) Find the model number and price of all products (of any type) made by manufacturer *Orange* 

(3) Find the manufacturers (makers) that sell laptops, but not PCs. Hint: Recall relational algebra's set operators (U,  $\cap$ , -)

(3) Find the manufacturers (makers) that sell laptops, but not PCs. Hint: Recall relational algebra's set operators (U,  $\cap$ , -)

$$\pi_{maker}$$
 (PRODUCT  $\bowtie$  LAPTOP) –  $\pi_{maker}$  (PRODUCT  $\bowtie$  PC)

(4) Find hard-disk sizes that occur in two or more PCs.

Hint: It's entirely acceptable to join a relation to itself (a "self-join")

(4) Find hard-disk sizes that occur in two or more PCs.

Hint: It's entirely acceptable to join a relation to itself (a "self-join")

$$\pi_{hd} (\sigma_{\text{PC1.model} := \text{PC2.model} \land \text{PC1.hd} = \text{PC2.hd}} (\rho_{\text{PC1}} (\text{PC}) \times \rho_{\text{PC2}} (\text{PC})))$$

#### **Relational Algebra - Examples**

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(5) Find the names of all manufacturers that sell products of <u>all</u> types (pc and laptop in our example; the query should not be specifically limited to these values)

Informally, division is related to the Cartesian produc as follows:

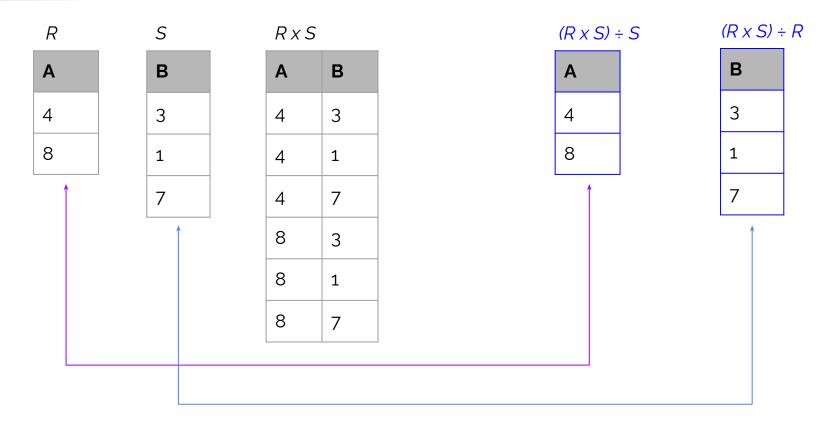
$$C = A \times B$$

$$A = C \div B$$

$$B = C \div A$$

(This is an oversimplification that does not hold in the case of empty tables.)

### **Relational Algebra - Division Example**



#### **Relational Algebra - Division Example**

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 $T \div R$ R S  $T \div S$ В В В Α Α 3 4 4 4 8 1 1 1 4 7 7 4 8 3 8 In this example, 1 the row (4,7) has 8 been removed from T

Division can be represented as a combination of projection, difference and cross product.

$$R \div S = \pi_{Schema(R)-Schema(S)}(R) - \pi_{Schema(R)-Schema(S)}((\pi_{Schema(R)-Schema(S)}(R) \times S) - R)$$

(here, Schema(R) and Schame(S) represent the attribute sets of relation R and S, respectively)

	Relational Model	SQL
Structure	Attributes, Tuples, Relations	Columns, Rows, Tables
Manipulation	Relational Algebra	DML, Queries
Constraints	Primary Key, Referential (Foreign Key), Domain, Relational Algebra expressions to define constraints	CREATE TABLE ALTER TABLE Triggers