

\*Text highlighted in Green is new (introduced by the framework). Uncolored text was in the participant's original requirements.\*

#### 1. TITLE: Domain-Specific Constraints in RL Training

SYSTEM\_REQUIREMENT: The RL agent shall incorporate domain-specific constraints to ensure realistic and safe decision-making.

IMPLEMENTATION\_DETAILS:

- \* Enforce minimum and maximum phase durations to prevent unrealistic signal switching
- \* Apply safety rules to prevent conflicting green signals
- \* Implement vehicle flow limits based on road capacity and infrastructure constraints.

RATIONALE: Embedding domain knowledge into the RL system ensures that learned policies adhere to practical and safe traffic control standards, reducing the risk of unrealistic or unsafe decisions.

#### 2. TITLE: Reward Function Robustness and Risk Mitigation

SYSTEM\_REQUIREMENT: The RL system shall be designed to prevent unintended behaviors arising from poorly formulated reward functions.

IMPLEMENTATION\_DETAILS:

- \* Perform regular evaluation and sensitivity analysis to monitor agent responses
- \* Utilize counterfactual analysis to test alternative reward functions
- \* Apply penalties for excessive switching or favoring a single road segment disproportionately.

RATIONALE: A well-structured reward function ensures that the RL agent optimizes traffic flow without introducing counterproductive behaviors, such as excessive switching.

#### 3. TITLE: Centralized Control for Multi-Intersection Optimization

SYSTEM\_REQUIREMENT: The RL system shall use a centralized controller instead of multiple agents to optimize traffic flow across multiple intersections.

IMPLEMENTATION\_DETAILS:

- \* Formulate the problem as a single-agent system to avoid credit assignment issues
- \* Optimize traffic control decisions globally for stability and efficiency.

RATIONALE: A centralized approach avoids coordination complexities in multi-agent RL systems, improving accuracy and efficiency in decision-making.

#### 4. TITLE: Computational Efficiency in Learning Architecture

SYSTEM\_REQUIREMENT: The RL system shall be optimized for computational efficiency without compromising accuracy.

IMPLEMENTATION\_DETAILS:

- \* Implement experience replay to improve sample efficiency
- \* Use model compression techniques like pruning and quantization
- \* Employ parallelized training with multi-core CPUs and GPUs
- \* Utilize lightweight neural architectures for real-time feasibility.

RATIONALE: Optimizing computational efficiency ensures that the RL system can process large amounts of data quickly while maintaining accurate decision-making.

#### 5. TITLE: Handling High-Dimensional State and Action Spaces

SYSTEM\_REQUIREMENT: The RL system shall use feature extraction techniques to manage high-dimensional state representations efficiently.

IMPLEMENTATION\_DETAILS:

- \* Use convolutional neural networks (CNNs) or attention mechanisms for traffic camera and sensor data
- \* Keep action spaces discrete to simplify decision-making
- \* Apply policy distillation and hierarchical learning for large action spaces
- \* Utilize GPUs for accelerating training and inference.

RATIONALE: Managing high-dimensional input and action spaces effectively ensures that the RL system can make accurate decisions while maintaining computational feasibility.

#### 6. TITLE: Performance Evaluation Against Real-World Data

SYSTEM\_REQUIREMENT: The RL system shall assess accuracy by comparing simulation-based results with real-world traffic sensor data.

IMPLEMENTATION\_DETAILS:

- \* Use simulation-based benchmarks to compare RL-generated policies against predefined traffic control scenarios in SUMO
- \* Validate predicted vs. actual vehicle waiting times throughput and flow rates using real-world sensors
- \* Compare RL decisions with those of experienced human traffic operators.

RATIONALE: Validating the RL system's performance against real-world data ensures its accuracy and applicability to real traffic conditions.

#### 7. TITLE: Defining Performance Metrics and Acceptable Ranges

SYSTEM\_REQUIREMENT: The RL system shall use clearly defined performance metrics and establish acceptable ranges based on historical data and expert input.

IMPLEMENTATION\_DETAILS:

- \* Evaluate average vehicle wait time as an efficiency metric
- \* Measure queue lengths to assess traffic flow
- \* Track traffic throughput to monitor system performance
- \* Monitor signal switching frequency to prevent excessive phase changes
- \* Determine acceptable metric ranges using historical city traffic data expert input and feasibility studies.

RATIONALE: Well-defined performance metrics ensure that the RL system operates within expected and effective traffic control parameters.

#### 8. TITLE: Formal Verification for Safety and Correctness

SYSTEM\_REQUIREMENT: The RL system shall use formal verification techniques to ensure correctness and safety before deployment.

IMPLEMENTATION\_DETAILS:

- \* Apply model checking to verify system correctness
- \* Use reachability analysis to evaluate possible system states
- \* Perform adversarial testing to identify and mitigate vulnerabilities.

RATIONALE: Formal verification ensures that the RL system meets safety and accuracy requirements before real-world deployment, reducing risks of failures.

9. TITLE: Handling Rare and High-Impact Traffic Scenarios

SYSTEM\_REQUIREMENT: The RL agent shall be trained and tested on rare but high-impact traffic scenarios to ensure robustness.

IMPLEMENTATION\_DETAILS:

- \* Domain randomization is used to expose the agent to extreme congestion, accidents, roadblocks and sensor failures.

- \* Scenarios with the highest potential impact on traffic flow such as sudden demand spikes and blocked intersections are manually defined and oversampled during training.

RATIONALE: Training on rare but high-impact scenarios ensures the system can handle unexpected and critical real-world situations, improving reliability and robustness.

10. TITLE: Incorporation of Environmental Variations in Simulation

SYSTEM\_REQUIREMENT: The simulation environment shall incorporate variations in environmental conditions, driver behavior, and external disruptions to improve the generalizability of RL policies.

IMPLEMENTATION\_DETAILS:

- \* The SUMO simulator models weather effects by adjusting vehicle speed and braking under rain and snow.

- \* It introduces varying driver behaviors including aggressive and cautious drivers. External disruptions such as emergency vehicle passages and construction detours are included.

RATIONALE: Modeling diverse environmental variations ensures that the RL system is exposed to realistic uncertainties, enhancing its ability to generalize effectively.

11. TITLE: Robust State Representation under Uncertain Observations

SYSTEM\_REQUIREMENT: The RL system shall infer missing or uncertain state information to maintain a robust state representation under noisy and incomplete data.

IMPLEMENTATION\_DETAILS:

- \* Bayesian inference is used to estimate missing data probabilistically.

- \* Kalman filters smooth noisy sensor readings.

- \* Historical data-based interpolation fills in missing values using past traffic trends.

RATIONALE: Ensuring a robust state representation under uncertainty helps the RL system make reliable decisions even when observations are incomplete or unreliable.

12. TITLE: Adaptive Decision-Making under Uncertainty

SYSTEM\_REQUIREMENT: The RL system shall incorporate adaptive policies and error mitigation strategies to handle uncertainty in action execution.

IMPLEMENTATION\_DETAILS:

- \* Actuator noise and delays are explicitly modeled in simulation.

- \* Domain adaptation techniques ensure policy transferability to real-world systems.

\* Feedback loops with state correction, adaptive policies (e.g. meta-RL) and conservative exploration strategies mitigate error accumulation.

RATIONALE: Handling execution uncertainty ensures that real-world decision-making remains robust and reliable despite noise and inconsistencies in the environment.

### 13. TITLE: Reliable and Informative Reward System

SYSTEM\_REQUIREMENT: The RL system shall ensure that rewards provide reliable and informative feedback for effective learning.

IMPLEMENTATION\_DETAILS:

\* Reward shaping techniques assign weighted rewards for waiting time, queue length, lane switching, and fairness penalties.

\* Counterfactual reasoning is used to test alternate reward formulations.

\* Reward normalization prevents skewed gradients by scaling rewards appropriately.

RATIONALE: A well-designed reward system is critical for guiding learning and ensuring that the RL agent develops optimal traffic management strategies.

### 14. TITLE: Reducing Simulation-to-Reality Gap

SYSTEM\_REQUIREMENT: The RL system shall incorporate real-world feedback into the simulation to refine models and reduce the sim-to-real gap.

IMPLEMENTATION\_DETAILS:

\* Continuous domain adaptation updates simulation parameters based on real-world traffic data.

\* Real-world data integration retrains policies using actual sensor data rather than synthetic simulations alone.

RATIONALE: Reducing the simulation-to-reality gap enhances the reliability of the RL system when deployed in real-world traffic scenarios.

### 15. TITLE: Efficient and Generalizable Training

SYSTEM\_REQUIREMENT: The RL system shall use techniques that improve training efficiency and generalizability from limited data.

IMPLEMENTATION\_DETAILS:

\* Experience replay, prioritized sampling, model-based RL and data augmentation techniques are used to maximize learning and generalization.

RATIONALE: Improving training efficiency ensures that the RL system learns effectively from limited data, enhancing performance and robustness.

### 16. TITLE: Hyperparameter Optimization for Robustness

SYSTEM\_REQUIREMENT: The RL system shall optimize hyperparameters to balance stability, generalization, and performance across different environments.

IMPLEMENTATION\_DETAILS:

Extensive experimentation on various hyperparameters is conducted to determine the best-performing configurations.

RATIONALE: Optimizing hyperparameters ensures that the RL system maintains stability and generalizes well across different traffic conditions.

#### 17. TITLE: Robustness Metrics and Thresholds

SYSTEM\_REQUIREMENT: The RL system shall evaluate robustness using defined metrics and establish empirical thresholds.

IMPLEMENTATION\_DETAILS:

- \* Metrics such as policy variance under perturbations generalization error and out-of-distribution performance are used.

- \* Acceptable thresholds are determined through empirical analysis.

RATIONALE: Measuring and setting thresholds for robustness ensures that the RL system maintains reliable performance under varying conditions.

#### 18. TITLE: Balancing Performance and Reliability

SYSTEM\_REQUIREMENT: The RL system shall balance performance with reliability, ensuring that optimizations do not compromise system stability.

IMPLEMENTATION\_DETAILS:

- \* Safe RL techniques incorporate risk-aware decision-making.

- \* Conservative exploration strategies limit drastic policy changes, prioritizing stability.

RATIONALE: Ensuring a balance between performance and reliability prevents excessive risk-taking while maintaining effective traffic management.